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DOCK8-Deficient CD4<sup>+</sup> T Cells are Biased to a Th2 Effector Fate at the Expense of Th1 and Th17 Cells

Stuart G. Tangye, PhD, Bethany Pillay, BSc Hons, Katrina L. Randall, MBBS, PhD, FRACP, FRCPA, Danielle T. Avery, BApplSci, Tri Giang Phan, MBBS, PhD, FRACP, FRCPA, Paul Gray, FRACP, John B. Ziegler, MD, FRACP, Joanne M. Smart, MBBS, FRACP, Jane Peake, MBBS, Peter D. Arkwright, FRCPCH, DPhil, Sophie Hambleton, DPhil, Jordan Orange, MD, PhD, Christopher C. Goodnow, PhD, Gulbu Uzel, MD, Jean-Laurent Casanova, MD, PhD, Saul Oswaldo Lugo Reyes, MD, Alexandra F. Freeman, MD, Helen C. Su, MD, PhD, Cindy S. Ma, PhD



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# DOCK8-DEFICIENT CD4<sup>+</sup> T CELLS ARE BIASED TO A TH2 EFFECTOR FATE AT THE EXPENSE OF TH1 AND TH17 CELLS.

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4 Stuart G Tangye, PhD,<sup>1,2</sup> Bethany Pillay BSc Hons,<sup>1,2</sup> Katrina L Randall, MBBS, PhD, FRACP,

5 FRCPA,<sup>3,4</sup> Danielle T Avery, BApplSci,<sup>1</sup> Tri Giang Phan, MBBS, PhD, FRACP, FRCPA,<sup>1,2</sup>

6 Paul Gray, FRACP,<sup>5</sup> John B Ziegler, MD, FRACP,<sup>5</sup> Joanne M Smart, MBBS, FRACP,<sup>6</sup> Jane

7 Peake, MBBS,<sup>7</sup> Peter D Arkwright, FRCPCH, DPhil,<sup>8</sup> Sophie Hambleton, DPhil,<sup>9</sup> Jordan

8 Orange, MD, PhD,<sup>10</sup> Christopher C. Goodnow, PhD,<sup>1,2</sup> Gulbu Uzel, MD,<sup>11</sup> Jean-Laurent

9 Casanova, MD, PhD,<sup>12,13,14,15</sup> Saul Oswaldo Lugo Reyes, MD,<sup>16</sup> Alexandra F. Freeman, MD,<sup>11</sup>

- 10 Helen C Su, MD, PhD,<sup>17</sup> and Cindy S Ma, PhD,<sup>1,2</sup>.
- 11

- 13 <sup>2</sup>St Vincent's Clinical School, UNSW Australia;
- <sup>3</sup>Department of Immunology, The John Curtin School of Medical Research, Acton, Australian Capital
- 15 Territory, Australia;
- 16 <sup>4</sup>Australian National University Medical School, Australian National University, Acton, Australian
- 17 Capital Territory, Australia;
- <sup>5</sup>University of New South Wales School of Women's and Children's Health, NSW, Australia;
- <sup>6</sup>Department of Allergy and Immunology, Royal Children's Hospital Melbourne, VIC, Australia;
- 20 <sup>'</sup>University of Queensland and Lady Cilento Children's Hospital, Brisbane, QLD 4006, Australia;
- <sup>8</sup>University of Manchester, Royal Manchester Children's Hospital, Manchester M13 9WL, UK;
- <sup>9</sup>Institute of Cellular Medicine, Newcastle University and Great North Children's Hospital, Newcastle
   upon Tyne, NE4 6BE, UK;
- <sup>10</sup>Texas Children's Hospital Center for Human Immunobiology, Houston, TX, USA;
- <sup>11</sup>Laboratory of Clinical Infectious Diseases, NIAID, NIH, Bethesda, Maryland, USA;
- 26 <sup>12</sup>Laboratory of Human Genetics of Infectious Diseases, Necker Branch, INSERM U1163, Institut
- 27 IMAGINE, Necker Medical School, University Paris Descartes, Paris, France;
- <sup>13</sup>Pediatric Hematology and Immunology Unit, Necker Hospital for Sick Children, AP-HP, Paris,
   France;
- 30 <sup>14</sup>St Giles Laboratory of Human Genetics of Infectious Diseases, Rockefeller Branch, The Rockefeller
- 31 University, New York, USA;
- 32 <sup>15</sup>Howard Hughes Medical Institute, NY, USA;
- <sup>16</sup>Immunodeficiencies Research Unit, National Institute of Pediatrics, Mexico City 04530, Mexico;
- <sup>17</sup>Laboratory of Host Defenses, NIAID, NIH, Bethesda, Maryland, USA.
- 35 36

# 37 Corresponding authors

- 38 Professor Stuart Tangye; Dr Cindy Ma
- 39 Immunology Division
- 40 Garvan Institute of Medical Research
- 41 384 Victoria St, Darlinghurst. NSW. 2010 Australia
- 42 Phone: +61 2 9295 8455; Fax: +61 2 9295 8404
- 43 e-mail: s.tangye@garvan.org.au; c.ma@garvan.org.au

<sup>&</sup>lt;sup>1</sup>Immunology Division, Garvan Institute of Medical Research, Darlinghurst, NSW, Australia;

#### 44 ABSTRACT

45 Background: Dedicator of cytokinesis 8 (DOCK8) deficiency is a combined 46 immunodeficiency caused by autosomal recessive loss-of-function mutations in *DOCK8*. This 47 disorder is characterised by recurrent cutaneous infections, elevated serum IgE, and severe 48 atopic disease including anaphylaxis to foods. However, the contribution of defects in CD4<sup>+</sup> T 49 cells to disease pathogenesis in these patients has not been thoroughly investigated.

50 **Objective:** To investigate the phenotype and function of DOCK8-deficient CD4<sup>+</sup> T cells to 51 determine (1) intrinsic and extrinsic CD4<sup>+</sup> T cell defects (2) how defects account for the clinical

52 features of DOCK8 deficiency.

53 **Methods:** We performed indepth analysis of the CD4<sup>+</sup> T cell compartment of DOCK8-deficient

54 patients. We enumerated subets of CD4<sup>+</sup> T helper cells and assessed cytokine production and

55 transcription factor expression. Finally, we determined the levels of IgE specific for staple

56 foods and house dust mite allergens in DOCK8-deficient patients and normal controls.

57 **Results:** DOCK8-deficient memory CD4<sup>+</sup> T cells were biased towards a Th2 type, and this was

58 at the expense of Th1 and Th17 cells. *In vitro* polarisation of DOCK8-deficient naive CD4<sup>+</sup> T

59 cells revealed the Th2 bias and Th17 defect to be T-cell intrinsic. Examination of allergen

60 specific IgE revealed plasma IgE from DOCK8-deficient patients is directed against staple food

61 antigens, but not house dust mites.

62 Conclusion: Investigations into the DOCK8-deficient CD4<sup>+</sup> T cells provided an explanation for 63 some of the clinical signs of this disorder - the Th2 bias is likely to contribute to atopic disease, 64 while defects in Th1 and Th17 cells compromise anti-viral and anti-fungal immunity, 65 respectively explaining the infectious susceptibility of DOCK8-deficient patients.

# 67 **KEY MESSAGES**

- DOCK8-deficient CD4<sup>+</sup> T cells present with a Th2 cytokine bias, but also defects in
   Th1 and Th17 cells
- The Th2 cytokine bias by DOCK8-deficient cells contributes to atopic disease such as
   eczema and food allergies in DOCK8 deficiency
- Th17 cell defect is T cell intrinsic and contributes to compromised anti-fungal immunity
   in DOCK8-deficient patients.
- 74

# 75 CAPSULE SUMMARY

76 DOCK8-deficient CD4<sup>+</sup> T cells exhibit dysregulated cytokine responses, with exaggerated

77 production of Th2 cytokines, and impaired production of Th1 and Th17 cytokines. Collectively

- 78 these findings provide explanations for some of the clinical features of DOCK8 deficiency,
- such as eczema and food allergies, and recurrent viral and microbial infections.
- 80

**KEYWORDS**: Dedicator of cytokinesis 8, CD4<sup>+</sup> T cell differentiation, Th2 skewing, allergy,
atopic disease, chronic mucocutaneous candidiasis, viral immunity

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# 84 ABBREVIATIONS USED:

- 85 AR-HIES: autosomal recessive hyper IgE syndrome
- 86 BCG: Bacille Calmette-Guerin
- 87 CMC: chronic mucocutaneous candidiasis
- 88 CMV: cytomegalovirus
- 89 DOCK8: Dedicator of cytokinesis 8

# 90 EBV: Epstein-Barr virus

- 91 HHV6: human herpes virus 6
- 92 HPV: human papilloma virus
- 93 HSCT: Hematopoietic stem cell transplant
- 94 HSV: herpes simplex virus
- 95 STAT: signal transducer and activator of transcription
- 96 TAE: T cell activation and expansion
- 97 T<sub>CM</sub>: central memory T cell
- 98 TCR: T cell receptor
- 99  $T_{EM}$ : effector memory T cell

- 100 Tfh: T follicular helper
- 101 Tregs: regulatory T cells
- 102 VZV: Varicella-zoster virus
- 103 XLP: X-liked lymphoproliferative disease
- 104
- 105

#### 106 **INTRODUCTION**

107 Bi-allelic loss-of-function mutations in dedicator of cytokinesis 8 (DOCK8) cause a combined 108 immunodeficiency also known as an autosomal recessive form of hyper IgE syndrome (AR-HIES)<sup>1, 2</sup>. Affected patients typically present with recurrent Staphylococcus aureus skin 109 infections, recurrent and severe cutaneous viral infections (HSV, HPV, Molluscum contagiosum 110 111 virus), elevated serum IgE levels, lymphopenia, eosinophilia and an increased risk of malignancy<sup>1-3</sup>. DOCK8-deficient patients also exhibit impaired humoral immune responses 112 113 against protein and polysaccharide antigens following natural infection or vaccination. 114 Strikingly, DOCK8 deficiency predisposes most affected patients to developing asthma and severe allergies against food and environmental antigens<sup>1-5</sup>. However, the mechanisms 115 116 underlying severe allergy are currently unknown.

