The implementation of NEMS GFS Aerosol Component (NGAC) Version 1.0 for global dust forecasting at NOAA/NCEP

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Abstract

The NOAA National Centers for Environmental Prediction (NCEP) implemented NEMS GFS Aerosol Component (NGAC) for global dust forecasting in collaboration with NASA Goddard Space Flight Center (GSFC). NGAC Version 1.0 has been providing 5 day dust forecasts at 1°x1° resolution on a global scale, once per day at 00:00 Coordinated Universal Time (UTC), since September 2012. This is the first global system capable of interactive atmosphere aerosol forecasting at NCEP. The implementation of NGAC V1.0 reflects an effective and efficient transitioning of NASA research advances to NCEP operations, paving the way for NCEP to provide global aerosol products serving a wide range of stakeholders as well as to allow the effects of aerosols on weather forecasts and climate prediction to be considered.

1 Introduction

Aerosols affect the energy balance of Earth's atmosphere through the absorption and scattering of solar and thermal radiation (Mitchell, 1971). Aerosols also affect Earth's climate through their effects on cloud microphysics, reflectance, and precipitation (Twomey, 1974; Albrecht, 1989; Jones et al., 1994; Lohmann et al., 2000). In addition to modulating Earth's climate and hydrological cycle (Ramanathan et al., 2001), aerosols are important for atmospheric chemistry, the biosphere, and public health. Aerosols can be viewed in their role as air pollutants because of their adverse health effects (Pöschl, 2005). Long range transport of aerosols can affect the air quality and visibility far from the source regions (Prospero, 1999; Jaffe et al., 2003; Colarco et al., 2004). In addition, aerosols may play a significant role in atmospheric oxidation processes (Andreae and Crutzen, 1997; Dickerson et al., 1997). Large amounts of mineral dust are deposited to the oceans (Duce et al., 1991; Prospero et al., 1996) and the atmospheric input is found to be important for marine productivity (Chen et al., 2007).

While the importance of aerosols on climate has long been established, it is only recently that the aerosol effects are being increasingly recognized as important for weather predictions (Perez et al., 2006; Mulcahy et al., 2014). Haywood et al. (2005) shows that the neglect of the radiative effects of mineral dust leads to systematic biases in the top-of-the-atmosphere radiative budget in the UK Met Office (UKMO) numerical weather prediction (NWP) model. By prescribing updated aerosol climatology in the European Centre for Medium-Range Weather Forecasts (ECMWF) NWP model, a shift in the African Easterly Jet (AEJ) in better agreement with observations is demonstrated in Tompkins et al. (2005) and improvements in local medium-range forecast skills and global seasonal-mean are shown in Rodwell and Jung (2008). A number of studies have suggested that aerosols can significantly impact severe weather, such as intensifying Pacific storm track (Zhang et al., 2007; Wang et al., 2014), modifying hurricane and tropical cyclones (Rosenfeld et al., 2012; Herbener et al., 2014), affecting deep convective storms and tornado intensity in the U.S. (Wang et al., 2009; Saide et al., 2015), and enhancing catastrophic floods in Southwest China (Fan et al., 2015).

Despite recent progress in atmospheric aerosol modeling, the physical processes crucial for modeling aerosol effects are either poorly represented or outright missing in National Centers for Environmental Prediction (NCEP) global models. The NCEP's Global Forecast System (GFS) is the cornerstone of NCEP's operational production suite of numerical guidance. The

atmospheric forecast model used in the GFS is global spectrum model (GSM) with a comprehensive physics suite (see the GFS webpage at http://www.emc.ncep.noaa.gov/GFS/doc.php). Until now, the model only considers aerosol radiative effects and the aerosol distributions are prescribed based on a global climatological aerosol database (Hess et al., 1998).

Efforts to develop prognostic aerosol capability in NCEP global models have been underway in the last few years, which in turn is part of NCEP's modeling development efforts toward a unified modeling framework. Specifically, NCEP is developing NOAA Environmental Modeling System (NEMS) as its next-generation operational system (Black et al., 2007, 2009) and has collaborated with NASA/Goddard Space Flight Center (GSFC) to develop NEMS GFS Aerosol Component (NGAC) for predicting the distribution of atmospheric aerosols (Lu et al., 2010; 2013). NGAC is the first on-line (interactive) atmospheric aerosol forecast system at NCEP. It consists of two key modeling components: (1) the GFS within the NEMS architecture (NEMS GFS) and (2) the on-line aerosol module based on Goddard Chemistry Aerosol Radiation and Transport (GOCART) model. The advantages for taking the so-called on-line approach include: (1) consistency: no spatial -temporal interpolation and the use of the same physics parameterization, (2) efficiency: lower overall CPU costs and easier data management, and (3) interaction: allows for aerosol feedback to meteorology.

NGAC Version 1.0 (NGAC V1.0) has been implemented and became operational at NCEP since September 2012. It provides real-time short-range (5 day) forecasts of dust aerosols with global coverage. The development of a global aerosol forecast system in turn provides a first step toward an aerosol data assimilation capability at NCEP. The rationale for developing the global aerosol forecasting and data assimilation capabilities at NCEP includes: (1) to improve weather forecasts and climate predictions by taking into account of aerosol effects on radiation and clouds, (2) to improve the handling of satellite observations by properly accounting for aerosol effects during the assimilation procedure; (3) to provide aerosol (lateral and upper) boundary conditions for regional air quality predictions; and (4) to provide global aerosol products to meet the stakeholder needs such as air quality, UV index, visibility, ocean productivity, solar energy production, and sea surface temperature (SST) retrievals.

Aerosol modeling, traditionally serving regional air quality and climate communities, has seen rapid development at several operational and research NWP centers in the last few years

(Reid et al., 2011; Benedetti et al., 2011, 2014). This includes NCEP (discussed in this paper), ECMWF (Benedetti et al., 2009; Morcrette et al., 2009), UKMO (Woodward 2001, 2011), Naval Research Laboratory (NRL, Zhang et al., 2008; Westphal et al., 2009), NASA Global Modeling and Assimilation Office (GMAO, Colarco et al., 2010), Japan Meteorological Agency (JMA, Tanaka et al., 2003), and Barcelona Supercomputing Centre (BSC, Perez et al., 2011; Basart et al., 2012). In addition, the efforts to develop regional and global multi-model ensemble for aerosol prediction are underway (Sessions et al., 2015), offering aerosol products for research applications and eventually operational use. The implementation of NGAC V1.0 at NCEP not only contributes to the NCEP production suite but also to the international efforts for multi-model ensembles.

In this paper, we describe the development and implementation of NGAC V1.0 at NCEP. In Sect. 2, we describe the model configuration. In Sect. 3, we present the operational implementation of NGAC V1.0. In Sect. 4, we present the results of NGAC V1.0 forecasts and the comparisons to other global aerosol models and observations. In Sect. 5, we demonstrate two examples of NGAC V1.0 applications. Section 6 provides concluding remarks.

2 Model configuration

2.1 Atmospheric model: NEMS GFS

The efforts to develop a unified modeling framework to streamline the interaction of forecast, analysis, and post-processing systems within NCEP have been underway since late 2000 (Black et al., 2007, 2009). Specifically, NCEP is developing NEMS (http://www.emc.ncep.noaa.gov/index.php?branch=NEMS) with a component-based architecture following the Earth System Modeling Framework (ESMF, see http://www.earthsystemmodeling.org). The ESMF is a community effort to promote the exchange and reusability of earth system modeling components and to facilitate faster knowledge transfer and technology adaptation. The ESMF collaboration involves many of the major climate, weather and data assimilation efforts in the United States, including NOAA/NCEP, NASA/GMAO, NRL, NOAA Geophysical Fluid Dynamics Laboratory (GFDL), and the National Center for Atmospheric Research (NCAR).

The development of NEMS aims to develop a common superstructure for NCEP production suite. Other motivations include: (1) to reduce overhead costs and provide a flexible infrastructure in the operational environment, (2) to modularize large pieces of the systems with ESMF components and interfaces, and (3) to enable NOAA contribution to the National Unified Operational Prediction Capability (NUOPC) with Navy and Air Force.

The NEMS is organized into collections of components with standardized interfaces, arranged in a hierarchical structure. Currently the GFS, the B-grid version of the Non-hydrostatic Multi-scale Model (NMM-B), and the Flow-following finite-volume Icosahedral Model (FIM) have been placed under the NEMS-atmosphere framework. A unified parallelized I/O package is developed to handle the synchronous production and writing of history files, which in turn has been linked with NCEP's unified post-processing system. The FIM atmosphere model is developed by NOAA Earth System Research Laboratory (ESRL) for global weather prediction research. The NMM-B model, developed by NCEP, is the forecast model for the North American Meso-scale Forecast System (NAM) providing operational meso-scale weather forecasts since October 2011. The NEMS version of GFS (referred to as NEMS GFS in this paper) consists of the same spectral dynamic core and physics parameterizations as the operational GFS with the following exceptions. First, GFS atmospheric model has been restructured to include separate components for the model's dynamics and physics as well as a coupler through which information is passed between the dynamics and physics. Despite extensive use of ESMF superstructure, infrastructure and utilities in NEMS, the underlying science code, however, remains the same as the operational GFS. Second, enhanced I/O and post-processing capabilities are introduced in the NEMS GFS. These include an option to output history files in native Gaussian grids instead of spectral grids and an option to run model integration in parallel to post-processing. Third, GFS physics parameterizations have been re-structured with a flexible interface, allowing it to be called by other dynamic cores. This option to assemble GFS physics as the NEMS unified physics package again reflects NCEP's modeling strategy toward a unified and yet flexible modeling infrastructure.

The NEMS has been under active development. Efforts to incorporate non-atmospheric components, e.g., ocean, wave, and sea ice models, are underway. The coupling infrastructure is based on the ESMF and NUOPC Layer code and conventions. Development is also made to enable emerging environmental prediction capabilities. The aerosol

forecasting capability, NGAC, discussed in this paper is virtually NEMS GFS with the prognostic aerosol option turned on.

