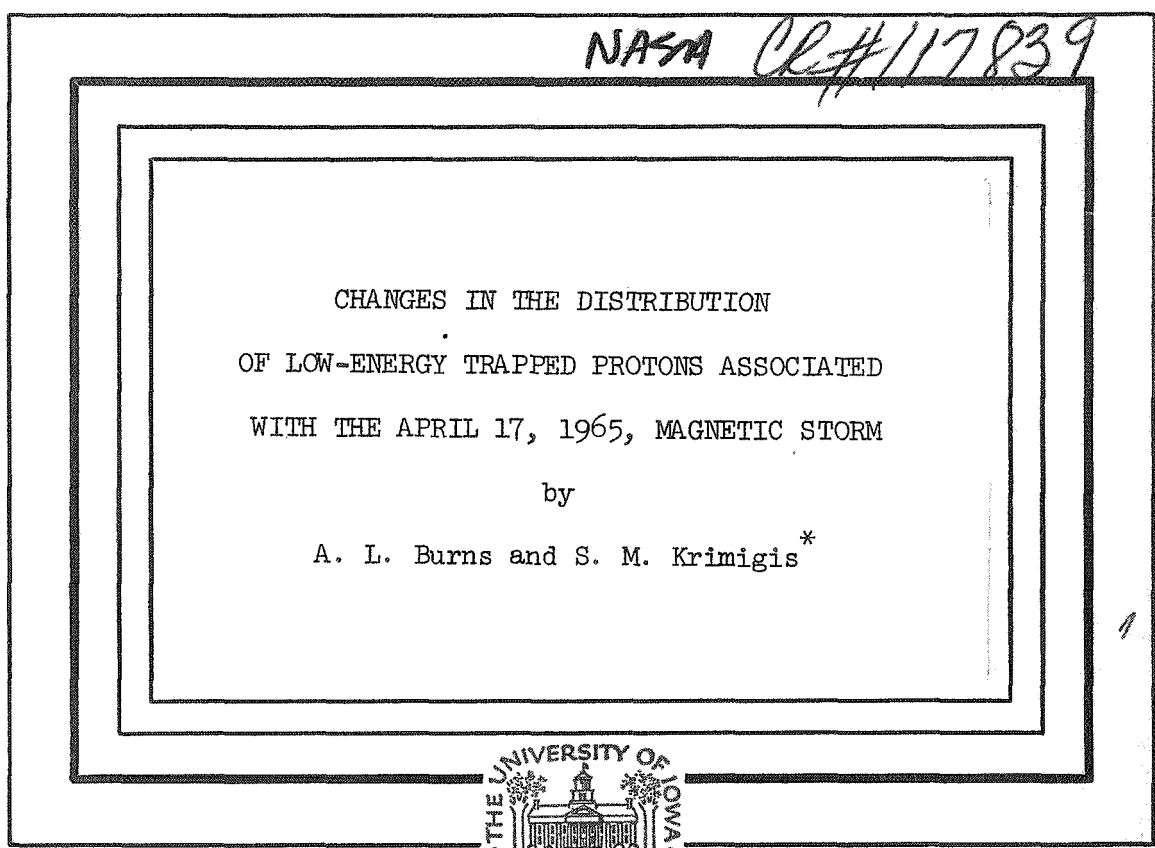


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CHANGES IN THE DISTRIBUTION  
OF LOW-ENERGY TRAPPED PROTONS ASSOCIATED  
WITH THE APRIL 17, 1965, MAGNETIC STORM

by

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## ABSTRACT

The absolute intensity of geomagnetically trapped protons in the energy ranges  $0.52 \leq E_p \leq 4.0$  Mev and  $0.90 \leq E_p \leq 1.8$  Mev has been measured with the solid state proton detector on the University of Iowa low altitude (initial apogee 2502 km, perigee 527 km), high latitude (inclination  $81^\circ$ ) satellite Injun 4 for the period March 1 to May 31, 1965. A study of the temporal variations of these fluxes associated with the April 17, 1965, magnetic storm (sc 1313 UT April 17, main phase onset  $\sim$  0200 UT April 18) shows a general redistribution of these protons for  $L \geq 2.5$  and all sampled  $|\vec{B}|$  ranges, which persisted for at least 36 days after the storm.

The effect of the sudden commencement was a general depression in the intensities and a hardening of the energy spectrums, although the intensities recovered to their pre-storm level during the initial phase. The major redistribution was apparently initiated by the polar substorm which began at  $\sim$  0620 UT on April 18, and continued long after (to 1100 UT) the substorm had subsided ( $\sim$  0800 UT). During the recovery phase a secondary peak developed in the intensity profile at  $L \sim 3.5$  for 0.52 Mev protons which had no counterpart at this energy at the equator (Davis, private communication, 1971). No such peak was observed for 0.9 Mev protons. The over-all effect

of the storm on the steady-state distribution was a nonadiabatic one with an increase in intensities at  $L \leq 3$  and a decrease at  $L \geq 3$ . The loss and/or gain of particles appears to be fractionally the same at all  $|\vec{B}|$  values sampled here. The post-storm dependence of the spectral parameter  $E_0$  on  $L$  generally follows the relation  $E_0 \propto L^{-3}$ , although the pre-storm dependence cannot be described by a simple function at all  $L$  values. The appearance of a secondary peak and the behavior of the pre-storm and post-storm spectrums are in qualitative agreement with the predictions of the bimodal diffusion model of Theodoridis et al. [1969] whereby the solar wind is the source of the observed protons.

## 1. INTRODUCTION

Since the discovery of the low-energy ( $\sim 1$  Mev) protons by Bame et al. [1962] and Davis and Williamson [1963], many measurements have been reported on the spatial distribution, energy spectrums, pitch-angle distributions, etc., particularly in the steady state (for a recent review see Williams [1970]). Studies of time variations, however, have been less extensive; specifically, studies of storm-associated changes reported by Davis and Williamson [1966], Brown et al. [1968], Söraas and Davis [1968] have been concerned with observations obtained near the equator. The present data have been acquired with a high-latitude low-altitude satellite and correspond to very small ( $\leq 20^\circ$ ) equatorial pitch angles which are not readily sampled with low-latitude spacecraft. One of the advantages of a polar-orbiting spacecraft is that several traversals of the radiation belts can be obtained while a storm is in progress, resulting in a more detailed picture of the time variations than is possible with an equatorial spacecraft. The data reported here cover the period March 1 to May 31, 1965, which includes the April 17, 1965, magnetic storm and thus extend the observations of Brown et al. [1968] to small equatorial pitch angles.

Experimental observations of such low-energy protons may be used in determining the mechanisms responsible for populating the earth's radiation belts. In particular, it will be possible to determine the presence or absence of adiabatic acceleration and/or deceleration processes and the nonadiabatic effects. Further, diffusion processes of the type that conserve  $\mu$  and  $J$ , but violate  $\mathcal{E}$  [Tverskoy, 1964; Nakada et al., 1965] or of the bimodal type whereby particle diffusion in  $L$  as well as energy [Theodoridis et al., 1969] may be evaluated. In addition, the importance of pitch-angle diffusion [e.g. Haerendel, 1970] can be examined with the availability of data with small equatorial pitch angles.

The present work reports changes in trapped proton fluxes in the energy intervals  $0.52 \leq E_p \leq 4$  Mev and  $0.90 \leq E_p \leq 1.8$  Mev with emphasis on the magnetic storm of April 17, 1965. Variations in absolute fluxes and energy spectrum are examined in several  $L, B$  intervals and the over-all flux changes are presented in  $B, L$  space contours. The general result is that redistribution of protons in the aforementioned energy ranges occurred during and after the storm, with an increase in the post-storm flux at  $2.5 \leq L \leq 3$  and a decrease at  $L \geq 3$ . Adiabatic effects were apparent at some  $L$  values during the storm ( $L \geq 3.6$  at 0.52 Mev), while the over-all nature of the event was nonadiabatic.



## 2. APPARATUS AND DATA SCHEME

The observations reported herein were obtained with the totally depleted gold-silicon surface barrier detector on the University of Iowa satellite Injun 4. The two proton modes, channels A and B were used in the present study. Channel A is sensitive to protons with  $0.52 \leq E_p \leq 4.0$  Mev while channel B is sensitive to protons of energy  $0.9 \leq E_p \leq 1.8$  Mev. The geometric factor is  $0.0064 \pm 0.0007$  cm<sup>2</sup> ster. Laboratory calibrations showed that the electron counting efficiency was  $< 10^{-7}$ . A fuller description of the detector and calibrations is given by Burns [1968] and Krimigis and Van Allen [1967]. During the time of these observations the satellite was oriented such that the detector axis was continuously perpendicular ( $\pm 10^\circ$ ) to  $\vec{B}$ , the local geomagnetic field vector.

The output from channels A and B are sampled once every four seconds with a duty cycle of 25%. All telemetered data from the satellite are merged with the orbit parameters  $|\vec{B}|, L$  and local time and then sorted into groups specified by selected intervals of  $|\vec{B}|$  and  $L$ . The intervals used here are  $0.1 R_e$  for  $L$  and  $0.02$  gauss for  $|\vec{B}|$ .

### 3. THE APRIL 17, 1965, MAGNETIC STORM

The magnetic storm of April 17, 1965, was characteristic of the so called 'standard type' [Akasofu, 1966] in that there was a sudden commencement, a positive initial phase, a negative main phase, and a subsequent recovery to the initial field strength. Cahill [1966] has used the Explorer 26 magnetometer data with the horizontal field data from several low latitude magnetic observatories in analyzing the effect of this magnetic storm on the earth's magnetic field. Meng and Akasofu [1967] have made a thorough study of this storm using data from many magnetic observatories. Both of these studies show that there was an asymmetric ring current around the earth during the beginning of the main phase until about 1200 UT on April 18. The data indicate that after 1200 UT on April 18 the ring current was symmetric.

Figure 1 shows the three hour  $K_p$  index (IAGA COMMISSION IV, Geophysikalisches Institut, University of Gottingen) and the  $D_{st}$  index (Sugiura and Henricks, Goddard Space Flight Center, Greenbelt, Maryland) for the month of April, 1965. The sudden commencement of the storm was observed on the earth at 1311-1314 UT on April 17 [Lincoln, 1966]. The  $D_{st}$  index shows a main-phase depression of -137 $\gamma$  with a subsequent recovery time of several days.

## 4. OBSERVATIONS

A. General Time Profile

Figures 2 and 3 show the response of channel A versus time for the month of April, 1965 for different values of  $L$  with  $|\vec{B}|$  constant. These figures show that the trapped proton fluxes were approximately constant before April 17. The time dependence of the flux after April 17 is seen to be different for three different regions in  $L$ : (1) For  $L \leq 2.4$  the flux is approximately constant (within statistical uncertainty) after April 17. (2) For  $2.4 \leq L < 3.0$  the flux increased and was approximately constant after April 18. The increase in flux, which was  $L$  dependent, was  $8 \times 10^3 \text{ (cm}^2\text{-sec-ster)}^{-1}$  for  $L \sim 2.5$  and increased for larger  $L$  to  $3 \times 10^4 \text{ (cm}^2\text{-sec-ster)}^{-1}$  for  $2.7 \leq L < 2.8$ . The increase for these two cases are about 20% and 35% respectively. (3) For  $L \geq 3.0$  the flux decreased sharply on April 18. The decrease was about a factor of 2 at  $3.0 \leq L < 3.1$  and became greater with increasing  $L$  such that for  $L \geq 3.3$  the decrease was more than a factor of 10. The subsequent time behavior in this region was also  $L$  dependent. For  $3.0 \leq L < 3.1$  the flux was approximately constant after the decrease on April 18. For higher values of  $L$  following the initial decrease, the flux increased with time in approximately

an exponential manner. The time constant for this increase is about 5 days at  $3.3 \leq L < 3.4$  and decreases for larger  $L$  values to about 2 days at  $3.7 \leq L < 3.8$ .

The corresponding plots of the response of channel B during the same time interval are shown in Figures 4 and 5. It is seen that the changes in flux at this energy can be characterized by the same three regions as for channel A. However, the recovery time for  $L \geq 3.3$  is seen to be longer and there is an indication that the flux did not return to the pre-storm value for  $L \geq 3.0$ .

Comparing Figure 1 to Figure 3, one sees that for  $L \geq 3.6$  the flux of 0.52 Mev trapped protons changed in time in a manner quite similar to the time behavior of the  $D_{st}$  index. This fact is consistent with adiabatic deceleration and reacceleration of these protons in a manner similar to that observed by McIlwain [1966] for 40 to 110 Mev protons in the inner zone ( $L \sim 2$ ). We do note, however, that the time behavior of 0.9 Mev protons is clearly nonadiabatic. The energy dependence of the deceleration-reacceleration process can be seen by examining the development of the energy spectrum.

Figures 6 and 7 show  $E_0$  versus time for the month of April for various  $L$  values with constant  $|\vec{B}|$ .  $E_0$  was approximately constant for April 1-17 for all  $L$  values above  $L = 2.2$ . The effect of the magnetic storm on the energy spectrum depends strongly

on  $L$ . For  $L \leq 2.5$  there was no statistically significant change in  $E_0$  after the magnetic storm. For  $2.5 \leq L \leq 2.8$ ,  $E_0$  increased gradually after the storm. For  $L \geq 2.8$ , the effect of the storm was a sharp reduction in  $E_0$ . For  $2.8 \leq L \leq 3.3$ ,  $E_0$  was at a minimum value at 0902 UT on April 18 and then increased with time for about three days to values which were then constant. The final value of  $E_0$  for  $2.8 \leq L < 2.9$  was higher than the pre-storm value, whereas for  $L \geq 2.9$  the value of  $E_0$  after April 21 was below the pre-storm value.

#### B. Effect of the Sudden Commencement

The  $L$  dependence of the changes in fluxes associated with the magnetic storm are seen more clearly by showing the measured flux versus  $L$  for a constant  $|\vec{B}|$  for individual satellite passes during the storm.

