

# Mobility of transgenic nucleic acids and proteins within grafted rootstocks for agricultural improvement

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Grafting has been used in agriculture for over 2000 years. Disease resistance and environmental tolerance are highly beneficial traits that can be provided through use of grafting, although the mechanisms, in particular for resistance, have frequently been unknown. As information emerges that describes plant disease resistance mechanisms, the proteins, and nucleic acids that play a critical role in disease management can be expressed in genetically engineered (GE) plant lines. Utilizing transgrafting, the combination of a GE rootstock with a wild-type (WT) scion, or the reverse, has the potential to provide pest and pathogen resistance, impart biotic and abiotic stress tolerance, or increase plant vigor and productivity. Of central importance to these potential benefits is the question of to what extent nucleic acids and proteins are transmitted across a graft junction and whether the movement of these molecules will affect the efficacy of the transgrafting approach. Using a variety of specific examples, this review will report on the movement of organellar DNA, RNAs, and proteins across graft unions. Attention will be specifically drawn to the use of small RNAs and gene silencing within transgrafted plants, with a particular focus on pathogen resistance. The use of GE rootstocks or scions has the potential to extend the horticultural utility of grafting by combining this ancient technique with the molecular strategies of the modern era.

**Keywords:** genetically engineered, protein, mRNA, siRNA, rootstock, scion, transgrafting

## INTRODUCTION

In agriculture today, the ancient technique of plant grafting is an indispensable tool that offers an opportunity for combining beneficial root and shoot characteristics for the production of high-value horticultural crops. Under natural conditions, plants can undergo inosculation, the union between plant parts growing in close proximity whose cambial surfaces are breached through abrasion allowing separate vasculature systems to merge. For example, California black oaks are known to share root systems through natural root grafts and fortuitous contacts between strawberry or potato plants can result in stem grafts. Given that “natural grafting” occurs without human intervention, it is likely the art of grafting arose through discovery rather than by innovation, although it is unclear when or where grafting originated (Mudge et al., 2009). Chinese writings mention grafting of peach varieties as early as 1560 BC, and grafting was knowledgeably discussed by Aristotle, Theophrastus, Cato, and Varro from the fourth to first centuries BC (Roberts, 1949).

Grafting provides a number of critical horticultural benefits. Grafting two woody species, each with desirable traits, was instrumental in the domestication of a variety of tree species (e.g., apples, pears, and plums) that, otherwise, were recalcitrant to asexual propagation techniques (Mudge et al., 2009). In perennial species, grafting is used for clonal propagation and production of specialized ornamental trees. Grafting provides the means to repair or

bypass damaged trunks, hasten development of fruiting varieties, or accentuate useful vigor or dwarfing characteristics. Rootstocks also provide resistance to pests and pathogens, including insects and soil-borne diseases, and tolerance of abiotic stress conditions, such as thermal shock, low root temperature, boron toxicity, and salinity (Bulder et al., 1991a,b; Ahn et al., 1999; Rivero et al., 2003; Edelstein et al., 2005, 2007; Dolgov and Hanke, 2006; Venema et al., 2008). Currently, almost every commercial fruit or nut tree production system uses grafting to increase yields or avoid disease (Kubota et al., 2008).

In many parts of the world, grafting is used in vegetable production (Edelstein et al., 1999; Romano and Paratore, 2001; Fernandez-Garcia et al., 2004; Khah et al., 2006; Balliu et al., 2008; Davis et al., 2008; King et al., 2008; Kubota et al., 2008; Misovic et al., 2009; Di Gioia et al., 2010). Grafting is used widely with Solanaceae and Cucurbitaceae crops to reduce infections by soil-borne pathogens and to enhance the tolerance of abiotic stresses (Colla et al., 2010; Justus and Kubota, 2010; Lee et al., 2010; Rouphael et al., 2010; Savvas et al., 2010; Schwarz et al., 2010). In the Middle East, grafted vegetable rootstocks are used in order to utilize poorer soils, and in Japan, almost 95% of the watermelons, oriental melons, eggplants, cucumbers, and tomatoes are grafted before transplantation to fields or greenhouses (Lee, 1994). Grafting vegetable and fruit plants became increasingly important for disease control in Europe and Israel after the soil fumigant

methyl bromide was banned under the Montreal Protocol in 2005 (Cohen et al., 2007; Davis et al., 2008). In Israel, approximately 20% of the cultivated tomato plants are grafted. Grafting scions to vigorous, disease-resistant rootstocks is an alternative to chemical control methods, a particularly appealing feature for organic cultivation of crops. An economic advantage of grafting is that a few rootstock lines may be utilized with multiple scion varieties, although the success of particular rootstock:scion combinations may be variable.

For farmers who grow heirloom tomato varieties organically, grafting is an emerging option, since, while tasteful and attractive, these varieties often lack many of the disease resistance and vigor traits of conventionally produced varieties. The use of grafted tomatoes in the US has grown (Kubota, 2008; Kubota et al., 2008) as the demand for elite and heirloom varieties from small organic farms has increased. In North America, >95% of the grafted tomatoes are for greenhouse and high tunnel production, reflecting the markets for high-value fresh tomatoes. While the cost per grafted tomato seedling in the US is 1.5–2 times greater than for conventional seedlings, the possibility of introducing advantageous horticultural traits without compromising fruit characteristics offers significant value. Currently, most propagators supplying grafted seedlings to the North American market are in Canada and Mexico, although there are some specialty market suppliers in Ohio and North Carolina. At least 40 million tomato plants now are grafted in North America, with the US being the largest user (Kubota et al., 2008).

While conventional breeding has developed disease-resistant rootstock genotypes for grafting, the additional approach of transgrafting resistant genetically engineered (GE) rootstocks with WT scions provides a potentially valuable merger of ancient and modern technologies. In this paper, we discuss how transgrafting can increase the options to provide agricultural solutions. Our specific “mechanistic” focus is on the mobilization across graft junctions of transgenes and the products they encode.