2.2 Aerosol model: GOCART

Funded mainly by NASA Earth Science programs, the GOCART model was developed to simulate atmospheric aerosols (including sulfate, black carbon (BC), organic carbon (OC), dust, and sea-salt), and sulfur gases (Chin et al., 2000, 2002, 2003, 2004, 2007, 2009; Ginoux et al., 2001, 2004; Bian et al., 2010; Colarco et al., 2010; Kim et al., 2013). Originally GOCART was developed as an off-line Constituent Transport Model (CTM), driven by assimilated meteorological fields from the Goddard Earth Observing System Data Assimilation System (GEOS DAS, e.g., Chin et al., 2002). As part of the GEOS Version 4 (GEOS-4) atmospheric model development at NASA GMAO, an ESMF compliant GOCART grid component has been developed (Colarco et al., 2010). When running within versions 4 and 5 of GEOS (GEOS-4/5), the GOCART component provides aerosol processes such as emissions, sedimentation, dry and wet deposition (Fig. 1). Dynamic sources (wind-speed dependent) are considered for dimethyl sulfide (DMS), dust and sea salt. Emissions for SO_2 and carbonaceous aerosols arise from nature and anthropogenic sources, including biogenic, biofuel, anthropogenic, and biomass burning emissions. Aerosol chemistry currently uses prescribed OH, H_2O_2, and NO_3 fields for DMS and SO_2 oxidations. Aerosol sinks include wet removal (scavenging and rainout) and dry deposition (gravitational sedimentation and surface uptake). Advection, turbulent and convective transport is outside the scope of the GOCART component, being instead provided by the host atmospheric model. Unlike off-line CTM, this on-line aerosol module accurately utilizes winds, convective mass flux, and eddy diffusivity valid at each time step, without the need for temporal or spatial interpolation of any kind.

Research and development efforts have further enhanced GOCART modeling capabilities. The transition from off-line to on-line coupling approach mentioned above is an example. In addition, the GOCART grid component now has the option to ingest daily biomass burning emissions from the Quick Fire Emission Dataset (QFED, Darmenov and da Silva 2015). QFED emissions are based on fire radiative power retrievals from MODIS (Moderate Resolution Imaging Spectroradiometer, on board Aqua and Terra satellites). The inclusion of such observation-based, time-dependent emissions is important for the model to capture the large temporal-spatial variation of biomass burning emissions.

For dust, a topographic source function and mobilization scheme following Ginoux et al. (2001) is used. The dust emission parameterization depends on 10 m wind, the threshold velocity of wind erosion, and dust source function. The threshold velocity of wind erosion is determined from dust density, particle diameter, and surface soil wetness. The dust source function, representing the probability of dust uplifting, is determined from surface bareness and topographical depression features. Surface bareness is identified from the 1^0x1^0 vegetation data set derived from the Advanced Very High Resoltion Radiometer (AVHRR) data (DeFries and Townshend, 1994). The static dust source function has been regridded on the GFS native T126 Gaussian grid for NGAC V1.0 (shown in Fig. 2). The maxima of dust source function are collocated with the climatologically active dust sources or so-called dust hot spots. The most evident examples are the Sahara desert in north Africa, the Bodele depression over Chad, the Syrian desert in mid-East, the Taklimakan desert in northwest China, the Lake Eyre basin in Australia, the Sonoran desert in southwest California, the Namibian sources in southwest Africa, and Patagonian desert and Andean Plateau in the Andes.

2.3 Coupling NEMS GFS with GOCART

The GOCART grid component originally developed for GEOS-4/5 is fairly independent of the host atmospheric model, encapsulating the basic aerosol production and loss functionality. Consistent with standard ESMF architecture, the interfaces linking GOCART and NEMS GFS have been isolated into coupler components. Figure 3 shows the integration run stream of NGAC. Coupler components are built to transfer and transform the data between NEMS GFS and GOCART. The physics-to-chemistry coupler performs several tasks including: (i) the vertical flip for 3-dimensional fields, as GOCART is top-down while NEMS GFS is bottom-up, (ii) unit conversion as different units are used in NEMS GFS and GOCART for some fields such as precipitation rate, and (iii) the calculations for these fields needed by GOCART such as inferring relative humidity and air density from ambient temperature and moisture fields. After running GOCART, the chemistry-to-physics coupler transfers updated 3-dimensional aerosol fields and 2-dimensional aerosol diagnosis fields from GOCART to NEMS GFS.

GOCART in GEOS-4/5 has been implemented in NEMS GFS `as is' except for emission budget. As in GEOS-4/5 (Colarco et al., 2010), the spatial distribution and intensity of dust sources in NGAC V1 follows from Ginoux et al. (2001). Owing to differences in the GEOS-

4/5 meteorology and resolution relative to NEMS GFS, the global scaling constant for dust emissions (see equation (2) in Ginoux et al. (2001)) has been adjusted from C = 0.375 μg s^2 m^{-5} as in GEOS-4/5 to C = 1 μg s^2 m^{-5} in NGAC. This adjustment is determined from sensitivity experiments, allowing NGAC V1 to obtain dust emission budget comparable to GEOS-4/5. Despite the ESMF flavor in how GOCART is implemented, GOCART is incorporated into NEMS GFS as a column process similar to how ozone physics was incorporated. It updates 3-dimensional aerosol loading after physical processes, ran at the same grid as physics and dynamics, and is fully coupled with physics and dynamics at each time step.

3 NGAC V1.0 operational implementation

A phased approach is used to manage the operational implementation of NGAC at NCEP. The first phase is to produce dust-only guidance, the second phase is to produce the full suite of aerosol forecasts (including dust, sea salt, sulfate, and carbonaceous aerosols), and the third phase is to produce aerosol analysis using NGAC forecasts as first guess. Only the initial deployment undertaken in 2012 is discussed in this paper.

Effective on 11 September 2012, starting with the 00:00 UTC cycle, NCEP has begun to run and disseminate data from the NGAC V1.0 system at T126 L64 resolution. It provides 5 day dust forecasts, once per day for the 00:00 UTC cycle. Information on accessing NGAC model output is provided in the Appendix. Daily web-based presentation of NGAC V1.0 dust forecasts is available at the EMC NGAC webpage: http://www.emc.ncep.noaa.gov/gmb/NGAC/html/realtime.ngac.html. The website displays aerosol optical depth (AOD) at 550 nm and surface mass concentrations over global domain and several regional domains (e.g., trans-Atlantic region, Asia, and Continental US (CONUS) regions).

Model configurations in NGAC V1.0 are same as those specified in operational high-resolution GFS runs with the following exceptions. First, NGAC uses Relaxed Arakawa-Schubert scheme (the RAS scheme, Moorthi and Suarez, 1992, 1999) while GFS uses Simplified Arakawa-Schubert scheme (the SAS scheme, Han and Pan, 2011). Enhanced tracer treatment (e.g., convective transport and tracer scavenging) has been incorporated into the RAS scheme, providing critical capability needed for aerosol modeling. Second, NGAC

V1.0 is run at coarser spatial resolution (T126 L64, ~ 110 km) than GFS. In February 2015, GFS was upgraded from Eulerian T574 (~ 27 km) to Semi-Lagrangian T1534 (~ 13 km). NGAC V1.0, however, remains Eulerian T126 as of October 2015. Third, NGAC V1.0 produces history files and post-processed products concurrently while GFS produces post-processed products after history files being written out.

Dust initial conditions are taken from the 24 h NGAC V1.0 forecast from previous day while meteorological initial conditions are down-scaled from high-resolution Global Data Assimilation System (GDAS) analysis. Note the interaction of GOCART aerosol fields and GFS's radiation package has been disabled in NGAC V1.0. This configuration that aerosols are not radiatively coupled to AGCM is intended to facilitate aerosol modeling development in the near term. Once the prognostic aerosol capability reaches desired maturity level, this aerosol-radiation decoupled configuration will be changed allowing the aerosol direct and semidirect radiative effects to be accounted for.

4 NGAC results

In this section, the results of operational NGAC V1.0 forecasts are presented. NCEP is currently working toward the phase-two NGAC implementation (Lu et al., 2016). The NGAC V2 includes full-suite of aerosols using near-real-time smoke emissions from satellite fire products. The NGAC upgrade will produce total AOD, allowing us to evaluate NGAC results beyond dust-dominated regions. Efforts are underway to evaluate experimental NGAC V2 with other models (ICAP MME and GSFC's GEOS-5), in-situ observations at AERONET sites throughout the globe, and aerosol retrievals from multiple satellites, including MODIS, Visible Infrared Imaging Radiometer Suite (VIIRS) and Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP). In this paper, only concise model results are presented as the paper mainly provides the programmatic aspects of NGAC development and implementation.

4.1 Dust emissions and budget

Figure 4 shows the annual dust emissions calculated from the first year NGAC V1.0 production (the September 2012–September 2013 period). The similarity between the annual dust emissions and the dust source function (shown in Fig. 2) indicates that the source function is of central importance in determining dust uplifting relative to other parameters. In

the Ginoux et al. (2001) dust emission scheme, a global scaling factor (C, equal to 1 μg s^2 m^{-5}) is used to scale total dust flux to yield a total emission about 1800-2000 Tg yr^{-1}. This factor is adjusted to 0.375 μg s^2 m^{-5} in GEOS-4/5 owing to differences in the GEOS-4 meteorology relative to previous version of GEOS-DAS assimilated meteorology. As we adopted the on-line version of GOCART from GEOS-4/5 into NEMS GFS, the scaling factor is reverted back to 1 μg s^2 m^{-5} to account for the differences in AGCM and resolution (i.e., 0.25° resolution for GEOS-5 and ~ 1° resolution for NEMS GFS).

Table 1 provides a summary of NGAC V1.0 calculated annual emission, burden, and lifetime (or atmospheric residence time) relative to other similar global aerosol models, including three versions of GOCART results and the models participating in the Aerosol Comparisons between Observations and Models (AeroCom) model intercomparison studies (http://aerocom.met.no/aerocomhome.html). The three GOCART results are from on-line GEOS4-GOCART (Colarco et al., 2010) and off-line GOCART CTM driven by two versions of GEOS DAS meteorological analyses (Chin et al., 2009; Ginoux et al., 2001).

