



# Buse, B., Kearns, S., Clapham, C., & Hawley, D. (2016). Decontamination in Electron Probe Microanalysis with a Peltier-Cooled Cold Finger. Microscopy and Microanalysis, 22(5), 981-986. DOI: 10.1017/S1431927616011715

Peer reviewed version

Link to published version (if available): 10.1017/S1431927616011715

Link to publication record in Explore Bristol Research PDF-document

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# 1 Decontamination in the EPMA with a Peltier-cooled cold finger

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### 4 Abstract

- 5 A prototype Peltier thermoelectric cooling unit has been constructed to cool a cold finger on an
- 6 electron microprobe. The Peltier unit was tested at 15W and 96W, achieving cold finger
- 7 temperatures of -10°C and -27°C respectively. The Peltier unit did not adversely affect the analytical
- 8 stability of the instrument. Heat conduction between the Peltier unit mounted outside the vacuum
- 9 and the cold finger was found to be very efficient. Under Peltier-cooling, the vacuum improvement
- associated with water-vapour deposition is not achieved; this has the advantage of avoiding the
- severe degradation of the vacuum observed when warming up a cold finger from liquid nitrogen
- 12 temperatures. Carbon contamination rates reduce as cooling commences; by -27°C contamination
- 13 rates were found to be comparable to liquid nitrogen cooled devices. Peltier cooling therefore
- 14 provides a viable alternative to liquid nitrogen-cooled cold fingers, with few of their associated
- 15 disadvantages.

### 16 Introduction

- 17 Liquid nitrogen (LN<sub>2</sub>) cold fingers have been routinely used in electron probe microanalysis (EPMA)
- 18 for many years to reduce carbon contamination and thereby aid the analysis of light elements
- 19 (Bastin & Heijligers 1986, 1988, 2011). A key application driving developments in anticontamination
- 20 for EPMA was and remains the analysis of low concentration carbon in ferrous alloys (Ong 1966,
- 21 Swaroop 1973 and Yamashita et al. 2016).
- 22 Quantification at low accelerating potentials using field-emission gun EPMA (FEG-EPMA) to provide
- 23 high spatial resolution, has become an important new area where contamination poses a significant
- 24 problem (Merlet & Llovet 2012; Buse & Kearns 2015).At such low voltage or low over-voltage
- 25 conditions, surface contamination build-up results in a significant reduction in the landing energy of
- 26 the beam, as well as contributing to additional absorption of emitting X-rays (Reed 1975). The
- 27 growth of low-voltage FEG-EPMA creates an impetus for developing a convenient and continuous
- 28 anti-contamination device.
- 29 Previous studies have suggested that cooling a cold finger to the temperature of liquid nitrogen was
- 30 not required for effective decontamination. Indeed, temperatures in the range of -15 °C to -70 °C are
- found to be effective for cold fingers of different geometries and distances from the sample
- 32 (Komoda & Morito 1960; Borile & Garulli 1978; Ranzetta & Scott 1966; Ennos 1954; Hirsch et al.
- 1994). A detailed study by Hirsch et al. (1994) used a cold finger with the geometry of a cage
- 34 surrounding, but not in contact, with the sample and cooled by LN<sub>2</sub> to varying temperatures. They
- 35 demonstrated that temperatures of -25 °C were comparable to –135 °C in reducing contamination.
- 36 The implication that the temperature required can be significantly higher than LN<sub>2</sub> presents the
- 37 opportunity for using a Peltier thermoelectric cooling unit. Peltier devices readily achieve -25°C (50°C
- 38 below ambient) and more powerful or stacked devices can achieve greater degrees of cooling,
- approaching 80 90°C from ambient (e.g. Hsu et al. 1996). The principal advantage of Peltier cooling
- 40 is the ability to run the cold finger over long periods of time, not limited by the size of a nitrogen
- 41 dewar and the requirement to keep it filled. The absence of liquid nitrogen and the ability to run
- 42 continuously would allow for the routine use of a cold finger for low voltage and light element

- 43 analysis. Laboratory managers will breathe a collective sigh of relief that the burden of keeping a
- 44 cryogenic liquid in their domain has passed.
- 45 In this proof-of-concept study we have constructed a prototype Peltier-cooled cold finger. Using this
- 46 prototype we have checked instrument analytical stability and assessed its effectiveness in anti-47 contamination.

