From public mental health to community oral health: the impact of dental anxiety and fear on dental status

Antonio Crego¹*, María Carrillo-Díaz², Jason M. Armfield³ and Martín Romero²

¹ Department of Psychology, Madrid Open University (Udima), Madrid, Spain

² Department of Paediatric Dentistry, Rey Juan Carlos University, Madrid, Spain

³ Australian Research Centre for Population Oral Health, University of Adelaide, Adelaide, SA, Australia

Edited by:

Fotios C. Papadopoulos, Uppsala University, Sweden

Reviewed by:

Navvab Shamspour, Iranain Red Crescent Society, Iran Dirk Richter, Bern University of Applied Sciences, Switzerland

*Correspondence:

Antonio Crego, Department of Psychology, Madrid Open University (Udima), Camino de la Fonda, s/n Collado-Villalba, Madrid E-28400, Spain

e-mail: antonio.crego@udima.es

Dental fear is a widely experienced problem. Through a "vicious cycle dynamic," fear of dental treatment, lower use of dental services, and oral health diseases reinforce each other. Research on the antecedents of dental anxiety could help to break this cycle, providing useful knowledge to design effective community programs aimed at preventing dental fear and its oral health-related consequences. In this regard, frameworks that analyze the interplay between cognitive and psychosocial determinants of fear, such as the Cognitive Vulnerability Model, are promising. The onset of dental fear often occurs in childhood, so focusing on the child population could greatly contribute to understanding dental fear mechanisms and prevent this problem extending into adulthood. Not only can public mental health contribute to population health, but also community dentistry programs can help to prevent dental fear. Regular dental visits seem to act in a prophylactic way, with dental professionals playing an important role in the regulation of the patients' anxiety-related responses. Both public mental health and community dentistry could therefore benefit from a multidisciplinary approach to dental fear and oral health.

Keywords: dental anxiety, oral health, cognitive vulnerability model, access to health services, community dentistry

Mental disorders and subclinical psychological problems may influence physical health, acting as a barrier to seeking needed treatment. Conversely, physical conditions appear to increase the risk of suffering from mental illness (1–5). This "vicious cycle dynamic" has been also found in dental fear problems. Dental anxiety can block normal access to health services, which increases the risk of experiencing oral health diseases, and the progressive worsening of untreated oral symptoms can in turn reinforce the fear of dental procedures.

We argue that dental fear should be a matter of concern for public mental health, as this problem has a high prevalence in both adult and child populations and deleterious health-related consequences. Drawing from previous research, insights into the interplay between public mental health and community dentistry are provided. From our point of view, a multidisciplinary approach could be useful to prevent dental fear and promote oral health in child and adult populations, objectives which are connected to one another.

PREVALENCE OF DENTAL FEAR AND ANXIETY IN CHILD AND ADULT POPULATIONS

Figures for dental fear prevalence vary greatly. While some studies have reported percentages of adults with dental fear ranging between about 5 and 7% (6, 7), other surveys have reported considerably higher prevalence rates. For example, 24.3% of Dutch adults were found to have dental fear (8), 16.1% of the Australian population older than 5 years reported high levels of dental fear (9), and rates of extreme dental fear varied between 6 and 14% in a study carried out among Japanese university students (10).

The variation in prevalence rates across different studies could be a consequence of the diversity of measures of dental fear, methods, and study populations, but they could also reflect discrepancies in the criteria used to define dental fear and anxiety (8, 11). One study reporting results from a representative sample of the Australian adult population used the Index of Dental Fear and Anxiety, which allowed the calculation of several anxietyrelated scores using differing diagnostic criteria. According to this research, 0.9% of surveyed people met DSM-IV criteria for a diagnosis of dental phobia, 2.2% suffered dental phobia if the criteria referred to acknowledging one's fear as excessive or irrational were omitted, and 4.9% of respondents experienced a phobic disease with a dental component (12). Spanish research using the same instrument found similar results in a convenience sample, with 1.7% of participants meeting criteria for a DSM-IV diagnosis of specific dental phobia, 3.5% meeting less restrictive criteria of dental phobia, and 5.2% presenting phobia or disorder with a dental component (13).