Figures 8 and 9 show the responses of the two channels plotted versus  $L$  for  $0.18 \leq |\vec{B}| < 0.20$  gauss for passes before and after the sudden commencement. As indicated in the figures, these passes are at magnetic local times of 4.5 to 6 hours. The average for the period March 1-April 17 is also shown to indicate the pre-storm conditions. Of the four passes before the sudden commencement, only the one at 0753 UT shows fluxes which are at the pre-storm level for  $L \sim 3.0$ ; all the others show reduced fluxes. The values of the spectral parameter  $E_0$  calculated from these passes are shown in Figure 10. The values of  $E_0$  are somewhat above the

pre-storm values for the three passes before 1141 UT. However on this pass the values are at the pre-storm average for  $L \geq 3.3$ . The first pass after the sudden commencement, at 1341 UT, shows reduced fluxes and increased values of  $E_0$ . Since this effect on  $E_0$  is much more pronounced in this pass than in either the one at 0559 UT or 0945 UT, it is probably attributable to the sudden commencement at  $\sim 1313$  UT. Thus, it appears that the immediate effect of the sudden commencement was a general depression in the intensities and a hardening in the energy spectrum. The hardening in the spectrum persists until the onset of the main phase.

### C. The Initial Phase

Cahill [1966] has shown that the magnetosphere is compressed during the initial phase of this magnetic storm. The effect of this compression on the trapped protons can be seen in Figures 8 and 9 for the pass at 0240 UT on April 18, at geographic local time of 4.0 hours. From the Hermanus magnetogram (located at 3.9 hours local time [Cahill, 1966]), the horizontal component of the magnetic field was near the maximum increase above the pre-storm level. Thus the satellite is still in the compressed magnetosphere for this pass. The fluxes measured by the two channels on this pass for  $0.18 \leq |\vec{B}| < 0.20$  gauss are above the pre-storm levels for  $2.6 \leq L \leq 3.2$ . The values of  $E_0$  for this pass are shown versus  $L$  in Figure 10. These values are above the pre-storm values. The

effect of the positive initial phase of the magnetic storm is an enhancement of the proton fluxes at the energies measured here with no change from the sudden commencement spectrum.

#### D. Development of the Main Phase

The main phase field depression begins at most low-latitude ground stations between 0200 and 0300 UT on April 18. The responses of the two channels on the first few satellite passes after this decrease are shown in Figures 11 and 12. These passes are all at magnetic local times of 3.3 to 6.5 hours. The pass at 0514 UT shows reduced intensity for  $L \leq 3.25$  for both channels. In addition, the intensity has increased at  $L \geq 3.5$  for channel A. This increase occurs about one hour prior to a similar increase observed by Brown et.al. [1968] close to the equator with Explorer 26. Thus it appears that the initial increase at large  $L$  values may have commenced at small equatorial pitch angles. The next available data, the pass at 0902 UT, shows an increase for  $L \leq 3.25$  and a decrease for  $L \geq 3.25$ . At 1055 UT the intensity is further increased for  $L \leq 3.0$  and greatly reduced for  $L \geq 3.0$ , while on the next day the same general situation prevails.

An examination of the passes at 0514 and 0902 UT, together with Figure 13, reveals the following: (1) There was a loss of particles for  $L \geq 3.2$  and a gain at  $L \leq 3.2$  at both energies.

(2) For the latter region the spectrum has become softer, i.e., lower energy particles preferentially moved into this region.

(3) For  $L \geq 3.2$  the particle loss was the same for both low and high energies since the spectrum has maintained its post-sudden commencement form. These two passes may be compared with similar observations (Davis, private communication, 1971) on Explorer 26 at  $E \geq 345$  keV at  $40^\circ$  equatorial pitch angle which show the particle loss down to  $L$  values of 2.8. This is an additional indication that particle increases commence at small equatorial pitch angles and progress towards  $90^\circ$ . We note here that particle loss, gain, and/or redistribution must have taken place during the polar substorm which lasted from  $\sim 0602$  to  $\sim 0800$  UT since no particle effects were observed following the onset of the storm main phase at  $\sim 0200$  UT. Thus it appears that the polar substorm is intimately associated with the mechanism(s) of proton acceleration and/or redistribution in this energy range.

#### E. The Recovery Phase

Figure 14 shows the responses for the two channels on four characteristic passes during the recovery phase. As can be seen in the figure, these passes have nearly the same  $|\vec{B}|$  dependence and are at magnetic local times of 3.9 to 4.3 hours. The pass at 1609 UT on April 19 shows that both channels measured an intensity maximum at  $L = 2.85$ . The pass at 1125 UT on April 20 shows a



secondary peak in the distribution for channel A at  $L \sim 3.5$ . The pass at 1040 UT on April 21 shows that the secondary peak was still present and that the flux for  $L \geq 3.5$  has increased, changing the shape of the profile. The pass at 1154 UT on April 22 shows that the flux had increased from the previous day for  $L \geq 3.3$  and that the shape of the distribution had changed slightly. This pass on April 22 shows fluxes which are nearly equal to the post-storm average. Figure 14 shows that the data from channel B does not show the formation of a secondary maximum at 0.9 Mev.

#### F. The Steady-State Pre-Storm and Post-Storm Distributions

The net change in the proton distribution due to the magnetic storm is found by comparing the average flux for the pre-storm and post-storm periods. These two periods have been defined as March 1-April 17 and April 25-May 31. During each of these two periods the proton fluxes were approximately constant.

Figures 15 and 16 show the intensity versus  $L$  contours for  $0.18 \leq |\vec{B}| < 0.20$  gauss for these two periods for the two channels. It is seen that the flux was unchanged for  $L \leq 2.5$ , increased for  $2.5 \leq L \leq 3.0$ , and reduced for  $3.0 \leq L \leq 3.7$  for channel A and  $L \geq 3.0$  for channel B. From these figures it is seen that the intensity maximum for the pre-storm distribution was at  $L = 3.0 \pm 0.05$  for  $0.18 \leq |\vec{B}| < 0.20$  gauss for both channels A and B. The post-storm maximum for the same  $|\vec{B}|$  value was at  $L = 2.8 \pm 0.05$  for both channels.

Figure 17 shows the  $L$  dependence of the measured spectral parameter  $E_0$  for these two periods for  $0.18 \leq |\vec{B}| < 0.20$  gauss. It is seen that for  $2.3 \leq L \leq 2.8$ ,  $E_0$  was increased after the storm period, and for  $L > 3.0$  it was lower. In both distributions  $E_0$  was proportional to  $L^{-3}$  for higher  $L$  values. The region of this dependence for the pre-storm distribution was  $3.4 \leq L \leq 4.4$ , and for the post-storm distribution it was  $2.6 \leq L \leq 4.4$ . The dependence of  $E_0$  on  $L$  will be discussed in greater detail in a later section.

The over-all effect of the storm on the steady-state particle distribution may be seen by examining the  $|\vec{B}|, L$  intensity contours before and after the storm. Figure 18 shows constant intensity contours for channel A for the two periods March 1 to April 17 and April 25 to May 31. It is seen that: (1) There was no change in the distribution after the magnetic storm for the  $|\vec{B}|$  values sampled here for  $L \leq 2.5$ . (2) For the pre-storm distribution the maximum intensity was observed at  $L = 3$  at  $|\vec{B}| = 0.19$  gauss for channel A. The maximum was at higher  $L$  values as  $|\vec{B}|$  increased, being at  $L = 3.2$  at  $|\vec{B}| = 0.26$  gauss. For the post-storm distribution, the intensity maximum was at  $L = 2.8$  for  $0.16 \leq |\vec{B}| \leq 0.26$  gauss. (3) The secondary intensity peak evidently exists up to  $|\vec{B}|$  values of at least 0.3 gauss and it moves to higher  $L$  values as  $|\vec{B}|$  increases.

In general, there was a particle increase in a region bordered by  $2.5 \leq L \leq 2.9$  and  $0.18 \leq |\vec{B}| \leq 0.30$ , and a decrease in the region bordered by  $2.9 \leq L \leq 3.8$  and  $0.18 \leq |\vec{B}| \leq 0.34$ .

Figure 19 shows the corresponding constant intensity contours for channel B. From this figure it is seen that: (1) There was no change in the distribution after the magnetic storm for  $L \leq 2.4$  for the  $|\vec{B}|$  values sampled here. (2) As in the data of channel A, the intensity maximum occurred at lower L values for a given  $|\vec{B}|$  value after the storm. The intensity maximum was at  $L = 2.8$  for  $0.16 \leq |\vec{B}| \leq 0.30$  gauss.

In general, there was a particle increase in the region bordered by  $2.4 \leq L \leq 2.9$ ,  $0.15 \leq |\vec{B}| \leq 0.30$  and a decrease in in the region bordered by  $L \geq 2.9$  and  $0.15 \leq |\vec{B}| \leq 0.30$ .

The actual magnitude of the change in flux, defined by

$$\Delta j = j_{\text{After}} - j_{\text{Before}}$$

as a function of  $|\vec{B}|$  for several L values for channel A can be seen in Figure 20. The over-all features are naturally the same as those found in the previous two figures. In the region where there was a general decrease, the net particle loss for  $3.1 \leq L \leq 3.4$  increases as  $|\vec{B}|$  decreases, i.e., the loss is larger at larger equatorial pitch angles. However the fractional loss at

each  $|\vec{B}|$  value is approximately the same  $\left(\frac{\Delta j}{j} \sim 0.3 \text{ to } 0.5\right)$ , i.e., the same percentage of particles was lost at all pitch angles. For the L range  $3.4 \leq L \leq 3.8$ , there exists a peak in the  $\Delta j$  versus  $|\vec{B}|$  contour which reflects the presence of the secondary peak noted in the previous four plots. The fractional loss, however, is approximately constant even in this range of L. Although one may gain the impression that  $\Delta j$  becomes zero or positive as one approaches the equator, the data of Söraas and Davis [1968] show that the same fractional decrease ( $\sim 0.6$ ) was observed for equatorial particles. It appears that in the range  $3.0 \leq L \leq 3.7$  and for all pitch angles there was a loss of 0.52 Mev protons. Further, for  $2.5 \leq L \leq 3$ , there was an increase which was also present at all the pitch angles observed here and may have extended to the equator.

## 5. SUMMARY OF OBSERVATIONS

In the preceding sections we have presented data which show the redistribution of trapped protons following the April 17, 1965, magnetic storm. The most important features of the observations may be summarized as follows:

- (a) There was a semi-permanent increase in the intensity of 0.52 and 0.90 Mev trapped protons at  $L \leq 3.0$  and a similar decrease at  $L \geq 3.0$ .
- (b) All changes were energy-dependent as reflected in the change of the energy parameter  $E_0$ .
- (c) The immediate effect of the sudden commencement on trapped protons was a general depression in the intensities and a hardening of the energy spectrum.
- (d) During the initial phase, particle intensities were enhanced although the spectrum remained the same.
- (e) The most important effects on the proton population during the main phase were observed following the polar substorm, although some intensity increases for 0.52 Mev protons were observed at  $L \geq 3.5$  about one hour before the substorm.

(f) During the recovery phase a secondary intensity peak developed for 0.52 Mev protons at  $L \gtrsim 3.5$ . No such peak was observed for 0.9 Mev protons.

(g) The energy spectrum following the storm became harder at  $L \leq 2.8$  and softer at  $L \gtrsim 2.8$ , and the  $E_0 \sim L^{-3}$  dependence was thus extended over a wider range in  $L$ .

(h) It appears that the loss and/or gain of particles was fractionally the same for a given  $L$  at all equatorial pitch angles observed here.

## 6. DISCUSSION

### A. Overall Effects

It is apparent from the observations that the over-all effect of the April 17, 1965, magnetic storm was a nonadiabatic change in the distribution of low-energy ( $E \geq 0.52$  Mev) trapped protons in the radiation belt. In addition, the redistribution profile was not monotonic, i.e., there was an increase in particle fluxes below  $L \sim 3$  and a decrease above the same value. Similar behavior of protons at  $1.2 \leq E \leq 2.2$  Mev for this storm has been observed at small pitch angles by Bostrom et. al. [1970], although the effects at  $E_p \geq 2.2$  Mev were not as pronounced. Bostrom et. al. [1970] also show the effects of the May, 1967 magnetic storm, where the behavior of low-energy protons was qualitatively similar in that increases were observed down to  $L \sim 2$ . Thus it appears that following a magnetic storm loss and gain of protons is limited both in spatial extent and in energy, although the distribution may vary from event to event. Following Bostrom et. al. [1970], we suggest that this may indicate a resonance phenomenon in the magnetosphere.

This view is supported by the observations of Söraas and Davis [1968] at large ( $> 40^\circ$ ) equatorial pitch angles where they observed a decrease in the flux of  $E > 0.513$  Mev protons at

$L \geq 3$ , although they show an increase for energies  $0.134 \leq E \leq 0.300$  Mev. It is not clear whether the increase at  $E \geq 0.52$  Mev observed at high latitudes at  $2.5 \leq L \leq 3$  was also present at the equator because SØraas and Davis [1968] present data at  $L = 2.5$  and  $L = 3.0$ , but not in the 2.5 to 3.0 interval.

Differences are observed, however, in the recovery of intensities at the equator when compared to those at high latitude. Specifically, it can be seen from Figure 3 that at  $L \geq 3.7$  the intensity attained its pre-storm level by the time  $D_{st}$  had approached zero. SØraas and Davis show that the intensity at  $L = 3.5$  and  $L = 4$  had not attained its pre-storm level for at least 50 days after the storm. We conclude from this comparison that replenishment of low-energy protons occurs much faster at high latitudes than at the equator for these  $L$  values. We recognize, however, that the rate of replenishment could be the same at all pitch angles and still appear as a slow recovery at the equator because of the much higher initial fluxes there. It appears that although the same percentage of particles was lost at all pitch angles (section 4F), the percentage rate of recovery is much higher at high latitudes than at the equator.

The data presented here at values  $3.1 \leq L < 3.7$  show a qualitatively similar behavior to those obtained at the equator, in that the observed recovery at high latitudes is very long



(> 44 days). The data of McIlwain [1966] for 40 Mev protons also show a nonadiabatic decrease at  $L \geq 2.6$  which lasted for at least 20 days.

