

1 **Surface Dimming by the 2013 Rim Fire Simulated by a Sectional Aerosol Model**

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24 **Key Points**

- 25 • The Rim Fire of 2013 is simulated by a size-resolved aerosol model within the CESM
26 model.
- 27 • Simulated aerosol properties are within data variability.
- 28 • Rim Fire smoke cooled the surface by 120-150 Wm⁻² per unit mid-visible AOD at
29 13:00-15:00 local time.

30 **Abstract**

31 The Rim Fire of 2013, the third largest area burned by fire recorded in California history,
32 is simulated by a climate model coupled with a size-resolved aerosol model. Modeled
33 aerosol mass, number and particle size distribution are within variability of data obtained
34 from multiple airborne *in-situ* measurements. Simulations suggest Rim Fire smoke may
35 block 4-6% of sunlight energy reaching the surface, with a dimming efficiency around
36 120-150 W m⁻² per unit aerosol optical depth in the mid-visible at 13:00-15:00 local time.
37 Underestimation of simulated smoke single scattering albedo at mid-visible by 0.04
38 suggests the model overestimates either the particle size or the absorption due to black
39 carbon. This study shows that exceptional events like the 2013 Rim Fire can be simulated
40 by a climate model with one-degree resolution with overall good skill, though that
41 resolution is still not sufficient to resolve the smoke peak near the source region.

42 1. Introduction

43 Forest fire smoke can cool the planet in the daytime by scattering sunlight
44 [*Robock, 1988; Robock, 1991; Westphal and Toon, 1991*]. *Robock* [1991] used the
45 difference between forecasted and observed temperatures to suggest that forest fires in
46 Canada during 1981 and 1982, Siberia in 1987, as well as in Yellowstone National Park
47 in 1988, cooled the surface under the smoke by 1.5 to 7 °C in the daytime, but did not
48 have an observable impact on nighttime temperatures. Using a numerical model,
49 *Westphal and Toon* [1991] found a daytime cooling of 5 °C beneath a smoke plume over
50 the Northeastern U.S., which originated from a fire in Western Canada in 1982.

51 The Rim Fire of 2013 burned the third largest area recorded in California history.
52 The fire, located near Yosemite National Park, lasted from August to October [*Peterson*
53 *et al.*, 2015]. This exceptional event provides a good opportunity to further quantify
54 radiative forcing by forest fires using modern global climate modeling approaches
55 constrained by both remote and *in-situ* data. The Rim Fire started on August 17 and
56 spread rapidly until August 31, 2013 due to warm ambient temperatures, high near-
57 surface wind speeds and low relative humidity [*Peterson et al.*, 2015]. NASA's Studies
58 of Emissions and Atmospheric Composition, Clouds and Climate Coupling by Regional
59 Surveys field program (SEAC⁴RS, Toon et al. [2016]) sampled the Rim Fire smoke on
60 August 26 and August 27. Multiple instruments on board the NASA DC-8 aircraft
61 provide a unique and rich dataset on aerosol properties and chemical tracers in Rim Fire
62 smoke.

63 We use a climate model coupled with a size-resolved aerosol model to simulate
64 the Rim Fire smoke in order to examine if a relatively low-resolution model can correctly

65 reproduce the physical and optical properties of Rim Fire smoke. In section 2 we
66 introduce the detailed modeling settings and emissions sources used; in section 3 we
67 summarized observational datasets used in the study; in section 4 we evaluate the model
68 performance on Rim Fire simulations; in section 5 we discuss the radiative impacts of
69 Rim Fire smoke simulated by model; in section 6, we summarize the main findings of
70 this study.

71 **2. Model Settings and Study Region**

72 Physical and optical properties of Rim Fire smoke are simulated using the
73 Community Earth System Model, version 1, CESM1, coupled with a sectional aerosol
74 microphysics model, the Community Aerosol and Radiation Model for Atmospheres
75 (CARMA) [Toon *et al.*, 1988; Yu *et al.*, 2015a]. Our version of CESM1/CARMA
76 includes two groups of particles. The first group is composed of liquid droplets of
77 sulfuric acid that have nucleated from the gas phase. The second group is an internal
78 mixture of primary emitted organics, secondary organics, dust, sea salt, black carbon and
79 condensed sulfate. Ammonia or nitrate is currently not included in CARMA. To compare
80 with field observations, we extract the nearest model grid-box output ($1.9^{\circ} \times 2.5^{\circ}$, 30
81 minutes for time-step) along the flight track spatially and temporally.

82 Aerosol optical properties are calculated using Mie scattering theory. For the
83 internally mixed particles a core shell structure is assumed. The core is composed of
84 black carbon and dust, while the shell is composed of materials that are possibly in a
85 liquid state including sulfate, organics, salt and condensed water. At mid-visible
86 wavelengths, the refractive index of black carbon is assumed to be $1.75-0.443i$ and the
87 index of the shell is assumed to be $1.43+0i$ according to Hess *et al.* [1998]. Absorption of

88 brown carbon [*Forrister et al.*, 2015] is currently not modeled. Aerosol optical properties
89 (scattering coefficient, absorption coefficient, single scattering albedo, asymmetry
90 parameter) are passed to CESM1's RRTMG radiation model [*Iacono et al.*, 2008] for
91 online radiative calculation of forcing and heating rates. The optical properties vary
92 spatially and temporally with dry particle size, relative humidity, black carbon amount
93 and dust amount [*Yu et al.*, 2015a].