The prevalence of dental fear in children is even more difficult to establish precisely. For instance, Rantavuori found prevalence rates varying from 6 to 56% depending on the study's characteristics (14). Klingberg and Broberg, identified a narrower range, finding percentages of dentally fearful children ranging from 5.7 to 19.5%, depending on child age, with an overall mean of 11.1% (15). Previous research has also frequently reported figures within this range. For instance, high levels of dental fear have been found to be present in 7.6% of French children aged 5–11 years (16), 7.1% of British children aged 13–14 years (17), and 6.7% of Swedish children aged 4–11 years (18). A series of studies carried out in Spain has reported percentages between 4.9 and 13.6% in samples including participants aged from 7 through to 18 years (19–22).

Summarizing the existing literature, we currently lack a precise estimate of the percentage of people affected by dental fear and anxiety; however, a relatively high percentage of child and adult populations apparently suffer from high dental anxiety.

THE VICIOUS CYCLE DYNAMICS CONNECTING DENTAL ANXIETY AND ORAL HEALTH DISEASES

Dental fear has been argued to represent a major barrier to the delivery of oral health services. Several researchers have identified the existence of "vicious cycle dynamics" (23, 24) whereby dental fear is associated with a lower or more irregular frequency of dental visits (17, 21, 25–30), resulting in a worsening oral health status (30–33) and the subsequent reinforcement of dental treatment-related anxiety.

As dentally anxious patients are reluctant to seek dental care, they rarely benefit from preventive actions provided by regular check-ups. Furthermore, current oral pathologies of low or medium severity frequently remain untreated. In the absence of adequate dental treatment, oral symptoms will inevitably worsen, resulting in more severe oral health problems (30–33), which often require more intensive, urgent, and expensive treatments. Many dentally fearful patients will only attend the dentist when dental care is unavoidable, due to experienced pain or the intensity of symptoms. Not surprisingly, patients with more severe oral pathologies are more likely to receive potentially aversive dental treatments, which would confirm the patients' anticipated negative expectations of dental visits and reinforce the avoidance pattern associated with dental anxiety (23, 24).

The "vicious cycle mechanism" can also be seen among children, with dental fear, attempts to escape from or avoid dental treatments, and poorer oral health status also associated in this population. Children with high dental anxiety have been found to visit the dentist less frequently (25, 26), and they also experience more untreated caries, worse periodontal condition, a higher number and probability of missing teeth, and greater need of oral rehabilitation (16, 27, 28, 34–36).

PUBLIC MENTAL HEALTH MEETS COMMUNITY DENTISTRY

Public mental health can contribute significantly to population oral health. As discussed, dental fear can act as a hindrance to dental care delivery, and this psychological problem can eventually turn into a health concern. In particular, addressing dental fear problems in child populations seems a priority task, due not only to its emotional- and health-related consequences, but also because child dental fear is frequently the precursor to dental fear problems in adulthood. Locker et al., for instance, found that approximately 50% of dental fearful individuals indicated that their fear developed in childhood, whereas 27 and 23% reported that their fear originated in adolescence and adulthood, respectively (37). More strikingly, Berggren and Meynert found that dental anxiety problems started in childhood for 85.3% of adults attending a specialized community dental unit for dental fear treatment (31).

Research has shown that dental treatment-related cognitive elements, i.e., thoughts, beliefs, assessments, and expectations about dental care delivery, constitute a major influence on dental fear (38-42). Indeed, cognitive elements have been found to be the best predictors of a person's level of dental fear as compared with other variables connected with this problem (43). The Cognitive Vulnerability Model, in particular, has identified a cognitive schema, which comprises four inter-related assessments associated with dental fear (44). According to this framework, dentally fearful people assess dental events as potentially dangerous, uncontrollable, unpredictable, and disgusting. Overall, research conducted using this model has supported the idea of a cognitive vulnerability schema operating in dental fear in both adults (45, 46) and children (29, 47), with cognitive vulnerability perceptions accounting for just under one-half of the variance in dental fear scores beyond that accounted for by demographic variables and aversive dental experiences (45). However, while negative thoughts about dental treatments are clearly a strong risk factor for both dental fear and poorer oral health, we still do not know the extent to which these cognitions are present in the population, and this remains a task for research in public mental health.

