# Defects in the Compound $\mathrm{Bi}_{2} \mathrm{Te}_{3}$ Caused by Irradiation with Protons 

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#### Abstract

Single crystal foils of the compound $\mathrm{Bi}_{2} \mathrm{Te}_{3}$ were irradiated with 7.5 MeV protons at two levels of flux to the same integrated dose of $5.5 \times 10^{18}$ protons $/ \mathrm{cm}^{2}$. The specimens were examined by transmission electron microscopy. After irradiation with a flux of $1.8 \times 10^{13}$ protons $/ \mathrm{cm}^{2}-\mathrm{sec}$, clusters of point defects ranging in size from approximately 50 to 250 A.U. were observed. These clusters annealed out during examination in the electron microscope. After irradiation with a flux of $3.0 \times 10^{13}$ protons $/ \mathrm{cm}^{2}-\mathrm{sec}$, clusters of interstitial atoms and clusters of vacancies could be distinguished. Hexagonal areas were observed after irradiation with the higher flux. Irradiation also promotrd the rearrangement of dislocations. Segments of dislocations intersected the surface of the specimens and dislocations acquired a jagged appearance.


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## I. INTRODUCTION

The effects of irradiation with gamma rays, electrons, neutrons and protons on the electrical properties of the compound $\mathrm{Bi}_{2} \mathrm{Te}_{3}$ have been investigated. (1-7) These investigations show that the transport properties of $\mathrm{Bi}_{2} \mathrm{Te}_{3}$ are sensitive to the defects generated during irradiation. It has also been found that some of the defects are mobile at or slightly above room temperature. For example, heating to $50^{\circ} \mathrm{C}$ changed the Hall coefficient and electrical resistivity of specimens of $\mathrm{Bi}_{2} \mathrm{Te}_{3}$, which had been exposed to gamma radiation; these changes were attributed to the dissociation of interstitial clusters and the migration of interstitials. (2)

The work reported here is part of an investigation of the effects of irradiation with protons of 7.5 MeV energy on the compound $\mathrm{Bi}_{2} \mathrm{Te}_{3}$. In an earlier paper, the value of the number of defects obtained from the changes in the electrical properties was more than an order of magnitude smaller than values calculated from theories of radiation damage. (7) The annealing out of defects during irradiation was considered to be one of the reasons for this difference. If the defects can migrate and a sufficient supersaturation of point defects is generated by the irradiation, defect clusters should be formed. Transmission electron microscopy is suitable for the direct observation of -such clusters. It also can provide information about the structural relations of the clusters and the matrix and, under certain conditions, about the types of point defects forming the cluster.

The structure of the compound $\mathrm{Bi}_{2} \mathrm{Te}_{3}$ is rhombohedral; (8) it will be analyzed here in terms of the hexagonal system. The length of the
a-axis is 4.3835 A.U. and that of the c-axis 30.487 A.U. (9) The compound has a layer structure, in which the layers are stacked along the c-axis. Units of five layers are repeated; the stacking sequence of such a unit is:

$$
-\mathrm{Te}(1)-\mathrm{Bi}-\mathrm{Te}(2)-\mathrm{Bi}-\mathrm{Te}(1)-
$$

The bonding scheme of $\mathrm{Bi}_{2} \mathrm{Te}_{3}$ consists of van der Waals bonding between atoms in neighboring $\mathrm{Te}(1)$ layers, mixed covalent and ionic bonding between atoms in neighboring $\mathrm{Te}(1)$ and Bi layers, and covalent bonding between atoms in neighboring $\mathrm{Te}(2)$ and Bi layers. (10) Because of the weak bonding between $\mathrm{Te}(1)$ layers, the compound cleaves easily.

The compound $\mathrm{Bi}_{2} \mathrm{Te}_{3}$ has been investigated by transmission electron microscopy. Dislocations with a Burgers vector $<\overline{1} \overline{1} \infty$ have been observed.

