



**University of Dundee**

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**A Randomized, Open-label Study of the Efficacy and Safety  
of AZD4547 Monotherapy Versus Paclitaxel for the  
Treatment of Advanced Gastric Adenocarcinoma with *FGFR2*  
Polysomy or Gene Amplification**

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Keywords:	AZD4547, Clinical Efficacy, Fibroblast Growth Factor Receptor, Gastric Cancer, Fluorescence in Situ Hybridization
Abstract:	<b>Background:</b> Approximately 5–10% of gastric cancers (GCs) have a fibroblast growth factor receptor-2 ( <i>FGFR2</i> ) gene amplification. AZD4547 is

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4 a selective FGFR-1, 2, 3 tyrosine kinase inhibitor with potent preclinical  
5 activity in *FGFR2* amplified gastric adenocarcinoma SNU16 and SGC083  
6 xenograft models. The randomized Phase II SHINE study (NCT01457846)  
7 investigated whether AZD4547 improves clinical outcome versus paclitaxel  
8 as second-line treatment in patients with advanced gastric adenocarcinoma  
9 displaying *FGFR2* polysomy or gene amplification detected by fluorescence  
10 *in situ* hybridization.

11 **Patients and Methods:** Patients were randomized 3:2 (*FGFR2* gene  
12 amplification) or 1:1 (*FGFR2* polysomy) to AZD4547 or paclitaxel. Patients  
13 received AZD4547 80 mg twice daily, orally, on a 2 weeks on/1 week off  
14 schedule of a 21-day cycle or intravenous paclitaxel 80 mg/m<sup>2</sup>  
15 administered weekly on Days 1, 8, and 15 of a 28-day cycle. The primary  
16 end point was progression-free survival (PFS). Safety outcomes were  
17 assessed and an exploratory biomarker analysis was undertaken.

18 **Results:** Of 71 patients randomized (AZD4547 n = 41, paclitaxel n = 30),  
19 67 received study treatment (AZD4547 n = 40, paclitaxel n = 27). Among  
20 all randomized patients, median PFS was 1.8 months with AZD4547 and  
21 3.5 months with paclitaxel (one-sided p-value = 0.9581); median follow-up  
22 duration for PFS was 1.77 and 2.12 months, respectively. The incidence of  
23 adverse events was similar in both treatment arms. Exploratory biomarker  
24 analyses revealed marked intratumor heterogeneity of *FGFR2* amplification  
25 and poor concordance between amplification/polysomy and *FGFR2* mRNA  
26 expression.

27 **Conclusions:** AZD4547 did not significantly improve PFS versus paclitaxel  
28 in gastric cancer *FGFR2* amplification/polysomy patients. Considerable  
29 intratumor heterogeneity for *FGFR2* gene amplification and poor  
30 concordance between *FGFR2* amplification/polysomy and *FGFR2* expression  
31 indicates the need for alternative predictive biomarker testing. AZD4547  
32 was generally well tolerated.

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3 **1 Original Article**  
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6 **2 A randomized, open-label study of the efficacy and safety of AZD4547**  
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8 **3 monotherapy versus paclitaxel for the treatment of advanced gastric**  
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10 **4 adenocarcinoma with *FGFR2* polysomy or gene amplification**

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14 D.R. Ferry<sup>7¶</sup>, N.R. Smith<sup>8</sup>, P. Frewer<sup>9</sup>, J. Ratnayake<sup>8</sup>, P. K. Stockman<sup>8</sup>, E. Kilgour<sup>8</sup>, & D.  
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28 **Running title:** AZD4547 in gastric cancer with *FGFR2* polysomy/amplification (59/60 max  
29 characters)

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3 31

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5 32 **Key message** (400/400 max characters incl. spaces)

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8 33 The selective fibroblast growth factor receptor [FGFR]-1, 2, 3 tyrosine kinase inhibitor,

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10 34 AZD4547, failed to improve progression-free survival versus paclitaxel in gastric

11  
12 35 adenocarcinoma patients displaying *FGFR2* polysomy or gene amplification. Intratumor

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14 36 heterogeneity of *FGFR2* amplification and poor concordance with *FGFR2* expression

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16 37 highlight the need for alternative predictive biomarker testing.  
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3 38 **Abstract** [285/300 words]  
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5

6 39 **Background:** Approximately 5–10% of gastric cancers (GCs) have a fibroblast growth factor  
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8 40 receptor-2 (*FGFR2*) gene amplification. AZD4547 is a selective FGFR-1, 2, 3 tyrosine kinase  
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10 41 inhibitor with potent preclinical activity in *FGFR2* amplified gastric adenocarcinoma SNU16  
11  
12 42 and SGC083 xenograft models. The randomized Phase II SHINE study (NCT01457846)  
13  
14 43 investigated whether AZD4547 improves clinical outcome versus paclitaxel as second-line  
15  
16 44 treatment in patients with advanced gastric adenocarcinoma displaying *FGFR2* polysomy or  
17  
18 45 gene amplification detected by fluorescence *in situ* hybridization.

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20  
21 46 **Patients and Methods:** Patients were randomized 3:2 (*FGFR2* gene amplification) or 1:1  
22  
23 47 (*FGFR2* polysomy) to AZD4547 or paclitaxel. Patients received AZD4547 80 mg twice daily,  
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25 48 orally, on a 2 weeks on/1 week off schedule of a 21-day cycle or intravenous  
26  
27 49 paclitaxel 80 mg/m<sup>2</sup> administered weekly on Days 1, 8, and 15 of a 28-day cycle. The primary  
28  
29 50 end point was progression-free survival (PFS). Safety outcomes were assessed and an  
30  
31 51 exploratory biomarker analysis was undertaken.

32  
33 52 **Results:** Of 71 patients randomized (AZD4547 *n* = 41, paclitaxel *n* = 30), 67 received study  
34  
35 53 treatment (AZD4547 *n* = 40, paclitaxel *n* = 27). Among all randomized patients, median PFS  
36  
37 54 was 1.8 months with AZD4547 and 3.5 months with paclitaxel (one-sided *p*-value = 0.9581);  
38  
39 55 median follow-up duration for PFS was 1.77 and 2.12 months, respectively. The incidence of  
40  
41 56 adverse events was similar in both treatment arms. Exploratory biomarker analyses revealed  
42  
43 57 marked intratumor heterogeneity of *FGFR2* amplification and poor concordance between  
44  
45 58 amplification/polysomy and *FGFR2* mRNA expression.

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47  
48 59 **Conclusions:** AZD4547 did not significantly improve PFS versus paclitaxel in gastric cancer  
49  
50 60 *FGFR2* amplification/polysomy patients. Considerable intratumor heterogeneity for *FGFR2*  
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52 61 gene amplification and poor concordance between *FGFR2* amplification/polysomy and  
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54 62 *FGFR2* expression indicates the need for alternative predictive biomarker testing. AZD4547  
55  
56 63 was generally well tolerated.