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DOCK8 functions as a guanine nucleotide exchange factor to activate Rho-family GTPases 118 such as CDC42, which mediate events including cell activation, division, survival, 119 differentiation, adhesion, and migration<sup>6-8</sup>. Despite this, it is not immediately clear how *DOCK8* 120 121 mutations result in the devastating immune abnormalities characteristic of patients with AR-HIES. However, as DOCK8 is predominantly expressed by hematopoietic cells, it is likely to 122 play critical lymphocyte-intrinsic roles in cellular and humoral immune responses against 123 124 infectious diseases. Consistent with this, allogeneic hematopoietic stem cell transplant (HSCT) overcomes recurrent cutaneous viral infections, eczematous rash, and reduces IgE levels and 125 eosinophilia<sup>9-14</sup>. In regards to food allergies in DOCK8 deficiency, some reports have 126 documented improvement post-HSCT<sup>10, 11, 14</sup>, while others reported amelioration to symptoms<sup>13</sup> 127 or no change<sup>9, 15</sup>. 128

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130 Ex vivo and in vitro analyses of lymphocytes from DOCK8-deficient patients have shed some light on disease pathogenesis. For instance, DOCK8-deficient patients have normal to increased 131 numbers of total B cells but decreased circulating memory (CD27<sup>+</sup>) B cells<sup>5, 16</sup>. Functionally, 132 133 compared with normal B cells, DOCK8-deficient B cells exhibit poor responses to the TLR9 ligand CpG, while CD40-mediated responses were largely intact<sup>5</sup>. In B cells, DOCK8 acts as an 134 135 adaptor protein connecting the TLR9-MYD88 pathway to STAT3 signalling, which is required for B cell proliferation and differentiation, as evidenced by defective function of STAT3-136 deficient human B cells *in vivo* and *in vitro*<sup>17-20</sup>. These defects underlie poor humoral immunity 137 in DOCK8-deficiency. Paradoxically, an increase in autoantibodies directed against nuclear, 138

cytoplasmic and extracellular matrix antigens has been detected in DOCK8-deficient patients,
 possibly due to decreased regulatory T cells (Tregs) in these patients<sup>21</sup>.

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142 Our previous study of T cells in DOCK8-deficient individuals revealed a severe reduction in naïve, central memory (CD45RA<sup>-</sup>CCR7<sup>+</sup>) and effector memory (CD45RA<sup>-</sup>CCR7<sup>-</sup>) CD8<sup>+</sup> T 143 cells but a marked accumulation of CD45RA<sup>+</sup>CCR7<sup>-</sup> terminally differentiated (i.e. "exhausted") 144 effector memory cells<sup>22</sup>. Strikingly, central and effector memory CD8<sup>+</sup> T cells from DOCK8-145 deficient individuals displayed phenotypic features of exhaustion, with increased expression of 146 CD57, 2B4 and CD95, and accelerated loss of CD28 and CD127 (IL-7Ra)<sup>22</sup>. Furthermore. 147 DOCK8-deficient naïve and memory CD8<sup>+</sup> T cells failed to proliferate *in vitro* in response to T 148 cell receptor (TCR) stimulation<sup>22</sup>. More recently, DOCK8-deficient CD8<sup>+</sup> T cells were reported 149 to undergo "cytothripsis", a form of cell death associated with defects in morphology and 150 151 trafficking that prevented the generation of long-lived resident memory CD8<sup>+</sup> T cells in the skin and subsequently impaired immune responses to herpes virus infection at this site<sup>23</sup>. Taken 152 153 together, these defects in CD8<sup>+</sup>T cells provide a plausible explanation for viral susceptibility in 154 DOCK8-deficient patients. DOCK8-deficient patients also have defects in the development of NKT cells and function of NK cells<sup>24, 25</sup> which may contribute to increased susceptibility to 155 156 viral infections and malignancies.

157

In contrast to these established defects in B cells, Tregs, CD8<sup>+</sup> T cells, NK cells and NKT cells, 158 much less is known about the consequences of DOCK8 mutations in other human CD4<sup>+</sup> T 159 helper cells. While it has been reported that the frequencies of naïve and memory CD4<sup>+</sup> T cells 160 in DOCK8-deficient patients are normal, DOCK8-deficient naïve and memory CD4<sup>+</sup> T cells do 161 have a defect in TCR-induced proliferation, albeit less severe than DOCK8-deficient CD8<sup>+</sup> T 162 cells<sup>22</sup>. Consequently, this deficit is unlikely to cause clinical features such as atopic disease 163 164 (dermatitis, severe food allergies) and increased IgE in DOCK8 deficiency. For this reason, we 165 have undertaken a detailed analysis of the CD4<sup>+</sup> T cell compartment in DOCK8-deficient 166 patients. We found that DOCK8-deficient memory CD4<sup>+</sup> T cells have a bias towards Th2 cytokine expression (ie IL-4, IL-5, IL-13) and concomitant defective production of Th1 (IFNy) 167 and Th17 (IL-17A, IL-17F, IL-22) cytokines. Furthermore, the Th2 cytokine bias and impaired 168 169 Th17 immunity, in the absence of DOCK8 were T cell intrinsic and independent of defects in 170 proliferation. This intrinsic Th2 bias of DOCK8-deficient CD4<sup>+</sup> T cells may underlie atopic 171 disease and hyper-IgE displayed by DOCK8-deficient patients. Additionally, impaired Th1 and

Th17 responses likely account for impaired viral immunity and fungal infections such aschronic mucocutaneous candidiasis, respectively in DOCK8-deficient patients.

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181

#### 175 **METHODS**

#### 176 **Human samples**

PBMCs and/or plasma were isolated from normal donors (Australian Red Cross) and patients
with DOCK8 deficiency (Table 1). The genotype of some of these patients has been previously
reported<sup>1, 2, 15, 22, 24</sup>. All studies were approved by Institutional Human Research Ethics
Committees and written informed consent was obtained from patients.

#### 182 Antibodies and Reagents

183 Alexa488-anti-GATA3, Alexa647-anti-CXCR5, APC-Cy7-anti-CD4, BUV395-anti-IFNy, 184 BV711-anti-CD69, BV711-anti-IL-2, PE-anti-CCR6, PE-anti-CD95, Pe-Cy7-anti-CD25, and 185 anti-mouse IgG1, and PerCpCy5.5-anti-CD127 and anti-Tbet were from Becton Dickinson. Alexa488-anti-IL-10, APC-anti-ICOS, eFluor660-anti-IL-21, FITC-anti-CD45RA, PE-IL-22, 186 187 Pe-Cy7-anti-IL-4 and mouse IgG1 were from eBiosciences. APC-Cy7-anti-IL-17A, BV421-188 anti-CXCR3, and BV605-anti-TNFa were from Biolegend. FITC-anti-CCR7 and recombinant human IL-12 was from R&D Systems. Anti-DOCK8 mAb was from Santa Cruz 189 190 Biotechnology. Recombinant human TGF $\beta$ , IL-1 $\beta$ , IL-6, IL-21 and IL-23 were from Peprotech. 191 Prostaglandin E2, PMA, calcium ionophore (ionomycin), Brefeldin A, and saponin were 192 purchased from Sigma-Aldrich. Recombinant human IL-4 was provided by Dr Rene de Waal 193 Malefyt (DNAX Research Institute, Palo Alto, CA). T cell activation and expansion (TAE) 194 beads (anti-CD2/CD3/CD28) were purchased from Miltenyi Biotec and CFSE was purchased 195 from Invitrogen.

196

# 197 **CD4<sup>+</sup> T cell phenotyping**

198 To identify naïve, central memory ( $T_{CM}$ ) and effector memory ( $T_{EM}$ ) CD4<sup>+</sup> T cell populations, 199 PBMCs were incubated with mAbs to CD4, CCR7 and CD45RA and the frequency of 200 CD4<sup>+</sup>CCR7<sup>+</sup>CD45RA<sup>+</sup> (naïve), CD4<sup>+</sup>CCR7<sup>+</sup>CD45RA<sup>-</sup> ( $T_{CM}$ ), and CD4<sup>+</sup>CCR7<sup>-</sup>CD45RA<sup>-</sup> ( $T_{EM}$ ) 201 populations determined by flow cytometry. To identify CD4<sup>+</sup> T cell populations, PBMCs were 202 incubated with mAbs to CD4, CD25, CD127, CXCR5, CD45RA, CCR6 and CXCR3, and the 203 frequency of Tregs (CD4<sup>+</sup>CD25<sup>hi</sup>CD127<sup>ho</sup>), Tfh (CD4<sup>+</sup>CD25<sup>lo</sup>CD127<sup>hi</sup>CD45RA<sup>-</sup>CXCR5<sup>+</sup>), Th1 204 (CD4<sup>+</sup>CD25<sup>lo</sup>CD127<sup>hi</sup>CD45RA<sup>-</sup>CXCR5<sup>-</sup>CXCR3<sup>+</sup>CCR6<sup>-</sup>), Th2 (CD4<sup>+</sup>CD25<sup>lo</sup>CD127<sup>hi</sup>CD45RA<sup>-</sup>

# 205 CXCR5<sup>-</sup>CXCR3<sup>-</sup>CCR6<sup>-</sup>) and Th17 (CD4<sup>+</sup>CD25<sup>lo</sup>CD127<sup>hi</sup>CD45RA<sup>-</sup>CXCR5<sup>-</sup>CXCR3<sup>-</sup>CCR6<sup>+</sup>) 206 subsets determined<sup>20</sup>.