Family-related variables also seem to play a role in both dental anxiety and fearful dental cognitions. Parental and child dental fear have been found to be consistently associated (48). Children's fearful cognitions are connected with those of their parents, and parents' cognitive vulnerability levels are significant predictors of children's dental fear (46). Public mental health programs are suggested to address the interplay between cognitive assessments and family-related issues, in order to reduce children's levels of dental fear.

While community dentistry could benefit from public mental health involvement, population mental health, in relation to dental fear in particular, could be improved by dental professionals' work. For instance, regular check-ups could help provide children with positive or neutral dental treatment-related experiences. It is generally regarded that frequent attendance to the dentist fosters familiarity with dental procedures and helps to prevent future dental anxiety problems (41, 49, 50), playing a prophylactic role concerning the development of dental fear and children.

CHALLENGES FOR DENTAL FEAR RESEARCH IN A PUBLIC HEALTH CONTEXT

Previous research has shown that dental anxiety is not only a mental health issue but also a public health concern. Fear of dental treatment increases the likelihood of experiencing oral health problems as a result of irregular or delayed dental visiting, and severe oral symptoms may reinforce dental fear, as potentially disgusting or threatening interventions are anticipated.

As discussed above, dental phobia and subclinical dental fearrelated problems are relatively common in the population. However, more precise data on the prevalence of dental fear-related problems are required, which could help to identify groups at risk of experiencing this problem. Epidemiological studies should pay attention to both dental phobia and subclinical forms of this problem, otherwise relevant information will be missed (51). People with moderate/high levels of dental fear and anxiety, even if not meeting DSM-5 criteria for a dental phobia diagnosis, can enter into the "vicious cycle" of dental fear and be reluctant to regularly visit the dentist.

Breaking the vicious cycle of fear and anxiety requires a multidisciplinary approach, which involves both mental health and community dentistry professionals. From our point of view, research in this field faces two main challenges. First, better knowledge of the antecedents of dental anxiety are needed in order to design effective community programs aimed at preventing dental fear and its oral health-related consequences. In particular, the interplay between cognitive and psychosocial determinants of dental fear appears as a promising area of enquiry, as these factors could be targeted at a population level. The Cognitive Vulnerability Model, which focuses on dangerousness, uncontrollability, unpredictability, and disgustingness appraisals, offers a compelling framework to analyze these relationships (47). Second, studies focused on children could help to deepen our understanding of the mechanisms involved in dental fear development, as this problem usually emerges in childhood and subsequently extends to adulthood. In this regard, focusing on children's dental anxiety could be a smart strategy to prevent adults' fear.

