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INIERIM REPORT
OPTIMUM RENDEZVOUS GUIDANCE STUDY
June 1968

## Contract NAS8-21146 <br> by <br> W. Trautwein <br> and <br> J. Waldvogel

APPROVED B


## FOREWORD

This report was prepared under Contract NAS8-21146 for the Astrodynamics and Guidance Theory Division, Aero-Astrodynamics Laboratory of the NASA Marshall Space Flight Center.

## SUMMARY

This report summarizes LMSC/HREC work in the area of optimum rendezvous guidance. It gives a general review of a simple guidance scheme for minimum fuel rendezvous with two finite burns between a powered intercepting space vehicle in an arbitrary parking orbit and a target vehicle in an essentially coplanar circular orbit.

In Sections 2 through 5 the present form of the guidance concept is outlined as submitted to the AIAA for presentation at the 1.968 AIAA Guidance and Control Conference.

Additional details of the studies performed under the contract are given ir. References 3 through 9.

Major accomplishments during the reporting period were:

- Development and computer check-out of a rapidly converging iteration method that is used for optimum flight scheduling before the first burn and for optimum first burn guidance.
- Extension of the dual phase plane method to long terminal burn times, whereas in its original form application of the method was limited to short burns where the radii of interceptor and target from the earth's center were approximately equal.
- Development, checkout and first utilization of a digital computer program for simulation of complete rendezvous missions with the present guidance scheme used onboard the interceptor.


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| R |  | Orbital radius of the target $T$ |
| :---: | :---: | :---: |
| $\omega$ |  | Argular velocity of T |
| $\underset{p}{v_{1}} v_{T}$ |  | Polar angle of the interceptor $I$, and $T$ respectively ( $R \cos \\|_{T}$, $R \sin V_{T}$ ) position of $T$ |
| $\mu$ |  | Gravitational parameter of the earth |
| $\stackrel{\rightharpoonup}{p}_{\text {T }}$ | = | $(\xi, \eta), \rho=\|\vec{\rho}\|$ position $\}$ of 1 in geocentric |
| \$ | = | $(U, V), s=\|\vec{S}\|$ velocity $\}$ inertial coordinates |
| $\gamma$ |  | Flight path angle of I |
| $\delta$ |  | Separation angle between $I$ and $T$ |
| $\phi$ |  | Thrust direction with respect to $\xi$-axis |
| $\chi$ |  | Thrust direction with respect to zenith |
| $\varphi, \psi$ |  | Values of $X$ at beginning and end of the mission, respectively |
| m |  | Mass of I |
| t |  | Current time |
| $\sigma, \tau$ |  | Durations of first and second burn, respectively |
| $\Sigma$ | $=$ | $\sigma+\tau$ total burn duration |
| $\lambda$ |  | Mass flow rate |
| ${ }^{\nu}{ }_{\chi}$ |  | Exit velocity of the engine of I |


| $\tau_{x}=$ | $m_{0} / \lambda$ burn time limit |
| :---: | :---: |
| $\nu(t) \quad=$ | 0 or 1 switching function |
| $\boldsymbol{\omega}$ | Mean angular velocity of I during coast |
| $\Omega$ | Polar angle of the pericenter of I's orbit |
| x, y, z | Coordinates of interceptor relative to target |
| T | Relative slant range vector |
| 古 | Relative velocity of interceptor |
| t | Thrust per unit mass of interceptor |
|  | $\left(2 / \omega^{2}\right) \vec{f}$ normalized thrust per unit mass |
| $t^{*}=\omega t$ | Normalized time |
| ('), ( )' | Derivatives with respect to $t$ and $t^{*}$, respectively |
| E(t) $: r(t), k(t)$ | Criteria for optimal second burn |
| $K_{f}, K_{\phi}$ | Gain factors for second burn control laws |
| $\varepsilon_{f}, \varepsilon_{\phi}$ | Admissible deviations during second burn |
| Subscripts |  |
| 0 | Beginning of the rendezvous mission = beginning of the first burn. |
| 1 | End of first burn, beginning of coast |
| 2 | End of coast, beginning of second burn. |
| 3 | End of second burn, rendezrous. |
| T | Target |

## LMSC/HREC A791387

## Section 1 <br> INTRODUCIION

A wide variety of future space operations including rescue missions to orbiting space vehicles require fast reaction onboard guidance schemes that can steer $\varepsilon$ powered interceptor $I$ to an unpowered target vehicle $T$ in optimal or near-optimal manner. Such computation schemes should be able to rapidy schedule and start the intercept mission within seconds after receipt of the target ephemeris if the rendezvous is within the propision capability of the interceptor. As target acquisition improves during intercept it is desirable that the scheme operates in an adaptive manner where all onboard computations are based on the most recent target and interceptor ephemeris data.

The present paper describes a new guidance scheme for an important particular case of the general rendezvous problem: the adaptive minimum fuel guidance of a variable mass interceptor from any arbitrary elliptical orbit to a turget in a coplanar circular orbit.

Most of the proposed onboard rendezvous guidance schemes are based on impulse approximations and classical orbital mechanics. If finite burn durations are considered, optimal rendezvous trajectorles may be obtained by applying calculus of variations or modern control theory (Pontryagin). However, the computational load for these methods mostly excludes an implementation onboard.

Rigorous optimal trajectories usually are characterized by a finite number of discrete time interval. of full thrust with coast phases in between. In this paper the simplest case providing a sufficient amount of generality is considered: the burn-coast-burn rendezvous.

For the final guidance it is essential to use relative coordinates between target and interceptor. Only in such a system it is possible to correct errors encountered during the mission, since the control law can be based on measurements of the actual relative position and velocity. Furthermore, the relative coordinates and velocities becone small at rendezvous and therefore allow linearization of the equations of motion in the terminal phase. A new technique, the Dual Phase Plane Method (Reference 1), is presented and applied to the second burn control problem. Theoretical insight into the rende\%vous as well as very effective and simple guidance laws are obtained in the sequel.

In the first burn this technique can no longer be applied. Furthermore, in addition to guidance laws predictions for total fuel consumption, location of rendeavous, etc. (flight scheduling) are needed. The optimization scheme for obtaining this information is based on the simplifying assumption of comparatively short burn times, which allows using average values of the thrust direction during the burn phases.

## Section 2

THE FIRST BURN
Let the taryet $I$ of negligible mass move on a circular orbit of jadius $R$ about the center $\sigma$ of the earth, which has the gravitational parameter $\mu$. The constant angular velocity $\omega$ of $T$ is related to $R$ by Kepler's third law

$$
\begin{equation*}
\omega^{2} R^{3}=\mu . \tag{2.1}
\end{equation*}
$$

The target $T$ is supposed to he rendezvoused by the interceptor $I$ of initial mass $m_{0}$ (negligible compared to the mass of the earth), flying along the trajectory $\vec{\rho}(t)=\{\boldsymbol{\xi}(t), \eta(t)\}$. The initiol configuration is given by the distance $\rho_{0}$ of $I_{0}$ from $\sigma$ (See Figure 1 ), the initial separation angle $\delta_{0}$ (angle between the radius vectors $\mathcal{J I}_{0}$ and $\alpha_{T_{0}}$ ), the initial velocity $s_{0}$ and the initial flight path angle $\gamma_{0}$ (angle between horizon and velocity vector). Let $t_{0}$ and $t_{3}$ now be the initial and final time of the whole maneuver, respectively. The engine of $I$ is assumed to burn from the time $t_{0}$ to $t_{1}=t_{0}+\sigma \quad(\sigma>0)$ and from $t_{2}=t_{3}-\tau$ to $t_{3}(\tau>0)$. The total burn time

$$
\begin{equation*}
\Sigma=0+\tau \tag{2.2}
\end{equation*}
$$

1s supposed to be small with respect to $t_{3}-t_{0}$, if $\Sigma$ is made as small as possible. Under this assumption it is reasonable to replace the variable tarust direction $\phi(t)$ in each burn period by some average value, which then is kept constant during the burn. More precisely, the assumed constant thrust directions in the first and second burn will be the directions $\phi\left(t_{0}\right)$ and $\phi\left(t_{3}\right)$, respectively, represented by the angles

$$
\begin{align*}
& \phi=\phi\left(t_{0}\right)-2 v_{0}=x\left(t_{0}\right)  \tag{2.3}\\
& \psi=\phi\left(t_{3}\right)-2 v_{3}=x\left(t_{3}\right),
\end{align*}
$$



Figure 1-Notations for the First Burn Guidance Scheme. $I_{0}, I_{1}, I_{2}, I_{3}, I=$ Positions of the Interceptor at First Ignition, First Cutoff, Second Ignition, Rendezvous or at a General Time, Respectively
where $\mathcal{V}_{0}$ may be considered as 0 , and $\mathcal{V}_{3}$ is the unknown polar angle of the rendezvous point $I_{3}$.

