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1 Multi-year droughts in Europe: Analysis of development and causes

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8 **Abstract**

9 Whilst hydrological systems can show resilience to short-term streamflow deficiencies during
10 within-year droughts, prolonged deficits during multi-year droughts are a significant threat to
11 water resources security in Europe. This study uses a threshold-based objective classification
12 of regional hydrological drought to qualitatively examine the characteristics, spatio-temporal
13 evolution and synoptic climatic drivers of multi-year drought events in 1962-64, 1975-76 and
14 1995-97, on a European scale but with particular focus on the UK. Whilst all three events are
15 multi-year, pan-European phenomena, their development and causes are contrasting. The
16 critical factor in explaining the unprecedented severity of the 1975-76 event is the
17 consecutive occurrence of winter and summer drought. In contrast, 1962-64 was a succession
18 of dry winters, mitigated by quiescent summers, whilst 1995-97 lacked spatial coherence and
19 was interrupted by wet interludes. Synoptic climatic conditions vary within and between
20 multi-year droughts, suggesting that regional factors modulate the climate signal in
21 streamflow drought occurrence. Despite being underpinned by qualitatively similar climatic
22 conditions and commonalities in evolution and characteristics, each of the three droughts has
23 a unique spatio-temporal signature. An improved understanding of the spatio-temporal
24 evolution and characteristics of multi-year droughts has much to contribute to monitoring and
25 forecasting capability, and to improved mitigation strategies.

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8 **26 Keywords**

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11 **27** Drought; drought catalogue; Regional Deficiency Index; spatial coherence; spatio-temporal
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13 **28** evolution; Standardised Precipitation Index.
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18 **29 Introduction**

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21 **30** Drought is a complex phenomenon that varies in its expression, both spatially and
22
23 **31** temporally, and its wide range of impacts. Drought events refer to the limited availability of
24
25 **32** water, although the most notorious episodes are also associated with high temperatures
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27 **33** during prolonged heatwaves. The environmental consequences of terrestrial water shortages
28
29 **34** during droughts include: the contraction of the river network and associated loss of aquatic
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31 **35** habitat and subsequent fish kills; forest and heathland fires as a consequence of reduced soil
32
33 **36** moisture; and water quality issues since there is less flow for dilution. Socio-economic
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35 **37** impacts of drought can include damage to forestry and agriculture by wildfires and restricted
36
37 **38** use of irrigation.
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41 **39** In recent years, the hydrological extreme that has most commonly impacted the UK
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43 **40** has been flooding, with significant events in 2007, 2008 and 2009. As a result, the
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45 **41** vulnerability to drought in the UK has perhaps diminished from public perception, and it has
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47 **42** taken arid phases in 2010 and 2011 to awaken the media and the public to the risk posed by
48
49 **43** major drought, as was witnessed to a significant extent by droughts in 2003 (Fink *et al.* 2004,
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51 **44** Marsh 2004) and 2004-06 (EC 2006, Marsh *et al.* 2007, Demuth 2009).
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55 **45** In the UK, the second half of the 20th century witnessed a number of significant and
56
57 **46** widespread drought events, including those in 1962-64, 1975-76, 1988-92 and 1995-97
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59 **47** (Marsh *et al.* 2007). Droughts tend to evolve slowly and affect large areas simultaneously.
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61 **48** Therefore, in examining the development of droughts in the UK, there is significant merit in
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63 **49** also considering the expression of drought elsewhere in Europe.
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50 Research has been conducted on UK regional drought, but such studies have rarely
51 examined drought in a wider European context. Burke and Brown (2010) present regional
52 indicators of drought deficit and drought area for nine spatially coherent precipitation
53 regions, but the varying regional patterns are considered only with respect to the ability of the
54 climate model in reproducing event characteristics. Fleig *et al.* (2010) use a regional index to
55 identify droughts, before discussing the variations between regions in the context of weather
56 types, although the study is limited in its scope across Europe, only considering six regions in
57 the UK and Denmark. Fowler and Kilsby (2002) also investigate the potential of a weather-
58 type approach in regional drought research, but the focus of the study was predominantly on
59 the use of weather typing in facilitating estimation of return periods.

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60 Previous authors have examined spatio-temporal evolution of droughts.
61 Zaidman *et al.* (2002) investigate the contrasting evolution of the 1975-76 and 1989-90
62 droughts. Van der Schrier *et al.* (2006) focus on meteorological drought and on summer
63 drought only, but for water resources applications particularly it is necessary to investigate
64 the occurrence of drought in all seasons. Precipitation deficiencies in winter are often as
65 serious as heatwave-dominated summer droughts, if not more so, in many regions of Europe,
66 as the winter season is an important time for the replenishment of soil moisture and
67 groundwater stores and reservoirs (van Loon *et al.* 2010). Furthermore, in high-latitude or
68 alpine areas, river flow deficiencies may be caused by increased storage in ice and snow
69 following the winter accumulation period.

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70 However, there are still major gaps in our understanding of the spatio-temporal
71 dynamics and causative factors of historical droughts in Europe and, from the perspective of
72 the UK, of the extent to which droughts in the UK are linked with those on the continent. The
73 significant spatial and seasonal variability in both climate and river flow regime throughout
74 Europe further complicates direct comparison of flow magnitude. To allow for this

1 75 variability, a methodology which defines flow regimes relative to a particular location and a
2 76 specific time within the annual hydrological cycle is most appropriate. Such an approach
3
4 77 facilitates the intercomparison of major drought events across temporal and spatial scales.
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7 78 The Regional Deficiency Index (RDI) (Stahl and Demuth 2001) has been used previously to
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9 79 provide an objective definition of drought for the production of a drought catalogue, which
10
11 80 underpinned an analysis of the spatial coherence of European droughts
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13 81 (Hannaford *et al.* 2011). Whilst some droughts were found to be spatially coherent, with
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15 82 some relationships developed which could potentially improve drought forecasting, the
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17 83 spatio-temporal evolution of droughts was not considered.
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22 84 This paper contains qualitative evaluations of the drought catalogues, as a companion
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24 85 to the quantitative analyses in Hannaford *et al.* (2011). A qualitative investigation is
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26 86 particularly important for highlighting commonalities between the spatio-temporal
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28 87 development of different pan-European droughts of the last 50 years and may help identify
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30 88 causative mechanisms and refine the creation of drought forecasting tools.
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34 89 After explaining the data and methodology used, the approach used to select the
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36 90 droughts analysed in this study is outlined. The section that follows summarises the spatio-
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38 91 temporal evolution of three droughts, before exploring some potential explanatory factors
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40 92 such as temperature and pressure anomalies and synoptic climatic conditions. A discussion
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42 93 section compares and contrasts the three droughts in terms of their development and
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44 94 underlying causes, before conclusions are drawn.
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49 **Data**

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51 96 To characterise hydrological drought, daily river flow data from 579 gauging stations
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53 97 spanning 11 European countries from the European Water Archive (EWA) are used, covering
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55 98 the period 1961-2005; the core of the dataset was collated and updated by Stahl *et al.* (2010).
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58 99 This was supplemented by additional stations sourced from Banque Hydro (France) and the
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100 National River Flow Archive (NRFA) (UK). The dataset comprises catchments with minimal
101 artificial influences, considered to be ‘near-natural’, and the associated gauging stations have
102 good hydrometric performance. The distribution of the selected gauging stations across the
103 continent is highly variable (Fig. 1), owing predominantly to the necessity for minimally
104 influenced catchments.

105 For meteorological drought definition, $0.5^\circ \times 0.5^\circ$ gridded monthly precipitation data
106 (TS3.0) from the Climate Research Unit (CRU) (Mitchell and Jones 2005) are used. The
107 resolution was considered appropriate for comparison with regional streamflow drought,
108 although the methodology described below is suitably flexible that it could be applied to
109 other, higher-resolution datasets in future research.

110 **Methodology**

111 The drought catalogues and the subsequent analyses presented here use a parallel
112 classification of hydrological and meteorological drought indices. Hydrological drought was
113 measured using the RDI (Stahl and Demuth 2001) as a method for characterising drought
114 within homogeneous regions. Daily river flow time series were converted into binary series
115 based on whether the flow falls below a daily-varying threshold; ‘1’ if the flow is below the
116 threshold, and ‘0’ otherwise. The Q90 threshold was chosen, which represents the flow that is
117 exceeded 90 per cent of the time. The homogeneous regions (Fig. 1) were then produced
118 through a cluster analysis on these binary time series, grouping catchments with similar
119 drought occurrence. The regions used in this paper were originally derived by
120 Stahl and Demuth (2001), and have been subsequently modified by
121 Prudhomme and Sauquet (2006) and Hannaford *et al.* (2011). Further details of the clustering
122 procedure are given in Hannaford *et al.* (2011). Finally, the arithmetic mean of the binary
123 deficit indices within each homogeneous region was taken, producing a daily time series of

124 values between 0 and 1 reflecting the measure of spatial coherence, and by proxy the
125 severity, of drought on a regional basis.

126 Meteorological drought was expressed through the Regional Standardised
127 Precipitation Index (RSPI), a modification of the Standardised Precipitation Index (SPI)
128 (McKee *et al.* 1993). The RSPI facilitates comparison of meteorological drought occurrence
129 across the spectrum of rainfall regimes, and was derived by calculating the proportion of cells
130 that are ‘in drought’ (SPI < -1, moderate drought or worse) within the homogeneous regions.