REFERENCES

- Royal College of Psychiatrists. No Health Without Public Mental Health: The Case for Action. Position Statement PS4/2010. London: Royal College of Psychiatrists (2010). Available from: https://www.rcpsych.ac.uk/pdf/Position% 20Statement%204%20website.pdf
- Jones DR, Macias C, Barreira PJ, Fisher WH, Hargreaves WA, Harding CM. Prevalence, severity, and co-occurrence of chronic physical health problems of persons with serious mental illness. *Psychiatr Serv* (2004) 55(11):1250. doi:10.1176/appi.ps.55.11.1250
- Scott D, Happell B. The high prevalence of poor physical health and unhealthy lifestyle behaviours in individuals with severe mental illness. *Issues Ment Health Nurs* (2011) 32(9):589–97. doi:10.3109/01612840.2011.569846
- Dickerson FB, Brown CH, Daumit GL, LiJuan F, Goldberg RW, Wohlheiter K, et al. Health status of individuals with serious mental illness. *Schizophr Bull* (2006) 32(3):584–9. doi:10.1093/schbul/sbj048
- Robson D, Gray R. Serious mental illness and physical health problems: a discussion paper. *Int J Nurs Stud* (2007) 44(3):457–66. doi:10.1016/j.ijnurstu.2006. 07.013
- Hakeberg M, Berggren U, Carlsson SG. Prevalence of dental anxiety in an adult population in a major urban area in Sweden. *Community Dent Oral Epidemiol* (1992) 20(2):97–101. doi:10.1111/j.1600-0528.1992.tb00686.x
- Schuller AA, Willumsen T, Holst D. Are there differences in oral health and oral health behavior between individuals with high and low dental fear? *Community Dent Oral Epidemiol* (2003) 31(2):116–21. doi:10.1034/j.1600-0528.2003. 00026.x
- Oosterink FM, de Jongh A, Hoogstraten J. Prevalence of dental fear and phobia relative to other fear and phobia subtypes. *Eur J Oral Sci* (2009) 117(2):135–43. doi:10.1111/j.1600-0722.2008.00602.x
- Armfield JM, Spencer AJ, Stewart JF. Dental fear in Australia: who's afraid of the dentist? *Aust Dent J* (2006) 51(1):78–85. doi:10.1111/j.1834-7819.2006. tb00405.x
- Domoto PK, Weinstein P, Melnick S, Ohmura M, Uchida H, Ohmachi K, et al. Results of a dental fear survey in Japan: implications for dental public health in Asia. *Community Dent Oral Epidemiol* (1988) 16(4):199–201. doi:10.1111/j.1600-0528.1988.tb01753.x
- Armfield JM. How do we measure dental fear and what we are measuring anyway? Oral Health Prev Dent (2010) 8(2):107–15. doi:10.3290/j.ohpd.a19198
- Armfield JM. Development and psychometric evaluation of the index of dental anxiety and fear (IDAF-4C). *Psychol Assess* (2010) 22(2):279–87. doi:10.1037/ a0018678
- Carrillo-Díaz M, Crego A, Armfield JM, Romero M. Adaptation and psychometric properties of the Spanish version of the Index of Dental Anxiety and Fear (IDAF-4C+). Oral Health Prev Dent (2012) 10(4):327–37. doi:10.3290/j.ohpd. a28903
- 14. Rantavuori K. Aspects and Determinants of Children's Dental Fear. Oulu: Oulu University Press (2008). 102 p.