Thus we are concerned with the problem of determining the control parameter: $\sigma, \tau, \varphi, \psi$ and $2_{3}$ such that $T$ and $I$ come to a rendezvous (four conditions) and $\boldsymbol{\Sigma}=\boldsymbol{\sigma}+\boldsymbol{\tau}$ is a minimum. Obviously one degree of freedom is left for minimization.

In order to set up the four rendezvous conditions, we first formulate the equations of motion of $I$ in the Cartesian coordinate system $(\xi, \eta)$ :

$$
\begin{align*}
& \dot{\xi}=U \\
& \dot{\eta}=V \\
& \dot{U}=-\mu \xi / \rho^{3}+f(t) \cos \phi \\
& \dot{V}=-\mu \eta / \rho^{3}+f(t) \sin \phi \tag{2.4}
\end{align*}
$$

where dots denote the differentiation with respect to $t$, and the thrust acceleration $f(t)$ is given by

$$
\begin{equation*}
f(t)=v_{x} \lambda v(t) / m(t) \tag{2.5}
\end{equation*}
$$

with

$$
\begin{equation*}
m\left(t_{1}\right)=m_{0}-\lambda \int_{t_{0}}^{t} v(s) d s \tag{2.6}
\end{equation*}
$$

( $\lambda$ and $\nu(t)$ are mass flow rate and switching function respectively). By introducing polar coordinates $\rho, 28, S, \gamma$ according to

$$
\begin{array}{ll}
\xi=\rho \cos 2 \theta & \eta=\rho \sin 2 \lambda \\
U=N \sin (\gamma-28) & V=S \cos (\gamma-28)
\end{array}
$$

Equations (4) are transformed into

$$
\begin{align*}
& \dot{\rho}=S \sin \gamma \\
& \dot{\theta}=(S / \rho) \cos \gamma \\
& \dot{S}=-\left(\mu / \rho^{2}\right) \sin \gamma+f(t) \sin (\gamma+x)  \tag{2.7}\\
& \dot{\gamma}=(S / \rho) \cos \gamma+\left[\left(-\mu / \rho^{2}\right) \cos \gamma+f(t) \cos (\gamma+x)\right] / \rho
\end{align*}
$$

Maser

$$
x(t)=\phi\left(t_{0}\right)-v(t) \text { or } x(t)=\phi\left(t_{3}\right)-\quad Q(t)
$$

Now the data of the interceptor at the end of the first burn, $t_{1}$, are written in terms of the control variables. We use Taylor expansions around $t=t_{0}$ and truncate them after linear terms since $\sigma$ is supposed to be small. From (7) it follows immediately:

$$
\begin{align*}
& \rho\left(t_{0}+\sigma\right)= \rho_{1}=\rho_{0}+\sigma S_{0} \sin \gamma_{0} \\
& \gamma_{1}=\gamma_{0}+\sigma\left(S_{0} / \rho_{0}\right) \cos \gamma_{0} \\
& S_{1}=S_{0}+\sigma\left[-\left(\mu / \rho_{0}^{2}\right) \operatorname{siN} \gamma_{0}+f_{0} \operatorname{siN}\left(\gamma_{0}+\varphi\right)\right]  \tag{2.8}\\
& \gamma_{1}= \gamma_{0}+\left(\sigma / S_{0}\right)\left[\left(\mu E_{0} / \rho^{2}\right) \cos \gamma_{0}+f_{0} \cos \left(\gamma_{0}+\varphi\right)\right]
\end{align*}
$$

with the abbreviation

$$
\begin{equation*}
E_{0}=p_{0} S_{0}^{2} / \mu-1 . \tag{2.9}
\end{equation*}
$$

The initial thrust acceleration $f_{0}$ can be written as

$$
\begin{equation*}
f_{0}=v_{x} / \tau_{x} \tag{2.10}
\end{equation*}
$$

with the symbol

$$
\begin{equation*}
\tau_{x}=m_{0} / \lambda \tag{2.11}
\end{equation*}
$$

according to (5).
Similarly, the state of the interceptor at the beginning of the second burn, $t_{2}$, is represented by truncated Taylor series around $t=t_{3}$ with time running backwards. Due to the particular situation at $t=t_{3}\left(\gamma_{3}=0\right.$, $S_{3}=\omega R$ ) several terms cancel out, and we use the respective expansions up to second order terms:

$$
\begin{gather*}
\rho\left(t_{3}-\tau\right)=\rho_{2}=R+\frac{1}{2} \tau^{2} f_{3} \cos \psi \\
v_{2}=v_{3}-\omega \tau+1 / 2 \tau^{2}\left(f_{3} / R\right) \sin \psi \\
s_{2}=\omega R-\tau f_{3} \sin \psi+\frac{1}{2} \tau^{2} f_{3} * \\
*\left[\left(f_{3} / v_{x}\right) \sin \psi-2 \omega \cos \psi+\left(f_{3} /(\omega R)\right) \cos ^{2} \psi\right] \\
\nu_{2}=\left(f_{3} /(\omega R)\right)\{-\tau \cos \psi \\
\left.+\frac{1}{2} \tau^{2}\left[\left(f_{3} / v_{x}\right) \cos \psi+3 \cos \sin \psi-\left(f_{3} /(\omega R)\right) \sin 2 \psi\right]\right\} \\
f_{3}=v_{x} /\left(\tau_{x}-\Sigma\right)
\end{gather*}
$$

is the final thrust acceleration.
Now the conditions for rendezvous are established by equating the orbital elements given by position and velocity of the interceptor at the times $t_{1}$ and $t_{2}$ respectively (based on the approximations ( 8) and ( 12 )). First, by considering the inverse semi-major axis (energy)

$$
\begin{equation*}
\frac{1}{a}=\frac{2}{p}-\frac{s^{2}}{\mu} \tag{2.14}
\end{equation*}
$$

of the coasting ellipse, we obtain

$$
\frac{1}{\rho}-\frac{S_{\mu}^{2}}{\mu}-2 \sigma \frac{S_{2} f_{2}}{\mu} \sin (\eta+\psi)=\frac{1}{\mu}+2 \tau \frac{\omega R f_{1}}{\mu} \operatorname{sN} \psi(2.15)
$$

when again only first order terms in $\sigma, \tau$ are taken into account.
Then, the "second Laplace vector" (Reference 2) in complex notation is used for obtaining the eccentricity $\mathcal{E}$ of the coasting ellipse and the true anomalies $\Omega_{1}, \Omega_{2}$ of the points $I_{1}, I_{2}$. Generally, the complex number

$$
\begin{equation*}
\xi=e^{i \gamma}(E \cos \gamma+i \sin \gamma) \tag{2.16}
\end{equation*}
$$