131 It should be noted that although the RDI is a daily index of drought occurrence, it has
132 been aggregated to the monthly time step to facilitate intercomparison with the monthly
133 RSPI. Further information on the methodologies employed can be found in
134 Hannaford *et al.* (2011).

135 A number of approaches have been utilised in analysing the droughts presented in this
136 paper. Qualitative narratives of spatio-temporal development were summarised from drought
137 matrices and monthly evolution maps. The drought matrices display monthly-averaged RDI
138 values for all 24 European regions. These matrices help to summarise a vast amount of
139 information into a succinct table for each episode, providing an overview of the spatio-
140 temporal evolution of the major drought events. A number of monthly maps of RDI and RSPI
141 have been selected for each of the events that best represent the defining spatio-temporal
142 characteristics of the episode. The parallel presentation of both the RSPI and RDI allows for
143 analysis of potential time lags between the relative onset of meteorological and hydrological
144 drought. The RSPI evolution maps represent the RSPI-3 values, which are the regional
145 average SPI for three-month accumulations.

146 Qualitative patterns in pressure and temperature anomalies prior to and during
147 drought events were considered in assessing possible drivers for spatially coherent episodes
148 on a European scale. Extreme temperature anomalies, influenced by the synoptic climatic

149 conditions and associated pressure anomalies, can have the effect of reducing the amount of
150 precipitation which enters the hydrological network through enhanced evaporation (although
151 such anomalies can also be associated with convective activity) or through frozen storage.

152 **Selection of major drought events**

153 There are a number of distinct ‘drought rich’ and ‘drought poor’ periods on a European scale;
154 1962-64, 1975-76, 1988-92, 1995-97 and 2003 were identified by Hannaford *et al.* (2011) as
155 major, spatially coherent pan-European droughts. Since these droughts have been identified
156 as the most important events on a continental scale, they warrant further research on their
157 causes and evolution. Although the 1962-64 and the 1995-97 episodes were not the most
158 severe hydrological droughts witnessed in the last 50 years, they are two events with complex
159 spatio-temporal evolution on which there has been comparatively little focus in the drought
160 literature. The 1975-76 event, on the other hand, has been extensively studied and is widely
161 regarded as the most severe drought on record in Europe. The 1975-76 drought provides a
162 useful benchmark against which to compare the lesser studied episodes, to elucidate potential
163 differences in explaining their varying extents and outcomes. All three of these events are
164 identified as major hydrological droughts in England and Wales by Marsh *et al.* (2007), and
165 correspond to major UK regional meteorological droughts identified by
166 Burke and Brown (2010).

167 **Spatio-temporal evolution**

168 **The 1962-64 drought**

169 The 1962-64 drought can be characterised as a succession of winter droughts across Europe
170 punctuated by dry summers on the continent, although not in the UK (Fig. 2). Streamflow
171 drought developed gradually beginning in summer 1962 across France and Alpine Europe,
172 increasing in severity through the autumn and extending across central Europe. Deficits

173 further intensified throughout winter 1962/63 affecting the majority of central Europe and
174 encompassing parts of northern Europe and Scandinavia, peaking in severity in January and
175 February during which month Germany experienced RSPI values up to 1.0 and RDI values in
176 excess of 0.9 (Fig. 3f). In the UK and Europe, the winter of 1962/63 was extremely cold, with
177 frozen catchments generating historically low winter runoff rates, particularly in northern
178 areas of the UK.

179 A second successive winter drought followed in 1963/64 across western and central
180 Europe (Fig. 2), with Germany once again witnessing some of the most spatially coherent
181 within-region deficits. In the UK, the worst of the winter deficits were confined to southwest
182 areas, and although the drought was less spatially coherent than the previous winter
183 throughout the British Isles, it was longer. Akin to the winter 1962/63 phase, drought
184 conditions in winter 1963/64 also receded rapidly in the spring, on this occasion into northern
185 Germany and Scandinavia. The abrupt termination of winter droughts in both 1962/63 and
186 1963/64 can be attributed to a switch from continental to maritime influence in early March
187 in both years in the UK. However, European streamflow deficiencies did not abate for long; a
188 moderately spatially coherent late summer drought affected France, Germany and Alpine
189 areas in 1964 (Fig. 3n), although this was not persistent through the autumn. Although the
190 winter of 1964/65 was relatively dry, deficits were not coherent; a third consecutive winter
191 drought predominantly affected the UK, developing during the autumn in eastern regions and
192 intensifying toward winter 1964/65. Despite experiencing winter drought in three successive
193 years, a challenging scenario for water resource managers, the wet springs which followed in
194 each year ensured that the 1962-64 drought had limited hydrological expression in the UK
195 (Cole and Marsh 2006). Nevertheless, the winters of 1963/64 and 1962/63 rank as the first
196 and second driest on record, respectively, since 1914 for England and Wales.

197 **The 1975-76 drought**

198 Although comparatively short in duration relative to the other major droughts featured in this
199 paper, the 1975-76 drought is widely acknowledged as the benchmark drought of the last 50
200 years in the UK – with no other historical drought matching the degree of spatial coherence,
201 geographic extent or hydrological intensity exhibited in 1975-76 (Marsh *et al.* 2007) – and
202 many regions of Europe. Streamflow minima established during summer 1976 remain the
203 lowest flows on record for more than half of the UK gauging stations held on the NRFA
204 (Marsh *et al.* 2007).

205 The 1975-76 drought commenced in May 1975 and terminated in late autumn 1976,
206 with the hydrological drought lagging behind rainfall deficiencies over this 16-month period.
207 The event initially developed slowly throughout the winter of 1975/76 as a winter drought in
208 the UK, before extending throughout the continent into a summer drought (Fig. 4). This
209 successive occurrence of both winter and summer droughts in 1975-76 caused severe
210 depletion of surface and groundwater resources.

211 The winter drought underpinning the 1975-76 episode predominantly affected the
212 UK, particularly the vulnerable southeast, and to a lesser extent southern Scandinavia
213 (Fig. 5d), with increasing spatial coherence in most regions throughout winter. In the UK, the
214 winter drought initially affected western regions from October-December 1975, before
215 extending east where the focus would remain until the whole of the UK experienced severe
216 drought in summer 1976. By January 1976, the most serious deficiencies were affecting the
217 southeast regions of the UK; the lag in drought occurrence in these regions can be explained
218 by the greater groundwater component within the southeast regions providing resilience to
219 short-term precipitation deficits. Such groundwater storage was particularly influential during
220 the development of the 1975-76 drought, since this resilience was increased by high
221 groundwater levels in spring 1975. However, groundwater-dominated catchments are more

222 vulnerable to longer duration rainfall deficiencies, a situation that was borne out as winter
223 turned to spring.

224 Whilst the UK continued to be impacted by drought conditions into spring 1976,
225 mainland Europe also became affected to a similar extent. In France and western Germany,
226 streamflow deficits increased rapidly, peaking at highly coherent RSPI values of up to 1.0
227 and RDI values of up to 0.9 in both May and June (Fig. 4), some of the highest values in the
228 1961-2005 time series for these regions, signifying the high spatial coherence of streamflow
229 drought. In France, RDI values actually declined slowly through the remainder of the
230 summer, although persistence was the most significant characteristic, with RDI values above
231 0.6 (Fig. 4) driven by RSPI values of 1.0 until November for northern regions. However, in
232 general throughout Europe, heatwave conditions in summer only served to exacerbate
233 streamflow deficiencies and increase demand for water. As resources dwindled, measures to
234 reduce water demand were introduced in the UK; 136 Drought Orders were imposed, and
235 rationing and standpipes were introduced (Cole and Marsh 2006). RDI values peaked in July
236 and August 1976 in most regions (only peripheral European regions were not impacted by the
237 1975-76 drought); 14 of the 24 European regions had RDI values greater than 0.5 in July
238 1976 (Fig. 4), with the groundwater-dominated southeast UK region peaking at an RDI value
239 of 0.95, reflecting drought conditions over almost the entire area. In August 1976, 11 of the
240 24 European regions had RSPI values of 1.0, almost half of the regions experiencing severe
241 and coherent meteorological drought in all of their grid cells. In addition to the severity of the
242 1975-76 event, the durations over which deficit conditions were experienced were
243 particularly notable. Although the UK was perhaps most severely affected by the 1975-76
244 drought and for the longest duration, the episode also had profound impacts for mainland
245 Europe, particularly northern and western areas. The river network diminished in extent
246 across much of Europe with the associated loss of aquatic habitat, and there are many

247 examples in the literature of environmental impacts and water quality problems, e.g.
248 Davies 1978 and Doornkamp *et al.* 1980. Drought occurrence in German regions lagged
249 slightly behind that of UK and French regions; although July and August 1976 were once
250 again the most coherent months of drought, Germany experienced drought conditions into the
251 late autumn and early winter, in contrast to the abrupt cessation of deficits in the UK and
252 France following September 1976.