Large difference (diversity) are found in emissions, burdens, and lifetimes within the AeroCom models, which is primarily related to the differences in the emission parameterizations, the particles sizes, the meteorological fields and model configuration used in the individual models (Textor et al., 2006). The simulated total dust emissions, annual burden, and lifetime in NGAC V1.0 are within the range of the AeroCom models. The annual emissions are similar in NGAC V1.0 and on-line GEOS4-GOCART (1980 Tg yr^{-1} versus 1970 Tg yr^{-1}). In NGAC V1.0, the lifetime is about one and a half days shorter than in on-line GEOS4-GOCART (4.3 days versus 5.85 days) and the annual burden is about 30% lower (21.9 Tg versus 31.6 Tg). The results suggest more efficient removal processes in NGAC V1.0 than in GEOS4.

4.2 Aerosol optical depth

In this section we present the results of NGAC V1.0 dust distributions. We first compare NGAC dust AOD to dust AOD from GEOS-5 and total AOD from MODIS onboard Terra. Figure 5 shows monthly-mean dust distributions over the subtropical Atlantic region at different seasons. The source regions over the Sahara and Sahel are clearly shown as well as the patterns of long-range dust transport. Trade winds steer African dust westward across the Atlantic ocean, covering vast areas of the North Atlantic and sometime reaching the Americas

(e.g., the Caribbean, southeastern United States, Central America, and Amazon basin). This has implications for air quality, public health, climate, and biogeochemical cycle. For instance, about half of the annual dust supply to the Amazon basin is emitted from a single source in the Sahara, the Bodele depression (Koren et al., 2006).

While elevated dust off the western Africa coast is persistent through the seasons, the models and satellite observations show a clear latitudinal shift of the dust plume over the tropical Atlantic from winter to summer. This seasonal shift has been attributed to the movements of the Inter Tropical Convergence Zone (ITCZ) which occupies its southernmost location in winter and northernmost location in summer (Huser et al., 1997; Ginoux et al., 2001). The results are consistent with the seasonal cycle discussed in Knippertz and Todd (2012) in which detailed descriptions of meteorological processes controlling the emissions and transport of African dust are provided.

The comparison of model forecasts to L1.5 AErosol RObotic NETwork (AERONET) AOD at 550 nm for 2013-2014 period at six stations is shown in Fig. 6. AERONET AOD at 550 nm is computed using logarithmic interpolation between AOD values at 440 nm and 675 nm. We bin the AERONET observations within one-hour time window centered at NGAC synoptic output times of 03:00, 06:00, 09:00, 12:00, 15:00, 18:00, 21:00, and 24:00 UTC. The calculation of monthly mean requires a minimum of 5 days with valid values. We show the comparison of the model AOD to AERONET observations, including the time series, a scatter plot, a fractional distribution histogram (PDF) of the model and observed AOD, and some statistics including mean biases, root-mean-square errors, linear fit parameters, and correlation coefficients. Among the six stations included in the comparison, three sites are located in dust-prone Sahara-Sahel region (Dakar, Ilorin, and Banizoumbou), one site is located in dust-prone Middle East area (Sede Boker), and two sites are located in tropical Atlantic Ocean region (Cape Verde and La Parguera). The Dakar site is located in Senegal, North Africa near the dust source region. The Ilorin site, located in Guinea Savanna zone, experiences dust and episodic smoke aerosols. The Banizoumhou site, located in the Sahel region, is influenced predominantly by dust transport from the Sahara. For the two ocean sites, Cape Verde is influenced by dust outflow from Saharan sources while La Parguera is influenced by long-range transport of Saharan dust. The Middle East site, Sede Boker, is located in the Negev desert of Israel and experiences mainly dust and urban aerosols. At these sites except for Ilorin, NGAC V1.0 simulations are found to capture the seasonal variability in the dust

loading. Overall, NGAC V1.0 shows similar seasonal variability to and is well correlated with the AERONET observations.

5 NGAC applications

NGAC V1.0 provides 2- and 3-dimensional aerosol products at 1°x1° resolution on a global scale. Potential usage for these aerosol products includes, but is not limited to: AOD at 340 nm for UV index forecasts; AOD at 550 nm for multi-model ensemble and aerosol data assimilation; AOD at 860 nm for AVHRR SST retrievals; AOD at 11.1 μm for the Atmospheric Infrared Sounder (AIRS) temperature retrievals; three dimensional dust mixing ratios for atmospheric correction; dust column mass density, emission and removal fluxes for aerosol budget study; dust deposition fluxes for ocean productivity and dust surface mass concentrations for air quality. Here we present two examples of NGAC product applications.

5.1 Multi-model ensemble

The International Cooperative for Aerosol Prediction (ICAP), consisting of forecasting center model developers and remote sensing data providers, began meeting in April 2010 to discuss issues relevant to the operational aerosol forecasting (Benedetti, 2011; Reid et al., 2011). ICAP members created a developmental global multiple-model ensemble (MME) to explore probabilistic aerosol prediction and assess relative differences among models (Sessions et al., 2015). Consensus ICAP forecasting began in early 2011 and the experimental ICAP-MME became quasi-operational for public release in 2015. Current ICAP MME products include total AOD ensemble from four complete aerosol forecast models from NRL, ECMWF, JMA, and GMAO and three dust-only models from NCEP, UKMO, and BSC. Figure 7 shows the dust AOD from ICAP-MME (with 7 members) and NGAC V1.0, valid at 12:00 UTC 4 July 2015. Spatial pattern of dust loading from NGAC V1.0 is consistent with the ICAP-MME, with elevated dust AOD located in the Sahara, the Arabian Peninsula, and Asia as well as evident long range trans-Atlantic transport of Saharan dust reaching southeastern United States.

Dust forecasts from NGAC V1.0 also contributes to the regional multi-model ensemble produced by WMO Sand and Dust Storm Warning Advisory and Assessment System (SDS-WAS) Regional Center for Northern Africa, Middle East, and Europe, hosted at BSC, Spain (http://sds-was.aemet.es). Participation in ICAP-MME and WMO SDS-WAS multi-model

ensemble provides a continuous and independent assessment of the quality of NCEP global dust products. Overall, NGAC V1.0 forecasts are found to be comparable to that produced by other domestic and international modeling centers.

5.2 Dynamic lateral boundary conditions for regional models

An example on using NGAC dust information to improve regional air quality forecasts is presented here. Under a NOAA-EPA partnership, NOAA is undertaking the responsibility to develop and maintain the National Air Quality Forecasting (AQF) system (Davidson et al. 2004). The AQF system is based on EPA Community Multi-scale Air Quality (CMAQ) model (Byun and Schere, 2006) driven by meteorological forecasts from NCEP North American Meso (NAM) Model (see the NAM webpage at http://www.emc.ncep.noaa.gov/?branch=NAM). Static lateral boundary conditions (LBCs) assuming no dust from outside the model boundary are currently used.

Two CMAQ runs are conducted for the July 2010 period. The baseline run uses static LBCs and the experimental run uses dynamic LBCs from NGAC V1.0. Figure 8 shows the observed and modeled surface Particulate Matter smaller than 2.5 micron (PM2.5) at two AIRNOW stations in the southeast region. Table 2 presents the statistic results of the CMAQ compared to the EPA AIRNOW PM2.5. It is found that the incorporation of dynamic LBCs from NGAC V1.0 reduces model biases and improves correlation. Clearly, the inclusion of long-range dust transport through dynamic LBCs leads to significant improvements in CMAQ forecasts during dust intrusion episodes.

6 Conclusions

NASA/GMAO's GOCART aerosol module has been implemented into NEMS GFS at NCEP through NOAA/NCEP-NASA/GSFC collaborations. While NGAC has the capability to forecast dust, sulfate, sea salt, and carbonaceous aerosols, the initial phase-one implementation is to establish dust-only numerical guidance. NGAC Version 1.0, implemented in September 2012, provides the first operational global dust forecasting capability at NOAA. Its AOD product has been incorporated into global and regional multi-model ensemble products (ICAP and WMO SDS-WAS, respectively) in quasi-operational mode.

The NGAC V1.0 dust forecasts are routinely verified using AOD observations from space-borne MODIS and ground-based AERONET. NGAC V1.0 results are also compared with those from other similar aerosol models. It is shown that the NGAC V1.0 simulated spatial distributions and seasonal variations are consistent with the observations. In addition, the emissions, burdens, and lifetime of dust aerosols in NGAC V1.0 are within the range of similar aerosol models.

While the initial NGAC implementation is limited in its scope (a dust-only system without aerosol data assimilation), it laid the ground work for various aerosol-related applications. Future operational benefits associated with the global aerosol forecasting system at NOAA includes:

- Enable operational global short-range multi-species aerosol prediction.
- Provide the first step toward an operational aerosol data assimilation capability at NCEP.
- Allow aerosol impacts on medium range weather forecasts to be considered.
- Provide global aerosol information for various applications, including satellite radiance data assimilation, satellite retrievals, SST analysis, and UV-Index forecasts.
- Allow NCEP to explore aerosol-cloud-climate interaction in the Climate Forecast System (CFS), as GFS is the atmosphere model of the CFS.
- Provide lateral aerosol boundary conditions for regional aerosol forecast system.