## TRANSGRAFTING

Transgrafting (the use of a GE rootstock with a WT-scion, or the use of a WT rootstock with a GE scion) has the potential to expand the traits provided by grafting since the benefits derived from transgenes can be harnessed. A transgrafted plant, with a WT scion, may allow agricultural industries to benefit from a transgenic trait expressed in a rootstock while addressing consumer concerns about food derived from GE-crops, because the scion would not have been GE (Haroldsen et al., 2012). Transgrafting also offers advantages for the environment, since under proper orchard maintenance, pollen flow concerns would be minimized because the non-engineered scion would be the only source of pollen (Lev-Yadun and Sederoff, 2001; COGEM, 2006). In addition, deregulation of one or a small number of rootstocks that could be used with multiple scion cultivars or varieties would be preferable over engineering each scion genotype, especially given that the cost to deregulate each GE line is \$6–15 million in the US (Kalaitzandonakes et al., 2007). A deregulated rootstock could be utilized with different scion cultivars and, in some cases, multiple genera. Accomplishing these benefits while maintaining a scion that is free of transgenic DNA could facilitate the entry of GE

specialty crops into commercial production since deregulation of each scion cultivar would likely not be necessary, lowering the burden placed on specialty crop producers. We focus this review primarily on the benefits that can be provided by a GE rootstock; it is also possible that transgrafting can provide benefits to root crops, such as potato or cassava, if non-GE rootstocks are grafted with GE scions.

One consideration for the use of transgrafted plants is the identification of transgene product(s) that have the potential to move between rootstocks and scions. Systemic acquired resistance (SAR), mediated by salicylic acid, in grafts made with plants that are challenged with a pathogen demonstrate that molecules or signals can move within plants and subsequently provide resistance in anticipation of pathogen contact (Gaffney et al., 1993; Conrath, 2011). With GE rootstocks, the potential movement of DNA and RNA genetic or epigenetic factors and translocation of proteins can be evaluated because of their identifiable and unique characteristics. While grafted scions and rootstocks are generally assumed to conserve their own genetic identity, it is becoming evident that certain transcription factors, mRNAs, regulatory micro RNAs (miRNAs), small interfering RNAs (siRNAs), peptides, and proteins are mobile in the plant vascular system and thus, may cross the graft union. Potentially, delivery of any of these products from a GE rootstock can be advantageous for the scion, as is the case with SAR, where the plant experiences enhancement of pathogen and pest resistance (Gaffney et al., 1993).

## MOBILITY OF GENETIC COMPONENTS

Historically, nucleic acids were believed to be cell-autonomous (i.e., contained in the cell of origin), unable to move beyond the point of synthesis. However, this paradigm has evolved as sensitive analytical methods have become available and been used to demonstrate that nucleic acids are present and functional outside of the cells where they are synthesized. Proteins are also known to cross cellular barriers and exert developmental control beyond their site of synthesis. The possibility that these molecules can move shaping the way we think about transgenic rootstocks and their potential applications.

## DNA

While there is no current evidence that would support the movement of genomic DNA through the vascular system of a grafted plant (apart from DNA-based plant viruses), movement of plastid DNA across cellular barriers *immediately adjacent to the graft junction* has been demonstrated (Stegemann and Bock, 2009). In this study, two cultivars of tobacco were each transformed with antibiotic-resistance selectable and visual markers. One cultivar was transformed with a kanamycin resistance gene and the nuclear-encoded yellow fluorescent protein (YFP) and another cultivar was transformed with a spectinomycin resistance gene and a plastid-encoded green fluorescent protein (GFP) marker. Explants taken from tissue immediately adjacent to the graft junction were able to grow on selective media for both constructs and fluorescence from nuclei and plastids was detected. This outcome was not due to cellular fusion but rather to the exchange of large sections of plastid (but not nuclear) DNA. However, the study did not exclude the possibility that entire organelles were

transferred. While this effect was restricted to a few cell layers near the graft junction, it, nevertheless, challenges the idea that the rootstock and scion strictly maintain their individual genetic identities. It has been suggested that exchange of genetic material might occur during graft healing as cell walls and vascular systems are being remodeled. The formation of new plasmodesmata could allow the rootstock and scion cells to become symplastic and, perhaps, exchange organelles (i.e., chloroplasts in this example); this would thus accomplish transfer of organellar genes. It is important to emphasize that the resulting chimera was not due to cellular fusion, because through single nucleotide polymorphism (SNP) genotyping and partial sequencing, scion cells were shown to have incorporated only a large piece of the rootstock plastid DNA.

While it is extremely unlikely that genomic or organellar DNA would be mobile over long-distances, as suggested by some researchers (Ohta, 1991), it is possible that heritable changes induced by epigenetic modifications of genomic DNA may occur as a result of movement. Heritable changes can result from RNA-mediated silencing mechanisms; siRNA can induce epigenetic effects such as sequence-specific DNA methylation (Jones et al., 2001). Our more recent understanding of heritable epigenetic influences might explain earlier claims of graft hybridization that alleged phenotypic changes in grafted pepper progeny due to mobility of DNA through the graft junction and into the seeds (Taller et al., 1998; Liu et al., 2010). Although grafting applications that take advantage of epigenetic modifications have not been developed, epigenetic changes present an opportunity to endow progeny with characteristics that result from transcriptional down-regulation or gene silencing without introduction of *heritable* transgenic DNA. Furthermore, based on previous epigenesis experiments (Jones et al., 2001), subsequent generations could revert back to non-silenced phenotypes, thereby limiting the duration of the original modification to the plant of interest, while providing a potential containment against the spread of transcriptionally modified progeny.

### mRNA

Evidence of a highly regulated and selective process involving long-distance trafficking of mRNA has been demonstrated. Observations have been made of differential localization and accumulation of transcripts in sink tissues, presence of mRNA-binding proteins in phloem sap, and sequence-specific motifs of mobile mRNAs that interact with transcript-binding proteins. Messenger RNAs encoding transcriptional regulators and cell fate/cycle-related, hormone response, and metabolic genes have been identified in pumpkin and tomato sieve tube elements (SE) (Ruiz-Medrano et al., 1999; Kim et al., 2001; Haywood et al., 2005).

For example, the transcripts of pumpkin *CmNACP*, a member of the family of NAC transcription factors that are involved in apical meristem development and leaf senescence, have been identified in scion tissues from pumpkin rootstock–cucumber scion (i.e., heterografted) plants. This observation supports the idea of long-distance transport and accumulation of *CmNACP* RNA in vegetative, floral, and root meristematic tissues. Data for this experiment were gathered using *in situ* RT-PCR and confirmed by *in situ* hybridization studies. Further experiments with seven other phloem sap-localized transcripts gave similar

results, demonstrating the existence of delivery systems of specific transcripts to shoot and root apices (Ruiz-Medrano et al., 1999).

In another pumpkin rootstock/cucumber scion heterograft experiment, a phloem-mobile pumpkin RNA, *CmPPI6*, was found in stems, leaves, and floral tissues of the scion. It was determined that the translated protein product CmPPI6 bound sense and anti-sense *CmPPI6* transcripts and, thus, mediated the transport of its own mRNA into the phloem translocation stream (Xoconostle-Cazares et al., 1999). Due to this self-mobility characteristic, the protein was termed a “plant paralog to viral movement protein.”

In a grafted tomato example, a line carrying the dominant mutation, *Mouse ears* (*Me*), which causes rounded and unlobed leaflets, was used as the rootstock and grafted to a semi-dominant *Xanthophyll* (*Xa*) mutant scion with yellow, lobed leaves. Eleven of 13 grafted plants demonstrated the *Me* phenotype in the scion. Interestingly, the *Me* gene is a fusion of two separate genes, *PPF* and *LeT-6*, that produces two transcript splice variants, but only the longer transcript is in-frame with the *LeT-6* homeodomain and only this transcript was detectable in the scion. Fluorescent *in situ* RT-PCR confirmed accumulation of the longer *Me* transcript that had been detected in scion phloem sieve tubes and associated companion cells (CC) by Northern blots and confocal imaging. It was concluded that the *Me* phenotype of the scion was caused by movement of the *Me* transcript from the rootstock. The authors suggested that patterns of transcript accumulation observed by *in situ* experiments may not be entirely due to promoters expressing locally, but also may be attributed to transport of transcripts (Kim et al., 2001). In a follow up experiment, the *Me* tomato genotype was used as a heterografted rootstock with potato as the scion. Again, leaf morphological changes in the scion were observed and DNA gel blot analysis of the RT-PCR products demonstrated translocation of the *Me* transcript across the graft junction (Kudo and Harada, 2007).

Two mutant transcripts from the GRAS gene family, *CmGAIP* and *GAI*, were used to examine processes underlying mRNA mobility in pumpkin and *Arabidopsis*. In pumpkin these genes influence responses to gibberellin hormones. The pumpkin *CmGAIP* transcript, with a deleted DELLA domain, and the equivalent *Arabidopsis* mutant *gai*, with a non-functional DELLA domain, were analyzed because the DELLA domain mutations offer an easily trackable semi-dominant, dark-leafed, dwarf phenotype. The *CmGAIP* transcripts were found in stem, leaf, and floral tissues of heterografted plants with pumpkin rootstocks, particularly in the stem CC and SE. Long-distance trafficking of these transcripts influenced development and leaf morphology in the scion. While the *CmGAIP* transcripts could be found in floral tissues, they were never detected in maturing fruit tissue; thus, it was concluded that tissue sink strength did not necessarily affect localization and delivery. To confirm specificity and selectivity and to rule out promoter effects, enhanced GFP (eGFP) was transformed into rootstocks under the companion cell-specific *SUC2* promoter. Although fluorescent signal from the eGFP protein could be detected in grafted scions, the eGFP transcript was not detected, suggesting that inherent properties of particular transcripts were likely responsible for their mobility or lack of mobility. That is, CC were able to retain eGFP transcript, but allowed the eGFP

protein product to enter the phloem and the CC did not retain the *CmGAIP* transcripts. The observations suggested a complex, regulated, and cell/tissue-specific process underlying mRNA phloem mobility (Haywood et al., 2005). Furthermore, the 3' untranslated region (UTR) of the *GAI* transcript was shown to be necessary and sufficient to target *GFP* RNA for long-distance movement (Haywood et al., 2005). A mutated, movement-defective *GAI* transcript could be partially rescued by restoring nucleotides involved in the formation of predicted stem-loop structures. Thus, in addition to the nucleic acid sequence, the macromolecular structure of the mRNA may also contribute to its ability to be mobilized (Huang and Yu, 2009).

Aside from studies of individual transcripts, large scale experiments have identified families of mobile RNAs. Transcripts within the extracellular apoplastic compartments would be candidate mobile RNAs, particularly in the vascular fluids. Out of 1830 expressed sequence tags (ESTs) isolated from melon phloem exudate and sequenced, 986 were shown to be unique and many transcripts associated with biotic responses, stress and defense responses, metal-ion binding, and signal transduction were detected. Only three of the 1830 ESTs were identified as encoding Rubisco or chlorophyll-related proteins. Thus, the authors of this experiment concluded that the results were not due to contamination from surrounding cells. Heterografting with cucumber rootstocks revealed that 43 of the 986 unique transcripts were mobile and translocated through the vascular system into the pumpkin scion, perhaps suggesting conservation among these RNA trafficking motifs, at least within the Cucurbits (Omid et al., 2007). Despite specific experimental examples, the general mechanism behind RNA trafficking motifs is not well understood. However, studies using non-protein-coding viroids offer evidence that the tertiary structure of viroid RNA is a requirement for mobility across cellular boundaries as well as through the phloem (Zhong et al., 2007; Takeda et al., 2011).

In addition to the heterografting studies, there is evidence for cross species mRNA mobility in the parasite–host interaction between *Cuscuta* and tomato (Roney et al., 2007). RT-PCR and microarray analyses showed the presence of over 400 tomato transcripts in *Cuscuta* tissue. Earlier studies had shown that one of the transcripts, *LeGAI*, was mobile in tomato phloem (Haywood et al., 2005).

It is clear that RNA sequences specify their mobility. Both the 3' and 5' UTRs appear to contain *cis*-acting sequences termed “zip codes” that provide competence for mRNA vascular transport, transcript stability, and translational regulation (Bassell et al., 1999; Jansen, 2001; Lucas et al., 2001; Banerjee et al., 2009). It is known that mobile mRNAs can influence phenotypes (Kim et al., 2001; Kudo and Harada, 2007) and, at least in one case, this was demonstrated to be the direct result of translation of the mobile mRNA (Schmelzer et al., 2005). The experimental evidence makes it clear that mRNAs are present in the vascular stream and can be transported with a high degree of specificity. There have been no studies yet that demonstrate the non-regulated mobility or diffusion of mRNA into the vasculature. Given the relative instability of nascent mRNAs (Shyu et al., 2008) and the identification of protein binding regions in mobile mRNAs (Gomez et al., 2005), it is generally believed that mRNA transport is mediated via a ribonuclear

protein complex (RNP) and movement of isolated single-stranded RNA (ssRNA) transcripts has not been reported (Lucas et al., 2001; Gomez et al., 2005; Lough and Lucas, 2006). Aside from providing protection against endogenous ribonucleases, RNP proteins may provide additional information for targeting functions. Utilization of the mRNA transit mechanisms with specific anti-pathogen transcripts may be a viable strategy for improving pathogen resistance of scions, although no specific examples for this approach have been described at present.

Future applications will likely involve the addition of “zip codes” to target rootstock-generated transcripts to specific scion tissues or organs. Under the control of temporal, developmental, or inducible promoters in a rootstock, the effects of the transgene in the scion would be evident while maintaining the shoot, as well as its seed and pollen, free from transgenic DNA. In *Arabidopsis*, the mean and median half-lives of mRNAs are 5.9 and 3.8 h, respectively, but this varies with mRNA function and sub-cellular localization (Narsai et al., 2007). Given the relatively short half-lives of RNA transcripts, it is possible that once fruit and other products are harvested by removal from the plant, any transgenic RNAs in the scion tissues would degrade because the conduits from the sites of RNA synthesis, the source rootstocks, have been severed. Much remains to be discovered in the field of nucleic acid movement and associations before applications that can utilize mobile, scion-targeted mRNAs are sufficiently defined to permit their exploitation.

#### SMALL NON-CODING RNAs

Small double stranded RNAs [sRNAs, less than 200 nucleotides (nt)] that participate in gene silencing can be divided into two major groups: siRNA and miRNA. siRNAs are generated from perfect double stranded RNAs produced by RNA-dependent RNA polymerase and can be induced by viruses, genetic constructs, or experimentally introduced. miRNAs are derived from non-coding, imperfect stem-loop RNAs and transcribed from their own promoters by RNA polymerase II. Both are processed by the RNA-induced silencing complex, but while siRNAs have a strictly silencing or quenching effect on gene expression, miRNAs are able to regulate gene expression in a much more tunable manner (Vazquez et al., 2010).

The silencing effect can be cell-autonomous or non-autonomous, the latter indicating that silencing effects can be exerted over long-distances from the site of synthesis. With endogenous miRNAs, evidence indicates that most appear to be cell-autonomous (Parizotto et al., 2004; Alvarez et al., 2006). There are exceptions; for instance, the gradual spreading and accumulation of miRNA166 in phloem tissue has been observed during leaf development (Juarez et al., 2004). In addition, regulation of transcription factors in roots and xylem patterning due to crosstalk between miRNA166 and miRNA165 and transcription factors has been observed (Carlsbecker et al., 2010). Additionally, long-distance movement of miRNA399 is essential for inorganic phosphate uptake in the roots of phosphate-stressed *Arabidopsis*, rapeseed, and pumpkin (Lin et al., 2008; Pant et al., 2008).

Non-cell-autonomous gene silencing was first shown in tobacco with the nitrate reductase gene (Palauqui and Vaucheret, 1995). Subsequent grafting experiments confirmed that, in

addition to its non-cell-autonomous nature, the effect could spread unidirectionally from the tobacco rootstock to tobacco scions across a 30-cm WT-grafted “bridge” (Palauqui et al., 1997). Similar results were later reported in grafted sunflower using a GUS marker gene (Hewezi et al., 2005). In both tobacco and sunflower, the silencing effect was unidirectional, from rootstock to scion. Three-week-old embryos (seeds) derived from self-fertilized graft-silenced scions in sunflower did not show the silencing effect, demonstrating that, at least in this case, the silencing signal was not transmitted to the progeny through the graft. Both of these examples used sense transgenes, therefore this type of silencing effect commonly is referred to as co-suppression. Several other groups working with similar systems have reported analogous results (Voinnet et al., 1998; Sonoda and Nishiguchi, 2000; Crete et al., 2001; Mallory et al., 2003; Tournier et al., 2006).

In contrast, antisense silencing was shown in tobacco to be not graft-transmissible regardless of whether the signal originated in the scion or rootstock (Crete et al., 2001). In tomato grafting experiments with the ACC oxidase gene, antisense silencing of scion ACC oxidase was not seen early after graft establishment, however after several weeks a graft-transmissible silencing was observed (Shaharuddin et al., 2006). This time lag may account for why the earlier experiments concluded that there was no silencing in grafted antisense lines. A high level of expression of the target gene in the scion was necessary for the detection of silencing by Northern hybridization, as a result of expression of the antisense construct in the rootstock, a situation similar to the nitrate reductase experiments discussed earlier (Palauqui et al., 1997). Thus, experimental time lines, the levels of target gene(s) expression, and the model organisms used may be important determinants of the efficacy of antisense silencing in grafted systems.

It has also been shown that even when target gene(s) are not present in the recipient graft, transgenic siRNAs (in addition to endogenous sRNAs) can accumulate from donor grafts (Molnar et al., 2010). *Arabidopsis* containing a GFP inverted-repeat silencing construct as the donor was grafted with WT or GFP-expressing scions as recipients. The sRNAs identified in scion tissues included siRNAs generated as a result of the GFP construct and a substantial population of endogenous sRNAs from the rootstock donor as well. Size classes ranging from 21 to 25 nt were most abundant, and the 24-nt class directed epigenetic modification of the GFP signal in the scion. The massively parallel deep sequencing methods used by this group showed that if a silencing target was not present in the recipient (i.e., completely WT-scion without GFP), then siRNAs generated from hairpin-GFP in the rootstock were still present in the scion, albeit at levels several of orders of magnitude lower. This could be why previous experiments using less sensitive detection techniques, such as Northern blots, did not detect mobility of the signal. A recent report has shown that beyond the 24-nt siRNAs mentioned above, all size classes of siRNAs can trigger homologous sequence-specific methylation of targets at long-distances, at least in *Arabidopsis* (Dunoyer et al., 2010).

What facilitates the movement of sRNAs? sRNAs (~15 kDa) and associated RNPs are small enough to be translocated based on their size, since experiments have shown that a 27-kDa GFP is able to diffuse into the vascular system (Imlau et al., 1999; Kim et al., 2005). Results of experiments where movement proteins are

included indicate that spreading of the silencing signal is at least partially dependent on the size of the plasmodesmatal apertures (Kobayashi and Zambryski, 2007). Alternatively, movement of the silencing signal might be selective, perhaps requiring protein–protein, or protein–nucleic acid interactions in order to obviate the apparent plasmodesmatal aperture size exclusion limit. This view is supported by experiments involving mutants defective or deficient in the ability to move signals (Dunoyer et al., 2005, 2007; Yelina et al., 2010). Regardless of uncertainties related to the mechanism(s) of sRNA movement, the evidence demonstrates that movement does indeed occur through the phloem component of the vascular system and is mediated by plasmodesmata, at least to some degree.

Many experiments have been performed regarding the mobility of RNAs, both large and small, but whether the same pathways that are used for the movement of mRNA are used for miRNA or siRNA movement has not been determined. The emerging idea that sRNAs are involved in physiology, defense, and development, both cell autonomously and for long-distance signaling, is becoming more widely accepted (Buhtz et al., 2010). Given the variability in mobility detected across several studies, it seems that plasmodesmata-based transport of sRNAs is a regulated process. However, the molecular mechanisms that mediate sRNA mobility and whether they are *cis* or *trans*-acting are unknown.

Researchers have successfully employed strategies that utilize the expression of siRNAs in order to protect the plant root zone from pests and pathogens (Escobar et al., 2002; Klink and Matthews, 2009). For example, in soybean, resistance strategies that target soybean cyst nematode genes, including those associated with stimulating root growth in infected plants, sperm production, and female development have been tested (Huang et al., 2006; Steeves et al., 2006; Klink et al., 2009). By grafting these plants to WT scions, systemic protection may be achieved in a manner similar to the virus resistance reported in tobacco (Smirnov et al., 1997) and more recently in cassava (*Manihot esculenta*) in experiments demonstrating control of the devastating *Cassava brown streak Uganda virus* (Yadav et al., 2011). Aside from pathogen resistance, down-regulation, and/or epigenetic modification of transcripts and genetic networks in the scion or the rootstock also appear to be possible through the use of siRNAs and could influence scion-specific characteristics, such as flowering time, fruit production or quality, or root characteristics, such as tuberization in potatoes (Martin et al., 2009).

## PROTEIN

In addition to RNAs, proteins may be transported over long-distances in a regulated fashion. Certain motifs, reminiscent of nuclear localization signals, allow protein entry into CC and subsequently into the phloem for long-distance movement. Despite the evidence for selective and regulated processes for protein long-distance translocation, there is also evidence that shows non-specific “leakage” of supposedly cell-autonomous proteins into sieve tubes and subsequently into sink tissues. Xylem vessels, which mainly transport water and low molecular weight inorganic and organic solutes, have been shown to contain proteins, although at lower concentrations than in phloem sap (Aguero et al., 2008; Buhtz et al., 2010). Proteins targeted to the apoplast may

inadvertently enter xylem or phloem vasculature and subsequently be transported to and unloaded in sink tissues.

Examples of movement of proteins include exogenous viral movement proteins, endogenous transcription factors and xylem/phloem proteins (P-proteins). Some of the first studies of xylem protein transport involved viral movement proteins (Wolf et al., 1989), but as knowledge has progressed, more researchers have been able to demonstrate mobility of endogenous plant proteins. For many years, proteins had been observed in the phloem, but the idea of a coordinated, selective, and regulated process of trafficking, influencing not only development, but plant responses to environmental cues is a more recent idea that has gained support (Kehr, 2009). Mobile proteins or non-cell-autonomous proteins (NCAPs) may be encoded by as many as 20% of the genes in *Arabidopsis* (Lee et al., 2006). A comprehensive analysis of phloem sap proteins in pumpkin and cucumber using high resolution 2-D gel electrophoresis and partial sequencing by mass spectrometry identified several hundred proteins in the phloem, and the majority of these proteins may have roles in stress and defense reactions (Walz et al., 2004).

Models of the mechanics underlying protein mobility in the vasculature include the structures associated with the vascular tissue. Within the phloem, SE, which lack a nucleus, ribosomes, and a vacuole, depend on neighboring CC for maintenance of their metabolic tasks (Fisher et al., 1992). Because mature SE cells cannot synthesize proteins, the likely origins of proteins in the phloem are immature SE or CC. Structurally different from the plasmodesmata that connect mesophyll cells, specialized plasmodesmata between CC and SE are branched with all of the branches on the CC side funneling to a single opening on the SE membrane side. The requirements for specificity of transport between CC and SE are not completely known but accumulating evidence points to the importance of these branched plasmodesmata. Reviews from two research groups establish plasmodesmata as the “gatekeepers” of macromolecular transport into the SE (Zambryski and Crawford, 2000; Lough and Lucas, 2006). The specific mechanisms governing the regulation of plasmodesmatal apertures are still a mystery, but fluorescently labeled dextrans and GFP expression have been used to study plasmodesmatal size exclusion limits and their function under differing conditions. Through grafting, the vascular networks (phloem and xylem) of both rootstock and scion become connected and what is mobile in the rootstock vascular networks is likely to become mobile in the vascular networks of the scion.

In a thorough heterografting experiment involving 11 interspecific and intergeneric Cucurbit graft combinations, several structural P-proteins appeared in the recipient phloem exudate, as shown by SDS-PAGE and Coomassie staining. The results effectively demonstrated the direction of transmission was dependent on the combination of heterograft used, with some graft partners taking the role of donor or acceptor, and some able to perform both roles (Golecki et al., 1998). This has clear implications for choosing of graft partners for GE-modified rootstocks. Fluorescence microscopy of graft junctions has shown sieve tube bridges connecting scion external bundle phloem to internal bundle rootstock phloem when mobility was demonstrated. This observation identified physical continuity within the phloem as a prerequisite

for mobility of proteins, but did not resolve the selective directionality observed (Golecki et al., 1999). When two Cucurbit structural P-proteins, PP1 and PP2 were examined in intergeneric grafts, RT-PCR and Northern blots demonstrated that protein products rather than mRNA transcripts were translocated across the graft junctions.

In addition to structural proteins, RNA-binding proteins appear to be abundant in the phloem translocation stream. Phloem sap collected and analyzed from four different sources (cucumber, lupine, castor bean, and yucca) all contained sRNAs of 18–25 nt sizes with various abundance profiles for each species. Fractionation of the phloem sap from pumpkin, cucumber, and lupine also identified a small ~27 kDa protein (PSPR1) that bound strongly to 18–24 nt ssRNA. After cloning the pumpkin *PSPR1* gene, microinjection studies demonstrated that PSPR1 specifically shuttled a high percentage of the ssRNAs across cell boundaries. In these studies, co-injection and subsequent movement of a 20-kDa fluorescent dextran showed that plasmodesmatal aperture was at least 20 kDa. Apparently, dilated plasmodesmata alone were not sufficient to allow the movement of ssRNAs between cells, since use of another protein shown to increase plasmodesmatal apertures (KN1) was not sufficient to allow the movement of the ssRNAs (Yoo et al., 2004). Given that the ssRNAs were approximately 8 kDa, their lack of movement when KN1 was provided suggested a sequestration mechanism or a more complex ssRNA-binding protein interaction than is currently presumed.

In an informative experiment, rice thioredoxin (RPP13-1) a major phloem sieve tube protein with basic antioxidant functions, was expressed in *E. coli* and fluorescently labeled with FITC (Ishiwatari et al., 1995). In tobacco, the labeled, heterologously expressed RPP13-1 protein was observed to migrate beyond the site of injection. However, the similarly purified and labeled *E. coli* homolog of RPP13-1 was not phloem-mobile under duplicate conditions, suggesting significant sequence or structure requirements for movement. Co-injection of rice RPP13-1 and FITC-labeled dextrans established that RPP13-1 increased the plasmodesmatal size exclusion limit to 9–20 kDa, from ~1 kDa. Furthermore, two mutants of RPP13-1 that were deficient for mobility were identified and crystal structure prediction studies suggested that charged clusters of residues on the outer surface were responsible for binding and/or transport of RPP13-1 through the companion cell-plasmodesmata complex (Ishiwatari et al., 1998).

Aoki et al. (2002) demonstrated the importance of protein structure for mobility using two heat shock proteins (HSPs), CmHsc70-1 and CmHsc70-2, that had been isolated from pumpkin phloem sap. In microinjection experiments, CmHsc70-1 and CmHsc70-2, interacted with plasmodesmata, increasing the size exclusion limit and thereby, enhanced their own cell-to-cell transport. The C-terminal region of these HSPs potentiated their non-cell-autonomous mobility through the plasmodesmata. A gain-of-function experiment in which the C-terminal cucumber HSP motif was fused to a human Hsp70 protein established that the fusion protein, but not WT human Hsp70, could move from cell-to-cell following microinjection into pumpkin cotyledons, much like the movement of injected intact CmHsc70-1 and CmHsc70-2. Interestingly, fusing the HSP C-terminal motif to GFP did not

result in cell-to-cell migration, suggesting that at least in this case, the targeting motif was only active in the context of highly conserved HSPs (Aoki et al., 2002). Unlike nuclear localization signals or ER-targeting peptides, vascular system targeting peptides may have several different motifs, perhaps suggesting specialized interactions with different families of proteins, and/or selective import/export mechanisms.

While targeting motifs appear to be important in regulating mobility, a non-regulated diffusion-based mechanism in the symplast from one cell to another is supported by the observation that protein size influences non-targeted movement of GFP but differences appear to be species- and developmental stage-dependent. Earlier studies indicated that non-regulated diffusion is limited to ~50 kDa proteins in mature leaves and 60 kDa proteins in developing leaves (Oparka et al., 1999; Crawford and Zambryski, 2000).

Unregulated diffusion-based movement across the sieve tube element-companion cell complex has been observed when CC-specific promoters (e.g., AtSUC2) regulate 27 kDa GFP expression. GFP was detected in the SE and carried to sink tissue in the translocation stream (Imlau et al., 1999). While it was perhaps not surprising to detect the GFP in the vascular system due to the porous end plates of the SE, unloading of the GFP into the mesophyll sink cells was unexpected. Using the same promoter, GFP-fusions as large as 67 kDa subsequently were shown to traffic from CC to SE in root tips, although larger variants were restricted to a zone of cells adjacent to the mature protophloem. Only the smaller GFP variants (27–36 kDa) moved beyond this zone (Stadler et al., 2005).

To add further complexity to protein trafficking and regulation, phosphorylation, and glycosylation are required for pumpkin CmPP16 to interact and form a stable complex with the mobility-endowing protein, Nt-NCAPP1, prior its phloem trafficking (Taoka et al., 2007). Discrepancies in observed mobility from one study to another could be attributed to phosphorylation and glycosylation since earlier studies did not take these post-translational, covalent modifications into consideration.

Two groups have demonstrated that non-endogenous proteins are retained in the rootstock. The *Gastrodia* antifungal protein (GAFP-1, a lectin) expressed by transgenic plum rootstocks under the control of the constitutive CaMV35S promoter was identified in roots by immunoblot, but not in the soft shoot or leaf tissues of grafted, WT scions. This suggested that GAFP-1 was not moving into the WT-scion tissues of transgrafted plum trees (Nagel et al., 2010). In the other example, transgenic watermelon rootstocks over-expressing a cucumber mottle mosaic virus coat protein (CGMMV-CP) gene were transgrafted with WT watermelon. Protein expression and mRNA levels were detected in the transgenic rootstock but not in the non-transgenic scion (Youk et al., 2009). Detection limits of the techniques utilized were not reported in either of these studies. A pokeweed (*Phytolacca americana*) antiviral protein was expressed in transgenic *Nicotiana tabacum* rootstocks and provided resistance to potato virus X in NN and nn grafted non-transgenic scions. However, the antiviral protein was detected only in the rootstocks and not in the grafted scion tissues (Smirnov et al., 1997). The basis for resistance expression in this situation is not clear.

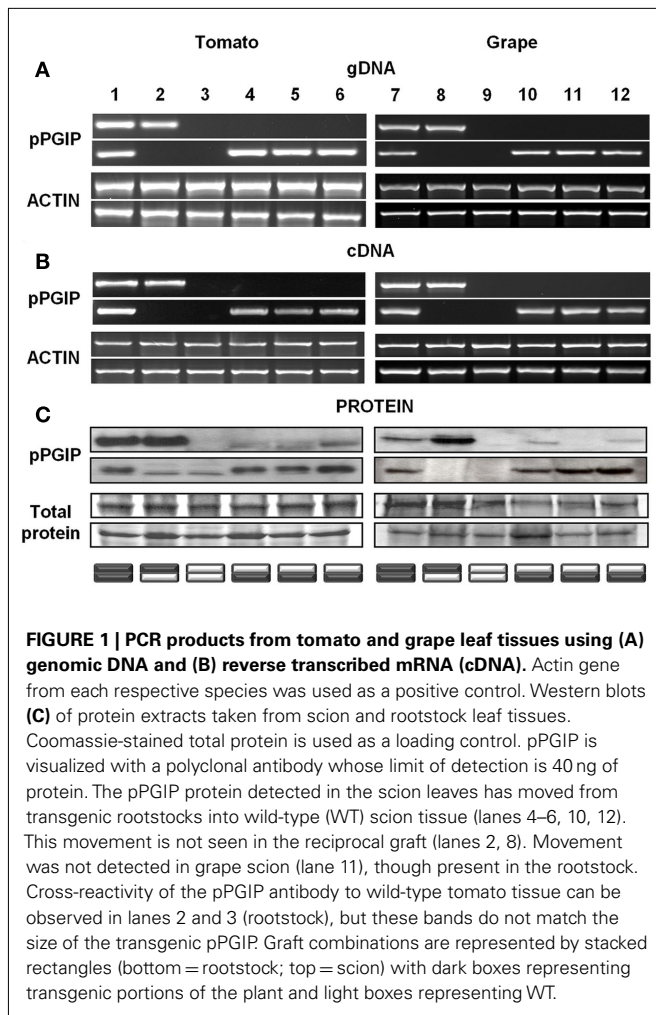
Protein translocation from a transgenic rootstock to a WT-scion will likely depend on the species and/or type of protein in the transgene construct. Should proteins encoded by transgenes manage to migrate to the scion, their longevity is a consideration. For example, NPTII and GUS proteins have estimated half-lives of 6–7 min and 36 h, respectively, *in planta* (Lo et al., 2005). If NPTII were translocated to scions it would be lost rapidly, but the GUS protein would not be reduced to 1% of the initial level accumulated in scions for 10 days.

Research on the production of proteins encoded by transgenes in rootstocks for delivery to scions arguably is more advanced than analogous work with the use of nucleic acids. For example, researchers at the University of Florida have engineered grape rootstocks that deliver hybrid lytic peptides to control bacterial and fungal diseases (Dutt et al., 2007; Gray et al., 2007). Work in our lab has shown that delivery of a protein that inhibits microbial maceration of plant cell walls is possible (below). While advances to date have focused on delivery of single gene products with specific functions to scions, future advances may target transport of transcription factors that influence expression of multiple genes, which could coordinate concerted scion responses to complex challenges such as pathogens, pests, or abiotic stresses.

#### DELIVERY OF ANTI-PATHOGEN PROTEINS FROM ROOTSTOCKS TO SCION: THE pPGIP EXAMPLE

Proteins that are delivered to and function in the apoplast can provide protection against pathogens, particularly those pathogens that target the cell wall. The plant cell wall is the site where the molecular conversations that determine the host plant's fate are begun (Cantu et al., 2008a,b). In many plant-microbe or plant-pathogen interactions, the plant cell walls are a major obstacle to colonization or expansion within plant tissues. To overcome this barrier, most fungal pathogens produce a variety of enzymes, which degrade the host cell wall. Polygalacturonases (PGs) (EC 3.2.1.15) are often the first enzymes secreted during the infections (Collmer and Keen, 1986). PGs cleave  $\alpha$ -(1 → 4) linkages between D-galacturosyl residues in pectic homogalacturonan, causing cell separation and tissue maceration. *Botrytis cinerea* expresses six PGs during infection and growth on plant hosts (Wubben et al., 1999) and the PG-inhibiting protein (PGIP) produced in pear fruit (pPGIP), inhibits some but not all of these PGs (Sharrock and Labavitch, 1994).

Given the importance of PGs in pest and pathogen interactions with plants, it is not surprising that PGIPs are components of the defenses against invasion by pathogens and pests (Powell et al., 2000; Ferrari et al., 2003; Aguero et al., 2005; Shackel et al., 2005; Celorio-Mancera et al., 2008). Tomato foliar and ripe fruit resistance to the fungal pathogen, *B. cinerea*, is improved about 40% by the constitutive over-expression of pPGIP in tomatoes (Powell et al., 2000). The Miridae insect, *Lygus hesperus*, produces PGs that cause damage to alfalfa and cotton florets (Shackel et al., 2005) and PGIPs can inhibit these PGs and may, therefore, reduce the damage to plant tissues (Celorio-Mancera et al., 2008). The nematode, *Meloidogyne incognita* causing root knot disease expresses PGs (McCarter et al., 2003), but it is not known if they can be inhibited by PGIPs. PGIPs expressed in rootstocks, therefore, are potential anti-pathogen proteins that



could be delivered from the rootstock to the scion in transgrafted plants.