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# 208 Analysis of cytokine expression/secretion by CD4<sup>+</sup> and CD8<sup>+</sup> T cells

Naive and memory  $CD4^+$  T cells or naïve, memory and  $T_{EMRA} CD8^+$  T cells<sup>22</sup> were isolated by 209 sorting on a FACS ARIA (Becton Dickinson; > 98% purity) and cultured with TAE beads (anti-210 211 CD2/CD3/CD28) in 96 well round bottomed well plates. After 5 days, supernatants were 212 harvested and production of IL-2, IL-4, IL-5, IL-6, IL-10, IL-13, IL-17A, IL-17F, IFNy and TNFα determined by cytometric bead arrays (CBA; Becton Dickinson). For cytokine 213 214 expression, activated T cells were re-stimulated with PMA (100 ng/ml) and ionomycin (750 215 ng/ml) for 6 hours, with Brefeldin A (10 µg/ml) added after 2 hours. Cells were then fixed with 216 formaldehyde and expression of IFNγ, IL-4, IL-17A, IL-22, IL-21, IL-10, TNFα and IL-2 detected by intracellular staining<sup>20, 26-28</sup>. 217

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# 219 Analysis of transcription factor expression by CD4<sup>+</sup> T cells

- 220 Expression of Tbet and GATA3 protein was assessed by intracellular staining using a Fix/Perm
- 221 kit from eBioscience. Expression of *RORC* was determined by  $QPCR^{28}$ .
- 222

# 223 Analysis of DOCK8 expression

To determine intracellular DOCK8 expression, PBMCs were fixed with formaldehyde and stained with an unconjugated DOCK8 or an isotype control IgG1 mAb. PE-rat anti-mouse IgG1 was then used with saponin as the permeablising agent<sup>29</sup>.

# 227 228 Analysis of CD4<sup>+</sup> T cell proliferation

Naïve and memory  $CD4^+$  T cells were isolated by sorting and then labeled with CFSE. Their proliferation status was determined by assessing dilution of CFSE after 5 days of *in vitro* culture<sup>27, 28</sup>.

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# 233 In vitro Th1, Th2, Th17 cell differentiation

Naive and memory CD4<sup>+</sup> T cells were isolated by sorting and cultured under Th0 (TAE beads alone), or Th1 (50 ng/ml IL-12), Th2 (100 U/ml, IL-4) or Th17 (2.5 ng/mL TGFβ, 50 ng/mL
IL-1β, 50 ng/mL IL-6, 50 ng/mL IL-21, 50 ng/mL IL-23, 50 ng/mL PGE2) polarising conditions. After 5 days cytokine secretion was analysed (CBA, intracellular staining)<sup>26, 28, 30</sup>.

### 239 ImmunoCAP assay

240 Plasma from normal donors and DOCK8-deficient patients was analysed for allergen specific

241 IgE Abs by the Sydney South West Pathology Service (Royal Prince Alfred Hospital, Sydney

Australia) using the Phadia 250 ImmunoCAP platform (Thermo Scientific). IgE specific for a

staple food mix (FX5; egg white, milk, codfish, wheat, peanut and soyabean) or house dust mite

244 mix was determined.

245

### 246 Statistical analysis

247 Significant differences were determined using either a Students *t*-test, multiple t-tests, one-way
248 or two-way ANOVA (Prism; GraphPad Software).

249

### 250 **RESULTS**

# 251 *Effects of DOCK8 deficiency on the generation of effector CD4<sup>+</sup> T cell subsets in vivo.*

As an initial step in investigating CD4<sup>+</sup> T cell function in the absence of DOCK8, we assessed 252 253 the CD4<sup>+</sup> T cell compartment to determine whether the generation and differentiation of CD4<sup>+</sup> 254 T cells was affected by DOCK8 deficiency and whether this could contribute to the combined 255 immunodeficiency typical of these individuals. We previously investigated the peripheral T cell compartment in a small cohort (n = 6) of DOCK8-deficient patients<sup>22</sup>. We have now increased 256 our cohort to comprise 18 individuals from 15 unrelated families and have extended our 257 258 analysis to include additional surface markers to further distinguish different subsets within the CD4<sup>+</sup> T cell population (Fig 1). Lack of DOCK8 expression in lymphocytes and monocytes 259 260 from a representative healthy control, one unaffected sibling and 4 DOCK8-deficient patients is depicted in Supplementary Fig 1. Analysis of this larger cohort of DOCK8-deficient patients 261 262 confirmed a statistically significant reduction in CD4<sup>+</sup> T cells compared to normal donors (Fig 263 1A). Naïve, central memory ( $T_{CM}$ ) and effector memory ( $T_{EM}$ ) CD4<sup>+</sup> T cells can be resolved according to the differential expression of CD45RA and CCR7<sup>31</sup> (Fig 1B). This analysis 264 revealed that the naïve and  $T_{CM}$  compartments in DOCK8-deficient patients are comparable to 265 266 normal individuals, but T<sub>EM</sub> CD4<sup>+</sup> T cells were significantly increased in DOCK8-deficient 267 patients (Fig 1C). Hence, despite the reduction in total CD4<sup>+</sup> T cells, DOCK8-deficient CD4<sup>+</sup> T 268 cells differentiate normally into naïve and T<sub>CM</sub> cells; this is accompanied by a mild increase in 269  $T_{EM}$  cells.

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Using a recently described gating strategy<sup>20, 32</sup>, we next examined the CD4<sup>+</sup> T cell compartment for additional effector subsets: CD25<sup>hi</sup>CD127<sup>lo</sup> Tregs (**Fig 1D, G**)<sup>33</sup>, CXCR5<sup>+</sup>CD45RA<sup>-</sup> T follicular helper (Tfh) cells (**Fig 1E, G**), CD45RA<sup>-</sup>CXCR5<sup>-</sup> CXCR3<sup>+</sup>CCR6<sup>-</sup> Th1 (**Fig 1F, G**),

274 CD45RA<sup>-</sup>CXCR5<sup>-</sup> CXCR3<sup>-</sup>CCR6<sup>-</sup> Th2 (Fig 1F, G), and CD45RA<sup>-</sup>CXCR5<sup>-</sup> CXCR3<sup>-</sup>CCR6<sup>+</sup>

Th17 (**Fig 1F, G**) cells. DOCK8-deficient patients had an increased frequency of Tregs (**Fig 1D, G**) but decreased frequency of Th17 cells (**Fig 1F, G**), while frequencies of Tfh, Th1 and Th2 cells according to this phenotypic delineation in patients were similar to normal donors (**Fig 1D - G**). Thus, there is a selective paucity of Th17 cells due to DOCK8 mutations.

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280 Assessment of expression of additional surface markers associated with CD4<sup>+</sup> T cell differentiation indicated that the naïve, T<sub>CM</sub> and T<sub>EM</sub> CD4<sup>+</sup> T cell populations from DOCK8-281 282 deficient patients had undergone greater activation and terminal differentiation than 283 corresponding CD4<sup>+</sup> T cell subsets isolated from normal donors (**Fig 1H-M**). Specifically, the 284 loss of expression of CD27 (Fig 1H), CD28 (Fig 1I) and CD127 (Fig 1J) and acquisition of 285 CD57 (Fig 1K), CD95 (Fig 1L) and PD-1 (Fig 1M) by CD4<sup>+</sup>  $T_{CM}$  and  $T_{EM}$  cells was exaggerated for DOCK8-deficient patients compared to controls. Collectively, DOCK8 286 287 deficiency compromises the generation of Th17 cells, and results in the premature terminal 288 differentiation of memory cells such that they acquire a senescent/exhausted phenotype.

289

### 290 DOCK8 deficient memory CD4<sup>+</sup> T cells are biased towards Th2 cytokines.

Given the decrease in CCR6<sup>+</sup>CXCR3<sup>-</sup> cells – which are enriched for Th17-cytokine producing 291 cells in healthy donors<sup>20, 34-36</sup> – in DOCK8-deficient patients, we investigated cytokine 292 expression by naïve and memory  $CD4^+$  T cells (Fig 2). Naïve and total memory (CD45RA<sup>-</sup> 293 CCR7<sup>+/-</sup>) CD4<sup>+</sup> T cells were sort-purified from normal donors and DOCK8-deficient patients 294 295 and then cultured with TAE beads conjugated to anti-CD2/CD3/CD28 mAbs for 5 days. After 296 this time cells were restimulated with PMA/ionomycin and intracellular expression of IFNy, IL-297 4, IL-17A, IL-22, IL-21, IL-10, TNFα and IL-2 determined (Fig 2). Apart from IL-2 (Fig 2A) and TNFa (Fig 2B), which are expressed by 40-80% of normal naïve cells, only a small 298 299 proportion of naïve cells (ie <5%) expressed any of the other cytokines examined. DOCK8-300 deficient naïve  $CD4^+T$  cells expressed a comparable level of IL-2 (Fig 2A) and TNF $\alpha$  (Fig 2B) to that of normal naïve CD4<sup>+</sup> T cells. However, analysis of the memory CD4<sup>+</sup> T cell 301 302 compartment in DOCK8-deficient patients revealed marked perturbations in differentiation in 303 vivo. A significantly greater proportion of DOCK8-deficient memory CD4<sup>+</sup> T cells expressed 304 IL-4 compared to normal memory  $CD4^+$  T cells (Fig 2C), suggesting a skewing to the Th2 305 effector lineage. Examination of mean fluorescence intensity of IL-4<sup>+</sup> cells in DOCK8-deficient 306 and normal memory CD4<sup>+</sup> T cells revealed no significant differences (data not shown), 307 suggesting there is an increase in the frequency of IL-4 expressing cells in the DOCK8 memory