In the solid solution alloys of $\mathrm{Bi}_{2} \mathrm{Te}_{3}$ and $\mathrm{Sb}_{2} \mathrm{Te}_{3}$ in the range between pure $\mathrm{Sb}_{2} \mathrm{Te}_{3}$ and 40 pet $\mathrm{Sb}_{2} \mathrm{Te}_{3}-60$ pet $\mathrm{Bi}_{2} \mathrm{Te} e_{3}$, dislocation loops have been observed on basal planes; these loops have been attributed to deviations from stoichiometry. ( 12,13 ) Although deviations from the stoichiometric composition occur in pure $\mathrm{Bi}_{2} \mathrm{Te}_{3}$ and are responsible for its p-type behavior, ${ }^{(14)}$ coalescence of these point defects has not been observed.

## II. EXPERIMENTAL PROCEDURE

Foils of the compound $\mathrm{Bi}_{2} \mathrm{Te}_{3}$ suitable for transmission electron microscopy were prepared bycleaving a single crystal with Scotch tape. (ll) The foils were placed between folded gold grids. Specimens were examined in a Siemens Elmiskop I microscope or a Hitachi HU-Il microscope before irradiation.

The specimens held between the folded gold grids were placed on the water-cooled target support shown in Fig. 1. An aluminum foil, $5 \times 10^{-4} \mathrm{~cm}$ thick, covering the grids kept them firmly in place. After the assembled target was placed in the internal beam chamber of the cyclotron, the chamber was evacuated. It should be emphasized that the specimens were not cooled uniformly during irradiation because the areas in direct contact with the metal in the grids were cooled more efficiently than areas facing the openings.

From the changes in the Hall coefficient and electrical resistivity of the specimens irradiated in a similar manner, it was calculated that a proton in a centimeter of travel generates approximately 580 defects. In order to obtain a sufficiently high concentration of point defects, the integrated dose used in the irradiation of specimens for examination by electron microscopy was $5.5 \times 10^{18}$ protons $/ \mathrm{cm}^{2}$ and the level of the flux was more than an order of magnitude higher than that used in irradiating specimens for the electrical measurements. (7) As explained in the earlier paper, the flux and the integrated dose may be consistently in error by $20-30$ pct.

Two batches of several specimens each were irradiated at two levels of flux to the same integrated dose. During irradiation the specimens were oriented so that their c-axis was parallel to the proton beam. After irradiation, the specimens were held at $78^{\circ} \mathrm{K}$ for at least seven days to allow the induced radioactivity to decay. The specimens were then examined by electron microscopy.

The diffraction pattern of the selected area and the bright-field image corresponding to this area were rotated with respect to each other
through the required angle to eliminate the rotation of the image relative to the diffraction pattern. (15) The rotation includes an $180^{\circ}$ inversion which arises through differences in intermediate lens excitation between the diffraction and imaging operations. (16)

## III. RESULTS AND DISCUSSION

The types of defect clusters observed after the irradiation were different for the two levels of flux. This was due to the difference in the concentrations of point defects generated per unit time and to the difference in the temperature of the specimen during irradiation.

The preparation of foils for transmission electron microscopy by the cieavage technique introduced a large number of dislocations. The axrangement of these dislocations into networks was similar to that already reported in the literature. ${ }^{(11)}$ Since in the presence of excess vacancies and interstitials generated by the irradiation, edge dislocations can move nonconservatively, some rearrangement was expected and was in fact observed.

## A. Point Defects

Irradiation with a Flux of $1.8 \times 10^{13}$ Protons $/ \mathrm{cm}^{2}$-sec - Defect clusters ranging in size from 50 to $250 \mathrm{~A} . \mathrm{U}$. were visible in the electron microscope. Such clusters appear as dark spots in Fig. 2(a)-(d). The foils were so oriented in the electron microscope that the c-axis of the specimen was parallel to the electron beam. In this orientation, some of the clusters had their longest dimension parallel to the $\langle 10 \overline{1} 0\rangle$ and $\langle 11 \overline{2} 0\rangle$ directions. In Fig. 2(a) some spots which are aligned in the $\langle 11 \overline{2} 0\rangle$ direction are marked A and some spots aligned in the $\langle 10 \overline{1} 0\rangle$
direction are marked B. The defect clusters were observed to anneal out in the microscope, presumably because of the temperature rise caused by the electron beam. The sequence of electron micrographs in Fig. 2(a)-(d) illustrates the progressive annealing out of the clusters.