#### B. Sudden Commencement and Initial Phase

It was shown in section 4B that fluxes were decreased and the spectrum became harder following the sudden commencement. Such a hardening of the spectrum is expected from the compression of the magnetosphere during the initial phase. However, the effect of the compression on the fluxes is not readily discernable because the degree of compression on a given field line is not accurately known. The calculation of the expected intensity changes at a given point in B,L space are complicated by two competing effects: (1) The fact that one may be sampling the intensity on a field line having a larger pre-event L value, and (2) that particles having an energy which is originally below the detector threshold have now become energized and are counted by the detector. Hence it is not clear whether at a given L value the intensity should increase or decrease, and by what amount. We note the fluxes did return to their pre-sudden commencement level as the initial phase developed.

### C. Main Phase and Recovery Phase

As remarked in section 4, the effects on the particle distribution during the main phase occurred during and after the polar substorm. It can be seen from Figures 11 to 13 that large changes in the particle distribution took place well after ( $\sim 1100$  UT) the substorm had subsided ( $\sim 0800$  UT). In fact the particle gain at  $L \leq 3.0$  and loss at  $L \geq 3.0$  was more or less complete by 1100 UT and the intensity profile had not changed substantially 24 hours later.

The most striking feature of the recovery phase was the growth of a secondary intensity peak at  $L \sim 3.5$  for 0.52 Mev protons, but not for 0.90 Mev ones. Davis (private communication, 1971) does not observe a similar peak at 0.513 Mev at the equator for at least seven days after the substorm. Figure 14 shows that the peak had already developed by April 20. We must then conclude that at this energy (0.52 Mev) there was injection of new particles at small equatorial pitch angles. It is possible that a similar number of particles was injected at all pitch angles, but that the fractional increase at large pitch angles was too small to be observed. It is not possible to identify the origin of these injected particles without full knowledge of the complete time history at all pitch angles and L values.

#### D. The Proton-Energy Spectrum

The steady-state energy spectrum before and after the storm may be understood in terms of bimodal diffusion as discussed by Theodoridis et. al. [1969]. Briefly, the model attempts to reproduce trapped particle intensity profiles by use of two competing diffusion processes, one of which conserves the first adiabatic invariant  $\mu$  and the other the particle energy  $E$ . If the probability of constant  $\mu$  diffusion is denoted by  $F_1$  while that for constant  $E$  diffusion is denoted by  $F_2$ , Theodoridis et. al. obtain good agreement with observed proton profiles for  $F_2/F_1$  ratios of 0.1 to 0.025. Further, by introducing enhanced  $\mu$  diffusion over short periods of time ( $\sim 1$  day), the bimodal diffusion model is able to reproduce a secondary proton peak in the intensity-L profile which is qualitatively similar to the one actually observed for 0.52 Mev protons following the geomagnetic storm.

The most remarkable accomplishment of the model, however, appears to be the accurate prediction of the dependence of  $E_0$  on  $L$ . Theodoridis et. al. show that the steady-state profile of  $E_0$  versus  $L$  can be generally separated into three different regions:

(1) For  $L \leq 3$ ,  $E_0 \propto L^{-\delta}$  where  $\delta$  is much less than 3, and could be as small as 1. (2) For  $3 \leq L \leq 4.5$ , the value of  $\delta \sim 3$ . (3) For  $L \geq 4.5$  to 5,  $E_0$  is more or less independent of  $L$ . This behavior is accurately similar to the pre-storm distribution observed in

Figure 17, and is obtained for  $F_2/F_1 \sim 0.1$ . Following strong geomagnetic activity the bimodal diffusion model predicts a wider L range of applicability for the  $E_0 \sim L^{-3}$  relation as is observed in our post-storm distribution also shown in Figure 17. Unfortunately, the observations do not extend beyond  $L \sim 4.5$  so that the range over which  $E_0$  is independent of L cannot be checked. We do note, however, that this 'knee' in the  $E_0$  versus L profile was also observed at the equator for  $L \geq 4$  by Krimigis and Armstrong [1966] and Armstrong and Krimigis [1968]. It appears that an adjustment of the constants used by Theodoridis et. al. [1969] may result in a detailed reproduction of the experimental results in the bimodal diffusion approximation.

It is apparent from the above that the qualitative behavior of the spectrum before and after the April 17, 1965, storm can be satisfactorily accounted for by the bimodal diffusion model. Constant  $\mu$  diffusion alone would not be able to account for the observations.

As a general comment, we note that the low-altitude high-latitude observations such as those presented in this paper should prove extremely useful in obtaining information on the effectiveness of pitch angle diffusion in populating small equatorial pitch angles in the manner discussed by Haerendel [1970].

## ACKNOWLEDGMENTS

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## FIGURE CAPTIONS

- Figure 1. The three hour  $K_p$  index (IAGA Commission IV, Geophysikalisches Institut, University of Gottingen) and the  $D_{st}$  index (Sugiura and Hendricks, Goddard Space Flight Center, Greenbelt, Maryland) for the month of April 1965.
- Figure 2. The response of channel A vs time for the month of April 1965 with  $0.18 \leq |\vec{B}| < 0.20$  gauss for the region  $2.2 \leq L \leq 3.0$ .
- Figure 3. Same as Figure 2 for  $3.0 \leq L \leq 3.8$ .
- Figure 4. The response of channel B vs time for the month of April 1965 for  $0.16 \leq |\vec{B}| < 0.18$  gauss and  $0.18 \leq |\vec{B}| < 0.20$  gauss for the region  $2.2 \leq L \leq 3.0$ .
- Figure 5. Same as Figure 4 for  $0.18 \leq |\vec{B}| < 0.20$  gauss and  $3.0 \leq L \leq 3.8$ .
- Figure 6. The spectral parameter  $E_o$  vs time for April 1965 with constant  $|\vec{B}|$  and  $L$ . The data are for  $0.16 \leq |\vec{B}| < 0.18$  gauss and  $0.18 \leq |\vec{B}| < 0.20$  gauss for  $2.2 \leq L \leq 2.8$ .
- Figure 7. Same as Figure 6 with  $0.18 \leq |\vec{B}| < 0.20$  gauss and  $0.20 \leq |\vec{B}| < 0.22$  for  $2.8 \leq L \leq 4.1$ .

- Figure 8. The response of channel A vs L for satellite passes on April 17 and 18. The data are for  $0.18 \leq |\vec{B}| < 0.20$  gauss. As indicated, the magnetic local times for these passes are from 3.3 to 6.8 hours.
- Figure 9. Same as Figure 8 for channel B.
- Figure 10. The spectral parameter  $E_0$  vs L for satellite passes on April 17 and 18, calculated from the data shown in Figures 8 and 9. The solid line drawn for each pass shows the pre-storm average for the period March 1 to April 17, 1965, for  $0.18 \leq |\vec{B}| < 0.20$  gauss.
- Figure 11. The response of channel A vs L for satellite passes on April 18 and 19 for  $0.18 \leq |\vec{B}| < 0.20$  gauss. The data are for magnetic local times of 4.6 to 6.5 hours. The solid line for each pass is the average for March 1 to April 17 for the same  $|\vec{B}|$  value. Note the large change in the profile from 0900 to 1100 UT, well after the end of the substorm ( $\sim$  0800 UT).
- Figure 12. Same as Figure 11 for channel B.
- Figure 13. The spectral parameter  $E_0$  vs L for the four passes on April 18 and 19 shown in Figures 11 and 12. Data is for  $0.18 \leq |\vec{B}| < 0.20$  gauss and  $0.20 \leq |\vec{B}| < 0.22$  gauss. The solid line through the data is the average for  $0.18 \leq |\vec{B}| < 0.20$  gauss for the period March 1 to April 17. The dashed line is the average for the same  $|\vec{B}|$  value for the period April 25 to May 31, 1965.

- Figure 14. Response of channels A and B vs L for four satellite passes on April 19 to April 22, 1965. As indicated, the passes all have nearly the same  $|\vec{B}|$  dependence. The magnetic local times for these passes are from 3.9 to 4.3 hours.
- Figure 15. Intensity vs L profiles for channel A for the two periods March 1 to April 17 and April 25 to May 31, 1965, for  $0.18 \leq |\vec{B}| < 0.20$  gauss.
- Figure 16. Same as Figure 15 for channel B.
- Figure 17. The spectral parameter  $E_0$  vs L for the two periods March 1 to April 17 and April 25 to May 31, 1965, for  $0.18 \leq |\vec{B}| < 0.20$  gauss. Both scales are logarithmic and both solid lines have slopes of  $-3$ .
- Figure 18. Constant intensity contours for channel A for the two periods March 1 to April 17 and April 25 to May 31, 1965.
- Figure 19. Same as Figure 18 for channel B.
- Figure 20. The net change in flux,  $\Delta j$ , vs  $|\vec{B}|$  for constant values of L in the interval  $2.4 \leq L \leq 3.7$ .  $\Delta j$  is defined as  $\Delta j = (j_{\text{After}} - j_{\text{Before}})$  where After and Before refer to the periods April 25 to May 31 and March 1 to April 17, respectively. Note that the fractional change  $\Delta j/j$  is roughly constant at all B values.

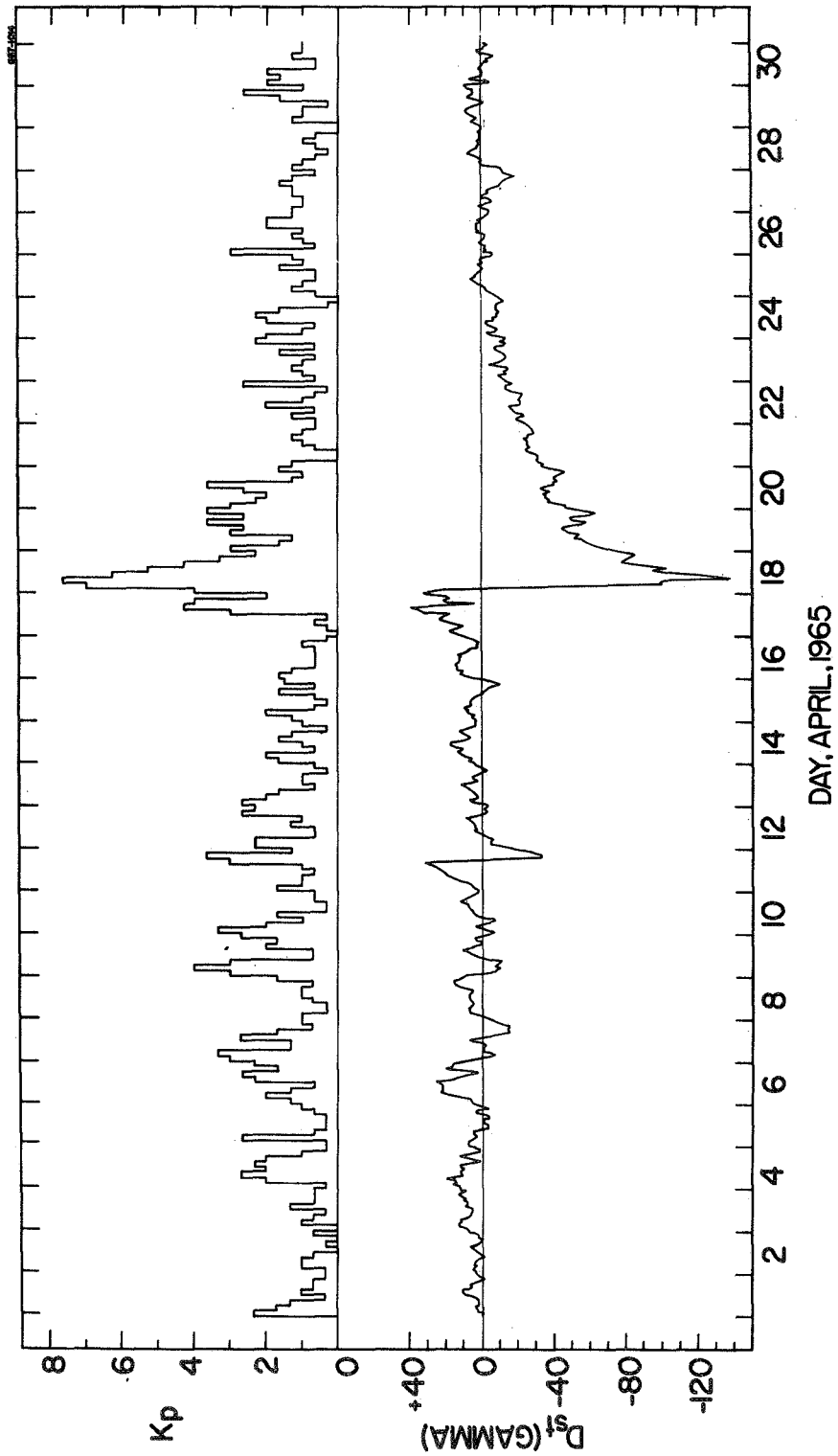


Figure 1.