308 CD4<sup>+</sup> T cell compartment, but a comparable amount of IL-4 is produced per cell. The increase 309 in IL-4<sup>+</sup> cells in DOCK8-deficient memory CD4<sup>+</sup> T cells was accompanied by significant 310 reductions in expression of Th1 cytokines IFN $\gamma$  (**Fig 2D**) and TNF $\alpha$  (**Fig 2B**), Th17 cytokines 311 IL-17A (**Fig 2E**) and IL-22 (**Fig 2F**), and the Tfh cytokine IL-21 (**Fig 2G**). Expression of IL-10

- 312 (**Fig 2H**) and IL-2 (**Fig 2A**) by memory CD4<sup>+</sup> T cells was unaffected by DOCK8 deficiency.
- 313

314 The Th2 skewing by DOCK8-deficient memory CD4<sup>+</sup> T cells was also assessed by measuring 315 cytokine secretion during the 5-day culture (Fig 3). This indicated concordance between 316 expression and secretion of cytokines when assessed by intracellular staining and flow 317 cytometry or cytometric bead array, respectively. Analysis of an extended panel of cytokines 318 showed that DOCK8-deficient memory T cells secreted not only more IL-4 than normal 319 memory CD4<sup>+</sup> T cells, but also more of the Th2 cytokines IL-5 and IL-13 (Fig 3A-C) and less 320 Th1 (IFNγ and TNFα; Fig 3D, E) and Th17 (IL-17A and IL-17F; Fig 3F, G) cytokines. 321 Production of IL-6 (Fig 3H) was also significantly reduced. There were trends for less 322 production of IL-10 and IL-2 by DOCK8-deficient memory CD4<sup>+</sup> T cells, however these reduced values were not significant (Fig 3I, J). Production of TNFa and IL-2 by DOCK8-323 deficient naïve CD4<sup>+</sup> T cells was normal (Fig 3E, J). Taken together, memory CD4<sup>+</sup> T cells 324 325 from DOCK8-deficient patients display a Th2 bias, primarily expressing IL-4, IL-5 and IL-13 326 and notably lower levels of cytokines characteristic of other T helper subsets.

327

# 328 Th2 cytokine bias by DOCK8-deficient memory CD4<sup>+</sup> T cells is independent of defects in cell 329 proliferation.

330 Previous work showed that lymphocyte differentiation eg Ig class switching and antibody 331 secretion by naïve B cells, and cytokine production and cell surface phenotype expression by naïve T cells, is regulated by cell division<sup>27, 37-39</sup>. DOCK8-deficient naïve (Fig 3K) and memory 332 (Fig 3L) CD4<sup>+</sup> T cells were found to have impaired cell division in vitro, consistent with 333 previous findings<sup>22</sup>. Thus, it was possible that the perturbed cytokine profile reflected reduced 334 335 proliferation by DOCK8-deficient memory CD4<sup>+</sup> T cells. However, the Th2 bias of DOCK8-336 deficient memory CD4<sup>+</sup> T cells was not due to a proliferative defect as evidenced by two 337 important and related findings. First, when memory cells were isolated and restimulated 338 immediately for analysis of cytokine expression, the preferential production of IL-4 by 339 DOCK8-deficient over normal memory CD4<sup>+</sup> T cells was still observed in the absence of cell 340 proliferation (Fig 3M). Similarly, the poor production of Th1 and Th17 cytokines by DOCK8-

deficient memory CD4<sup>+</sup> T cells did not result from impaired proliferation because reductions in 341 expression of IFNy (normal: 17.7%, DOCK8: 6.9%) and IL-22 (normal: 3.7%, DOCK8: 1.8%) 342 343 respectively were also observed when assessed under these ex vivo stimulatory conditions. 344 Second, analysis of cells that had undergone different rounds of divisions in vitro revealed that 345 the decrease in IFN<sub>γ</sub> (Fig 3N) and increase in IL-4 (Fig 3O) displayed by DOCK8-deficient 346 versus normal memory CD4<sup>+</sup> T cells was evident for all division intervals examined. Thus, the 347 preference of DOCK8-deficient memory CD4<sup>+</sup> T cells to produce Th2, but not Th1, cytokines 348 is independent of any proliferative defects in these cells.

349

# Naive DOCK8-deficient CD4<sup>+</sup> T cells can differentiate into effector cells producing Th1 and Th2, but not Th17, cytokines in vitro.

To determine if the defects in cytokine production by DOCK8-deficient memory CD4<sup>+</sup> T cells 352 are cell-intrinsic or due to extrinsic factors, we isolated naïve CD4<sup>+</sup> T cells from normal donors 353 354 and DOCK8-deficient patients and subjected them to *in vitro* culture under Th0, Th1, Th2 or 355 Th17 polarising conditions. Interestingly, DOCK8-deficient naïve CD4<sup>+</sup> T cells differentiated into Th1 cells (IFN $\gamma$  and TNF $\alpha$ ) to the same extent as normal naïve CD4<sup>+</sup> T cells (Fig 4A, left 356 panel). Consistent with the data for memory CD4<sup>+</sup> T cells *ex vivo*, DOCK8-deficient naïve 357 358 CD4<sup>+</sup> T cells produced significantly greater amounts of the Th2 cytokine IL-13 than control 359 naïve CD4<sup>+</sup> T cells under Th2-polarising conditions (3-fold increase; **Fig 4A, middle panels**). 360 We also analysed Th2 differentiation by assessing cytokine expression in naïve CD4<sup>+</sup> T cells by 361 intracellular staining and flow cytometry following in vitro Th2 polarization. This confirmed a preferential differentiation of DOCK8-deficient towards a Th2 fate, with increased proportions 362 363 of DOCK8-deficient naïve CD4<sup>+</sup> T cells expressing IL-4 (9.9% DOCK8-deficient vs 5.5% 364 control CD4<sup>+</sup> T cells) and IL-13 (5.9% DOCK8-deficient vs 1.7% control CD4<sup>+</sup> T cells). 365 Together, these data provide evidence of a predominant intrinsic bias of DOCK8-deficient 366 naïve CD4<sup>+</sup> T cells differentiating towards a Th2 effector fate. DOCK8-deficient naïve CD4<sup>+</sup> T 367 cells failed to differentiate into IL-17A- and IL-17F-secreting cells when subjected to Th17 368 polarising conditions *in vitro* (Fig 4A, right panels). Notably, DOCK8-deficient naïve CD4<sup>+</sup> T 369 cells responded to the Th17 culture as shown by reductions in basal levels of IL-5 and IL-13 370 secretion compared to the Th0 culture (data not shown).

371

When we examined memory  $CD4^+$  T cells from healthy donors, production of IFN $\gamma$  and IL-17A/F could be increased ~2-4 fold by Th1 and Th17 culture conditions, respectively,

374 compared to Th0 conditions (Fig 4B). The net increase in production of these cytokines by DOCK8-deficient memory CD4<sup>+</sup> T cells under Th1 and Th17 conditions compared to Th0 375 conditions was also ~2-6 fold. Despite this, the levels of IFNy and IL-17A/F secreted by Th1-376 377 and Th17-stimulated DOCK8-deficient memory CD4<sup>+</sup> T cells were substantially less than not 378 only Th1- and Th17-stimulated normal memory CD4<sup>+</sup> T cells, but also Th0-stimulated normal 379 memory CD4<sup>+</sup> T cells (**Fig 4B**). This likely reflects expansion of the few Th1 and Th17 cells 380 present in the DOCK8 memory CD4<sup>+</sup> T cell compartment rather than *de novo* differentiation 381 into these effector subsets in vitro.

382

Consistent with the data for cytokine secretion, DOCK8-deficient naïve CD4<sup>+</sup> T cells that were 383 384 polarised towards Th1 and Th2 fates upregulated TBET (Fig 4C) and GATA3 (Fig 4D), 385 respectively, to the same extent as normal naïve CD4<sup>+</sup> T cells. In our hands, detection of RORyt 386 expression by flow cytometry was not particularly sensitive, as we found that only a small 387 proportion of naïve CD4<sup>+</sup> T cells (~5%) expressed RORyt in Th17 compared to Th0 activated 388 cultures<sup>40</sup>. To overcome this, *RORC* expression was determined by QPCR. *RORC* was not 389 expressed by naive CD4<sup>+</sup> T cells activated under Th0 conditions, but was up-regulated in 390 normal and DOCK8-deficient naïve CD4<sup>+</sup> T cells cultured under Th17 polarising conditions 391 (Fig 4E). Taken together, these data indicate the Th17 cytokine defect in DOCK8 deficiency is 392 T cell intrinsic, and cannot be restored by Th17 polarising conditions for either naïve or 393 memory cells. Furthermore, the ability of Th17 culture conditions to induce RORC in the 394 absence of DOCK8 indicates the defect in Th17 differentiation is downstream of RORC. In 395 contrast, DOCK8-deficient naïve CD4<sup>+</sup> T cells differentiate normally into Th1 cells, and exhibit 396 exaggerated Th2 differentiation, when provided with the appropriate stimuli in vitro.