The shape of the defect clusters is determined by the type of the bonds present in the compound. Because of the directional nature of the predominating covalent bond in the compound $\mathrm{Bi}_{2} \mathrm{Te}_{3}$, the smaller clusters may be expected to have shapes other than spherical. The alignment of the major axis of these clusters in the $<10 \overline{1} 0>$ and $<11 \overline{2} 0>$ directions is probably connected with this factor. If the size of a cluster increases, it may lower its energy by collapsing into a dislocation loop. In the compound $\mathrm{Bi}_{2} \mathrm{Te}_{3}$ vacancy clusters can collapse into dislocation loops lying on three of the six $\{20 \overline{2} 9\}$ planes. In loops lying on these planes the largest number of bismuth-tellurium bonds broken are in the plane of the loop and the disruption of the structure is least severe. If a Shockley partial dislocation is nucleated on the periphery of such a loop, the broken bonds on one side of the loop can combine with those on the other side. The projection of such a circular loop on the basal plane would be an ellipse with a major axis along the $<11 \overline{2} 0>$ direction.

Several dislocation loops can be seen in Fig. 3. From measurements of the lengths of the major and minor axes of the ellipse, the angle of inclination of the plane of the loop to the basal plane can be estimated. This angle was approximately equal to the angle between the $\{20 \overline{2} 9\}$ and the basal planes. Loops of the type shown in Fig. 3 appeared less frequently than the dark spots.

Irradiation with a Flux of $3.0 \times 10^{13}$ Protons $/ \mathrm{cm}^{2}-\mathrm{sec} . \quad$ The defect clusters observed as dark spots after irradiation with a flux of $1.8 \times 10^{13}$ protons $/ \mathrm{cm}^{2}-\mathrm{sec}$ were not seen after irradiation with the higher flux. They probably had annealed out during irradiation because of the increase in temperature caused by the higher flux.

The defect clusters present after irradiation at the higher flux are shown in Fig. 4. The defect clusters marked I in Fig. 4(a) show a line of no contrast perpendicular to the diffraction vector. The Iine of no contrast satisfies the condition $\vec{g} \cdot \vec{b}=0$, where $\vec{g}$ is the diffraction vector and $\vec{b}$ is the Burgers vector. (17) Rotating the diffraction vector causes a corresponding rotation of the line of no contrast. The line of symmetry cutting across the pairs of crescents in Fig. 4(a) and (b) is parallel to the diffraction vector and indicates that the strain field of the defect is symmetrical about the c-axis of the specimen. (18)

As the specimens were much thicker than the extinction distance, dynamical diffraction gave rise to the observed contrast effects. Defect clusters lying close to a surface can be identified by dark field microscopy. (18) Fig. 4(c) shows a dark field image of the same area as that in Fig. $4(b)$; the spots marked $I$ and $V$ are identified as clusters of interstitials and clusters of vacancies.

The interstitial clusters marked $I$ in Fig. 4 are not dislocation loops, but may be considered as coherent precipitates. A dislocation loop lying on the basal plane with a Burgers vector parallel to the c-axis does not produce diffraction contrast when the electron beam is parallel to the c-axis. The interstitial clusters shown in Fig. 4
must therefore have components of the Burgers vector in the plane of the foil. Since the contrast effects of the interstitial clusters are symmetrical about the c-axis, the clusters are not prismatic dislocation loops. The interstitial atoms present in the clusters must therefore modify the bonding scheme locally so as to form precipitates, which are coherent with the lattice.