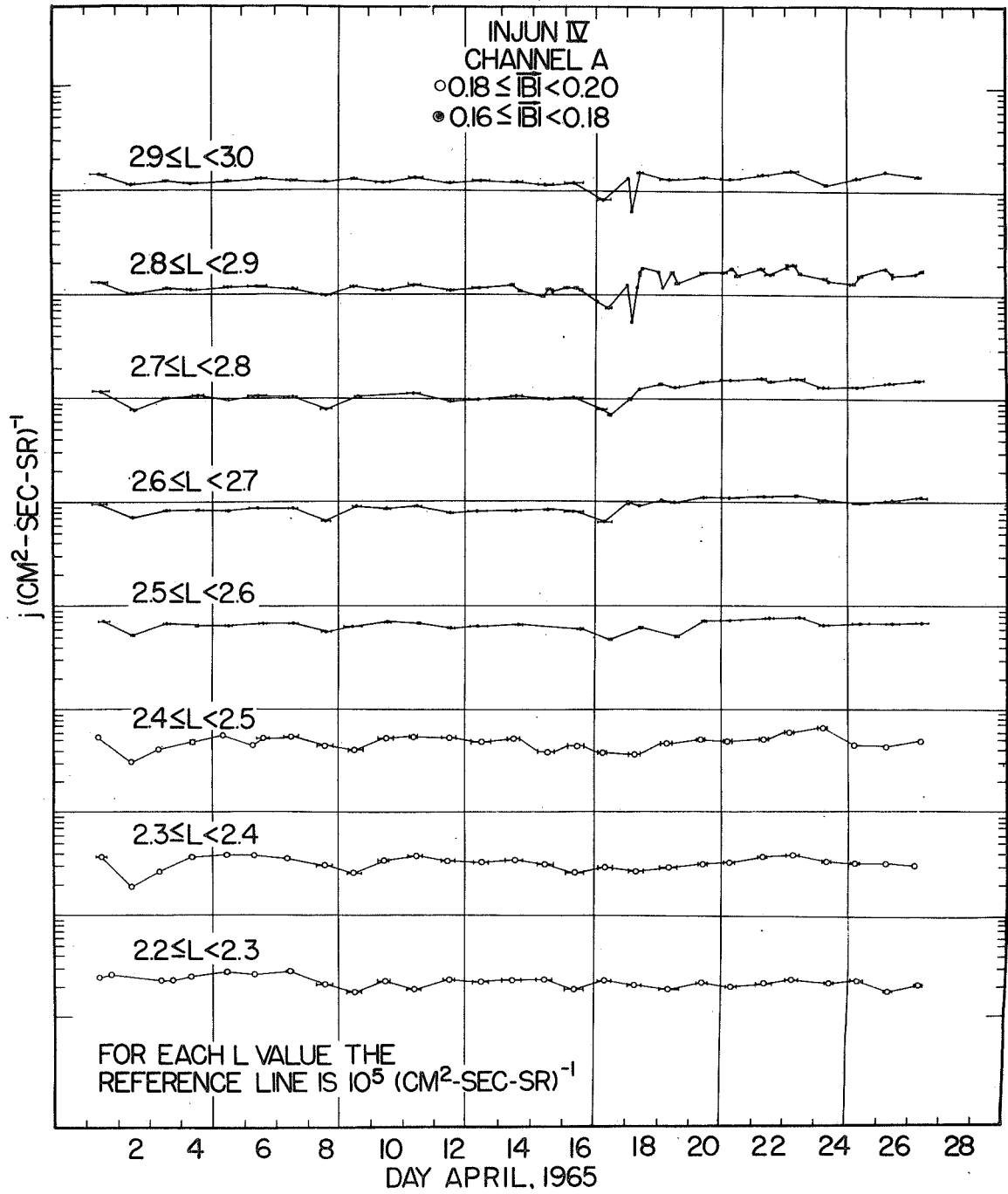


Figure 2.

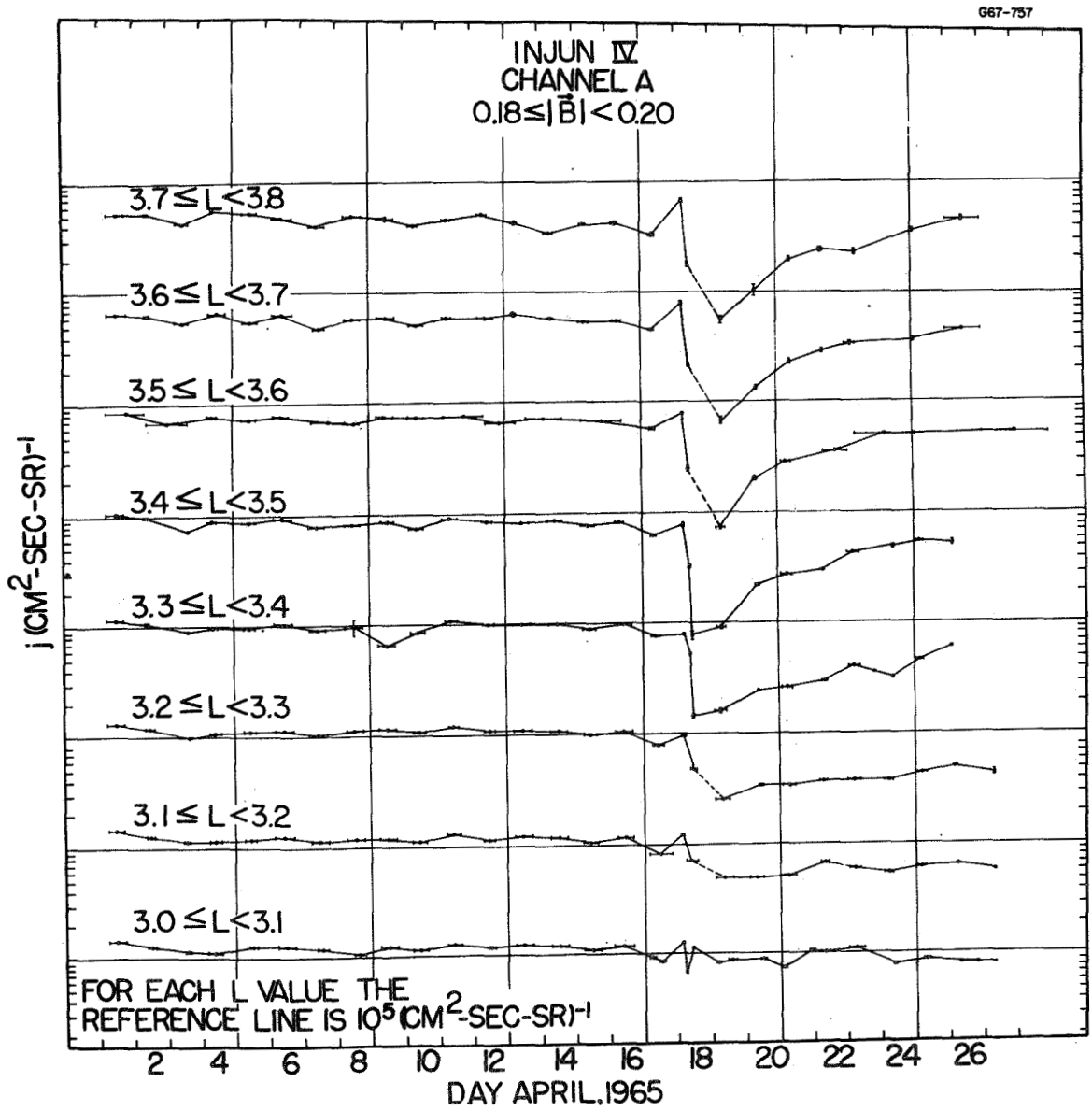


Figure 3.

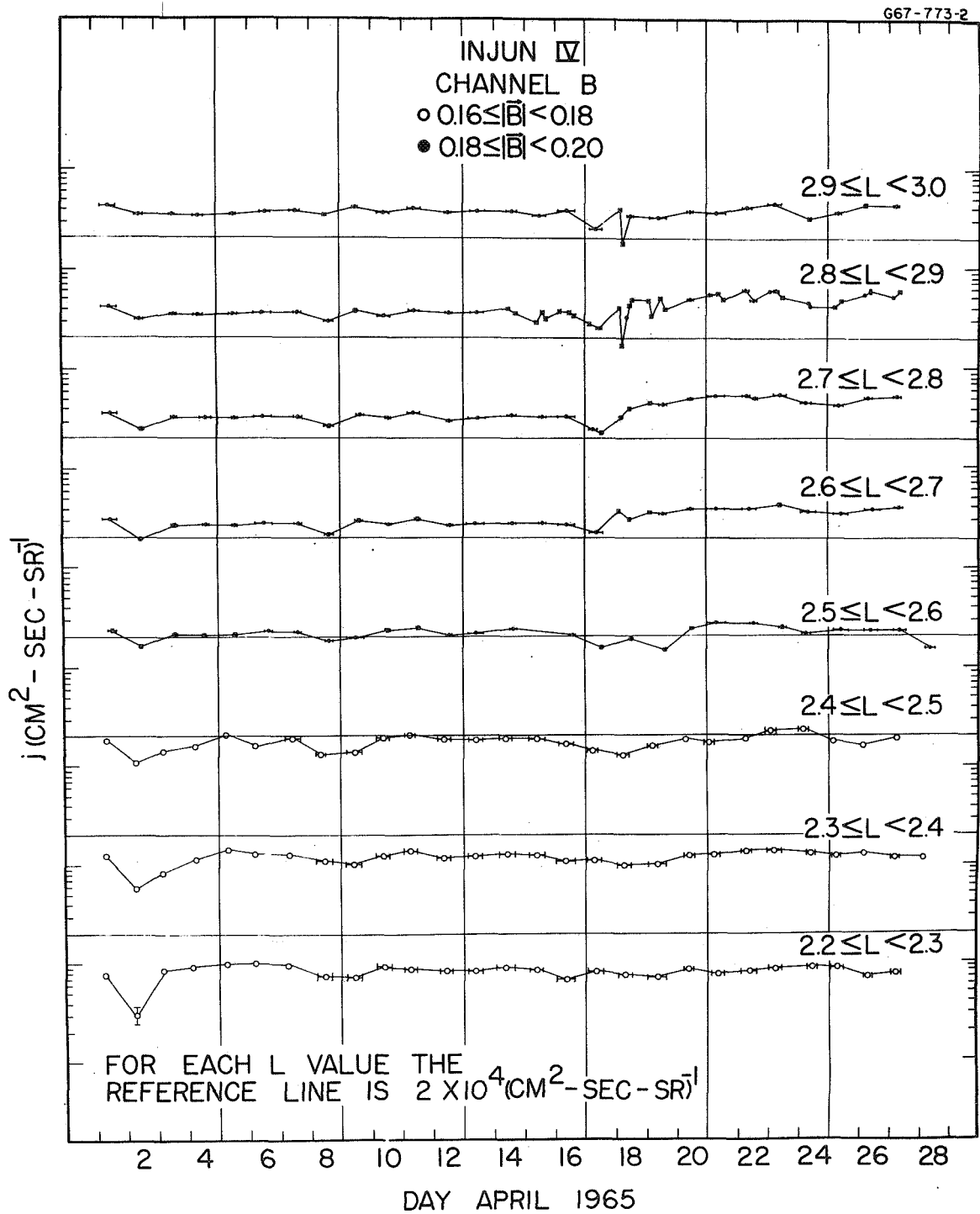


Figure 4.

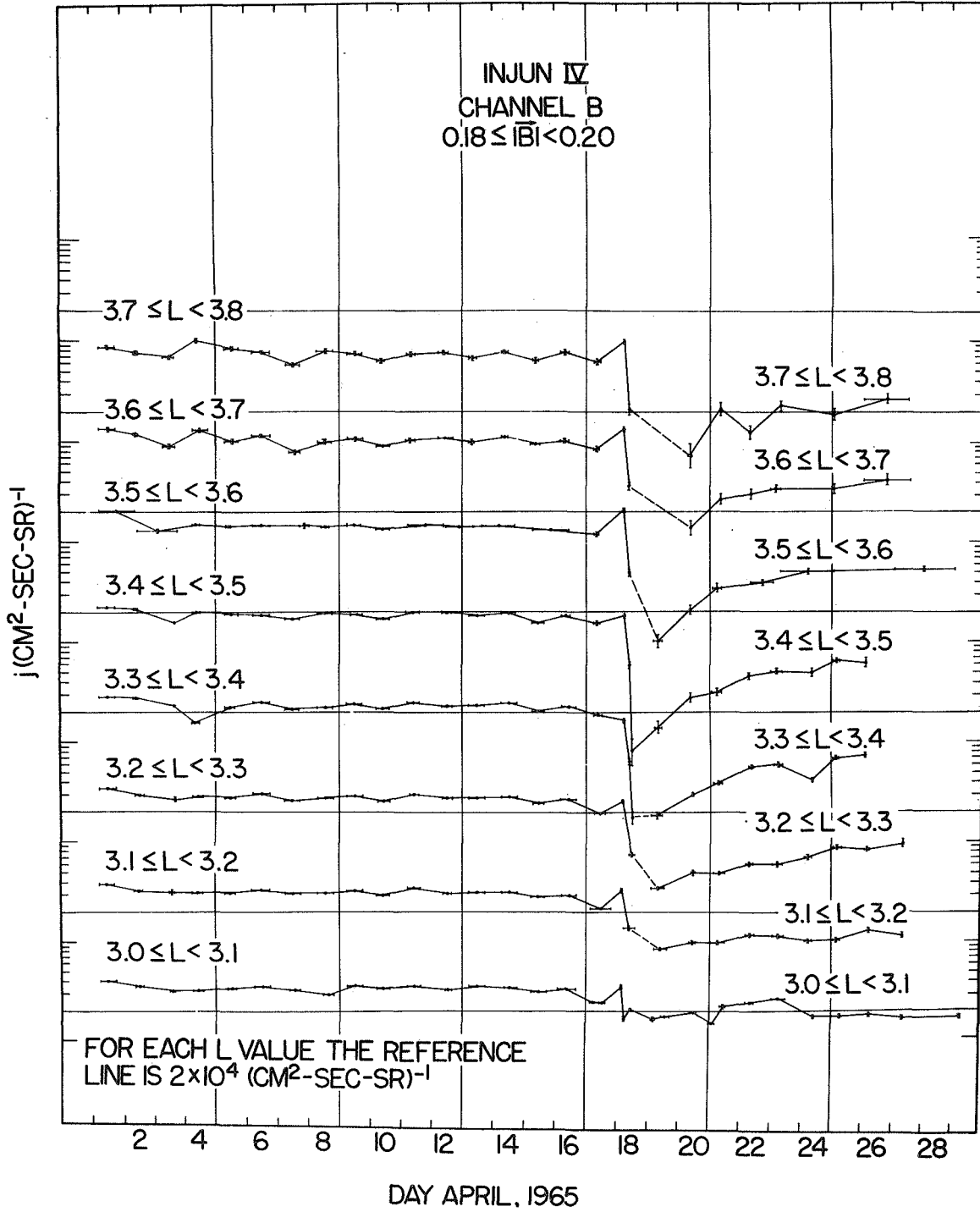


Figure 5.



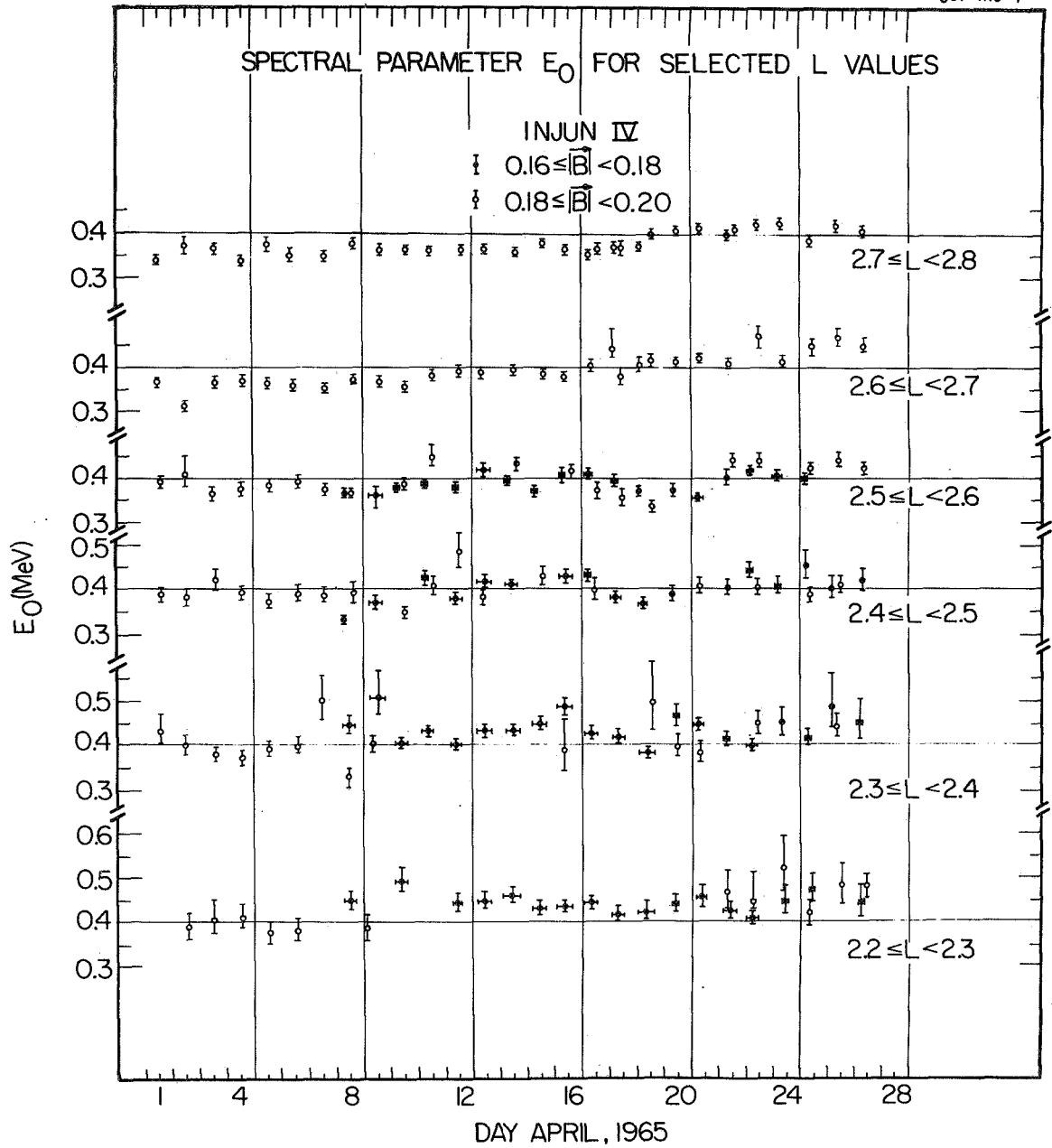


Figure 6.

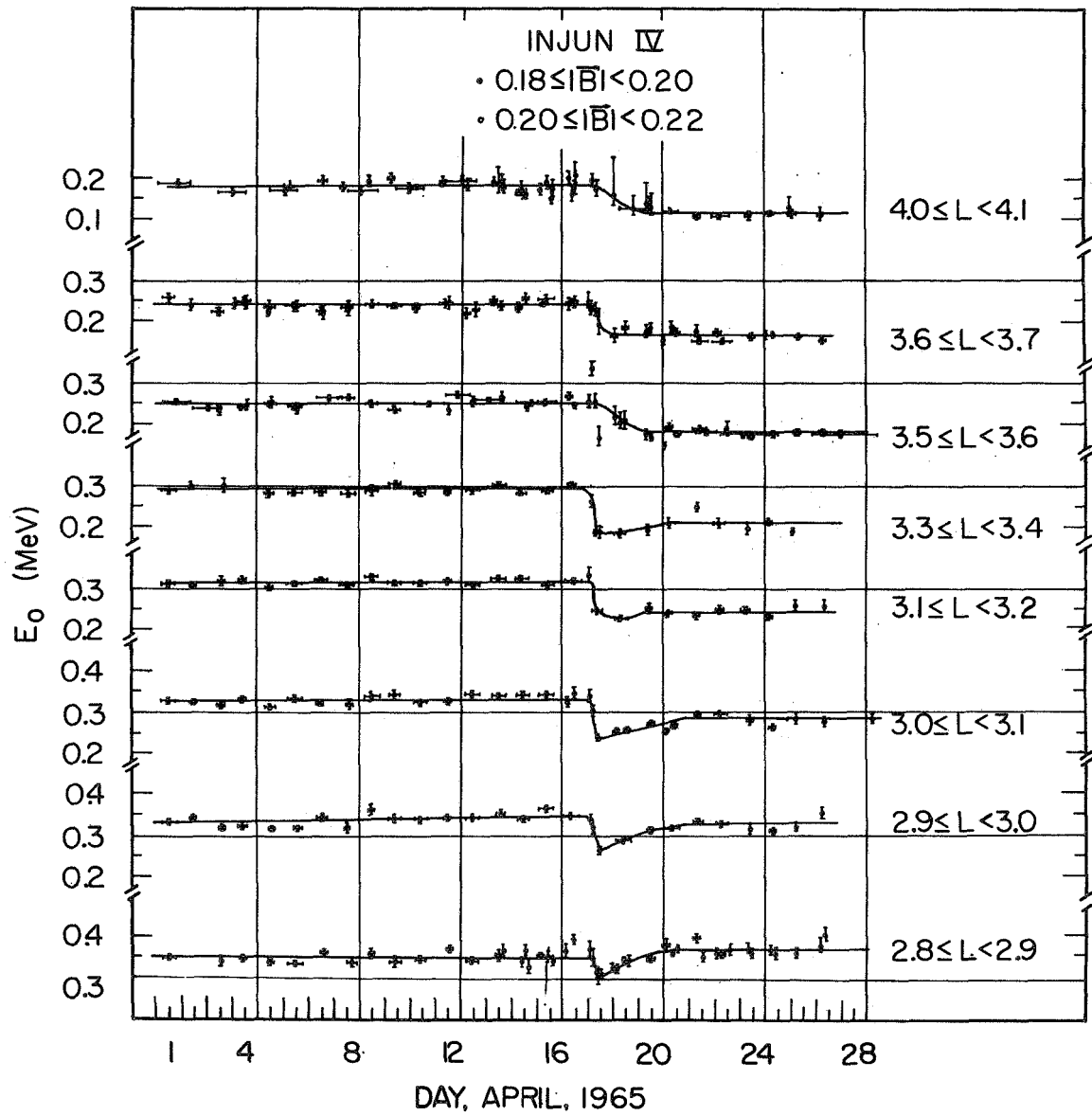
SPECTRAL PARAMETER  $E_0$  FOR SELECTED L VALUES

Figure 7.

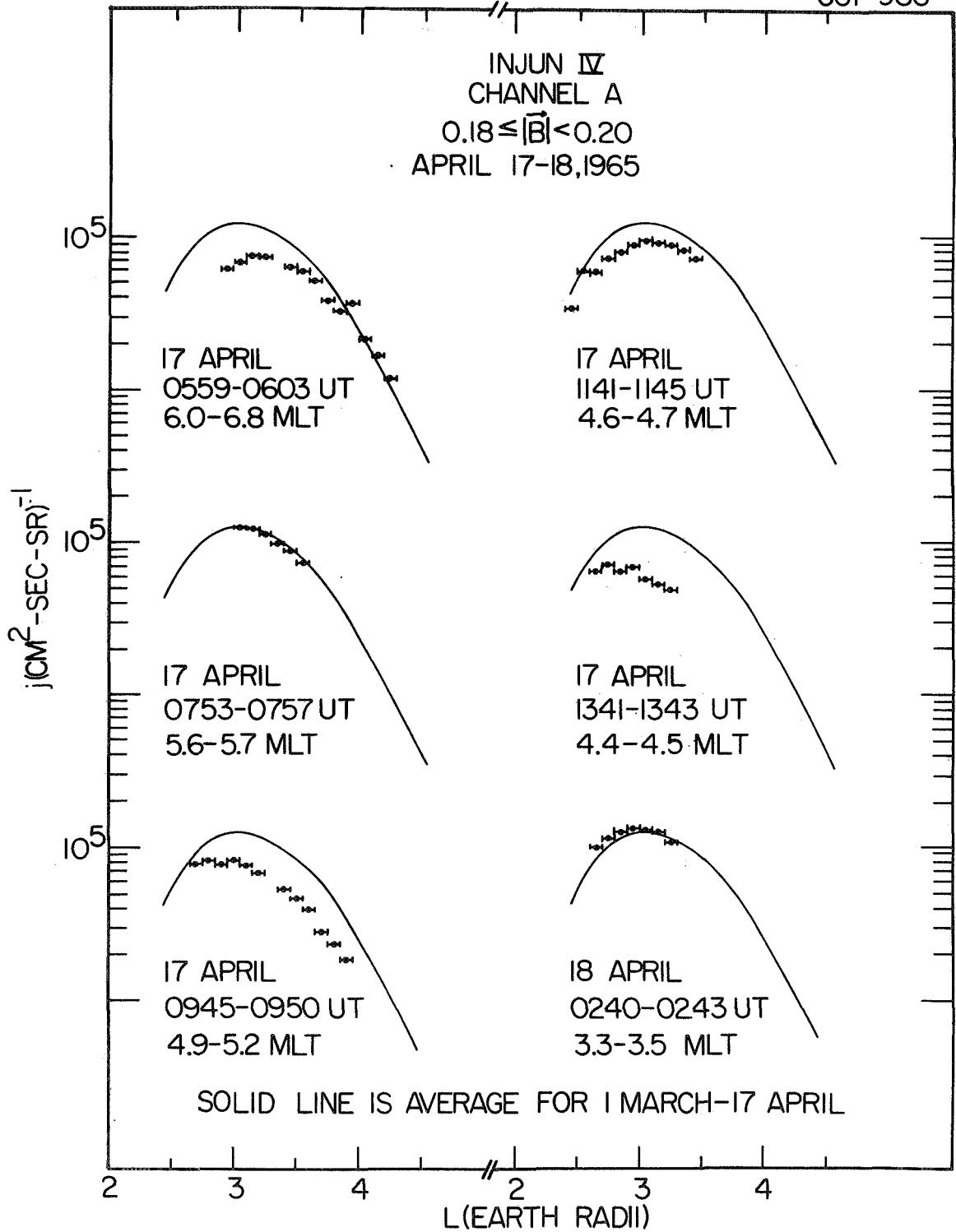


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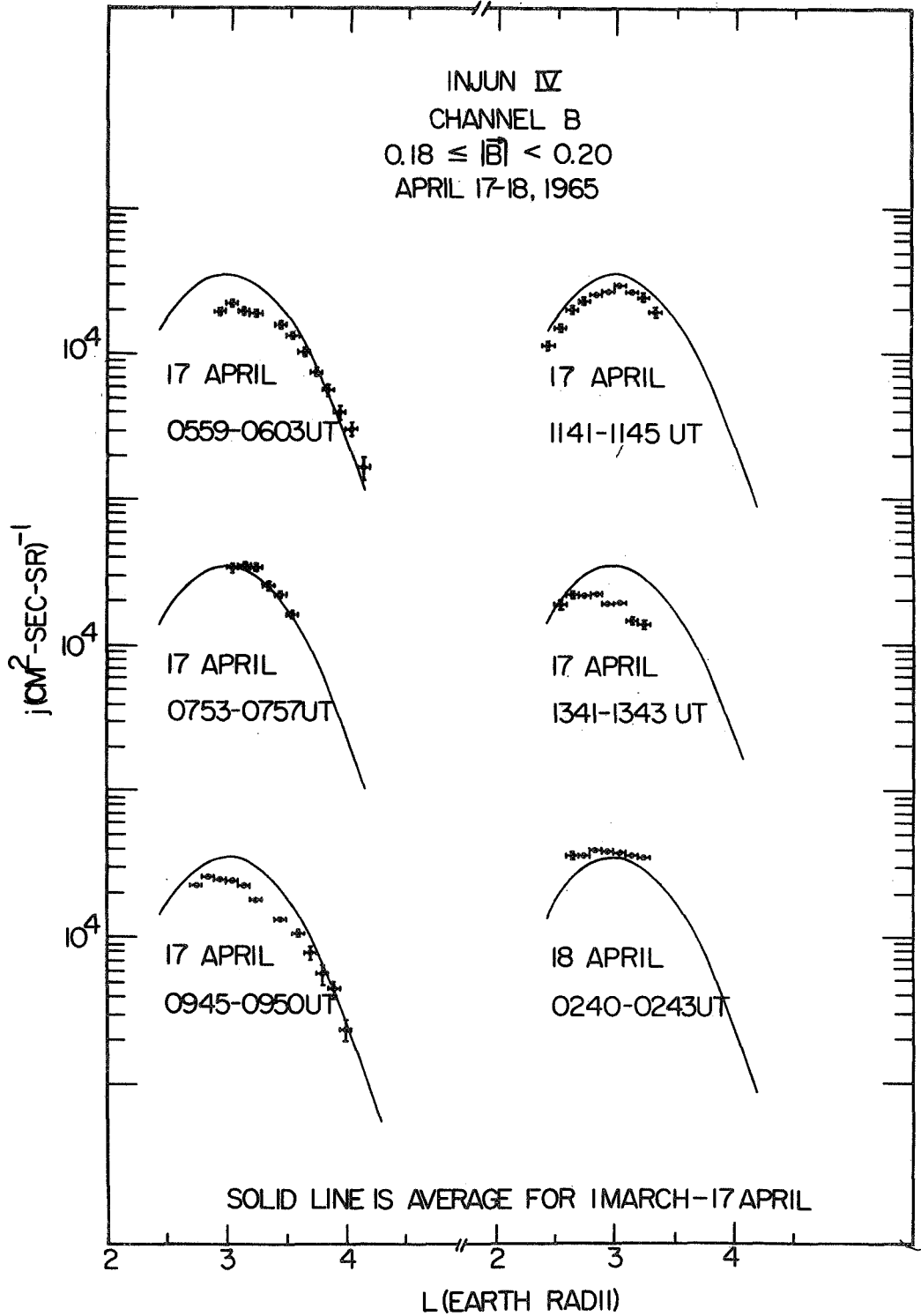


Figure 9.

