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## THOUSAND AVIATOR STUDY: NONVESTIBULAR CONTRIBUTIONS

## TO POSTURAL EQUILIBRIUM FUNCTIONS\*

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## SUMMARY PAGE

## THE PROBLEM

To delineate other than vestibular sources of variance in postural equilibrium functioning, as defined by a new quantitative ataxia test battery, in a large group of middle-aged men, and to lay the groundwork for a longitudinal study of changes in such functioning in relation to chronological age and pathological processes.

### **FINDINGS**

Twenty-eight of thirty-eight selected nonvestibular measures had significant relationships (P < .05) with one or another of three postural performance criteria. Implications of these and other findings for future research with the population studied as well as for vestibular and gerontological research are indicated.

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## INTRODUCTION

The history of testing the vestibular "sense of balance" (postural equilibrium) by means of one or another clinical or laboratory procedure as exploited within the field of aviation medicine has been almost exclusively concerned traditionally with the selection or grounding of aviators. A broad, modified extension of this tradition evolved from challenging, vestibular-generated problems in the field of space medicine. In response to this challenge a new quantitative ataxia test battery was developed (15). Thus far several indications of its applicability in the field of space medicine have been demonstrated (7,8,13,15,16,19,27,28,34,48).

The present undertaking is an outgrowth of this postural test development. It is longitudinally concerned with the postural test capabilities of present and former Naval and Marine Corps aviators. The study has the general purpose of assessing the postural equilibrium test (PET) functioning of a group of middle-aged men so as to lay the groundwork for studying changes in this functioning as influenced by aging in juxtaposition with other influences complicated and uncomplicated by pathological processes.

Specific purposes of this preliminary inquiry were to: 1) delineate other than vestibular sources of PET variance; 2) compare predictability from single nonvestibular correlates (Pearson r's) with predictability from combined nonvestibular correlates of the criteria (multiple R's); 3) attempt separation of peripheral from more central influences which may be diagnostic or prognostic of postural disequilibrium, and from the postural measures determine postdictability of nonvestibular measures; 4) obtain normative performance standards on a large group of homogeneous middle-aged males whose medical status is well known; and 5) explore the utility of the new PET battery as a methodological tool for gerontological research.

### SUBJECTS

## PROCEDURE

Five hundred of a larger number (675) of medically re-examined survivors among a original group of 1056 men composed primarily of aviation cadets and including flight instructors in the Navy Flight Program in 1940-1941 (26,37) served as subjects during their most recent (1963-1965) evaluation (24,29,35-37). The surviving members of this longitudinal cardiovascular study, termed the "Thousand Aviator Study," had been pre-viously re-evaluated in 1951 (17,39) and again in 1957 (20-22, 38).

The age range was 42–57 years, with 98.4 per cent in the range of 43–53 years and 95 per cent in the range of 43–50 years.

## APPARATUS AND METHOD

## Nonvestibular Measures

From a pool of over 100 major nonvestibular measures available, 38 were selected as being meaningful, prospective correlates of postural equilibrium functioning. These included age, fifteen anthropometric (body size and shape) measures, nine cardiovascular, eight laboratory, and five personal-social measures (Table I). Complete descriptions of these and all other measures constituting the Thousand Aviator Test Battery appear elsewhere (36, 37).

## Postural Equilibrium Test Battery

The new postural test procedures (15) have the combined advantages of brevity, objectivity, discriminative power over a wide age and ability range, multidimensionality, and normative standardization not apparent in traditional ataxia testing nor in more elaborate but cumbersome laboratory-experimental testing.

Following a combination of written and verbal instructions and pertinent demonstrations by the examiner, all subjects undertook the three distinct tests comprising the battery in the following sequence: 1) walking with eyes open (Walk H/T Test) on a  $\frac{3}{4}$ inch by 8-foot rail, scored as number of steps (maximum of five steps per trial); 2)standing with eyes open (Stand E/O Test) on the  $\frac{3}{4}$ -inch-wide rail, scored to the nearest second (maximum of 60 seconds per trial); and 3) standing with eyes closed (Stand E/C Test) on a  $2\frac{1}{4}$  by 30-inch rail, also scored to the nearest second with a maximum of 60 seconds per trial. The best three of five trials constituted the scoring of each test. Maximum scores obtainable were 15 (steps) on the Walk H/T, and 180 (seconds) on the Stand E/O and Stand E/C tests.

The body position required of all subjects was: a) body erect or nearly erect, b) arms folded against chest, and c) feet, shoes on, tandemly aligned heel-to-toe.