Appendix: NGAC Output

Output files and their contents for NGAC V1.0 (Q4FY12 Implementation)

(1) ngac.t00z.a2df$HR, where HR=00, 03, ...,120:

2D products including

AER_OPT_DEP_at550: dust aerosol optical depth at 550nm (dimensionless)

CR_AER_SFC_MASS_CON: coarse mode surface mass concentration (kg m^{-3})

FN_AER_SFC_MASS_CON: fine mode surface mass concentration (kg m^{-3})

CR_AER_COL_MASS_DEN: coarse mode column mass density (kg m^{-2})

FN_AER_COL_MASS_DEN: fine mode column mass density (kg m^{-2})

DUST_EMISSION_FLUX: dust emission fluxes (kg m^{-2} sec^{-1})

DUST_SEDIMENTATION_FLUX: dust sedimentation fluxes (kg m^{-2} sec^{-1})

DUST_DRY_DEPOSITION_FLUX: dust dry deposition fluxes (kg m^{-2} sec^{-1})

DUST_WET_DEPOSITION_FLUX: dust wet deposition fluxes (kg m^{-2} sec^{-1})

(2) ngac.t00z.a3df$HR, where HR=00, 03, ..., 120:

3D products at model levels including

PRES: pressure (Pa)

RH: relative humidity (%)

TEMP: temperature (K)

DUST1: dust bin1 (0.1-1 micron) mixing ratio (kg kg^{-1})

DUST2: dust bin2 (1-1.8 micron) mixing ratio (kg kg^{-1})

DUST3: dust bin3 (1.8-3 micron) mixing ratio (kg kg^{-1})

DUST4: dust bin4 (3-6 micron) mixing ratio (kg kg^{-1})

DUST5: dust bin5 (6-10 micron) mixing ratio (kg kg^{-1})

(3) ngac.t00z.aod_$NM, where NM=11p1um, 1p63um, 340nm, 440nm, 550nm, 660nm, 860nm:

Aerosol optical depth (dimensionless) at specified wavelengths (11.1, 1.63, 0.34, 0.44, 0.55, 0.66, and 0.86 micron)

Data and code availability

Products from the NCEP operational production suite are distributed and accessible to general users, free of charge, in real-time (typically no later than 3 hours after the data are created) at NOAA Operational Model Archive and Distribution System (NOMADS). Source code as well as relevant run scripts, parameters, and fixed field files can be obtained from NCEP Central Operations (NCO) ftp site at the following location:

http://www.nco.ncep.noaa.gov/pmb/codes/nwprod/ngac.v1.0.0

The NGAC V1.0 output is available in GRIdded Binary Version 2 (GRIB2) format on 1°x1° degree grid, with 3 hourly output out to 120 h. Users can access the NGAC V1.0 digital products from NOMADS at the following location:

http://nomads.ncep.noaa.gov/pub/data/nccf/com/ngac

NGAC V1.0 output instantaneous values of 3-dimensional dust mixing ratios for five particle sizes with effective radius at 1, 1.8, 3, 6, and 10 micron. The model also output time-averaged 2-dimensional diagnostics fields relevant to aerosol budget, such as emission fluxes. These aerosol fields are written out at GFS native Gaussian grid, and post-processed to GRIB2 format and regular 1°x1° degree grid. Dust AOD at 550 nm and other selected spectral is calculated from instantaneous dust distributions with aerosol optical properties based on Chin et al. (2002). NGAC V1.0 GRIB2 output files and their contents are listed in the Appendix.

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Table 1. Global annual emissions, annual burden and lifetime for dust aerosols[a].

	Emissions ($Tg\ yr^{-1}$)	Burden (Tg)	Lifetime (day)
NGAC V1.0	1980	21.9	4.3
On-line GEOS4	1970	31.6	5.85
Off-line GEOS4	3242	38.4	4.33
Off-line GEOS	1604-1956	31-40	6.6-7.3
AeroCom	1123 [514 – 4313]	15.8 [6.8 – 29.5]	4.6 [1.6 – 7.1]

[a]Note: the top number is the NGAC V1.0 results from September 2012–September 2013, the second number is the results of on-line GEOS4-GOCART simulations (Table 1 in Colarco et al., 2010), the third number is the results of off-line GEOS4-GOCART model (Table 1 in Colarco et al., 2010 which in turn is provided by Mian Chin using the off-line GEOS4-GOCART model described in Chin et al., 2009), the fourth number is the results of off-line GEOS-GOCART model (Table 2 in Ginoux et al., 2001), and the fifth number is the average of the AeroCom models with the range of the models in parentheses (Table 3 in Huneeus et al., 2011).

Table 2. Statistic results comparing CMAQ model results with EPA AIRNOW PM2.5. The mean bias (MB) and correlation (R) are calculated for the baseline run using static LBCs and the experimental run using NGAC LBCs.

Domain/Period	CMAQ Baseline	CMAQ Experimental
Whole domain, 1 1 July 1 - Aug 3	MB = -2.82, R = 0.42	MB = -0.88, R = 0.44
South of 38°N, East of 105°W July 1- Aug 3	MB = -4.54, R=0.37	MB = -1.76, R = 0.41
Whole domain, July 18 – July 30	MB = -2.79, R = 0.31	MB = -0.33, R = 0.37
South of 38°N, East of 105°W July 18 – July 30	MB = 4.79, R=0.27	MB = -0.46, R = 0.41

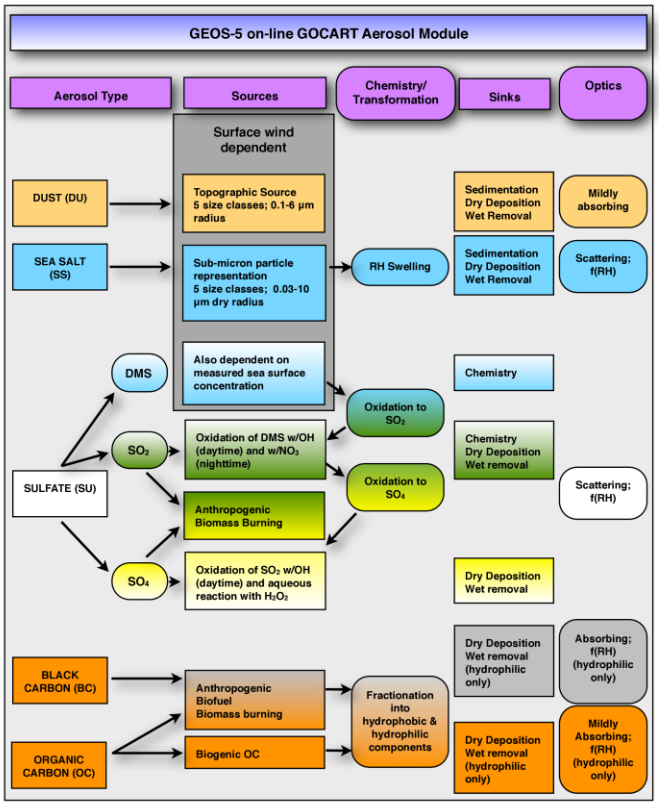


Figure 1. Schematic summary of the GOCART aerosol module as adapted and being implemented in GEOS-4/5 at GMAO and NEMS GFS at NCEP.

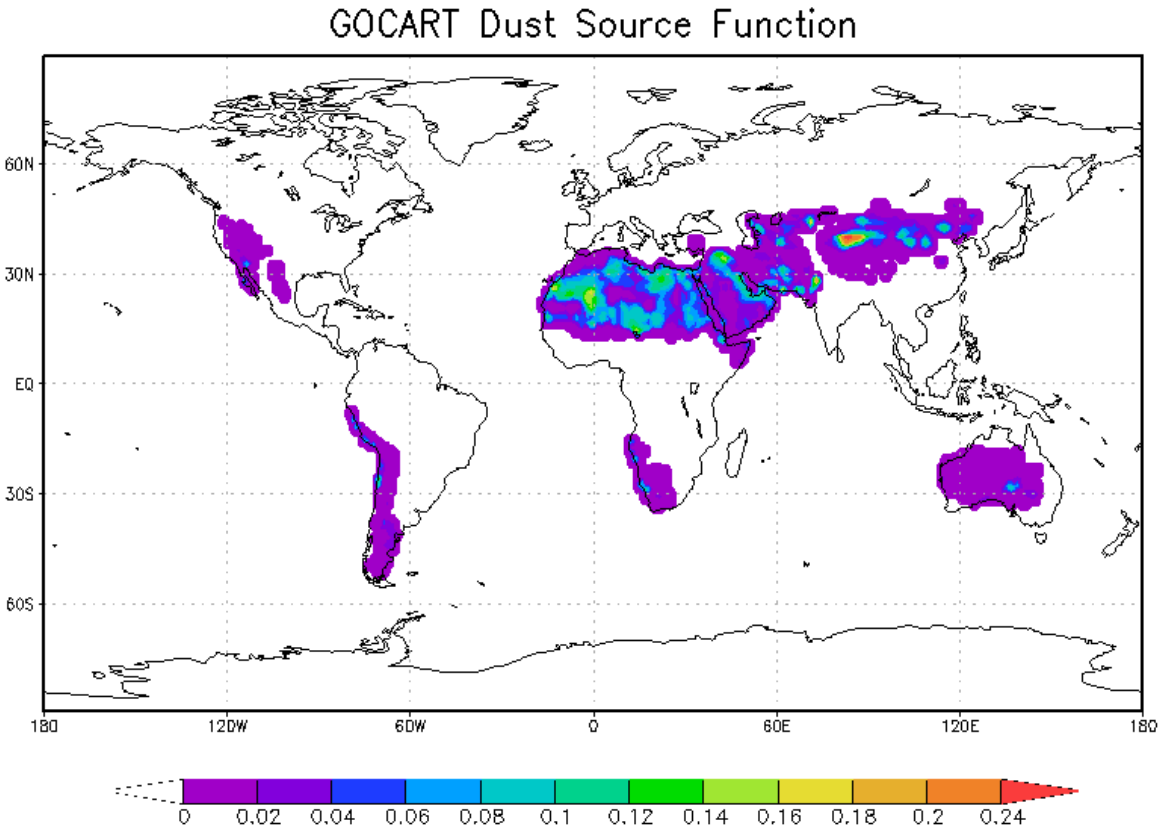


Figure 2. The dust source function or probability of dust uplifting, mapped to GFS T126 resolution, used in NGAC V1.0.

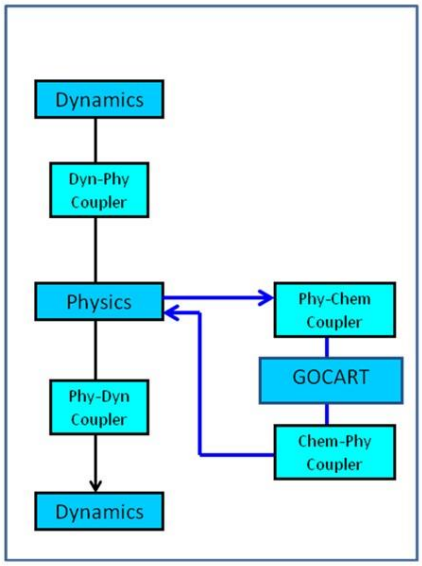


Figure 3. Primary integration runstream of NGAC.

