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# LIFTING PUZZLES AND CONGRUENCES OF IKEDA AND IKEDA-MIYAWAKI LIFTS

NEIL DUMMIGAN

ABSTRACT. We show how many of the congruences between Ikeda lifts and non-Ikeda lifts, proved by Katsurada, can be reduced to congruences involving only forms of genus 1 and 2, using various liftings predicted by Arthur's multiplicity conjecture. Similarly, we show that conjectured congruences between Ikeda-Miyawaki lifts and non-lifts can often be reduced to congruences involving only forms of genus 1, 2 and 3.

## 1. INTRODUCTION

For  $k, g \geq 2$  even, let  $f \in S_{2k-g}(\mathrm{SL}(2, \mathbb{Z}))$  be a normalised Hecke eigenform. Duke and Imamoglu conjectured the existence of a cuspidal Hecke eigenform  $F \in S_k(\mathrm{Sp}_g(\mathbb{Z}))$  (a Siegel modular form of genus  $g$ ) such that its standard  $L$ -function

$$L(s, F, \mathrm{St}) = \zeta(s) \prod_{i=1}^g L(f, s + (k - i)).$$

The existence of this  $F$  was proved by Ikeda [Ik1], who gave its Fourier expansion, and we call it the Ikeda lift. In the case  $g = 2$  it was already known, as the Saito-Kurokawa lift. Katsurada [Ka1] proved that if  $k \geq 2g + 4$  and  $q > 2k$  is a prime number such that, for some divisor  $\mathfrak{q} \mid q$  in a sufficiently large number field,

$$\mathrm{ord}_{\mathfrak{q}}(L_{\mathrm{alg}}(f, k) \prod_{i=1}^{(g/2)-1} L_{\mathrm{alg}}(2i + 1, f, \mathrm{St})) > 0,$$

then, under certain weak conditions, there is a congruence mod  $\mathfrak{q}$  of Hecke eigenvalues, between  $F$  and some Hecke eigenform, in the same space  $S_k(\mathrm{Sp}_g(\mathbb{Z}))$ , that is not an Ikeda lift. Here the  $L$ -values have been normalised by dividing them by particular choices of Deligne periods. This generalises his earlier work on congruences for Saito-Kurokawa lifts (for which only the factor  $L(f, k)$  appears), and similarly it uses a pullback formula for an Eisenstein series of genus  $2g$  to which a certain differential operator has been applied. The  $L$ -values arise as factors in a formula for the Petersson norm of  $F$ , which had been proved by Kohnen and Skoruppa for Saito-Kurokawa lifts, and for  $g > 2$  was conjectured by Ikeda and proved by Katsurada and Kawamura. For  $g = 2$ , congruences were proved independently by Brown [Br], who used them to construct elements in Selmer groups supporting the Bloch-Kato conjecture applied to the critical value  $L(f, k)$ , which for  $g = 2$  is immediately to the right of the central point.

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As  $g$  increases, the value  $s = k$  migrates further and further to the right in the critical range  $1 \leq s \leq 2k - g$ . (Of course, we must adjust  $k$  if we want to keep the weight  $2k - g$  the same to look at a fixed  $f$ .) Prime divisors of the algebraic parts of these critical values appear as the moduli of congruences conjectured by Harder [H, vdG], which support the Bloch-Kato conjecture for these critical values. These congruences of Hecke eigenvalues involve vector-valued Siegel modular forms of genus 2, and may be viewed as being congruences of Hecke eigenvalues between cuspidal automorphic representations of  $\mathrm{GSp}_2(\mathbb{A})$  and representations induced from the Levi subgroup  $\mathrm{GL}_1 \times \mathrm{GL}_2$  of the Siegel parabolic subgroup [BD, §7]. The Hecke eigenvalues of these induced representations involve those of  $f$ . Faber and van der Geer [FvdG] computed many Hecke eigenvalues of vector-valued Siegel modular forms of genus 2, providing numerical evidence for many instances of Harder's conjecture. The original example, with  $41 \mid L_{\mathrm{alg}}(f, 14)$ , for  $f$  of weight 22, has been proved by Chenevier and Lannes [CL].

Prime divisors of  $L_{\mathrm{alg}}(2i + 1, f, \mathrm{St})$  also appear as moduli of conjectural congruences of Hecke eigenvalues involving only genus 2 forms, in general vector-valued, in fact this applies to  $L_{\mathrm{alg}}(r, f, \mathrm{St})$  for all odd  $r$  from 3 to  $2k - g - 1$ . The congruences are between cusp forms and Klingen-Eisenstein series, and again may be viewed as being between cuspidal and induced automorphic representations of  $\mathrm{GSp}_2(\mathbb{A})$ , this time for the Klingen parabolic subgroup [BD, §6]. The first example, for  $g = 71$  and  $f$  of weight 20, was proved by Kurokawa [Ku], and Mizumoto proved a more general result [Miz]. Their work involved scalar-valued forms of genus 2, and the rightmost critical value of  $L(s, f, \mathrm{St})$ . One deals with critical values further to the left by increasing the “vector part”  $j$  of the weight. Satoh proved a congruence mod 343 in a  $j = 2$  case [Sa], and further instances, for other  $j$ , were proved in [Du].

Poor, Ryan and Yuen [PRY] computed the Euler factors at 2 of the standard  $L$ -functions of the seven cuspidal Hecke eigenforms in  $S_{16}(\mathrm{Sp}_4(\mathbb{Z}))$  (genus 4). Two of these forms are Ikeda lifts, while another two are lifts of pairs of genus 1 forms, of a type conjectured by Miyawaki and proved by Ikeda. The remaining three were more mysterious, but the Euler 2-factors of their standard  $L$ -functions factored in such a way as to suggest that they were lifts of some previously unknown kind. A. Mellit suggested to T. Ibukiyama that one of them should be lifted from a vector-valued Siegel modular form of genus 2, whose spinor  $L$ -function would appear in the standard  $L$ -function of the lift. Ibukiyama [Ib] then made two conjectures on scalar-valued genus 4 lifts of genus 2 vector-valued forms, in whose standard  $L$ -functions the spinor and standard  $L$ -functions of the lifted form, respectively, would appear. For the “standard” lift, a genus 1 form is also involved. He checked that these conjectures produce precisely the Euler 2-factors computed by Poor, Ryan and Yuen, and generalised the conjectures to predict scalar-valued lifts, to higher genus, of genus 1 and (vector-valued) genus 2 forms.

Reconsidering Katsurada's congruences between Ikeda lifts and non-Ikeda lifts, the occurrence of the same  $L$ -values in conjectural congruences involving only genus 1 and genus 2 forms, and the apparent existence of scalar-valued, higher genus lifts of such forms, suggest the question of whether these things are related. Could the non-Ikeda lifts in Katsurada's congruences actually be lifts of the type proposed by Ibukiyama? For  $L(f, k)$ , Ibukiyama's “standard lift” indeed explains Katsurada's congruence as a “lift” of Harder's. If  $4 \mid g$  then for  $L((g/2) + 1, f, \mathrm{St})$  (the factor

for  $i = \frac{g}{4}$ ), Ibukiyama’s “spinor lift” likewise explains Katsurada’s congruence as a lift of a congruence of Kurokawa-Mizumoto type. In fact, generalising the spinor lift to lift the genus 1 form as well as a genus 2 form, we may similarly account for congruences involving  $L(2i + 1, f, \text{St})$ , for  $\frac{g}{4} \leq i \leq \frac{g}{2} - 1$ , i.e. for about half the values of  $i$ .

We consider also congruences between Ikeda-Miyawaki lifts and non-Ikeda-Miyawaki lifts, conjectured by Ibukiyama, Katsurada, Poor and Yuen [IKPY]. They could be proved in the same manner as those between Ikeda lifts and non-Ikeda-lifts, if one knew a conjecture of Ikeda on the Petersson norm of an Ikeda-Miyawaki lift. The moduli are large prime divisors of  $L_{\text{alg}}(f \otimes \text{Sym}^2 h, 2k + 2n) \prod_{i=1}^{n-1} L_{\text{alg}}(2i + 1, f, \text{St})$ , where  $f$  and  $h$  are genus 1 forms of weights  $2k$  and  $k + n + 1$  respectively, and the Ikeda-Miyawaki lift is of genus  $2n + 1$ , weight  $k + n + 1$ . Again, it appears that in many cases the non-Ikeda-Miyawaki lift should in fact be some other kind of lift. For  $L_{\text{alg}}(f \otimes \text{Sym}^2 h, 2k + 2n)$  we “lift” a genus 3 generalisation of Harder’s conjecture, worked out by Harder himself in collaboration with the authors of [BFvdG], in which it is Conjecture 10.8. Their computations of genus 3 Hecke eigenvalues, together with  $L$ -value approximations by Mellit (subsequently confirmed by exact computations in [IKPY]), provided numerical support for their conjecture in seventeen cases. For  $L_{\text{alg}}(2i + 1, f, \text{St})$ , with  $\lceil \frac{n}{2} \rceil \leq i \leq n - 1$ , we again lift congruences of Kurokawa-Mizumoto type.

We may now appear to have a proliferation of unsupported conjectures on the existence of various lifts. But we show how they all fit into Arthur’s endoscopic classification of the discrete spectrum of  $\text{Sp}_g(\mathbb{Q}) \backslash \text{Sp}_g(\mathbb{A})$ , and would be consequences of his conjectural multiplicity formula. Actually, for certain groups including  $\text{Sp}_g$ , Arthur has proved a version of his multiplicity formula [A, Theorem 1.5.2]. But its equivalence to the version applied here is dependent on an as-yet unproved equivalence between two ways of defining and parametrisng an  $L$ -packet at  $\infty$ , as explained following [CR, Conjecture 3.23]. [Added in proof: The “as-yet unproved equivalence” referred to here has been proved by Arancibia, Moeglin and Renard, so the constructions in this paper are now unconditional.]

After preliminaries on Arthur’s endoscopic classification and multiplicity formula, in Sections 3 and 4, we apply them in Section 5 to obtain all the various lifts (including those of Ikeda and Ikeda-Miyawaki), conditional on the as yet unproved multiplicity formula. The compatibility of the Ikeda lift with Arthur’s conjecture was already mentioned in [Ik1, §14], and Ibukiyama looked at the Arthur parameters of his proposed lifts in [Ib, §3.4], without checking the multiplicity formula. In Section 6 we look at the congruences between Ikeda lifts and non-Ikeda lifts proved by Katsurada, and those between Ikeda-Miyawaki lifts and non-Ikeda-Miyawaki lifts conjectured in [IKPY]. Finally, in Section 7 we describe in more detail how some of these congruences can be accounted for in the manner indicated above.