## 48 Materials and Methods

- 49 A Peltier-cooled cold finger was constructed by modifying a JEOL LN<sub>2</sub> cold finger on a JEOL JXA8530F.
- 50 The  $LN_2$  flask was removed and replaced with a water-cooled Peltier system as shown in figure 1.
- 51 The Peltier unit is mounted outside the vacuum and consists of two Peltier devices (TEC1-12706) on
- 52 either side of a central aluminium block. This central block is cooled and attached to the existing
- copper rod and cold finger of the JEOL cold finger assembly (see figure 1a-c), with each Peltier device
- 54 possessing a water-cooled heat sink. To remove electrical interference on the electron beam the
- central aluminium block and the heat sinks were connected to earth.
- 56 The temperature of the cold finger was measured using a k-type thermocouple attached to the cold
- 57 finger inside the chamber (figure 1d). For greater sensitivity the vacuum was measured using the
- 58 millivolt read-out from the JEOL Penning gauge calibrated to the digital output.
- 59 Analyses were conducted on a carbon-coated polished and radite sample at 5 kV, 10 nA with a 1  $\mu m$
- $60 \qquad \text{beam size and a 10}\,\mu\text{m spacing between analyses. Carbon-coated and$  $radite was chosen as carbon is}$
- 61 commonly used as a coating material in the analysis of silicate materials, developing on the work of
- 62 Buse & Kearns (2015) examining methods of mitigating contamination in high-resolution low-voltage
- 63 silicate analysis. After inserting samples into the analysis chamber, the instrument was left pumping
- 64 for 2-3 hours to recover vacuum prior to cooling the cold finger. The initial vacuum at the start of the
- cooling experiments was comparable for both the Peltier test ( $3.6-3.7 \times 10^{-4}$  Pa) and the LN<sub>2</sub> test (3.6
- 66 x 10<sup>-4</sup> Pa).
- 67 Calibrated backscattered electron (BSE) images were used to measure the amount of contamination
- 68 build-up adjacent to the beam, similar to the method described by Buse & Kearns (2015). The BSE
- 69 image intensity was calibrated for carbon thickness using two andradite samples with carbon coat
- thicknesses of 25 nm (the irradiated sample) and 32 nm respectively (measured in Buse & Kearns
- 2015, using the thin film package GMRFilm). The contamination was measured by extracting line
   profiles through analysis spots from the calibrated images. For each contamination measurement
- 72 profiles through analysis spots from the calibrated images
- the average of 3-4 analysis points was used.

# 74 Results

# 75 Beam stability, temperature and vacuum

- 76 The Peltier unit does not degrade beam stability the probe current remained stable whilst the
- 77 Peltier unit was operating (Figure 2a) and beam shift when turning the Peltier unit on was 20 nm
- 78 (Figure 2b). Table 1 gives the minimum temperature of the cold finger using  $LN_2$  and Peltier cooling.
- 79 The Peltier unit was tested at two different power settings (15W and 96W). The heat conduction is
- 80 efficient; the Peltier unit outside the vacuum recorded a temperature of -29°C when the cold finger
- 81 inside the chamber recorded a temperature of -27°C. There is uncertainty in the minimum
- 82 temperature of the cold finger achieved when using LN<sub>2</sub>, because K-type thermocouples are
- 83 insensitive in this range. The measured temperatures of -171°C and -215°C using a Eurotherm gauge
- and the Omega thermocouple reference tables respectively reflect this and the actual temperature
- 85 given the efficient heat conduction of the cold finger must be close to and not exceed -196°C the
- 86 boiling temperature of  $LN_2$ .

