This is a repository copy of *Nonlinear Tidal Flows in Short-Period Planets*.

White Rose Research Online URL for this paper:
http://eprints.whiterose.ac.uk/115805/

Version: Published Version

NONLINEAR TIDAL FLOWS IN SHORT-PERIOD PLANETS

A.J. Barker[1,2]

Abstract. I discuss two related nonlinear mechanisms of tidal dissipation that require finite tidal deformations for their operation: the elliptical instability and the precessional instability. Both are likely to be important for the tidal evolution of short-period extrasolar planets. The elliptical instability is a fluid instability of elliptical streamlines, such as in tidally deformed non-synchronously rotating or non-circularly orbiting planets. I summarise the results of local and global simulations that indicate this mechanism to be important for tidal spin synchronisation, planetary spin-orbit alignment and orbital circularisation for the shortest period hot Jupiters. The precessional instability is a fluid instability that occurs in planets undergoing axial precession, such as those with spin-orbit misalignments (non-zero obliquities). I summarise the outcome of local MHD simulations designed to study the turbulent damping of axial precession, which suggest this mechanism to be important in driving tidal evolution of the spin-orbit angle for hot Jupiters. Avenues for future work are also discussed.

1 Introduction

Tidal interactions between short-period planets and their host stars are thought to play an important role in the evolution of the planetary orbit as well as the stellar and planetary spins (*e.g.* Zahn 2013; Mathis *et al.* 2013; Ogilvie 2014). The clearest evidence of tidal evolution in extrasolar planetary systems is the eccentricity distribution of the approximately Jupiter-mass planets (here taken to mean masses $M \geq 0.3 M_J$), which is shown in Figure 1. Planets with $P > 10\,\mathrm{d}$ have a wide range of eccentricities, whereas the population with $P < 10$ d has much lower eccentricities and displays a strong preference for circular orbits. Tidal dissipation inside the planet (and perhaps partly also the star) is thought to be responsible for this dichotomy, since it tends to damp the orbital eccentricities of

---

[1] Department of Applied Mathematics, School of Mathematics, University of Leeds, Leeds, LS2 9JT, UK

[2] Department of Applied Mathematics and Theoretical Physics, University of Cambridge, Centre for Mathematical Sciences, Wilberforce Road, Cambridge CB3 0WA, UK
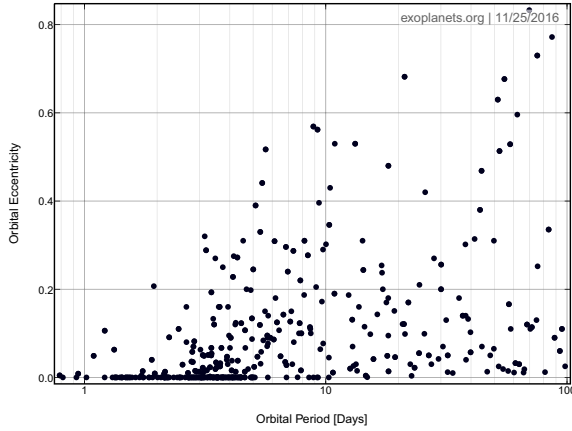
Fig. 1. Eccentricity distribution of Jupiter-mass extrasolar planets (with $M \geq 0.3 M_J$ and $P < 100$ d). Planets with $P > 10$ d have a wide range of eccentricities, whereas the population with $P < 10$ d has much lower eccentricities and displays a strong preference for circular orbits. This provides strong evidence of the important role of tidal dissipation in shaping planetary orbits.

the shortest-period planets (it may also have played a role in their formation if they underwent a period of "high eccentricity migration" to attain such periods *e.g.* Wu & Lithwick 2011; Naoz *et al.* 2011; Anderson *et al.* 2016). The timescale for this evolution depends on the mechanisms of tidal dissipation, which are only partly understood theoretically. Here, I will focus on nonlinear tidal mechanisms that require finite amplitude tidal deformations. This is partly because nonlinear effects are the least well understood, but more importantly it is because their consideration is likely to be essential for understanding the tidal evolution of short-period planets.