94 Details of CESM/CARMA are described in *Yu et al.* [2015]. To better resolve the
95 Rim Fire smoke, we conducted runs with one-degree horizontal resolution instead of the
96 2-degree resolution used in *Yu et al.* [2015]. Simulations were run for five years (from
97 2007 to 2012) to spin-up the aerosol and chemical tracers. The Rim Fire smoke was
98 introduced in the 6th year of the model run (i.e. year 2013).

99 Runs were nudged to offline meteorology (temperature and winds) using data from
100 the Modern Era Retrospective-Analysis for Research, MERRA, [*Rienecker et al.*, 2011]
101 for the SEAC⁴RS period. The nudging relaxes the model towards MERRA temperature
102 and winds by 1% each time step (i.e. 30 minutes). Sea surface temperature (SST) is
103 prescribed. The biomass burning emissions are determined using the daily Quick Fire
104 Emission Dataset (QFED, *Darmenov and da Silva*, [2014]). Emissions are tabulated in
105 the QFED at 0.1-degree resolution, which we re-grid to the model resolution of 1 degree.
106 QFED emissions for rim fires are evaluated and found not sufficient to resolve observed
107 smoke amount [*Saide et al.*, 2015]. We applied the correction factors generated by *Saide*
108 *et al.* [2015] for daily Rim Fire Emissions (37.75 to 38.15°N and 120.3 to 119.05°W)
109 from Aug.21 to Aug.27. Anthropogenic emissions of organics and black carbon come
110 from *Amann et al.* [2011]. Table 1 lists the adjusted daily biomass burning emission rate

111 (g/m²/day) for organic aerosol (OA) and black carbon for the Rim Fire. The ratio of the
112 daily emissions of OA to BC ranges from about 26 to 36.

113 Table 1 Adjusted Emission Rate (kg s⁻¹ m⁻²) between 37.75 to 38.15°N and 120.3 to
114 119.05°W

Emission	BC	OA	OA/BC
Aug.21	2.01E-09	6.68E-08	33.2
Aug.22	3.60E-09	9.45E-08	26.3
Aug.23	4.89E-10	1.46E-08	29.8
Aug.24	3.58E-10	1.23E-08	34.5
Aug.25	1.42E-10	4.83E-09	34.1
Aug.26	2.83E-10	9.81E-09	34.6
Aug.27	2.12E-10	7.00E-09	33.0
Aug.28	9.67E-11	3.34E-09	34.6
Aug.29	1.01E-10	3.37E-09	33.3
Aug.30	3.13E-11	1.12E-09	35.7
Aug.31	1.20E-11	4.29E-10	35.8

115

116 The injection height of Rim Fire smoke measured by DIAL/HSRL was 3-5 km
117 above the ground, which is roughly between 700 to 500 hPa [*Peterson et al.*, 2015]. We
118 put the Rim Fire emissions at five pressure levels of CESM between 712 and 581 hPa,
119 with a peak at 618 hPa. Note the injection height used in the model remains constant with
120 time. The emissions are vertically distributed in a Gaussian distribution with a median
121 injection height at 618 hPa and a width of 25 hPa. The peak location (around 618 hPa) is
122 consistent with the location of the highest measured organic concentration along the Rim
123 Fire smoke plumes. We also examined an alternative approaches to inject the smoke near
124 surface or higher than the observed smoke peak, and we found modeled smoke matches
125 observation the best when we inject the smoke near 618 hPa.

126 The modeled particle size distribution is controlled by the size distribution at time
127 of emission, particle microphysical process (e.g. coagulation, growth, evaporation and

128 deposition), and condensation of water. The initial particle size distribution for smoke
 129 emissions is based on a daily mean size distribution retrieved by AERONET at
 130 University of Nevada-Reno on Aug.26 of 2013 when Rim fire smoke heavily impacted
 131 the site.

132 The model outputs aerosol mass, number, compositions, size and optical
 133 properties along the DC8 flight track (shown in Figure 1) when and where the
 134 measurements are taken. Model's spatial ($0.9^{\circ} \times 1.25^{\circ}$) and temporal (30 min) resolution is
 135 lower than reported observational resolution (1 Hz, about 200 m). Simulated aerosol
 136 fields are interpolated using the nearest four model grid points and closest time step
 137 where and when the measurements are taken.

138 3. Observational datasets

139 Details of observational datasets on board of DC8 are documented in Table 4 of
 140 *Toon et al.* [2016]. Table 2 lists aerosol properties used in this study and basic
 141 information of their instruments.