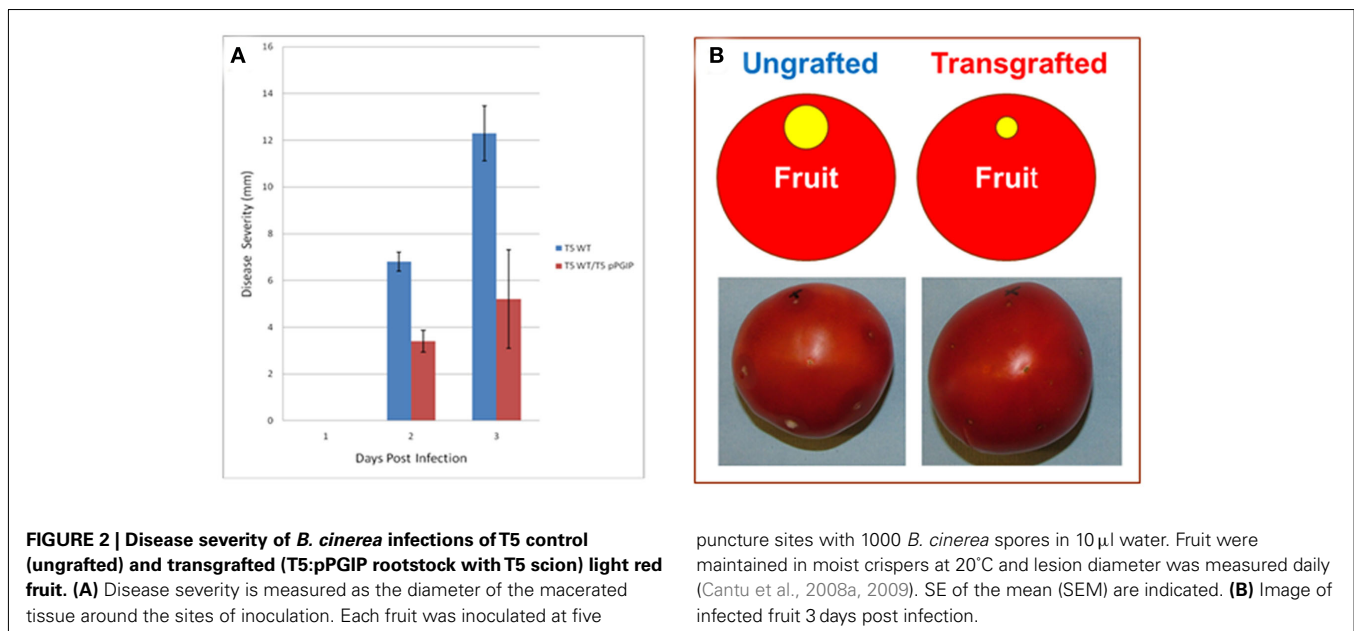
Our work has shown that pPGIP expression reduces the effects of Pierce's Disease in grapevines, caused by the bacterium, *Xylella fastidiosa* (Aguero et al., 2005) because it inhibits the *X. fastidiosa* virulence factor, PG (Roper et al., 2007; Perez-Donoso et al., 2010). As with other vascular pathogens, the *X. fastidiosa* PG contributes to disease development by digesting the polysaccharides in the pit membranes of the xylem network. When intact, these so-called "membranes" (they are actually primary cell wall structures) help to prevent the pathogen's vessel-to-vessel spread from the initial sites of infection of grapevines (Sun et al., 2011). Because pPGIP inhibits the *X. fastidiosa* PG and because pPGIP can enter the xylem, PGIPs in the xylem of both the rootstock and the scion could provide protection against other PG-utilizing pathogens in the water transport system.

We have observed that when pPGIP-expressing transgenic plants are used as rootstocks onto which non-expressing scions are grafted, the pPGIP protein, but not the pPGIP-encoding nucleic acids, are exported to the scion, crossing the graft union via the xylem system (Aguero et al., 2005). In grafted tomato plants expressing pPGIP in the rootstock, pPGIP protein has been detected in scion leaves (Figure 1). Similarly, in grafted grapevines, we have observed the pPGIP protein in the wild-type scion tissue grafted onto pPGIP-expressing rootstocks (Figure 1).

Furthermore, we have observed that expression of pPGIP in rootstocks reduces pathogen damage in scion tissues (Figure 2). Thus, defense factors in roots (e.g., pPGIP) can be made available to scions via grafting, improving the vigor, quality, and pathogen/pest resistance of the food-producing scion and its crop.

## CONCLUDING REMARKS

Grafting has been used extensively to improve productivity, mainly in woody perennial horticultural crops like fruits and nuts, but is





increasingly used to enhance the productivity and disease resistance of high-value vegetable crops. Transgrafting should extend the utility and value of the grafting strategy, enabling the utilization, in rootstocks, or scions, of transgenes whose products serve novel, potentially powerful functions. Obvious examples are the introduction of genes with demonstrated efficacy in disease resistance (e.g., PGIPs) and pest control, but also may include traits that target developmental events, metabolic pathways, or fruit quality. In designing and utilizing these strategies it will be important to consider the mechanisms that regulate long-distance translocation of DNA, RNA, sRNAs, and proteins to assess the durability and efficacy of alternative strategies. Key questions regarding

regulatory consideration also must be assessed as this technology matures and research projects approach commercial reality. With increasing understanding of the mobilization of transgene-encoded molecules, researchers continue to expand the ability to deliver agronomic improvements to food products, extending the utility of horticultural grafting and providing a modern arsenal of options to an ancient art.

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