(with $E$ from ( 9 )) indicates by its magnitude the eccentricity $\boldsymbol{E}$ of the ellipse given by $\rho, \boldsymbol{S}, \boldsymbol{\gamma}$, and by its argument the true anomaly $\Omega$ of that point:

$$
\begin{equation*}
\zeta=c \cdot e^{i \Omega} \tag{2.17}
\end{equation*}
$$

Thus, by inserting ( 8) and (12) into (16), we obtain for the truncated series of $\mathcal{F}, \mathcal{E}$ and $\Omega$ at the points $I_{1}, I_{2}$ :

$$
\begin{align*}
& \zeta_{1}=e^{i \gamma_{0}}\left(E_{0} \cos \gamma_{0}+i \sin \gamma_{0}\right) \\
& +\frac{\sigma N_{0}}{\rho_{0}}\left[i e^{i \gamma_{0}} \cos \gamma_{0}\left(E_{0} \cos \gamma_{0}+i \sin \gamma_{0}\right)\right. \\
& \left.+\frac{\rho_{0}^{2} f}{\mu}\left(\sin \varphi e^{i \gamma_{0}}+i \cos \gamma_{0} e^{-i \varphi}\right)\right] \\
& \varepsilon_{1}=\varepsilon_{0}+\frac{\sigma \rho_{0} S_{0} f_{0}}{\mu \varepsilon_{0}} \cos \gamma_{0}\left[E_{0}\left(\sin \varphi+\sin \left(\gamma_{0}+\varphi\right) \cos \gamma_{0}\right)\right.  \tag{2.18}\\
& \left.+\cos \left(\gamma_{0}+\varphi\right) \sin \gamma_{0}\right] ; c_{0} \neq 0 \\
& \Omega_{1}=\Omega_{0}+\sigma S_{0}\left\{\frac{\cos \gamma_{0}}{\rho_{0}}\right. \\
& \left.+\frac{\rho_{0} f_{0}}{\mu \varepsilon_{0}^{2}}\left[\left(\varepsilon_{0}+1\right) \cos ^{2} \gamma_{0} \cos \left(\gamma_{0}+\varphi\right)-\cos \left(\gamma_{0}-\varphi\right)\right]\right\}
\end{align*}
$$

with

$$
\begin{equation*}
\varepsilon_{0}=\sqrt{E_{0}^{2} \cos ^{2} \gamma_{0}+\sin ^{2} \gamma_{0}} \tag{2.19}
\end{equation*}
$$

$\Omega_{0}=\gamma_{0}+\operatorname{ag}\left(E \cdot \cos \gamma_{0}+i \sin \gamma_{0}\right)$
and

$$
\begin{align*}
\zeta_{2}= & \frac{\tau f_{3}}{\omega R}\left\{-2 \sin \psi-i \cos \psi+\frac{\tau}{2}\left[\frac{f_{3}}{v_{x}}(2 \sin \psi+i \cos \psi)\right.\right. \\
& \left.\left.+i \frac{2 f_{3}}{\omega R} \sin \psi e^{-i \psi}-3 \omega e^{i \psi}\right]\right\}  \tag{2.20}\\
\varepsilon_{2} & =\frac{\tau f_{3}}{\omega R} \sqrt{1+3 \sin ^{2} \psi} \\
\Omega_{2}= & \arg (-2 \sin \psi-i \cos \psi)-\tau \frac{\frac{3}{2} \omega\left(1+\sin ^{2} \psi\right)+\frac{f_{3}}{\omega R} \sin ^{2} \psi \cos \psi}{1+3 \sin ^{2} \psi} .
\end{align*}
$$

The formulas for $\varepsilon_{1}, \Omega_{1}, \Omega_{0}$ in (18), (19) are not applicable when the first burn is started on a circular or near-circular orbit around the earth:

$$
\gamma_{0}=0, \quad E_{0}=0
$$

A second order analysis (Reference 3) shows that for sufficiently small values of $\varepsilon_{\circ}$ the correct limits (18) and (19) are obtained by putting

$$
\begin{equation*}
E_{0}=\frac{2 x \cdot \sin \varphi}{\sqrt{1+3 \sin ^{2} \varphi}}, \quad \tan \delta_{0}=\frac{x \cdot \cos \varphi}{\sqrt{1+3 \sin ^{2} \varphi}} \tag{2.21}
\end{equation*}
$$

where $x$ is a sufficiently small quantity.

A second rendezvous condition is now obtained by equating the eccentricities $\left(\varepsilon_{1}=\varepsilon_{2}\right):$

$$
\begin{gathered}
\varepsilon_{0}+\frac{\sigma \rho_{0} S_{0} f_{0}}{\mu \varepsilon_{0}} \cos \gamma_{0}\left[\varepsilon_{0}\left(\sin \varphi+\sin \left(\gamma_{0}+\varphi\right) \cos \gamma_{0}\right)+\cos \left(\gamma_{0}+\varphi\right) \sin \gamma_{0}\right] \\
=\frac{\tau f_{3}}{\omega R} \sqrt{1+3 \sin ^{2} \psi}
\end{gathered}
$$

with $\varepsilon_{0}$ from (19), and by requiring agreement in the polar angle of the pericenter, $\quad \ell_{1}-\Omega_{1}=2 \ell_{2}-\Omega_{2}$, we obtain as a third condition the equation

$$
\begin{equation*}
\nu_{s}-\vartheta_{0}=\sigma \frac{S_{0}}{\rho_{0}} \cos \gamma_{0}+\omega \tau+\Omega_{2}-\Omega, \tag{2.23}
\end{equation*}
$$

with $\Omega_{1}, \Omega_{2}$ from (18), (20), which may be used for computing the locaion of the rendezvous point.

The fourth condition, which forces $T$ and $I$ to reach their final positions simultaneously, is established by using a first order approximation of Kepler's equation,

$$
\bar{\omega}\left(t_{2}-t_{1}\right)=\Omega_{2}-\Omega_{1}-2 \varepsilon\left(\sin \Omega_{2}-\sin \ell_{1}\right)+\cdots,
$$

where

$$
\bar{\omega}=\sqrt{\frac{\mu}{a^{3}}}
$$

[a from (14)] is the mean angular velocity of the interceptor on the coasting ellipse. After straight-forward computations using first order terms only we obtain

$$
\begin{align*}
& \sigma\left(\frac{S_{0}}{\rho_{0}} \cos \gamma_{0}-\omega\right)+\frac{3 \tau f_{3}}{\omega R}\left(\Omega_{2}-\Omega_{1}\right) \sin \psi \\
& -\frac{2 \tau f_{3}}{\omega R} \cos \psi-\frac{\tau f_{3}}{\omega R} \sqrt{1+3 \sin ^{2} \psi} \cdot \frac{\rho_{0} S_{0}^{2}}{\mu \varepsilon_{0}} \sin 2 \gamma_{0}  \tag{2.24}\\
& =\delta_{0}
\end{align*}
$$