The (dimensionless) tidal deformations of short-period planets can be estimated by (the height of the tide is approximately $\epsilon_T R_p$)

$$\epsilon_T = \frac{m_\star}{m_p} \left(\frac{R_p}{a} \right)^3 \approx 0.01 \left(\frac{P}{1\,\text{d}} \right)^{-2}, \qquad (1.1)$$

where $m_\star$ and m_p are the stellar and planetary masses, R_p is the planetary radius, a is the orbital semi-major axis, and P is the orbital period (taking $m_p = M_J$, $m_\star = M_\odot$ and $R_p = R_J$ on the right hand side). The most extreme current example is WASP-19 b (Hebb *et al.* 2010), with its 0.78 d orbital period, giving $\epsilon_T \sim 0.05$. This is no longer a small parameter, indicating that nonlinear effects could be important even for large-scale tidal flows in such a body. This can be compared with the tides in Jupiter and Saturn due to their most massive satellites ($\epsilon_T \sim 10^{-7}$), where nonlinear effects may be much less important for the largest-scale tidal flows (though they could still be important in damping tidally-excited short-wavelength waves).
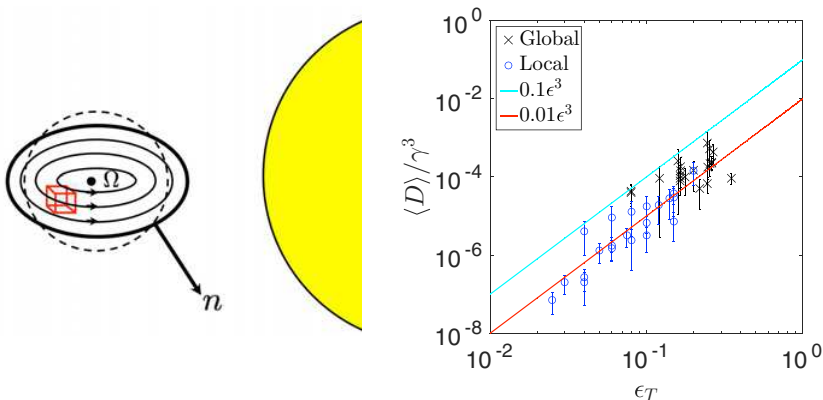
Fig. 2. *Left:* Illustration of global elliptical flow inside the planet viewed from above (the rotation axis Ω is pointing towards us), also indicating the local model considered by Barker & Lithwick (2013, 2014). *Right:* Results of local Cartesian (MHD) and global ellipsoidal (hydrodynamic) simulations of the elliptical instability, showing that the turbulent (volume and time-averaged) dissipation is consistent with a simple cubic scaling with $\epsilon_T \gamma$.

In this paper, I will discuss two related nonlinear tidal mechanisms: the elliptical instability and the precessional instability. The former occurs in fluids with elliptical streamlines (see also the related paper by Favier 2017), such as in tidally deformed planets, and the latter occurs in fluid bodies that undergo axial precession, such as planets with misaligned spins and orbits (nonzero obliquities). Both are parametric instabilities driven by the periodic time-dependence of fluid properties around a streamline, leading to the excitation of inertial waves (restored by the Coriolis force) in the planetary interior. And both mechanisms are likely to be important for tidal evolution of short-period planets.

2 Elliptical instability

The elliptical instability is a fluid instability of elliptical streamlines, such as the large-scale non-wave-like tidal flow in a planet that is non-synchronously rotating or has an eccentric orbit (see the left panel of Fig. 2 for illustration). The simplest case for illustration is a planet on a circular orbit but that is non-synchronously rotating (with an aligned or anti-aligned spin axis). In the frame rotating at the rate Ω about the spin axis, the elliptical deformation has frequency 2γ, where $\gamma = n - \Omega$. Inertial waves exist with frequencies $|\omega| < 2|\Omega|$. If a pair of these waves (with subscripts 1 & 2) has frequencies such that $|\omega_1 \pm \omega_2| \approx 2|\gamma|$, then the superposition of one of these waves with the deformation can excite the other wave, and *vice versa*, leading to instability. Consideration of the spatial structure of the waves leads to the additional requirement that the azimuthal wavenumbers