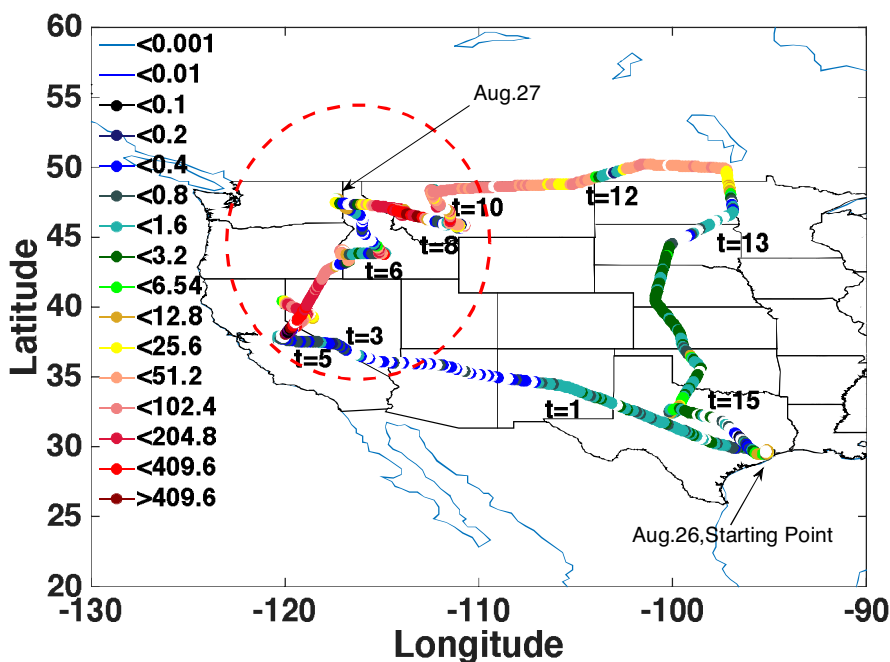
142 Table 2 Aerosol properties and instruments used in this study

Properties	Instruments	References
BC	HD-SP2	Schwarz et al., 2013
OM	HR-AMS	Dunlea et al., 2009
ND	LARGE*LAS	Chen et al., 2011
Area	LARGE*LAS	
Volume	LARGE*LAS	
Extinction	LARGE Nephelometer	
Extinction	CRDS	Langridge et al., 2011
Dust	PALMS	Murphy et al., 2006
AOD	MODIS	Sayer et al., 2013

143 Note: *LAS denotes TSI Laser Aerosol Spectrometer.

144 4. Comparing Simulations with Observations of Rim Fire Smoke

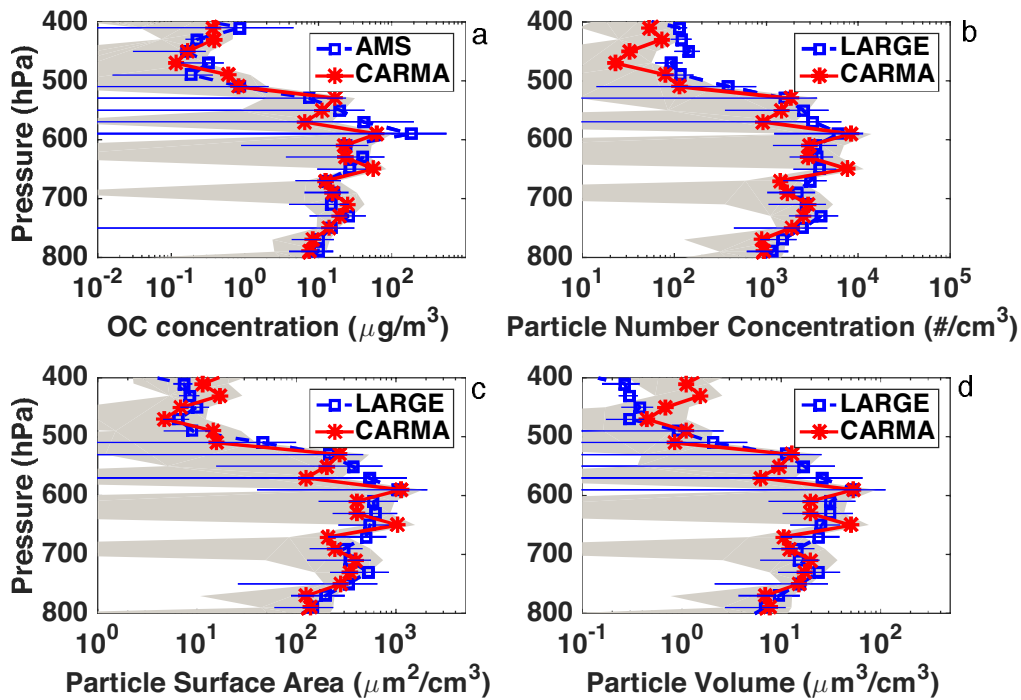
145 Figure 1 shows the measured concentration of sub-micron OA along the flight
 146 tracks of the DC-8 on 8/26 and on 8/27 as measured by the Aerosol Mass Spectrometer
 147 (AMS) [Dunlea *et al.*, 2009, 0.1 to 1 μm in diameter]. In this paper we consider the
 148 smoke from California to Montana with the highest concentrations OA, (red dashed circle
 149 in Figure 1) as the region of the smoke cloud, because it is most likely to have observable
 150 radiative effects due to its large aerosol concentration.



151
 152 Figure 1 Concentration of OA in standard air (unit: $\mu\text{g}/\text{std m}^3$) along the flight tracks of
 153 the DC-8 from 8/26 to 8/27. Study region is marked by red dashed circle. Starting points
 154 of flight of 8/26 and 8/27 are denoted by the black text arrows.