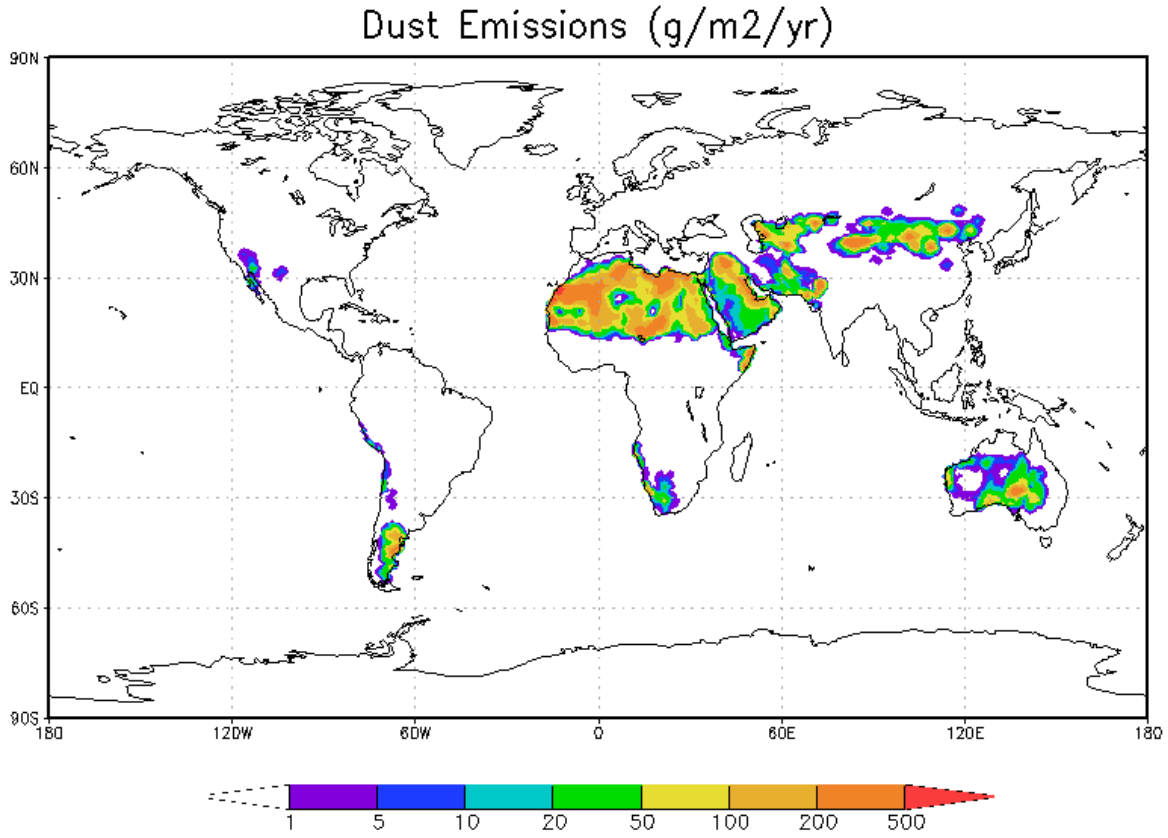


Figure 4. Annaul dust emissions (in g m^{-2} yr^{-1}) over the September 2012–September 2013 period.

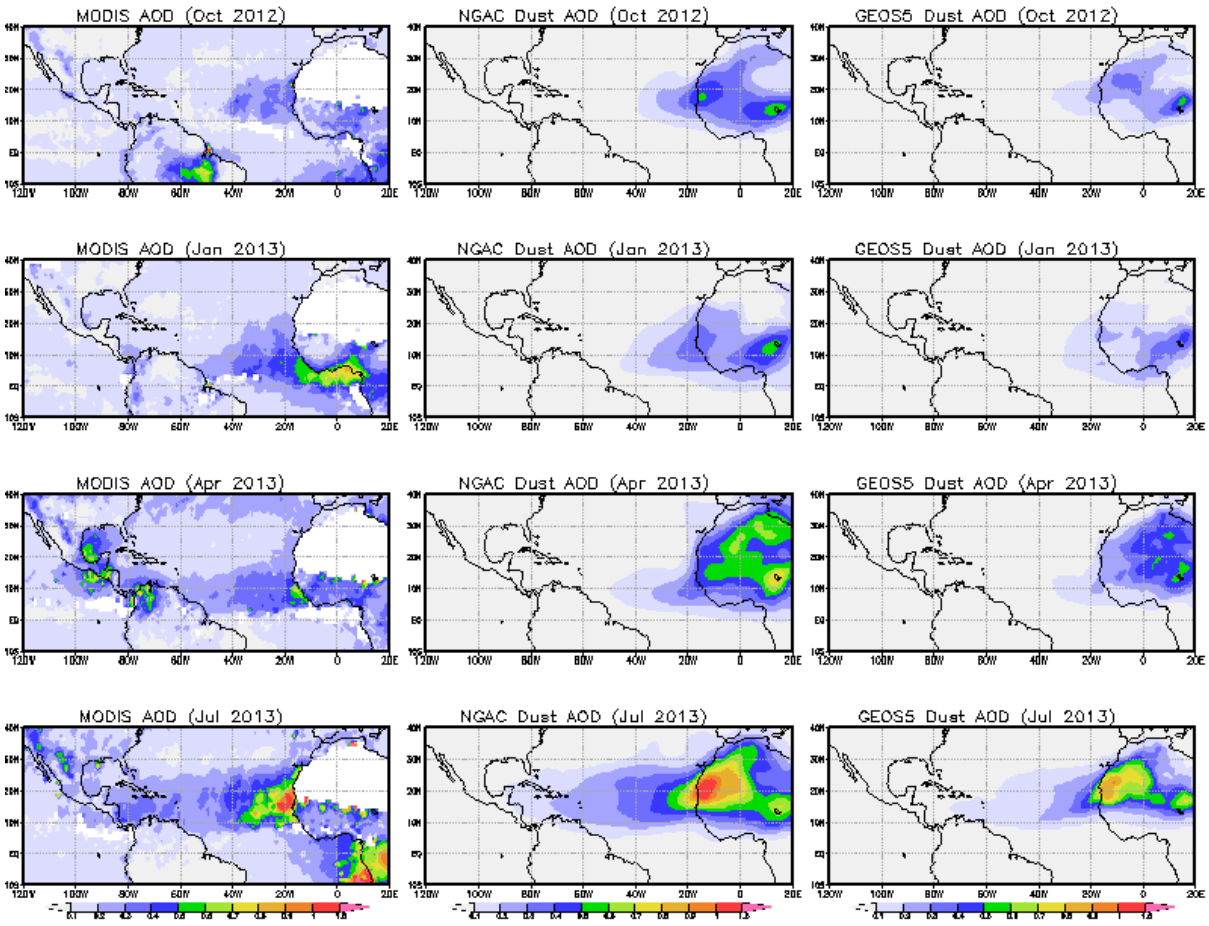


Figure 5. Comparisons of monthly-mean MODIS total AOD (left), NGAC V1.0 dust AOD (middle), and GEOS5 dust AOD (right) at 550 nm for October 2012, January 2013, April 2013, and July 2013 periods.

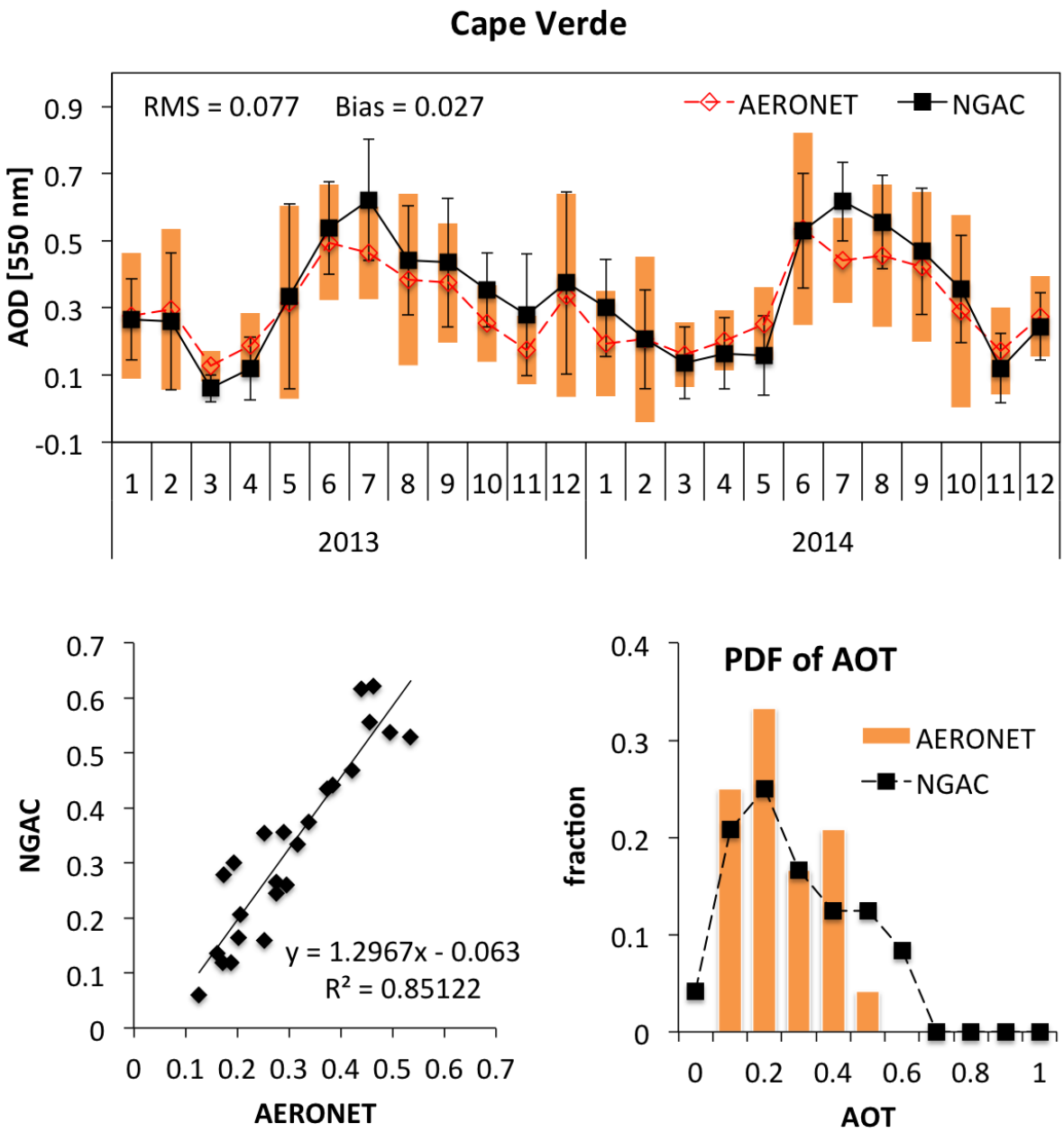


Figure 6a. NGAC V1.0 versus L1.5 AERONET 550-nm AOD comparisons at Cape Verde for the 2013-2014 period: a time series, scatterplot, and fractional distribution histogram. In the time series, the model monthly means and standard deviation about the mean are shown in the black symbols and lines. The AERONET monthly means and standard deviation about the mean are shown in the red shading and orange bars. In the PDF plot, the model is indicated by the black symbols and line, and the AERONET observations are indicated by orange bars.

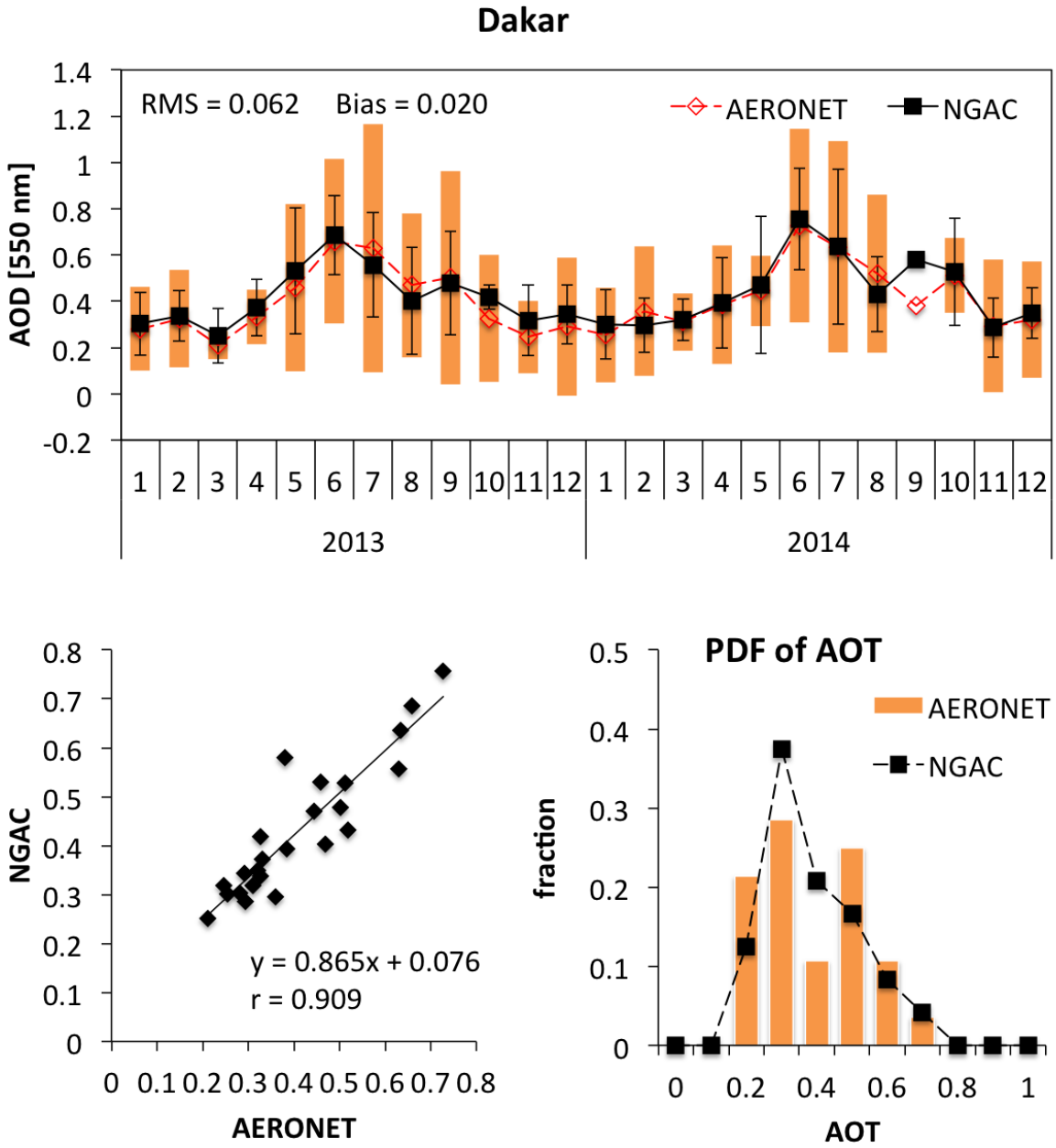


Figure 6b. NGAC V1.0 versus AERONET 550-nm AOD comparisons at Dakar for the 2013-2014 period: a time series, scatterplot, and fractional distribution histogram.

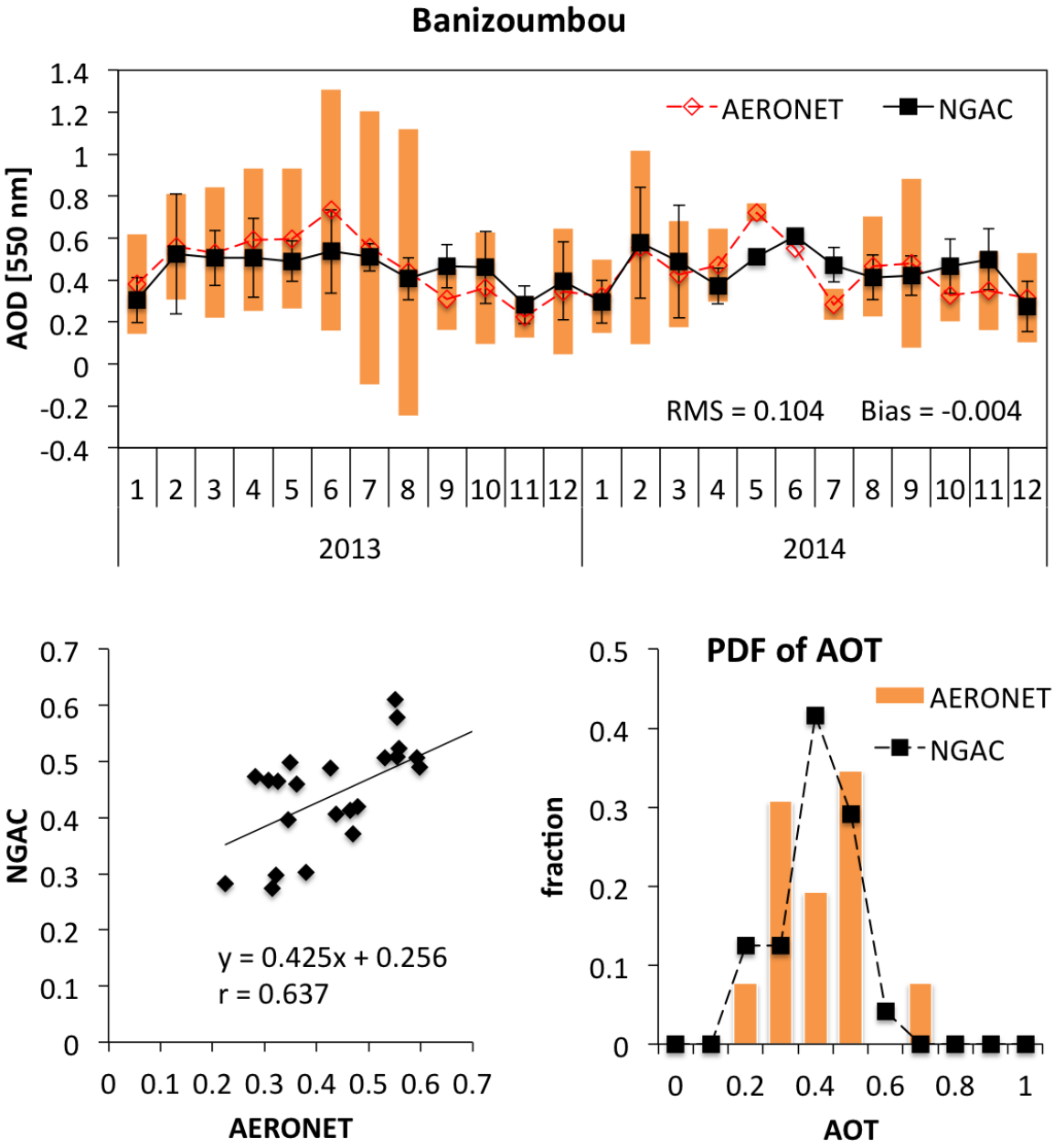


Figure 6c. NGAC V1.0 vs. AERONET 550 nm AOD comparisons at Banizoumbou for the 2013 -2014 period: a time series, scatterplot, and fractional distribution histogram.