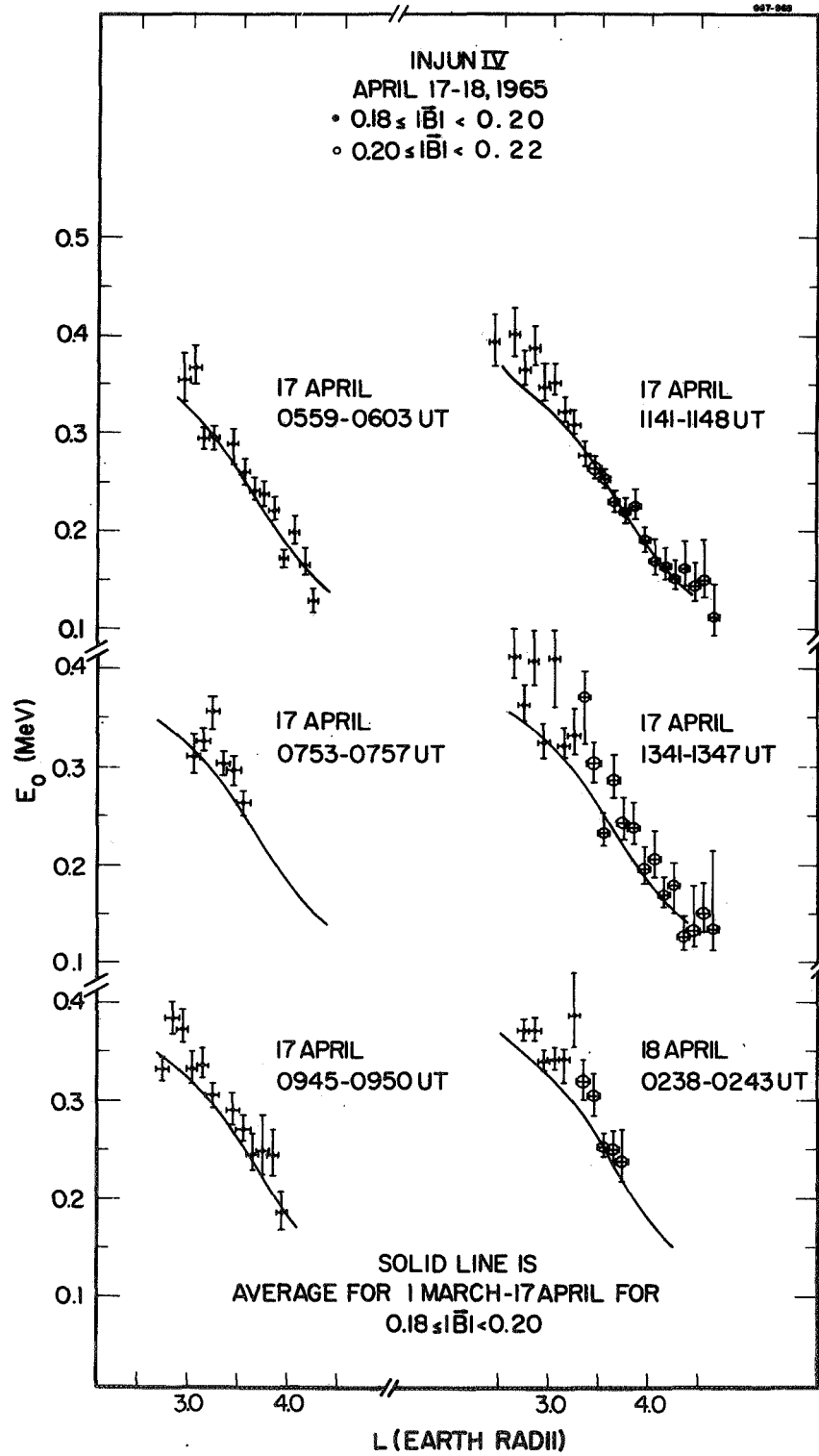


Figure 10.

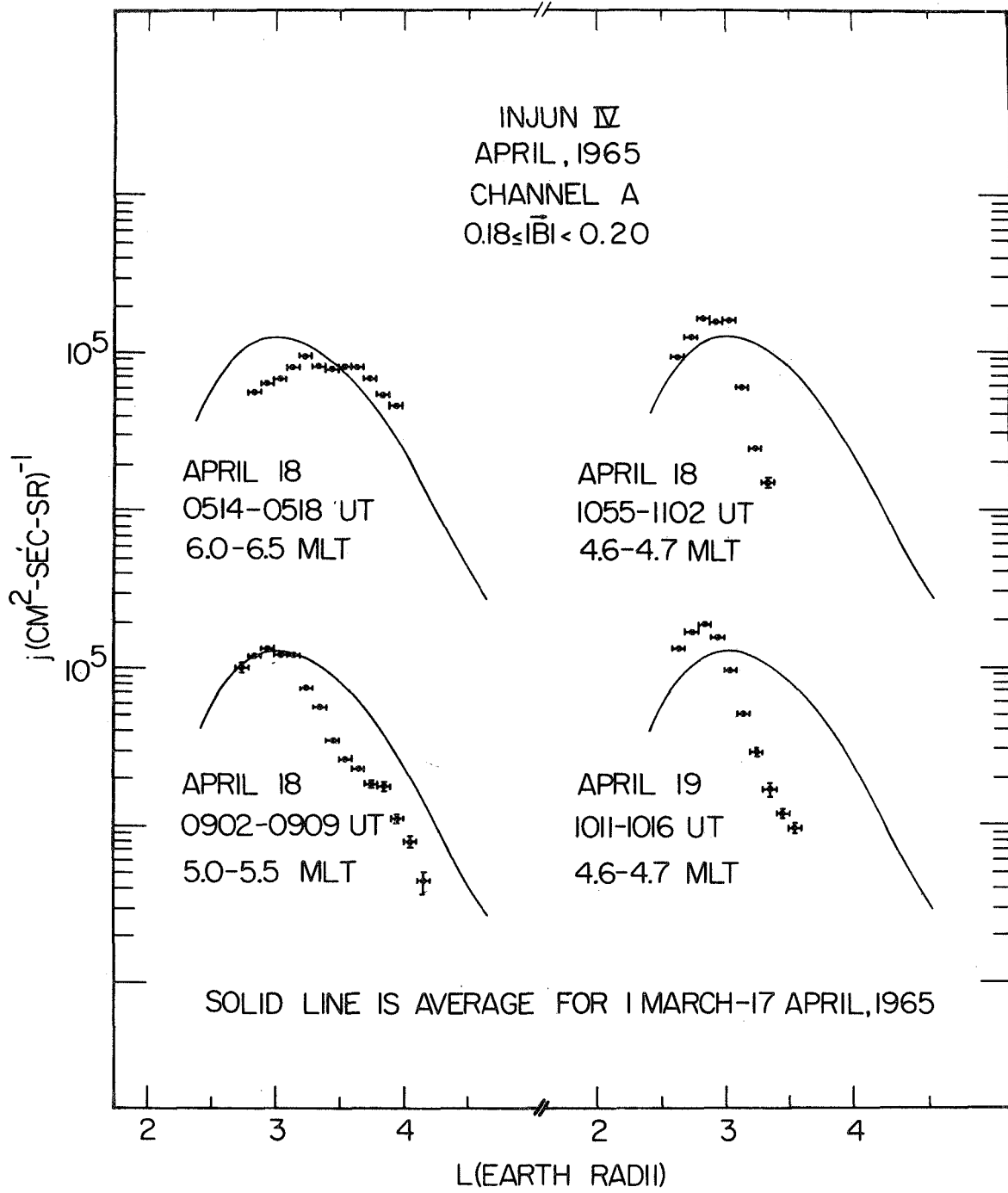


Figure 11.

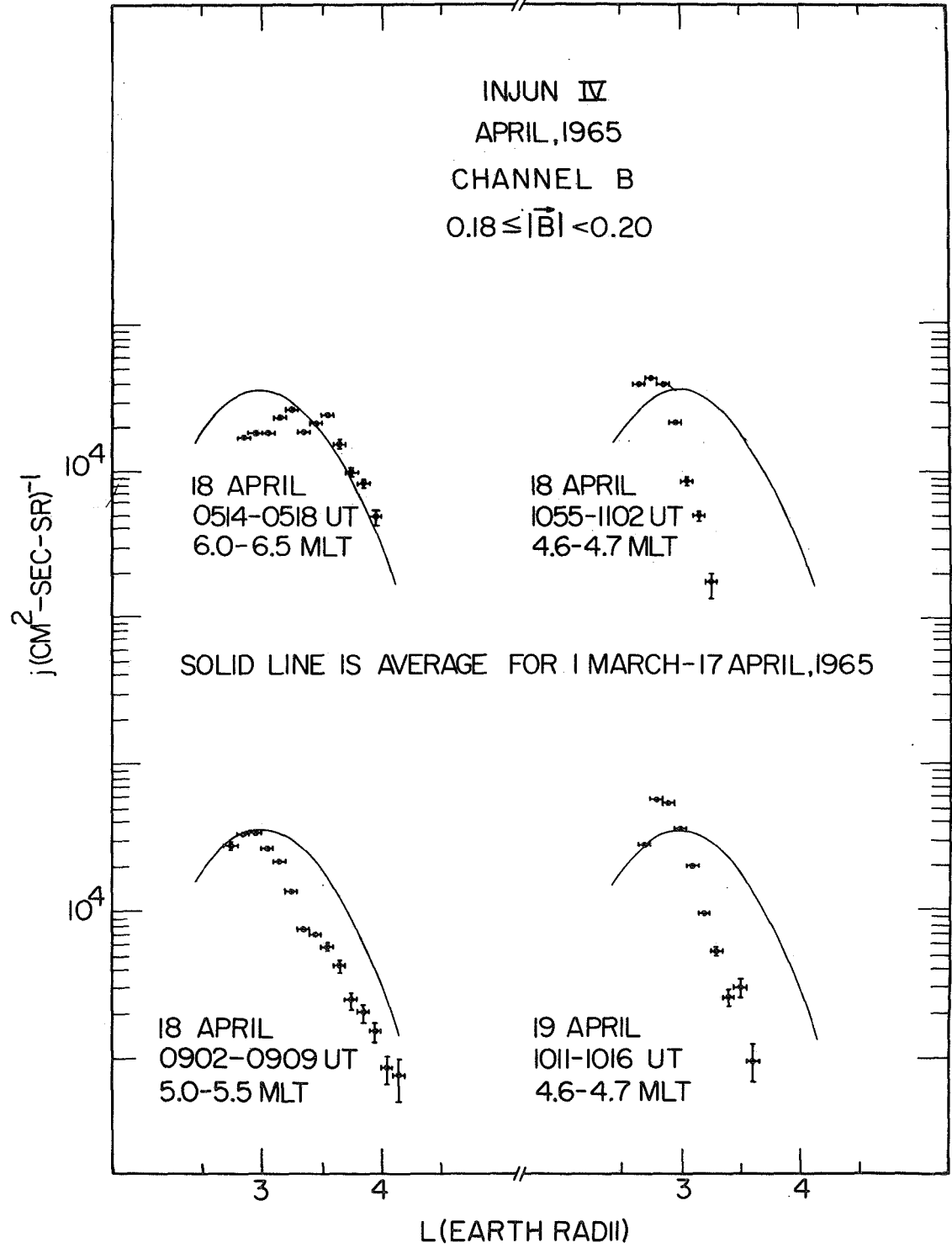


Figure 12.

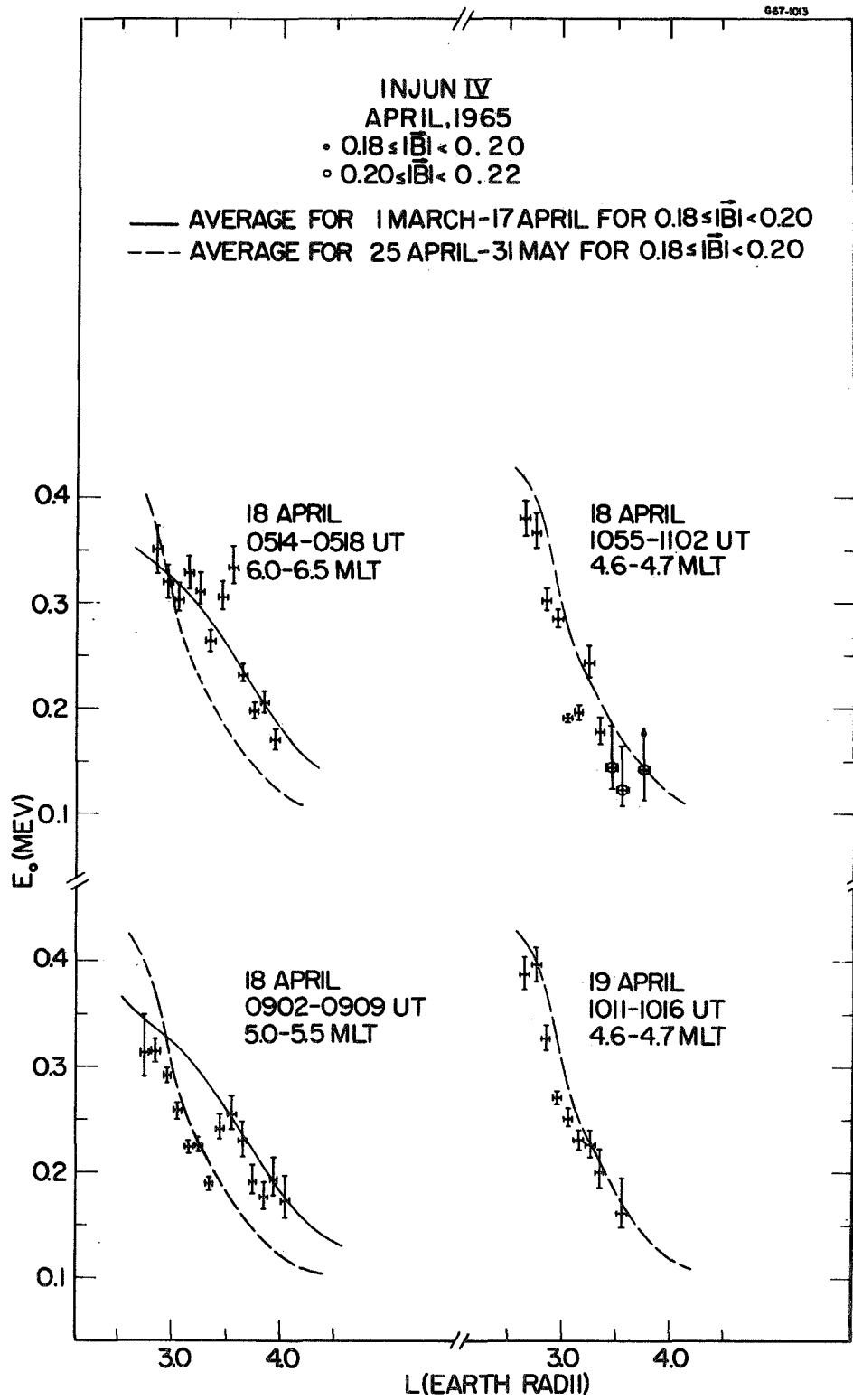


Figure 13.



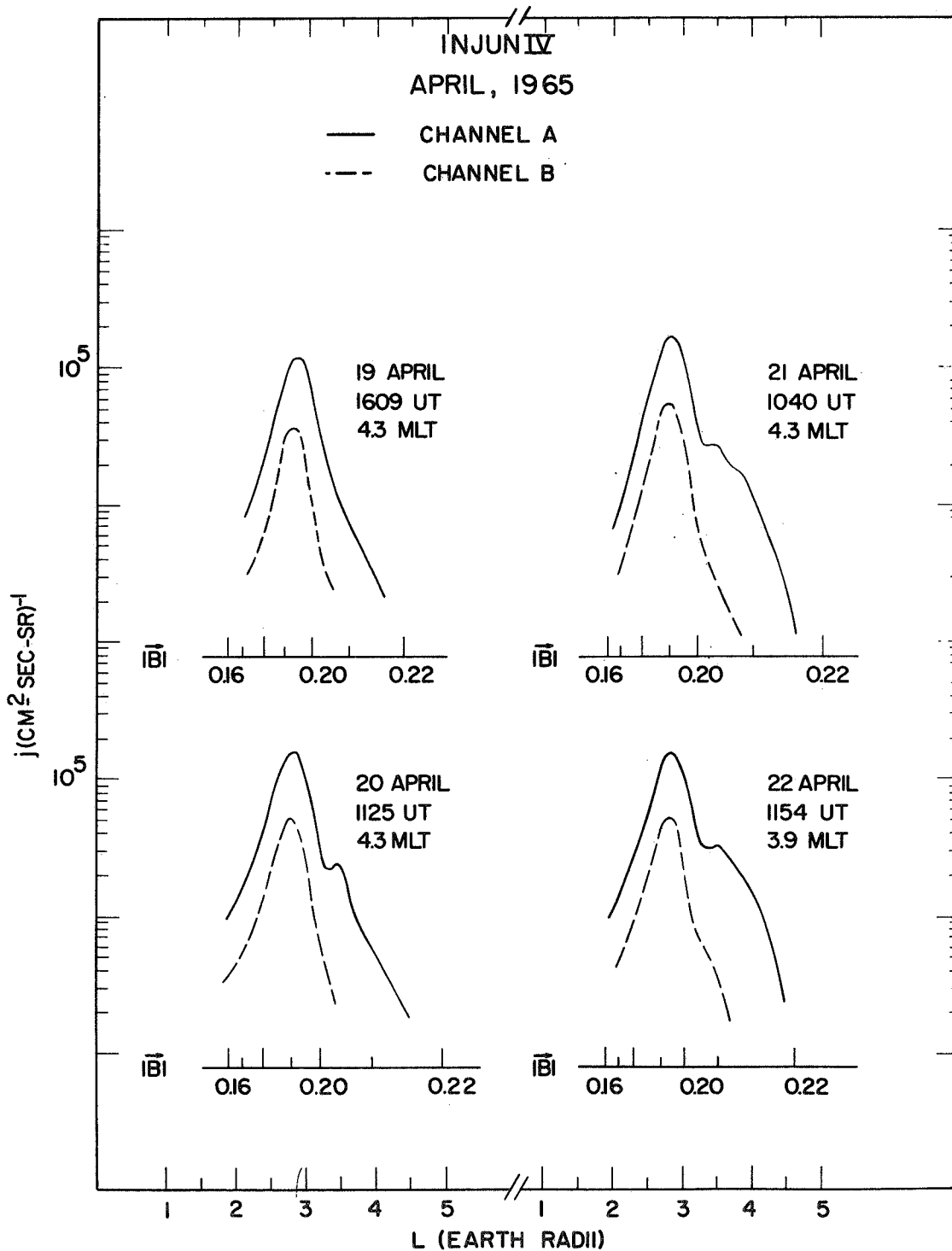


Figure 14.

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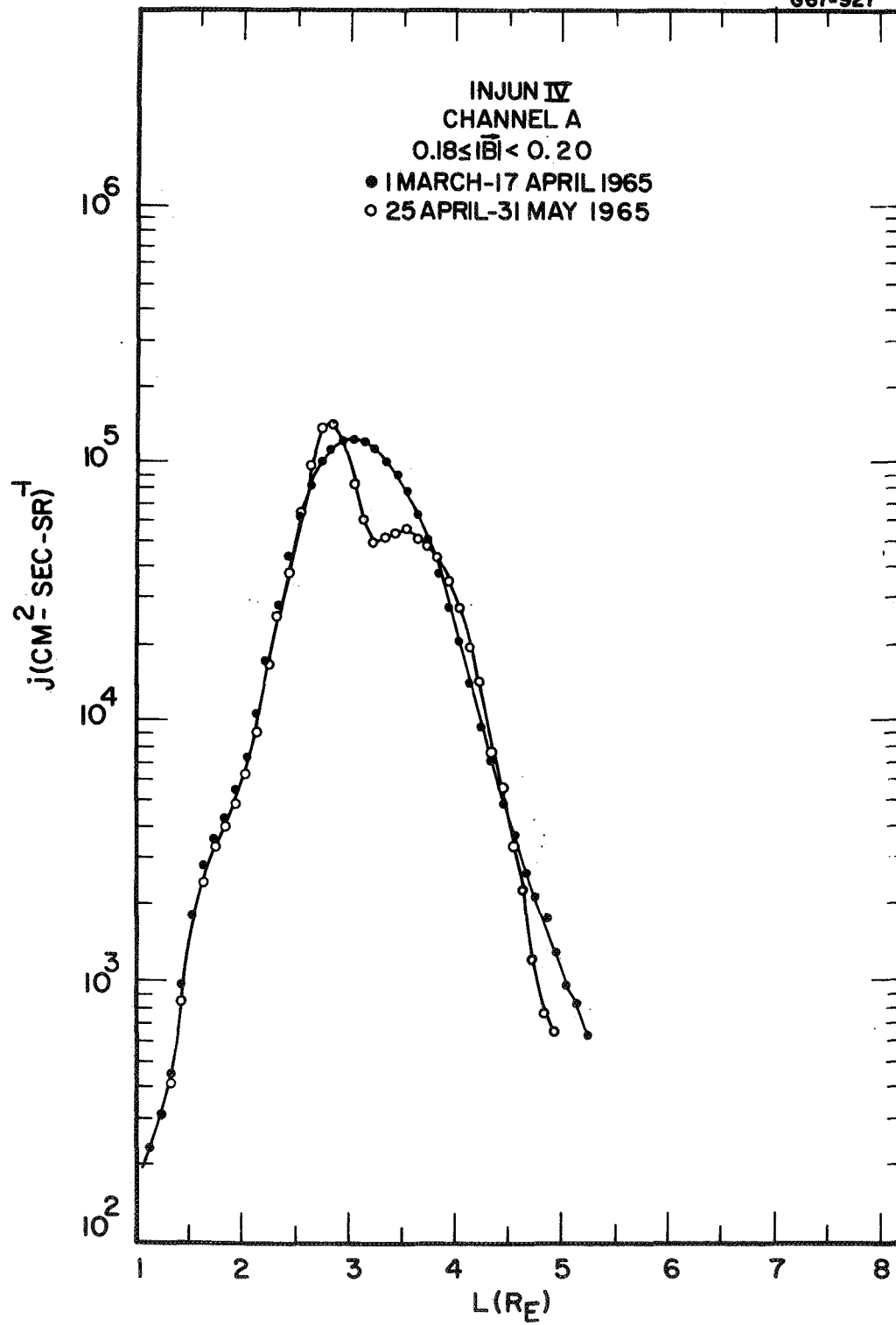


Figure 15.