## RESULTS

## Zero-Order Correlations

Nineteen (50 per cent) of the nonvestibular measures had significant relationships (P < .05) with one or more of the three performance criteria (Table I). Ten such measures correlated significantly with all three postural tests. In descending ranked order of magnitude (of the average r's) these were: abdominal circumference, endomorphy, weight, bi-iliac diameter, lean body mass, age, cigarette years, heart rate immediately after exercise, body fat, and diastolic blood pressure supine basal. Three such measures correlated significantly with one or another pair of PET performances, these being weight standing, relative weight, and cigarette amount. The remaining six significant correlates were confined to single postural tests. These were: height sitting, mesomorphy, systolic blood pressure supine basal, heart rate, fundus, and alcohol amount.

## Table !

Correlations Between Thirty-Eight Selected Nonvestibular Measures from the "Thousand Aviator"	
Test Battery and the Postural Equilibrium Test Battery ( $N = 500$ )	

Walk H/T	Stand E/O	Stand E/C
<u></u>		r 
-169	-174	-239
002	-020	-058
-108	-159	-171
050	000	055
029	024	021
		-231
		-122
	-140	-122
		-204
		-189
		036
		-020
		-236
		-164
		-038
		-058
		-097
		-028
		010
		-007
		-094
		080
		-101
		-042
		000
		012
		036
		015
		037
		-046
		-035
		043
		-106
		-136
		-088
-034	-019	-017
040	069	025
-090	-132	-208
	294	257
294		474
23/		-
074 088	092 104	.115 .138 .148
		.01 .002 .001
10 .05	. 04 . 02	.01 .002 .001
	$\begin{array}{c} -\\ -169\\ 002\\ -108\\ 050\\ 029\\ -093\\ -073\\ -110\\ -091\\ -196\\ 146\\ -034\\ -060\\ 020\\ 013\\ -087\\ -125\\ -054\\ -007\\ -113\\ -152\\ 009\\ 044\\ 039\\ -027\\ -014\\ 045\\ -025\\ -005\\ -014\\ 045\\ -025\\ -005\\ -046\\ -065\\ -011\\ -094\\ -095\\ -028\\ -034\\ -034\\ -039\\ -027\\ -014\\ 045\\ -025\\ -005\\ -046\\ -065\\ -011\\ -094\\ -095\\ -028\\ -034\\ -034\\ -039\\ -027\\ -014\\ 045\\ -025\\ -005\\ -046\\ -065\\ -011\\ -094\\ -095\\ -028\\ -034\\ -034\\ -034\\ -039\\ -027\\ -014\\ 045\\ -025\\ -014\\ -065\\ -011\\ -094\\ -095\\ -028\\ -034\\ -034\\ -034\\ -039\\ -027\\ -014\\ -05\\ -014\\ -065\\ -011\\ -094\\ -095\\ -028\\ -034\\ -034\\ -039\\ -027\\ -014\\ -05\\ -014\\ -065\\ -011\\ -094\\ -095\\ -028\\ -034\\ -034\\ -05\\ -028\\ -034\\ -034\\ -05\\ -028\\ -034\\ -034\\ -05\\ -028\\ -034\\ -034\\ -05\\ -028\\ -034\\ -05\\ -028\\ -034\\ -05\\ -028\\ -034\\ -05\\ -028\\ -034\\ -05\\ -028\\ -028\\ -034\\ -05\\ -028\\ -028\\ -034\\ -05\\ -028\\ -028\\ -034\\ -05\\ -028\\$	$\begin{array}{cccccccccccccccccccccccccccccccccccc$

Noteworthy was the finding that five anthropometric measures had greater significance than age, and that five cardiovascular measures and three personal-social measures (amount and duration of cigarette smoking, and amount of alcohol consumed) were nearly as significant correlates of postural performances as age. Also of interest was the finding that none of the eight laboratory measures proved to be significant correlates of the PET functioning of the middle-aged men sampled.

In terms of the frequency of the significant nonvestibular correlates, the Walk H/T and Stand E/O performances were virtually nondifferentiated from Stand E/C performance. Similarly, Walk H/T performance was virtually nondifferentiated from the two standing test performances. This result is somewhat surprising in view of the appreciable distinction of each postural test shown by their low to moderate intercorrelations (Table I). However, in terms of the greatest magnitude of the nonvestibular correlates, there was a slight tendency in favor of Stand E/C performance.

Of special interest was the finding that one of the postural measures, Stand E/C, shared with one nonvestibular measure, arcus senilis, the distinction of having the most statistically significant relationship with age. Arcus senilis is frequently present after age 50.