and harmonic degrees satisfy $|m_1 \pm m_2| = 2$ (since the deformation has $m = 2$) and $\ell_1 = \ell_2$. The maximum growth rate (which typically occurs when $|\omega_1| \approx |\omega_2| \approx \gamma$) is (*e.g.* Kerswell 2002)

$$\sigma \sim \epsilon_T \gamma f(n, \gamma), \tag{2.1}$$

where $f(n, \gamma)$ is a dimensionless function of n and γ. In the limit $\epsilon_T \ll 1$, instability occurs if $-1 \leq \frac{n}{\Omega} \leq 3$, but is also possible outside of this range (particularly for anti-aligned spins with $\frac{n}{\Omega} \lesssim -1$, if ϵ_T is sufficiently large, due to the finite resonant widths *e.g.* Barker *et al.* 2016).

The instability leads to turbulence in the planetary interior that produces enhanced tidal dissipation. In hydrodynamical simulations (Barker 2016a), the instability generates differential rotation in the planetary interior in the form of zonal flows (or columnar vortices in a local Cartesian model, at least if $\epsilon_T \lesssim 0.15$; Barker & Lithwick 2013), which control the saturation of the instability, leading to cyclic, predator-prey-like dynamics (where zonal flows act as the predator and waves the prey) with intermittent dissipation. Zonal flows have also been observed in experiments of the elliptical instability (*e.g.* Le Bars *et al.* 2015; Favier *et al.* 2015). In the presence of weak magnetic fields (Barker & Lithwick 2014), or an alternative frictional mechanism that damps zonal flows (*e.g.* Le Reun *et al.* 2017), the instability leads to sustained turbulence with significantly enhanced dissipation over that of the laminar tidal flow.

The (volume and time-averaged) turbulent dissipation rate D resulting from sustained instability can be simply estimated. Nonlinearities saturate growth of the unstable waves if $u\lambda^{-1} \sim \sigma$, where u is a typical velocity and λ is the wavelength of the dominant waves. Kinetic energy is dissipated at the rate $D \sim \sigma u^2 m_p \sim \epsilon_T^3 \gamma^3 \lambda^2 m_p$, where we have neglected taken $f(n, \gamma) = 1$ for simplicity. For numerically accessible $\epsilon_T \sim 0.01 - 0.3$ (including the relevant regime for the shortest-period planets such as WASP-19 b), both local and global simulations are consistent with this simple argument, as we show in Figure 2, with $D \approx \chi_E \epsilon_T^3 \gamma^3 R_p^2 m_p$, where $\chi_E \approx 0.1$ provides an approximate upper bound on D (though χ_E does vary to some extent with n, as does σ). It remains to be seen whether this scaling would persist for much smaller ϵ_T, when the instability is more likely to excite very short-wavelength waves ($\lambda \ll R_p$). Also, these arguments neglect the inhibiting effects of zonal flows that lead to intermittent rather than sustained turbulence. Hence, this scaling is best thought of as an approximate upper bound on the dissipation (due to bulk turbulence) if we want to extrapolate to smaller ϵ_T.

In the frequency range where the instability operates, the resulting turbulent dissipation would drive tidal synchronisation (and evolution of the planetary spin-orbit angle ψ) on a timescale

$$\tau_\Omega \approx \tau_\psi \approx 1\,\mathrm{Gyr} \left(\frac{0.1}{\chi_E}\right) \left(\frac{P}{14.7\,\mathrm{d}}\right)^6 \left(\frac{P_{\mathrm{rot}}}{1\,\mathrm{d}}\right), \tag{2.2}$$

where P_{rot} is the planetary rotation period, and circularisation on a timescale

$$\tau_e \approx 1\,\mathrm{Gyr} \left(\frac{0.1}{\chi_E}\right) \left(\frac{P}{2.8\,\mathrm{d}}\right)^{\frac{25}{3}}, \tag{2.3}$$