To sum up, (15), (22), (24) form a system of three equations

$$
\begin{align*}
& a_{0}+f_{0} a_{1} \sigma+f_{3} a_{2} \tau=0 \\
& b_{0}+f_{0} b_{1} \sigma+f_{3} b_{2} \tau=0  \tag{2.25}\\
& c_{0}+f_{0} c_{1} \sigma+f_{3} c_{2} \tau=0
\end{align*}
$$

for $\sigma, \tau, \varphi, \psi$, where

$$
f_{0}=\frac{v_{x}}{\tau_{x}}, \quad f_{3}=\frac{v_{x}}{\tau_{x}-\sigma-\tau}
$$

and

$$
\begin{align*}
& a_{0}=\frac{1}{R}-\frac{2}{\rho_{0}}+\frac{5_{0}^{2}}{\mu} \\
& a_{1}(\varphi)=\frac{2}{\mu} S_{0}+\sin \left(\gamma_{0}+\varphi\right) \\
& a_{2}(\psi)=\frac{2}{\mu} \omega R \sin \psi \\
& b_{0}=\sqrt{E_{0}^{2} \cos ^{2} \gamma_{0}+\sin ^{2} \gamma_{0}} \\
& b_{1}(\varphi)=\frac{\rho_{0} \cos \gamma_{0}}{S_{0} b_{0}}\left(E_{0}+1\right)\left[E_{0}\left(\sin \varphi+\sin \left(\gamma_{0}+\varphi\right) \cos \gamma_{0}\right)\right.  \tag{2.26}\\
& \left.\left.b_{2}(\psi)=-\frac{1}{\omega R} \sqrt{1+3 \sin ^{2} \psi} \gamma_{0}+\varphi\right) \sin \gamma_{0}\right] \\
& c_{0} \\
& =\frac{\delta_{0}}{c_{1}}=\frac{1}{f_{0}}\left(\omega-\frac{S_{0}}{\rho_{0}} \cos \gamma_{0}\right) \\
& c_{2}(\psi)=\frac{1}{\omega R}\left[2 \cos \psi-3\left(\Omega_{2}-\Omega\right) \sin \psi\right.
\end{align*}
$$

with

$$
\begin{align*}
& \Omega_{1}=\gamma_{0}+\arg \left(E_{0} \cos \gamma_{0}+i \sin \gamma_{0}\right),-\frac{\pi}{2} \leq \Omega_{1}<\frac{3 \pi}{2} \\
& \Omega_{2}(\psi)=\arg (-2 \sin \psi-i \cos \psi), \frac{\pi}{2} \leqslant \Omega_{2}<\frac{5 \pi}{2}  \tag{2.27}\\
& E_{0}=\rho_{0} S_{0}^{2} / \mu-1 .
\end{align*}
$$

When $b_{0}$ from (26) turns out to be smaller than .1, use the substitute values for $E_{c}$ : $\gamma_{0}$ according to (21). The restrictions on $\Omega_{1}, \Omega_{2}$ exclude the sises where the whole mission would take longer than one revolution of the target. The system (25) has to be satisfied while the total burn time $\Sigma=\sigma+\boldsymbol{\tau}$ is minimized.

The condition that (25) can be satisfied by quantities ( $f_{0} \sigma$ ), $\left(f_{3} \tau\right)$ is

$$
\left|\begin{array}{lll}
a_{0} & a_{1} & a_{2}  \tag{2.28}\\
b_{0} & b_{1} & b_{2} \\
c_{0} & c_{1} & c_{2}
\end{array}\right|=0
$$

By expanding this determinant with respect to the third line (28) may be written as

$$
\begin{equation*}
G(\varphi, \psi)=c_{0} D_{0}+c_{1} D_{1}+c_{2} D_{2}=0 \tag{2.29}
\end{equation*}
$$

where

$$
\begin{align*}
& D_{0}=a_{1} b_{2}-a_{2} b_{1} \\
& D_{1}=a_{2} b_{0}-a_{0} b_{2}  \tag{2.30}\\
& D_{2}=a_{0} b_{1}-a_{1} b_{0} .
\end{align*}
$$

Provided (29) is satisfied, the system (25) can be solved for $\sigma$, $\tau$ by taking only two or the three equations, for instance the first and the second one. By Cramer's rule we immediately obtain the relations

$$
f_{0} \sigma=\frac{D_{1}}{D_{0}}, \quad f_{3} \tau-\frac{v_{x} \tau}{\tau_{x}-\sigma-\tau}=\frac{D_{2}}{D_{0}},
$$

from which there follows
and

$$
\begin{equation*}
\sigma=\frac{1}{f_{0}} \frac{D_{1}}{D_{0}}, \quad \tau=\frac{1}{f_{0}} \frac{D_{2}}{D_{0}} \frac{D_{0}-\frac{D_{1}}{v_{x}}}{D_{0}+\frac{D_{2}}{v_{x}}} \tag{2.31}
\end{equation*}
$$

$$
\begin{equation*}
\sum(\varphi, \psi)=\sigma+\tau=\frac{D_{1}+D_{2}}{D_{0}+\frac{D_{2}}{v_{x}}} \tag{2.32}
\end{equation*}
$$

Thus, the on-board computing scheme consists of minimizing $\sum(\varphi, \psi)$ (given by (32)) by varying $\varphi, \psi$, while the equation $G(\boldsymbol{\varphi}, \boldsymbol{\psi})=0$ (see (29)) must hold.

These computations may be carried out even before starting the first burn. Then, all important data about the planned mission, such as approximate duration, fuel consumption, location of the rendezvous point are obtained. If no minimum of $\sum$ with $G=0$ and $\sigma \geqslant 0, \tau \geqslant 0$ can be found, the mission would extend over more than one revolution of the target, or this simplified theory is not applicable.

During the first burn the minimization is repetitively carried out using dilated position and velocity data of the interceptor. As the remaining burn duration decreases, the accuracy of the above approximations increases.

Section 3
A NUMERICAL METHOD
The objective of this section is to develop a numerical method which allows us to solve the minimum problem

$$
\begin{equation*}
\sum(x, y)=\min , \quad G(x, y)=0 \tag{3.1}
\end{equation*}
$$

without computation of the partial derivatives of $\Sigma$ and $G$. In order to obtain the solution of (I) analytically, we would preferably choose the metro: of the Lagrange multipliers since generally the side-condition $G(x, y)=0$ cannot be solved for $x$ or $y$ in a closed form. This method requires to minimize the function

$$
\begin{equation*}
\Psi(x, y, \Lambda)=\sum(x, y)-\Lambda G(x, y) \tag{3.2}
\end{equation*}
$$

by variation of $x, y$ and the Lagrange multiplier $\Lambda$. Thus, the conditions

$$
\begin{equation*}
\Sigma_{x}-\Lambda G_{x}=0, \Sigma_{y}-\Lambda G_{y}=0, G=0 \tag{3.3}
\end{equation*}
$$

for the unknowns $x, y, \Lambda$ are obtained (the subscripts $x, y$ denote differentiatimon with respect to $x$ or $y$ respectively). By eliminating $\Lambda$ between the first two of these equations, (3) is reduced to the two variable system

$$
\begin{align*}
\sum_{x}(x, y) \cdot G_{y}(x, y)-\sum_{y}(x, y) \cdot G_{x}(x, y) & =0  \tag{3.4}\\
G(x, y) & =0 .
\end{align*}
$$

This system may be solved iteratively by improving an initial guess $x_{0}, y_{0}$ of the solution using linearization of (4) at the point ( $x_{0}, y_{0}$ ) (method of Newton-Raphson). By putting

$$
\begin{equation*}
x=x_{0}+\Delta x, \quad y=y_{0}+\Delta y \tag{3.5}
\end{equation*}
$$

the linearized form of (4) becomes

$$
\begin{aligned}
\Sigma_{x} G_{y^{\prime}}-\Sigma_{y} G_{x}+\Delta x\left(\Sigma_{x} G_{y}-\Sigma_{y} G_{x}\right)_{x}+\Delta y\left(\Sigma_{x} G_{y}-\Sigma_{y} G_{x}\right) y & =0 \\
G+\Delta x \cdot G_{x}+\Delta y \cdot G_{y} & =0
\end{aligned}
$$

or

$$
\begin{align*}
\Sigma_{x} G_{y}-\Sigma_{y} G_{x} & +\Delta x\left(\Sigma_{x x} G_{y}-G_{x x} \Sigma_{y}-\sum_{x y} G_{x}+G_{x y} \Sigma_{x}\right) \\
& +\Delta y\left(-\Sigma_{y y} G_{x}+G_{y y} \Sigma_{x}+\sum_{x y} G_{y}-G_{x y} \Sigma_{y}\right)=0  \tag{3.6}\\
G & +\Delta x \cdot G_{x}+\Delta y \cdot G_{y}=0
\end{align*}
$$

where all functions and derivatives must be taken at the point ( $x_{0}, y_{0}$ ). From this system of two linear equations the increments $\Delta x, \Delta y$ can be determined, provided that its determinant does not vanish. It is well known that the iteration of these operations yields a quadratically convergent process.

