



## Spectral invariant behavior of zenith radiance around cloud edges observed by ARM SWS

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[1] The ARM Shortwave Spectrometer (SWS) measures zenith radiance at 418 wavelengths between 350 and 2170 nm. Because of its 1-sec sampling resolution, the SWS provides a unique capability to study the transition zone between cloudy and clear sky areas. A spectral invariant behavior is found between ratios of zenith radiance spectra during the transition from cloudy to cloud-free. This behavior suggests that the spectral signature of the transition zone is a linear mixture between the two extremes (definitely cloudy and definitely clear). The weighting function of the linear mixture is a wavelength-independent characteristic of the transition zone. It is shown that the transition zone spectrum is fully determined by this function and zenith radiance spectra of clear and cloudy regions. An important result of these discoveries is that high temporal resolution radiance measurements in the clear-to-cloud transition zone can be well approximated by lower temporal resolution measurements plus linear interpolation. **Citation:** Marshak, A., Y. Knyazikhin, J. C. Chiu, and W. J. Wiscombe (2009), Spectral invariant behavior of zenith radiance around cloud edges observed by ARM SWS, *Geophys. Res. Lett.*, 36, L16802, doi:10.1029/2009GL039366.

### 1. Introduction

[2] Though clouds seem to have a distinct boundary, remote sensing measurements find it difficult to distinguish between cloudy and cloud free air [Charlson *et al.*, 2007]. This transition zone is neither precisely clear nor precisely cloudy [Koren *et al.*, 2008, 2009]. This problem has major climatic consequences, in particular on aerosol direct and indirect effect studies, which demand a precise separation of clear and cloudy zones.

[3] *From satellites*, many studies found that the brightness of cloud-free areas systematically increases near clouds [Ignatov *et al.*, 2005; Loeb and Manalo-Smith, 2005; Matheson *et al.*, 2005; Zhang *et al.*, 2005; Koren *et al.*, 2007; Loeb and Schuster, 2008]. The enhanced brightness can result from several factors [Twohy *et al.*, 2009; Varnai and Marshak, 2009], including: small-cloud contamination [e.g., Zhang *et al.*, 2005]; cloud-aerosol microphysics like swelling and increase of number concentration of aerosols in the humid environment near clouds [e.g., Su *et al.*, 2008]; and three-dimensional (3D) radiative interactions between

clouds and surrounding clear areas [e.g., Wen *et al.*, 2007; Marshak *et al.*, 2008]. Due to enhanced molecular scattering [Wen *et al.*, 2008], the latter leads to a change in spectral color of the scattered light that Marshak *et al.* [2008] called the “apparent bluing” of the aerosols.

[4] *From aircraft*, Redemann *et al.* [2009] used sunphotometer measurements to retrieve aerosol optical depth (AOD). They reported a spectrally neutral increase in AOD in the vicinity of clouds. Su *et al.* [2008] used High Spectral Resolution Lidar data to infer aerosol properties as a function of the distance from the nearest cloud. In agreement with Redemann *et al.* [2009], they found an increase in AOD of 10–20% near clouds compared to far away. Their observed backscatter Angstrom exponents did not show any clear trends near clouds. Recently, Twohy *et al.* [2009] studied relative humidity as a function of the distance to the boundaries of small cumulus clouds. Areas of enhanced humidity near cloud boundaries are sometimes called “cloud halos” [Perry and Hobbs, 1996; Lu *et al.*, 2003]. Twohy *et al.* found that the increase in relative humidity close to clouds leads to an increase in scattering cross sections that in turn produces a 35–65% enhancement in the aerosol direct radiative effect compared to cloud-free areas far away from clouds.