Even within a highly restricted age range, an increasing negative influence of age upon PET battery performances was evidenced (Table II). Walk H/T discontinuities were apparent at age 43, and again at age 52. Stand E/O discontinuities appeared at age 45 and at age 51, whereas Stand E/C discontinuities, reflecting the greater sensitivity of this test to age (Table I), were shown at ages 43, 44, and 50. The greater variability at all ages of Stand E/O performance over Walk H/T performance and of Stand E/C performance over Stand E/O performance reflects the imperfectly equated performance difficulty levels inherent with the apparatus used, the greater difficulty of visual standing over visual walking, and the still greater difficulty of nonvisual standing over visual standing. Variability thus appears related to the magnitude of the underlying vestibular contributions to these hierarchically differentiated performance tasks.

## Multiple Regression Analysis

Multiple correlations (47) were obtained predicting each of the three performance criteria from the pool of thirty-eight selected independent variables (Table III).

With the Walk H/T criterion, seventeen correlates explained 13.25 per cent of the variance (R of .364). Nearly half of this variance was explained by only three variables-endomorphy, ectomorphy, and heart rate immediately after exercise. The additional predictors encompassed all of our classifications of measures, although these were predominantly anthropometric and cardiovascular.

# Table II

Postural Equilibrium Test Battery Scores by Age in 500 "Thousand Aviators"

		Percent		Postural Equilibrium Test Battery					
of Total		Wa <b>lk</b> H	Walk H/T Test Stand E/O Test				Stand E/C Test		
Age	N	<u>N</u>	Mean	S.D.	Mean	S.D.	Mean	S.D	
42	4	0.8	12.3	2.95	21.8	7.36	121.0	53.17	
43	23	4.6	10.7	2.51	<b>2</b> 5.0	21.83	78.6	63.47	
44	55	11.0	10.8	2.74	22.2	18.20	60.6	45.09	
45	7 <b>3</b>	14.6	10.8	2.81	18.4	12.41	50.0	39.45	
46	95	19.0	9.8	3.31	19.1	14.40	54 <b>.9</b>	49.30	
47	80	16.0	10.2	<b>3.</b> 17	18.7	10.72	51 <b>.2</b>	47.92	
48	63	12.6	10.9	3.14	17.6	8.00	43.3	31.35	
49	64	12.8	10.0	3.35	17.6	10.43	45. <b>9</b>	4 <b>2</b> .64	
50	22	4.4	10.1	2.61	17.7	1 <b>2.79</b>	39.2	42.60	
51	10	2.0	9.8	3.87	1 <b>2</b> .5	3.75	<b>2</b> 6.4	17.44	
52	2	0.4	7.5	6.50	23.0	10.00	24.0	1.00	
5 <b>3</b>	5	1.0	8.6	2.24	10.6	1.74	19.8	6.11	
54	1	0.2	12,0		22.0		24.0		
55	2	0.4	5.5	2.50	11.0	1.00	13.5	0.50	
56	0								
57	1	0.2	5.0		27.0		23.0		

## Table 111

Nonvestibular Correlates Cu	mulative Multiple R	Z Score Weights	
Walk H T Test Criterion			
Endomorphy	. 196	089	
Ectomorphy	.231	024	
Heart Rate Immediately After Exer	cis <b>e</b> .255	090	
Abdominal Circumference	.275	169	
Chronological Age	.288	084	
Height Sitting	. 298	.143	
ST Depression After Exercise	. 306	101	
Coronary Heart Disease	.315	.064	
Cholesterol	. 322	.084	
Cigarette Years	. 328	080	
Diastolic BP Supine Basal	. 334	089	
Fundus	.343	.077	
Ponderal Index	.349	139	
Military Status	.353	057	
Lean Body Mass	.357	139	
Ankle Diameter	.361	.075	
Mesomorphy	.364	.083	
Stand E 'O Test Criterion			
Abdomina Circumference	. 174	039	
Chronological Age	.211	121	
Ponderal Index	.244	394	
Heart Rate Immediately After Exer		129	
Endomorphy	.282	106	
Diastolic BP Supine Basal	.296	094	
Relative Weight	. 303	334	
Glucose 2-hour Post-Prand.	.310	077	
Cigarette Years	.317	067	
EEG Interpretation	.321	.063	
Heart Rate	.326	.093	
Dynamometer	.331	.061	
Calf Circumference	.334	.065	
Bi-iliac Diameter	.337	059	
itand E 'C Test Criterion			
Abdominal Circumference	.239	188	
Chronological Age	.305	201	
Height Standing	.362	252	
Cigarette Years	. 38 1	113	
Endomorphy	. 394	160	
Body Fat	.409	.167	
Ankle Diameter	.415	.083	
Log Atherogenic Index	.420	.070	
Fundus	.423	045	
Heart Rate Immediately After Exer		133	
Heart Rate	.433	.119	
Alcohol Amount	. 436	- 049	

Results of Wherry–Doolittle Regression Analysis for Prediction of PET Battery Performances From Nonvestibular Measures

With the Stand E/O criterion, fourteen variables explained 11.36 per cent of the variance (R of .337). Over one-half (52 per cent) of explained variance was accounted for by only three measures-abdominal circumference, age, and ponderal index. Eight of the additional predictors represented anthropometric and cardiovascular measures.

The largest R, .436, was found with the Stand E/C criterion. Eleven measures explained 19 per cent of the variance. One-half (49 per cent) of this variance was explained by only two measures --abdominal circumference and age. Seven of nine additional predictors represented anthropometric and cardiovascular measures.

The five top-ranking multiple R predictors, i.e., measures selected as correlates of all three performance criteria, were, in descending order of magnitude: abdominal circumference, age, endomorphy, heart rate immediately after exercise, and cigarette years.

Notably, five laboratory measures were included as multiple R predictors: ST depression after exercise and cholesterol (of Walk H/T performance), glucose two-hour postprandial and EEG interpretation (of Stand E/O performance), and log atherogenic index (of Stand E/C performance).

## DISCUSSION

Generally, the correlations obtained are underestimates of the true relationships, due primarily to the nonlinear distributions of the measures (24).

The best single nonvestibular correlate of postural test performance (r of -.239 between abdominal circumference and Stand E/C on a  $2\frac{1}{4}$  -inch-wide rail) explains only 5.7 per cent of criterion variance. While this implies some practical significance, this relationship lacks utility in the individual case.\* It was shown, however, that combinations of nonvestibular measures in multiple regression have considerable utility for post-dictive explanation of PET functioning. Moreover, in conjunction with the longitudinal aspects of the Thousand Aviator Study, these nonvestibular measures show promise for prediction of future PET performances and of changes in these performances.

The finding that body size and shape measures, as peripheral influences, generally had greater influence than other measures upon postural performances dramatizes the interference of biomechanical handicaps, such as the displacement of the center of gravity in overweight individuals, in maintaining postural equilibrium. The additive interaction of certain cardiovascular measures with advancing age coupled with a long history of cigarette smoking (25 years or more) negatively exaggerates these handicapping influences (24,35,43).

\*However, by virtue of the large N, the practical significance of correlation coefficients at levels below .250 in an earlier evaluation of blood pressures in this group has been cogently demonstrated (21,38).

It would be most interesting to replicate the present study in a large group of young, including student, aviators in the age range of 18 to 27 wherein certain combined negative anthropometric, cardiovascular, and personal-social influences on PET functioning observed in the present group of older counterparts might have different hierarchical importance in relation to a comparable range of age and other laboratory measures.

More inclusive attempts than the present to validate specific test-defined postural equilibrium functioning-dysfunctioning in nonvestibular terms would entail comprehensive otoneurological and vestibular psychophysiological evaluations and careful accountability of physical activity levels documented in terms of the expenditure of bodily resources available (1,2,4,15,23,25,30,32,33,40,43,44,46).

Insofar as the postural equilibrium test battery performances may be considered to represent neuropsychological or behavioral indices, present results in terms of the negative influence of age and of cardiovascular variables are commensurate with the observations of other investigators (3-6, 41,42,44-46).

To the extent that the selected nonvestibular measures may be considered as diagnostic or prognostic indicators of "physiological aging," or functional age (4-6,30,31, 40,44-46), the present approach to the study of postural equilibrium holds promise of serving as a useful "physiological age index" with applicability in gerontological research.

Preliminary findings in middle-aged males indicate that individual differences in PET functioning are still largely attributable to vestibular psychophysiological test and behavioral measures, to quantifiable experimentally induced vestibular effects in vestibular normal individuals, and to clinical vestibular (otoneurological) signs rather than to single or analytically combined nonvestibular measures. In normals, the vestibular correlates found to date include: 1) bizarre vestibular stimulation effects of gravitoinertial force environments (12, 13, 15, 16, 19, 34); 2) susceptibility to motion sickness (15); 3) threshold caloric response levels (14, 15); 4) proficiency of trampoline performance (15); 5) experimentally induced positional alcohol nystagmus and blood alcohol levels (9, 10); and 6) related clinical-type ataxia test responses (9-12, 14, 15, 33). Clinically, individuals with varying amounts of vestibular defects have readily been differentiated from normals by their pronounced postural disequilibrium or ataxia (10-15, 18, 33).

Though it is valuable to investigate the hierarchical importance of interacting vestibular and nonvestibular influences on PET performances, the ultimate value of this approach lies in the diagnostic and prognostic information it provides about a complicated vestibular system interacting with a variety of observable and nonobservable body factors and processes.

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