- Klingberg G, Broberg AG. Dental fear/anxiety and dental behaviour management problems in children and adolescents: a review of prevalence and concomitant psychological factors. *Int J Paediatr Dent* (2007) 17(6):391–406. doi:10.1111/j.1365-263X.2007.00872.x
- Nicolas E, Bessadet M, Collado V, Carrasco P, Rogerleroi V, Hennequin M. Factors affecting dental fear in French children aged 5-12 years. *Int J Paediatr Dent* (2010) 20(5):366–73. doi:10.1111/j.1365-263X.2010.01054.x
- Bedi R, Sutcliffe P, Donnan PT, McConnaghie J. The prevalence of dental anxiety in a group of 13- and 14-year-old Scottish children. *Int J Paediatr Dent* (1992) 2(1):17–24. doi:10.1111/j.1365-263X.1992.tb00003.x
- Klingberg G, Berggren U, Noren JG. Dental fear in an urban Swedish child population: prevalence and concomitant factors. *Community Dent Health* (1994) 11(4):208–14.
- Lara A, Crego A, Romero-Maroto M. Emotional contagion of dental fear to children: the fathers' mediating role in parental transfer of fear. *Int J Paediatr Dent* (2012) 22(5):324–30. doi:10.1111/j.1365-263X.2011.01200.x
- Carrillo-Díaz M, Crego A, Armfield JM, Romero M. The moderating role of dental expectancies on the relationship between cognitive vulnerability and dental fear in children and adolescents. *Community Dent Oral Epidemiol* (2013) 41(3):269–78. doi:10.1111/cdoe.12009
- Carrillo-Diaz M, Crego A, Armfield JM, Romero-Maroto M. Treatment experience, frequency of dental visits and children's dental fear: a cognitive approach. *Eur J Oral Sci* (2012) 120:75–81. doi:10.1111/j.1600-0722.2011. 00921.x
- 22. Carrillo-Díaz M, Crego A, Romero M. The influence of gender on the relationship between dental anxiety and oral health-related emotional wellbeing. *Int J Paediatr Dent* (2013) 23(3):180–7. doi:10.1111/j.1365-263X.2012. 01242.x
- Armfield JM, Stewart JF, Spencer AJ. The vicious cycle of dental fear: exploring the interplay between oral health, service utilization and dental fear. BMC Oral Health (2007) 7(1):1. doi:10.1186/1472-6831-7-1
- Armfield JM. What goes around comes around: revisiting the hypothesized vicious cycle of dental fear and avoidance. *Community Dent Oral Epidemiol* (2013) 41(3):279–87. doi:10.1111/cdoe.12005
- Milgrom P, Mancl L, King B, Weinstein P, Wells N, Jeffcott E. An explanatory model of the dental care utilization of low-income children. *Med Care* (1998) 36(4):554–66. doi:10.1097/00005650-199804000-00011
- Pohjola V, Lahti S, Vehkalahti MM, Tolvanen M, Hausen H. Association between dental fear and dental attendance among adults in Finland. *Acta Odontol Scand* (2007) 65(4):224–30. doi:10.1080/00016350701373558
- Klingberg G, Berggren U, Carlsson SG, Noren JG. Child dental fear: cause-related factors and clinical effects. *Eur J Oral Sci* (1995) 103:405–12. doi:10.1111/j.1600-0722.1995.tb01865.x
- Bedi R, Sutcliffe P, Donnan P, Barrett N, McConnachie J. Dental caries experience and prevalence of children afraid of dental treatment. *Community Dent Oral Epidemiol* (1992) 20:368–71. doi:10.1111/j.1600-0528.1992.tb00701.x
- Carrillo-Díaz M, Crego A, Armfield JM, Romero M. Self-assessed oral health, cognitive vulnerability and dental anxiety in children: testing a mediational model. *Community Dent Oral Epidemiol* (2012) 40:8–16. doi:10.1111/j.1600-0528.2011.00646.x
- Armfield JM. Predicting dental avoidance among dentally fearful Australian adults. Eur J Oral Sci (2013) 121(3, Pt2):240–6. doi:10.1111/eos.12036
- Berggren U, Meynert G. Dental fear avoidance: causes, symptoms and consequences. J Am Dent Assoc (1984) 109(2):247–51.
- Moore R, Brødsgaard I, Rosenberg N. The contribution of embarrassment to phobic dental anxiety: a qualitative research study. *BMC Psychiatry* (2004) 19(4):10. doi:10.1186/1471-244X-4-10
- 33. Armfield JM, Slade GD, Spencer AJ. Dental fear and adult oral health in Australia. Community Dent Oral Epidemiol (2009) 37(3):220–30. doi:10.1111/j. 1600-0528.2009.00468.x
- 34. Pramila M, Krishna-Murty A, Chandrakala B, Ranganath S. Dental fear in children and its relation to dental caries and gingival condition: a cross sectional study in Bangalore city, India. *Int J Clin Dent Sci* (2010) 1(1):1–5.
- 35. Lahti S, Tuutti H, Honkala E. The relationship of parental dental anxiety and childs caries status. *ASDC J Dent Child* (1989) **56**:191–5.
- Townend E, Dimigen G, Fung D. A clinical study of child dental anxiety. *Behav Res Ther* (2000) 38:31–46. doi:10.1016/S0005-7967(98)00205-8
- Locker D, Liddell A, Dempster L, Shapiro D. Age of onset of dental anxiety. *J Dent Res* (1999) 78(3):790–6. doi:10.1177/00220345990780031201