The Hecke algebra for Siegel modular forms of genus  $g$  is generated by Hecke operators for each prime  $p$ , traditionally denoted  $T(p)$  and  $T_i(p^2)$  for  $1 \leq i \leq g$ . Strictly speaking, our approach only accounts for congruences between Hecke eigenvalues for the  $T_i(p^2)$ , not the  $T(p)$ . This is because we produce Arthur parameters for  $G = \text{Sp}_g$  (with  $\hat{G} = \text{SO}(g + 1, g)$ ) rather than for  $G = \text{GSp}_g$  (with  $\hat{G} = \text{Spin}(g + 1, g)$ ). The Siegel modular forms we consider are all eigenforms for the  $T(p)$  as well as the  $T_i(p^2)$ , but we cannot deduce from this the congruence of the  $T(p)$  Hecke eigenvalues.

## 2. SYMPLECTIC AND SPECIAL ORTHOGONAL GROUPS

Let  $G = \mathrm{Sp}_g = \{h \in M_{2g} : {}^t h J h = J\}$ , where

$$J_{i,2g+1-i} = \begin{cases} 1 & \text{if } 1 \leq i \leq g; \\ -1 & \text{if } g+1 \leq i \leq 2g, \end{cases}$$

and all other entries are 0. It has a maximal torus  $T$  comprising elements of the form  $\mathrm{diag}(t_1, \dots, t_g, t_g^{-1}, \dots, t_1^{-1})$ , which is mapped to  $t_i$  by characters  $e_i$ , for  $1 \leq i \leq g$ , which span the character group  $X^*(T)$ . The cocharacter group  $X_*(T)$  is spanned by  $\{f_1, \dots, f_g\}$ , where  $f_1 : t \mapsto \mathrm{diag}(t, 1, \dots, 1, t^{-1})$ , etc. so  $\langle e_i, f_j \rangle = \delta_{ij}$ . We can order the roots so that the positive roots are  $\Phi_G^+ = \{e_i - e_j : i < j\} \cup \{2e_i : 1 \leq i \leq g\} \cup \{e_i + e_j : i < j\}$ , and the simple roots  $\Delta_G = \{e_1 - e_2, e_2 - e_3, \dots, e_{g-1} - e_g, 2e_g\}$ . The simple coroots (in order) are  $\{\tilde{f}_1 - \tilde{f}_2, \dots, \tilde{f}_{g-1} - \tilde{f}_g, \tilde{f}_g\}$ .

Let  $\hat{G} = \mathrm{SO}(g+1, g) = \{h \in M_{2g+1} : {}^t h \tilde{J} h = \tilde{J}, \det(h) = 1\}$ , with

$$\tilde{J}_{i,2g+2-i} = \begin{cases} 1 & \text{if } i \neq g+1; \\ 2 & \text{if } i = g+1, \end{cases}$$

and all other entries 0. It has a maximal torus  $\hat{T}$  comprising elements of the form  $\mathrm{diag}(t_1, \dots, t_g, 1, t_g^{-1}, \dots, t_1^{-1})$ , which is mapped to  $t_i$  by characters  $\tilde{e}_i$ , for  $1 \leq i \leq g$ , which span  $X^*(\hat{T})$ . The cocharacter group  $X_*(\hat{T})$  is spanned by  $\{\tilde{f}_1, \dots, \tilde{f}_g\}$ , where  $\tilde{f}_1 : t \mapsto \mathrm{diag}(t, 1, \dots, 1, t^{-1})$ , etc. so  $\langle \tilde{e}_i, \tilde{f}_j \rangle = \delta_{ij}$ . We can order the roots so that  $\Phi_{\hat{G}}^+ = \{\tilde{e}_i - \tilde{e}_j : i < j\} \cup \{\tilde{e}_i : 1 \leq i \leq g\} \cup \{\tilde{e}_i + \tilde{e}_j : i < j\}$ , and  $\Delta_{\hat{G}} = \{\tilde{e}_1 - \tilde{e}_2, \tilde{e}_2 - \tilde{e}_3, \dots, \tilde{e}_{g-1} - \tilde{e}_g, \tilde{e}_g\}$ . The simple coroots (in order) are  $\{\tilde{f}_1 - \tilde{f}_2, \dots, \tilde{f}_{g-1} - \tilde{f}_g, 2\tilde{f}_g\}$ . Note that for any root  $\beta$  with coroot  $\check{\beta}$ , we have  $\langle \beta, \check{\beta} \rangle = 2$ .

We see then that the root systems of  $G$  and  $\hat{G}$  are dual to each other, so  $\hat{G}$  is, as the notation indicates, the Langlands dual of  $G$ . The isomorphisms  $X^*(\hat{T}) \simeq X_*(T)$  and  $X^*(T) \simeq X_*(\hat{T})$  are such that  $\tilde{e}_i \leftrightarrow f_i$  and  $e_i \leftrightarrow \tilde{f}_i$ , respectively.

Let  $\mathfrak{H}_g$  be the Siegel upper half space of  $g$  by  $g$  complex symmetric matrices with positive-definite imaginary part. For  $M = \begin{bmatrix} A & B \\ C & D \end{bmatrix} \in \mathrm{Sp}_g(\mathbb{Z})$  and  $Z \in \mathfrak{H}_g$ , let  $M\langle Z \rangle := (AZ + B)(CZ + D)^{-1}$  and  $J(M, Z) := CZ + D$ . Let  $V$  be the space of a representation  $\rho$  of  $\mathrm{GL}(g, \mathbb{C})$ . A holomorphic function  $f : \mathfrak{H}_g \rightarrow V$  is said to belong to the space  $M_\rho(\mathrm{Sp}_g(\mathbb{Z}))$  of Siegel modular forms of genus  $g$  and weight  $\rho$  if

$$f(M\langle Z \rangle) = \rho(J(M, Z))f(Z) \quad \forall M \in \mathrm{Sp}_g(\mathbb{Z}), Z \in \mathfrak{H}_g,$$

and, in the case  $g = 1$ , if it is holomorphic at the cusps. If  $g > 1$ , the Siegel operator  $\Phi$  on  $M_\rho(\mathrm{Sp}_g(\mathbb{Z}))$  is defined by

$$\Phi f(z) = \lim_{t \rightarrow \infty} f \left( \begin{bmatrix} z & 0 \\ 0 & it \end{bmatrix} \right) \quad \text{for } z \in \mathfrak{H}_{g-1}, t \in \mathbb{R}.$$

The kernel of  $\Phi$ , denoted  $S_\rho(\mathrm{Sp}_g(\mathbb{Z}))$ , is the space of Siegel cusp forms of genus  $g$  and weight  $\rho$ . When  $\rho = \det^k$ , the forms are scalar valued, of weight  $k$ , and  $S_\rho(\mathrm{Sp}_g(\mathbb{Z}))$  is denoted  $S_k(\mathrm{Sp}_g(\mathbb{Z}))$ .

## 3. ARTHUR'S ENDOSCOPIC CLASSIFICATION

Let  $G = \mathrm{Sp}_g$  as above, so  $\hat{G} = \mathrm{SO}(g+1, g)$ . Let  $\mathrm{St} : \hat{G} \rightarrow \mathrm{SL}(2g+1)$  be the standard inclusion homomorphism. Let  $\mathcal{X}(\hat{G})$  be the set of  $(c_v)$ , indexed by places  $v$  of  $\mathbb{Q}$ , such that for finite  $p$ ,  $c_p$  is a semisimple conjugacy class in  $\hat{G}(\mathbb{C})$ , and  $c_\infty$  is a semisimple conjugacy class in  $\mathrm{Lie}(\hat{G}(\mathbb{C}))$ . Let  $\Pi(G)$  be the set of irreducible representations  $\pi$  of  $G(\mathbb{A})$  such that  $\pi_\infty$  is unitary and each  $\pi_p$ , for finite primes  $p$ , is smooth and unramified, i.e. has a non-zero  $G(\mathbb{Z}_p)$ -fixed vector. Let  $\Pi_{\mathrm{disc}}(G)$  be the subset of those occurring discretely in  $L^2(G(\mathbb{Q}) \backslash G(\mathbb{A}))$ . Given  $\pi \in \Pi_{\mathrm{disc}}(G)$ , let  $c(\pi) = (c_v(\pi))$ , where for finite  $p$ ,  $c_p(\pi)$  is the Satake parameter of  $\pi_p$ , and  $c_\infty(\pi)$  is the infinitesimal character of  $\pi_\infty$ . We may do something similar with  $G$  replaced by  $\mathrm{PGL}(m)$  and  $\hat{G}$  by  $\widehat{\mathrm{PGL}}(m) = \mathrm{SL}(m)$ , or with  $G$  replaced by  $\mathrm{SO}(g+1, g)$  and  $\hat{G}$  by  $\mathrm{Sp}_g$ ,  $\mathrm{St} : \mathrm{Sp}_g \rightarrow \mathrm{SL}(2g)$ , or with  $G$  and  $\hat{G}$  both replaced by  $\mathrm{SO}(g, g)$ ,  $\mathrm{St} : \mathrm{SO}(g, g) \rightarrow \mathrm{SL}(2g)$ .