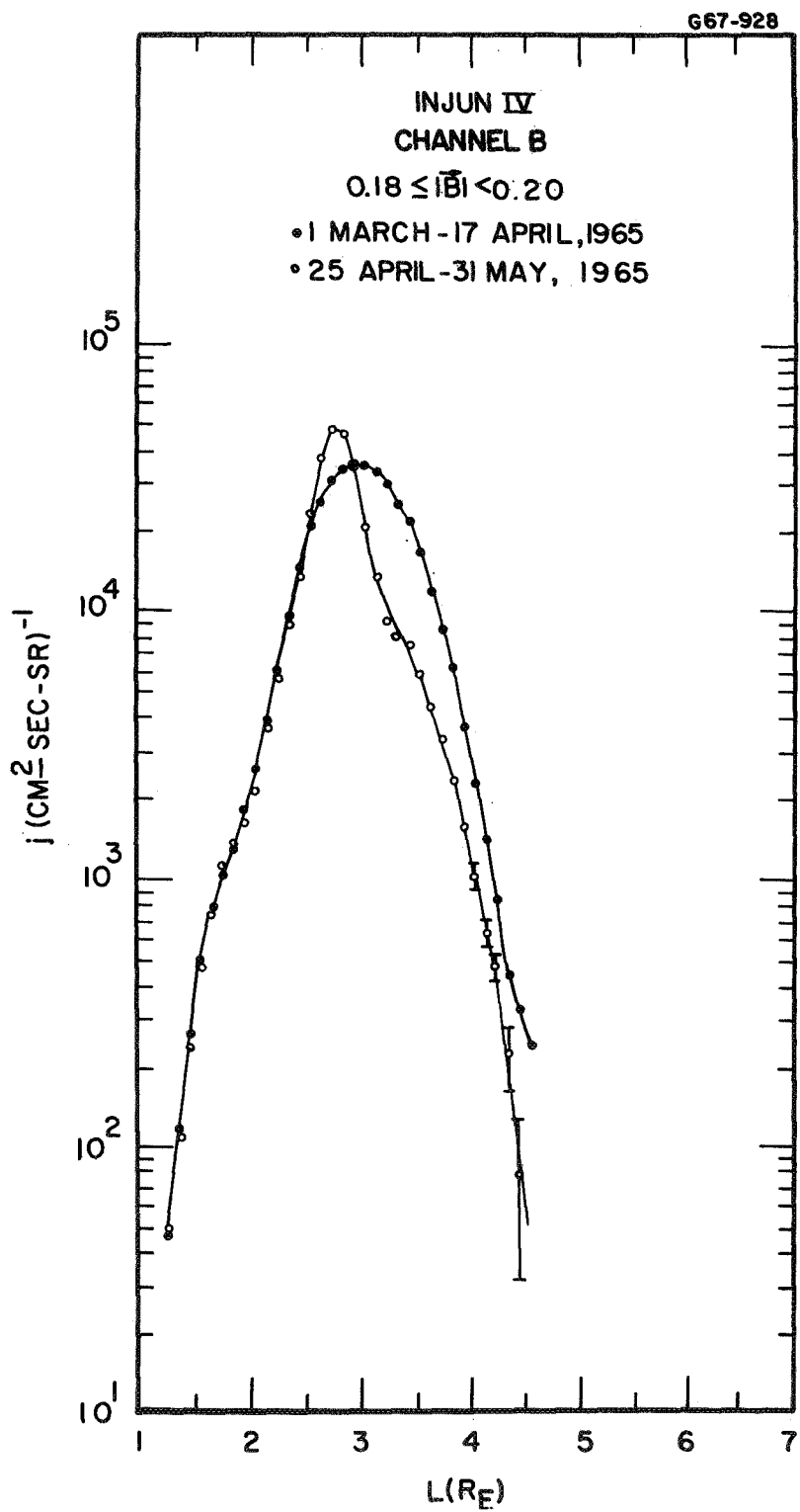


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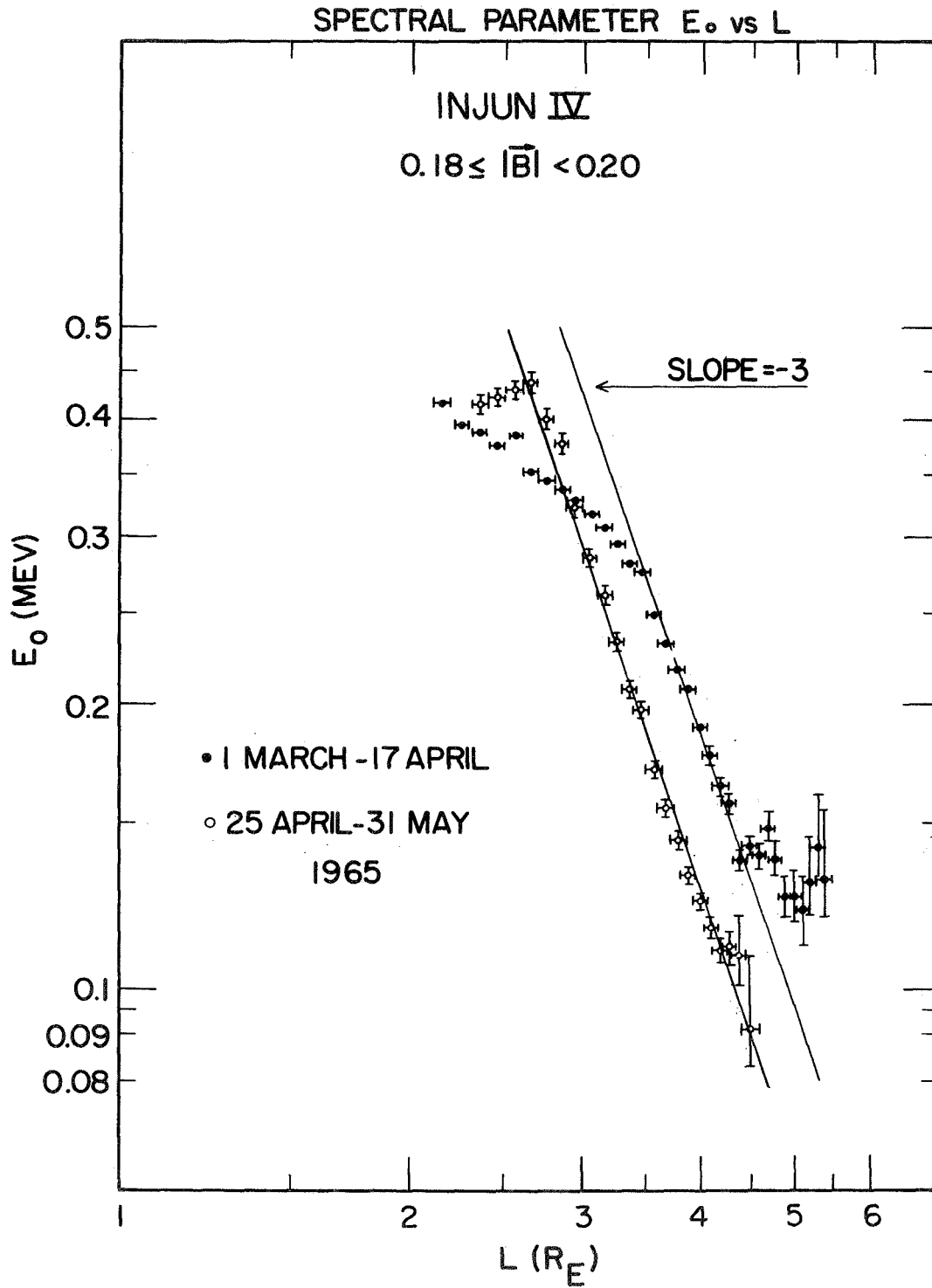


Figure 17.

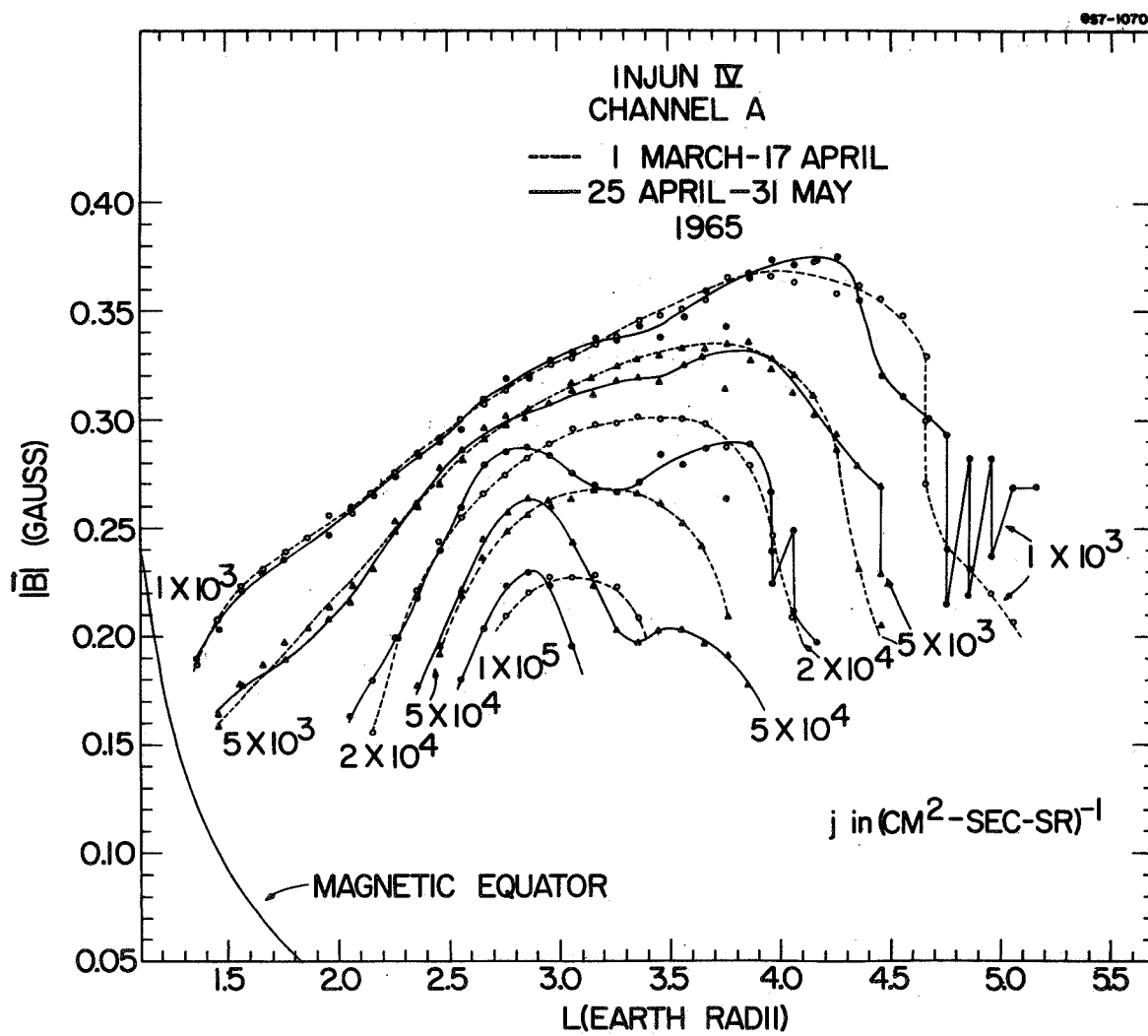


Figure 18.

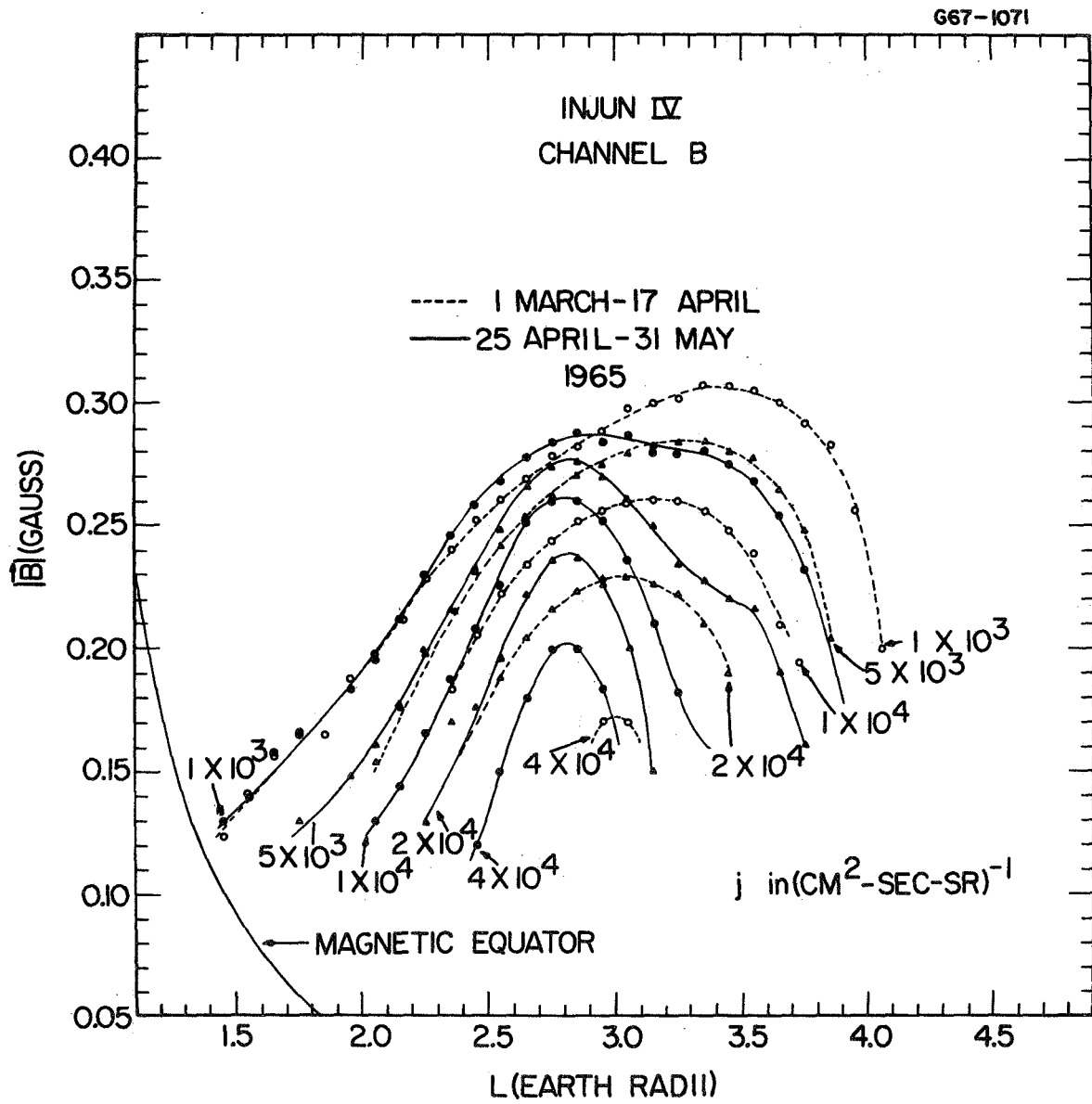


Figure 19.

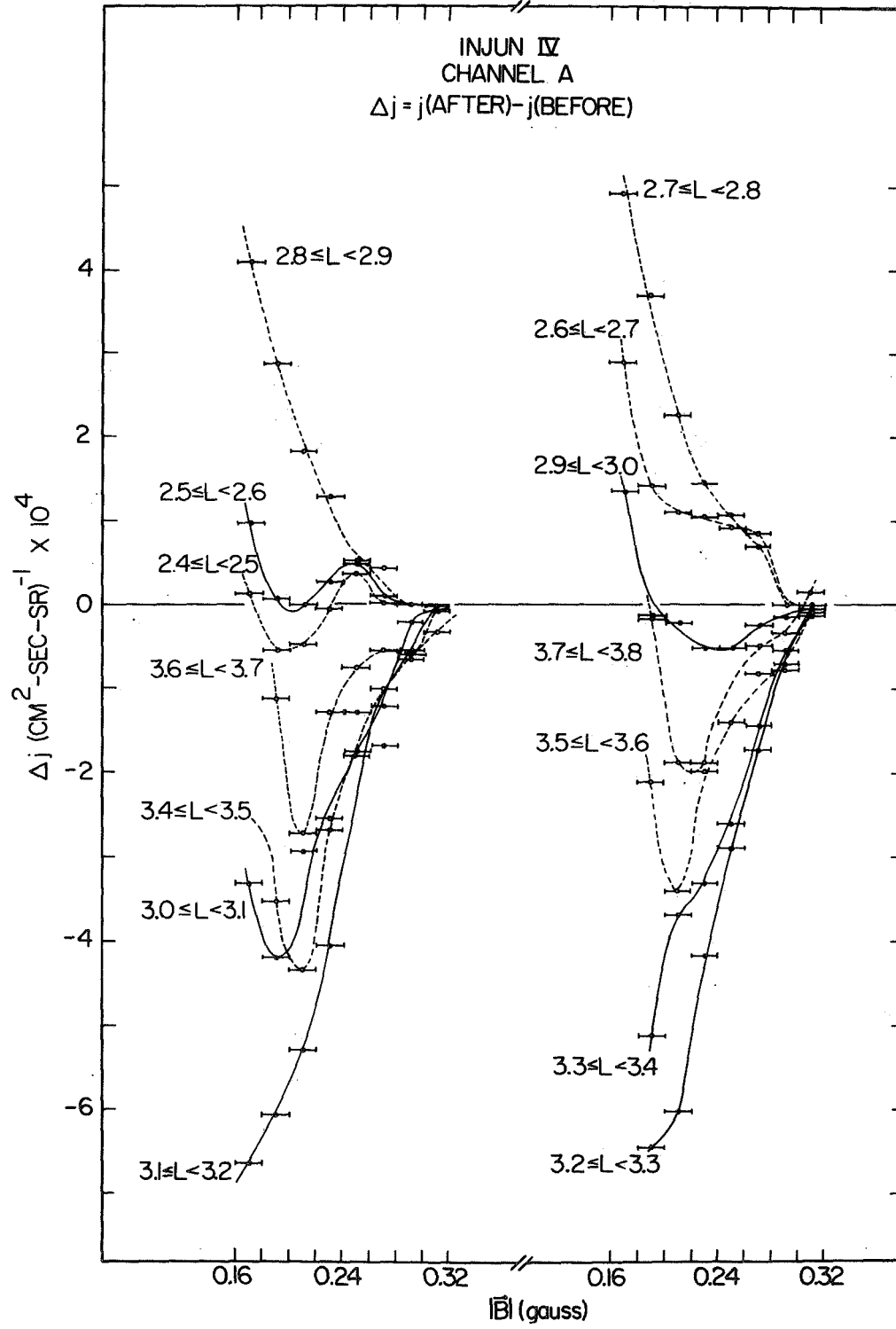


Figure 20.