We now assume that the partial derivatives occurring in (6) are computed approximately by numerical differentiation using 2 or 3 values of the functions $\Sigma$, G. A detailed analysis shows that the truncation errors introduced by this numerical differentiation do not spoil the superlinear convergence of the Newton-Raphson method. It can be shown (Reference 8) that the iteration we are going to propose has a convergence exponent of at least. $\sqrt{2}$. However, the round off errors due to numerical differentiation may reduce the accuracy of the final result (this usually happens when minimum problems are solved without analytical differentiation).

In order to establish the derivatives we need in (6) we assume that the values of the functions $\sum(x, y), G(x, y)$ are known at the 9 points.

$$
\begin{align*}
& \left(x_{i}, y_{j}\right), \quad i, j=0,1,2  \tag{3.7}\\
& x_{1}=x_{0}+h, \quad x_{2}=x_{0}-h, y_{1}=y_{0}+k, y_{2}=y_{0}-k
\end{align*}
$$

ard are denoted by

$$
\sum_{i j}=\sum\left(x_{i}, y_{i}\right), \quad G_{i j}=G\left(x_{i}, y_{i}\right), \quad i j=0,1,2
$$

( $h$ and $k_{i}$ are the step lengths in the $x$ and $y$ direction respectively). From these values we get the following approximations for the respective partial derivatives at the point $\left(x_{0}, y_{0}\right)$

$$
\begin{align*}
& \Sigma_{x} \cong \frac{1}{2 h}\left(\Sigma_{10}-\Sigma_{20}\right) \\
& \Sigma_{y} \cong \frac{1}{2 k}\left(\Sigma_{01}-\Sigma_{02}\right) \\
& \Sigma_{x x} \cong \frac{1}{h^{2}}\left(\Sigma_{10}-2 \Sigma_{00}+\Sigma_{20}\right)  \tag{3.8}\\
& \Sigma_{x y} \cong \frac{1}{4 h k}\left(\Sigma_{11}-\Sigma_{21}-\Sigma_{12}+\Sigma_{22}\right) \\
& \Sigma_{y y} \cong \frac{1}{k^{2}}\left(\Sigma_{01}-2 \Sigma_{00}+\Sigma_{02}\right)
\end{align*}
$$

and similar expressions for the function $G$. These may readily be substituted into (6) thus making a system of two linear equations for $\Delta_{x}, \Delta_{y}$ involving only the 18 function values $\sum_{i j}, G_{i j}(i, j=0,1,2)$. By (5) a new approximative solution ( $x, y$ ) of the system (4) is obtained. If the initial guess $\left(x_{0}, y_{0}\right)$ and the step lengths $h$, $k$ are properly chosen, the new approximotion is better than the old one.

An iterative procedure is generated by selecting among the 9 points $\left(x_{i}, y_{i}\right)$ the point $\left(x_{i_{0}}, y_{i_{0}}\right)$ which is nearest to ( $x, y$ ) and by assigning the values $x_{i_{0}}, y_{i_{0}}$ to the variables $x_{1}, y_{1}$ respectively. If the values of $x, y$ ar $, ~ s, s i g n e d ~ t o ~ t h e ~ v a r i a b l e s ~ x_{0}, y_{o}$, the whole frame $\left(x_{i}, y_{j}\right), i, j=0$, 1,2 can be redefined according to (7). This terminates one cycle of the iteration.

Application of this technique to cases of the particular minimum problem of Section 2 gave values of $\varphi, \psi$ with an accuracy of 4 decimals within a few iteration steps. Convergence was achieved even if the solution turned out to lie considerably outside the rectangle of the initial guesses defined in (7).

Section 4<br>TERMINAL GUIDANCE METHOD

The terminal rendezvous guidance problem is usually treated using a rotating frame of relative coordinates centered at the target with one axis in the direction of the target zenith (Reference 5).

An attractive phase plane representation that provides good insight into the terminal approacir dynamics can be gained if the equations of relative motion are derived in a target centered frame of relative coordinates with space fixed orientation. Simple guidance logic for terminal burn switching and steering results from this new formulation.

The basic dual phase plane concept (Reference l) based upon the simplifying assumption of equal distance of target and interceptor from the dynamic center is presented here in an extended form where first-order gravitational effects are included as in the usual Clohessy-Wiltshire formulation.

## 4. 1 DERIVATION OF DUAL PHASE PLANE EQUATIONS OF RELATIVE MOTION

It is assumed that thrust per unit mass and the steering angle $\phi$ are approximately constant during terminal burn and that the interceptor has some a priori information of the predicted location of the rendezvous point. The first burn guidance scheme can provide such an estimate in the present approach.


Figure 2 - Target-Referenced Relative Coordinates ( $x, y, z$ ) with Inertially Fixed Orientation ( $x, y$-projection)
for the target and

$$
\begin{equation*}
\ddot{\vec{\rho}}=-\mu \frac{\vec{\rho}}{\rho^{3}}+\vec{f} \tag{4.2}
\end{equation*}
$$

for the interceptor.

The motion of the interceptor relative to the target is obtained by subtracting the accelerations of Equations (4.1) and (4.2):

$$
\begin{align*}
& \ddot{\vec{r}}=\ddot{\vec{\rho}}-\ddot{\overrightarrow{\tilde{r}}} \mathrm{~T} \\
& \ddot{\vec{r}}=-\mu\left(\frac{\vec{\rho}}{\rho^{3}}-\frac{\vec{\rho}_{\mathrm{T}}}{\mathrm{R}^{3}}\right)+\overrightarrow{\mathrm{f}} \tag{4.3}
\end{align*}
$$

Resolving the vectors into their components yields:

$$
\begin{gather*}
\overrightarrow{\mathrm{r}}=\binom{\mathrm{x}}{\mathrm{y}} ; \vec{\rho}=\binom{\xi}{\eta}=\binom{\mathrm{R} \cos v_{\mathrm{T}}+\mathrm{x}}{\mathrm{R} \sin v_{\mathrm{T}}+\mathrm{y}}, \\
\vec{\rho}_{\mathrm{T}}=\left(\begin{array}{l}
\xi \\
\eta \\
\eta
\end{array}\right)=\binom{\mathrm{R} \cos \vartheta_{\mathrm{T}}}{\mathrm{R} \sin \vartheta_{\mathrm{T}}} ; \overrightarrow{\mathrm{f}}=\binom{\mathrm{f} \cos \phi}{\mathrm{f} \sin \phi} . \tag{4.4}
\end{gather*}
$$

Substituting Equation (4.4) into Equation (4.3) yields the scalar form of the dynamic Equation (4.3)

$$
\begin{equation*}
\ddot{x}=-\mu\left(\frac{\xi}{\rho^{3}}-\frac{\xi_{T}}{R^{3}}\right)+\mathrm{f} \cos \phi \tag{4.5}
\end{equation*}
$$

$$
\begin{equation*}
\ddot{y}=-\mu\left(\frac{\eta}{\rho^{3}}-\frac{\eta_{\mathrm{T}}}{\mathrm{R}^{3}}\right)+\mathrm{f} \sin \phi \tag{4.6}
\end{equation*}
$$