As an example, if  $\pi_f$  is the cuspidal automorphic representation of  $\mathrm{PGL}(2)(\mathbb{A})$  associated with a normalised, cuspidal Hecke eigenform  $f = \sum_{n=1}^{\infty} a_n q^n$  of weight  $k$  for  $\mathrm{SL}(2, \mathbb{Z})$ , then  $c_p(\pi_f) = \mathrm{diag}(\alpha_p, \alpha_p^{-1})$ , where  $a_p = p^{(k-1)/2}(\alpha_p + \alpha_p^{-1})$ , and  $c_\infty(\pi_f) = \mathrm{diag}((k-1)/2, -(k-1)/2)$ . We have  $L(f, s + \frac{k-1}{2}) = \prod_p \det(I - c_p(\pi_f)p^{-s})^{-1}$ . In this example we may also think of  $\mathrm{PGL}(2)$  as  $\mathrm{SO}(2, 1)$ , and  $\mathrm{SL}(2)$  as  $\widehat{\mathrm{SO}}(2, 1) = \mathrm{Sp}_1$ . If instead we consider the cuspidal automorphic representation  $\pi_f^{\mathrm{st}}$  of  $\mathrm{Sp}_1(\mathbb{A}) = \mathrm{SL}_2(\mathbb{A})$  associated with  $f$  then  $c_p(\pi_f^{\mathrm{st}}) = \mathrm{diag}(\alpha_p^2, 1, \alpha_p^{-2}) \in \mathrm{SO}(2, 1)(\mathbb{C})$ , and  $\prod_p \det(I - \mathrm{St}(c_p(\pi_f^{\mathrm{st}}))p^{-s})^{-1}$  is the standard  $L$ -function  $L(s, f, \mathrm{St}) = L(s + (k-1), \mathrm{Sym}^2 f)$ , while  $c_\infty(\pi_f^{\mathrm{st}}) = \mathrm{diag}(k-1, 0, 1-k)$ , which can be thought of as  $(k-1)e_1$ .

By Arthur's symplectic-orthogonal alternative [CR, Theorem\* 3.9], given any  $\pi \in \Pi_{\mathrm{cusp}}(\mathrm{PGL}(m))$  (the subset of cuspidal representations in  $\Pi_{\mathrm{disc}}(\mathrm{PGL}(m))$ ), there is a

$$G^\pi = \begin{cases} \mathrm{Sp}_{(m-1)/2} & \text{if } m \text{ is odd;} \\ \mathrm{SO}(m/2, m/2) \text{ or } \mathrm{SO}((m/2)+1, m/2) & \text{if } m \text{ is even,} \end{cases}$$

and  $\pi' \in \pi_{\mathrm{disc}}(G^\pi)$  such that  $c(\pi) = \mathrm{St}(c(\pi'))$ .

Following [CR, §3.11] (where more generally  $G$  is a classical semisimple group over  $\mathbb{Z}$ ), let  $\Psi_{\mathrm{glob}}(G)$  be the set of quadruples  $(k, (n_i), (d_i), (\pi_i))$ , where  $1 \leq k \leq 2g+1$ ,  $k$  an integer,  $n_i \geq 1$  are integers with  $\sum_{i=1}^k n_i = 2g+1$ ,  $d_i \mid n_i$  and each  $\pi_i \in \Pi_{\mathrm{cusp}}(\mathrm{PGL}(n_i/d_i))$  is a self-dual, cuspidal, automorphic representation of  $\mathrm{PGL}(n_i/d_i)(\mathbb{A})$ . There are two conditions:

- (1) if  $(n_i, d_i) = (n_j, d_j)$  with  $i \neq j$ , then  $\pi_i \neq \pi_j$ ;
- (2)  $d_i$  is odd if  $\widehat{G}^{\pi_i}$  is orthogonal, while  $d_i$  is even if  $\widehat{G}^{\pi_i}$  is symplectic.

An element  $\psi \in \Psi_{\mathrm{glob}}(G)$  is called a global Arthur parameter. We write

$$\underline{\psi} = \pi_1[d_1] \oplus \pi_2[d_2] \oplus \dots \oplus \pi_k[d_k],$$

where there is an equivalence relation, such that for the equivalence class  $\underline{\psi}$  of  $\psi$  the order of the summands is unimportant. If  $\pi_i$  is the trivial representation we just write  $[d_i]$  for  $\pi_i[d_i]$ , and we just write  $\pi_i$  for  $\pi_i[1]$ .

To a global Arthur parameter  $\psi \in \Psi_{\text{glob}}(G)$ , we associate a homomorphism

$$\rho_\psi : \prod_{i=1}^k (\text{SL}(n_i/d_i) \times \text{SL}(2)) \rightarrow \text{SL}_{2g+1},$$

well-defined up to conjugation in  $\text{SL}_{2g+1}(\mathbb{C})$ , namely  $\bigoplus_{i=1}^k (\mathbb{C}^{n_i/d_i} \otimes \text{Sym}^{d_i-1}(\mathbb{C}^2))$ . Hence we get a map

$$\rho_\psi : \prod_{i=1}^k (\mathcal{X}(\text{SL}(n_i/d_i)) \times \mathcal{X}(\text{SL}(2))) \rightarrow \mathcal{X}(\text{SL}_{2g+1}).$$

Let  $e = c(1) \in \mathcal{X}(\text{SL}(2))$ , where  $1 \in \Pi_{\text{disc}}(\text{PGL}(2))$  is the trivial representation. Then  $e_p = \text{diag}(p^{1/2}, p^{-1/2})$  and  $e_\infty = (1/2, -1/2)$ .

**Theorem 3.1.** (*Arthur's Endoscopic Classification* [CR, Theorem\* 3.12],[A, Theorem 1.5.2]). *Given  $\pi \in \Pi_{\text{disc}}(G)$ , there is  $\psi(\pi) \in \Psi_{\text{glob}}(G)$  (the global Arthur parameter of  $\pi$ ) such that*

$$\text{St}(c(\pi)) = \rho_{\psi(\pi)} \left( \prod_{i=1}^k c(\pi_i) \times e \right).$$

As an example, if  $\pi_f$  is the cuspidal automorphic representation of  $\text{PGL}(2)(\mathbb{A})$  associated with a normalised, cuspidal Hecke eigenform  $f = \sum_{n=1}^{\infty} a_n q^n$  of weight  $2k-2$  for  $\text{SL}(2, \mathbb{Z})$ , with  $k$  even, if  $F$ , a cusp form of weight  $k$  for  $\text{Sp}_2(\mathbb{Z})$ , is the Saito-Kurokawa lift of  $f$ , and if  $\pi_F$  is the associated cuspidal automorphic representation of  $\text{Sp}_2(\mathbb{A})$ , then  $\psi(\pi_F) = \pi_f[2] \oplus [1]$ , with  $c_\infty(\pi_F) = \text{diag}(k-1, k-2, 0, 2-k, 1-k)$ ,  $c_p(\pi_F) = \text{diag}(\alpha_p p^{1/2}, \alpha_p p^{-1/2}, 1, \alpha_p^{-1} p^{1/2}, \alpha_p^{-1} p^{-1/2})$  and standard  $L$ -function  $L(s, F, \text{St}) = \prod_p (\det(I - \text{St}(c_p(\pi_F)) p^{-s}))^{-1} = \zeta(s) L(f, s + (k-1)) L(f, s + (k-2))$ .

At this point we should say a little more about the relation between Siegel modular forms and automorphic representations. Asgari and Schmidt [AS] describe how to get a cuspidal automorphic representation  $\pi'_F$  of  $\text{PGSp}_g(\mathbb{A})$ , holomorphic discrete series at  $\infty$ , from a Hecke eigenform  $F$  in  $S_k(\text{Sp}_g(\mathbb{Z}))$ , with  $k \geq g+1$ , and something similar works for vector-valued forms [T, §5.2]. From this  $\pi'_F$  one can get a cuspidal automorphic representation  $\pi_F$  of  $\text{Sp}_g(\mathbb{A})$ , whose Satake parameters are obtained from those of  $\pi'_F$  by applying the 2-to-1 covering map from  $\text{Spin}(g+1, g)$  to  $\text{SO}(g+1, g)$ . Conversely, given  $\pi \in \Pi_{\text{disc}}(\text{Sp}_g)$  with  $c_\infty(\pi) = \text{diag}(k-1, \dots, k-g, 0, g-k, \dots, 1-k)$  and  $\pi_\infty$  holomorphic discrete series, it comes from some  $\pi' \in \Pi_{\text{disc}}(\text{PGSp}_g(\mathbb{A}))$  (by [CR, Proposition 4.7]), which is actually in  $\Pi_{\text{cusp}}(\text{PGSp}_g(\mathbb{A}))$  (by [T, Remark 5.2.3]). This is then of the form  $\pi'_F$  for some Hecke eigenform (for the  $T(p)$  as well as the  $T_i(p^2)$ )  $F \in S_k(\text{Sp}_g(\mathbb{Z}))$ , as explained in [T, §5.2].

#### 4. ARTHUR'S MULTIPLICITY FORMULA

Closely related to  $\rho_\psi$  above is

$$r_\psi : \prod_{i=1}^k (\widehat{G}^{\pi_i} \times \text{SL}(2)) \rightarrow \widehat{G} = \text{SO}(g+1, g).$$

Then  $\text{St} \circ r_\psi$  is a direct sum  $\bigoplus_{i=1}^k V_i$ , where  $V_i$  is an irreducible  $n_i$ -dimensional representation of  $\widehat{G}^{\pi_i} \times \text{SL}(2)$ . Following [CR, §3.20], let  $C_\psi$  be the centraliser of  $\text{im}(r_\psi)$  in  $\widehat{G}$ . This is an elementary abelian 2-group generated by  $Z(\widehat{G})$  and

elements  $s_i$  for those  $i$  such that  $n_i$  is even, where  $\text{St}(s_i)$  acts as  $-1$  on  $V_i$ , and as  $+1$  on  $V_j$  for all  $j \neq i$ .

Arthur [A] defined a character  $\epsilon_\psi : C_\psi \rightarrow \{\pm 1\}$ , where  $\epsilon_\psi$  is trivial on  $Z(\hat{G})$  and

$$\epsilon_\psi(s_i) = \prod_{j \neq i} \epsilon(\pi_i \times \pi_j)^{\min(d_i, d_j)},$$

$\epsilon(\pi_i \times \pi_j) = \pm 1$  being the global epsilon factor appearing in the functional equation of  $L(s, \pi_i \times \pi_j)$ , which in our case, where  $\pi_i \times \pi_j$  will be unramified at all finite primes, is just the local factor  $\epsilon_\infty(\pi_i \times \pi_j)$ .

Given  $\pi \in \Pi(G)$  such that  $c(\pi) = \psi \in \Psi_{\text{alg}}$  (a certain subset of  $\Psi_{\text{glob}}(G)$ , see [CR, Definition 3.15]), we can ask whether  $\pi$  actually occurs in  $\Pi_{\text{disc}}(G)$ . Arthur's multiplicity conjecture answers this question. The answer depends on comparing  $\epsilon_\psi$  with another character which depends on how all the  $\pi_p$  and  $\pi_\infty$  sit in their  $L$ -packets. Since all the  $\pi_p$  are unramified, their  $L$ -packets are trivial, i.e. they are uniquely determined up to isomorphism by their  $c_p(\pi)$ . Therefore we only need consider  $\pi_\infty$ , which we want to be the holomorphic discrete series representation within its  $L$ -packet. There is an associated Shelstad parameter  $\chi_{\text{hol}} : C_{\psi_\infty} \rightarrow \mathbb{C}^\times$ , where  $C_{\psi_\infty}$  is a certain group which can be viewed as a 2-torsion subgroup of  $\hat{T}$ , such that  $C_\psi \subseteq C_{\psi_\infty}$ , and the requirement of Arthur's multiplicity formula is that  $\chi_{\text{hol}}|_{C_\psi} = \epsilon_\psi$ . By [CR, Lemma 9.3],  $\chi_{\text{hol}}$  is the restriction of either  $\sum_{\text{odd } i=1}^g \tilde{e}_i$  or  $\sum_{\text{even } i=1}^g \tilde{e}_i \in X^*(\hat{T})$ , and the restrictions to  $C_\psi$  are the same [CR, Lemma 9.5], so we act as if  $\chi_{\text{hol}} = \sum_{\text{odd } i=1}^g \tilde{e}_i$ . Note that although  $C_\psi$  and  $C_{\psi_\infty}$  are only well-defined up to conjugacy, there is a natural way of viewing one inside the other, compatible with the above view of  $C_{\psi_\infty}$  inside  $\hat{T}[2]$ , and the explicit realisation in  $\hat{T}[2]$  of the various  $s_i \in C_\psi$  in the proofs in the next section.

## 5. APPLICATION TO VARIOUS LIFTS

All the propositions in this section are conditional upon Arthur's multiplicity conjecture.

**5.1. Ikeda lifts.** For  $k, g \geq 2$  even, and  $f \in S_{2k-g}(\text{SL}(2, \mathbb{Z}))$  a Hecke eigenform, let  $\pi_f$  be the associated cuspidal, automorphic representation of  $\text{PGL}(2)(\mathbb{A})$ , and consider  $\pi_f[g] \oplus [1] \in \Psi_{\text{alg}}$ .

**Proposition 5.1.** *There exists  $\pi \in \Pi_{\text{disc}}(\text{Sp}_g)$  such that  $\psi(\pi) = \pi_f[g] \oplus [1]$ .*

*Proof.* Since  $n_1 = 2g$  is even, but  $n_2 = 1$  is odd,  $C_\psi$  is generated by  $Z(\hat{G})$  and  $s_1 =: s_f$ . We have  $\epsilon_\psi(s_f) = \epsilon_\infty(\pi_f \times 1)^1 = \epsilon_\infty(\pi_f)$ . Note that  $c_\infty(\pi_f) = \text{diag}(\frac{2k-g-1}{2}, \frac{1-g-2k}{2})$ . The associated motive (twisted to have weight 0) would have Hodge type  $\{(p, q), (q, p)\}$ , with  $p = \frac{1-g-2k}{2}$  and  $q = \frac{2k-g-1}{2}$ . Putting this in the formula  $i^{q-p+1}$  in the table in [De, §5.3], we recover the well-known  $\epsilon_\infty(\pi_f) = i^{2k-g} = (-1)^{k-(g/2)} = (-1)^{g/2}$ . Of course we would have to make a half-integral twist to really have a motive, with integral Hodge weights, but since we are only interested in the difference  $q - p$ , we can ignore this.

On the other hand  $\chi_{\text{hol}} = \tilde{e}_1 + \dots + \tilde{e}_{g-1}$  (odd subscripts), which has  $\frac{g}{2}$  terms, and  $s_f = \text{diag}(\underbrace{-1, \dots, -1}_{g \text{ times}}, 1, \underbrace{-1, \dots, -1}_{g \text{ times}})$ , so  $\chi_{\text{hol}}(s_f) = (-1)^{g/2}$ . Since this is the same as  $\epsilon_\psi(s_f)$ ,  $\pi$  exists.  $\square$



Note that  $c_\infty(\pi) = \text{diag}(k-1, k-2, \dots, k-g, 0, g-k, \dots, 2-k, 1-k)$  matches  $c_\infty(\pi_F)$ , where  $\pi_F$  is the automorphic representation of  $\text{Sp}_g(\mathbb{A})$  associated with a cuspidal Hecke eigenform  $F \in S_k(\text{Sp}_g(\mathbb{Z}))$ , and since  $\pi_\infty$  is holomorphic discrete series,  $\pi$  is of the form  $\pi_F$ . From  $\psi(\pi_F)$  we can read off the standard  $L$ -function  $L(s, F, \text{St}) = \zeta(s) \prod_{i=1}^g L(f, s + (k-i))$ , and we recognise  $F$  as the Ikeda lift of  $f$  [Ik1].

**5.2. Standard lifts.** Let  $k, g, f$  be as in the previous section, and let  $F$  be a cuspidal Hecke eigenform for  $\text{Sp}_2(\mathbb{Z})$ , of weight  $\det^\kappa \otimes \text{Sym}^j(\mathbb{C}^2)$ , with  $(\kappa, j) = (k-g+2, g-2)$  (so we must impose  $k > g-2$ ). To  $F$  we associate an automorphic representation  $\pi_F^{\text{st}}$  of  $\text{Sp}_2(\mathbb{A})$ , with  $c_\infty(\pi_F) = \text{diag}(j+\kappa-1, \kappa-2, 0, 2-\kappa, 1-j-\kappa) = \text{diag}(k-1, k-g, 0, g-k, 1-k)$ . To get  $\text{diag}(k-1, k-2, \dots, k-g, 0, g-k, \dots, 2-k, 1-k)$  (seen in the previous section) from  $\text{diag}(k-1, k-g, 0, g-k, 1-k)$ , we need to fill in the gaps using  $(g-2)$  copies of  $c_\infty(\pi_f) = \text{diag}(\frac{2k-g-1}{2}, \frac{1-g-2k}{2})$ , shifted to left and right. So we consider  $\psi = \pi_F^{\text{st}} \oplus \pi_f[g-2] \in \Psi_{\text{alg}}$ . Note that we have abused notation somewhat;  $\pi_F^{\text{st}}$  is a representation of  $\text{Sp}_2(\mathbb{A})$ , but we are using the same notation for its lift to  $\text{PGL}(5)(\mathbb{A})$ , via  $\text{St} : \text{SO}(3, 2) \rightarrow \text{SL}(5)$ . We must insist that we are in a situation where this lift is cuspidal, so we must exclude the case where  $g = 2$  and  $F$  is a Saito-Kurokawa lift. (Similar remarks apply in subsequent sections.) In fact, we may as well exclude the case  $g = 2$ , in which  $F$  is already scalar-valued, and  $\pi$  below would be just the same as  $\pi_F^{\text{st}}$ .

**Proposition 5.2.** *There exists  $\pi \in \Pi_{\text{disc}}(\text{Sp}_g)$  such that  $\psi(\pi) = \pi_F^{\text{st}} \oplus \pi_f[g-2]$ .*

*Proof.* Since  $n_1 = 5$  is odd, but  $n_2 = 2(g-2)$  is even,  $C_\psi$  is generated by  $Z(\hat{G})$  and  $s_2 =: s_f$ . We have  $\epsilon_\psi(s_f) = \epsilon_\infty(\pi_f \times \pi_F^{\text{st}})^1 = \epsilon_\infty(\pi_f \times \pi_F^{\text{st}})$ . Since  $c_\infty(\pi_f) = \text{diag}(\frac{2k-g-1}{2}, \frac{1-g-2k}{2})$  and  $c_\infty(\pi_F) = \text{diag}(k-1, k-g, 0, g-k, 1-k)$ , the associated motive (twisted to have weight 0) would have Hodge type a union of  $\{(-q, q), (q, -q)\}$ , where  $2q$  runs through  $\{2k-g-1+2(k-1) = 4k-g-3, 4k-3g-1, 2k-g-1, g-1, g-1\}$ . Putting this in the formula  $i^{q-p+1} = i^{2q+1}$ , we find that

$$\epsilon_\infty(\pi_f \times \pi_F^{\text{st}}) = i^{4k-g-2+4k-3g+2k-g+g+g} = i^{g+2} = (-1)^{(g/2)+1}.$$

On the other hand  $s_f = \text{diag}(1, \underbrace{-1, \dots, -1}_{g-2 \text{ times}}, 1, 1, 1, \underbrace{-1, \dots, -1}_{g-2 \text{ times}}, 1)$ . In the left half,  $\frac{g}{2} - 1$  of the  $-1$ s are in odd position, so  $\chi_{\text{hol}}(s_f) = (-1)^{(g/2)+1}$ . Since this is the same as  $\epsilon_\psi(s_f)$ ,  $\pi$  exists.  $\square$

As already noted,  $c_\infty(\pi) = \text{diag}(k-1, k-2, \dots, k-g, 0, g-k, \dots, 2-k, 1-k)$ , so as in the previous section  $\pi = \pi_G$  for some cuspidal Hecke eigenform  $G \in S_k(\text{Sp}_g(\mathbb{Z}))$ . This time  $L(s, G, \text{St}) = L(s, F, \text{St}) \prod_{i=1}^{g-2} L(f, s + (k-g+i))$ . The existence of such a  $G$  is precisely [Ib, Conjecture 3.2].

**5.3. Spinor lifts.** Now  $k, g \geq 2$  even,  $f \in S_{2k-g}(\text{SL}(2, \mathbb{Z}))$ , and  $F$  is a cuspidal Hecke eigenform for  $\text{Sp}_2(\mathbb{Z})$ , of weight  $\det^\kappa \otimes \text{Sym}^j(\mathbb{C}^2)$ , with  $(\kappa, j) = (r+1, 2k-g-1-r)$  (so we impose  $k > \frac{g}{2} + r + 1$ ), for some fixed odd  $r$  with  $\frac{g}{2} + 1 \leq r < g$ . To  $F$  we associate an automorphic representation  $\pi_F^{\text{spin}}$  of  $\text{PGSp}_2(\mathbb{A}) \simeq \text{SO}(3, 2)(\mathbb{A})$ , with

$$c_\infty(\pi_F^{\text{spin}}) = \text{diag}\left(\frac{j+2\kappa-3}{2}, \frac{j+1}{2}, -\frac{j+1}{2}, -\frac{j+2\kappa-3}{2}\right)$$

$$= \text{diag} \left( \frac{2k-g+r-2}{2}, \frac{2k-g-r}{2}, -\frac{2k-g-r}{2}, -\frac{2k-g+r-2}{2} \right).$$

Then

$$c_\infty(\pi_F^{\text{spin}}[g+1-r])$$

$$= \text{diag}(k-1, \dots, k+r-g-1, k-r, \dots, k-g, g-k, \dots, r-k, 1+g-r-k, \dots, 1-k),$$

where the dots denote unbroken sequences of consecutive integers. To fill in the gaps we use  $\pi_f[2r-g-2]$ , then to put 0 in the middle we use [1]. Thus

$$\begin{aligned} & c_\infty(\pi_F^{\text{spin}}[g+1-r] \oplus \pi_f[2r-g-2] \oplus [1]) \\ &= \text{diag}(k-1, k-2, \dots, k-g, 0, g-k, \dots, 2-k, 1-k). \end{aligned}$$

Note that since  $r > 2$  and  $j > 0$ , there are no entries in  $c_\infty(\pi_F^{\text{spin}})$  differing by 1, so in the Arthur parameter of  $\pi_F^{\text{spin}}$ , all  $d_i = 1$ . The possibility that  $\pi_F^{\text{spin}}$  is endoscopic is ruled out, since there are no holomorphic Yoshida lifts at level 1. Hence the lift of  $\pi_F^{\text{spin}}$  to  $\text{PGL}(4)(\mathbb{A})$ , which is what is really meant above by  $\pi_F^{\text{spin}}$ , must be cuspidal, as desired.

**Proposition 5.3.** *If  $4 \mid g$ , there exists  $\pi \in \Pi_{\text{disc}}(\text{Sp}_g)$  such that  $\psi(\pi) = \pi_F^{\text{spin}}[g+1-r] \oplus \pi_f[2r-g-2] \oplus [1]$ .*

*Proof.* This time  $n_1 = 4(g+1-r)$  and  $n_2 = 2(2r-g-2)$  are even, while  $n_3 = 1$  is odd, so we must consider  $s_1 =: s_F$  and  $s_2 =: s_f$ . Since  $\widehat{G}^{\pi_f}$  and  $\widehat{G}^{\pi_F^{\text{spin}}}$  are both symplectic, it follows from a theorem of Arthur (see [CR, §3.20]) that  $\epsilon(\pi_f \times \pi_F^{\text{spin}}) = 1$ . Hence  $\epsilon_\psi(s_f) = \epsilon_\infty(\pi_f \times 1) = \epsilon_\infty(\pi_f) = (-1)^{g/2}$  as before, and likewise  $\epsilon_\psi(s_F) = \epsilon_\infty(\pi_F^{\text{spin}}) = i^{(2k-g-r+1)+(2k-g+r-1)} = (-1)^{g/2}$ .

$$s_f = \text{diag}(\underbrace{1, \dots, 1}_{g+1-r}, \underbrace{-1, \dots, -1}_{2r-g-2}, \underbrace{1, \dots, 1}_{2g+3-2r}, \underbrace{-1, \dots, -1}_{2r-g-2}, \underbrace{1, \dots, 1}_{g+1-r}),$$

and on the left side the number of  $-1$ s in odd position is  $r - \frac{g}{2} - 1$ , so  $\chi_{\text{hol}}(s_f) = (-1)^{r-(g/2)-1} = (-1)^{g/2}$ , since  $r$  is odd.

$$s_F = \text{diag}(\underbrace{-1, \dots, -1}_{g+1-r}, \underbrace{1, \dots, 1}_{2r-g-2}, \underbrace{-1, \dots, -1}_{g+1-r}, \underbrace{1, \dots, 1}_{g+1-r}, \underbrace{-1, \dots, -1}_{2r-g-2}, \underbrace{1, \dots, 1}_{g+1-r}),$$

and on the left side the number of  $-1$ s in odd position is  $g+1-r$ , which is even, so  $\chi_{\text{hol}}(s_F) = 1$ . Thus, though  $\chi_{\text{hol}}(s_f) = \epsilon_\psi(s_f)$ , for  $\chi_{\text{hol}}(s_F) = \epsilon_\psi(s_F)$  we need the condition  $4 \mid g$ .  $\square$

As already noted,  $c_\infty(\pi) = \text{diag}(k-1, k-2, \dots, k-g, 0, g-k, \dots, 2-k, 1-k)$ , so as before,  $\pi = \pi_G$  for some cuspidal Hecke eigenform  $G \in S_k(\text{Sp}_g(\mathbb{Z}))$ . This time  $L(s, G, \text{St}) = \zeta(s) \prod_{i=1}^{g+1-r} L(s-i+(g-r+2)/2, F, \text{spin}) \prod_{i=1}^{2r-g-2} L(f, s+(k-r+i))$ , where the spinor  $L$ -function is in its automorphic normalisation, centred at  $s = 1/2$ . In the special case  $r = \frac{g}{2} + 1$  (in which case  $f$  does not actually appear), the existence of such a  $G$  is precisely [Ib, Conjecture 3.1].

**5.4. Ikeda-Miyawaki lifts.** Consider Hecke eigenforms  $f \in S_{2k}(\mathrm{SL}(2, \mathbb{Z}))$ ,  $h \in S_{k+n+1}(\mathrm{SL}(2, \mathbb{Z}))$ , where  $k+n+1$  is even. Let  $\pi_f$  be the associated cuspidal, automorphic representation of  $\mathrm{PGL}(2)(\mathbb{A})$ , and  $\pi_h^{\mathrm{st}}$  the cuspidal automorphic representation of  $\mathrm{Sp}_1(\mathbb{A}) = \mathrm{SL}_2(\mathbb{A})$  associated with  $h$ . Recall that  $c_p(\pi_h^{\mathrm{st}}) = \mathrm{diag}(\alpha_p^2, 1, \alpha_p^{-2}) \in \mathrm{SO}(2, 1)(\mathbb{C})$  (where  $a_p(h) = p^{(k+n)/2}(\alpha_p + \alpha_p^{-1})$ ), and  $c_\infty(\pi_h^{\mathrm{st}}) = \mathrm{diag}(k+n, 0, -k-n)$ . Since  $c_\infty(\pi_f) = \mathrm{diag}(\frac{2k-1}{2}, \frac{1-2k}{2})$ , we see that  $c_\infty(\pi_h^{\mathrm{st}} \oplus \pi_f[2n]) = \mathrm{diag}(k+n, \dots, k-n, 0, n-k, \dots, -n-k)$ , where the dots denote unbroken sequences of consecutive integers. This is of the form  $\mathrm{diag}(\kappa-1, \kappa-2, \dots, \kappa-g, 0, g-\kappa, \dots, 2-\kappa, 1-\kappa)$ , where  $\kappa = k+n+1$  and  $g = 2n+1$ .

**Proposition 5.4.** *There exists  $\pi \in \Pi_{\mathrm{disc}}(\mathrm{Sp}_{2n+1})$  such that  $\psi(\pi) = \pi_h^{\mathrm{st}} \oplus \pi_f[2n]$ .*

*Proof.* Since  $n_1 = 3$  is odd, while  $n_2 = 4n$  is even, we consider  $s_2 =: s_f$ . First,  $\epsilon_\psi(s_f) = \epsilon_\infty(\pi_h^{\mathrm{st}} \times \pi_f)$ . The associated motive (twisted to have weight 0) would have Hodge type a union of  $\{(-q, q), (q, -q)\}$ , where  $2q$  runs through  $\{2k-1+2(k+n) = 4k+2n-1, 2k-1, 2n+1\}$ . Putting this in the formula  $i^{q-p+1} = i^{2q+1}$ , we find that

$$\epsilon_\infty(\pi_f) = i^{4k+2n+2k+2n+2} = i^{2k+2} = (-1)^{k+1}.$$

Now  $s_f = \mathrm{diag}(1, \underbrace{-1, \dots, -1}_{2n}, 1, \underbrace{-1, \dots, -1}_{2n}, 1)$ , and in the left half,  $n$  of the  $-1$ s are in odd position, so  $\chi_{\mathrm{hol}}(s_f) = (-1)^n$ , which is the same as  $(-1)^{k+1}$ , since  $n+k+1$  is even.  $\square$

As already noted,  $c_\infty(\pi) = \mathrm{diag}(k+n, \dots, k-n, 0, n-k, \dots, -n-k)$ , so  $\pi = \pi_G$  for some cuspidal Hecke eigenform  $G \in S_{k+n+1}(\mathrm{Sp}_{2n+1}(\mathbb{Z}))$ . Also  $L(s, G, \mathrm{St}) = L(s, h, \mathrm{St}) \prod_{i=1}^{2n} L(f, s+(k-n-1+i))$ , and we recognise  $G$  as a lift whose existence was conjectured by Miyawaki and proved by Ikeda [Miy, Ik2].

**5.5. Lifts from genus 3 and 1.** Let  $f$  be as in the previous section, with  $k+n+1$  still even. Let  $F$  be a vector-valued cuspidal Hecke eigenform of genus 3 such that if  $\pi_F^{\mathrm{st}}$  is the associated automorphic representation of  $\mathrm{Sp}_3(\mathbb{A})$  then  $c_\infty(\pi_F^{\mathrm{st}}) = \mathrm{diag}(k+n, k+n-1, k-n, 0, n-k, -n-k+1, -n-k)$ . In the language of [BFvdG, §§4.1, 7],  $(a, b, c) = (k+n-3, k+n-3, k-n-1)$ . To fill in the gaps of length  $2n-2$ , we consider  $\psi = \pi_F^{\mathrm{st}} \oplus \pi_f[2n-2]$ . We may as well exclude the case  $n=1$ , in which  $F$  is already scalar-valued and  $\pi$  below would be just the same as  $\pi_F^{\mathrm{st}}$ .

**Proposition 5.5.** *There exists  $\pi \in \Pi_{\mathrm{disc}}(\mathrm{Sp}_{2n+1})$  such that  $\psi(\pi) = \pi_F^{\mathrm{st}} \oplus \pi_f[2n-2]$ .*

*Proof.* Since  $n_1 = 7$  is odd, while  $n_2 = 4n-4$  is even, we consider  $s_2 =: s_f$ .

$$\epsilon_\psi(s_f) = \epsilon_\infty(\pi_F^{\mathrm{st}} \times \pi_f) = i^{(4k+2n)+(4k+2n-2)+(4k-2n)+2k+2n+(2n+2)+2n} = i^{2k} = (-1)^k.$$

$$s_f = \mathrm{diag}(1, 1, \underbrace{-1, \dots, -1}_{2n-2}, 1, 0, 1, \underbrace{-1, \dots, -1}_{2n-2}, 1, 1),$$

with  $n-1$  of  $-1$ s in the left half in odd position, so  $\chi_{\mathrm{hol}}(s_f) = (-1)^{n-1}$ , which is the same as  $(-1)^k$ , since  $k+n+1$  is even.  $\square$

As before,  $\pi = \pi_G$  for some cuspidal Hecke eigenform  $G \in S_{k+n+1}(\mathrm{Sp}_{2n+1}(\mathbb{Z}))$ . We read off  $L(s, G, \mathrm{St}) = L(s, F, \mathrm{St}) \prod_{i=1}^{2n-2} L(f, s+k-n+i)$ .

**5.6. Lifts from genus 1, 2 and 1.** As in §5.4, consider Hecke eigenforms  $f \in S_{2k}(\mathrm{SL}(2, \mathbb{Z}))$ ,  $h \in S_{k+n+1}(\mathrm{SL}(2, \mathbb{Z}))$ , where  $k+n+1$  is even. Let  $\pi_f$  be the associated cuspidal, automorphic representation of  $\mathrm{PGL}(2)(\mathbb{A})$ , and  $\pi_h^{\mathrm{st}}$  the cuspidal automorphic representation of  $\mathrm{Sp}_1(\mathbb{A}) = \mathrm{SL}_2(\mathbb{A})$  associated with  $h$ . Let  $F$  be a cuspidal Hecke eigenform for  $\mathrm{Sp}_2(\mathbb{Z})$ , of weight  $\det^\kappa \otimes \mathrm{Sym}^j(\mathbb{C}^2)$ , with  $(\kappa, j) = (r+1, 2k-1-r)$ , for some fixed odd  $r$  with  $n+1 \leq r \leq 2n-1$ . To  $F$  we associate an automorphic representation  $\pi_F^{\mathrm{spin}}$  of  $\mathrm{PGSp}_2(\mathbb{A}) \simeq \mathrm{SO}(3, 2)(\mathbb{A})$ , with

$$\begin{aligned} c_\infty(\pi_F^{\mathrm{spin}}) &= \mathrm{diag} \left( \frac{j+2\kappa-3}{2}, \frac{j+1}{2}, -\frac{j+1}{2}, -\frac{j+2\kappa-3}{2} \right) \\ &= \mathrm{diag} \left( \frac{2k+r-2}{2}, \frac{2k-r}{2}, -\frac{2k-r}{2}, -\frac{2k+r-2}{2} \right). \end{aligned}$$

Then

$$c_\infty(\pi_F^{\mathrm{spin}}[2n+1-r])$$

$$= \mathrm{diag}(k+n-1, \dots, k+r-n-1, k+n-r, \dots, k-n, n-k, \dots, r-n-k, 1+n-r-k, \dots, 1-k-n),$$

where the dots denote unbroken sequences of consecutive integers. To fill in the gaps we use  $\pi_f[2r-2n-2]$ , and we also add  $c_\infty(\pi_h^{\mathrm{st}}) = \mathrm{diag}(k+n, 0, -n-k)$ . Thus

$$\begin{aligned} c_\infty(\pi_h^{\mathrm{st}} \oplus \pi_F^{\mathrm{spin}}[2n+1-r] \oplus \pi_f[2r-2n-2]) \\ = \mathrm{diag}(k+n, k+n-1, \dots, k-n, 0, n-k, \dots, 1-n-k, -n-k). \end{aligned}$$

**Proposition 5.6.** *There exists  $\pi \in \Pi_{\mathrm{disc}}(\mathrm{Sp}_{2n+1})$  such that  $\psi(\pi) = \pi_h^{\mathrm{st}} \oplus \pi_F^{\mathrm{spin}}[2n+1-r] \oplus \pi_f[2r-2n-2]$ .*

*Proof.* This time  $n_2 = 4(2n+1-r)$  and  $n_3 = 2(2r-2n-2)$  are even, while  $n_1 = 3$  is odd, so we must consider  $s_2 =: s_F$  and  $s_3 =: s_f$ . Since  $\widehat{G}^{\pi_f}$  and  $\widehat{G}^{\pi_F^{\mathrm{spin}}}$  are both symplectic, it follows from a theorem of Arthur (see [CR, §3.20]) that  $\epsilon(\pi_f \times \pi_F^{\mathrm{spin}}) = 1$ . Hence

$$\epsilon_\psi(s_f) = \epsilon_\infty(\pi_f \times \pi_h^{\mathrm{st}})^1 = i^{2k+(2n+2)+(4k+2n)} = (-1)^{k+1},$$

and likewise

$$\begin{aligned} \epsilon_\psi(s_F) &= \epsilon_\infty(\pi_F^{\mathrm{spin}} \times \pi_h^{\mathrm{st}}) \\ &= i^{(2k+r-1)+(2k-r+1)+(2n+r+1)+(2n-r+3)+(4k+2n+r-1)+(4k+2n-r+1)} = 1. \end{aligned}$$

$$s_f = \mathrm{diag}(\underbrace{1, \dots, 1}_{2n+1-r}, \underbrace{-1, \dots, -1}_{2r-2n-2}, \underbrace{1, \dots, 1}_{4n+3-2r}, \underbrace{-1, \dots, -1}_{2r-2n-2}, \underbrace{1, \dots, 1}_{2n+1-r}),$$

and on the left side the number of  $-1$ s in odd position is  $r-n-1$ , so  $\chi_{\mathrm{hol}}(s_f) = (-1)^{r-n-1} = (-1)^n$ , since  $r$  is odd. This is the same as  $(-1)^{k+1}$ , since  $n+k+1$  is even.

$$s_F = \mathrm{diag}(\underbrace{-1, \dots, -1}_{2n+1-r}, \underbrace{1, \dots, 1}_{2r-2n-2}, \underbrace{-1, \dots, -1}_{2n+1-r}, \underbrace{1, \dots, 1}_{2n+1-r}, \underbrace{-1, \dots, -1}_{2r-2n-2}, \underbrace{1, \dots, 1}_{2n+1-r}),$$

and on the left side the number of  $-1$ s in odd position is  $2n+1-r$ , which is even, so  $\chi_{\mathrm{hol}}(s_F) = 1$ .  $\square$

We have  $\pi = \pi_G$  for some cuspidal Hecke eigenform  $G \in S_{k+n+1}(\mathrm{Sp}_{2n+1}(\mathbb{Z}))$ , and we get  $L(s, G, \mathrm{St})$

$$= L(s, h, \mathrm{St}) \prod_{i=1}^{2n+1-r} L\left(s + \frac{2n-r}{2} + 1 - i, F, \mathrm{spin}\right) \prod_{j=1}^{2r-2n-2} L(f, s + k + n - r + j).$$

Note that in the case  $r = n + 1$ ,  $f$  does not appear.

## 6. CONGRUENCES BETWEEN LIFTS AND “NON-LIFTS”

**6.1. Congruences between Ikeda lifts and non-Ikeda lifts.** The following is Theorem 4.7 of [Ka1]. The proof makes use of the proof by Katsurada and Kawamura [KK] of a conjecture of Ikeda on the Petersson norm of his lift. The normalised  $L$ -values  $L_{\mathrm{alg}}(f, k)$  and  $L_{\mathrm{alg}}(2i + 1, f, \mathrm{St})$  are obtained from  $L(f, k)$  and  $L(2i + 1, f, \mathrm{St})$  by dividing by suitably normalised Deligne periods, as explained in [BD, §4]. For  $L_{\mathrm{alg}}(f, k)$ , the Deligne period is as constructed in [Ka1, §4], using parabolic cohomology with integral coefficients. (Since  $q > 2k$ , we may ignore various factorials of small numbers.) For  $L_{\mathrm{alg}}(2i + 1, f, \mathrm{St})$  it is essentially a product  $\Omega^+ \Omega^-$  of normalised Deligne periods for  $L(f, s)$  [Du, Lemma 5.1], but given the condition (2) below, this is as good as the  $\langle f, f \rangle$  used by Katsurada (see condition (3) in [Ka1, Theorem 4.7]).

**Theorem 6.1.** *For  $k, g \geq 2$  even, and  $f \in S_{2k-g}(\mathrm{SL}(2, \mathbb{Z}))$  a Hecke eigenform, let  $F \in S_k(\mathrm{Sp}_g(\mathbb{Z}))$  be the Ikeda lift, as in §5.1 above. Suppose that  $k \geq 2g + 4$  and that  $q > 2k$  is a prime number such that, for some divisor  $\mathfrak{q} \mid q$  in a sufficiently large number field,*

$$\mathrm{ord}_{\mathfrak{q}}(L_{\mathrm{alg}}(f, k) \prod_{i=1}^{(g/2)-1} L_{\mathrm{alg}}(2i + 1, f, \mathrm{St})) > 0.$$

Suppose further that

- (1) for some even integer  $t$  with  $k + 2 \leq t \leq 2k - 2g - 2$ , and some fundamental discriminant  $D$  with  $(-1)^{g/2} D > 0$ ,

$$\mathrm{ord}_{\mathfrak{q}} \left( \frac{\zeta(t + g - k)}{\pi^{t+g-k}} \left( \prod_{i=1}^g L_{\mathrm{alg}}(f, t + i - 1) \right) L_{\mathrm{alg}}(f, (k - 2g)/2, \chi_D) D \right) = 0,$$

where  $\chi_D$  is the associated quadratic character, and the Dirichlet  $L$ -value is normalised as in [Ka1];

- (2) there is not a congruence mod  $\mathfrak{q}$  of Hecke eigenvalues between  $f$  and another Hecke eigenform in  $S_{2k-g}(\mathrm{SL}(2, \mathbb{Z}))$ ;
- (3) if  $g > 2$ ,  $q \nmid \prod_{p \leq \frac{2k-g}{12}, p \text{ prime}} (1 + p + p^2 + \dots + p^{g-1})$ .

Then there exists a Hecke eigenform  $G \in S_k(\mathrm{Sp}_g(\mathbb{Z}))$ , not the Ikeda lift of any Hecke eigenform  $h \in S_{2k-g}(\mathrm{SL}(2, \mathbb{Z}))$ , such that for any prime  $p$ , corresponding Hecke eigenvalues for  $F$  and  $G$ , for all the Hecke operators  $T(p)$  and  $T_i(p^2)$  ( $1 \leq i \leq g$ ), are congruent mod  $\mathfrak{q}$ .

Ikeda proved only that  $F$  is a Hecke eigenform for the  $T_i(p^2)$  (defined in [Ka1, §2]), which generate a Hecke algebra associated with the pair  $(\mathrm{Sp}_g(\mathbb{Q}_p), \mathrm{Sp}_g(\mathbb{Z}_p))$ , but Katsurada [Ka1, Proposition 4.1] extended this to  $T(p)$ , which with the  $T_i(p^2)$  generates a Hecke algebra associated with  $(\mathrm{GSp}_g(\mathbb{Q}_p), \mathrm{GSp}_g(\mathbb{Z}_p))$ . (See also the

final paragraph of §3 above.) If we ignore the  $T(p)$  then the congruence in the theorem is equivalent to a congruence (for all  $p$ ) of Satake parameters

$$c_p(\pi_F) \equiv c_p(\pi_G) \pmod{\mathfrak{q}},$$

(or strictly speaking  $p^{kg-g(g+1)/2}c_p(\pi_F) \equiv p^{kg-g(g+1)/2}c_p(\pi_G) \pmod{\mathfrak{q}}$ ), with

$$c_p(\pi_F) = \text{diag}(\alpha_{1,F}, \dots, \alpha_{g,F}, 1, \alpha_{g,F}^{-1}, \dots, \alpha_{1,F}^{-1}) \in \hat{T}(\mathbb{C}),$$

and likewise for  $G$ . We should interpret the congruence as being between  $c_p(\pi_F)$  and some element in the orbit of  $c_p(\pi_G)$  under the action of a Weyl group that can permute the indices  $1, \dots, g$  and switch pairs  $\alpha_{i,F}$  and  $\alpha_{i,F}^{-1}$ , in fact  $c_p(\pi_F)$  really should be thought of as a conjugacy class in  $\hat{G}(\mathbb{C})$ , represented by the above element of  $\hat{T}(\mathbb{C})$ . To include  $T(p)$  as well, we would need to consider also  $\alpha_{0,F}$  with  $\alpha_{0,F}^2 \prod_{i=1}^g \alpha_{i,F} = 1$ , for each  $p$ .

**6.2. Congruences between Ikeda-Miyawaki lifts and non-Ikeda-Miyawaki lifts.** The following is taken from Conjecture B and Problem B' of [IKPY], which are inspired by a conjecture of Ikeda on the Petersson norm of the Ikeda-Miyawaki lift. The normalised  $L$ -values  $L_{\text{alg}}(2i+1, f, \text{St})$  are as above. The meaning of  $L_{\text{alg}}(f \otimes \text{Sym}^2 h, 2k+2n)$  in [IKPY] is left a little vague. In theory we take it as in [BD, §4]. Ibukiyama, Katsurada, Poor and Yuen use a practical substitute when they prove an instance of the congruence in [IKPY, §5].

**Conjecture 6.2.** *For Hecke eigenforms  $f \in S_{2k}(\text{SL}(2, \mathbb{Z}))$ ,  $h \in S_{k+n+1}(\text{SL}(2, \mathbb{Z}))$ , where  $k+n+1$  is even, let  $F \in S_{k+n+1}(\text{Sp}_{2n+1}(\mathbb{Z}))$  be the Ikeda-Miyawaki lift, as in §5.4. Suppose that  $q > 2k+2n-2$  is a prime number such that, for some divisor  $\mathfrak{q} \mid q$  in a sufficiently large number field,*

$$\text{ord}_{\mathfrak{q}}(L_{\text{alg}}(f \otimes \text{Sym}^2 h, 2k+2n) \prod_{i=1}^{n-1} L_{\text{alg}}(2i+1, f, \text{St})) > 0.$$

*Then there exists a Hecke eigenform  $G \in S_{k+n+1}(\text{Sp}_{2n+1}(\mathbb{Z}))$ , not the Ikeda-Miyawaki lift of any Hecke eigenforms  $f' \in S_{2k}(\text{SL}(2, \mathbb{Z}))$ ,  $h' \in S_{k+n+1}(\text{SL}(2, \mathbb{Z}))$ , such that for any prime  $p$ , corresponding Hecke eigenvalues for  $F$  and  $G$ , for all the Hecke operators  $T(p)$  and  $T_i(p^2)$  ( $1 \leq i \leq g$ ), are congruent mod  $\mathfrak{q}$ .*

Remarks about congruences of Satake parameters, similar to the previous subsection, apply.

## 7. ACCOUNTING FOR SOME OF THE CONGRUENCES

**7.1. Ikeda lifts and standard lifts:**  $L_{\text{alg}}(f, k)$ . We have  $2k-g = j+2\kappa-2$ ,  $k = j+\kappa$ , if  $(\kappa, j) = (k+2-g, g-2)$ , in agreement with §5.2 above. Harder's conjecture [H, vdG] may be formulated, given  $\mathfrak{q} \mid q$  with  $q > 2k-g$  and  $\text{ord}_{\mathfrak{q}}(L_{\text{alg}}(f, k)) > 0$ , as the existence of a Hecke eigenform  $F$  for  $\text{Sp}_2(\mathbb{Z})$ , of weight  $\det^{\kappa} \otimes \text{Sym}^j(\mathbb{C}^2)$ , such that if  $\pi_F^{\text{st}}$  is the associated automorphic representation of  $\text{Sp}_2(\mathbb{A})$  then for all primes  $p$ ,

$$c_p(\pi_F^{\text{st}}) \equiv \text{diag}(\alpha_p p^{(g-1)/2}, \alpha_p p^{(1-g)/2}, 1, \alpha_p^{-1} p^{(g-1)/2}, \alpha_p^{-1} p^{(1-g)/2}) \pmod{\mathfrak{q}},$$

where  $c_p(\pi_f) = \text{diag}(\alpha_p, \alpha_p^{-1})$ . The  $\frac{g-1}{2} = \frac{j+1}{2}$  is what we called  $s$  in [BD]. Note that if we let  $\alpha_{1,F} = \alpha_p p^s$ ,  $\alpha_{2,F} = \alpha_p p^{-s}$  and  $\alpha_{0,F} = \alpha_p^{-1}$  (so  $\alpha_0^2 \alpha_1 \alpha_2 = 1$ ) then

$$\alpha_{0,F} + \alpha_{0,F} \alpha_{1,F} + \alpha_{0,F} \alpha_{2,F} + \alpha_{0,F} \alpha_{1,F} \alpha_{2,F} = \alpha_p + \alpha_p^{-1} + p^{-s} + p^s,$$

which when scaled by  $p^{(j+2\kappa-3)/2}$  gives the familiar  $a_p(f) + p^{\kappa-2} + p^{j+\kappa-1}$  on the right hand side of Harder's conjecture (as a Hecke eigenvalue for  $T(p)$  on an induced representation). For simplicity we actually ignore  $T(p)$ , and consider only the Hecke algebra generated by  $T_1(p^2)$  and  $T_2(p^2)$ . This is because we are looking at an automorphic representation of  $\mathrm{Sp}_2(\mathbb{A})$  rather than of  $\mathrm{GSp}_2(\mathbb{A})$ . In [BD, §7], we looked at Harder's conjecture as a congruence of Hecke eigenvalues between a cuspidal automorphic representation of  $\mathrm{GSp}_2(\mathbb{A})$  and a representation induced from the Levi subgroup  $(\mathrm{GL}_1 \times \mathrm{GL}_2)(\mathbb{A})$  of the Siegel maximal parabolic (and worked it out explicitly only for  $T(p)$ ). Here we can either restrict to  $\mathrm{Sp}_2(\mathbb{A})$  or just consider directly  $\mathrm{Sp}_2$  with the Levi subgroup  $\mathrm{GL}_1 \times \mathrm{SL}_2$  of its Siegel parabolic.

Now  $c_p(\pi_f[g])$

$$= \mathrm{diag}(\alpha_p p^{(g-1)/2}, \alpha_p p^{(g-3)/2}, \dots, \alpha_p p^{(1-g)/2}, \alpha_p^{-1} p^{(g-1)/2}, \dots, \alpha_p^{-1} p^{(1-g)/2}),$$

and

$$c_p(\pi_f[g-2]) = \mathrm{diag}(\alpha_p p^{(g-3)/2}, \dots, \alpha_p p^{(3-g)/2}, \alpha_p^{-1} p^{(g-3)/2}, \dots, \alpha_p^{-1} p^{(3-g)/2}),$$

so the congruence can be read as

$$c_p(\pi_F^{\mathrm{st}} \oplus \pi_f[g-2]) \equiv c_p(\pi_f[g] \oplus [1]) \pmod{\mathfrak{q}}.$$

Comparing with §5.1 and §5.2, we see that in the case of  $\mathfrak{q} \mid L_{\mathrm{alg}}(f, k)$ , we can explain the congruence between the Ikeda lift and a non-Ikeda lift in Theorem 6.1 as a congruence between the Ikeda lift and a “standard lift” as constructed in §5.2. So the congruence in Theorem 6.1 is derived from that in Harder's conjecture via lifting to scalar-valued large genus forms. In the excluded case  $g = 2$ , Harder's conjecture is replaced by its degeneration, a congruence between a Saito-Kurokawa lift and non-lift, which does not require further lifting.

**7.2. Ikeda lifts and spinor lifts:**  $L_{\mathrm{alg}}(2i+1, f, \mathrm{St})$ . If  $r = 2i+1$  then as  $i$  runs from 1 to  $\frac{g}{2}-1$ ,  $r$  runs through odd numbers from 3 to  $g-1$ . We shall only be able to account for the congruence in Conjecture 6.1 if  $4 \mid g$  and  $\frac{g}{2}+1 \leq r \leq g-1$ . We also require  $q > 4k-2g$ . Let  $(\kappa, j) = (r+1, 2k-g-1-r)$ , so  $\kappa+j = 2k-g$  and  $r = s+1$ , where  $s = \kappa-2$  as in [BD, §6]. Then a conjectural congruence of Kurokawa-Mizumoto type (instances of which were proved in [Ku, Miz, Sa, Du]) may be formulated, given  $\mathrm{ord}_{\mathfrak{q}}(L_{\mathrm{alg}}(r, f, \mathrm{St})) > 0$ , as the existence of a Hecke eigenform  $F$  for  $\mathrm{Sp}_2(\mathbb{Z})$ , of weight  $\det^{\kappa} \otimes \mathrm{Sym}^j(\mathbb{C}^2)$ , such that if  $\pi_F^{\mathrm{spin}}$  is the associated automorphic representation of  $\mathrm{SO}(3, 2)(\mathbb{A})$  then for all primes  $p$ ,

$$c_p(\pi_F^{\mathrm{spin}}) \equiv \mathrm{diag}(\alpha_p p^{s/2}, \alpha_p p^{-s/2}, \alpha_p^{-1} p^{s/2}, \alpha_p^{-1} p^{-s/2}) \pmod{\mathfrak{q}},$$

where  $c_p(\pi_f) = \mathrm{diag}(\alpha_p, \alpha_p^{-1})$ . Note that the trace of the right hand side, when scaled by  $p^{(j+2\kappa-3)/2}$ , becomes the familiar  $a_p(f)(1+p^{\kappa-2})$ . Recalling that  $s = r-1$ , this would imply that  $c_p(\pi_F^{\mathrm{spin}}[g+1-r])$

$$\begin{aligned} &\equiv \mathrm{diag}(\alpha_p p^{(g-1)/2}, \dots, \alpha_p p^{(2r-g-1)/2}, \alpha_p p^{(1+g-2r)/2}, \dots, \alpha_p p^{(1-g)/2}, \\ &\alpha_p^{-1} p^{(g-1)/2}, \dots, \alpha_p^{-1} p^{(2r-g-1)/2}, \alpha_p^{-1} p^{(1+g-2r)/2}, \dots, \alpha_p^{-1} p^{(1-g)/2}). \end{aligned}$$

The right hand side is the “difference” between  $c_p(\pi_f[g])$  and  $c_p(\pi_f[2r-g-2])$ . Thus we can read the congruence as

$$c_p(\pi_F^{\mathrm{spin}}[g+1-r] \oplus \pi_f[2r-g-2] \oplus [1]) \equiv c_p(\pi_f[g] \oplus [1]),$$

i.e. as a congruence between the Ikeda lift and one of the “spinor lifts” constructed in §5.3. In the case of  $\mathfrak{q} \mid L_{\text{alg}}(2i+1, f, \text{St})$ , with  $4 \mid g$ ,  $\frac{g}{4} \leq i \leq \frac{g}{2} - 1$  and  $q > 4k - 2g$ , we can explain the congruence between the Ikeda lift and a non-Ikeda lift in Theorem 6.1 (at least if we ignore  $T(p)$ ) as a congruence between the Ikeda lift and a spinor lift. Thus the congruence in Theorem 6.1 is derived from that of Kurokawa-Mizumoto type via lifting to scalar-valued, large genus forms. Note that we have had to impose a stronger lower bound for  $q$ .

**7.3. Ikeda-Miyawaki lifts:**  $L_{\text{alg}}(f \otimes \text{Sym}^2 h, 2k+2n)$ . Recall that we consider Hecke eigenforms  $f \in S_{2k}(\text{SL}(2, \mathbb{Z}))$ ,  $h \in S_{k+n+1}(\text{SL}(2, \mathbb{Z}))$ , where  $k+n+1$  is even. Let  $a_p(f) = p^{(2k-1)/2}(\alpha_p + \alpha_p^{-1})$  and  $b_p(h) = p^{(k+n)/2}(\beta_p + \beta_p^{-1})$ . Let  $(a, b, c) = (k+n-3, k+n-3, k-n-1)$ , as in §5.5 above. Then  $b+c+4 = 2k$ ,  $a+4 = k+n+1$  (the weights of  $f$  and  $h$ ),  $a+b+6 = 2k+2n$  and  $s := \frac{b-c+1}{2} = \frac{2n-1}{2}$ . Comparing with [BD, §8, Case 2], the conjecture there (see also [BFvdG, Conjecture 10.8]), given  $\text{ord}_{\mathfrak{q}}(L_{\text{alg}}(f \otimes \text{Sym}^2 h, 2k+2n)) > 0$  with  $q > a+b+2c+8 = 4k$ , can be formulated (ignoring  $T(p)$ ) as the existence of a cuspidal Hecke eigenform  $F$  for  $\text{Sp}_3(\mathbb{Z})$ , vector-valued of type  $(a, b, c)$ , such that

$$c_p(\pi_F^{\text{st}}) \equiv \text{diag}(\alpha_p p^s, \alpha_p^{-1} p^s, \beta_p^2, 1, \beta_p^{-2}, \alpha_p p^{-s}, \alpha_p^{-1} p^{-s}) \pmod{\mathfrak{q}}.$$

To get the diagonal entries, apply the cocharacters  $f_1, f_2, f_3, 0, -f_3, -f_2, -f_1$  to  $\chi_p + s\tilde{\alpha} = -\log_p(\alpha_p)(e_1 - e_2) - \log_p(\beta_p) + s(e_1 + e_2)$  in [BD, §8], omitting  $e_0$  since we are really dealing with  $G = \text{Sp}_3$ ,  $M \simeq \text{GL}_2 \times \text{SL}_2$ .

Since  $c_p(\pi_h^{\text{st}}) = \text{diag}(\beta_p^2, 1, \beta_p^{-2})$ , and since  $s = \frac{2n-1}{2}$ , we can read this as

$$c_p(\pi_F^{\text{st}} \oplus \pi_f[2n-2]) \equiv c_p(\pi_h^{\text{st}} \oplus \pi_f[2n]) \pmod{\mathfrak{q}},$$

i.e. as a congruence between the Ikeda-Miyawaki lift and one of the lifts constructed in §5.5. Thus the congruence in Conjecture 6.2, between the Ikeda-Miyawaki lift and a non-Ikeda-Miyawaki lift, can be derived from the conjectured genus 3 Eisenstein congruence, via lifting to scalar-valued, large genus forms. Again, we have had to impose a stronger lower bound for  $q$ . In the excluded case  $n = 1$ , the Eisenstein congruence degenerates to a congruence between an Ikeda-Miyawaki lift and a non-Ikeda-Miyawaki lift, without any further lifting.

**7.4. Ikeda-Miyawaki lifts:**  $L_{\text{alg}}(2i+1, f, \text{St})$ . If  $r = 2i+1$  then as  $i$  runs from 1 to  $n-1$ ,  $r$  runs through odd numbers from 3 to  $2n-1$ . We shall only be able to account for the congruence in Theorem 6.2 if  $n+1 \leq r \leq 2n-1$ . We also require  $q > 4k$ . Let  $(\kappa, j) = (r+1, 2k-1-r)$ , so  $\kappa+j = 2k$  and  $r = s+1$ , where  $s = \kappa-2$  as in [BD, §6]. Then a conjecture of Kurokawa-Mizumoto type, given  $\text{ord}_{\mathfrak{q}}(L_{\text{alg}}(r, f, \text{St})) > 0$ , predicts the existence of a Hecke eigenform  $F$  for  $\text{Sp}_2(\mathbb{Z})$ , of weight  $\det^{\kappa} \otimes \text{Sym}^j(\mathbb{C}^2)$ , such that if  $\pi_F^{\text{spin}}$  is the associated automorphic representation of  $\text{SO}(3, 2)(\mathbb{A})$  then for all primes  $p$ ,

$$c_p(\pi_F^{\text{spin}}) \equiv \text{diag}(\alpha_p p^{s/2}, \alpha_p p^{-s/2}, \alpha_p^{-1} p^{s/2}, \alpha_p^{-1} p^{-s/2}) \pmod{\mathfrak{q}},$$

where  $c_p(\pi_f) = \text{diag}(\alpha_p, \alpha_p^{-1})$ . Recalling that  $s = r-1$ , this would imply that  $c_p(\pi_F^{\text{spin}}[2n+1-r])$

$$\begin{aligned} &\equiv \text{diag}(\alpha_p p^{(2n-1)/2}, \dots, \alpha_p p^{(2r-2n-1)/2}, \alpha_p p^{(1+2n-2r)/2}, \dots, \alpha_p p^{(1-2n)/2}, \\ &\alpha_p^{-1} p^{(2n-1)/2}, \dots, \alpha_p^{-1} p^{(2r-2n-1)/2}, \alpha_p^{-1} p^{(1+2n-2r)/2}, \dots, \alpha_p^{-1} p^{(1-2n)/2}). \end{aligned}$$



The right hand side is the “difference” between  $c_p(\pi_f[2n])$  and  $c_p(\pi_f[2r - 2n - 2])$ . Thus we can read the congruence as

$$c_p(\pi_h^{\text{st}} \oplus \pi_F^{\text{spin}}[2n + 1 - r] \oplus \pi_f[2r - 2n - 2]) \equiv c_p(\pi_h^{\text{st}} \oplus \pi_f[2n]),$$

i.e. as a congruence between the Ikeda-Miyawaki lift and one of the lifts constructed in §5.6. In the case of  $\mathfrak{q} \mid L_{\text{alg}}(2i + 1, f, \text{St})$ , with  $\lceil \frac{n}{2} \rceil \leq i \leq n - 1$  and  $q > 4k$ , we can explain the congruence between the Ikeda-Miyawaki lift and a non-Ikeda-Miyawaki lift in Conjecture 6.2 (at least if we ignore  $T(p)$ ) as a congruence between the Ikeda-Miyawaki lift and a lift from §5.6. Thus the congruence in Conjecture 6.2 is derived from that of Kurokawa-Mizumoto type via lifting to scalar-valued, large genus forms. Again, we have had to impose a stronger lower bound for  $q$ .

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