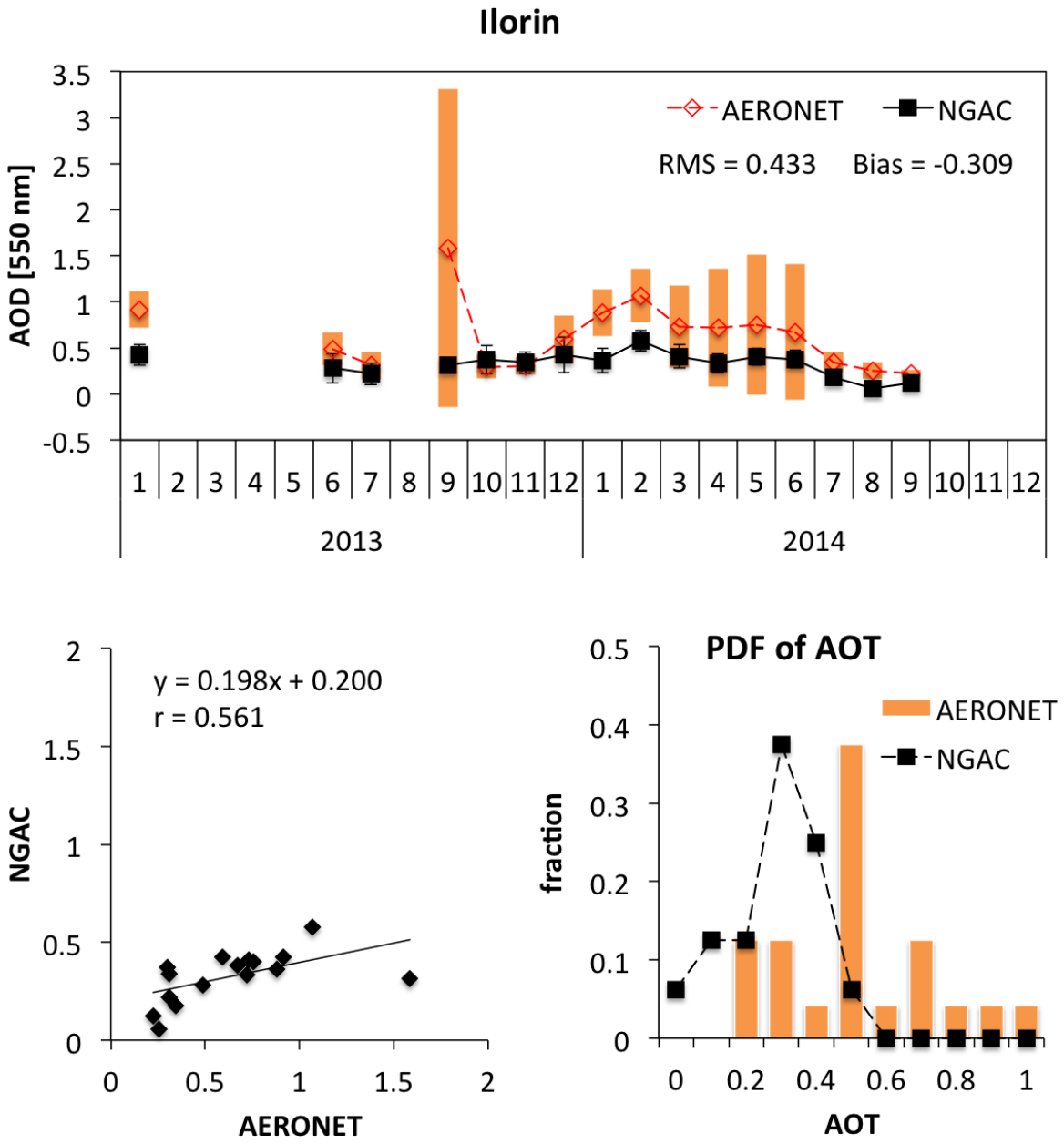


Figure 6d. NGAC V1.0 vs. AERONET 550 nm AOD comparisons Ilorin for the 2013 -2014 period: a time series, scatterplot, and fractional distribution histogram.

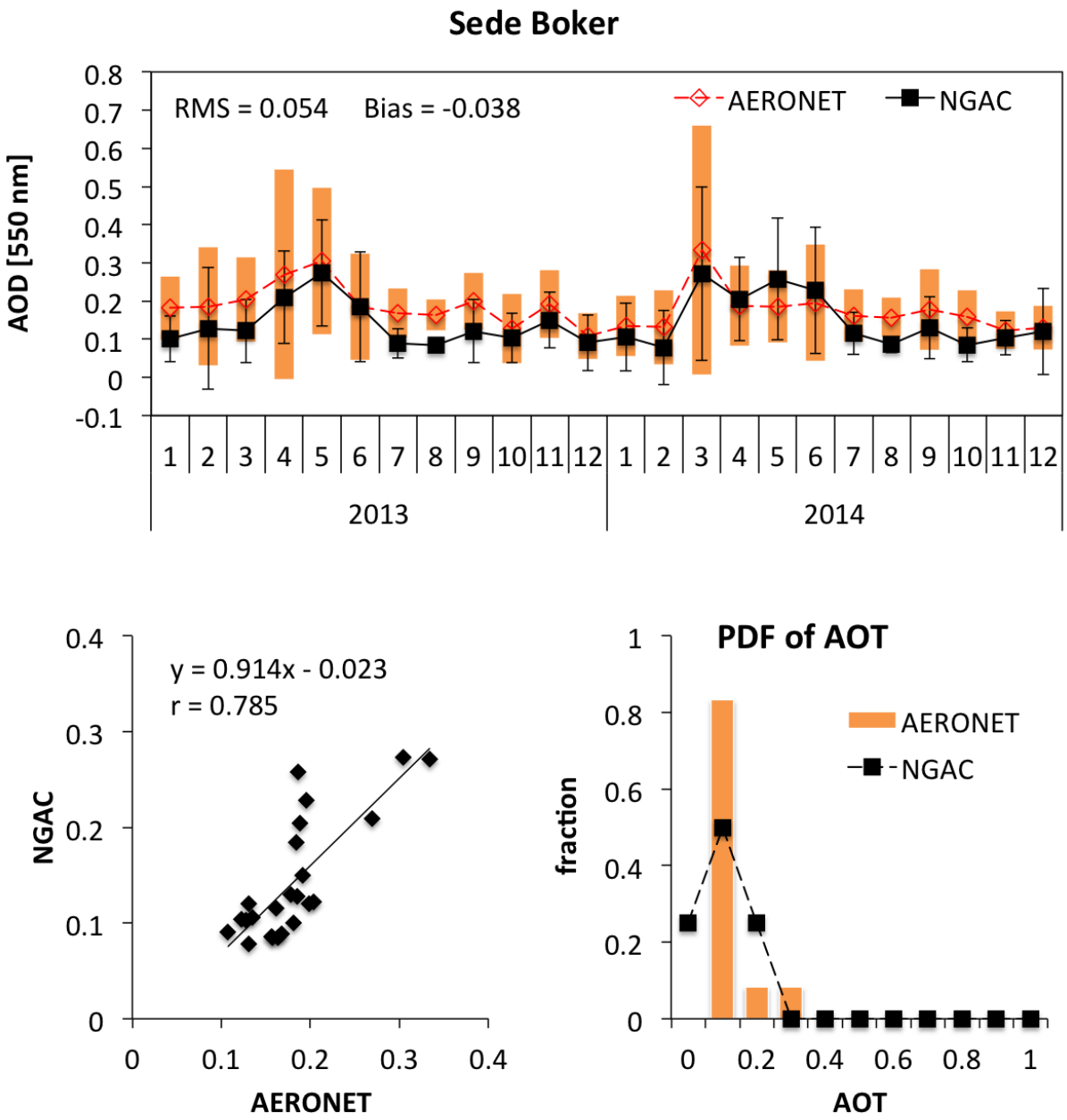
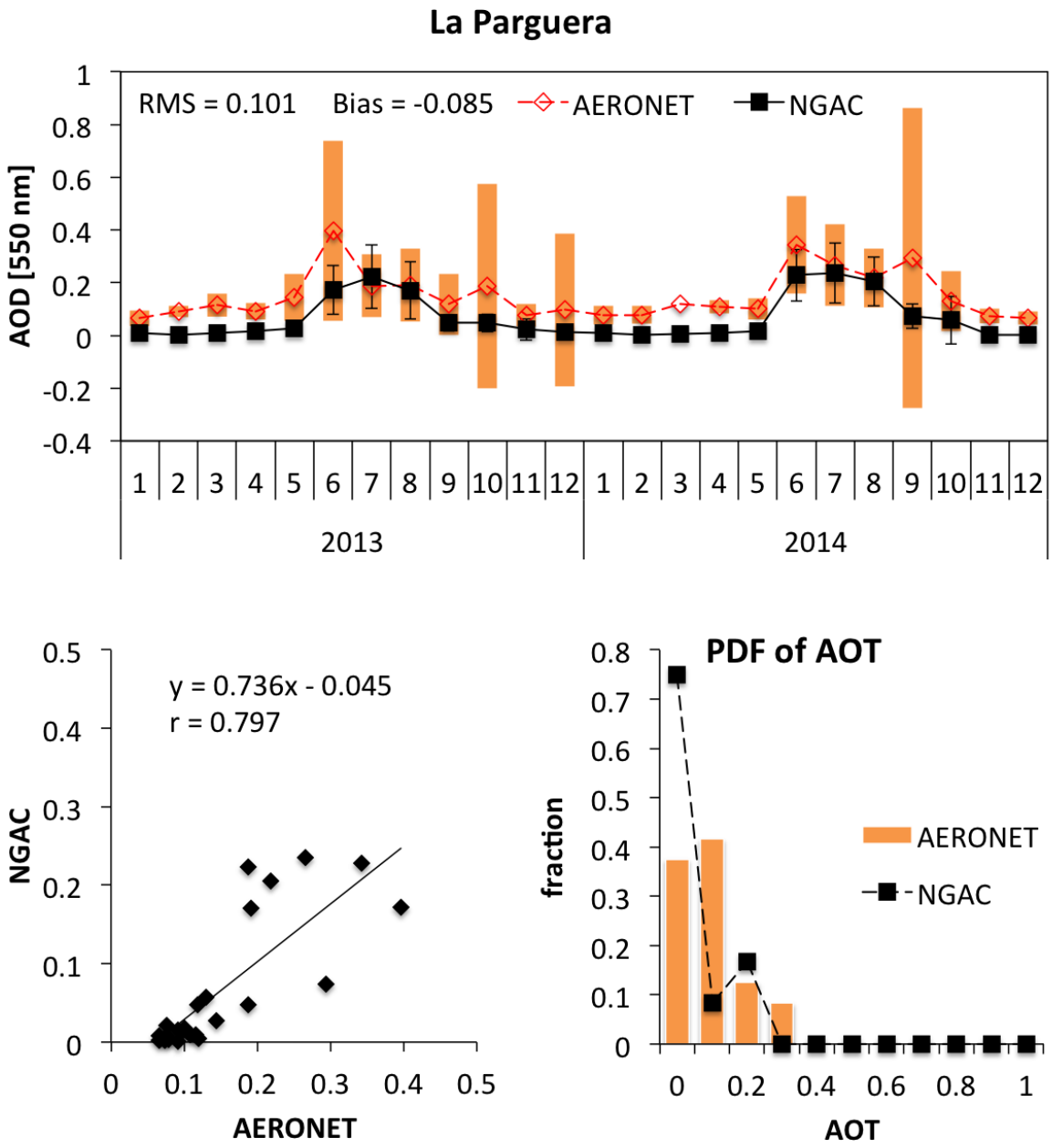


Figure 6e. NGAC V1.0 vs. AERONET 550 nm AOD comparisons at Sede Boker for the 2013 -2014 period: a time series, scatterplot, and fractional distribution histogram.



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2 Figure 6f. NGAC V1.0 vs. AERONET 550 nm AOD comparisons at La Parguera for the
3 2013 -2014 period: a time series, scatterplot, and fractional distribution histogram.

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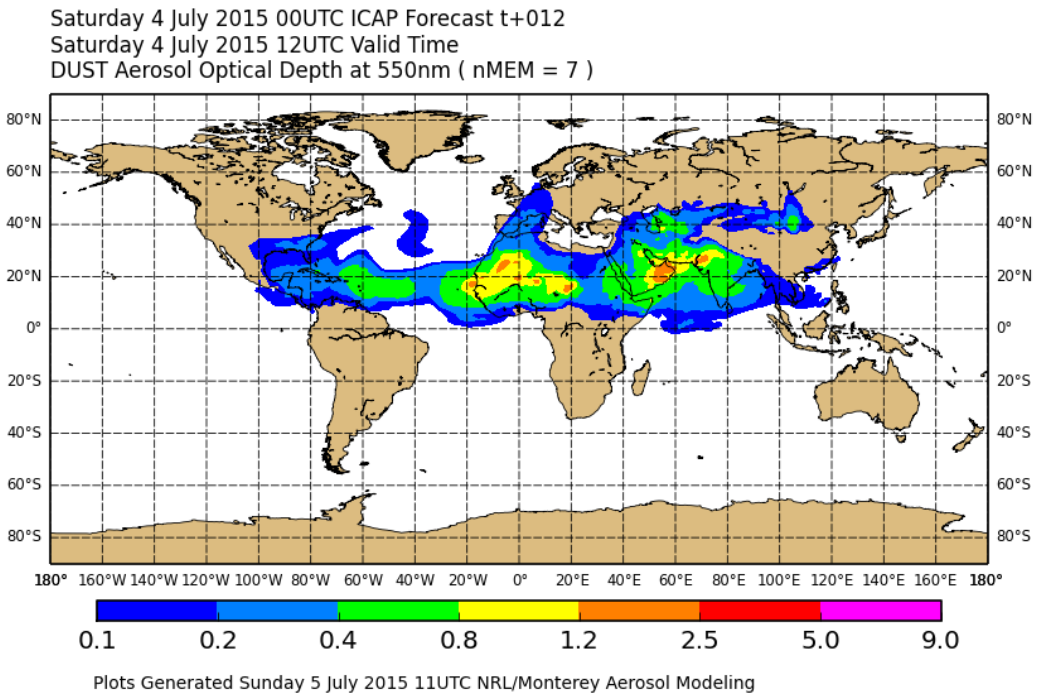

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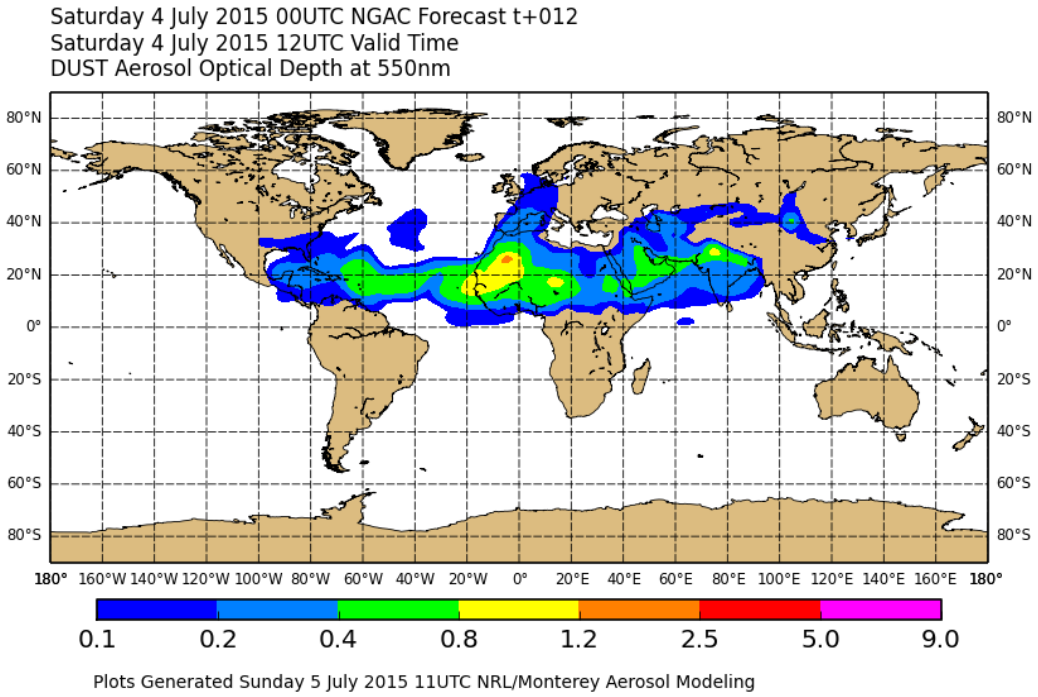

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4 Figure 7. Dust AOD valid at 12:00 UTC 4 July 2015 for ICAP multi-model ensemble (top)
5 and NGAC V1.0 (bottom). The ensemble is based on 7 members, including the models from
6 NCEP (NGAC V1.0), GMAO, ECMWF, NRL, JMA, UKMO, and BSC. These figures are
7 produced by the Naval Research Laboratory.

8

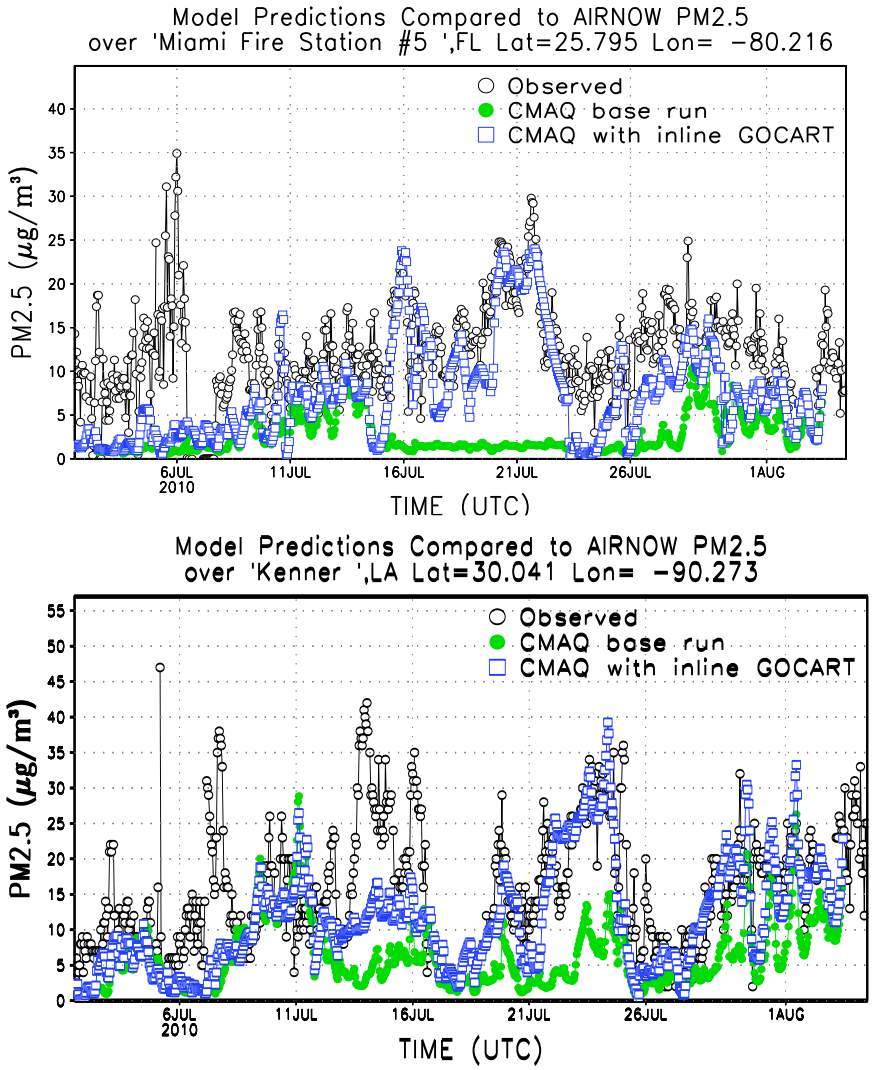

Figure 8. Time series of PM2.5 from EPA AIRNOW observations (black dot), CMAQ baseline run using static LBCs (green dot) and CMAQ experimental run using NGAC LBCs (blue square) at Miami, FL (top panel) and Kenner, LA (bottom panel).