Since,

$$
\rho^{2}=R^{2}\left[1+\frac{2}{R}\left(x \cos v_{T}+y \sin v_{T}\right)+\left(\frac{r}{R}\right)^{2}\right],
$$

a first-order approximation that is valid when $r \ll R$ can be obtained:

$$
\begin{equation*}
\frac{1}{\rho^{3}} \approx \frac{1}{R^{3}}\left[1-\frac{3}{R}\left(x \cos v_{T}+y \sin v_{T}\right)\right] \tag{4.7}
\end{equation*}
$$

Assuming a circular target orbit, where

$$
\begin{equation*}
\frac{\mu}{R^{3}}=\omega^{2} \tag{4.8}
\end{equation*}
$$

eliminating $\xi$ and $\eta$, and substituting Equations (4.7) and (4.8) into Equation (4.5) yields
$\ddot{x}=-\omega^{2}\left\{\left(R \cos v_{T}+x\right)\left[1-\frac{3}{R}\left(x \cos v_{T}+y \sin v_{T}\right)\right]-R \cos v_{T}\right\}+f \cos \phi$,
$\ddot{y}=-\omega^{3}\left\{\left(R \sin v_{T}+y\right)\left[1-\frac{3}{R}\left(x \cos v_{T}+y \sin v_{T}\right)\right]-R \sin v_{T}\right\}+f \sin \phi$.

Again neglecting second-order terms yields

$$
\begin{align*}
& \ddot{x}=-\omega^{2}\left[x-3 \cos v_{T}\left(x \cos v_{T}+y \sin v_{T}\right)\right]+f \cos \phi,  \tag{4.9}\\
& \ddot{y}=-\omega^{2}\left[y-3 \sin v_{T}\left(x \cos v_{T}+y \sin v_{T}\right)\right]+f \sin \phi, \tag{4.10}
\end{align*}
$$

These equations of relative motion can be substantially simplified if the inertial reference direction of the $(\xi, \eta)$ - and ( $x, y$ )-frames is choven such that

$$
\begin{equation*}
v_{T}-k \frac{\pi}{2} \ll 1 \text { for } t \rightarrow t_{3} \tag{4.11}
\end{equation*}
$$

where $k=0,1,2$ or 3 .

Specifying the inertial reference for the terminal flight phase ( $t \rightarrow t_{3}$ ) in this way does not complicate the onboard computing work because this reference is used after the first burn guidance scheme has predicted the approximate position of the rendezvous point. Therefore the $x$-axis may be aligned after the first burn along the predicted zenith of the rendezvous point [ $k=0$ in Equation (4.11)].

Assuming $\boldsymbol{v}_{\mathrm{T}} \ll 1$ for $\mathrm{t}_{2}<\mathrm{t}<\mathrm{t}_{3}$, the small angle approximation $\sin \vartheta_{\mathrm{T}} \approx \boldsymbol{\vartheta}_{\mathrm{T}}, \cos \boldsymbol{v}_{\mathrm{T}} \approx 1$, can be used and all second-order terms such as . $x \boldsymbol{v}_{\mathrm{T}}, \mathrm{y} \boldsymbol{v}_{\mathrm{T}}$ are dropped again. Thus the following equations of relative motion result:

$$
\begin{align*}
& \ddot{x}=2 \omega^{2} x+f \cos \phi  \tag{4.12a}\\
& \ddot{y}=-\omega^{2} y+f \sin \phi \tag{4.12b}
\end{align*}
$$

Equations (4.12) describe the interceptor motion relative to the target in the nonrotating ( $x, y, z$ )-frame based on the simplifying assumptions

$$
\mathbf{r} \ll \mathbf{R}, \quad v_{\mathbf{T}} \ll 1,
$$

and corresponding first-order expansions throughout the derivation.

A comparison of Equations (4.12) with the usual Ciohessy-Wiltshire equations of relative motion (Reference 5) based on a rotating frame (x-axis aligned with target zenith):

$$
\begin{aligned}
& \ddot{x}=3 \omega^{2} x-2 \omega \dot{y}+f \cos \phi \\
& \ddot{y}=2 \omega \dot{x}+f \sin \phi
\end{aligned}
$$

reveals the basic advantage of the nonrotating frame used here where no coupling terms due to Coriolis forces are present and the steering angle is the only coupling variable for $\mathbf{x}$ - and y -motion. Therefore, Equations (4.12a) and (4.12b) lend themselves to straightforward phase plane representation similar to the basic approach of Reference 1.

To this end, Equations (4.12a) and (4.12b) are rewritten in terms of $x, u$ and $y, v$, respectively, for representation in $a n, u$ and $y, v$ phase plane (primes denote derivatives with respect to $t^{*}=\omega t, u \triangleq d x / d t^{*}$, $\left.\mathrm{v} \triangleq \mathrm{dy} / \mathrm{dt}^{*}\right)$ :

$$
\begin{align*}
& x^{\prime \prime}=u^{\prime}=u \frac{d u}{d x}=2 x+\frac{f \cos \phi}{\omega^{2}} \\
& y^{\prime \prime}=v^{\prime}=v \frac{d v}{d y}=-\mathbf{y}+\frac{f \sin \phi}{\omega^{2}} \tag{4.13}
\end{align*}
$$

Integrating under the simplifying assumption of constant thrust per unit mass and constant $\phi$ leads to the following trajectories through the phase plane origin:

$$
\begin{align*}
& u^{2}=2 x^{2}+2 \frac{f}{w^{2}} x \cos \phi  \tag{4.14}\\
& v^{2}=-y^{2}+2 \frac{f}{w^{2}} y \sin \phi \tag{4.15}
\end{align*}
$$

Hence, the $x$, $u$-motion of Equation (4.14) follows a hyperbolic path. The $y, v$-motion follows a circular arc in the zero miss distance case. This is better shown in the standard forms and displayed in Figure 3:

$$
\begin{gather*}
\frac{\left(x+\frac{f}{2 \omega^{2}} \cos \phi\right)^{2}}{\left(\frac{f}{2 \omega^{2}} \cos \phi\right)^{2}}-\frac{u^{2}}{\left(\frac{f}{\sqrt{2} \omega^{2}} \cos \phi\right)^{2}}=1,  \tag{4.16}\\
\left(y-\frac{f}{\omega^{2}} \sin \phi\right)^{2}+v^{2}=\frac{f^{2}}{\omega^{4}} \sin ^{2} \phi \tag{4.17}
\end{gather*}
$$



Figure 3 - Phase Trajectories of Optimal Hyperbolic $x$-Motion and Optimal Circular y-Motion During Terminal Powered Flight Phase

## Characteristics of the $x, u=$ Phase Trajectory

The optimal hyperbolic $x$, -trajectories, Equation (4,16), have the asymptotes (note $u=d x / t^{*} ; t^{*}=\omega t$ ):

$$
u= \pm \sqrt{2}\left(x+\frac{f}{2 \omega^{2}} \cos \phi\right)
$$

and the semilatus rectum

$$
p=\frac{b^{2}}{a}=\frac{f}{\omega^{2}} \cos \phi
$$

which is also the radius $R_{x}$ of the trajectories in the origin.

The time from a given point $S$ on the hyperbola with ordinate $u_{s}$ for a phase point to reach the origin is

$$
\begin{equation*}
\mathrm{t}_{\mathrm{s}}^{*}=\frac{-\sqrt{2}}{2} \operatorname{arcsinh} \frac{\sqrt{2} \omega^{2}}{f \cos \phi} u_{s} \tag{4.18}
\end{equation*}
$$

## Characteristics of the $y, v$-Phase Trajectory

The optimal y, v-trajectories, Equation (4.17), are circles through the origin with radius

$$
R_{y}=\frac{f}{\omega^{2}} \sin \phi
$$

The time $t \%$ for a phase point to reach the origin along the optimal path from a given point $S$ with coordinates $y_{s}, v_{s}$ is represented by the polar angle of its radius vector from the center of the circle as shown in Figure 3 or

$$
t^{*}=-\operatorname{arc} \sin \frac{\omega^{2}}{f \sin \phi} v_{s} .
$$

## Conditions ior Optimal Second Burn Trajectories

In terms of the above phase plane representation the necessary and sufficient conditions for minimum fuel, i.e., time optimal powered terminal flight phase under the simplifying assumptions ( $f \approx$ const, $\phi \approx$ const) made can be stated as follows:

## Condition I:

During second burn the $x, u$-phase point must follow a hyperbolic path, the $y, v$-phase point must follow a circular path both of which pass through the origin.

An analytical representation of Condition I is gained from combining Equations (4.16) and (4.17), which results in the following vector equation:

$$
\begin{equation*}
\overrightarrow{\mathbf{r}}^{*}-\overrightarrow{\mathbf{f}}^{*}=0 \tag{4.19}
\end{equation*}
$$

where for convenience the following new vectors are used

$$
\begin{align*}
& \overrightarrow{\mathbf{f}^{*}}=\binom{f_{x}^{*}}{f_{y}^{*}} \Delta\left(\begin{array}{cc}
\frac{2 f}{\omega^{2}} & \cos \phi \\
\frac{2 f}{\omega^{2}} & \sin \phi
\end{array}\right) \\
& \overrightarrow{\mathbf{z}^{*}}=\binom{x^{*}}{y^{*}} \Delta\binom{x\left(-2+\frac{u^{2}}{x^{2}}\right)}{y\left(1+\frac{v^{2}}{y^{2}}\right)}  \tag{4.20}\\
& \overrightarrow{B^{*}}=\binom{u^{*}}{v^{*}} \Delta\binom{u\left(-2+u^{2} / x^{2}\right)}{v\left(1+v^{2} / y^{2}\right)}
\end{align*}
$$

Equation (4.19) is broken down into an angular relation

$$
\begin{equation*}
g \Delta \vec{r}^{*} \times \overrightarrow{\mathrm{f}}^{*}=0 \tag{4.21}
\end{equation*}
$$

and a scalar equation

$$
\begin{equation*}
h \Delta r^{*}{ }^{2}-f^{*}=0 \quad . \tag{4.22}
\end{equation*}
$$

Equation (4.22) is independent of the steering angle $\phi$ and ideally suited as a switching function for second burn switching:

$$
\nu=\left\{\begin{array}{lll}
0 & \text { for } & h<0  \tag{4.23}\\
1 & \text { ior } & h \geq 0
\end{array}\right\}
$$

## Condition II:

The two phase points must reach the origin simultaneously. Hence, for any time $t$ \% during second burn the relation

$$
\begin{equation*}
k \Delta \arcsin 2 \frac{v\left(t^{*}\right)}{f_{y}^{*}}-\frac{\sqrt{2}}{2} \operatorname{arcsinh} 2 \sqrt{2} \frac{u\left(t^{*}\right)}{f_{x}^{*}}=0 \tag{4.24}
\end{equation*}
$$

must hold.

For sufficiently short second burn times where the hyperbolic $x$, u-path can be approximated by the circle with radius $p$ condition II reduces to the simple form:

$$
\mathbf{u f}_{\mathbf{y}}^{*}-\mathbf{v} f_{\mathbf{x}}^{*} \approx 0
$$

or

$$
\overrightarrow{\mathrm{s}} \times \overrightarrow{\mathrm{f}} \overrightarrow{\mathrm{f}}^{*} \approx 0
$$

or, in view of Equation (4.21)

$$
\begin{equation*}
\tilde{\mathbf{k}} \triangleq \stackrel{\rightharpoonup}{\underline{s}} \times \overrightarrow{\mathbf{r}}^{*} \approx 0 \tag{4.24a}
\end{equation*}
$$

Equation (4.24a) is an approximation of condition (4.24) for small burn times. From simple geometrical considerations (Figure 4), an additional relaction can be derived for the approach phase (Reference 1): $x, y$ and $y, v$ motion in the superimposed $x, u$ - and $y$, $v$-phase planes (or "dual phase plane") must be aligned with the origin. Hence,

$$
x v-y u \approx 0
$$

or

$$
\vec{r} \times \overrightarrow{\mathrm{s}} \approx 0
$$

Optimal terminal guidance can thus be accomplished in the following way:

- During coast compute the switching function h, Equation (4.22), using the target oriented frame of Figure 2 for defining the relative coordinates. The $x$-axis must be approximately aligned with the zenith of the predicted rendezvous point.
- Stari second burn if switching criterion (4.23), $h \geq 0$ is satisfied.
- The optimal steering angle is given by Equation (4.21) or

$$
\begin{equation*}
\phi^{0}=\arctan \frac{y^{*}}{x^{*}} . \tag{4.25}
\end{equation*}
$$

- For simultaneous zeroing of all relative coordinates condition $k=0$, Equation (4.24) must hold during second burn. For short burn times, Equation (4.24) can be approximated by Equation (4.24a)

$$
\begin{equation*}
\widetilde{\mathbf{k}}=0 \tag{4.26}
\end{equation*}
$$



Figure 4 - Dual Phase Plane Representation of Terminal Powered Flight Phase Gained by Superposition of $x, y$ and $y, v$ Phase Planes. For short second burn times $t$ the hyperbolic $x$, $u$ path is approximated by circle. Phase points are aligned with origin throughout terminal powered flight:

$$
x v-y u=0 \quad \text { or } \quad \vec{r} \times \vec{s}=0
$$

If not all the optimality conditions $g=h=k=0$, are simultaneously satisfied throughout the terminal powered flight phase, deviations from the optimal path result that must be determined and corrected if necessary.

### 4.2 SENSITIVITY OF THE OPTIMAL SOLUTION TO SMALL PERTURBATIONS

The sensitivity of the optimal trajectory to small perturbations is studied by first determining the divergence rates of the optimal conditions $g$, $h$ and $k$ if no corrective action is assumed. The derivatives with respect to $t^{*}=\omega t$ are:

$$
\begin{equation*}
\vec{r}^{* \prime}=\binom{x^{* \prime}}{y^{* \prime}}=\binom{-u^{*}+\frac{u}{x} f_{x}^{*}}{-v^{*}+\frac{v}{y} f_{y}^{*}} \tag{4.27}
\end{equation*}
$$

Assuming again a constant thrust vector during second burn yields

$$
\begin{align*}
g^{\prime} & =\overrightarrow{\mathbf{r}}^{*^{\prime}} \times \overrightarrow{\mathbf{f}^{*}} \\
& =-\vec{s}^{*} \times \overrightarrow{f^{*}}-\frac{f_{x}^{*} f^{*}}{x y} \overrightarrow{\mathbf{r}} \times \vec{s}  \tag{4.28}\\
h^{\prime} & =2 \overrightarrow{s^{*}} \cdot\left(\overrightarrow{f^{*}}-\overrightarrow{r^{*}}\right) \tag{4.29}
\end{align*}
$$

Under the same restrictions to small burn times that were imposed on condition (4.24a), the derivative becomes

$$
\begin{equation*}
\tilde{\mathrm{k}}^{\prime}=\overrightarrow{\mathbf{r}} \times \overrightarrow{\mathrm{I}^{*}} . \tag{4.30}
\end{equation*}
$$

Hence an optimal terminal flight path characterized by

$$
g=h=k \equiv 0
$$

during powered flight is stable in the presence of small perturbations if the time derivatives $g^{\prime}, h^{\prime}, k^{\prime}$ of Equations (4.28), (4.29) and (4.30) satisfy the inequalities:


A typical optimal vector configuration for the approach phase is shown in Figure 5, where it is assumed that the remaining burn time is sufficiently short to make the approximate relation (4.24a) valid.