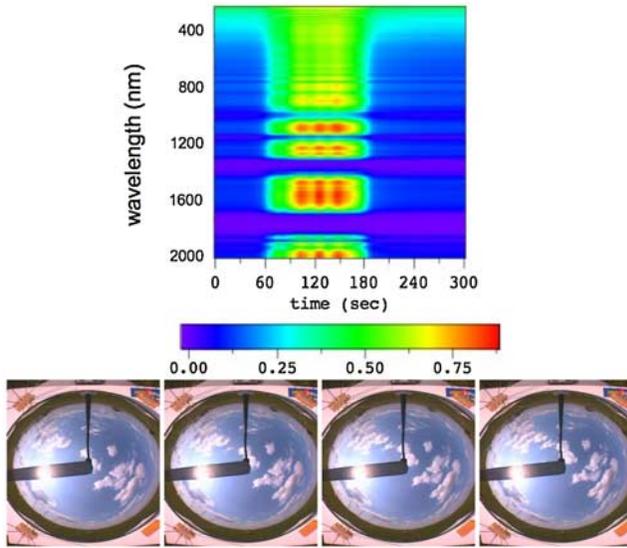
[5] *From the ground*, Koren *et al.* [2007] showed that AOD retrieved from AERONET sunphotometers [Holben *et al.*, 1998] decreases with time after the passage of clouds, while Angstrom exponent increases. Chiu *et al.* [2009] used the Atmospheric Radiation Measurement (ARM) Shortwave Spectrometer (SWS) measurements to study the transition zone between cloud-free and cloudy areas. The SWS is a ground instrument based upon the design of the airborne Solar Spectral Flux Radiometer [Pilewskie *et al.*, 2003]; it measures zenith radiance (field of view 1.4°) at 418 wavelengths between 350 and 2170 nm with 1-s temporal resolution. In the clear-cloud transition zone, Chiu *et al.* found a remarkable linear relationship between the sum and difference of radiances at 870 and 1640 nm wavelengths. The intercept of the relationship is determined primarily by aerosol properties, the slope by cloud properties. They also showed that this linearity could be well predicted from simple radiative transfer considerations.

[6] Here we extend the Chiu *et al.* [2009] analysis using all SWS measurements rather than just two wavelengths. The important questions we address are: what are the full-spectral radiative characteristics of the clear-cloud transition zone; and what is the spectral signature of a weak evaporating cloud? We will also show that SWS observations of the transition zone have wavelength-independent characteristics that were earlier observed in reflectance spectra of vegetated surfaces [Knyazikhin *et al.*, 1998;

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**Figure 1.** (top) Time-wavelength color contour plot of ARM Short-Wave Spectrometer (SWS) spectra measured from 21:35:24 to 21:40:24 UTC on 18 May 2007 at ARM SGP site in Oklahoma.  $\text{SZA} = 45^\circ$  [see *Chiu et al.*, 2009]. SWS-observed zenith radiances have been normalized by  $\cos(\text{SZA})$  and by the extraterrestrial solar spectrum (see equation (1)). (bottom) Four total-sky images taken at 21:38:00 (156 s), and 21:38:30 (186 s), 21:39:00 (216 s) and 21:39:30 (246 s) during the time when the small cloud at zenith was moving from inside to outside the field of view of the SWS.

*Panferov et al.*, 2001]. Finally, we discuss how spectral invariant characteristics can be used to study the transition zone.

## 2. A Case Study

[7] We use the ARM SWS measurements of zenith radiance  $I_m(t, \lambda)$  at 1-s temporal resolution and 8 nm visible and 12 nm near-infrared spectral resolution. First, we normalize the SWS measurements to an extraterrestrial solar spectrum:

$$I(t, \lambda) = \frac{\pi I_m(t, \lambda)}{\mu_0 F_{\text{TOA}}(\lambda)}, \quad (1)$$

where  $\mu_0$  is the cosine of solar zenith angle (SZA) and  $F_{\text{TOA}}(\lambda)$  is the solar irradiance at the top of the atmosphere. We will focus here on one case fully described by *Chiu et al.* [2009]. Other cases that we examined showed a similar behavior.

[8] Figure 1 shows a 300 s segment of normalized spectral zenith radiances measured by SWS on 18 May 2007 at the ARM SGP site. The vertical axis shows wavelength from 350 to 2170 nm. Strong water vapor absorption bands are seen at wavelengths of 930, 1120, 1400, and 1900 nm. Based on the color palette, we can roughly identify the first 60 s as clear followed by 120 s of cloudy and then by 120 s of clear. The wind speed of 3 m/s at cloud-base height of 2.0 km (derived from the ARM

merged sounding product) converts the observation time of 300 s into 900 m with a cloud diameter of roughly 360 m. Cloud top height was found at 2.1 km by lidar and radar, so the cloud was only 100 m thick. Four total sky images taken 30 s apart starting from 156 s indicate that the cloud moves toward the northeast.

## 3. Linear Mixture Hypothesis

[9] Let  $t_0$  be the time corresponding to the cloud edge. We choose  $t_0 = 150$  s for our example (see Figure 1). Let  $t_T$  denote the time of definitely clear observations:

$$t_T = t_0 + T. \quad (2)$$

We will call  $T$  the length of the transition zone between cloudy and cloud-free regions, which we take as  $T = 100$  s in our analysis. With a wind speed of 3 m/s,  $T$  corresponds to a 300 m spatial segment. Figure 2 illustrates the normalized zenith radiance spectrum plotted at 10 s time intervals from  $t_0 = 150$  s to  $t_T = 250$  s.

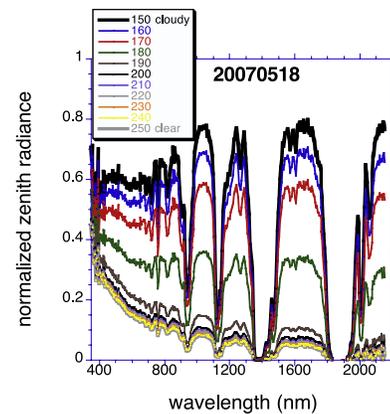
[10] Now we are ready to state our hypothesis, namely: spectra in the transition zone, such as those in Figure 2, are a linear combination of cloudy (time  $t_0$ ) and clear sky (time  $t_T$ ) spectra with a wavelength-independent weight  $a(t)$ ,

$$I(t, \lambda) = a(t)I(t_0, \lambda) + [1 - a(t)]I(t_T, \lambda), \quad t \in (t_0, t_T), \quad a(t) \in (0, 1), \quad (3)$$

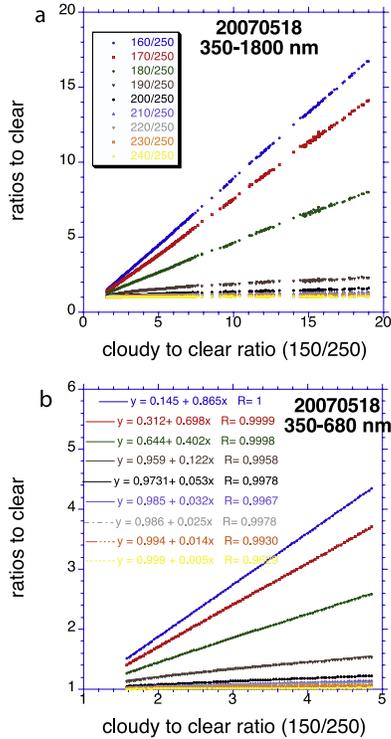
where  $a(t)$  is a monotonically decreasing function with  $a(t_0) = 1$  and  $a(t_T) = 0$ .

[11] Dividing equation (3) by clear sky spectral radiance,  $I(t_T, \lambda)$ , results in the following relationship between the transition-zone and cloudy sky observations:

$$\frac{I(t, \lambda)}{I(t_T, \lambda)} = a(t) \frac{I(t_0, \lambda)}{I(t_T, \lambda)} + b(t), \quad t \in (t_0, t_T), \quad a(t) \in (0, 1), \quad b(t) = 1 - a(t). \quad (4)$$



**Figure 2.** Normalized SWS zenith radiance spectrum measured on 18 May 2007 from  $t_0 = 150$  s (cloudy) to  $t_T = 250$  s (clear) with 10 s time interval. Same case as Figure 1.



**Figure 3.** Ratio  $y_i(\lambda)$  vs. ratio  $x(\lambda)$  for 9 values of time from  $t = 160$  s to  $t = 240$  s (see equation (5)) with linear fit and the coefficients of linear regression. (a) Wavelengths from 350 to 1800 nm. (b) Wavelengths from 350 nm to 680 nm. 18 May 2007 case of Figure 1. To avoid division by small numbers (see Figure 2) we excluded these strong water vapor absorbing spectral intervals: 931–970 nm, 1087–1164 nm, 1301–1495 nm, and 1800–1960 nm. We have also masked spectral measurements at wavelengths longer than 2000 nm because the clear sky values of zenith radiance at these wavelengths are too low and too uncertain to be used as a denominator in equation (4).

For simplicity, let us rewrite equation (4) as

$$y_i(\lambda) = a(t)x(\lambda) + b(t). \quad (5)$$

where

$$y_i(\lambda) = I(t, \lambda)/I(t_T, \lambda), \quad x(\lambda) = I(t_0, \lambda)/I(t_T, \lambda). \quad (6)$$

We will check (i) how good the linear relationship equation (5) is; and (ii) how different the sum  $a(t) + b(t)$  is from unity.

[12] Figure 3 plots  $y_i(\lambda)$  vs.  $x(\lambda)$  for 9 values of time  $t$  between 160 s and 250 s. The upper panel demonstrates  $y_i$  vs.  $x_i$  relationships using data from 350 to 1800 nm while the lower panel shows just the visible spectral interval. Very high values of the linear regression coefficient suggest a nearly perfect linear variation with slope  $a(t)$  and intercept  $b(t)$  adding up to unity with 2–5% uncertainties (at 190 s the sum deviated most from unity, 1.08). Clearly, the linear relationship does not depend on wavelength, and thus  $a(t)$  does not depend on wavelength.

[13] It is not surprising that  $a(t) + b(t) = 1$ . Indeed, as  $\lambda$  goes toward UV wavelengths where Rayleigh scattering

increasingly dominates cloud scattering, the difference between clear and cloudy zenith radiance becomes smaller (see Figures 1 and 2) and  $I(t, \lambda) \approx I(t_0, \lambda) \approx I(t_T, \lambda)$ . As a result, equation (4) leads to  $a(t) + b(t) \approx 1$ .

#### 4. Spectrally-Invariant Function

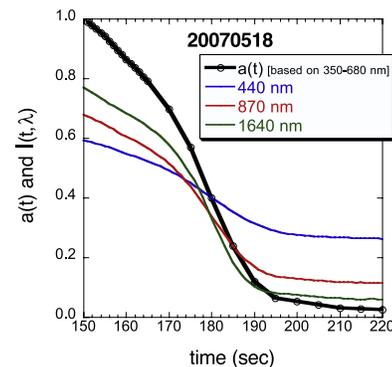
[14] We first estimate a rate at which the spectral zenith radiance decreases while going from cloudy to clear regions. Differentiating both sides of equation (3), we find that

$$\frac{\partial I(t, \lambda)}{\partial t} = a'(t)[I(t_0, \lambda) - I(t_T, \lambda)]. \quad (7)$$

Thus, the derivative of spectral-invariant function  $a(t)$  and zenith radiance spectra of cloudy and cloud-free sky areas fully determine the behavior of  $I(t, \lambda)$  and its variability (as a function of  $t$ ) in the transition zone.

[15] Figure 4 illustrates the behavior of functions  $a(t)$  in the transition zone. In addition, we have also plotted  $I(t, \lambda)$  for three wavelengths: 440, 870, and 1640 nm. Zenith radiance at each wavelength shows the (wavelength-dependent) signature of a small evaporating cloud. For example, because of smaller aerosol optical depth and lack of Rayleigh scattering, zenith radiance at 1640 nm has a stronger contrast between cloudy and cloud-free areas than that at 440 nm. We hypothesize here that the wavelength independent function  $a(t)$  depends only on the *intrinsic* cloud structure near its boundaries and is only weakly sensitive to surface spectral albedo. If a cloud has a sharp boundary,  $a(t)$  will be more like the Heaviside step-function. However, it is much smoother for a slowly fading cloud. We suggest that  $a(t)$  can be used to identify clouds of different types and ages (I. Koren, private communication, 2009) and we expect it to be especially helpful in distinguishing between water and ice clouds as well as between polluted and clean environments. These results will be reported elsewhere.

[16] Spectral invariant behavior found in the transition zone between cloudy and cloud-free areas is surprising. In vegetation canopy, linear relationships between some algebraic combinations of measured reflectance, transmittance and absorbance of shortwave spectra have recently been



**Figure 4.** Spectral-invariant functions  $a(t)$  for many wavelengths co-plotted with normalized zenith radiance  $I(t, \lambda)$ . 18 May 2007 case of Figure 1.

observed and physics behind such phenomena have been documented [Panferov *et al.*, 2001, Huang *et al.*, 2007, Knyazikhin *et al.*, 1998]. The slope and intercept of the relationships are indicative of canopy micro- and macro-properties [Smolander and Stenberg, 2005; Lewis and Disney, 2007]. Search for similar phenomena in clouds and understanding of their physics are essential to better understanding of cloud observations since it allows separation of the structural and radiometric components of measured signal.

## 5. Summary and Discussion

[17] Analyzing high spectral and temporal resolution Shortwave Spectrometer (SWS) measurements of zenith radiance led to the surprising discovery of a wavelength-independent function  $a(t)$  of time  $t$  that characterizes the transition zone between cloudy and cloud-free areas. The transition zone spectrum is fully determined by  $a(t)$  and zenith radiances spectra of clear and cloudy sky areas. This finding is essential to both remote sensing and modeling communities. For example, high temporal resolution zenith radiance measurements in the transition zone can be approximated by low temporal resolution spectral data using a simple linear interpolation between measurements at cloudy and cloud-free areas.

[18] If spectral-invariance of the transition zone is established, the behavior of  $a(t)$  can be studied using only two wavelengths. For example, the high temporal resolution 2-channel (673 and 870 nm) ARM Narrow Field of View (2NFOV) radiometer [Marshak *et al.*, 2004; Chiu *et al.*, 2006] is a perfect tool to derive spectrally independent characteristics of cloud edges and this application will be reported elsewhere.

[19] Koren *et al.* [2009] and Jiang *et al.* [2009] have recently studied the transition zone using high-resolution Large Eddy Simulation output. They found much sharper cloud edges in polluted environments compared to their cleaner counterparts. They suggested that for small cumulus clouds aerosols enhance evaporation of the regions with low cloud liquid water, i.e., there is stronger evaporation at cloud edges in the case of polluted clouds. This leads to a more rapid decrease in liquid water path with distance from cloud. Thus, the spectral invariant function  $a(t)$  may serve as a good characteristic of pollution in a field with small cumulus clouds. Moreover, if the transition zone between *ice* clouds and cloud-free area is longer and smoother than between *water* clouds and cloud-free area, the spectral invariant function  $a(t)$  may help determine the thermodynamic phase.

[20] As the very next step, we will check the spectral invariant behavior of the normalized to clear sky zenith radiance in the transition zone using a simple 1D radiative transfer model. We will check numerically how sensitive the spectral invariant function  $a(t)$  to SZA and to variations in spectral surface albedo, as well as to degrees of aerosol loading. Then we apply a 3D radiative transfer model [e.g., Pincus and Evans, 2009] at different wavelengths to large-eddy simulations of cumulus clouds [Jiang *et al.*, 2009] for clean and polluted atmospheres.

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