397

# 398 Preferential production of Th2 cytokines by DOCK8-deficient CD4+ T cells correlates with 399 reduced TCR-mediated activation

400 The strength of signal provided to  $CD4^+$  T cells through the TCR greatly influences their 401 differentiation to cytokine-producing effector cells. For instance, reduced signal strength 402 favours Th2 cells<sup>41-44</sup>, while differentiation to Th17 cells requires stronger or sustained TCR 403 signalling<sup>45, 46</sup>. Our findings of heightened production of Th2 cytokines by DOCK8-deficient 404 naïve and memory CD4<sup>+</sup> T cells led us to hypothesise that mutations in DOCK8 compromised 405 TCR signal strength. To assess this, we cultured DOCK8-deficient CD4<sup>+</sup> T cells with differing 406 doses of anti-CD2/CD3/CD28 beads for 3 days and then measured levels of expression of the

407 activation molecules ICOS, CD25, CD69, and CD95. The rationale here is that lowering the dose of the beads results in a qualitatively weaker signal. While CD4<sup>+</sup> T cells from healthy 408 409 controls exhibited heightened expression of ICOS, CD69, CD25 at the 2 different doses of anti-410 CD2/CD3/CD28 beads tested, induction of these same molecules on DOCK8-deficient CD4<sup>+</sup> T 411 cells was severely blunted (Fig 4F). Thus, mutations in DOCK8 compromise T cell activation 412 by reducing the strength of signal delivered through the TCR and co-stimulatory receptor 413 signaling pathways. In the case of T cell differentiation, this results in a skewing of the cells 414 towards a Th2 phenotype.

415

# 416 Specific sensitisation of DOCK8-deficient patients to food allergens

417 Exaggerated Th2 immune responses have traditionally been associated with allergy and atopic disease<sup>47</sup>. It was thus intriguing to note that CD4<sup>+</sup> T cells from DOCK8-deficient patients were 418 419 biased towards production of Th2 cytokines, and that these patients have severe allergies. To 420 determine if the Th2 bias in DOCK8-deficient human CD4<sup>+</sup> T cells is related to their increased 421 susceptibility to food allergies we examined the specificity of IgE in serum samples from 422 DOCK8-deficient patients and normal healthy donors to staple foods (i.e. egg white, milk, 423 codfish, wheat, peanut, soyabean), as well as to non-food allergens such as house dust mites. 424 We found that a comparable frequency of normal individuals and DOCK8-deficient patients 425 had IgE specific to house dust mites (Fig 5A). Strikingly, the majority of plasma samples from 426 DOCK8-deficient patients (80%; 12/15), but none of the normal controls tested, had IgE that 427 was specific for the staple food mix (Fig 5B). Thus, DOCK8-deficient patients have a Th2 bias 428 that manifest clinically as specific sensitisation to oral allergens and this may explain the 429 marked propensity of these immunodeficient patients to develop food allergies.

430

#### 431 **DISCUSSION**

Identifying defects in lymphocyte development or function in PIDs provides the opportunity to 432 433 elucidate the cellular and molecular basis for the clinical features of the disease. Studies of 434 DOCK8-deficient humans and mice have indeed revealed critical cell-intrinsic roles for DOCK8 in generating B-cell memory and long-lived humoral immunity<sup>5, 48</sup>, CD8<sup>+</sup> T cell 435 differentiation and anti-viral responses<sup>22, 23, 49, 50</sup>, NK cell cytotoxicity<sup>24</sup> and NKT cell 436 development<sup>25</sup>. Collectively, these defects underlie poor Ab responses to specific Ags, and 437 438 impaired cell-mediated immunity to pathogens including HSV, HPV and Molluscum 439 *contagiosum* virus. We have now investigated CD4<sup>+</sup> T cell differentiation in DOCK8-deficient patients to understand other aspects of AR-HIES, such as susceptibility to bacterial and fungalinfections, atopic disease, food allergies and hyper-IgE.

442

443 Our data revealed that DOCK8-deficient CD4<sup>+</sup> T cells have dysregulated expression of surface 444 molecules including CD27, CD57, CD95 and PD-1. This likely results from chronic infection 445 with pathogens, such as herpes viruses (HSV, CMV, VZV), HPV and Molluscum contagiosum virus, akin to what has been described for  $CD8^+$  T cells in not only DOCK8 deficiency<sup>22</sup>, but 446 other PIDs such as XLP<sup>51, 52</sup>, STAT3 deficiency<sup>53</sup> and *PIK3CD* gain of function mutations<sup>54</sup>, 447 448 which are characterised by chronic exposure to infectious pathogens. In the absence of DOCK8, 449 memory CD4<sup>+</sup> T cells are polarised to a Th2 cytokine phenotype at the expense of Th1 and 450 Th17 cytokines. The reduction in Th17 cells was apparent not only from the lack of cells producing IL-17A, IL-17F and IL-22, but also the reduction in CCR6<sup>+</sup> memory CD4<sup>+</sup> T cells. 451 452 This is consistent with our previous studies which revealed parallel reductions in CD4<sup>+</sup> T cells 453 secreting IL-17A/IL-17F and expressing CCR6<sup>+</sup> in patients with STAT3 loss-of function or STATIgain-of function mutations<sup>17, 20, 28</sup>, indicating that flow cytometric analysis of CCR6<sup>+</sup> 454 455 memory CD4<sup>+</sup> T cells can be a reliable and rapid means of quantifying Th17 cells. 456 Interestingly, DOCK8-deficient naïve CD4<sup>+</sup> T cells differentiated into TBET-expressing and 457 Th1-cytokine secreting cells when provided with exogenous signals in vitro. This suggests that 458 defects in IFNy production by DOCK8-deficient memory CD4<sup>+</sup> T cells *ex vivo* are extrinsic, 459 possibly resulting from suboptimal priming by Ag-presenting cells and provision of IL-12 in vivo. Consistent with this, DOCK8-deficient murine DCs failed to accumulate in the lymph 460 node parenchyma where they are required for T cell priming during immune responses<sup>55</sup>. This 461 defect was attributed to compromised Cdc42 function in the absence of DOCK855. Another 462 possibility is that excessive production of IL-4, which restrains differentiation of human CD4<sup>+</sup> 463 T cells into Th1 cells<sup>56</sup>, impairs IFNy production by DOCK8-deficient memory CD4<sup>+</sup> T cells. 464 465 This is consistent with our recent observations of heightened production of Th2 cytokines and 466 corresponding reductions in IFNy production *ex vivo* by memory CD4<sup>+</sup> T cells from individuals with loss-of function mutations in STAT3, IL21R, IL12RB1, TYK2 or RORC<sup>20, 57</sup>. While 467 468 DOCK8-deficient naïve CD4<sup>+</sup> T cells could express *RORC in vitro* following activation under 469 Th17-polarisng conditions, IL-17A/F cytokine secretion remained greatly impaired. Thus, an 470 intrinsic defect distal to inducing RORC expression underlies the inability of DOCK8-deficient 471 CD4<sup>+</sup> T cells to become Th17 cells. Although Th1- and Th17-polarising conditions did increase IFNy and IL-17A/F production by DOCK8-deficient memory CD4<sup>+</sup> T cells, these cells 472

473 produced lower levels of these cytokines than normal cells under similar culture conditions. 474 Interestingly,  $CD4^+$  T cells from DOCK8-deficient mice expressed normal levels of TBET and 475 GATA3 when activated under Th1 and Th2 polarising conditions, respectively, *in vitro*<sup>49</sup>. 476 Interestingly, while IFNγ expression by *in vitro*-derived murine DOCK8-deficient Th1 cells 477 was normal, Th2 polarised DOCK8-deficient CD4<sup>+</sup> T cells showed increases in IL-4-expressing 478 cells<sup>49</sup>, suggesting that murine DOCK8 deficient CD4<sup>+</sup> T cells also display a Th2 bias.

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These findings provide potential explanations for some of the clinical features of DOCK8 480 481 deficiency. First, lack of Th17 cells would predispose DOCK8-deficient individuals to 482 infections with *Candida albicans*. This is akin to other monogenic PIDs characterised by 483 impaired Th17/IL-17-mediated immunity and the high incidence of chronic mucocutaneous 484 candidiasis (CMC) in affected individuals ie loss-of-function mutations in STAT3, IL17RA, IL17RC, IL17F, ACT1 and RORC, and gain-of-function mutations in STAT1<sup>20, 28, 57-62</sup>. 485 486 Compared to other PIDs with defects in Th17 cytokines, IL-17A/IL-17F production by DOCK8-deficient memory CD4<sup>+</sup> T was less than that observed for *RORC*- or *STAT3*-deficient 487 memory CD4<sup>+</sup> T cells<sup>20, 57</sup>. Remarkably, the quantitative impact of specific gene mutations on 488 489 generating Th17 cells correlates with, or predicts, the incidence of fungal infections in these 490 individuals. Thus, ~85% of patients with mutations in *STAT3* or *RORC* develop CMC <sup>57, 63</sup>, but fungal infections is observed in only ~40-60% of DOCK8-deficient patients, as shown for the 491 cohort studied here (Table 1), and in a larger study of 57 patients<sup>64</sup>. Thus, there is likely a direct 492 association between IL-17A/IL-17F production in different PID patients and incidence of 493 494 CMC. Second, the predominance of memory CD4<sup>+</sup> T cells producing high levels of IL-4, IL-5 495 and IL-13 could contribute to the characteristic pathophysiological Th2 features of AR-HIES: severe allergy, eosinophilia and hyper-Ig $E^{65}$ . This exaggerated Th2 response may also reduce 496 Th17 differentiation<sup>66</sup>, further compromising Th17-mediated anti-fungal immune responses. 497 Although memory CD4<sup>+</sup> T cells displayed reduced IFNy production *ex vivo*, DOCK8-deficient 498 499 naïve CD4<sup>+</sup> T cells could differentiate into Th1 cells *in vitro*. Thus, Th1-mediated immunity, while reduced, may be sufficient in these individuals to elicit protective immunity. Indeed, this 500 501 is consistent with a lack of disease caused by poorly virulent mycobacteria, such as BCG vaccines and environmental species - which require IFN $\gamma$ -mediated immunity for protection<sup>67</sup> -502 503 in DOCK8 deficiency. In the scenario of anti-viral immunity, the increased Th2-cytokine 504 environment within the memory  $CD4^+$  T cell compartment may inhibit IFN $\gamma$  production by 505 CD8<sup>+</sup> T cells. Indeed, analysis of DOCK8-deficient memory CD8<sup>+</sup> T cells ex vivo revealed