- 87 Figure 3a compares the time scale required for cooling the cold finger using LN<sub>2</sub> and Peltier cooling.
- 88 Increasing the power supplied to the Peltier unit results in a more rapid initial cooling and a lower
- 89 minimum temperature. Similarly with  $LN_2$  initial cooling is more rapid and the minimum temperature
- 90 is much lower than the Peltier unit. The effect of temperature on vacuum pressure is given in Figure
- 3b. Over the temperature range of Peltier cooling the vacuum pressure remains approximately
- 92 constant. Conversely, over the temperature range of LN<sub>2</sub> cooling, a step-change is observed in the
- vacuum level at ca. 115°C as the vapour pressure of water is crossed (-111°C at 1.33 x 10<sup>-4</sup> Pa; Honig
- 84 8 Hook 1960). This change in vacuum explains why we observe the severe degradation of the
  95 vacuum on warming up the cold finger after LN<sub>2</sub> cooling, which is not observed with Peltier cooling.
- **96** Contamination rates
- 97 During spot analysis carbon contamination forms ring shape deposits as hydrocarbons cracked by
- 98 the electron beam deposit adjacent to the beam position (e.g. Castaing & Descamps 1954; Ranzetta
- 99 & Scott 1964; Fourie 1976). In this study, contamination is quantified using BSE images calibrated for
- 100 carbon thickness. The amount of contamination was measured at different temperatures by running
- a series of spot analyses (each for 180 seconds) during both Peltier and LN<sub>2</sub> cooling of the cold
- 102 finger. Contamination reduces as the cold finger is cooled. Figure 4 is a series of carbon  $K\alpha$  x-ray
- 103 maps of spot analyses taken at different cold finger temperatures when cooled by the Peltier unit
- and the effect can be clearly seen. By measuring the amount of contamination using calibrated BSE
- images, contamination is observed to reduce to similar levels for both LN<sub>2</sub> and Peltier cooling (Figure
   5). The temperature at which minimal amounts of contamination is achieved is -27 °C for Peltier and
- 106 5). The temperature at which
  107 -75°C for LN<sub>2</sub> cooling.
- 108 Line profiles of the carbon contamination associated with spot analyses are given in Figure 6a. The
- 109 profiles show the build-up of carbon with time. The data plotted is for cold finger at room
- temperature, -27°C using Peltier cooling and -196°C using LN<sub>2</sub> cooling. Contamination thickness
- 111 proceeds in a very similar manner for Peltier and  $LN_2$  cooling (Figure 6b). Consistent with previous
- studies (e.g. Hirsch et al. 1994, Bastin & Heijligers 1988, 2011), when using a cold finger (Peltier or
- 113 LN<sub>2</sub> cooled) there is initial deposition during the first minute which quickly drops off, whereas for the
- 114 case without anticontamination the rates are much higher and deposition continues with beam
- 115 exposure time.

## 116 Discussion

- 117 Contamination is reduced to a similar amount with Peltier and LN<sub>2</sub> cooling. The discrepancy in the
- temperature at which this is achieved observed at -75°C for LN<sub>2</sub> and -27°C for Peltier cooling is
- 119 consistent with a time lag response. The initial temperature drop using  $LN_2$  is rapid, with the cold
- 120 finger quickly passing from 20°C to 50°C (see Figure 3a) preventing contaminate precipitation
- 121 keeping pace with temperature change. Given this, -27°C is a more accurate estimate of the
- minimum temperature required for effective anticontamination, which is consistent with the
- previous work by Hirsch et al. (1994) and comparable to that of Heide (1963), where the minimum
- 124 contamination was reached at about -40°C. The reason for an absence of further improvement when
- 125 cooling the cold finger to liquid nitrogen temperatures is unclear. Heide & Urban (1972) record the
- temperature at which hydrocarbons start to condense as 6.8 °C with the partial pressure of
- 127 hydrocarbons approaching 1 nTorr at -93.16 °C. The critical temperature will depend on the species
- 128 of hydrocarbons present, with the vapour pressure of mechanical oil crossed at ca. -10°C, whilst
- 129 vacuum grease (apiezion L) is always below vapour pressure. Surfaces of the chamber on venting
- and of samples inserted into the machine also absorb a range of hydrocarbons with Campell &
- 131 Gibbons (1966) ascribing the gradual reduction in hydrocarbon contamination to the crossing of a

- series of vapour pressures, and Hart et al. (1970) recording the presence of alkanes and alkenes
  which condense at temperatures <-75°C.</li>
- 134 It is unclear whether the carbon coat has an effect on the contamination rate or the temperature at
  135 which minimum contamination is observed. However, the data shows a close agreement with that of
  136 Hirsch et al. (1994) for uncoated polished copper.