155 Figure 2 shows various aerosol properties in Rim Fire smoke observed by the
 156 AMS, and the Langley Aerosol Research Group Experiment (LARGE, 0.1 to 6.3 μm in
 157 diameter), and as simulated by CESM/CARMA using the same aerosol size ranges. Both
 158 model and observations suggest the effective radius (around 0.14 μm , measured by
 159 LARGE laser aerosol spectrometer) of smoke particles remains constant downwind,

160 which is not shown in the figures in this paper. However, the lack of change in effective
161 radius does suggest that no significant conversion of secondary organic aerosol or other
162 gases to aerosols occurred as the smoke moved downwind. In addition observed
163 Angstrom exponent (AE) of scattering (450 nm to 550 nm) from LARGE remains
164 constantly along the smoke (ranging from 1.9 to 2.2, AE is derived from scattering
165 coefficients measured by Nephelometers). The smoke simulated in the model remains
166 constant in altitude with limited variation, not much diurnal variations are shown in the
167 model. Smoke does sink or dissipate in the model following winds. The simulated OA
168 mass concentration, particle number concentration, surface area concentration and
169 volume concentration in standard air averaged along the flight track within the dashed
170 circle in Figure 1, are within data variability (one standard deviation). Generally the OA
171 concentration from Rim Fire smoke peaks at around 600 hPa and decreases sharply by 2-
172 3 orders of magnitude up to 400 hPa. The OA concentrations also decrease by 1 order of
173 magnitude between 600 and 800 hPa. The mean of the simulated OA concentration, and
174 the other particle concentrations, are lower than the mean observed between 550 to 600
175 hPa, though they are still within the variability. It is possible that the concentrations are
176 low because the 1-degree model is not able to resolve sub-grid smoke plumes near the
177 source region. It is also possible that the initial injection profile assumed from 700 to 500
178 hPa with a peak at 600 hPa, is not completely correct. The large spatial and temporal
179 variabilities of smoke (observed and modeled) shown in Figure 2 is partly because the
180 aircraft is occasionally flying above or outside the smoke plume.



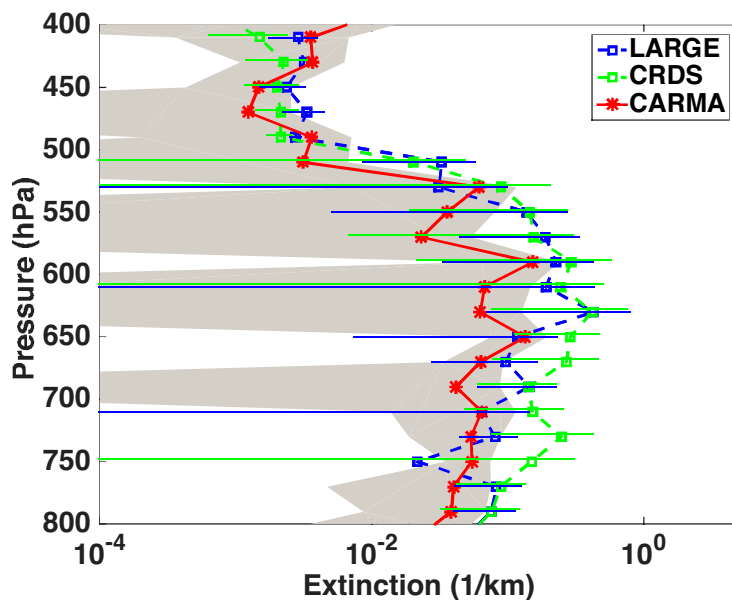
181

182 Figure 2 OA concentration (a), particle number density (b), aerosol surface area density
 183 (c) and aerosol volume density (d) of standard air simulated by CARMA (shown in solid
 184 red lines) and observed in SEAC⁴RS (shown in dashed blue lines). Error bars denote
 185 variability (one standard deviation) of observations. Grey shadings denote temporal and
 186 spatial variability of the model (one standard deviation). Data are averaged from
 187 California to Montana along the flight track inside the dashed circle in Figure 1.

188 Figure 3 shows aerosol extinction along Rim Fire smoke observed by LARGE (in
 189 blue dashed line, Chen et al. [2011]) and NOAA Aerosol cavity ringdown extinction
 190 spectrometer (in green dashed line, Langridge et al. [2011]), and modeled by CARMA (in
 191 red solid line). Error bars denote one standard deviation of data. As shown in Figure 3 the
 192 model underestimates the aerosol extinction coefficient in the smoke region between 550
 193 and 650 hPa. The extinction coefficient is measured as the sum of scattering and
 194 absorption coefficients. Scattering is measured with dual integrating nephelometers

195 operating at less than 40% and 80% relative humidity so that the extinctions are adjusted
196 to the ambient humidity [Ziemba *et al.* 2013]. Absorption is measured by a particle soot
197 absorption photometer. For the region below 650 hPa, the simulations are within the
198 variability of the observations.

199 The comparisons in Figure 2 and Figure 3 suggest CESM/CARMA generally
200 captures the location and physical properties of Rim Fire smoke, although the simulations
201 may underestimate concentrations. The underestimation may be a consequence of the 1-
202 degree resolution being inadequate to fully capture the denser parts of the smoke plume.
203 Alternatively, the daily-averaged input emissions (without diurnal cycle) may be an
204 underestimate.