Pairs of loops of the type shown in Fig. 3 and agglomerations of defect clusters as that marked $X$ in Fig. 4 were occasionally observed. Both types of observations probably arise from the interaction of stresses around the defect clusters causing them to migrate towards each other. (19)

In some areas of specimens associated light and dark regions and small light regions having hexagonal symmetry were seen and are illustrated in Fig. 5. If the beam current of the electron microscope was slowly increased so as to raise the temperature of the specimen, the hexagonal areas (marked B in Fig. 5) were observed to grow. The micrographs in Fig. 5(a)-(c) record such a sequence. Small light spots (marked C) were also observed to grow into larger areas with hexagonal symmetry. Some of the hexagonal areas assumed triangular shapes. An example of this is the area marked A in Fig. $5(a)$, (b) and (c). The sides of the hexagonal and the triangular areas were parallel to one of the $\langle 11 \overline{2} 0\rangle$ directions.

The associated light and dark regions and the light regions may have been due to a combination of the high temperature and the defects introduced by the protons near the surface, which led to local evaporation of material because of the high vacuum. The growth of these
regions observed in the electron microscope may have been due to further evaporation from the edge created by the initial evaporation. That irradiation was necessary for these effects was confirmed by heating unirradiated specimens in high vacuum.

## B. Dislocations

The arrangement of dislocations in $\mathrm{Bi}_{2} \mathrm{Te}_{3}$ is affected by the point defects generated and the temperature rise of the specimen during irradiation. Examples of these effects can be seen in Figs. 2, 3, 4 and 6.

In irradiated specimens short segments of some dislocation lines showed no diffraction contrast. An example is the segment of the dislocation line marked S in Fig. 6. This micrograph also illustrates the effect of irradiation on a dislocation network. Some of the dislocations forming the network intersect the surface of the specimen at such points as $P$. During examination in the microscope, dislocations, one end of which intersected the surface, reduced their length. An example is the dislocation marked $D$ in the sequence of micrographs in Fig. 2(a)-(d). Similar observations of dislocation movement in the electron microscope have been reported for unirradiated specimens of $\mathrm{Bi}_{2} \mathrm{Te}_{3}$. ${ }^{\text {(ll) }}$

In the unirradiated specimens the dislocation lines were smooth and generally tended to be parallel to each other. After irradiation these lines became jagged although they remained approximately parallel. This can be seen in Figs. 4 and 6. Nonuniform cooling of the specimens during irmadiation set up stresses which presumably caused the dislocations to move. On completion of the irradiation and removal of
the stresses due to thermal gradients the dislocations tended to move back toward their original positions. However, the defect clusters generated by the irradiation and the presence of jogs on the dislocation lines pinned the dislocations and gave rise to their jagged appearance.

## IV. SUMMARY AND CONCLUSIONS

The defect clusters formed by irradiating specimens of the compound $\mathrm{Bi}_{2} \mathrm{Te}_{3}$ with 7.5 MeV protons have been examined by transmission electron microscopy. The effect of irradiation on the dislocations has also been investigated.

After irradiation with a flux of $1.8 \times 10^{13}$ protons $/ \mathrm{cm}^{2}-\mathrm{sec}$ to an integrated dose of $5.5 \times 10^{18}$ protons $/ \mathrm{cm}^{2}$, clusters of point defects ranging in size from 50 to 250 A.U. were observed. These clusters annealed out during examination in the electron microscope. Some of the clusters were aligned along the <10피 0$\rangle$ or the $\langle 11 \overline{2} 0\rangle$ directions.

After irradiation with a flux of $3.0 \times 10^{13}$ protons $/ \mathrm{cm}^{2}$-sec to an integrated dose of $5.5 \times 10^{18}$ protons $/ \mathrm{cm}^{2}$, clusters of interstitial atoms and clusters of vacancies could be distinguished. The interstitial clusters probably were coherent precipitates.