137 Restricting the cold finger to temperatures above the vapour pressure of water has a big advantage 138 in avoiding the severe degradation of the vacuum when warming up the cold finger. It also greatly 139 reduces the amount of contaminants deposited on the cold finger, water being the main gas species 140 in the chamber (Hart et al. 1970; Heide & Urban 1972). The use of a Peltier unit allows long-term 141 operation without the need for LN<sub>2</sub> refilling. The long-term performance is unknown; as the cold 142 finger becomes progressively coated in contaminants its performance may deteriorate, requiring 143 periodic warming-up, similar to cryogenic pumps (Ash 1998). This effect will be greatly reduced 144 compared to cryogenic pumps by not depositing water vapour on the cold finger, lengthening the 145 time of operation.

- 146 The data suggests that cooling beyond -27°C is not required. The absolute temperature achieved by
- 147 the Peltier is dependent on the room temperature (kept constant at 21°C in this study) and the
- 148 temperature of the water used to cool the heat sinks. Initial tests were run with cold mains water.
- 149 Warmer water will reduce the temperature difference between the hot and cold sides of the Peltier
- devices and reduce the amount of cooling achieved. To ensure -27°C is always achieved more
- 151 powerful Peltier devices or an increased number of devices is suggested for a revised Peltier unit. A
- 152 closed-circuit chilled water supply would also be beneficial.
- 153 The results suggest that there is no need to mount the Peltier unit within the vacuum chamber as
- 154 the heat transfer between the Peltier unit and the cold finger is effective. In addition a Peltier unit
- 155 within the vacuum chamber may have adverse effects on the electron beam as some form of heat
- 156 extraction would be required. A disadvantage of mounting the Peltier unit outside the vacuum is
- 157 that ice build-up was found to occur around the central cooled block over several days of operation.
- 158 Improved insulation is required for a revised Peltier unit, excluding air from the cold surfaces.

#### 159 Conclusions and future refinements

- 160 The anti-contamination performance of the prototype Peltier cooled cold finger when cooled to -
- 161 27°C is similar to a liquid nitrogen cooled cold finger. This is consistent with the results of Hirsch et
- al. (1994). The Peltier unit was mounted outside the vacuum. It produced efficient cooling of the
- 163 cold finger and did not degrade the performance of the instrument. Peltier cooled cold fingers are
- thus demonstrated viable and will provide a good alternative to LN<sub>2</sub> cooling. They have the potential
- to run for extended periods of time, although periodic conditioning of the cold finger may berequired.
- 167 Two issues identified with the current prototype are: (1) ice deposition on the central cooled block 168 mounted outside the vacuum and (2) maintaining the required temperature of -27°C to minimise 169 contamination. To avoid ice deposition some form of air-tight insulation is recommended, probably 170 through employing improved insulators. To ensure -27°C is always achievable the use of additional
- 171 or more powerful Peltier devices requires to be tested; at present we only achieve -27°C operating
- the devices at their maximum power capacity. A chilled water supply to the heat sinks will further
- 173 enhance heat transfer.

#### 174 Acknowledgments

- 175 We would like to thank Chris Spencer (JEOL UK Ltd.) for valuable advice and support in fitting the
- 176 Peltier unit onto the JEOL JXA 8530F EPMA. We would also like to thank Microscopy Society of
- 177 America archivist Mike Marko for supplying Heide (1963) which is difficult to source. We wish to
- thank Mike Matthews and Jon Wade for reading and commenting on the manuscript.

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