Figure 5 - Optimal Alignment of Range Vectors $\overrightarrow{\mathbf{r}}, \overrightarrow{\mathbf{r}} *$ Thrust Vectors $\overrightarrow{\mathrm{f}}, \overrightarrow{\mathrm{f}} * \boldsymbol{*}$ and Reiative Velocity Vector $\overrightarrow{\mathbf{s}}$ During Terminal Powered Flight Phase

Note: For large $\vec{r}$ or long second burn times $\vec{r}^{*}$ and $\vec{f}$ are not aligned with $\vec{r}$.

This configuration is characterized by

$$
\begin{array}{lll}
\overrightarrow{\mathbf{r}}^{*} \| \vec{f}^{*} & \text { for } & \mathbf{g}=0 \\
\mathbf{r}^{*}=f^{*} & \text { for } & h=0 \\
\overrightarrow{\mathbf{r}} \| \overrightarrow{\mathbf{s}} & \text { for } & \tilde{k}=0
\end{array}
$$

At the same time all the derivatives vanish:

$$
g^{\prime}=h^{\prime}=\tilde{k}^{\prime}=0 .
$$

### 4.3 CONTROL LAWS FOR SENSITIVITY REDUCTION

The above relations (4.23) through (4.31) have been used to derive compensation schemes for correcting the flight path if one or several of the conditions for optimal terminal flight are violated (Reference 6). A simple feedback law for thrust vector steering

$$
\begin{equation*}
\phi=\arctan \frac{y^{*}}{x^{*}}-K_{\phi} \arcsin \frac{\vec{r} \times \vec{s}}{r s} \tag{4.32}
\end{equation*}
$$

has been found to effectively correct small perturbations

$$
\mathbf{g} \neq 0 \text { and } k \neq 0
$$

if the gain $\mathrm{K}_{\phi}$ is properly chosen. A region for optimal gains $\mathrm{K}_{\boldsymbol{\phi}}$, which ensures compensation of limited disturbances within the remaining burn time, was established (Reference 7). Under the simplifying assumption of rinall deviations from the optimal trajectory and assuming ideal inertialess thrust vector steering, a lower bound

$$
\mathrm{K}_{\phi_{\min }}=4
$$

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Figure 6 - Compensation of Initial Misalignment $\alpha_{0}$ by Thrust Defiection $\Delta \phi=K_{g} \alpha$, where

$$
\alpha \triangleq \arcsin \frac{\stackrel{\rightharpoonup}{r} \times \stackrel{\rightharpoonup}{s}}{\mathbf{r s}}
$$



Fikiure 7 - Required Thrust Deflections to Compensate Misalignment $\alpha$ Between $-\vec{r}$ and $\vec{s}$
was found and closed-form solutions for the resulting corrective maneuvers were derived in Reference 7. In Figures 6 and 7, transients are plotted for various gains in nondimensional form. The marginal case $K_{g}=4$, also included, does not correct the misalignment $\alpha$ until rendezvous at $\tau=1$ (Figure 6) and results in a constant rotation of the vectors $\vec{r}, \vec{s}$ and $\vec{f}$ throughout the corrective maneuver, whereas the optimal case calls for space-fixed orientation of these vectors.

The final gain selection out of the region $K_{g}>4$ requires a tradeoff between rapid convergence to optimal alignment (as obtained from high gains) and the admissible thrust vector deflections $\Delta \phi$ which result in high peaks for large gains as is shown in Figure 7. It should be considered, however, that these peaks will be less pronounced in an actual system due to the finite time constants of the thrust deflection mechanism, which were neglected in this idealized approach.

The complete set of terminal guidance equations consisting of the switching function (4.23), the control law (4.32) and the associated transformations (4.20) are compiled in the block diagram, Figure 8. This scheme has been extensively tested in simulated approach phases of a rendezvous mission. Results of these simulations are given in Section 5.


## Section 5 SIMULATION RESULIS

The above guidance schemes have been checked in a computer simulation of entire rendezvous missions. Such a mission starts with the filight scheduling operation which provides predictions of the location of the rendezvous. This makes it possible to specify the Cartesian coordinate system used during the second burn. Then the first burn guidance scheme steers the interceptor onto a coasting ellipse, from which the second burn control method is able to achieve rendezvous.

The simulation program imitates all events of the flight. During the burn phases the program evaluates the input parameters to be processed by the control subprogram and determines the current values of the control. parameters by carrying out the proposed on-board computations. The cycle is closed by the numerical integration of a small portion of the vehicles' trajectories. The time increment is equal to the on-board computing time for one set of control variables (here .1... . 5 sec ).

In the following graphs we display the results from the simulation of a "Hohman-transfer-like" rendezvous with comparatively short burn durations (1 percent of the flight duration). The initial altitude difference of the two vehicles was assumed to be 300 km , and the interceptor was initially on a circular parking orbit, and its engine was characterized by $\lambda=22.20 \mathrm{~kg} / \mathrm{sec}$, $\mathbf{v}_{\mathbf{x}}=4.187 \mathrm{~m} / \mathrm{sec}, \mathrm{m}_{\mathrm{o}}=12951 \mathrm{~kg}$. Figure 9 shows the gross situation at this rendezvous.

The two-dimensional minimum problem involved ir the first burn guidance was solved with sufficient accuracy within four iteration steps starting from rather poor initial guesses.

The performance of the terminal guidance scheme under optimal first burn and coast conditions is shown in Figures 10 and 11 where all relative positions and velocities are simultaneously reduced to near-zero values.

Simulation of the same mission using the first burn control variables as computed by the near-optimal first burn guidance scheme results in substantial deviations from the optimal trajectory at the end of the coast period. Under such non-optimal conditions the terminal guidance scheme yields near zero terminal errors for both relative velocities and one position coordinate only. The second position coordinate $-x\left(t_{3}\right)=394$ meters in the example - must be zeroed during the docking phase.


Comparison of Trajectory Data

|  | Present Guidance <br> Scheme | Optim <br> COV Solution |
| :--- | :---: | :---: |
| First Burn Time $\sigma$ | 13.3 sec | 13.29 sec |
| Coast Time | 2318.4 sec | 2522.7 sec |
| Second Burn Time $\tau$ | 12.1 sec | 11.97 sec |
| Thrust Angles $\chi_{0}$ | $62.8^{\circ}$ | $64.9^{\circ}$ |
| $\chi_{1}$ | $62.8^{\circ}$ | $65.2^{\circ}$ |
| $\chi_{2}$ | $90.0^{\circ}$ | $73.9^{\circ}$ |
| $\chi_{3}$ | $90.0^{\circ}$ | $74.4^{\circ}$ |

Figure 9 - Simulated Rendezvous Mission of Short Burns Compared with Optimal Solution Gained from a COV Computer Program


Figure 10 - Second Burn Flight in Dual Phase Plane Representation Starting from Optimal (COV) Coast Trajectory. All Relative Velocities and Positions are Simultaneously Reduced to Near-Zero Values.


Figure 1.1. - Switching function $h$ versus time in the final phase of the rendervous
assuming optimal first burn and coast phases.


Figures 12 - Terminal Phase of Simulated,Total Mission with Non-Optimal First Burn and Coast Irajectory. Deviations from optimal first burn and coast phase result in finite terminal error of one position coordinate.


Figure 13 shows a rendezvous using the same interceptor. The initial altitude difference was 151.8 km , which caused the burn durations to increase up to about 3 percent of the flight duration.

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