506 defective IFNy expression and secretion compared to healthy donors (Supplementary Fig 2A,  $(B)^{1}$ . Thus, by diminishing Th1 responses, a Th2 bias could contribute to persistent viral 507 508 infections in DOCK8-deficient patients. Third, beyond Th1, Th2 and Th17 cytokines, we also 509 noted reduced production of IL-6 by DOCK8-deficient memory CD4<sup>+</sup> T cells. While there have 510 been no genetic studies linking impaired IL-6 production with infection with specific 511 pathogens, autoantibodies against IL-6 were reported in an individual with recurrent 512 staphylococcal infection <sup>68</sup>. Thus it is possible that poor IL-6-mediated immunity in DOCK8 deficiency underlies staphylococcal infection in affected patients. Fourth, while previous work 513 514 demonstrated that DOCK8 functions intrinsically in B cells to regulate differentiation, reduced 515 production of IL-21 (and potentially IL-10) by DOCK8-deficient memory CD4<sup>+</sup> T cells may also contribute to impaired humoral immune responses in AR-HIES, as these cytokines are the 516 main drivers of human B cell activation, proliferation and differentiation<sup>69</sup>. This is supported by 517 our observation that DOCK8-deficient memory CD4<sup>+</sup> T cells present with defects in IL-21 518 519 expression *ex vivo* (Figure 2) and naïve DOCK8-deficient CD4<sup>+</sup> T cells failed to differentiate into IL-21+ cells as efficiently as normal naïve CD4<sup>+</sup> T cells when cultured under Tfh cell 520 521 polarising conditions (Supplementary Fig 2C).

522

523 A characteristic and perhaps unique feature of DOCK8 deficiency compared to other PIDs 524 (including those in which there are high levels of IgE such as mutations in STAT3) is the very 525 high incidence of food allergies<sup>1-5</sup>. The allergen-specific IgE from DOCK8-deficient patients 526 was directed mostly towards staple foods rather than non-food allergens such as house dust 527 mites. This is consistent with a recent report which showed that this pattern of allergen-specific IgE is unique to DOCK8 deficiency<sup>70</sup>, inasmuch that DOCK8 deficient patients had IgE 528 529 directed towards food Ags, while patients with atopic dermatitis have IgE specific for aeroallergens, yet the reactivity of IgE in STAT3-deficient individuals against specific allergens 530 was comparable to normal donors<sup>70</sup>. Since food allergies are more common in children who 531 532 often outgrow them once they reach adolescence, IgE sensitisation to food Ags and not house 533 dust mites in DOCK8 deficiency could be attributable to the younger age of our DOCK8 cohort 534 compared to our normal controls. However, this is unlikely as 9 of the 12 DOCK8 deficient 535 patients that still had IgE specific to food Ags were adolescents or adults. In the scenario of 536 STAT3 deficiency, the reduced level of IgE specific for food allergens when compared to patients with atopic dermatitis has been attributed to a defect in basophil activation and mast 537 cell degranulation, with the latter process found to be STAT3-dependent<sup>71</sup>. This is interesting 538

539 because although patients with mutations in DOCK8 or STAT3, or individuals with atopic dermatitis, all display increased serum IgE, eczema and atopic disease, DOCK8 deficiency 540 541 specifically predisposes to food allergies. The mechanism whereby this occurs is unclear, but it is tempting to speculate that it is related to the Th2 bias of DOCK8-deficient memory CD4<sup>+</sup> T 542 cells. While Th2 skewing has been reported in DOCK8-deficient mice in vitro<sup>49</sup>, to our 543 knowledge, IgE responses following exposure to food allergens have not been investigated in 544 545 mice, but may provide invaluable insights into whether exposure to food allergens is the driver of IgE production in DOCK8 deficiency. Nevertheless, our findings reinforce the value of 546 547 direct interrogation of patient cells and highlight the need to be cognisant of species-specific 548 differences that impact translation of murine studies to humans.

549

The underlying cause for the biased Th2 nature of memory CD4<sup>+</sup> T cells in DOCK8-deficient 550 551 patients remains to be determined. Examination of the TCR V $\beta$  repertoire in the CD4<sup>+</sup> T cell 552 compartment of DOCK8 deficient patients and healthy normal donors did not reveal any substantial differences (data not shown). However, there is evidence showing that the strength 553 554 of the signal received through the TCR greatly influences differentiation of CD4<sup>+</sup> T cells. 555 Specifically, low doses of Ag/low level TCR signalling favour humoral or IL-4-mediated Th2 immune responses while high doses of Ag/strong TCR signalling favour cellular or IFNy-556 mediated Th1 immune responses<sup>41-43</sup>. This is also supported genetically, as murine CD4<sup>+</sup> T 557 558 cells with a hypomorphic Card11 mutation reduces TCR-mediated signal strength resulting in exaggerated Th2 differentiation, allergic disease, dermatitis and hyper-IgE<sup>44</sup>. Based on this. we 559 hypothesise that DOCK8-deficient CD4<sup>+</sup> T cells receive a qualitatively weaker TCR signal, 560 potential due to defective immunological synapse formation<sup>48</sup>, which favors their preferential 561 562 differentiation into Th2 cells at the expense of other Th cell subsets. Our data demonstrating 563 reduced induction of expression of activation markers on DOCK8-deficient CD4<sup>+</sup> T cells in response to increasing doses of anti-CD2/CD3/CD28 bead stimulation supports this hypothesis. 564 565 The original studies on strength of TCR signals influencing murine Th cell differentiation predated the discovery of Th17 cells. However, studies in mice and humans have since 566 567 demonstrated a requirement for sustained TCR signalling in naïve T cells for commitment to a Th17 phenotype in vitro and in vivo<sup>45, 46</sup>. Thus, we would predict that reduced TCR signal 568 strength in DOCK8-deficient CD4<sup>+</sup> T cells impairs their differentiation into Th17 cells. 569 570

In conclusion we reveal that the CD4<sup>+</sup> T cell compartment is greatly altered in the absence of 571 572 DOCK8. Specifically, DOCK8-deficient patients have increased Th2 cells and defects in Th1 and Th17 cell differentiation. This skewing of CD4<sup>+</sup> T cell subsets likely accounts for some of 573 574 the clinical manifestations in DOCK8-deficient individuals. Strikingly, within our DOCK8 575 cohort, all the patients investigated had IgE that was specific for at least one of the following 576 foods - egg white, milk, codfish, wheat, peanut and soyabean-, but not non-food allergens. 577 These results indicate that the detection of high titers of IgE specific for food but not to other 578 allergens is predictive of DOCK8 deficiency. Thus, future studies to identify signalling 579 pathways and cellular processes affected by DOCK8 deficiency in CD4<sup>+</sup> T cells will not only 580 improve our understanding of disease pathogenesis in affected DOCK8-deficient individuals, 581 but also patients with atopic disease.

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594

#### 596 FIGURES LEGENDS

Figure 1: Phenotype of the peripheral CD4<sup>+</sup> T cell compartment in DOCK8-deficient 597 598 **patients**. (A) The frequency of CD4<sup>+</sup> T cells in normal donors and DOCK8-deficient patients. (B, C) Naïve (CD45RA<sup>+</sup>CCR7<sup>+</sup>), central memory ( $T_{CM}$ ; CD45RA<sup>-</sup>CCR7<sup>+</sup>) and effector 599 600 memory ( $T_{EM}$ ; CD45RA<sup>-</sup>CCR7<sup>-</sup>) populations in normal donors (closed symbol; n = 25) and 601 DOCK8-deficient patients (open symbol; n = 18) were enumerated based on expression of 602 CD45RA and CCR7. (D-G) PBMCs were labelled with mAbs against CD4, CD45RA, CD25, CD127, CXCR5, CXCR3 and CCR6. (D) Treg cells were identified as CD25<sup>hi</sup>CD127<sup>lo</sup>. (E) 603 604 Amongst the non-Treg population naïve and Tfh cells were identified as CXCR5<sup>-</sup>CD45RA<sup>+</sup> and 605 CXCR5<sup>+</sup>CD45RA<sup>-</sup>, respectively. (F) Th1, Th2 and Th17 populations were identified within the 606 population of CXCR5<sup>-</sup>CD45RA<sup>-</sup> memory CD4<sup>+</sup> T cells as CXCR3<sup>+</sup> CCR6<sup>-</sup>, CCR6<sup>-</sup>CXCR3<sup>-</sup> and 607 CCR6<sup>+</sup>CXCR3<sup>-</sup> cells, respectively. (G) Using this gating the frequency of Tregs, Tfh, Th1, Th2 608 and Th17 cells within the CD4<sup>+</sup> T cell compartment was determined in normal individuals 609 (closed symbol; n = 15 or 16) and in DOCK8-deficient patients (open symbol; n = 10 or 11). 610 Each point represents an individual donor or patient. Statistics performed with Prism using 611 Student t-test. (H-M) Naïve (CD45RA<sup>+</sup>CCR7<sup>+</sup>), central memory ( $T_{CM}$ ; CD45RA<sup>-</sup>CCR7<sup>+</sup>) and effector memory (T<sub>EM</sub>; CD45RA<sup>-</sup>CCR7<sup>-</sup>) populations in normal donors (closed symbol) and 612 613 DOCK8-deficient patients (open symbol) were identified and assessed for expression of (H) 614 CD27, (I) CD28, (J) CD127, (K) CD57, (L) CD95 and (M) PD1. Each point corresponds to the 615 mean ± SEM % of cells expressing the indicated surface receptor, or MFI (mean fluorescence intensity) of expression (n = 4 - 12 normal donors or DOCK8-deficient individuals). Statistics 616 617 performed with Prism using t-test.