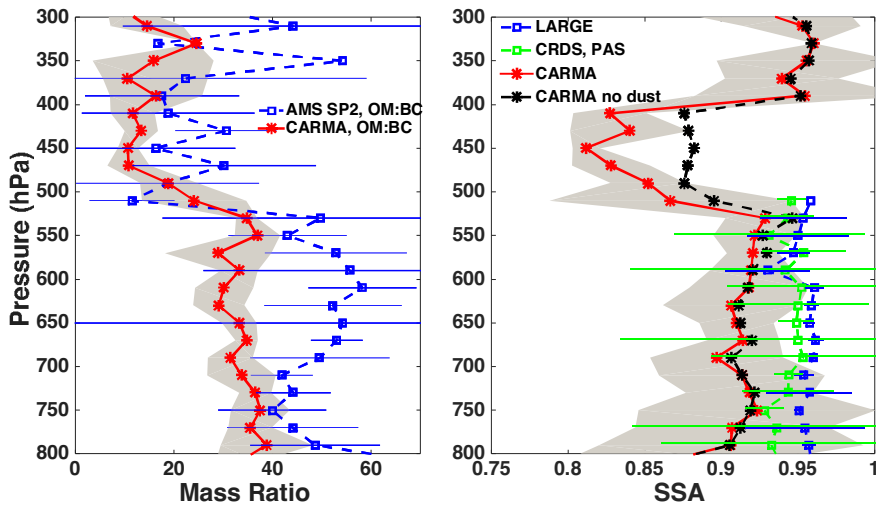


205
206 Figure 3 Extinction coefficients at mid-visible wavelength simulated by CARMA (red)
207 and observed by LARGE (blue), CRDS (green). Error bars denote data variability (one
208 standard deviation) of observations. Grey shading denotes temporal and spatial variability
209 of model (one standard deviation).

210 Figure 4 (left panel) illustrates the OA to BC mass ratio as a function of altitude
211 simulated by CARMA (in solid red line) and calculated based on observational datasets
212 (in dashed blue line). Both model and observation suggest the ratio of OA to BC is quite
213 large in the Rim Fire smoke. The data suggests the ratio is about 40-60, and the model
214 about 30-40 for pressures higher than 550 hPa. Table 1 shows that the emission of
215 primary OA from the fire is assumed to be 26-36 times that of black carbon. *Forrister et*
216 *al.* [2015] showed that no net Secondary Organic Aerosol formation was observed in the
217 Rim Fire plume, consistent with observations for most other wildfire plumes studied from
218 aircraft [*Cubison et al.*, 2011; *Jolleys et al.*, 2012]. The comparison thus suggests that the
219 initial injected OA-to-BC ratio may be too low.

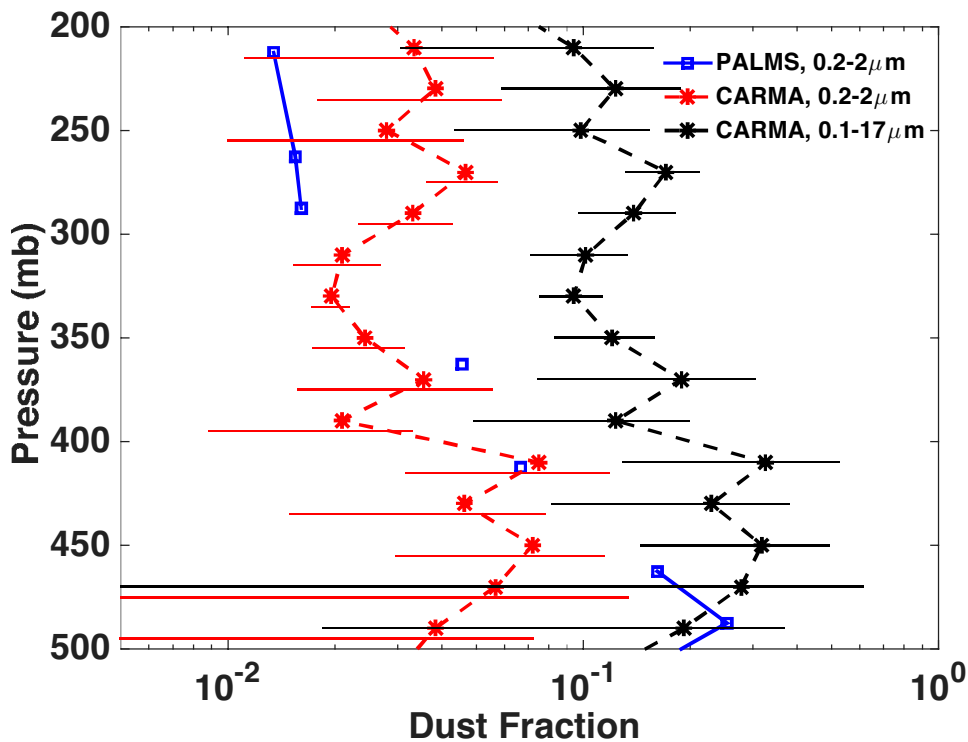
220 Figure 4 (right panel) compares the simulated SSA in the Rim Fire smoke (0.91)
221 with two sets of observations: one is humidified particle SSA measured by LARGE
222 [*Ziemba et al.*, 2013], the other one is dry particle SSA measured by a combination of
223 CRDS (measure dry extinction coefficient) and NOAA Aerosol photo-acoustic
224 absorption spectrometer (PAS, measure dry absorption coefficient). Both measured SSA
225 values are about 0.95 in the smoke region between 550 hPa and 700 hPa, which is larger
226 than the modeled value (0.91). As shown previously, we chose a relatively low value of
227 the imaginary refractive index for BC, and we did not consider any absorption by Brown
228 Carbon, which was present in this fire [*Forrister et al.*, 2015]. Both of these assumptions
229 could bias the single scattering albedo high, rather than low as indicated by the
230 observations. The single scattering albedo is likely too low in our simulations because the
231 ratio of organic carbon to black carbon is about 25% too low, but larger particles could
232 also reduce the SSA.