where we have taken the planet to have Jupiter's mass and radius (and radius of gyration) and the star to be Sun-like for both estimates[1] (Barker 2016a). Hence, this instability could be important for tidal evolution of the shortest-period hot Jupiters, and we would predict orbital circularisation for $P \lesssim 3$ d, and spin synchronisation and alignment for $P \lesssim 15$ d, due to this mechanism acting in isolation. However, additional mechanisms appear to be required to explain tidal circularisation for $3\,\mathrm{d} \lesssim P \lesssim 10\,\mathrm{d}$ (Fig. 1), such as tidal dissipation of linearly-excited inertial waves (and their linear or nonlinear damping) in planets with a core (*e.g.* Ogilvie & Lin 2004), or dissipation in the solid core itself (Remus *et al.* 2012; Storch & Lai 2014).

3 Precessional instability

The spin axis of a rotationally deformed planet is forced to precess about its orbital angular momentum vector, due to the tidal gravity of its host star, if these directions are misaligned (if the spin-orbit angle $\psi \neq 0, 90°, 180°$). A typical period of axial precession is

$$P_p = \frac{2\pi}{\Omega_p} \approx 1.3\,\mathrm{yr} \left(\frac{P}{10\,\mathrm{d}} \right)^2 \left(\frac{P_{\mathrm{rot}}}{10\,\mathrm{hr}} \right), \tag{3.1}$$

where $\Omega_p \equiv \epsilon_p \Omega \propto \epsilon_T \Omega$ is the precession rate (ϵ_p is a dimensionless version), for a Jupiter-mass and radius planet orbiting a Sun-like star. This precession is potentially observable for some transiting planets through transit depth variations (*e.g.* Carter & Winn 2010), which would provide important constraints on the oblateness and interior structure of the planet. However, axial precession would only occur in single planet systems (and be potentially observable) if ψ can remain significantly misaligned. Tidal evolution is expected to ultimately lead to alignment ($\psi \rightarrow 0°$). One mechanism that could play a role in driving this tidal evolution is the precessional instability, which will now be introduced.

Axial precession induces internal flows inside the planet that are time-periodic, with frequency $|\Omega|$ in the frame rotating with the planet. Pairs of inertial waves can be driven unstable if $|\omega_1 \pm \omega_2| \sim |\Omega|$, and the fastest growing modes typically have $|\omega_1| \sim |\omega_2| \sim |\Omega|/2$, with a maximum growth rate (Kerswell 1993)

$$\sigma \sim \Omega_p. \tag{3.2}$$

The nonlinear evolution of this instability in a local Cartesian model behaves just like the elliptical instability (Barker & Lithwick 2013; Barker 2016b). For small ϵ_p, the purely hydrodynamical instability generates columnar vortices which inhibit sustained instability and lead to cyclic, predator-prey-like dynamics, with intermittent turbulent dissipation. The addition of a weak magnetic field eliminates these vortices and leads to sustained turbulence. Global simulations are

---

[1] Note that these are much more strongly period dependent than a linear tidal mechanism or by a naive constant Q (or constant lag-time) model.
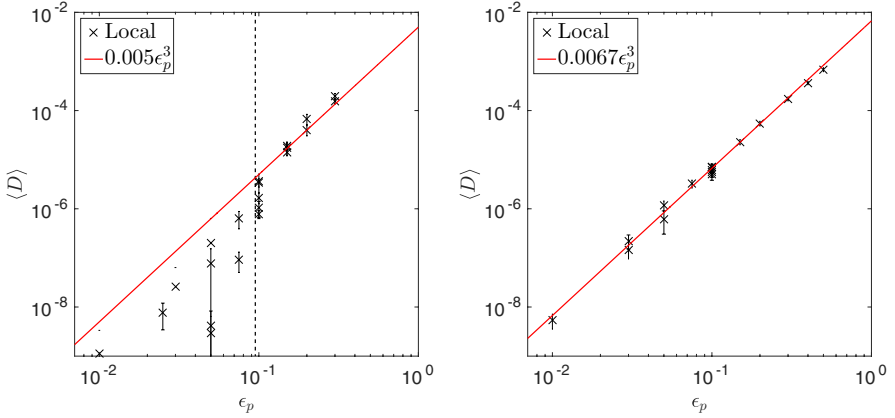
Fig. 3. Turbulent (volume and time-averaged) dissipation from local Cartesian hydrodynamic (*left*), and MHD (*right*) simulations with an initially weak magnetic field as a function of the dimensionless precession rate ϵ_p. There is a regime transition in the hydrodynamic simulations, where columnar vortices inhibit sustained instability for $\epsilon_p \lesssim 0.1$. The MHD simulations are consistent with a simple cubic scaling with the precession rate over the simulated range, and appear to be approximately independent of the diffusivities.