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Figure 2: DOCK8-deficient memory CD4<sup>+</sup> T cells display a Th2 cytokine expression bias. 619 Naïve (CD45RA<sup>+</sup>CCR7<sup>+</sup>) and memory (CD45RA<sup>-</sup>CCR7<sup>+/-</sup>) CD4<sup>+</sup> T cells were isolated from 620 normal donors and DOCK8-deficient patients and cultured with TAE beads for 5 days. Cells 621 622 were then re-stimulated with PMA/ionomycin for 6 hours in the presence of Brefeldin A for the last 4 hours. Intracellular expression of (A)  $\Box \Box \Box \Box$ , (B) TNF $\alpha$ , (C) IL-4, (D) IFN $\gamma$ , (E) IL-623 17A, (F) IL-22, (G) IL-21 and (H) IL-10 was determined using saponin as the permeabilising 624 625 agent followed by flow cytometric analysis. Data represent the mean  $\pm$  SEM of 8 normal 626 donors or 8 DOCK8-deficient patients. Statistics performed with Prism using One-way 627 ANOVA.

629 Figure 3: DOCK8-deficient memory CD4<sup>+</sup> T cells secrete elevated quantities of the Th2 630 cytokines IL-4, IL-5 and IL-13 independently of differences in cell proliferation. Naïve and 631 memory CD4<sup>+</sup> T cells were sorted from normal donors and DOCK8-deficient patients and 632 cultured with TAE beads for 5 days. After this time, culture supernatants were examined for 633 secretion of (A) IL-4 (B) IL-5, (C) IL-13, (D) IFNy, (E) TNF, (F) IL-17A, (G) IL-17F, (H) IL-634 6, (I) IL-10, (J) IL-2, using a custom designed cytometric bead array (CBA; BD biosciences). 635 Data represent the mean  $\pm$  SEM of experiments using cells from 9 normal donors or DOCK8-636 deficient patients. Statistics performed with Prism using One-way ANOVA. (K-L) Naive (K) 637 and memory (L)  $CD4^+$  T cells were isolated from normal donors (n = 4) and DOCK8-deficient 638 patients (n = 4), labelled with CFSE and cultured with TAE beads for 5 days. After this time, 639 the frequency of cells in each division was determined by dilution of CFSE. (M) Sorted naïve 640 and memory CD4<sup>+</sup> were immediately restimulated with PMA/ionomycin for 6 hours in the 641 presence of Brefeldin A and IL-4 expression determined by intracellular staining and flow 642 cytometry. (N, O) Naive and memory CD4<sup>+</sup> T cells were labelled with CFSE, cultured with TAE beads for 5 days, and the proportion of cells expressing (L) IFNy or (M) IL-4 was 643 determined for each division interval by dilution of CFSE. Data represent the mean  $\pm$  SEM of 2 644 645 - 4 normal donors and DOCK8-deficient patients.

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647 Figure 4: Intrinsic defects in CD4<sup>+</sup> T cell cytokine secretion due to *DOCK8* mutations. (A) Naïve and (B) memory CD4<sup>+</sup> T cells were isolated from normal donors and DOCK8-deficient 648 649 patients and activated under neutral conditions (Th0; TAE only), or Th1- (+ IL-12), Th2- (+ IL-650 4), or Th17- (+ IL-1 $\beta$ , IL-6, IL-21, IL-23, TGF $\beta$ , PG) polarising conditions. After 5 days, 651 secretion of Th1 (IFNy), Th2 (IL-5, IL-13) and Th17 (IL-17A, IL-17F) cytokines was 652 determined by CBA. The data represent the mean  $\pm$  SEM of experiments using cells from 12 653 normal donors and 8 DOCK8-deficient patients. Expression of (C) TBET and (D) GATA3 was 654 determined by flow cytometry; the data represent the fold change (mean  $\pm$  sem) in expression 655 of the indicated transcription factor relative to Th0 culture of the normal control. (E) expression 656 of RORC was determined by QPCR. Data represent the mean and SEM of 2 - 3 normal donors 657 and DOCK8-deficient patients. (F) Memory CD4<sup>+</sup> T cells from healthy donors or DOCK8-658 deficient patients (n=2) were cultured with TAE beads at a cell:bead ratio of 2:1 and 0.5:1, and 659 expression of ICOS, CD25, CD69 and CD95 was determined prior to culture (day 0) and 3 days 660 after activation. The values represent the mean  $\pm$  sem of the MFI of each of the indicated 661 surface receptors. Statistics performed with Prism using two-way ANOVA.

#### 662

- Figure 5: IgE in DOCK8 deficient patients is specific for staple foods and not other Ags
  such as house dust mites.
- 665 Plasma from normal donors and DOCK8-deficient patients was analysed for IgE specific for
- (A) a staple food mix (egg white, milk, codfish, wheat, peanut and soyabean) and (B) a house
- dust mite mix by ImmunoCAP. The data represent the mean  $\pm$  SEM of 13 normal donors and
- 15 DOCK8-deficient patients. The dotted line refers to the upper limit of the negative referenceinterval (0.35 kUA/L).
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# Table 1: DOCK8 deficient patients

DOCK8-	Mutation	Gender	Age	IgE	Allergies/atopic disease	Infections	Other
deficient patients			at study	(IU/ml)			
•			·			2	
#1	Homozygous 114 kb deletion spanning exons 4 - 26	female	14	4,864 – 10,000	<ul> <li>No known allergies</li> <li>Eczema</li> <li>Hypereosinophilia without lymphopenia</li> </ul>	Pneumonia, cutaneous lesions and abscesses, fungal infections, lymphadenitis, cheilitis, <i>Chrysosporium parvum</i> .	Chronic diarrhea, rectal prolapse, bronchiectasis, tolerated BCG vaccine. Deceased.
#2	Homoz A->T; position 70 exon 7; K271X	female	12	10,000	- No known allergies - Eczema	Severe <i>M. contagiosum</i> , pneumonia, meningitis.	
#3	Homozygous 400 kb deletion (totality of DOCK8 + 5' of KANK1)	female	12	>5,000	<ul> <li>Multiple food,</li> <li>environmental, and drug</li> <li>allergies</li> <li>Severe eczema</li> <li>(lichenification)</li> <li>Hypereosinophilia</li> <li>(&gt;3000/mm3)</li> </ul>	Stomatitis, <i>M.</i> <i>contagiosum</i> , respiratory syncytial virus, HSV1, <i>Candida</i> <i>sp</i> , <i>H. influenza</i> , <i>P. jirovecii</i> .	Abdominal vasculitis, lymphadenopathy, splenomegaly, CD3 <sup>+</sup> lymphopenia. Successful HSCT.
#4	Homozygous 114 kb deletion spanning exons 4 - 26	male	10	1,552	<ul> <li>No known allergies</li> <li>Eczema</li> <li>Hypereosinophilia (7800/mm3).</li> </ul>	Recurrent otitis media, herpes labialis, HPV, disseminated plain warts, onychomycosis, <i>Salmonella sp.</i>	Arthritis, uveitis, interstitial lung disease, inflammatory bowel disease, mesenteric vasculitis. Tolerated BCG vaccine. Deceased.
#5	Homozygous 114 kb deletion spanning exons 4 - 26	female	12	19,302	- No known allergies - Eosinophilia (5,000/mm3).	Recurrent upper respiratory tract infection, HPV, flat warts, herpetic stomatitis, <i>Giardia lamblia</i> , <i>Salmonella enterica</i> , <i>E.</i> <i>coli</i> .	Uncomplicated chickenpox. Inflammatory bowel disease, abdominal vasculitis, thrombocytosis. Tolerated BCG vaccine. Deceased.
#6	c.3733_3734del AG;	male	12	1,500	- Multiple food allergies (egg, cow's milk)	Methicillin-resistant <i>S. aureus</i> infection, <i>M</i> .	