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3 64 **ClinicalTrials.gov identifier:** NCT01457846  
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5 65 **Key words:** AZD4547, clinical efficacy, fibroblast growth factor receptor, gastric cancer,  
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7 66 fluorescence *in situ* hybridization  
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3 **67 Introduction**  
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6 68 Fibroblast growth factors (FGFs) and their receptors (FGFRs) are instrumental in a number of  
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8 69 normal biologic processes, and their dysregulation by mechanisms including activating gene  
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10 70 mutations, gene amplification and gene fusions, is believed to drive human cancers, including  
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12 71 gastric cancer (GC) [1–3]. Approximately 5–10% of gastric tumors have an *FGFR2*  
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14 72 amplification [4, 5], which appears to confer poor prognosis [5–7].  
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16  
17 73 AZD4547 is a selective FGFR-1, 2, 3 tyrosine kinase inhibitor that has displayed potent  
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19 74 activity in preclinical studies. Cell lines of gastric adenocarcinoma possessing *FGFR2*  
20  
21 75 amplification were sensitive to AZD4547, resulting in reduced cell proliferation and cell  
22  
23 76 death [8]. Additionally, AZD4547 induced rapid tumor regression in two *in vivo* models of  
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25 77 *FGFR2*-amplified GC [8].  
26

27  
28 78 The primary hypothesis of the SHINE study was that AZD4547 has the potential to provide  
29  
30 79 clinical benefit in patients with advanced gastric adenocarcinoma with tumors displaying  
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32 80 *FGFR2* polysomy or gene amplification selected by centralized fluorescence *in situ*  
33  
34 81 hybridization (FISH) testing. Exploratory biomarker analyses were performed to further  
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36 82 assess *FGFR2* amplification heterogeneity within tumor sections and concordance with  
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38 83 *FGFR2* expression.  
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## 85 **Materials and Methods**

### 86 **Study design and patient selection**

87 SHINE was a multicenter, randomized, open-label study performed in 56 centers in Asia,  
88 North America, and Europe (ClinicalTrials.gov registration: NCT01457846; National Cancer  
89 Institute protocol ID: D2610C00004).

90 Patients were recruited with locally advanced or metastatic GC with radiologically-confirmed  
91 progression after one prior chemotherapy regimen. Tumors were required to display either  
92 *FGFR2* polysomy or amplification determined from archival tumor block or fresh tumor  
93 biopsy. Patients with prior exposure to AZD4547 or any other FGFR inhibitor were excluded.  
94 Patients in the *FGFR2* amplification cohort were randomized 3:2 to AZD4547 or paclitaxel.  
95 Patients in the *FGFR2* polysomy cohort were randomized 1:1 to AZD4547 or paclitaxel.

96 Tumor FGFR status was determined by centralized FISH screening using a non-commercial  
97 kit (DAKO). *FGFR2* amplification and polysomy were classified as follows:

- 98 • *FGFR2* amplification: *FGFR2*/Spectrum Green-labeled centromere of chromosome  
99 10 (CEN10) ratio  $\geq 2$  or *FGFR2* gene clusters in  $\geq 10\%$  tumor cells
- 100 • High polysomy: *FGFR2*/CEN10 ratio  $< 2$  and  $\geq 4$  copies of *FGFR2* in  $\geq 40\%$  tumor  
101 cells
- 102 • Low polysomy: *FGFR2*/CEN10 ratio  $< 2$  and  $\geq 4$  copies of *FGFR2* in 10–39% tumor  
103 cells.

104 The amplified cohort was further stratified into ‘low’ (*FGFR2*/CEN10 ratio  $\geq 2$  and  $< 5$ ) or  
105 ‘high’ (*FGFR2*/CEN10 ratio  $\geq 5$ ) strata. Subsequent changes to the scoring system are detailed  
106 in the supplementary Material.

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3 107 All patients gave written informed consent. The study was approved by the Institutional  
4  
5 108 Review Board/Independent Ethics Committee at each study center and conducted in  
6  
7 109 accordance with the Declaration of Helsinki.

### 9 110 **Treatment schedule**

11 111 Patients received either AZD4547 80 mg twice daily (BID), orally, on a 2 weeks on/1 week  
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13 112 off schedule of a 21-day cycle or paclitaxel 80 mg/m<sup>2</sup> as a 1-hour intravenous infusion weekly  
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15 113 on Days 1, 8, and 15 of a 28-day cycle. The dosing strategy for AZD4547 was based on a  
16  
17 114 phase I dose-escalation study [9, 10].

### 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60

### 115 **Assessments**

116 Patients underwent Response Evaluation Criteria In Solid Tumors (RECIST) assessments  
117 (ver. 1.1) at baseline and every 8 weeks thereafter using computerized tomography or  
118 magnetic resonance imaging. All assessments were carried out at the local sites and were not  
119 confirmed centrally.

120 Pharmacokinetic (PK) assessments included changes in blood-borne biomarkers (phosphates,  
121 basic fibroblast growth factor [bFGF], and FGF23. Adverse events (AEs) and clinical  
122 laboratory values were monitored throughout the study.

### 123 **End points**

124 The primary end point was progression free survival (PFS). Secondary end points included  
125 overall survival (OS), objective response rate (ORR), change in tumor size at 8 weeks, and the  
126 percentage of patients without progressive disease at 8 weeks.

### 127 **Interim analysis**

128 Prompted by slow recruitment, AstraZeneca and the Safety Review Committee agreed that it  
129 would be appropriate to conduct an unscheduled interim analysis of efficacy (based on  
130 average change in tumor size) and tolerability data. The results did not show superiority of

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3 131 AZD4547 over paclitaxel in patients with advanced GC tumors with *FGFR2* amplification  
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5 132 and a decision was made to cease enrollment and close the study.  
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8 133 **Exploratory biomarker analysis**  
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10 134 *FGFR2* expression in ribonucleic acid (RNA) extracted from tumor samples was analyzed  
11  
12 135 using the nCounter<sup>®</sup> platform (NanoString Technologies<sup>®</sup>, Inc., Seattle, WA, USA).  
13  
14 136 For heterogeneity analysis, FISH-stained sections were scanned into the MIRAX Panoramic  
15  
16 137 250 Flash II (3D Histech) scanner at 40× magnification in the x, y, and z planes and analyzed  
17  
18 138 using custom HALO v1.9 software (Indica Labs). All cells within the tumor compartment  
19  
20 139 were classified as amplified or non-amplified, based on target:control probe ratio thresholds  
21  
22 140 (*FGFR2*:CEN10 probe signals where ratio <2.0 = non-amplified and ratio ≥2.0 = amplified)  
23  
24 141 and a visual heterogeneity map generated.  
25

26 142

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28 143 **Statistical analysis**  
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31 144 PFS, OS and ORR in all randomized patients were analyzed using Cox proportional hazards  
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33 145 models with covariates for *FGFR2* strata and treatment. PFS, OS and ORR within *FGFR2*  
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35 146 strata were estimated from Cox proportional hazards models fitted in the overall population  
36  
37 147 with covariates for *FGFR2* stratum, treatment, and the treatment by *FGFR2* stratum  
38  
39 148 interaction. The effect of AZD4547 on change in tumor size in all randomized patients, and  
40  
41 149 within each of the *FGFR2* strata, was estimated from an analysis of covariance (ANCOVA)  
42  
43 150 model that included terms for baseline tumor size (log transformed), time from baseline scan  
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45 151 to randomization, *FGFR2* stratum, treatment and the treatment by *FGFR2* interaction, where  
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47 152 appropriate.  
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3 154 **Results**

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6 155 **Participants**

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8 156 A total of 960 patients had to be pre-screened for *FGFR2* status to enable 71 patients to be  
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10 157 randomized (AZD4547  $n = 41$  [57.7%]; paclitaxel  $n = 30$  [42.3%]; full analysis set (FAS);  
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12 158 Figure 1). FISH re-scoring to detect *FGFR2* amplification identified three patients in the FAS  
13  
14 159 who no longer met polysomy or amplification criteria and were excluded from the efficacy  
15  
16 160 analysis that included *FGFR2* stratum as a factor in the statistical model.

17  
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19 161 Treatment groups were generally well balanced with respect to demographic characteristics  
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21 162 (supplementary Table S1).

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23 163 **Efficacy**

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26 164 *PFS and disease outcome*

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29 165 Disease progression was reported in 36 of the 38 patients (94.7%) in the AZD4547 arm and  
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31 166 26 of the 30 patients (86.7%) in the paclitaxel arm.

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34 167 In the FAS, median PFS was 1.8 months in the AZD4547 arm and 3.5 months in the  
35  
36 168 paclitaxel arm, with a median duration of follow-up of 1.77 months and 2.12 months,  
37  
38 169 respectively (see Table 1 for amplified and polysomy cohorts). The difference in PFS was not  
39  
40 170 statistically significant in favor of AZD4547 at the one-sided 10% level ( $p$ -value from Cox  
41  
42 171 proportional hazards model=0.9581). The observed hazard ratio (HR) was 1.57 (80% CI,  
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44 172 1.12–2.21) for AZD4547 compared with paclitaxel (Figure 2).

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47 173 The observed HRs for the polysomy and amplified groups were 1.87 (80% CI, 1.17–3.06) and  
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49 174 1.30 (80% CI, 0.81–2.12), respectively. No statistically significant difference in PFS in favor  
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51 175 of AZD4547 was observed for AZD4547 versus paclitaxel in either the polysomy or  
52  
53 176 amplified groups (one-sided  $p$ -values of 0.9562 and 0.7590, respectively).

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3 177 Complete response was not reported in any patient (Table 2). In the overall population, the  
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5 178 ORR was 2.6% in the AZD4547 arm and 23.3% in the paclitaxel arm (0% and 20.0%,  
6  
7 179 respectively [amplified cohort] and 5.0% and 26.7%, respectively [polysomy cohort]). The  
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9 180 difference in ORR was not statistically significant in favor of AZD4547 at the one-sided 10%  
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11 181 level (odds ratio 0.09, 80% CI, 0.02–0.35, one-sided  $p$ -value=0.9970).

12  
13 182 There were a total of 27 deaths (71.1%) in the AZD4547 arm and 18 deaths (60.0%) in the  
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15 183 paclitaxel arm. In the FAS, median OS was 5.5 and 6.6 months for AZD4547 and paclitaxel  
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17 184 arms, respectively, with a median duration of follow-up of 4.8 months and 5.1 months, and  
18  
19 185 the difference in OS was not statistically significant (Figure 3; HR 1.31; 80% CI, 0.89–1.95,  
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21 186 one-sided  $p$ -value=0.8156). In the amplified and polysomy cohorts, there was no difference  
22  
23 187 between treatment groups in terms of median OS (Table 1: HR 1.26; 80% CI, 0.72–2.25, one-  
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25 188 sided  $p$ -value=0.7006 for the amplified cohort, and HR 1.36; 80% CI, 0.80–2.38, one-sided  $p$ -  
26  
27 189 value=0.7697 for the polysomy cohort).

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30 190 Analysis of the percentage change in tumor size at Week 8 did not show any statistically  
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32 191 significant difference in favor of the AZD4547 arm compared with the paclitaxel arm  
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34 192 (difference 39.44; 80% CI, 25.18–55.33, one-sided  $p$ -value=0.9999). Similar results were  
35  
36 193 observed in the amplified (difference 39.21; 80% CI, 19.43–62.26, one-sided  $p$ -value=0.9965)  
37  
38 194 and polysomy (difference 39.68; 80% CI, 19.38–63.45, one-sided  $p$ -value=0.9961) cohorts.

### 39 40 41 195 **Safety**

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43 196 For those patients who received treatment, the median total duration of treatment was  
44  
45 197 50.5 days in the AZD4547 arm and 57.0 days in the paclitaxel arm. AEs and serious AEs  
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47 198 related to study treatment occurred at similar rates in both treatment arms (supplementary  
48  
49 199 Table S2).

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3 200 **Biomarker analysis**  
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5 201 PK findings were consistent with previous studies of AZD4547 [9] (see Supplementary  
6  
7 202 Materials; Figure S1).  
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10 203 *FGFR2* expression was assessed by nanostring analysis of RNA from 73 archival tumor  
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12 204 samples, comprised of 56 tumor samples from patients randomized to AZD4547 or paclitaxel  
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14 205 ( $n = 35$  and  $n = 21$ , respectively), and an additional 17 samples from pre-screened patients  
15  
16 206 who were not randomized (*FGFR2* copy number normal [CNN]). Overall, the analysis set  
17  
18 207 consisted of 24 amplified, 29 polysomy, and 20 CNN samples.  
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21 208 A range of overlapping *FGFR2* expression levels were observed between the amplified and  
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23 209 non-amplified tumor samples (Figure 4A), with only 6/24 amplified tumors having elevated  
24  
25 210 *FGFR2* expression and, of these, only 5 having expression levels overlapping with SNU16-  
26  
27 211 and KATOIII *FGFR2*-amplified GC cell lines, which are highly sensitive to AZD4547  
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29 212 induced growth inhibition [11]. There was no evidence of elevated *FGFR2* expression outside  
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31 213 the amplified cohort (Figure 4A).  
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34 214 *FGFR2* amplification was assessed in sections from seven tumor samples from the high  
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36 215 amplification (*FGFR2*:CEN10 ratio >5) AZD4547 arm, as this represented the patient group  
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38 216 most likely to respond to treatment. As a benchmark, image analysis of a tumor section from  
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40 217 the AZD4547-sensitive SNU16 tumor xenograft model revealed that 100% of tumor cells  
41  
42 218 displayed *FGFR2* amplification with a mean *FGFR2*:CEN10 ratio of 38. In the seven patient  
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44 219 tumor sections examined, the number of tumor cells ranged from approximately 1500 to  
45  
46 220 >41000, and representative FISH-stained sections revealed marked sub-clonal heterogeneity,  
47  
48 221 with between 8% and 70% of the tumor cells displaying *FGFR2* amplification (Figure 4B).  
49  
50 222 However, there was no clear correlation between the extent of sub-clonal heterogeneity and  
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52 223 tumor shrinkage in response to AZD4547 (Figure 4C).  
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3 224 **Exploratory survival analysis**  
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6 225 Details of the exploratory survival analysis of non-randomized patients who underwent FISH

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8 226 pre-screening in the SHINE study are shown in Supplementary Materials (Figure S2).  
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3 227 **Discussion**  
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5 228 The efficacy of paclitaxel monotherapy in the SHINE study was consistent with data from  
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7 229 other studies in a second-line setting. Median PFS and OS in the paclitaxel arm was similar to  
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9 230 outcomes reported previously [12–16]. The trend towards shorter PFS and OS observed in the  
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11 231 *FGFR2* amplified group, is in agreement with earlier studies in patients with *FGFR2*  
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13 232 amplification [5–7].  
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16 233 In the current study, AZD4547 was not superior to paclitaxel, in contrast to preclinical  
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18 234 findings [8, 17]. The poor association between *FGFR2* amplification and elevated *FGFR2*  
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20 235 expression observed in the SHINE study, together with marked sub-clonal heterogeneity of  
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22 236 *FGFR2* amplification in tumor sections, contrasts markedly with the high and homogenous  
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24 237 amplification and high *FGFR2* expression observed in the SNU16 model. Although no  
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26 238 correlation was observed between the level of sub-clonal heterogeneity and tumor shrinkage,  
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28 239 the failure to adequately enrich for clonally amplified tumors is likely to be a factor in the  
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30 240 failure to translate the preclinical efficacy of AZD4547 to the clinic and this is supported by  
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32 241 results from a translational clinical study in which patients with high and clonal *FGFR2*  
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34 242 amplification responded to AZD4547 [18]. It is possible that a high threshold exists for  
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36 243 clonality of *FGFR2* amplification to sensitize to AZD4547.  
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39 244 Heterogeneity of gene amplification does not necessarily result in lack of clinical efficacy as  
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41 245 *HER2* amplification and expression is heterogeneous in GC [19], yet patients with *HER2*  
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43 246 amplification benefit from treatment with trastuzumab [20]. Hence the impact of  
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45 247 heterogeneity on the predictive nature of a gene amplification biomarker may be target  
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47 248 dependent. A limitation of this study is that the archival diagnostic tissue samples screened by  
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49 249 FISH and the *FGFR2* status may not reflect the status of metastatic tumor sites at study entry.  
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51 250 Clearly tumors with *FGFR2* amplification leading to elevated *FGFR2* expression do exist, but  
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53 251 this appears to be at a very low prevalence. Consequently, there is a need for alternative  
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3 252 predictive biomarker testing to more effectively enrich for this population prior to assessment  
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5 253 of FGFR therapies.

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7 254 Elevated plasma phosphate is a pharmacodynamic marker of interrupting FGF23 signaling  
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9 255 through FGFR inhibition in the kidney [21, 22] and has been observed for other FGFR  
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11 256 inhibitors [23, 24]. The intermittent dosing schedule allowed for elevations in plasma  
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13 257 concentrations of phosphate during the on-drug period to normalize during the off-drug  
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15 258 period.

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18 259 This study illustrates the considerable operational challenge associated with recruitment of  
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20 260 low prevalence patient groups into clinical studies. Centralized FISH testing identified  
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22 261 patients with *FGFR2* amplification at an actual prevalence of 9%. However, attrition between  
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24 262 FISH pre-screening and randomization resulted in an operational prevalence of 1%. Follow-  
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26 263 up of screened patients showed a trend for *FGFR2* amplification being associated with poor  
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28 264 prognosis which may have contributed to the higher than expected attrition rate.

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31 265 The AE profiles for AZD4547 and paclitaxel were consistent with their known pharmacologic  
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33 266 effects. The AZD4547 80 mg BID 2 weeks on/1 week off schedule was well tolerated and no  
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35 267 new safety signals were identified compared with previous studies [9, 11, 25].

### 36 37 268 **Conclusion**

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39 269 Treatment with AZD4547 did not improve PFS compared with paclitaxel in the overall  
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41 270 population or in patients with *FGFR2* amplification or polysomy according to FISH selection.  
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43 271 The safety profile demonstrated that AZD4547 is generally well tolerated. Exploratory  
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45 272 analysis revealed discordance between *FGFR2* expression and *FGFR2* amplification in  
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47 273 gastric tumors selected using focal FISH testing, which to a large extent reflected  
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49 274 considerable intratumor heterogeneity. Failure to enrich for a clonally amplified population  
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51 275 may have contributed to the failure of the SHINE study to demonstrate superiority of  
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53 276 AZD4547 compared with paclitaxel.

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3 277 **Acknowledgments**  
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15 283 Institute of Cancer Research, UK.  
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22

23 286 **Disclosure**  
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27

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45 296 Landers are employees of AstraZeneca. Elaine Kilgour and Paul Frewer hold AstraZeneca  
46 297 shares. Paul Stockman holds AstraZeneca shares.  
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3 377 **Figure legends**  
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6 378 **Figure 1.** CONSORT diagram. FAS, full analysis set; *FGFR2*, fibroblast growth receptor-2.  
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8 379 **Figure 2.** Progression-free survival Kaplan-Meier plots (FAS): overall population (A),  
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10 380 *FGFR2* polysomy population (B), and *FGFR2* amplification population (C). BID, twice daily;  
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12 381 *FGFR2*, fibroblast growth receptor-2.  
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15 382 **Figure 3.** Overall survival Kaplan-Meier plot (FAS) overall population (A), *FGFR2*  
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17 383 polysomy population (B), and *FGFR2* amplification population (C). BID, twice daily;  
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19 384 *FGFR2*, fibroblast growth receptor-2.  
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22 385 **Figure 4.** Analysis of formalin-fixed, paraffin-embedded archival tumor samples from  
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24 386 patients with advanced GC in SHINE showing: (A) *FGFR2* expression ( $\log_2$  normalized data)  
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26 387 of archival tumor sections compared with amplified (SNU16, KATOIII, SUM52) and non-  
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28 388 amplified (AGS, SNU-216, SNU-620) cell lines; (B) *in situ* heterogeneity mapping of seven  
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30 389 patient samples and an SNU16 GC xenograft section showing tissue classifications and binary  
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32 390 heterogeneity maps (non-amplified = blue; amplified = orange) for a large representative field  
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34 391 of view for each tumor. The table shows cell count, % amplification (based on ratio  $\geq 2$ ) and  
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36 392 average ratio score; and (C) a waterfall plot showing best change in target lesion size for  
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38 393 SHINE patients who received AZD4547. *FGFR2*, fibroblast growth receptor-2; FISH,  
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40 394 fluorescence *in situ* hybridization; GC, gastric cancer.  
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**Table 1.** Median PFS and OS stratified by *FGFR2* low and high amplification, and polysomy (FAS).

	AZD4547				Paclitaxel			
	Amplification ( <i>n</i> = 38)			Polysomy ( <i>n</i> = 20)	Amplification ( <i>n</i> = 30)			Polysomy ( <i>n</i> = 15)
	Total ( <i>n</i> = 18)	Low ( <i>n</i> = 9)	High ( <i>n</i> = 9)		Total ( <i>n</i> = 15)	Low ( <i>n</i> = 10)	High ( <i>n</i> = 5)	
PFS								
Median PFS (months)	1.5	1.4	2.0	1.9	2.3	1.9	3.7	3.5
No. events	17	9	8	19	13	10	3	13
Duration of follow-up (months)	1.46	-	-	1.86	1.87	-	-	3.52
OS								
Median OS (months)	4.9	4.9	10.5	6.3	4.6	3.5	NC	7.2
No. deaths	12	6	6	15	9	8	1	9
Duration of follow-up (months)	3.0	2.0	3.4	6.0	3.9	3.5	6.5	6.6

FAS, full analysis set; *FGFR2*, fibroblast growth factor receptor-2; NC, non-calculable; OS, overall survival; PFS, progression-free survival.

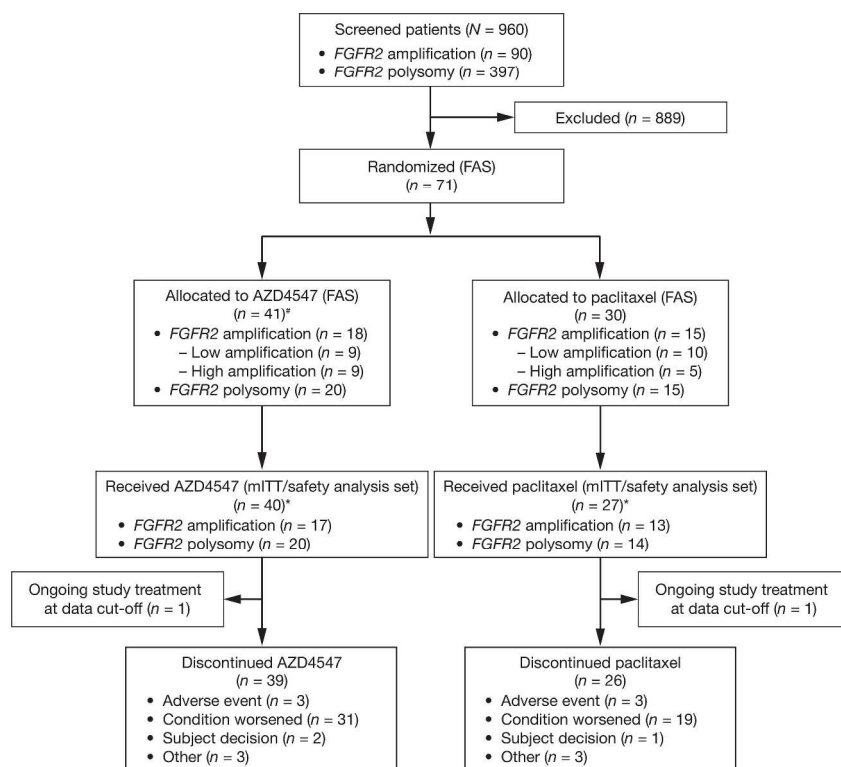
**Table 2.** Best objective response stratified by *FGFR2* low and high amplification, and polysomy (FAS<sup>a</sup>).

		AZD4547			Paclitaxel		
		Low amplification (n = 9)	High amplification (n = 9)	Polysomy (n = 20)	Low amplification (n = 10)	High amplification (n = 5)	Polysomy (n = 15)
Response	Complete response, n (%)	0	0	0	0	0	0
	Partial response, n (%)	0	0	1 (5.0)	1 (10.0)	2 (40.0)	4 (26.7)
Non-response	Stable disease $\geq$ 8 weeks, n (%)	1 (11.1)	2 (22.2)	5 (25.0)	3 (30.0)	2 (40.0)	5 (33.3)
	Progression, n (%)	8 (88.9)	6 (66.7)	14 (70.0)	6 (60.0)	1 (20.0)	4 (26.7)
	RECIST progression	6 (66.7)	5 (55.6)	13 (65.0)	2 (20.0)	1 (20.0)	4 (26.7)
	Death	2 (22.2)	1 (11.1)	1 (5.0)	4 (40.0)	0	0
	Not evaluable, n (%)	0	1 (11.1)	0	0	0	2 (13.3)

<sup>a</sup>FISH re-scoring (removal of the cluster rule) to detect *FGFR2* amplification resulted in the identification of three patients in the FAS who no longer met the criteria for polysomy or amplification.

FAS, full analysis set; *FGFR2*, fibroblast growth factor receptor-2; FISH, fluorescence *in situ* hybridization; RECIST, Response Evaluation Criteria In Solid Tumors.

Figure 1

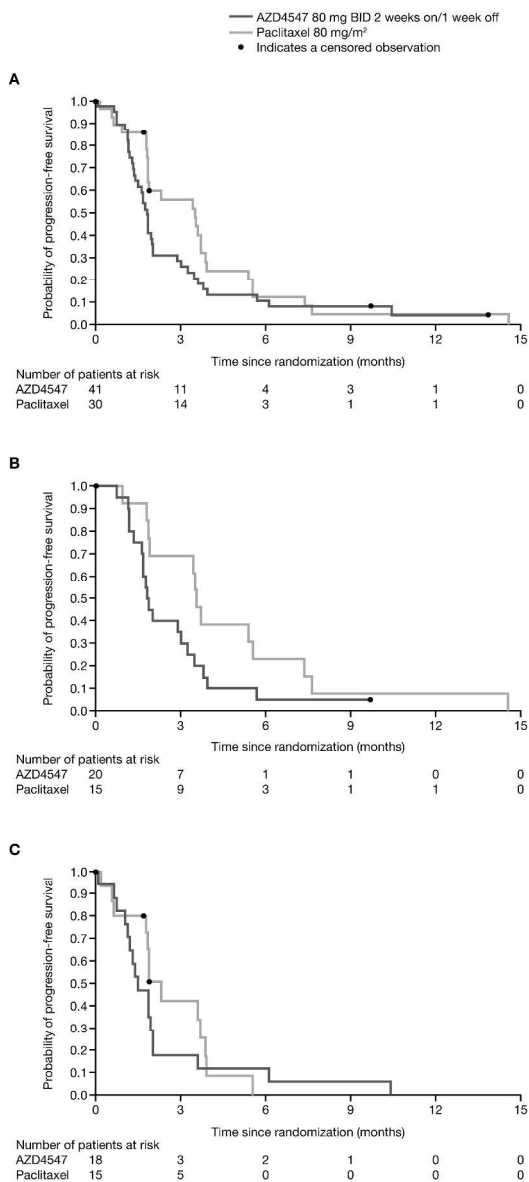


\*Three patients did not receive study therapy because they died prior to administration (one in the AZD4547 arm and two in the paclitaxel arm); One patient in the paclitaxel arm had "Other" recorded with no further details;

\*Including three patients who no longer met the criteria for polysomy or amplification.