233 The observed OA-to-BC ratio declines above the Rim Fire smoke for pressures
 234 less than 550 hPa. The simulated ratio also declines to about 10, and as a consequence the
 235 simulated single scattering albedo (SSA) at mid-visible declines for pressures less than
 236 550 hPa. Using combined measurements of CRDS and PAS, the observed SSA declines
 237 as low as 0.5 at 430 hPa (not shown in Figure 4). However measured absorption
 238 coefficients above 500 hPa are close to the detection limit of PAS ($2 \times 10^{-3} \text{ km}^{-1}$). The
 239 lower SSA values at pressures below 550 hPa are partly due to the lower OA to BC ratio
 240 as shown in the left panel of Figure 4.



241
 242 Figure 4 (left) OA to BC mass ratio. CARMA simulations are shown in red, while
 243 observations are shown in blue dashed lines. Error bars denote variability (standard
 244 deviation) of observations, grey shading denotes data variability of model; (right) single
 245 scattering albedo (SSA) at mid-visible wavelength simulated by CARMA (red) and
 246 observed by LARGE (blue). Green lines denote calculated SSA using CRDS for dry
 247 extinction coefficient and PAS for dry absorption coefficient. Black dashed lines denote
 248 modeled SSA in CARMA without dust aerosols.

249 Another reason behind the lower SSA between 300 and 500 hPa is the presence of
 250 dust. Figure 5 shows modeled dust mass fraction for the size range between 0.2 and 3 μm
 251 in diameter (in dashed red lines); modeled mass fraction for the size range between 0.1
 252 and 17 μm in diameter (in dashed black lines); in-situ PALMS data (Particle Analysis by
 253 Laser Mass Spectrometry, detection limit: 0.2-2 μm in diameter, [Murphy *et al.*, 2006]) is
 254 shown in blue lines. Both model and observation suggest dust mass fraction (in the size
 255 range of 0.2-2 μm) is 1 to 5% in the upper troposphere (200 mb to 400 mb), while the
 256 model also suggests the total dust mass fraction could be as high as 8-20%. A simulation
 257 omitting dust emissions globally suggest absence of dust (dashed black lines in the right
 258 panel of Figure 4) leads to a SSA increase by up to 0.05 from 400 hPa to 500 hPa.



259
 260 Figure 5 Dust mass fraction: red dashed line denotes simulated in CARMA for aerosol in
 261 the size range of 0.2 to 2 μm in diameter; black dashed line denotes simulated in

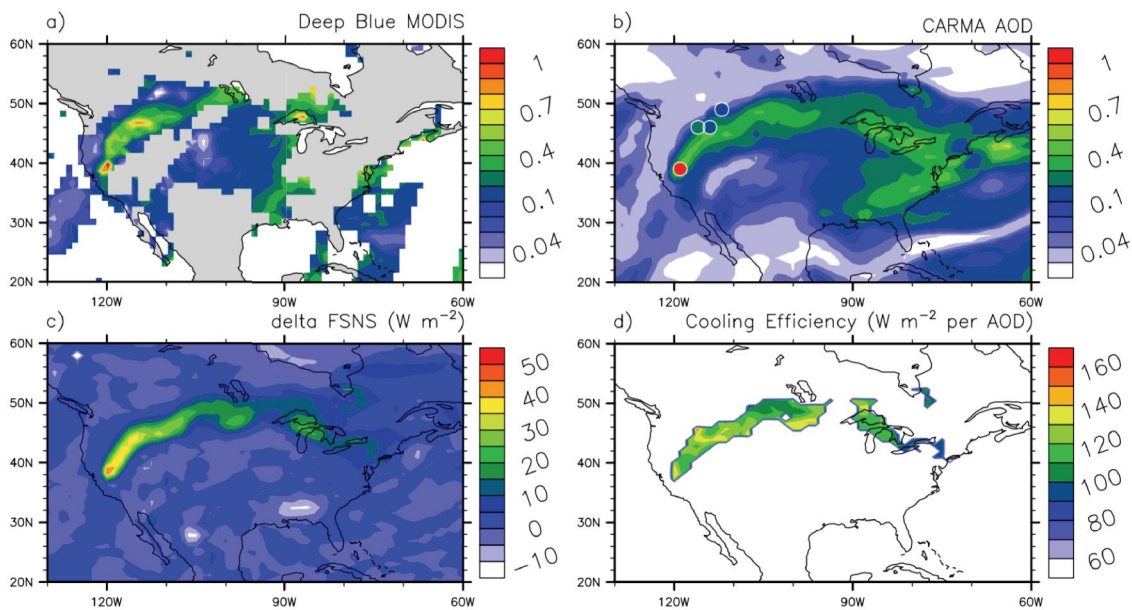
262 CARMA for aerosol in the size range of 0.1 to 17 μm in diameter; blue line denotes
263 observations from PALMS for the size range of 0.2 to 2 μm in diameter.