	p.R1245EfsX5				<ul> <li>Peanut sensitised (tolerant)</li> <li>Environmental allergies (house dust mite, rye grass, bermuda grass).</li> <li>Previous allergic rhinitis</li> <li>Infrequent episodic asthma (viral induced) in childhood</li> <li>Eczema</li> </ul>	<i>contagiosum</i> , recurrent otitis media.	
#7	Homozygous deletion 9p24.3 323,819- 324,708	female	8	9,196	<ul> <li>Food allergies</li> <li>Diffuse colonic and esophageal eosinophilia</li> <li>Eczema</li> <li>Asthma</li> </ul>	CMV, BK virus, chronic Salmonella, recurrent sinopulmonary infections, skin abscesses.	Sclerosing cholangitis.
#8	heterozygous deletions involving exons 22-25 and 3-32	female	14	6,270	<ul> <li>Food allergies</li> <li>Environmental allergies</li> <li>Rhinitis</li> <li>Asthma</li> <li>Allergic conjunctivitis</li> <li>Eczema</li> </ul>	HPV, <i>M. contagiosum</i> , meningitis, bacteremia, fungal skin infections.	Vasculopathy. Allergic symptoms improved after transplant.
#9	<ul> <li>Large heterozygous . deletion (~200kb)</li> <li>2bp heterozygous deletion in exon 41 (c.5307-5308 del AC, pL1770fsX1783</li> </ul>	female	7	>6,000	<ul> <li>No known allergies</li> <li>Severe eczema (lichenification)</li> <li>Eosinophilia (&gt;3,000/m3)</li> </ul>	Skin abscesses, <i>M.</i> contagiosum, recurrent respiratory tract infection, chronic otitis, maxillary sinusitis, bronchiectasis, HPV warts, HSV, <i>H. influenza</i> , <i>Salmonella spp</i> .	↑ IgG, ↓ IgM, ↑IgA, CD4 <sup>+</sup> lymphopenia.
#10	• Large heterozygous . deletion (~200kb)	male	10	>4,400	<ul> <li>No known allergies</li> <li>Moderate eczema</li> <li>Eosinophilia</li> </ul>	Skin abscess, <i>M.</i> <i>contagiosum</i> , recurrent upper respiratory tract infection, HPV	↑ IgG, ↓ IgM, ↑ IgA, CD4 <sup>+</sup> lymphopenia.

	• 2bp					disseminated warts, HSV	
	heterozygous					stomatitis. S. aureus. S.	
	deletion in exon					pyrogenes	
	41 (c.5307-5308					PJ: 080.000	
	del AC,						
	pL1770fsX1783						
#11	heterozygous	male	13	>1,100	- No known allergies	Chronic otitis, clavicle	Sclerosing cholangitis,
	large deletions				- Severe eczema	osteomyelitis, bronchitis,	$\uparrow$ IgA, $\downarrow$ IgM,
	one deletion				(lichenification)	pneumonia,	lymphopenia.
	involving the				- Eosinophilia	bronchiectasis,	Died of post-HSCT
	two gene copies				(>700/mm3)	Morganella spp., P.	complications.
	of 80kb in 5'				<u> </u>	aeruginosa, Proteus	
	part of the gene					mirabillis, H. influenza,	
	and a deletion of					Giardia intestinalis.	
	one copy of						
	320kb						
	encompassing						
	the $2/3^{rd}$ of the						
	3' region of				Y		
	DOCK8 gene						
	and the 5' part						
	of the KANK1						
	gene						
#12	splice site	male	17	17,045	- Food allergies (pork,	Chronic cutaneous and	Chronic liver disease with
	mutation (exon				peanut, chocolate, dairy,	ocular HSV, <i>M</i> .	vanishing bile ducts on
	11) > frame			Ċ	egg)	contagiosum, warts, S.	biopsy of unclear etiology.
	shift,				- Severe eczema	aureus skin infections,	Calficied dilated aorta.
	homozygous					cutaneous dermatophyte	
						infection.	
#13	Exon 41:	male	3	24,893	- Food allergies (milk,	S. aureus skin infections,	
	c5182C>T			<i>V</i>	egg, tree nuts, peanut)	Herpetic keratitis, warts,	
	homozygous				- Severe eczema	onchycomycosis,	
	p.R1728X.				- Asthma	bacterial, viral and	
						Pneumocystis	
						pneumonia.	

#14	Large deletion +	male	16	51,010	- Eczema	Sinopulmonary	
	stop codon				- Asthma	infections, Neisseria	
	(exon 11)					<i>meningitides</i> arthritis, <i>M</i> .	
						contagiosum and warts.	
#15	Unknown (lack	male	5	17,300	- Food allergies (milk,	HSV, S. pyrogenes, H.	
	DOCK8 protein;				egg, cashew, pistachio,	influenzae, C. albicans,	
	see				almond, beef, lamb)	Adenovirus, Norovirus,	
	Supplementary				- Eczema	HHV6, EBV, CMV,	
	Fig 1)				- Asthma	VZV, Aspergillus Niger,	
					- Bronchiectasis	Cladosporium.	
#16	Unknown (lack	female	4	8,100	- Food allergies (egg,	Ocular herpes, recurrent	Bell's Palsy.
	DOCK8 protein;			,	milk, macadamia)	lower respiratory tract	-
	see				- Environmental allergies	infection, chronic ear	
	Supplementary				(house dust mites)	infections.	
	Fig 1)				- Eczema		
	0 /				- Asthma		
					- Allergic rhinitis		
#17	Homozygous	female	4	2,294	- Food allergies (peanut	Cryptosporidial	
	deletion			,	cashew, pistachio,	cholangitis, chronic	
	spanning exon				sesame)	adenoviral carriage, mild	
	15-48				- Sensitization to walnut	M. contagiosum,	
					and egg	Giardia, non-typhi	
					- Drug allergy (Propofol)	Salmonella. low level	
					- Mild Eczema	CMV viraemia. otitis	
						externa.	
#18	c.12114A>G: p.	female	18,	>10.00	- Food allergies (beans,	S. aureus, H. influenzae,	Delayed puberty.
	K405R		,	0	beef, chicken, cow's	Cryptococcal meningitis,	Deceased.
					milk, egg, fish, peanut,	Acinetobacter baumannii	
					pork, tree nuts, tomato)	sepsis, HSV keratitis,	
					- Environmental allergies	herpes zoster virus.	
				Y	(dust, dog, grasses, mold)	±	
					- Drug allergies		
					(Cefipime, Lactinex,		
					Propofol)		
					- Eczema (herpeticum)		

#19	Homozygous for a deletion of Exons 28-35	female	17	8,031	<ul><li>Food allergies (lentils)</li><li>Severe eczema</li></ul>	Chronic oral HSV, sinopulmonary infections, onychomycosis and thrush, <i>S. aureus</i> skin	
#20	Homozygous nonsense mutations Exon19: c.2044G>T, p.E682X	female	11	6,690	<ul> <li>Food allergies eggs, milk, nuts, soy, wheat)</li> <li>Severe eczema</li> </ul>	infections. <i>S. aureus</i> skin infections, HSV keratitis.	
#21	Large deletion (exon 21 to end of gene) + small indel with frameshift mutation (exon 12)	male	25	1,162	- Food allergies (nuts) - Eczema	HSV keratitis, sinopulmonary infections, extensive warts.	Squamous cell carcinoma pre-HSCT.
#22	Large deletion (exon 21 to end of gene) + small indel with frameshift mutation (exon 12)	female	22	39	- No known allergies	Extensive warts, sinopulmonary infections.	Severe bronchiectasis.
#23	Nonsense mutation (exon 17) + small indel with frameshift mutation (exon 36)	female	16	180	- Mild eczema	<i>M. contagiosum</i> , warts, sinopulmonary infections.	EBV-B cell lymphoma.
#24	Large deletion (exons 13 to 26)	male	12	1,563	- Food allergies (tree nuts)	Extensive warts, sinopulmonary	

	+ splicing mutation (intron 5)				- Mild eczema	infections, <i>S. aureus</i> osteomyelitis.	
#25	Large deletion (promoter to exon 17) + nonsense mutation (exon 8)	female	19	5,604	<ul> <li>Food allergies (milk, egg, wheat, nuts)</li> <li>Asthma</li> <li>Moderate eczema</li> </ul>	Sinopulmonary infections, warts and <i>M.</i> <i>contagiosum</i> , Pneumocystis pneumonia, <i>S. aureus</i> skin infections, mucosal candidiasis.	Burkitt's lymphoma (EBV negative), vasculopathy of mid-aorta with bilateral renal artery stenosis, heart failure, improved post HSCT.
#26	Homozygous deletion of at least exons 4-13	female	9	2	- Asthma, - Mild eczema	Sinopulmonary infections, warts.	
#27	Homozygous deletion of exon 36	female	20	>6,000	<ul> <li>Food allergies (milk, kiwi)</li> <li>Asthma</li> <li>Moderate eczema</li> </ul>	Sinopulmonary infections, warts, chronic cutaneous HSV.	Cerebral vasculopathy with stroke and aortic vasculopathy.
#28	large homozygous deletion of more than 174 kb affecting most of <i>DOCK8</i> (260876_43519 0) from intron 1 to exon 39	female	12	1,855- 8,460	<ul> <li>Food allergies (egg and lentils)</li> <li>Eczema</li> <li>Eosinophilia (1,532/mm3)</li> </ul>	Diarrhea, upper respiratory infections, recurrent meningoencephalitis, chronic otitis media, esophageal candidiasis, lower urinary tract infection, pyelonephritis (twice), <i>Pseudomonas sp</i> (ear), <i>E. coli</i> .	Failure to thrive (short stature), mild scoliosis, seronegative hepatitis, liver steatosis, mild hepatosplenomegaly, extensive abdominal vasculitis, elevated liver enzymes, ↑IgA ↑ IgG, ↑IgM, CD3 <sup>+</sup> lymphopenia (600/ml).

The following patients were used in these experiments:

• phenotyping (#1-18);

• *ex vivo* cytokine and *in vitro* differentiation (#1, #2, #6, #7, #9, #10, #15, #17, #18);

• plasma IgE (#6, #12, #14, #15, #17, #19-28)

Figure 1



# Figure 2





Figure 3