Areas with hexagonal shapes in which the sides were parallel to the $\langle l I \overline{2} 0\rangle$ direction were observed in some parts of the specimens irradiated with a flux of $3.0 \times 10^{13}$ protons $/ \mathrm{cm}^{2}$. During examination in the electron microscope at high electron beam currents, these areas were observed to grow and some changed from hexagonal to triangular shapes. The areas are tentatively explained by local evaporation of material at defects at the surface at the temperature and vacuum during irradiation in the cyclotron.

Irradiation promoted dislocation rearrangement. Segments of dislocations intersected the surface of the specimens and dislocations acquired a jagged appearance because of pinning by defect clusters generated during irradiation.

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Fig. 2. Defect clusters in proton-irradiated $\mathrm{Bi}_{2} \mathrm{Te}_{3}$. Electron micrographs taken after approximately 30 second intervals. Areas marked $A$ and $B$ show some of the defect clusters aligned along the $\langle I 1 \overline{2} 0\rangle$ and $\langle 10 \overline{1} 0\rangle$ directions, respectively. The dislocation marked $C$ remains unchanged; the dislocation marked $D$ reduces its length. Length of the mark: 2,000 A.U. Flux level: $1.8 \times 10^{13}$ protons/ $\mathrm{cm}^{2}$-sec. Integrated dose: $5.5 \times 10^{18}$ protons $/ \mathrm{cm}^{2}$.


Fig. 3. Dislocation loops in proton-irradiated $\mathrm{Bi}_{2} \mathrm{Te}_{3}$. The major axis of the loops is aligned along the $\langle 11 \overline{2} 0\rangle$ direction. Length of the mark: 2,000 A.U. Flux level: $1.8 \times 10^{13}$ protons $/ \mathrm{cm}^{2}-\mathrm{sec}$. Integrated dose: $5.5 \times 10^{18}$ protons $/ \mathrm{cm}^{2}$.

(a)

(b)

(c)

Fig. 4. Defect clusters in proton-irradiated $\mathrm{Bi}_{2} \mathrm{Te}_{3}$. Electron micrographs taken for two diffraction vectors. Length of the mark: 2,000 A.U. Flux level: $3.0 \times 10^{13}$ protons $/ \mathrm{cm}^{2}-\mathrm{s}=\mathrm{c}$. Integrated dose: $5.5 \times 10^{18}$ protons $/ \mathrm{cm}^{2}$.
(a) Bright-field image corresponding to a reflection from ( $11 \overline{2} 0$ ) type planes. The line of no contrast of the defect clusters marked I is normal to the diffraction vector.
(b) Bright-field image corresponding to a reflection from (2 $\overline{1} \overline{1} 0$ ) type planes. The line of no contrast in the defects marked I has rotated so that it is norma $\perp$ to the diffraction vector.
(c) Dark-field image of (b). Note that the light side of the image from the clusters is in the opposite direction for the defect clusters marked $I$ and $V$.


Fig. 5. Growth of hexagonal areas during observation in the electron microscope in proton-irradiated $\mathrm{Bi}_{2} \mathrm{Te}_{3}$. Regions marked $A, B$ and $C$ are growing with time. In region marked $A$, the hexagonal areas have assumed triangular shapes. The sides of the hexagonal and triangular areas are parallel to $\langle 11 \overline{2} 0\rangle$ directions. Length of the mark: 2,000 A.U. Flux level: $3.0 \times 10^{13}$ protons/ $\mathrm{cm}^{2}$-sec. Integrated dose: $5.5 \times 10^{18}$ protons $/ \mathrm{cm}^{2}$.


Fig. 6. Effect of proton irradiation on dislocation and dislocation networks. At the segment marked $S$, the dislocation line is out of contrast. Length of the mark: $2,000 \mathrm{~A} . \mathrm{U}$. Flux level: $1.8 \times 10^{13}$ protons $/ \mathrm{cm}^{2}-$ sec. Integrated dose: $5.5 \times 10^{18}$ protons $/ \mathrm{cm}^{2}$.