264 **5. Radiative Effects of Rim Fire Smoke**

265 Figure 6a shows MODIS mid-visible aerosol optical depth (AOD, Deep Blue
266 algorithm, [Sayer *et al.*, 2013]) on August 27, and Figure 6b shows simulated mid-
267 visible AOD by CARMA on August 27 with AERONET retrieved mid-visible AOD
268 shown in filled circles. Near the source region, both MODIS and AERONET see a value
269 about 1 at mid-visible, while the model predicts a value of 0.6. The underestimation is
270 likely because coarse model spatial resolution (i.e. one degree) is not sufficient to resolve
271 sub-grid fire sources. The underestimation might also due to the initial smoke emissions.
272 Downwind of the Rim fire, modeled AOD (0.3-0.6) is close to observations. The
273 simulations may be more accurate downwind due to the smoke plumes expanding
274 spatially.

275 To quantify the radiative impacts of Rim Fire smoke we conducted a control run
276 with the same settings (meteorology and initial conditions) as in the base run but without
277 black carbon and organic aerosols emitted in Rim Fire plumes. The background aerosol
278 (not from smoke) remains the same as base run. Figure 6c shows simulated clear sky net
279 radiative flux at the surface (FSNS, W m^{-2}) averaged from 20Z-22Z of August 27 (i.e.
280 13:00-15:00 local time of California) from the run with Rim Fire smoke. The simulation
281 suggests that Rim Fire smoke may prevent 4-6% of sunlight energy from reaching the
282 surface. Figure 6d illustrates the dimming efficiency (defined as FSNS difference per unit
283 mid-visible AOD, W m^{-2} per unit of AOD) calculated from the Rim Fire run and the
284 control run (20Z-22Z of August 27). In the simulations the smoke is dimming the surface

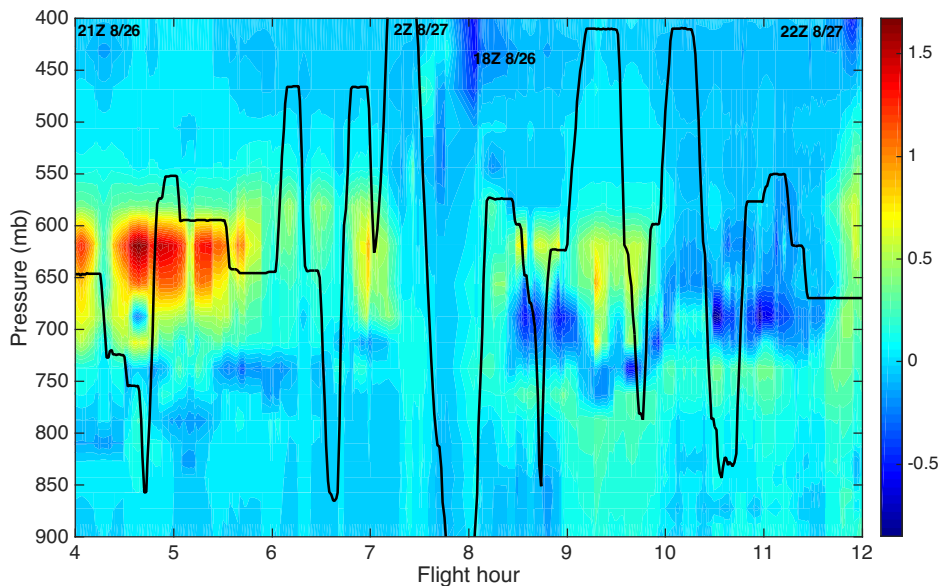
285 beneath it by $120\text{-}140\text{ W m}^{-2}$ per unit of mid-visible AOD. This is consistent with the
 286 solar forcing efficiency of approximately -140 W m^{-2} per unit mid-visible AOD measured
 287 by the BroadBand Radiometers (BBR) and the Spectrometer for Sky-Scanning, Sun
 288 Tracking Atmospheric Research (4STAR) on the DC8 as it flew gradient legs into and
 289 out of the smoke plume perpendicular to the smoke plume axis [Bucholtz *et al.*, 2015].
 290 The measured forcing efficiency of the smoke was derived from the slope of the net solar
 291 irradiance measured by the BBR versus the AOD gradient measured by 4STAR. Given
 292 high SSA observed (0.95) and modeled (0.91), the surface dimming from rim fire smoke
 293 is mostly due to scattering rather than absorption of soot and brown carbon in the smoke.



294
 295 Figure 6 (a) MODIS deep blue mid-visible AOD of August 27, grey area denotes
 296 no retrieval by MODIS; (b) CARMA simulated mid-visible AOD for 20Z-22Z of August
 297 27; (c) Net solar flux (W m^{-2} at mid-visible) at surface simulated in CARMA for the to
 298 Rim Fire smoke simulation minus the control, 20Z-22Z of August 27 (d) surface
 299 dimming efficiency for rim fire smoke for 20Z-22Z of August 27: surface dimming per
 300 AOD of smoke (W m^{-2} per unit of mid-visible AOD). Observation of mid-visible AOD

301 (level 2) by AERONET Sites (University of Nevada-Reno: 39N, 119W; Rimrock: 46N,
302 116W; Missoula: 46N, 114W; University of Lethbridge: 49N, 112W) close to the smoke,
303 are shown in filled cycles. AERONET observations are mostly taken between 20-22Z of
304 August 27. Due to limited observation on August 27, the AOD data of University of
305 Nevada-Reno is taken at 23 Z of August 27.