253 **The 1995-97 drought**

254 Whilst the majority of deficiencies during the 1995-97 drought were of limited spatial
255 expression and coherence on a regional basis, there were some periods of severe continental-
256 scale drought (Fig. 6). The hydrological drought lagged behind the meteorological drought,
257 which developed in western Europe during early/mid 1995, although streamflow deficiencies
258 did not appear until the late summer and were restricted to the UK, with most regions
259 registering coherent drought (RSPI up to 1,0; RDI > 0.7) in August 1995 and little concurrent
260 response on the continent (Fig. 7b). The lack of spatial coherence on a European scale can be
261 attributed to the relatively localised impact of heatwave-dominated drought over the UK. The
262 short-duration summer phase in 1995 had important adverse effects on those areas of the UK
263 dependent on surface water resources, such as the South West, North West, Yorkshire and
264 Midlands regions. The use of mitigation measures was widespread in these locations, with 53
265 drought orders imposed and hosepipe bans common (Cole and Marsh 2006).

266 A relatively coherent phase of the drought, characterised by modest streamflow
267 deficits (RDI = 0.5-0.6) expanded through Denmark, northern Germany and northern France
268 during winter 1995/96, culminating in moderate spatial coherence across much of north-
269 central Europe in April 1996 (Fig. 6). This phase of the 1995-97 drought weakened through
270 central Europe into the summer and the remainder of 1996 can be characterised by the
271 prevalence of a comparatively wet interlude, although September saw some abrupt and short-

272 lived deficiencies in Scandinavia and the UK (Fig. 7j), the latter of which had witnessed
273 gradual drought development from eastern regions throughout the summer. Whilst the winter
274 of 1996/97 was dry, only January 1997 was affected by moderately coherent drought, and
275 only then in the UK and Scandinavia once more (Fig. 7l). Following a brief cessation in
276 February and March, a spatially-extensive drought phase emerged in April with RSPI > 0.8
277 and RDI > 0.5 across Spain, France and parts of the UK (Fig. 7n), although this began to
278 diminish and weaken into central Europe through spring. The remainder of 1997 is
279 characterised by spatially-extensive drought but which lacked regional coherence (RDI
280 values generally < 0.5); deficits were diffuse and highly variable in their distribution,
281 although in the UK drought conditions persisted throughout 1997. High RDI values remained
282 during summer and autumn in the southeast regions, with the deficiencies in groundwater-
283 dominated catchments again exhibiting greater persistence (Fig. 6). There was a significant
284 regional component to the event in the UK, to some degree dependent on the existence of
285 aquifer systems. Responsive areas in the northwest of the UK experienced low reservoir
286 levels in autumn 1995, whilst the English lowlands exhibited severe hydrological drought in
287 1997 when groundwater levels had been sufficiently depressed by precipitation deficits
288 throughout the 1995-97 period.

289 The 1995-97 drought had many severe environmental and socio-economic impacts in
290 the UK. Ecologically significant fish kills, such as 20,000 in the River Trent, and agricultural
291 losses were among the myriad of environmental impacts (Cole and Marsh 2006), the latter
292 amounting to £180 million, with concurrent losses in the retail sector of £380 million and the
293 cost of additional provision of water totalling £96 million (Palutikof *et al.* 1997).

294 **Explaining drought characteristics and evolution**

295 **Pressure and temperature anomalies**

296 The 1975-76 drought can be distinguished from the 1962-64 and 1995-97 events by the
297 relative simplicity of climatic conditions underpinning its onset and spatio-temporal
298 evolution. Whereas the three-year episodes were underlain by variable pressure and
299 temperature anomalies, mirrored correspondingly in their complex expression of streamflow
300 drought, the 1975-76 drought is easier to characterise in that it resulted from a succession of
301 stationary high pressure centres. Their predominant development over the North Sea meant
302 northern and western regions of Europe were most seriously affected by the drought,
303 although the resulting outflow of air from notable high pressure anomalies in August 1975,
304 October 1975, February / March 1976 (Fig. 8c) and particularly August 1976 (Fig. 8d)
305 suppressed precipitation across large swathes of Europe. Anticyclonic conditions over
306 northern Europe were responsible for widespread heatwave conditions in summer 1976, with
307 the UK, northern France and the Netherlands experiencing exceptionally warm temperatures
308 (Fig. 9d). Higher temperatures would have increased evaporative demand and subsequently
309 soil moisture deficits, intensifying streamflow drought. However, the pan-European average
310 temperature for the 1975-76 drought was in line with the long-term average, as prevailing
311 anticyclonic conditions brought reduced temperatures to the continent in winter 1975/76
312 (Fig. 9c), and to eastern Europe even in summer 1976. Nevertheless, whilst temperature
313 anomalies may have offset between winter and summer, the most notable characteristic of
314 both seasons (as well as spring) was persistent high pressure suppressing precipitation over
315 an extended period.

316 The multi-year droughts of 1962-64 and 1995-97 exhibit much more complex patterns
317 of anomalies than those of the multi-season 1975-76 event. The 1962-64 episode began with
318 significant rainfall deficiencies during one of the coldest and driest winters in the 1961-2005

1 319 period, and which was caused by blocking highs (Fig. 8a) that brought exceptionally low
2 320 temperatures (Fig. 9a). Anticyclonic conditions over the northern Atlantic Ocean
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4 321 predominated for the next two years (Fig. 8b), although hydrological drought would have
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7 322 been more severe had it not been for the periodic influence of rain-bearing circulation
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9 323 systems. This alternation between extremes of pressure over 1962-64 is reflected in the
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11 324 fragmentary nature of spatially coherent drought. The dominant high pressure centre moved
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14 325 eastward over Europe towards the end of the drought (Fig. 9b), perhaps responsible for the
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16 326 summer drought of 1964 occurring in central and eastern Europe whilst the UK and
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18 327 Scandinavia were unaffected.

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22 328 The 1995-97 drought was initiated by a high pressure centre which caused severe
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24 329 summer drought in parts of the UK (Fig. 8e). Summer 1995 registered as the third warmest
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26 330 on record (Fig. 9e), and the driest since the unprecedented 1975-76 drought. The resilience of
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28 331 surface water resources was further tested by the winter drought of 1995/96, caused by
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30 332 anticyclonic conditions over Scandinavia forcing cold air across Europe (Fig. 8f) and
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33 333 bringing negative temperature anomalies (Fig. 9f). As was the case in 1962-64, Europe
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35 334 experienced consecutive winter droughts as high pressure developed over the North Sea in
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38 335 winter 1996/97, synoptic conditions which are akin to those that underpinned the 1975/76
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40 336 drought. The complex and variable migration of the high pressure systems inhibited the
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43 337 development of spatially coherent deficit conditions in both 1962-64 and 1995-97.

44 45 46 338 **Synoptic climatic conditions**

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49 339 The relationships between the RDI and large-scale atmospheric circulation indices were
50
51 340 investigated in Hannaford *et al.* (2011), in order to potentially explain the large-scale spatial
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53 341 coherence of major droughts in Europe. It was found that there are no significant correlations
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56 342 between the RDI and the eleven climatic indices considered, although the North Atlantic
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59 343 Oscillation (NAO) and the East Atlantic / West Russia (EA/WR) Pattern were found to show

344 similarities with the most significant groupings resulting from a principal component analysis
345 of regional streamflow drought (Hannaford *et al.* 2011). These findings perhaps suggest that
346 the NAO and the EA/WR Pattern show most potential in explaining the spatio-temporal
347 evolution and characteristics of large-scale drought in Europe, so the patterns of these
348 teleconnections prior to and during the drought events are presented in Fig. 10 and discussed
349 below.

350 **North Atlantic Oscillation**

351 The signal of the NAO is important in determining the track of storm systems crossing the
352 Atlantic, in turn controlling precipitation patterns particularly for maritime western Europe
353 which is predominantly influenced by prevailing westerly winds. The NAO is strongest in the
354 winter season and therefore can play a significant role in the generation of streamflow
355 deficiencies, since winter drought is perhaps the most important cause of prolonged multi-
356 year droughts (van Loon *et al.* 2010). Hannaford *et al.* (2011) found the NAO to influence
357 winter drought in a dipole-like manner, with negative correlation in northern Europe and
358 positive correlation in the south. This reflects the fact that a negative phase of the NAO
359 occurs when anticyclonic conditions are dominant over Iceland and low pressure exists over
360 the Azores. In this case, the track of rain-bearing Atlantic storms is diverted south into the
361 Mediterranean, suppressing precipitation totals in northern and western regions of Europe.
362 The 1962-64 drought is a good example of an event which was significantly influenced by
363 the NAO, in which a sustained two-year negative phase (Fig. 10a) prevented Atlantic storms
364 from depositing significant precipitation across northern Europe.

365 Given the influence of winter precipitation deficits in the 1975-76 drought, it is
366 surprising that the synoptic climatic conditions underpinning the episode do not suggest a
367 significant role for the NAO in accounting for its unprecedented severity. Late 1975 and early

1 368 1976 are characterised by neutral NAO values, after which a moderately positive phase
2 369 emerged spanning the remainder of 1976 (Fig. 10c).
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4 370 In contrast, the 1995-97 drought exhibited a third type of behaviour of the NAO
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7 371 leading to sustained streamflow deficiencies. At the beginning of 1996, an abrupt switch in
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10 372 the NAO occurred from positive to negative (Fig. 10e), a reversal which is likely to have
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12 373 influenced winter deficit conditions in regions of northern Europe. This is a strong indication
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14 374 that the 1995-97 drought was an amalgamation of at least two smaller events.
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17 375 **East Atlantic / West Russia pattern**

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20 376 The EA/WR Pattern contains four circulation centres across Eurasia in the mid-latitudes, the
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22 377 most important of which for European drought development are the low pressure cell over the
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24 378 central North Atlantic and the anticyclonic cell over central Europe. An intensified high
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26 379 pressure cell over central Europe has the effect of suppressing precipitation in these regions.
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29 380 A positive EA/WR Pattern was found to correlate with high RDI across much of central and
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31 381 western Europe in the winter half-year (Hannaford *et al.* 2011).
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34 382 Of the three droughts covered in this paper, the 1975-76 event featured the most
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37 383 significant departures from neutral values of the EA/WR Pattern. However, these departures
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39 384 could only be described as moderate, although the EA/WR Pattern remained in a positive
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42 385 phase throughout the episode (Fig. 10d). Positive phases of the EA/WR Pattern are associated
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44 386 with increased pressure anomalies across northwest Europe, conditions which were prevalent
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46 387 during the 1975-76 drought.
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49 388 Conversely, the 1962-64 drought featured a consistent slightly negative phase of the
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51 389 EA/WR Pattern (Fig. 10b), the opposite of which would have been expected for a multi-year
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53 390 period of streamflow deficiency. The negative signal would have had the effect of reducing
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56 391 the severity of winter precipitation deficits, hindering the potential development of severe
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59 392 water resource drought over this multi-year event. It is possible that the EA/WR signal
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1 393 inhibited the development of the drought, since the 1962-64 event was not spatially coherent.

2 394 Nevertheless, the 1962-64 drought can be characterised as a succession of two or three

3 395 consecutive dry winters; had the EA/WR Pattern been in a positive phase, streamflow

4 396 deficiencies may have been considerably worse and the episode more severe as a result.

5 397 The 1995-97 drought also developed in a predominantly negative phase of the

6 398 EA/WR Pattern, although there is some evidence for a switch in the signal (Fig. 10f), albeit

7 399 less apparent than that which occurred for the NAO.

8 400 **Discussion**

9 401 The complex variations in the onset, development and termination of multi-year drought

10 402 episodes in Europe have been demonstrated through the presentation of three contrasting

11 403 multi-year droughts. Despite their longer duration, the 1962-64 and 1995-97 droughts did not

12 404 herald deficiencies as severe as those witnessed in 1975-76. The 1962-64 drought can be

13 405 predominantly characterised as a fairly straightforward succession of dry winters, which were

14 406 generally coherent across the UK and Europe, although the winter deficits varied in severity.

15 407 Conversely, the 1995-97 drought was a more complex amalgam of both summer and winter

16 408 periods of deficiency, which varied spatially and in terms of their extent, duration and spatial

17 409 coherence. In the UK, this variability was not only the result of different synoptic climatic

18 410 conditions, but also regional heterogeneity in catchment characteristics, with groundwater

19 411 storage in particular influencing the onset and development of streamflow drought

20 412 occurrence.

21 413 It would appear that the relative distribution of summer droughts and winter droughts

22 414 within a multi-year episode is the most important factor in determining severity of drought

23 415 events. The 1975-76 drought featured a sustained winter drought through the winter of

24 416 1975/76 followed by an intense heatwave-dominated summer drought in summer 1976. The

25 417 role that winter droughts play in initiating severe drought episodes is to 'prime' regions and

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418 catchments for significant streamflow deficiencies by restricting the replenishment of surface,
419 soil moisture and groundwater reservoirs, impacting the hydrological regime of the following
420 year. Once catchments and regions are primed, the occurrence of a heatwave-dominated
421 summer phase will cause severe streamflow drought to occur. However, the 1962-64 drought
422 is an example of successive winter droughts which primed catchments and regions for severe
423 drought, but in each spring a shift toward maritime influences mitigated further drought
424 development. Consecutive winter and summer droughts have not occurred in the UK to the
425 same extent as for 1975-76 at any other time throughout the period of analysis (or, other work
426 has suggested, in the entire England and Wales rainfall series from 1766; Marsh *et al.* 2007),
427 suggesting that it is this sequence which is responsible for the unprecedented severity of the
428 1975-76 drought.

429 In comparing and contrasting the three droughts analysed in this paper, it is apparent
430 that there are characteristics and developmental stages that are common to many droughts.
431 For example, although not always the case, there appears to be a tendency for drought
432 conditions to progress from west to east across Europe (e.g. 1962-63, 1975-76), with the UK
433 experiencing deficit conditions prior to their onset in mainland Europe. This is potentially
434 explained by the predominant westerly direction from which the UK and Europe receive
435 weather systems. However, there are as many instances when there is little evidence for
436 spatial coherence in drought response. Deficits can occur in the UK with no corresponding
437 expression on mainland Europe (e.g. summer 1995; Fig. 6), and *vice versa* (e.g. summer
438 1964; Fig. 2). Similarly, the extent to which the UK and Europe are ‘in-phase’ or ‘out-of-
439 phase’ within multi-year droughts (such as those investigated in this paper) also varies
440 throughout the course of the episode and between events. As such, each major drought
441 episode has its own unique spatio-temporal signature, despite being driven by qualitatively
442 similar conditions. The uniqueness of large-scale droughts was also reported by

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Lloyd-Hughes (in press) who, using the SPI within a new three-dimensional framework for analysing spatio-temporal development of meteorological droughts, found that all drought episodes which occurred in the much longer 1901 and 2006 period are significantly dissimilar to each other, even when comparing the most similar events.

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When considering the climatic conditions driving the pressure and temperature anomalies which underpin drought occurrence, similar conclusions on the uniqueness of events can be drawn. Precipitation deficits are predominantly associated with stable and persistent anticyclonic conditions occurring over the affected region; these dominant high pressure centres have the effect of ‘blocking’ frontal precipitation (e.g. 1975-76) and whilst they persist rainfall totals will be significantly reduced. However, the exact location of the high pressure centre varies both between and within drought events, suggesting each episode has a set of driving synoptic climatic conditions with a distinct spatio-temporal signature, partly responsible for the unique streamflow drought response. In addition to the absence of a common set of forcing conditions, the development of similar synoptic climatic conditions may not result in an identical expression of hydrological drought or even meteorological drought. Local conditions, such as catchment characteristics, groundwater influence, and storage in snow or ice packs, may complicate the relationship between synoptic conditions and drought response. The existence of complex land-surface feedbacks may also propagate and exacerbate drought conditions (e.g. Pal and Eltahir 2003; Schar *et al.* 1999) demonstrating the significance of antecedent soil moisture conditions for rainfall occurrence, or lack thereof. Lloyd-Hughes *et al.* (submitted) have shown that it is possible to use soil moisture to underpin predictions on the likelihood of drought continuing once it has been initiated; drought is 50% more likely to continue under deficient soil moisture conditions.

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The influence of the NAO and the EA/WR Pattern on drought occurrence and spatio-temporal evolution has been investigated for the 1962-64, 1975-76 and 1995-97 droughts.

1 468 Whilst negative phases of the NAO can be associated with winter deficiencies in particular, it
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3 469 is not the overriding explanatory factor for drought onset and development. The links
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5 470 between the NAO and European drought are well documented in the literature (e.g.
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7 471 Lopez-Moreno and Vicente-Serrano 2008), but it is clear that there are more factors involved
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9 472 than simply the NAO. Associations between the EA/WR Pattern and streamflow deficiencies
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11 473 are perhaps more complex than those which have been found for the NAO and, moreover, the
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13 474 interaction between the two patterns would undoubtedly be influential. This has not been
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15 475 explored in this paper but remains an avenue for further work. In addition, other work has
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17 476 shown that potential relationships between weather types and streamflow drought are
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19 477 modulated by catchment characteristics (Fleig *et al.* 2010), and it may be expected that the
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21 478 relationship between larger-scale modes of variability and river flow deficiencies would be
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23 479 similarly confounded by catchment properties, as found for the NAO and seasonal river flows
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25 480 by Laizé and Hannah (2010).

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32 481 Descriptions of drought development are clearly dependant on data availability.
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34 482 Owing to the prevalence of relatively short river flow time series on digital archives across
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36 483 Europe, with reliable information restricted to the period since 1960, only a few large-scale
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38 484 droughts can be studied in terms of their pan-European spatio-temporal development, which
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40 485 perhaps limits the extent to which common patterns can be detected across events.
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42 486 Furthermore, the lack of any data from south-eastern Europe is undoubtedly an obstacle to
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44 487 how well these large-scale events are captured, and may confound the analysis of drought
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46 488 indices related to large-scale teleconnections. However, the lack of data in north-western
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48 489 Europe, and in particular in the Benelux countries, is probably less important as the spatial
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50 490 coverage in northern and western Europe is relatively good.

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52 491 There are a number of different avenues of future research that are currently being
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54 492 pursued, expanding upon the work presented here. The RDI has been applied to gridded
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1 493 runoff data produced by global hydrological models and land surface schemes, in order to
2 494 assess the performance of these models in reproducing hydrological extremes in the historical
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4 495 record through comparison with observed drought catalogues. In such analyses, it appears
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7 496 that models reproduce drought duration and spatial coherence reasonably well
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9 497 (Prudhomme *et al.* in press). Once the reproducibility of historical hydrological droughts has
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11 498 been verified, an advantage of using modelled runoff data is that it can be used to explore
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13 499 drought characteristics in the early 20th century (as climate forcing data is generally available
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15 500 for much longer periods than the streamflow records used herein). This would potentially
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17 501 increase the sample size of large-scale droughts for a more rigorous analysis. Modelled runoff
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19 502 could also be used to infer drought characteristics in parts of Europe which are not currently
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21 503 represented in the streamflow dataset. Further work will aim to project potentially changing
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23 504 drought characteristics and spatio-temporal evolution under 21st century climate change
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25 505 scenarios. Most future projections for Europe are for meteorological drought (e.g.
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27 506 Blenkinsop and Fowler 2007), so an assessment of future changes in the spatio-temporal
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29 507 characteristics of hydrological drought would be highly beneficial for water managers in
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31 508 Europe.

32 **Conclusion**

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34 509 In summary, the RDI methodology has been shown to be useful in elucidating commonalities
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36 510 and differences between the three major droughts presented in this paper. The 1962-64, 1975-
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38 511 76 and 1995-97 droughts each have unique spatio-temporal signatures, despite being
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40 512 underpinned by qualitatively similar synoptic climatic conditions; this is due to a combination
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42 513 of antecedent soil moisture conditions, catchment characteristics, and the occurrence of
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44 514 sequences of winter and summer drought phases, amongst others. The factor which appears
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46 515 to differentiate the 1975-76 drought from the other two multi-year events presented here is
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48 516 the occurrence of a heatwave-dominated summer drought across regions that were primed for
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1 518 severe streamflow deficiencies by a winter drought phase immediately beforehand. The NAO
2 519 and EA/WR Pattern, driving pressure and temperature anomalies, are also important
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4 520 determinants of drought, although the varying magnitude and sign of these indices within and
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7 521 between the major drought episodes presented here demonstrates the complexity of the
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9 522 climate system in driving streamflow deficits. Further research on the spatio-temporal
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11 523 evolution of multi-year droughts may lead to an improved understanding of the dynamics of
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13 524 these events and the development of monitoring and forecasting tools to help mitigate against
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15 525 the most serious impacts.
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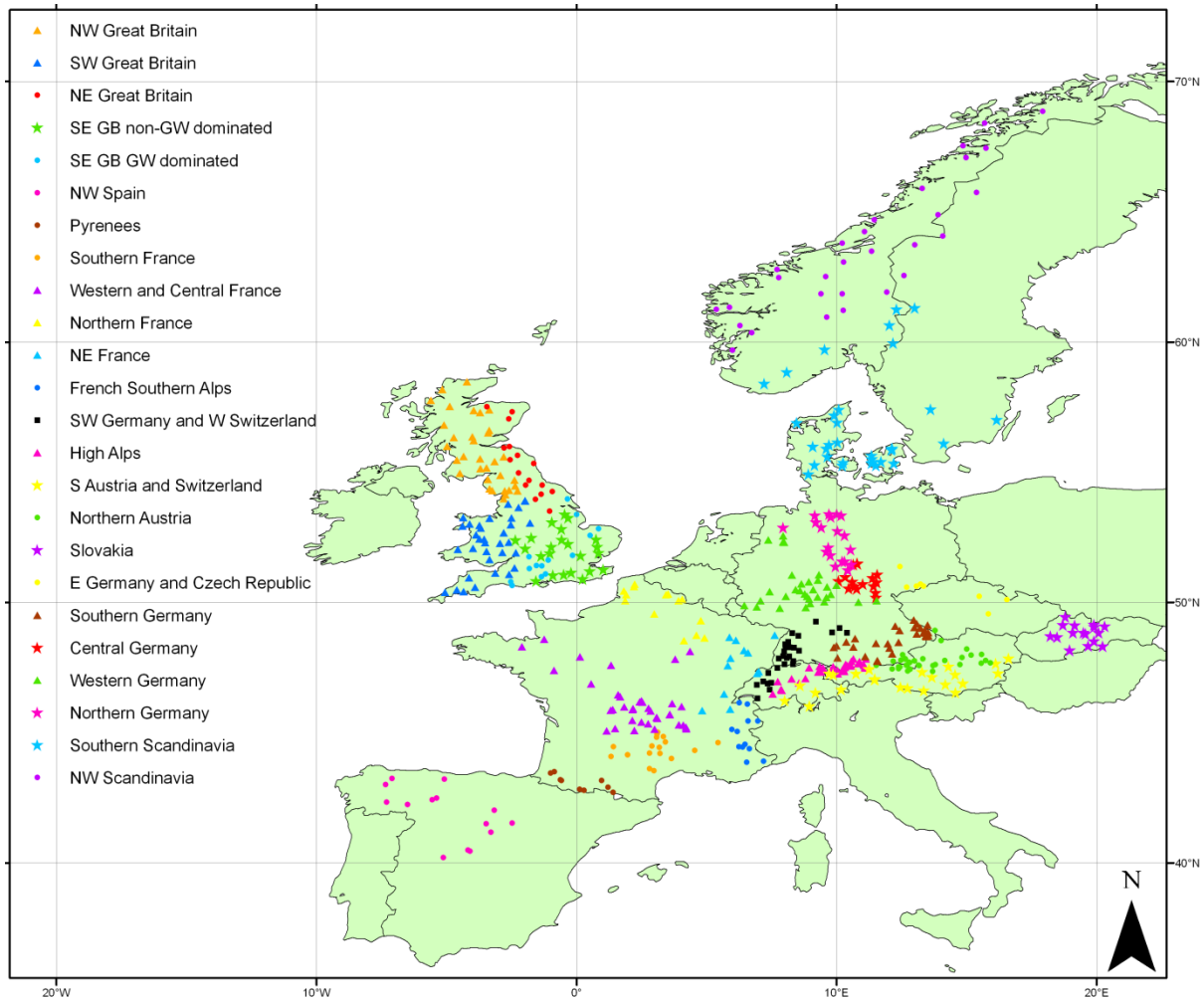


Figure 1 Homogeneous drought regions for Europe (Stahl and Demuth 2001, Prudhomme and Sauquet 2006, Hannaford *et al.* 2011). Symbols represent gauging stations within each region.

	1962												1963												1964													
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec		
NW Great Britain													0.53	0.83											0.32	0.30	0.24	0.35								0.29		
SW Great Britain			0.34										0.54	0.25											0.38	0.68	0.45									0.40		
NE Great Britain													0.55	0.67											0.21	0.42									0.32	0.54	0.30	
SE Great Britain non-GW													0.34																						0.33	0.32	0.44	0.49
SE Great Britain GW-dominated																																					0.28	
NW Spain																																					0.26	
Pyrenees																																						
Southern France																																						
Western and Central France																																						
Northern France																																						
NE France																																						
French Southern Alps																																						
SW Germany / W Switzerland																																						
High Alps																																						
S Austria and Switzerland																																						
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E Germany / Czech Republic																																						
Southern Germany																																						
Central Germany																																						
Western Germany																																						
Northern Germany																																						
Southern Scandinavia																																						
NW Scandinavia																																						

Figure 2 Drought matrix of monthly-averaged Regional Deficiency Index (RDI) values for the 1962-64 drought. Yellow cells represent RDI > 0.2; light orange cells represent RDI > 0.5; dark orange cells represent RDI > 0.8. Remaining white cells represent no significant drought (RDI < 0.2).

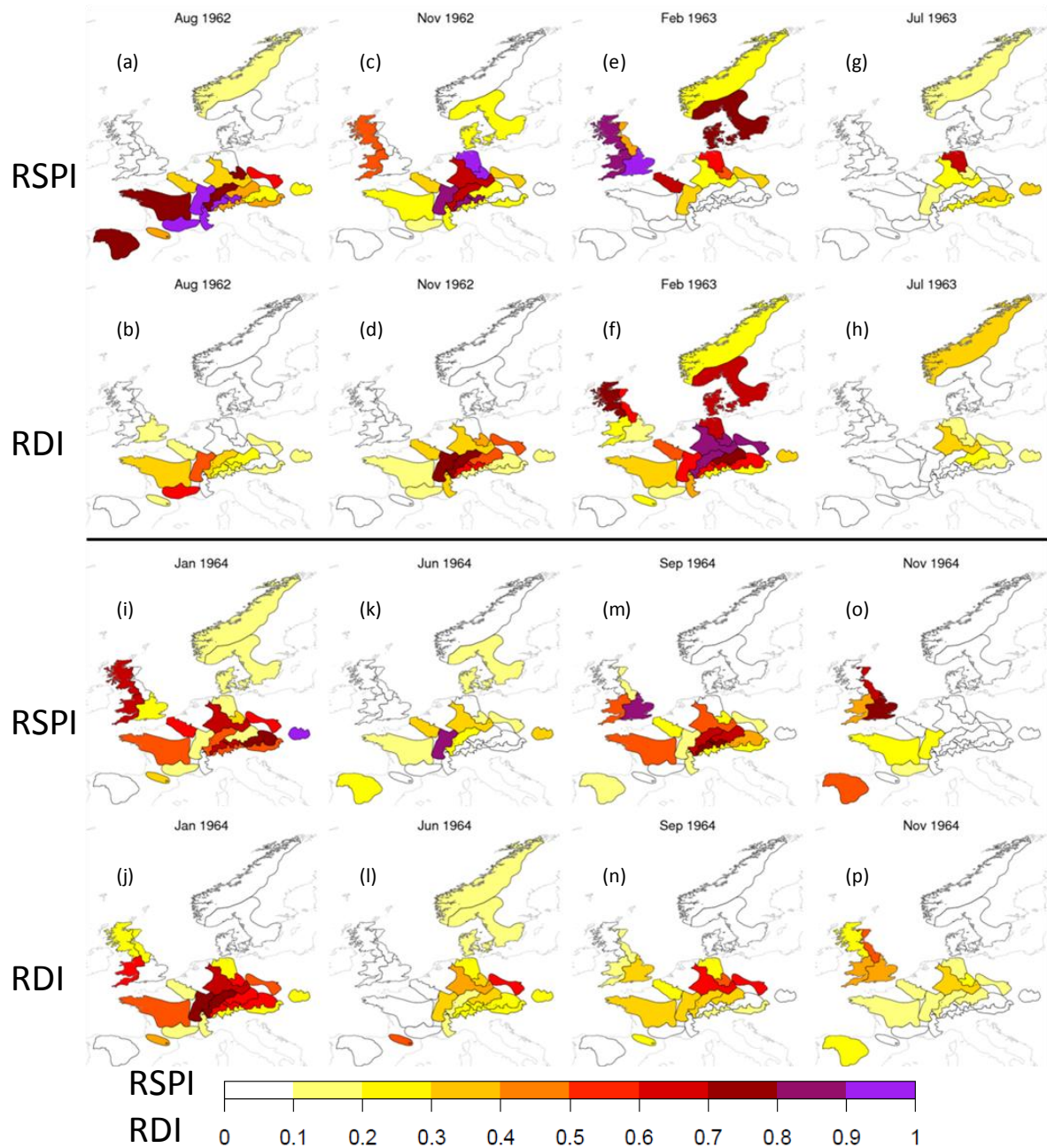


Figure 3 Spatio-temporal evolution maps of Regional Standardised Precipitation Index (RSPI-3 = 3 month SPI averaging interval) values and monthly-averaged Regional Deficiency Index (RDI) values for the 1962-64 drought.

	1975												1976											
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
NW Great Britain			0.31			0.22	0.22				0.21					0.23					0.58	0.39		
SW Great Britain					0.31					0.23	0.28	0.43	0.34	0.24	0.32	0.29	0.40	0.54	0.78	0.93	0.48			
NE Great Britain										0.27	0.54		0.34	0.33	0.43	0.27			0.50	0.69	0.25			
SE Great Britain non-GW										0.22	0.44		0.57	0.56	0.71	0.75	0.69	0.77	0.76	0.77	0.41			
SE Great Britain GW-dominated											0.35		0.59	0.69	0.82	0.85	0.82	0.89	0.95	0.89	0.54			
NW Spain			0.34										0.40				0.25							
Pyrenees													0.26					0.53	0.24	0.37				
Southern France													0.28											
Western and Central France													0.30											
Northern France														0.28	0.51	0.50	0.91	0.65	0.64					
NE France											0.22			0.33	0.58	0.67	0.76	0.71	0.65	0.68	0.59	0.32		
French Southern Alps				0.30	0.36								0.40	0.58	0.71	0.89	0.69	0.77	0.36					
SW Germany / W Switzerland													0.21	0.50	0.49	0.65	0.54	0.40						
High Alps					0.25						0.21						0.32	0.55						
S Austria and Switzerland																0.20	0.32	0.50						
Northern Austria											0.21	0.29	0.29	0.30										
Slovakia																		0.25						
E Germany / Czech Republic																		0.45	0.29	0.20	0.29			
Southern Germany																0.23	0.47							
Central Germany													0.29	0.27	0.31	0.38	0.76	0.60	0.51	0.64	0.68	0.34		
Western Germany													0.46	0.77	0.85	0.80	0.83	0.87	0.70	0.69	0.62	0.40		
Northern Germany											0.28		0.42	0.47	0.31	0.70	0.60	0.54	0.64	0.62	0.50			
Southern Scandinavia						0.31	0.47	0.29	0.37	0.55	0.42		0.33	0.57	0.40	0.32	0.35	0.64	0.79	0.75	0.46	0.60	0.35	
NW Scandinavia																			0.22	0.26	0.36	0.36	0.28	

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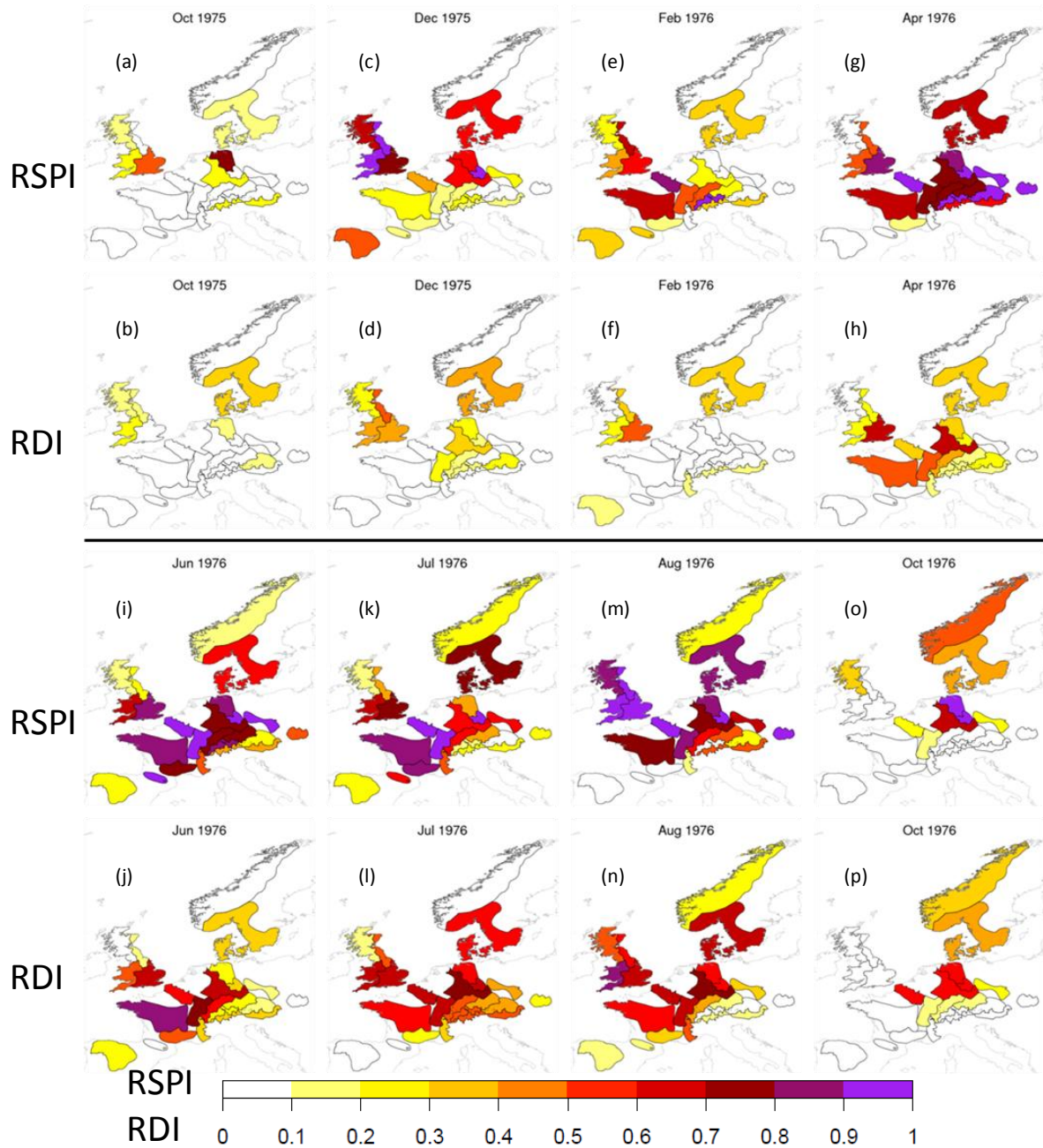
Figure 4 Drought matrix of monthly-averaged Regional Deficiency Index (RDI) values for the 1975-76

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drought. Yellow cells represent RDI > 0.2; light orange cells represent RDI > 0.5; dark orange cells represent

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RDI > 0.8. Remaining white cells represent no significant drought (RDI < 0.2).



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640 **Figure 5** Spatio-temporal evolution maps of Regional Standardised Precipitation Index (RSPI-3 = 3 month SPI
 641 averaging interval) values and monthly-averaged Regional Deficiency Index (RDI) values for the 1975-76
 642 drought.

	1995												1996												1997																	
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec						
NW Great Britain							0.73	0.28			0.48		0.30	0.26	0.22	0.23				0.20	0.64		0.57												0.28							
SW Great Britain							0.31	0.71	0.27	0.24	0.34	0.37		0.24						0.41		0.20		0.93	0.21		0.63															
NE Great Britain							0.54	0.89			0.23								0.33	0.27	0.51	0.31		0.26		0.69									0.32							
SE Great Britain non-GW							0.21	0.42			0.24	0.23							0.35	0.38	0.22	0.39	0.35		0.23		0.65	0.22	0.49	0.88	0.50	0.31	0.24	0.32	0.32							
SE Great Britain GW-dominated											0.22		0.20					0.20	0.21	0.25					0.32		0.51	0.39	0.31	0.60	0.55	0.52	0.53	0.44	0.48	0.47	0.36					
NW Spain				0.44	0.29	0.27	0.29	0.24		0.25	0.29																0.23	0.67	0.35													
Pyrenees								0.22	0.21																		0.55	0.48	0.47									0.23				
Southern France																											0.53	0.93	0.39													
Western and Central France																											0.47	0.92	0.38	0.35												
Northern France											0.27		0.26	0.35	0.42	0.67	0.38	0.49	0.55	0.35	0.24	0.22		0.50	0.21		0.67	0.33	0.30					0.20	0.21							
NE France											0.29		0.26	0.34	0.67	0.28						0.29				0.67		0.27														
French Southern Alps																						0.34																				
SW Germany / W Switzerland													0.36	0.43	0.41													0.36														
High Alps													0.34	0.38				0.25													0.31				0.22	0.23	0.36					
S Austria and Switzerland													0.29	0.37				0.38										0.20									0.27					
Northern Austria													0.34	0.41																												
Slovakia													0.34	0.38																												
E Germany / Czech Republic													0.28	0.37												0.23		0.33														
Southern Germany													0.22																													
Central Germany													0.62	0.57																												
Western Germany													0.28	0.34	0.38	0.31	0.35																									
Northern Germany													0.22	0.66	0.49	0.54	0.73	0.36	0.28	0.34	0.52	0.46		0.46		0.21								0.38	0.41	0.43	0.27					
Southern Scandinavia								0.23		0.23	0.62	0.74	0.69	0.64	0.47	0.30	0.24	0.32	0.45	0.42	0.30		0.61		0.33						0.32	0.22			0.29							
NW Scandinavia								0.24				0.25	0.36	0.37	0.22																											

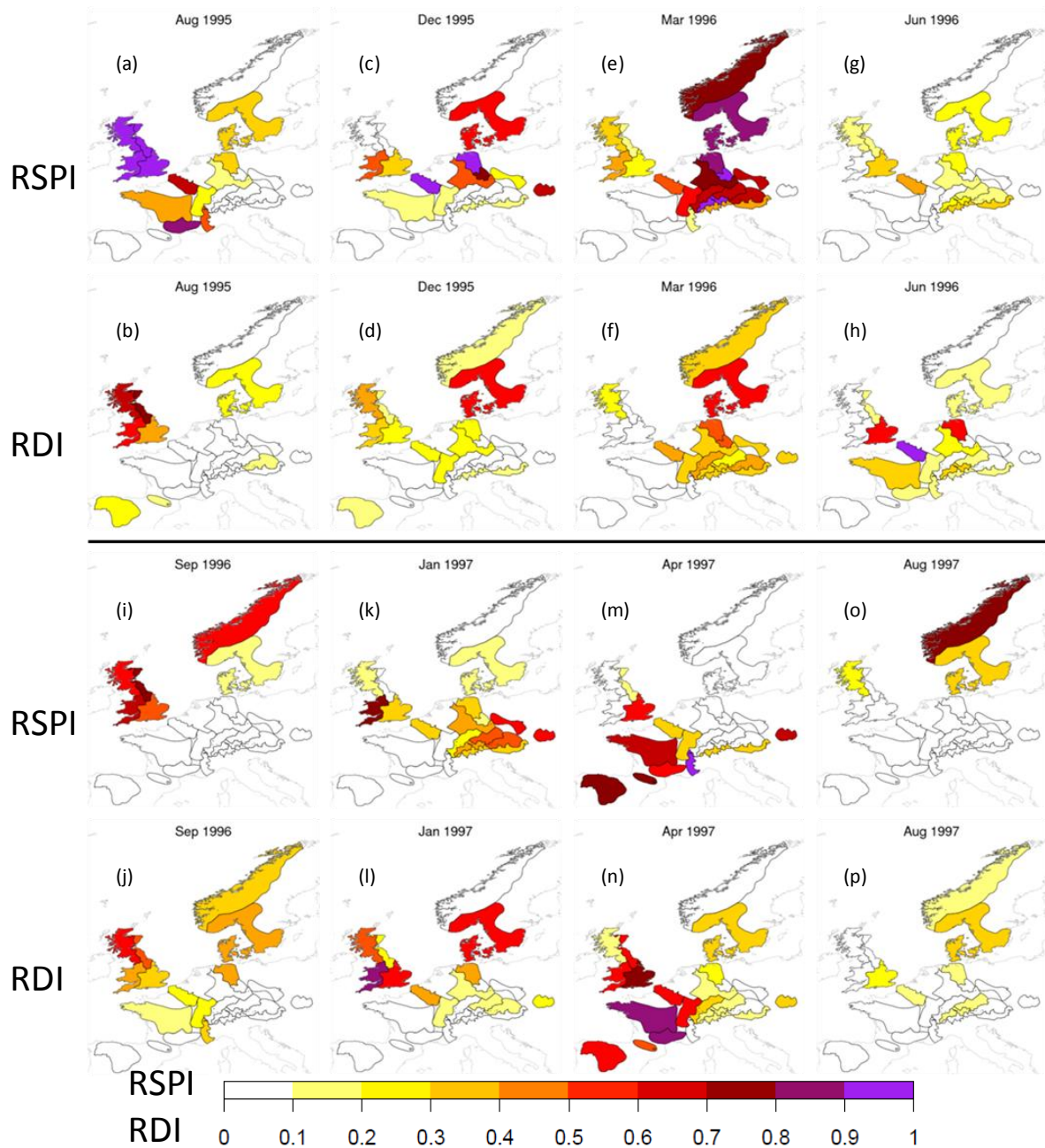
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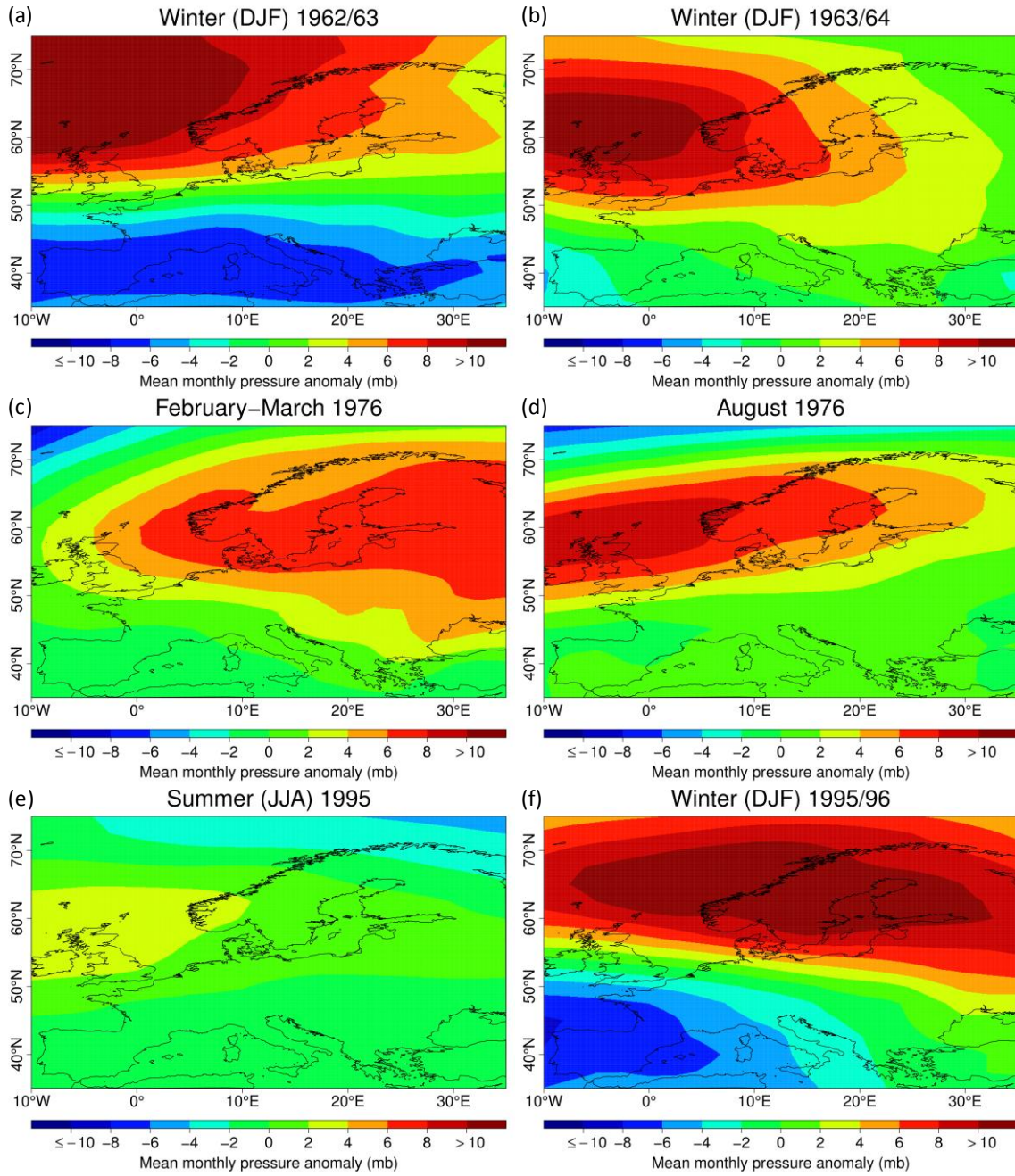
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Figure 6 Drought matrix of monthly-averaged Regional Deficiency Index (RDI) values for the 1995-97 drought. Yellow cells represent RDI > 0.2; light orange cells represent RDI > 0.5; dark orange cells represent RDI > 0.8. Remaining white cells represent no significant drought (RDI < 0.2).



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648 **Figure 7** Spatio-temporal evolution maps of Regional Standardised Precipitation Index (RSPI-3 = 3 month SPI
 649 averaging interval) values and monthly-averaged Regional Deficiency Index (RDI) values for the 1995-97
 650 drought.



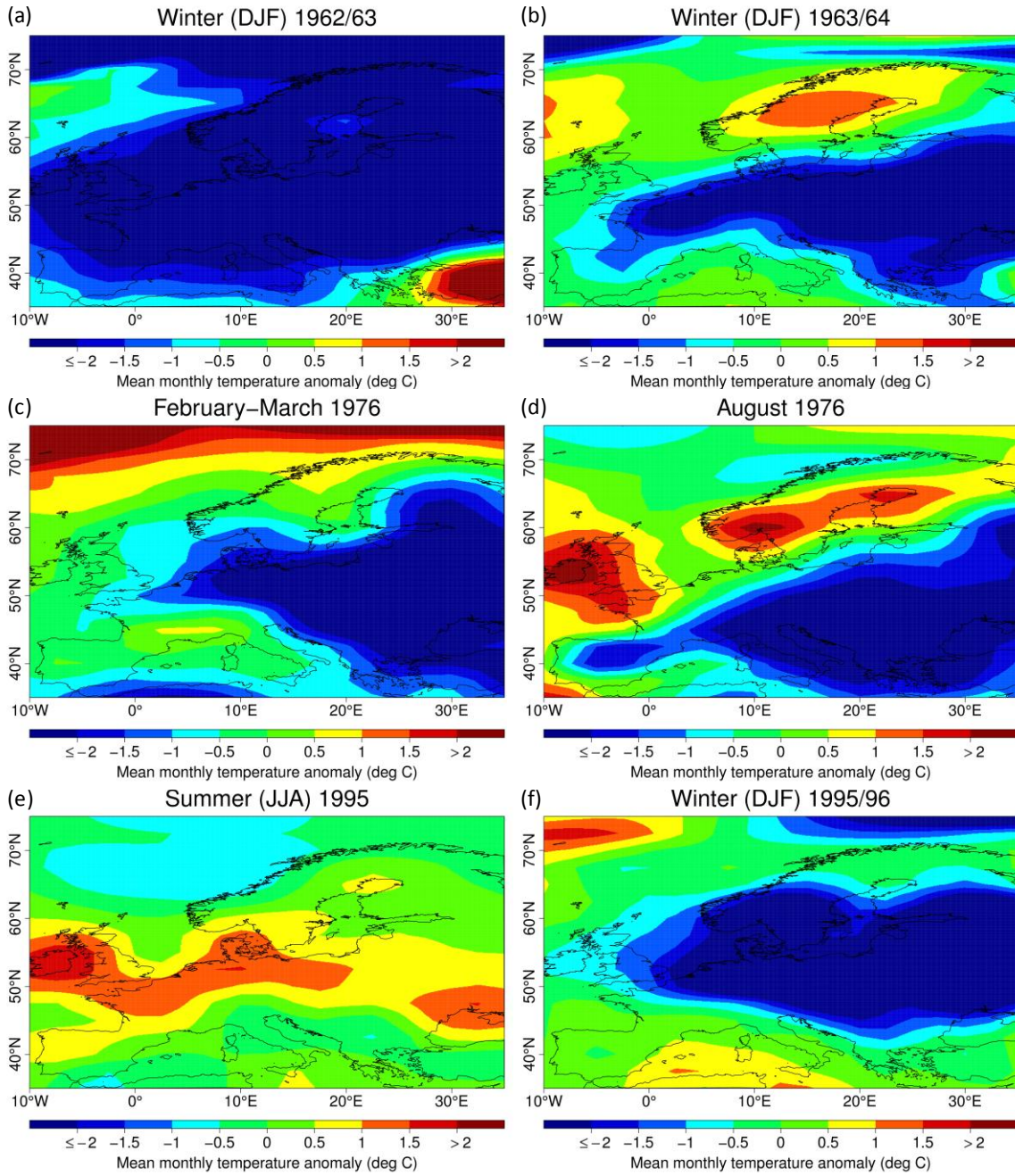
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Figure 8 Mean monthly pressure anomalies (mb) with respect to the 1971-2000 climatology for the 1962-64, 1975-76 and 1995-97 droughts. Derived from gridded National Centers for Environmental Prediction (NCEP) / National Center for Atmospheric Research (NCAR) reanalysis data (Kalnay *et al.* 1996).

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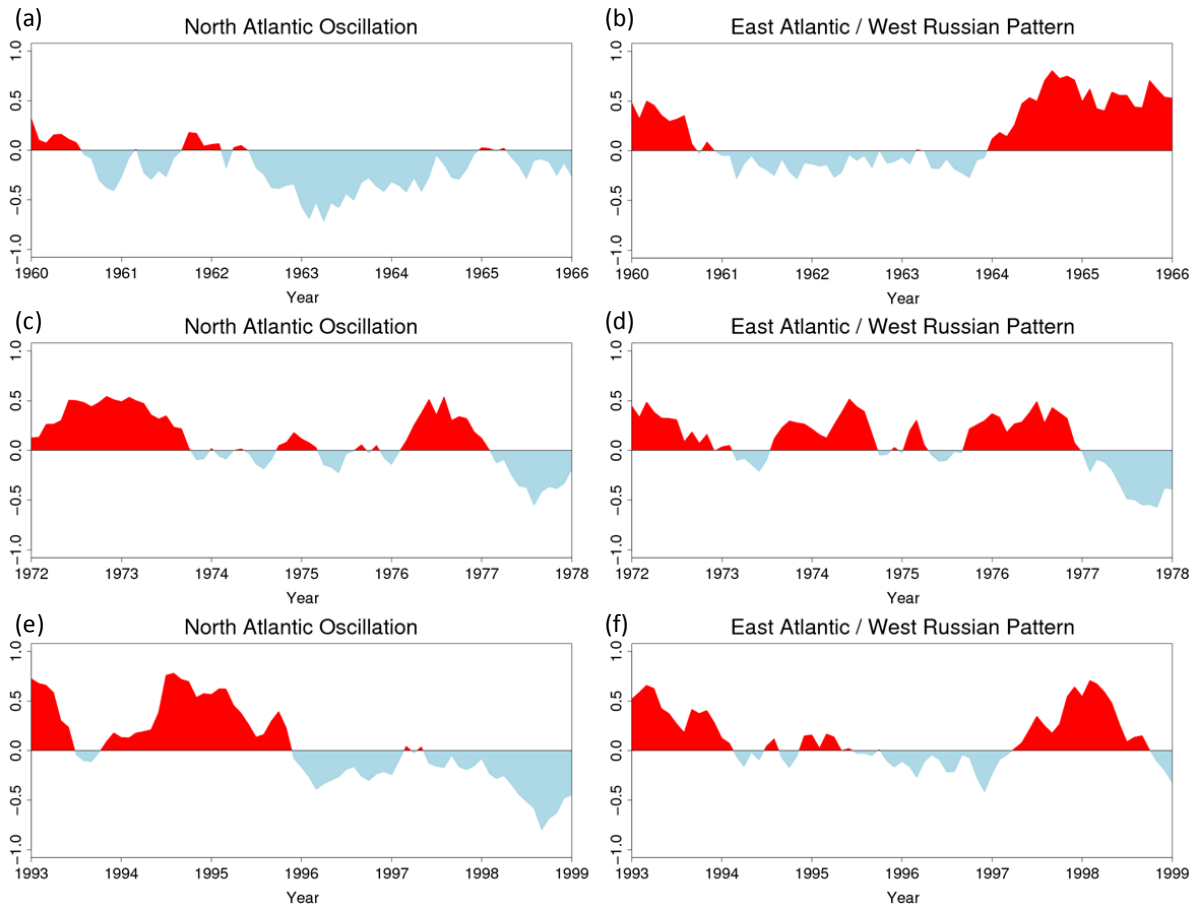
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Figure 9 Mean monthly temperature anomalies (deg C) with respect to the 1971-2000 climatology for the 1962-64, 1975-76 and 1995-97 droughts. Derived from gridded National Centers for Environmental Prediction (NCEP) / National Center for Atmospheric Research (NCAR) reanalysis data (Kalnay *et al.* 1996).

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Figure 10 North Atlantic Oscillation (NAO) and East Atlantic / West Russian (EA/WR) Pattern anomaly plots

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for the 1962-64, 1975-76 and 1995-97 droughts. Derived from NOAA (2009) data.