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Figure 2

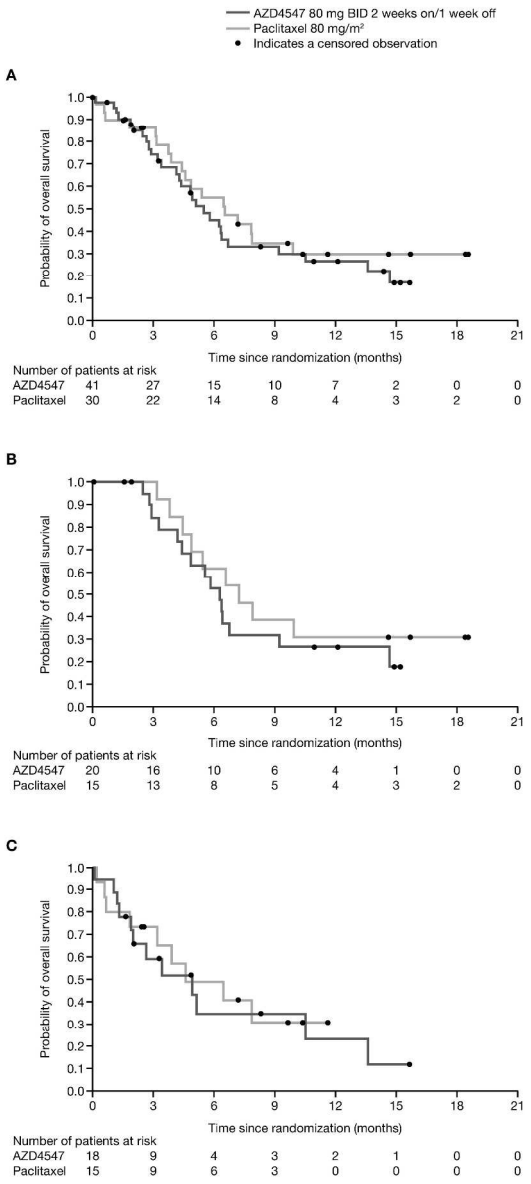


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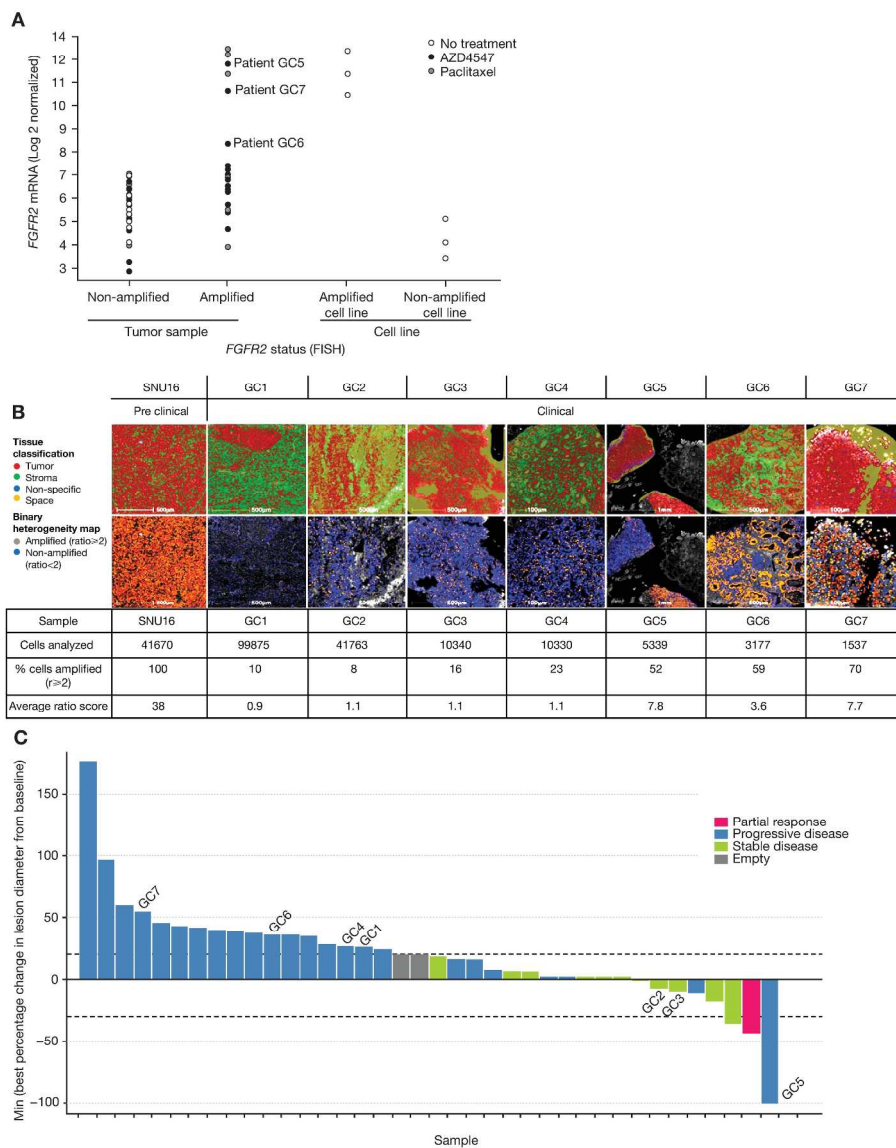
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Figure 3



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Figure 4



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## Supplementary Material

### Materials and Methods

#### Fluorescence *in situ* hybridization (FISH) scoring system

Tumor fibroblast growth factor receptor (FGFR) status was determined by centralized FISH screening with a scoring system similar to that used for epidermal growth factor receptor and human epidermal growth factor receptor 2 (*HER2*) [1]. Tumor sections were scanned at low magnification to identify areas of gene copy number gain and then 50 cell nuclei were counted.

Due to the difficulties in consistently applying the scoring system for cluster definition the FISH scoring system was reviewed during the study and the cluster definition removed from the amplification category, hence the definition for *FGFR2* amplification was refined to include only: *FGFR2*/CEN10 ratio  $\geq 2$ . Tumor samples from all randomized patients were re-scored and patients who no longer met the criteria for amplification were excluded from the final analysis.

Patients in the *FGFR2* amplification cohort were randomized 3:2 to AZD4547 or paclitaxel, within the *FGFR2* low- and high-level amplification strata. Patients in the *FGFR2* polysomy cohort were randomized 1:1 to AZD4547 or paclitaxel.

### Results

#### Participants

Four patients were randomized but did not receive randomized treatment and therefore the modified intention-to-treat (mITT) and safety analysis population consisted of 67 patients (AZD4547  $n = 40$  [59.7%], polysomy  $n = 20$ , amplification  $n = 17$ ; paclitaxel  $n = 27$  [40.3%], polysomy  $n = 14$ , amplification  $n = 13$ ). Patients randomized to the two treatment groups were generally well balanced with respect to demographic characteristics (supplementary Table S1).

## Safety

AEs and serious AEs related to study treatment occurred at similar rates in both treatment arms (supplementary Table S2). Six (15%) patients in the AZD4547 arm experienced retinal pigmented epithelium detachment (RPED), with the majority of cases of Common Terminology Criteria for Adverse Events (CTCAE) Grade 1/2. No patients in the paclitaxel arm developed the condition. AEs related to study treatment that led to treatment discontinuation occurred in 2 patients in each arm (5.0% for AZD4547 and 7.4% for paclitaxel). Two patients in the AZD4547 arm and one patient in the paclitaxel arm experienced an AE (intestinal hemorrhage, arterial disorder, or asthenia) with an outcome of death. None of the deaths were considered by the investigator to be causally related to the study drug.

## Hematology and clinical chemistry

The greatest incidences of changes classified as CTCAE-Grade 3/4 were reported for leukocytes decreased (2 [5.0%] for AZD4547; 4 [15.4%] for paclitaxel), neutrophils decreased (4 [10.3%] for AZD4547; 4 [17.4%] for paclitaxel), lymphocytes decreased (6 [15.4%] for AZD4547; 3 [13.0%] for paclitaxel), alkaline phosphatase increased (5 [13.2%] for AZD4547; 2 [7.7%] for paclitaxel), and phosphate increased (4 [10.0%] for AZD4547; 1 [4.0%] for paclitaxel).

Dose modification occurred more frequently in the AZD4547 arm (13 [32.5%] patients) compared with the paclitaxel arm (6 [22.2%] patients). Eleven (27.5%) patients in the AZD4547 arm and 5 (18.5%) patients in the paclitaxel arm had their study dose interrupted. Five (12.5%) patients in the AZD4547 arm and 3 (11.1%) patients in the paclitaxel arm had dose reduction. The occurrence of an adverse event (AE) was the most common reason for dose modifications, dose reductions, and dose interruptions.

## Pharmacokinetic analysis



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3 A clear increase in plasma phosphate levels was observed during cycles 1, 2, and 3 of AZD4547  
4 administration with a return to normal levels during the week off while no corresponding increase was  
5 observed with paclitaxel treatment (supplementary Figure S1). No significant changes from baseline  
6 were observed in plasma bFGF and FGF23 in either the AZD4547 or paclitaxel arm (data not shown).  
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10 There was no apparent difference in AZD4547 exposure with respect to surgery versus no surgery and  
11 surgery type. PK data displayed high variability due, in part, to dose reductions in some patients from  
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15 80 mg to 40 mg twice daily (BID).  
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### 20 **Exploratory survival analysis**

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23 In agreement with previous reports [2–4], follow-up of non-randomized patients who underwent FISH  
24 pre-screening in the SHINE study showed a trend for *FGFR2* amplification to be inversely correlated  
25 with overall survival. However this was not statistically significant by multivariate analysis  
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27 (aggregated HR non-amplified versus amplified: 1.15 [0.81–1.63];  $p = 0.437$ ) (supplementary Figure  
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### 36 **References**

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2. Su X, Zhan P, Gavine PR, Morgan S, Womack C, Ni X, et al. FGFR2 amplification has prognostic significance in gastric cancer: results from a large international multicentre study. *Br J Cancer* 2014;110:967–75.
3. Jung EJ, Jung EJ, Min SY, Kim MA, Kim WH. Fibroblast growth factor receptor 2 gene amplification status and its clinicopathologic significance in gastric carcinoma. *Hum Pathol* 2012;43:1559–66.

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3 4. Matsumoto K, Arao T, Hamaguchi T, Shimada Y, Kato K, Oda I, et al. FGFR2 gene  
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5 amplification and clinicopathological features in gastric cancer. Br J Cancer 2012;106:727–32.  
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### 10 **Supplementary Figure legend**

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12 **Figure S1.** Modulation of absolute plasma phosphate levels in the AZD4547 treatment arm during on-  
13 and off-drug periods (A) compared with the paclitaxel treatment arm (B).  
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17 **Figure S2.** Overall probability of survival Kaplan-Meier plot by *FGFR2* amplification and gene copy  
18 number analyzed by FISH; all pre-screened patients who were not randomized. Aggregated hazard  
19 ratio non-amplified versus amplified: 1.15 [0.81–1.63];  $p = 0.437$ ; multivariate analysis. *FGFR2*,  
20 fibroblast growth factor receptor-2; FISH, fluorescence *in situ* hybridization.  
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**Table S1.** Clinical characteristics and baseline demographics (FAS).

	<b>AZD4547</b> <b>(n = 41)</b>	<b>Paclitaxel</b> <b>(n = 30)</b>	<b>Total</b> <b>(n = 71)</b>
Male, n (%)	29 (70.7)	22 (73.3)	51 (71.8)
Mean (SD) age, years	60.6 (11.4)	61.9 (10.7)	61.2 (11.0)
Prior chemotherapy <sup>a</sup>			
Capecitabine	23 (56.1)	15 (50.0)	38 (53.5)
Cisplatin	21 (51.2)	18 (60.0)	39 (54.9)
Fluorouracil	15 (36.6)	9 (30.0)	24 (33.8)
Oxaliplatin	15 (36.6)	7 (23.3)	22 (31.0)
Epirubicin	11 (26.8)	9 (30.0)	20 (28.2)
Number of prior chemotherapy regimens			
1	34 (82.9)	24 (80.0)	58 (81.7)
2	5 (12.2)	2 (6.7)	7 (9.9)
3	0	1 (3.3)	1 (1.4)
Prior surgical procedures			
Gastrectomy	15 (36.6)	8 (26.7)	23 (32.4)
Overall disease classification			
Metastatic <sup>a</sup>	40 (97.6)	30 (100)	70 (98.6)
Respiratory	10 (24.4)	5 (16.7)	15 (21.1)
Hepatic <sup>b</sup>	25 (61.0)	15 (50.0)	40 (56.3)
Lymph nodes	21 (51.2)	18 (60.0)	39 (54.9)
Peritoneum	8 (19.5)	10 (33.3)	18 (25.4)
Locally advanced	1 (2.4)	0	1 (1.4)

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3       <sup>a</sup>Reported in  $\geq 10$  patients; <sup>b</sup>Including gall bladder.  
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5       Other lung/liver classifications not included within the 'respiratory' or 'hepatic' disease  
6       classifications: lung ( $n = 1$ ), lung and liver metastases ( $n = 1$ ), liver ( $n = 1$ ), lung and pleura  
7       metastases ( $n=1$ ), lung, liver, mediastinum ( $n=1$ ).  
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10       FAS, full analysis set; SD, standard deviation.  
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**Table S2.** AEs reported in  $\geq 10\%$  of patients in either treatment arm (safety analysis;  $n = 67$ ).

	<b>AZD4547</b>	<b>Paclitaxel</b>
	<b>(<math>n = 40</math>)</b>	<b>(<math>n = 27</math>)</b>
Any AE causally related to study treatment, $n$ (%)	29 (72.5)	19 (70.4)
Any AE of CTCAE Grade $\geq 3$ causally related to study treatment, $n$ (%)	7 (17.5)	5 (18.5)
Any SAE causally related to study treatment, $n$ (%)	1 (2.5)	1 (3.7)
Decreased appetite, $n$ (%)	16 (40.0)	8 (29.6)
Asthenia, $n$ (%)	11 (27.5)	5 (18.5)
Nausea, $n$ (%)	10 (25.0)	6 (22.2)
Constipation, $n$ (%)	10 (25.0)	5 (18.5)
Stomatitis, $n$ (%)	10 (25.0)	2 (7.4)
Abdominal pain, $n$ (%)	9 (22.5)	5 (18.5)
Upper abdominal pain, $n$ (%)	9 (22.5)	0
Dry mouth, $n$ (%)	9 (22.5)	0
Vomiting, $n$ (%)	8 (20.0)	5 (18.5)
Anemia, $n$ (%)	7 (17.5)	6 (22.2)

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7	Increased aspartate aminotransferase, <i>n</i> (%)	7 (17.5)	0
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9	Fatigue, <i>n</i> (%)	6 (15.0)	8 (29.6)
10			
11	Diarrhea, <i>n</i> (%)	6 (15.0)	6 (22.2)
12			
13	Dysgeusia, <i>n</i> (%)	6 (15.0)	4 (14.8)
14			
15	Retinal pigment epithelium detachment, <i>n</i> (%)	6 (15.0)	0
16			
17	Increased alanine aminotransferase, <i>n</i> (%)	6 (15.0)	0
18			
19	Peripheral edema, <i>n</i> (%)	4 (10.0)	2 (7.4)
20			
21	Pyrexia, <i>n</i> (%)	4 (10.0)	2 (7.4)
22			
23	Dyspepsia, <i>n</i> (%)	4 (10.0)	1 (3.7)
24			
25	Headache, <i>n</i> (%)	4 (10.0)	1 (3.7)
26			
27	Increased blood alkaline phosphatase, <i>n</i> (%)	4 (10.0)	1 (3.7)
28			
29	Dry eye, <i>n</i> (%)	4 (10.0)	0
30			
31	Alopecia, <i>n</i> (%)	2 (5.0)	13 (48.1)
32			
33	Neutropenia, <i>n</i> (%)	2 (5.0)	9 (33.3)
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35	Insomnia, <i>n</i> (%)	2 (5.0)	3 (11.1)
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Back pain, <i>n</i> (%)	1 (2.5)	6 (22.2)
Peripheral neuropathy, <i>n</i> (%)	1 (2.5)	4 (14.8)
Lower respiratory tract infection, <i>n</i> (%)	0	3 (11.1)
Myalgia, <i>n</i> (%)	0	3 (11.1)
Peripheral sensory neuropathy, <i>n</i> (%)	0	3 (11.1)

AE, adverse event; CTCAE, Common Terminology Criteria for Adverse Events; SAE, serious adverse event.

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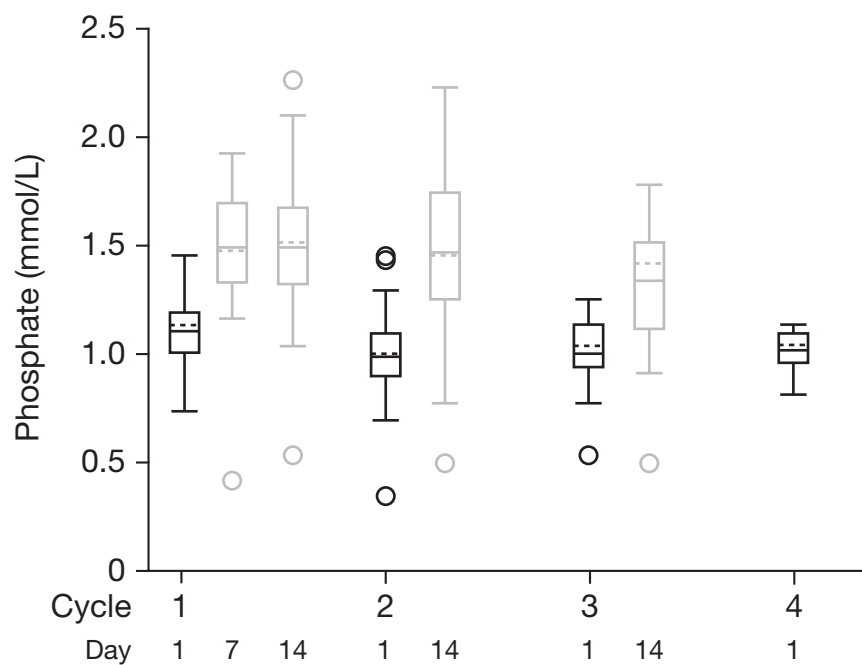
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Supplementary Figure 1

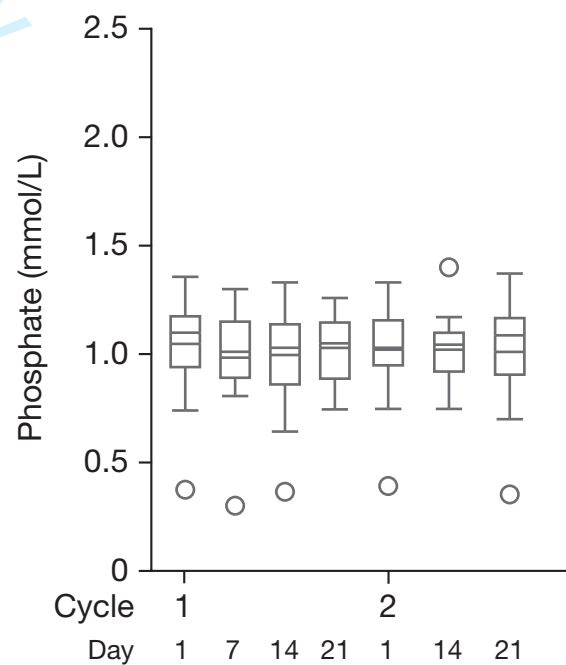
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□ Off AZD4547    □ On AZD4547    □ Paclitaxel

**A**



**B**



Horizontal line: median; Box: Q1-Q3

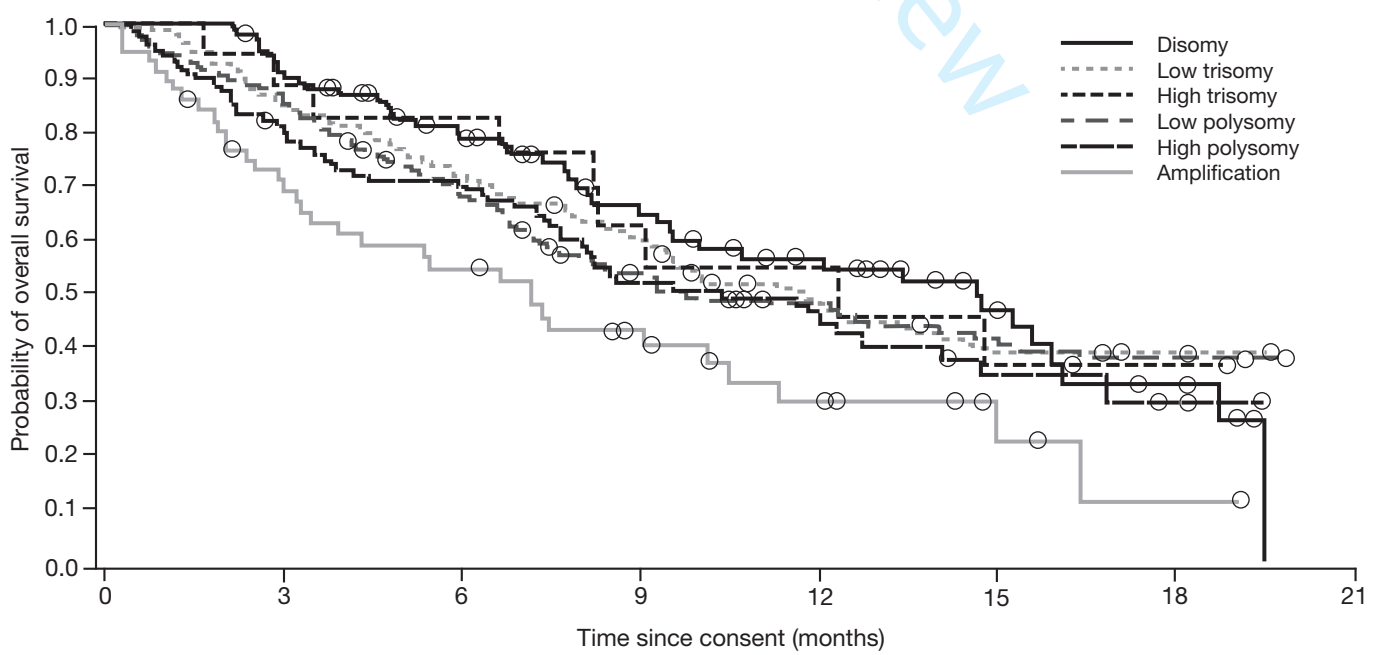


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14 Supplementary Figure 2

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56 Number of patients at risk

57 Disomy	111	90	63	40	30	15	6	0
58 Low trisomy	198	145	102	70	46	34	10	0
59 High trisomy	18	15	13	8	6	3	1	0
60 Low polysomy	253	175	122	75	48	35	12	0
High polysomy	110	79	61	38	21	11	5	0
Amplification	57	36	25	16	8	3	1	0

○ Indicates a censored observation