306 Figure 7 shows simulated solar heating rate (K/day) difference between runs with
307 and without smoke. Up to 1.7 K/day solar heating rate is shown between 600 and 650 hPa
308 near the source region with denser smoke, and near local noon. In the far end of the
309 smoke, the heating rate becomes noisy due to the less dense smoke and the large solar
310 zenith angles as sampling occurred late in the afternoon. Given the fact the model with 1-
311 degree resolution underestimates AOD near source region by a factor of 2-3 as shown in
312 Figure 6c, the peak solar heating rate might be several times higher than 1.7 K/day near
313 the source region. Absorption of brown carbon [*Jacobson, 2014*] is not modeled in this
314 study, but the single scatter albedo of the simulated smoke is too low.



315

316 Figure 7 simulated solar heating rate (K/day) difference between runs with and without
 317 Rim Fire smoke along the DC8 flight track from 21Z 8/26 to 22Z 8/27. Pressure altitudes
 318 of DC8 are shown in black lines.

319 **6. Discussions and Conclusions**

320 The Rim Fire of 2013, which consumed the third largest area in California
 321 history, produced a dense smoke plume. We simulate this plume for August 26 and 27,
 322 when the smoke extended from the active fires in the Sierra Nevada Mountains near
 323 Yosemite National Park, to southern Canada and the Great Lakes. On these days the
 324 NASA DC-8 made a large number of observations of the smoke plume properties as part
 325 of the SEAC4RS field program. Our simulations use the CESM1/CARMA climate model
 326 with size-resolved aerosol microphysics. Our goal is to determine if a climate model,
 327 with relatively coarse resolution, can correctly reproduce the smoke properties, and the
 328 radiative impact of the smoke. In Table 3, we list some assumptions and limitations of the
 329 model in simulating smoke’s physical and optical properties. The major limitations come
 330 from the uncertainties of Rim Fire emissions and the model’s coarse resolution.
 331 Uncertainties on injection height, initial size distribution, smoke’s density and smoke’s
 332 aging process can affect the smoke plume mass budget, size distributions and lifetime. In
 333 addition, the smoke optical properties assumed in the model are also directly related to
 334 the dimming forcing calculations.

335 Table 3 Assumptions in simulating radiative impact of Rim Fire smoke

	Model Assumptions	Values or References
a	Emissions of Rim Fire Smoke	Saide et al., 2015
b	Fire Injection Height	Peterson et al., 2015
c	Fire initial size distribution	AERONET
d	Aging process of fire smoke in the model	Not Simulated
e	Model's resolution	0.9°x1.25°

f	Absorption by brown carbon	Not Simulated
g	Refractive Indices of smoke	Hess et al., 1998
h	Smoke particle shape	Core-shell structure, sphere
i	Smoke mixing state	Internal mixtures
j	Black carbon refractive indices	1.75-0.443i
k	Smoke density	Constant (1.35 g/cm ³)

336

337 Observations suggest the initial smoke aerosol concentrations peak between 550
338 and 650 hPa. Using 1-degree spatial resolution, CARMA is able to reproduce smoke OA
339 mass concentration, particle number concentration, particle surface area concentration,
340 particle volume concentration, and extinction coefficient within observed data variability,
341 though the simulated mean values for all the parameters or just extinction tend to be
342 biased low with respect to mean observed values. The simulated single scattering albedo
343 (0.9) is too low compared with observations (0.95). Surprisingly the simulated single
344 scattering albedo (SSA) at mid-visible wavelength is lower in the background air above
345 the smoke plumes than in them, due to higher simulated and observed black carbon mass
346 fraction in the aerosols above the main smoke layer and possibly due to the presence of
347 dust. Both simulations and PALMS observations suggest the dust mass fraction in the
348 upper troposphere is a few percent for particles smaller than 2 μm in diameter, while
349 CARMA simulations also suggests the dust mass fraction in upper troposphere is 8-20%
350 of total aerosol mass. Underestimates of the mean values of extinction coefficients and
351 SSA are likely related to a combination of model resolution being too low, inaccurate
352 emissions estimates, and/or injecting the emissions at a pressure that is slightly too high.

353 The simulations suggest that scattering and absorption (mostly scattering) by the
354 Rim Fire smoke reduced solar insolation at the surface at 20Z-22Z on August 27 (around
355 local noon time) by 20-50 W m^{-2} , which is roughly 4-6% of total solar radiation at the

356 surface. The simulations also suggest that forest fire smoke may reduce surface solar flux
357 with an efficiency of 120-150 W m⁻² per unit AOD. The peak of the simulated solar
358 heating rate is 1.7 K/day, but the model may underestimate the heating rate by a factor of
359 2-3 especially near the source region because it underestimates the aerosol
360 concentrations. Following *Robock* [1991], this study suggests forest fire smoke,
361 especially on continental scales, should be taken into account when forecasting surface
362 temperature. However, weather forecasts in the mountainous region studied do not have
363 good enough signal to noise levels to reveal the impact of the smoke on the forecasts.

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