currently in progress, where we expect the instability to generate zonal flows, and for these to subsequently control the dissipation (this was demonstrated already in the specific case of an initially anti-aligned spin, once it had evolved away from pure anti-alignment, in Barker 2016a, where the elliptical instability was also present).

The turbulent dissipation resulting from this instability can be estimated in the same way as for the elliptical instability outlined above. The local MHD (and also, approximately, the "bursty" hydrodynamic) simulations are consistent with $D \approx \chi_p \Omega_p^3 R_p^2 m_p$ (with turbulent velocities $u \sim \Omega_p R_p$), with $\chi_p \approx 0.01$, over the range $\epsilon_p \sim 0.01$–0.5, as we show in Figure 3. This range is somewhat larger than the realistic values of ϵ_p, requiring us to extrapolate the scaling to probe the astrophysical regime. It remains to be seen whether this scaling would persist for much smaller ϵ_p, so it is best thought of as an approximate upper bound on the bulk turbulent dissipation when $\epsilon_p \ll 1$.

The consequences of this turbulent dissipation are tidal evolution of the spin-orbit angle ψ for short-period planets, which evolves due to this mechanism acting in isolation according to (Lai 2012; Ogilvie 2014)

$$\frac{d\psi}{dt} = -\frac{\sin\psi\cos^2\psi}{\tau_\psi}\left(\cos\psi + \alpha\right), \tag{3.3}$$

where α is the ratio of planetary spin to orbital angular momentum and

$$\tau_\psi \approx 1\,\mathrm{Gyr}\left(\frac{0.01}{\chi_p}\right)\left(\frac{P}{18\,\mathrm{d}}\right)^6\left(\frac{P_{\mathrm{rot}}}{10\,\mathrm{hr}}\right) \tag{3.4}$$

is the timescale for ψ to evolve towards alignment ($\psi = 0°$) if $|\psi|$ is small (Barker 2016b), for a Jupiter-mass and radius planet around the current Sun. This is also the timescale for ψ to evolve towards anti-alignment ($\psi = 180°$), if $|\psi - 180°|$ is small. The corresponding timescale if $\psi \sim 90°$ is larger by a factor $\alpha^{-1} \gtrsim 10^3$, indicating that most planets with nearly perpendicular spins will not undergo appreciable evolution by this mechanism acting in isolation. However, other tidal mechanisms will also contribute, and in general we expect the ultimate tidal evolution to be towards alignment. Nevertheless, this crude estimate suggests that the precessional instability could be important for tidal evolution of the spin-orbit angle for the shortest-period hot (and warm) Jupiters with $P \lesssim 18 \, \mathrm{d}$.

4   Discussion

I have discussed two related nonlinear mechanisms of tidal dissipation that require finite-amplitude tidal deformations for their operation, that could both be important for the spin-orbit evolution of short-period extrasolar planets.

The elliptical instability occurs in tidally deformed fluid bodies. Local and global simulations suggest that it could drive tidal spin synchronisation (and spin-orbit alignment) for hot (and warm) Jupiters with[2] $P \lesssim 10$–$15 \, \mathrm{d}$, and tidal circularisation for $P \lesssim 2$–$3 \, \mathrm{d}$. Other mechanisms are probably required to explain the small eccentricities of planets with $3 \, \mathrm{d} \lesssim P \lesssim 10 \, \mathrm{d}$, shown in Figure 1.

The precessional instability occurs in axially precessing fluid bodies, such as in rotating planets with spin-orbit misalignments. Simulations using a local model suggest that it can drive tidal evolution of the spin-orbit angle (towards alignment or anti-alignment, depending on the initial value) for planets with $P \lesssim 10$–$18 \, \mathrm{d}$ (Barker 2016b). Global simulations of this instability are currently in progress.

The models that have been adopted so far to study these instabilities are extremely idealised, mainly restricted to homogeneous fluid bodies. Real planets and stars are certainly not homogeneous, but exploring such models constituted a necessary first step. Future work is required to simulate the instability in more realistic planetary models (*i.e.* with a realistic density and entropy stratification), including the presence of an inner core (*e.g.* by extending Favier *et al.* 2014 to adopt more realistic boundary conditions and consider larger tidal amplitudes), as well as the influence of magnetic fields in global models. The effect of zonal flows on the saturation of these instabilities in the astrophysically relevant regime of small deformations (or precession rates), as well as vanishingly small viscosities should be considered, as should the coexistence of both types of instability, and these instabilities with turbulent convection. Clearly, much further work is required to understand tidal dissipation in planets and stars, and for us to be able to explain the astrophysical observations.

---

[2] The lower limit to these ranges is based on a modified scaling of D with ϵ_T that takes into account that $\lambda \ll R_p$ when $\epsilon_T \ll 1$ (Barker 2016a), which is also consistent with simulations for accessible values of $\epsilon_T \gtrsim 0.01$.

References

Anderson, K.R., Storch, N.I., & Lai, D., 2016, MNRAS, 456, 3671

Barker, A.J., & Lithwick, Y., 2013, MNRAS, 435, 3614

Barker, A.J., & Lithwick, Y., 2014, MNRAS, 437, 305

Barker, A.J., Braviner, H.J., & Ogilvie, G.I., 2016, MNRAS, 459, 924

Barker, A.J., 2016a, MNRAS, 459, 939

Barker, A.J., 2016b, MNRAS, 460, 2339

Carter, J.A., & Winn, J.N., 2010, ApJ, 716, 850

Hebb, L., *et al.*, 2010, ApJ, 708, 224

Favier, B., 2017, this volume

Favier, B., Barker, A.J., Baruteau, C., & Ogilvie, G.I., 2014, MNRAS, 439, 845

Favier, B., Grannan, A.M., Le Bars, M., & Aurnou, J.M., 2015, Phys. Fluids, 27, 6

Le Bars, M., Cébron, D., & Le Gal, P., 2015, Ann. Rev. Fluid. Mech., 47, 163

Le Reun, T., Favier, B., Barker, A.J., & Le Bars, M., 2017, submitted

Kerswell, R.R., 1993, GAFD, 72, 107

Kerswell, R.R., 2002, Ann. Rev. Fluid. Mech., 34, 83

Lai, D., 2012, MNRAS, 423, 486

Mathis, S., *et al.*, 2013, in *Tides in Astronomy and Astrophysics*, Lecture Notes in Physics, Volume 861, Springer-Verlag Berlin Heidelberg, p. 255

Naoz, S., Farr, W.M., Lithwick, Y., & Rasio, F., 2011, Nature, 473, 7346

Remus, F., Mathis, S., Zahn, J-P., & Lainey, V., 2012, A&A, 541, 165

Storch, N., & Lai., D., 2014, MNRAS, 438, 1526

Ogilvie, G.I., 2004, ApJ, 610, 477

Ogilvie, G.I., 2014, ARA& A, 52, 171

Wu, Y., & Lithwick, Y., 2011, ApJ, 735, 109

Zahn, J-P., 2013, in *Tides in Astronomy and Astrophysics*, Lecture Notes in Physics, Volume 861, Springer-Verlag Berlin Heidelberg, p. 301