- Arntz A, Van Eck M, Heijmans M. Predictions of dental pain: the fear of any expected evil, is worse than the evil itself. *Behav Res Ther* (1990) 28(1):29–41. doi:10.1016/0005-7967(90)90052-K
- Kent G. Cognitive processes in dental anxiety. Br J Clin Psychol (1985) 24(4):259–64. doi:10.1111/j.2044-8260.1985.tb00658.x
- De Jongh A, ter Horst G. What do anxious patients think? An exploratory investigation of anxious dental patients' thoughts. *Community Dent Oral Epidemiol* (1993) 21(4):221–3. doi:10.1111/j.1600-0528.1993.tb00760.x
- De Jongh A, Muris P, Horst G, Duyx M. Acquisition and maintenance of dental anxiety: the role of conditioning experiences and cognitive factors. *Behav Res Ther* (1995) 33:205–10. doi:10.1016/0005-7967(94)P4442-W
- Wardle J. Dental pessimism: negative cognitions in fearful dental patients. *Behav* Res Ther (1984) 22:553–6. doi:10.1016/0005-7967(84)90058-5
- Carrillo-Díaz M, Crego A, Armfield JM, Romero M. Assessing the relative efficacy of cognitive and non-cognitive factors as predictors of dental anxiety. *Eur J Oral Sci* (2012) 120:82–8. doi:10.1111/j.1600-0722.2011.00924.x
- Armfield JM, Slade GD, Spencer AJ. Cognitive vulnerability and dental fear. BMC Oral Health (2008) 8:2. doi:10.1186/1472-6831-8-2
- Armfield JM. Towards a better understanding of dental anxiety and fear: cognitions vs. experiences. *Eur J Oral Sci* (2010) 118(3):259–64. doi:10.1111/j.1600-0722.2010.00740.x
- Edmunds R, Buchanan H. Cognitive vulnerability and the aetiology and maintenance of dental anxiety. *Community Dent Oral Epidemiol* (2012) 40(1):17–25. doi:10.1111/j.1600-0528.2011.00636.x
- Crego A, Carrillo-Díaz M, Armfield JM, Romero M. Applying the cognitive vulnerability model to the analysis of cognitive and family influences on children's dental fear. *Eur J Oral Sci* (2013) **121**(3, Pt 1):194–203. doi:10.1111/eos.12041
- 48. Themessl-Huber M, Freeman R, Humphris G, MacGillivray S, Terzi N. Empirical evidence of the relationship between parental and child dental fear: a

structured review and meta-analysis. *Int J Paediatr Dent* (2010) **20**(2):83–101. doi:10.1111/j.1365-263X.2009.00998.x

- Ten Berge M, Veerkamp JS, Hoogstraten J. The etiology of childhood dental fear: the role of dental and conditioning experiences. J Anxiety Disord (2002) 16:321–9. doi:10.1016/S0887-6185(02)00103-2
- Grembowski D, Milgrom PM. Increasing access to dental care for Medicaid preschool children: the access to baby and child dentistry (ABCD) program. *Public Health Rep* (2000) 115:448–59. doi:10.1093/phr/115.5.448
- Rössler W. What is normal? The impact of psychiatric classification on mental health practice and research. *Front Public Health* (2013) 1:68. doi:10.3389/ fpubh.2013.00068

Conflict of Interest Statement: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Received: 14 January 2014; accepted: 15 February 2014; published online: 28 February 2014.

Citation: Crego A, Carrillo-Díaz M, Armfield JM and Romero M (2014) From public mental health to community oral health: the impact of dental anxiety and fear on dental status. Front. Public Health 2:16. doi: 10.3389/fpubh.2014.00016

This article was submitted to Public Mental Health, a section of the journal Frontiers in Public Health.

Copyright © 2014 Crego, Carrillo-Díaz, Armfield and Romero. This is an open-access article distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or reproduction in other forums is permitted, provided the original author(s) or licensor are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms.