

# Maximum Order of Periodic Outer Automorphisms of a Free Group

Zhiqiang Bao<sup>1</sup>

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Let  $F_n$  be a free group with rank  $n$ , and denote by  $\text{Out } F_n$  its outer automorphism group. For arbitrary  $n$ , consider the orders of periodic elements in  $\text{Out } F_n$  or, equivalently, the orders of finite cyclic subgroups of  $\text{Out } F_n$ . By considering group actions on finite connected graphs, we obtained the number-theoretical characterization of these orders. Comparing the results with those for cyclic subgroups of finite symmetric groups asymptotic estimation for the maximum order  $c_n$  is derived. © 2000

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*Key Words:* free group; outer automorphism.

## 1. INTRODUCTION

Denote by  $F_n$  a free group with rank  $n$ . Its inner automorphism group is defined by

$$\text{Inn } F_n = \{f \in \text{Aut } F_n; \exists x \in F_n, \text{ s.t. } \forall y \in F_n, f(y) \equiv x^{-1}yx\}.$$

And its outer automorphism group is the group of automorphisms modulo the inner automorphism group. Namely,

$$\text{Out } F_n = \text{Aut } F_n / \text{Inn } F_n. \quad (1.1)$$

The study of finite subgroups of  $\text{Out } F_n$  has a close relationship with the study of group actions on finite connected graphs.

<sup>1</sup> Email: bzq@sxx0.math.pku.edu.cn. The author thanks Professor Shicheng Wang for valuable comments.



DEFINITION. An abstract *graph* consists of vertices and edges. For a finite connected graph  $\Gamma$ , as a topological space, its fundamental group  $\pi_1(\Gamma)$  must be a free group with rank

$$n = 1 - \chi(\Gamma),$$

in which  $\chi(\Gamma)$  is the *Euler number* of  $\Gamma$ . We call  $n$  the graph's *rank*. The *valence* of a vertex  $p$  is the number of edges connecting to  $p$ , in which the edges with both ends coincide with  $p$  will be counted twice. If there is an edge in  $\Gamma$  connecting two vertices  $x$  and  $y$ , we say that  $x$  and  $y$  are *adjacent*.

An *automorphism* of a graph  $\Gamma$  is a bijection of its vertices and edges that preserves the graph structure (here the reversal of edges is also taken into consideration). Denote by  $\text{Aut } \Gamma$  the group of all such automorphisms on  $\Gamma$ , and call it the *total automorphism group*. For any element  $g \in \text{Aut } \Gamma$ , it induces an algebraic outer automorphism  $g_*$  on  $\pi_1(\Gamma)$ , the fundamental group of  $\Gamma$ . Noticing that  $\pi_1(\Gamma) \cong F_n$ , in which  $n$  is the rank of  $\Gamma$ , we obtain a correspondence

$$*: \text{Aut } \Gamma \rightarrow \text{Out } F_n, \quad g \mapsto g_*.$$

This correspondence sends every subgroup  $G < \text{Aut } \Gamma$  homomorphically to a subgroup  $G_* < \text{Out } F_n$ . In this case, we say that  $G$  *realizes*  $G_*$  on  $\Gamma$ . Furthermore, if the correspondence is an isomorphism, then it is called an *effective realization*.

Culler [2] and Zimmermann [7] observed independently that every finite subgroup of  $\text{Out } F_n$  can be realized by a group of automorphisms of certain rank  $n$  graph. Moreover, we have the following lemma, of which a proof can be found in [6].

LEMMA 1.1. *For any finite subgroup  $G < \text{Out } F_n$ , there exists a finite connected graph  $\Gamma$  with rank  $n$  and no vertex of valence 1 or 2 and a subgroup  $H < \text{Aut } \Gamma$  realizing  $G$  effectively.*

Therefore, for analyzing finite subgroups in  $\text{Out } F_n$ , we only need to study the automorphism groups of various graphs. Based on the above lemma, Wang and Zimmermann [6] proved that, for *finite subgroups* of  $\text{Out } F_n$ , their maximum order is 12 when  $n = 2$  and  $2^n n!$  when  $n \neq 2$ . In [1], it is shown that the maximum order of *finite abelian subgroups* of  $\text{Out } F_n$  is 6 when  $n = 2$  and  $2^n$  when  $n \neq 2$ .

Now consider the orders of *finite cyclic subgroups* of  $\text{Out } F_n$  or, equivalently, the orders of *periodic outer automorphisms* of  $\text{Out } F_n$ . In this article, also by using Lemma 1.1, number-theoretical properties are found which completely determine the set of these orders (Theorem 3.1).

This order set has a close relationship with the set of orders of permutations on an  $n$ -tuple. For any  $n$ -tuple  $X$ , the *symmetric group*  $S_X$  is the

group of all permutations on it. Particularly, for  $X = \{1, 2, \dots, n\}$ ,  $S_X$  is denoted by  $S_n$ .  $\forall \lambda \in S_n$ , let  $|\lambda|$  denote its order. The set of orders of elements in  $S_n$  has been discussed by many authors. Comparing these results (Lemma 2.3), the following asymptotic estimation is derived:

**THEOREM 1.1 (Main Theorem).** *Let  $c_n$  be the maximum order of finite cyclic subgroups of  $\text{Out } F_n$  or, equivalently, the maximum order of periodic outer automorphisms of  $F_n$ . Then*

$$c_n = \exp((1 + \theta_n)\sqrt{n \log n}), \tag{1.2}$$

in which  $\{\theta_n\}$  is a number sequence that converges to 0 when  $n \rightarrow +\infty$ .

In the following discussion, we use  $o(1)$  to denote any arbitrary number sequence that converges to 0 when  $n \rightarrow +\infty$ .

## 2. THE NUMBER SET $A_n$ AND $B_n$

*Notation.* If  $x_1, \dots, x_k$  are natural numbers, denote by  $\text{lcm}(x_1, \dots, x_k)$  their lowest common multiplier. For arbitrary  $n \in \mathbb{N}$ , define

$$A_n = \{\text{lcm}(x_1, \dots, x_k); x_1, \dots, x_k \in \mathbb{N}, x_1 + \dots + x_k \leq n\}, \tag{2.1}$$

$$a_n = \max\{x; x \in A_n\}. \tag{2.2}$$

Apparently, if  $n \leq m$ , then  $A_n \subseteq A_m$ . If  $y \in A_n$ ,  $x \mid y$ , then  $x \in A_n$ . Here “ $\mid$ ” denotes divisibility.

**LEMMA 2.1.**  *$A_n$  can be expressed by prime powers as follows:*

$$A_n = \{p_1^{i_1} \cdots p_k^{i_k}; p_1, \dots, p_k \text{ are different prime numbers, } i_1, \dots, i_k \in \mathbb{N}, p_1^{i_1} + \dots + p_k^{i_k} \leq n\}. \tag{2.3}$$

*Proof.* Apparently the right-hand side is contained in  $A_n$ . Now, for any natural numbers  $y_1, \dots, y_l \in \mathbb{N} \setminus \{1\}$  that are coprime with each other,  $y_1 \cdots y_l = \text{lcm}(y_1, \dots, y_l)$ , while  $y_1 + \dots + y_l \leq y_1 \cdots y_l$ . This implies that, given any  $x \in A_n$ , one can substitute all the  $x_j$  in the definition of  $A_n$  by their prime power factors. Removing in different  $x_j$  redundant powers of each prime number, the expression in Eq. (2.3) follows. ■

**LEMMA 2.2.**  *$A_n$  is exactly the set of orders of elements in the symmetric group  $S_n$ .*

*Proof.* In fact, given any permutation  $\lambda \in S_n$ , it can be decomposed into the product of disjoint cycles. (Here, for distinct numbers  $i_1, \dots, i_l \in \{1, \dots, n\}$ , a cycle  $(i_1, \dots, i_l)$  is an element  $\mu \in S_n$  defined as follows. For  $j$  such that  $1 \leq j < l$ ,  $\mu(i_j) = i_{j+1}$ ;  $\mu(i_l) = i_1$ ; and  $\mu$  keeps all the other numbers between 1 and  $n$  invariant.) Let the orders of these cycles be  $x_1, \dots, x_k$ . Then  $x_1 + \dots + x_k \leq n$ , while

$$|\lambda| = \text{lcm}(x_1, \dots, x_k).$$

On the other hand, if  $x = \text{lcm}(x_1, \dots, x_k)$ ,  $x_1 + \dots + x_k \leq n$ , put

$$y_0 = 0, \quad y_j = x_1 + \dots + x_j, \quad 1 \leq j \leq k.$$

Let  $\lambda$  be the product of disjoint cycles  $(y_{j-1} + 1, y_{j-1} + 2, \dots, y_j)$ ,  $j = 1, \dots, k$ . Then  $\lambda \in S_n$  while  $|\lambda| = x$ . ■

The study of the maximum number  $a_n \in A_n$ , or the maximum order of elements in  $S_n$ , began early in 1909, when Landau first established an asymptotic estimation for it (see [5] for a comprehensive review).

LEMMA 2.3.  $a_n = \exp((1 + o(1))\sqrt{n \log n})$  ( $n \rightarrow +\infty$ ).

*Notation.* Similar to the above definition, define

$$B_n = \{p_1^{i_1} \cdots p_k^{i_k}; p_1, \dots, p_k \text{ are different prime numbers, } \\ i_1, \dots, i_k \in \mathbb{N}, (p_1^{i_1} - p_1^{i_1-1}) + \dots + (p_k^{i_k} - p_k^{i_k-1}) \leq n\}, \quad (2.4)$$

$$B_0 = \{1\}, \quad b_n = \max\{x; x \in B_n\}. \quad (2.5)$$

LEMMA 2.4.  $B_n$  can be expressed similarly to  $A_n$  as follows:

$$B_n = \{\text{lcm}(x_1, \dots, x_k); x_1, \dots, x_k \in \mathbb{N}, \exists m_j \mid x_j, 0 < m_j < x_j, \\ j = 1, \dots, k, \text{ s.t. } (x_1 - m_1) + \dots + (x_k - m_k) \leq n\}. \quad (2.6)$$

*Proof.* The right-hand side apparently contains  $B_n$  as a subset. On the other hand, suppose that  $x = \text{lcm}(x_1, \dots, x_k)$  is an element in the right-hand set of Eq. (2.6). Suppose that one of the  $x_j$ , say  $x_1$ , is not a prime power. Then there is a prime number  $p \mid x_j$  such that

$$x_1 = p^i q, \quad m_1 = p^j r, \quad i \geq j, p \mid q, p \mid r, \text{ and } q > r.$$

Apparently  $x = \text{lcm}(p^i, q, x_2, \dots, x_k)$ . If  $i > j$ , then

$$(p^i - p^j) + (q - r) \leq m_1(p^{i-j} - 1) + m_1(q/r - 1) \\ \leq m_1(p^{i-j}q/r - 1) = x_1 - m_1.$$

If  $i = j$ , then

$$(p^i - 1) + (q - r) \leq p^i(q - r) = x_1 - m_1.$$

Therefore,  $x_1$  can be substituted by  $p^i$  and  $q$ . By induction, we may substitute every  $x_j$  by a group of prime powers. Namely,

$$x = \text{lcm}(x'_1, \dots, x'_l)$$

satisfying the requirements of the right-hand side of Eq. (2.6), and  $x'_1, \dots, x'_l$  are all prime powers. If  $x'_1 = p^i$ , then  $x'_1 - p^{i-1} \leq x'_1 - m'_1$ , so  $m'_1$  can be substituted by  $p^{i-1}$ . This can similarly be done for other  $m_j$ . Finally, if  $x_1 = p^i, x_2 = p^j, i > j$ , then  $x = \text{lcm}(x_1, x_3, \dots, x_k)$ , so the redundant prime powers in the expression of  $x$  can all be removed. Hence,  $x \in B_n$ . ■

LEMMA 2.5. *The set  $B_n$  satisfies the following properties:*

1. *If  $m < n$ , then  $B_m \subseteq B_n$ .*
2. *If  $x \mid y, y \in B_n$ , then  $x \in B_n$ .*
3. *If  $x \in A_n$ , then  $2x \in B_n$ .*
4. *If  $m, n > 0, x \in B_m, y \in B_n$ , then  $\text{lcm}(x, y) \in B_{m+n}$ .*

*Proof.* (1) and (2) are direct corollaries of the definition.

(3)  $\forall x \in A_n$ , following Eq. (2.3), there are distinct primes  $p_1, \dots, p_k$  and indices  $i_1, \dots, i_k \in \mathbb{N}$ , such that  $x = p_1^{i_1} \dots p_k^{i_k}$  while  $p_1^{i_1} + \dots + p_k^{i_k} \leq n$ .

If  $p_1, \dots, p_k \neq 2$ , then  $2x = 2 \cdot p_1^{i_1} \dots p_k^{i_k}$ , while

$$\begin{aligned} (2 - 1) + (p_1^{i_1} - p_1^{i_1-1}) + \dots + (p_k^{i_k} - p_k^{i_k-1}) \\ \leq 1 + (p_1^{i_1} - 1) + p_2^{i_2} + \dots + p_k^{i_k} \leq n. \end{aligned}$$

If, say,  $p_1 = 2$ , then  $2x = p_1^{i_1+1} p_2^{i_2} \dots p_k^{i_k}$ , while

$$\begin{aligned} (p_1^{i_1+1} - p_1^{i_1}) + (p_2^{i_2} - p_2^{i_2-1}) + \dots + (p_k^{i_k} - p_k^{i_k-1}) \\ \leq p_1^{i_1} + p_2^{i_2} + \dots + p_k^{i_k} \leq n. \end{aligned}$$

In both cases, by definition,  $2x \in B_n$ .

(4) is a corollary of Eq. (2.6).

To sum up, these properties are all satisfied. ■

LEMMA 2.6. *The growth rate of the maximum number in  $B_n$  satisfies*

$$b_n = \exp((1 + o(1))\sqrt{n \log n}), \quad n \rightarrow +\infty. \tag{2.7}$$

*Proof.* By definition, there are distinct prime numbers  $p_1 < \dots < p_k$  and indices  $i_1, \dots, i_k \in \mathbb{N}$ , such that  $b_n = p_1^{i_1} \cdots p_k^{i_k}$ , while  $(p_1^{i_1} - p_1^{i_1-1}) + \dots + (p_k^{i_k} - p_k^{i_k-1}) \leq n$ .

Suppose without loss of generality that  $\exists l \in \mathbb{N}$  s.t.

$$\text{when } j < l, \quad p_j < 1 + \log n;$$

$$\text{when } j \geq l, \quad p_j \geq 1 + \log n.$$

By the well-known asymptotic law of the distribution of prime numbers, or the *prime number theorem* (see, for example, [3, Chap. 2]), the function  $\pi(\nu)$  of primes less than  $\nu$  satisfies

$$\pi(\nu) = (1 + o(1))\nu / \log \nu, \quad \nu \rightarrow +\infty. \quad (2.8)$$

So  $l - 1 \leq \pi(1 + \log n) = (1 + o(1)) \log n / \log \log n$ . Because  $p_j^{i_j} \leq 2(p_j^{i_j} - p_j^{i_j-1}) \leq 2n$ , one sees that

$$p_1^{i_1} \cdots p_{l-1}^{i_{l-1}} \leq (2n)^{l-1} \leq \exp(o(1)\sqrt{n \log n}), \quad n \rightarrow +\infty. \quad (2.9)$$

When  $j \geq l$ ,  $p_j^{i_j-1} \leq (p_j^{i_j} - p_j^{i_j-1}) / \log n$ . Thus  $p_l^{i_l-1} + \dots + p_k^{i_k-1} \leq ((p_l^{i_l} - p_l^{i_l-1}) + \dots + (p_k^{i_k} - p_k^{i_k-1})) / \log n \leq n / \log n$ , which implies

$$p_l^{i_l} + \dots + p_k^{i_k} \leq n + n / \log n = n(1 + o(1)).$$

Namely,  $p_l^{i_l} \cdots p_k^{i_k} \in A_{n(1+\tau_n)}$ , in which  $\{\tau_n\}$  is a sequence of numbers converging to 0 when  $n \rightarrow +\infty$ . By Lemma 2.3,

$$p_l^{i_l} \cdots p_k^{i_k} \leq a_{n(1+\tau_n)} = \exp((1 + o(1))\sqrt{n \log n}), \quad n \rightarrow +\infty. \quad (2.10)$$

Hence, we obtained  $b_n = p_1^{i_1} \cdots p_k^{i_k} \leq \exp((1 + o(1))\sqrt{n \log n})$ .

By Lemma 2.5,  $b_n \geq a_n$  which equals  $\exp((1 + o(1))\sqrt{n \log n})$ . Comparing this with the above inequality, the equality on the growth rate of  $b_n$  follows. ■

### 3. THE ORDER SET OF GRAPH AUTOMORPHISMS

Before proving the main theorem, first define some special terms that will be used later.

**DEFINITION.** A *loop* is an edge with both ends coinciding with each other. If, for two vertices in a graph, there is more than one edge connecting them, then the aggregate of these edges is called a *set of multiple edges*. A *simple graph* is a connected graph without loops, multiple edges, or vertices of valences 1 or 2.

By a *chain of valence 2 vertices* in  $\Gamma$  we mean a set of valence 2 vertices  $x_1, x_2, \dots, x_k \in \Gamma$  such that, for each  $i < k$ , there is an edge in  $\Gamma$  connecting  $x_i$  and  $x_{i+1}$ . If  $k > 1$  and there is an edge in  $\Gamma$  connecting  $x_1$  and  $x_k$ , namely, closing this chain, then it is called a *closed chain*. Clearly,  $\Gamma$  is exactly the closed chain if it is connected and contains a closed chain.

*Notation.* If a graph  $\Gamma$  has valence 1 vertices, then one can remove these vertices and the edges connecting to them. This process can be repeated inductively to derive a graph  $\Gamma'$  with no valence 1 vertex. For notational convenience, we refer to the removed parts as “*leaves*,” and call  $\Gamma'$  a graph obtained from  $\Gamma$  by “*cutting leaves*.”

If  $\Gamma$  is not a loop or closed chain and it has no valence 1 vertex, then, by merging every pair of edges joining at a valence 2 vertex, one can construct a new graph  $\Gamma'$  with the same rank as that of  $\Gamma$ . Moreover,  $\text{Aut } \Gamma$  is a subgroup of  $\text{Aut } \Gamma'$ . If  $\Gamma'$  consists of only two vertices  $x, y$  and  $n + 1$  multiple edges connecting them, then call  $\Gamma$  “*a beam of edges*.”

Given any  $x, m \in \mathbb{N}$  such that  $m \mid x$ , the following “*lotus graph*”  $G_{x,m}$  is a finite connected graph with no valence 1 vertex. It has a center point  $a$  and  $m$  other points  $b_1, \dots, b_m$ , and there are  $x/m$  multiple edges  $e_1^j, \dots, e_{x/m}^j$  connecting  $a$  with each  $b_j$  (see Fig. 1). Clearly,  $\text{rank } G_{x,m} = x - m$ . There is also a canonical automorphism  $g_{x,m} \in G_{x,m}$  with order  $x$  defined as follows:  $g_{x,m}(a) = a$ ,  $g_{x,m}(b_j) = b_{j+1}$  if  $j \neq m$ , while  $g_{x,m}(b_m) = b_1$ . If  $j \neq m$ ,  $g_{x,m}(e_k^j) = e_{k+1}^j$ . For  $k = 1, \dots, x/m - 1$ ,  $g_{x,m}(e_k^m) = e_{k+1}^m$  while  $g_{x,m}(e_{x/m}^m) = e_1^m$ .

By Lemma 1.1, Theorem 1.1 can be induced by the following theorem that characterizes the order set of periodic outer automorphisms of  $F_n$ .

**THEOREM 3.1.** Fix an  $n > 1$ . Assume arbitrarily a finite connected rank  $n$  graph  $\Gamma$  with no valence 1 vertex or closed chain and an automorphism  $g \in \text{Aut } \Gamma$ . If  $\Gamma$  is a beam of edges, then  $\text{order}(g) = \text{lcm}(a, y)$  in which

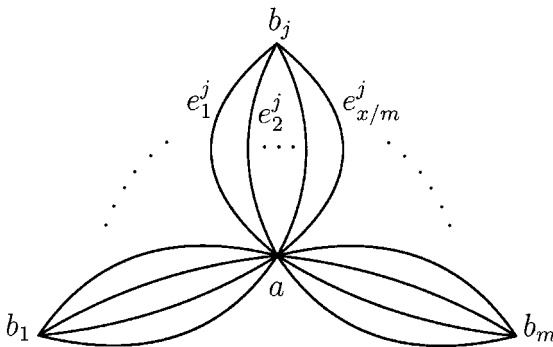


FIG. 1. The “lotus” graph  $G_{x,m}$ .

$a \in \{1, 2\}$  and  $y \in A_{n+1}$ . Otherwise  $\text{order}(g) \in B_n$ . On the other hand, if  $x \in B_n$  or  $x = \text{lcm}(a, y)$  in which  $a \in \{1, 2\}$  and  $y \in A_{n+1}$ , then there is a finite connected rank  $n$  graph  $\Gamma$  with no valence 1 vertex or closed chain, s.t.  $\exists g \in \text{Aut } \Gamma$  with  $\text{order}(g) = x$ .

**COROLLARY.** For the automorphisms of finite connected rank  $n$  graphs with no valence 1 vertex or closed chain, the set of their orders equals  $B_n \cup \{\text{lcm}(2, n+1)\}$ . Furthermore,  $\text{lcm}(2, n+1) \notin B_n$  if and only if  $n+1$  is a prime number.

*Proof.* By the above theorem, this order set equals

$$\tilde{B}_n = B_n \cup \{x = \text{lcm}(a, y); a = 1 \text{ or } 2, y \in A_{n+1}\}. \quad (3.1)$$

Apparently,  $A_{n+1} \subseteq B_n$ . Given any  $y \in A_{n+1} \setminus \{n+1\}$ . If  $y$  is even,  $\text{lcm}(2, y) = y$ . So we suppose without loss of generality that  $y$  is odd. Then, by Lemma 2.2, there is a permutation  $\lambda \in S_{n+1}$ , such that  $y = |\lambda|$ . If  $\lambda$  is not a cycle, then there is an  $m \in \mathbb{N}$ ,  $0 < m < n+1$ , such that  $\lambda$  can be decomposed into two disjoint permutations  $\lambda_1, \lambda_2$  of  $m$ - and  $(n+1-m)$ -tuples, respectively. Thus  $2y_1 \in B_m$ ,  $y_2 \in B_{n-m}$ , while  $2y = \text{lcm}(2y_1, y_2)$ . By Lemma 2.5,  $2y \in B_n$ .

Now suppose that  $\lambda$  is a cycle and  $y = |\lambda|$  is not a prime number. Of course,  $\text{lcm}(2, y)$  is in  $A_{n+1} \subseteq B_n$  if  $y$  is even. If  $y$  is odd, suppose that  $y = p^i q$ , in which  $p$  is its smallest prime factor,  $p \nmid q$ . If  $q > 1$ , then  $\text{lcm}(2, y) = \text{lcm}(2p^i, 2q)$ , while  $(2p^i - p^i) + (2q - q) = p^i + q \leq p^i q - 1 = n$ . By Lemma 2.4,  $\text{lcm}(2, y) \in B_n$ . If  $q = 1, i > 1$ , then  $\text{lcm}(2, y) = \text{lcm}(2, p^i)$ , while  $(2 - 1) + (p^i - p) \leq p^i - 2 < n$ , so again  $\text{lcm}(2, y) \in B_n$ . Therefore, the previous order set is exactly

$$B_n \cup \{\text{lcm}(2, y); y \leq n+1 \text{ is a prime number}\}.$$

Clearly, if  $y$  is prime, then  $\text{lcm}(2, y) \notin B_n$  if and only if  $y = n+1$  and  $n+1$  is an odd prime number. ■

If  $n = 1$ , the only rank  $n$  graph with no valence 1 vertex and closed chain is a single loop. Thus,  $c_1 = 2$ . For  $n = 2$ ,  $2(2+1) = 6 > b_2 = 4$ , so  $c_2 = 6$ . If  $n > 2$ , then  $\text{lcm}(2, n+1) \leq 4(n-1)$ . But  $4 \in B_2$ ,  $(n-1) \in B_{n-2}$ , so  $4(n-1) \in B_n$  and  $\text{lcm}(2, n+1) \leq b_n$ . Therefore, we have the following corollary.

**COROLLARY.** Consider finite connected rank  $n$  graphs with no vertex of valence 1 or closed chains. Let  $c_n$  be the maximum order of automorphisms of such graphs. Then  $c_1 = 2, c_2 = 6$ , and, for  $n > 2, c_n = b_n$ .

*Proof of Theorem 1.1.* By Lemma 1.1, we see that every finite subgroup  $G < \text{Out } F_n$  can be realized effectively on some finite connected graph  $\Gamma$ , in which the graph has rank  $n$  and no vertex of valence 1 or 2. Particularly,



this implies that periodic outer automorphisms of  $F_n$  have the same order set as that of

$$\cup\{\text{Aut } \Gamma; \text{rank}(\Gamma) = n, \Gamma \text{ has no valence } 1 \text{ vertex or closed chain}\}.$$

Therefore, by the above corollary, their maximum order is  $c_n$  and, for  $n > 2$ ,  $c_n = b_n$ . By Lemma 2.6,

$$c_n = \exp((1 + o(1))\sqrt{n \log n}), \quad n \rightarrow +\infty. \quad \blacksquare$$

Now we will prove Theorem 3.1. First, consider the realization problem. Given  $n > 1$ . If  $x \in B_n$ , then there are natural numbers  $k, x_1, \dots, x_k, m_1, \dots, m_k \in \mathbb{N}$ , such that  $m_j < x_j, m_j \mid x_j, j = 1, \dots, k, (x_1 - m_1) + \dots + (x_k - m_k) \leq n$ , while

$$x = \text{lcm}(x_1, \dots, x_k).$$

Let  $\Gamma$  be the graph obtained by pinching lotus graphs  $G_{x_1, m_1}, \dots, G_{x_k, m_k}$  at a common center point, and let  $g$  be the automorphism which restricts to  $g_{x_j, m_j}$  on each  $G_{x_j, m_j}$ . Then the rank of  $\Gamma$  is  $(x_1 - m_1) + \dots + (x_k - m_k) \leq n$ , while  $g \in \text{Aut } \Gamma$  has order  $x$ . Since the center point is invariant, we can attach some loops to it and obtain a new graph with rank  $n$ . Moreover,  $g$  induces an automorphism on it which restricts to identities on the loops added. This automorphism also has order  $x$ .

Therefore, every element  $x \in B_n$  can be realized as the order of an automorphism  $g$  on a certain finite connected rank  $n$  graph  $\Gamma$  which has no valence 1 vertex or closed chain.

Now suppose that  $\Gamma$  is a beam of edges, and let  $\Gamma'$  be the graph obtained from  $\Gamma$  by joining each pair of edges connecting to a valence 2 vertex together. Then  $\Gamma'$  is a single set of multiple edges, and clearly  $\text{Aut } \Gamma' \supseteq \text{Aut } \Gamma$ . There is a flip map  $\sigma \in \text{Aut } \Gamma'$  that switches the two vertices and reverses all edges. Furthermore,  $\text{Aut } \Gamma'$  is generated by  $\sigma$  and permutations of the  $n + 1$  edges. So, in this case, the order set of  $\text{Aut } \Gamma'$  is exactly  $\{\text{lcm}(a, y); a \in \{1, 2\}, y \in A_{n+1}\}$ . Particularly, if  $n + 1$  is prime, the only element in  $\text{Aut } \Gamma'$  with order  $\text{lcm}(2, n + 1)$  is the cyclic permutation of all edges composite with  $\sigma$ . It has no fixed point in the topological underlying space of  $\Gamma$  or  $\Gamma'$ .

Therefore, Theorem 3.1 reduces to the proof of the following statement:

**CLAIM 3.2.** *Suppose that  $\Gamma$  is a finite connected graph with rank  $n$ , having no valence 1 vertex or closed chain,  $n > 1$ , and  $g \in \text{Aut } \Gamma$ . If  $\Gamma$  is a beam of edges, we require that  $g$  has at least one fixed point in  $\Gamma$ . Then  $\text{order}(g) \in B_n$ .*

The proof of this statement is essentially an induction on  $n$  and the number of vertices in  $\Gamma$ . Suppose that it is not true. Then choose a smallest natural number  $n$  that violates the rule. Direct verification shows that this

cannot happen when  $n = 1$  or  $2$ . Thus, suppose without loss of generality that  $n > 2$ . Corresponding to this  $n$ , there is a finite connected rank  $n$  graph  $\Gamma$  with no valence 1 vertex or closed chain of valence 2 vertices, s.t.  $\exists g \in \text{Aut } \Gamma$  with  $\text{order}(g) \notin B_n$ . Furthermore, when  $\Gamma$  is a beam of edges,  $g$  must have fixed point.

From now on, assume that all the triples  $(n, \Gamma, g)$  we discussed are chosen as in the following lemma.

**LEMMA 3.1.** *As discussed above, suppose that there exists counter-examples  $(n, \Gamma, g)$  to the above claim. Choose such triples that minimize  $n$ , and then select in all the satisfied choices a triple with a minimum number of vertices in  $\Gamma$ . Then  $\Gamma$  has no valence 2 vertex.*

*Proof.* By the assumptions,  $\Gamma$  cannot be a single loop or closed chain. For any open chain in  $\Gamma$ , since the action of  $g$  on it will be determined by the action on the chain as a whole segment, one can simply merge all the edges in the chain to make a single edge. The resulting graph  $\Gamma'$  has rank  $n$  and a fewer number of vertices than that of  $\Gamma$ , while the induced action of  $g$  remains of the same order. This contradicts our assumption that  $(n, \Gamma, g)$  is the simplest triple. ■

**LEMMA 3.2.** *For  $(n, \Gamma, g)$  in Lemma 3.1,  $\Gamma$  has no loop.*

*Proof.* Suppose contrarily that  $\Gamma$  has  $m$  loops  $e_1, \dots, e_m$  ( $1 \leq m \leq n$ ). Removing these loop edges gives rise to a connected graph  $\Gamma \setminus (e_1 \cup \dots \cup e_m)$  with rank  $n - m$ . Denote by  $\Gamma'$  the subgraph obtained from it by cutting leaves.  $\forall h \in \text{Aut } \Gamma$  such that  $h|(e_1 \cup \dots \cup e_m)$  equals identities, and  $\forall$  valence 1 vertices  $x \in \Gamma \setminus (e_1 \cup \dots \cup e_m)$ . Then  $x$  is the end of some  $e_i$  since  $\Gamma$  itself has no valence 1 vertex. Thus,  $h(x) = x$ , and  $h$  restricts to the identity on the unique edge in  $\Gamma \setminus (e_1 \cup \dots \cup e_m)$  connecting to  $x$ . By induction, it can be shown that  $h$  keeps all removed leaves invariant. So, if, in addition,  $h|\Gamma' = \text{id}_{\Gamma'}$ , then  $h = \text{id}_{\Gamma}$ . Particularly, this implies that

$$\text{order}(g) = \text{lcm}(\text{order}(g|\Gamma'), \text{order}(g|(e_1 \cup \dots \cup e_m))). \quad (3.2)$$

There are only three cases.

*Case 1.*  $\Gamma'$  contains no closed chain and  $n - m > 1$ . By the assumptions, either  $\text{order}(g|\Gamma') \in B_{n-m}$  or  $\text{order}(g|\Gamma') = 2(n - m + 1)$  in which  $n - m + 1 > 2$  is a prime number. Now  $g$  induces a permutation  $\lambda$  on the loops, and  $g^{|\lambda|}$  keeps every loop invariant, although it may reverse the directions of certain loops. Thus, the order of  $g|(e_1 \cup \dots \cup e_m)$  is a divisor of  $2|\lambda|$ .

If  $\text{order}(g|\Gamma') \in B_{n-m}$ , then, since  $|\lambda| \in A_m$ ,  $2|\lambda| \in B_m$ , one sees from Lemma 2.5 that  $\text{lcm}(\text{order}(g|\Gamma'), 2|\lambda|) \in B_n$ . If  $\text{order}(g|\Gamma') = 2(n - m + 1)$  in which  $n - m + 1$  is an odd prime number, then  $\text{lcm}(\text{order}(g|\Gamma'), 2|\lambda|) = \text{lcm}(n - m + 1, 2|\lambda|)$ . Since  $n - m + 1 \in B_{n-m}$ , we still have

$\text{lcm}(\text{order}(g|\Gamma'), 2|\lambda|) \in B_n$ . In both subcases, as the divisor of  $\text{lcm}(\text{order}(g|\Gamma'), 2|\lambda|)$ ,  $\text{order}(g) \in B_n$ .

*Case 2.*  $m = n - 1$ . Then  $\Gamma'$  is a single loop or closed chain of valence 2 vertices. Again,  $g$  induces a permutation  $\lambda$  on the loops, and  $\text{order}(g|(e_1 \cup \dots \cup e_m))$  is a divisor of  $2|\lambda|$ ,  $|\lambda| \in A_m \subseteq A_n$ . Since  $g^{|\lambda|}$  induces the identity permutation on the loops, it follows from the construction of  $\Gamma'$  that  $g^{|\lambda|}$  must fix at least one vertex in  $\Gamma'$  (one that has a path connecting to some loop edge, which consists of removed leaves). Therefore,  $(g^{|\lambda|}|\Gamma')^2 = \text{id}_{\Gamma'}$ . This implies that  $\text{order}(g)$  is a divisor of  $2|\lambda|$ . Similar to Case 1,  $\text{order}(g) \in B_n$ .

*Case 3.*  $m = n$ . Then  $\Gamma \setminus (e_1 \cup \dots \cup e_m)$  is in fact a tree graph. In this case, any element in  $\text{Aut } \Gamma$  is determined by its action on the loops. Therefore, suppose that  $g$  induces a permutation  $\lambda$  on the  $n$  loops. Then  $|\lambda| \in A_n$  and

$$\text{order}(g) = |\lambda| \text{ or } 2|\lambda| \in B_n.$$

In each case, we always get a contradiction. Hence, for  $\Gamma$  chosen in Lemma 3.1,  $\Gamma$  has no loop. ■

*Notation.* Assume a graph  $Y$ . For any subset  $X \subseteq Y$  and subgroup  $G < \text{Aut } Y$ , the orbit of  $G$  passing  $X$  is defined as the set

$$O_G(X) = \bigcup \{h(X); h \in G\}. \tag{3.3}$$

Particularly, if  $G$  is a cyclic group generated by  $h \in \text{Aut } Y$ , then denote  $O_G(X)$  by  $O_h(X)$ .

LEMMA 3.3. For  $(n, \Gamma, g)$  in Lemma 3.1,  $\Gamma$  has no multiple edges.

*Proof.* By the previous lemma,  $\Gamma$  has no loop. Suppose contrarily that there is more than one edge connecting vertices  $x, y \in \Gamma$ . Choose an edge  $e_0$  among these multiple edges. Suppose that the orbit of  $g$  passing  $e_0$  contains  $k$  edges, in which  $m$  of them connect  $x$  and  $y$ . Then  $m \mid k$ , and for each  $i \in \mathbb{N}$ , there are  $m$  edges in  $O_g(e_0)$  connecting  $g^i(x)$  and  $g^i(y)$ , namely,

$$g^i(e_0), g^{i+(k/m)}(e_0), \dots, g^{i+(m-1)(k/m)}(e_0).$$

*Case 1.*  $O_g(e_0)$  does not contain all the edges connecting  $x$  and  $y$ . Then this also happens for every pair  $(g^i(x), g^i(y))$ . Therefore, the graph  $\Gamma \setminus O_g(e_0)$  is connected with rank  $n - k$  (here  $k \leq n$ ). Denote by  $\Gamma'$  the graph obtained from  $\Gamma \setminus O_g(e_0)$  by cutting leaves.

If  $x, y$  both have valence 1 in  $\Gamma \setminus O_g(e_0)$ , then  $\Gamma$  is exactly  $x, y$  together with  $n + 1$  multiple edges connecting them, while  $O_g(e_0)$  contains  $m = n$  of the edges. Therefore,  $\text{order}(g)|2n \in B_n$ .

If  $x, y$  both have valence greater than 1 in  $\Gamma \setminus O_g(e_0)$ , then  $\Gamma = \Gamma' \cup O_g(e_0)$ , while  $\text{order}(g) = \text{lcm}(\text{order}(g|_{\Gamma'}), |O_g(e_0)|)$ . If, say,  $x$  has valence 1 and  $y$  has valence greater than 1 in  $\Gamma \setminus O_g(e_0)$ , then, for any  $i \in \mathbb{N}$ ,  $g^i(x) \neq y$ , so if  $g^i(e_0) = e_0$ , then its ends  $g^i(x) = x$ ,  $g^i(y) = y$ . It can be shown inductively that  $g^i$  fixes all the removed leaves. Therefore,  $\text{order}(g) = \text{lcm}(\text{order}(g|_{\Gamma'}), |O_g(e_0)|)$ . In both subcases,  $|O_g(e_0)| = k \in B_{k-1}$  and  $\Gamma'$  has rank  $n - k$ . Similar to the proof of Case 1 of the previous lemma, if  $\Gamma'$  is not a closed chain, then  $\text{order}(g|_{\Gamma'}) \in B_{n-k+1}$ , so  $\text{order}(g) \in B_n$  by Lemma 2.5. If  $\Gamma'$  is a closed chain, then  $k = n - 1$ . Moreover,  $g^k(\{x, y\}) = \{x, y\}$ . Thus  $g^{2k} = \text{id}_{\Gamma}$  and  $\text{order}(g) \mid 2k \in B_n$ .

*Case 2.* All the edges connecting  $x$  and  $y$  are in the orbit passing  $e_0$ . Consider the graph  $\Gamma''$  obtained from  $\Gamma$  by replacing with a single edge each group of multiple edges in  $O_g(e_0)$  that connect two vertices. Cut off all the leaves in  $\Gamma''$ , and denote the result by  $\Gamma'$ . It is connected with rank  $n' = n - (m - 1)k/m$ , and  $m \geq 2$ .

If both  $x, y$  have valence 1 in  $\Gamma''$ , then  $\Gamma$  is exactly  $x, y$  together with  $n + 1$  edges connecting them, and  $O_g(e_0)$  contains all the edges. Thus  $\Gamma$  itself is a beam of edges. By the assumptions on  $g$ , one knows that  $\Gamma$  cannot be a counterexample.

Otherwise, similar to the previous case, we derive that  $\text{order}(g) = \text{lcm}(\text{order}(g_{\Gamma'}), k)$ , in which  $g_{\Gamma'}$  is the induced action of  $g$  on  $\Gamma'$ . In addition, the ends  $x, y$  of  $e_0$  are both invariant under  $g^{2k/m}$ . There are two subcases.

If  $\Gamma'$  is a single loop or closed chain, then  $n' = 1$ ,  $\text{order}(g_{\Gamma'}) \mid (2k/m)$ , and  $\text{order}(g)$  is a divisor of  $\text{lcm}(2k/m, k)$ . If  $2 \mid m$ , then  $2k/m \mid k$ , so  $\text{order}(g) \mid k$ . But  $k - k/m < n$ , which implies that  $k \in B_n$ , so  $\text{order}(g) \in B_n$ . If  $2 \nmid m$ , suppose that  $k/m = 2^j q$ ,  $2 \nmid q$ , then  $\text{order}(g) \mid \text{lcm}(2^{j+1}, qm)$ . However,

$$(2^{j+1} - 2^j) + (qm - q) \leq 2^j(qm - q) + 1 = k - k/m + 1 = n.$$

By Lemma 2.4,  $\text{lcm}(2^{j+1}, qm) \in B_n$ , and consequently  $\text{order}(g) \in B_n$ .

If  $\Gamma'$  is not a closed chain, then  $n' > 1$ , and either  $\text{order}(g_{\Gamma'}) \in B_{n'}$  or  $\text{order}(g_{\Gamma'}) = 2(n' + 1)$ . If  $\text{order}(g_{\Gamma'}) \in B_{n'}$ , since  $k \in B_{k-k/m}$  and  $n = n' + k - k/m$ , it follows from Lemma 2.5 that  $\text{order}(g) \in B_n$ . Otherwise  $\Gamma'$  is a beam of edges,  $\text{order}(g_{\Gamma'}) = 2(n' + 1)$ , and  $n' + 1$  is a prime number.  $(g_{\Gamma'})^{k/m}$  has at least one fixed point. It is not difficult to show from this that either  $2 \mid (k/m)$  or  $(n' + 1) \mid (k/m)$ . If  $2 \mid (k/m)$ , then  $k/2 \in B_{k/2-k/2m} \subseteq B_{k-k/m-1}$ . Thus  $\text{order}(g) = \text{lcm}(n' + 1, k)$  or  $\text{lcm}(2(n' + 1), k/2) \in B_n$ . Otherwise,  $n' + 1 \neq 2$  will be a prime factor of  $k/m$ , so  $\text{order}(g) = \text{lcm}(2, k)$ . However,  $k - k/m + 1 \leq n$ , again by Lemma 2.4,  $\text{order}(g) \in B_n$ .

Hence, one always derives  $\text{order}(g) \in B_n$ , which contradicts the assumptions in Lemma 3.1. ■

**COROLLARY.** For  $(n, \Gamma, g)$  in Lemma 3.1,  $\Gamma$  must be a simple graph.

LEMMA 3.4. For  $(n, \Gamma, g)$  in Lemma 3.1,  $\Gamma$  has at least one vertex with valence 3.

*Proof.* Suppose that all the vertices in  $\Gamma$  have valences greater than or equal to 4. Denote their total number by  $k_0$ . Then there are at least four edges connecting to each vertex, while each edge has two ends. Therefore, the total number of edges is  $k_1 \geq 4k_0/2 = 2k_0$ , and the Euler characteristic number  $\chi(\Gamma) = k_0 - k_1 \leq -k_0$ . However,  $\chi(\Gamma) = 1 - n$ , so  $k_0 \leq n - 1$ .

By the previous corollary,  $\Gamma$  must be simple, which implies that any  $h \in \text{Aut } \Gamma$  is determined by its induced permutation on the vertices of  $\Gamma$ . Particularly, denote by  $\lambda$  the induced permutation of  $g$  on the vertices of  $\Gamma$ . Then

$$\text{order}(g) = |\lambda| \in A_{k_0} \subseteq A_n \subseteq B_n. \quad \blacksquare$$

#### 4. PROOF OF CLAIM 3.2

By the previous lemmas, for a triple  $(n, \Gamma, g)$  satisfying the requirements in Lemma 3.1,  $\Gamma$  must be a simple graph. Moreover, it has at least one vertex  $x$  with valence 3. Denote the three vertices in  $\Gamma$  adjacent to  $x$  by  $y_1, y_2, y_3$ , and denote the edge connecting  $x$  with each  $y_i$  by  $e_i, i = 1, 2, 3$ . Then, for any vertex  $x' \in O_g(x)$  and  $y' \in O_g(y_i)$ , all edges in  $\Gamma$  connecting  $x'$  and  $y'$ , if there exist such edges, must be in  $O_g(\{e_1, e_2, e_3\})$ .

In the following paragraphs, Claim 3.2 will be proved by reduction to absurdity from this. Consequently, Theorems 3.1 and 1.1 also hold. There are four cases.

*Case 1.*  $O_g(x) \neq O_g(y_1) \neq O_g(y_2) \neq O_g(y_3)$ , or  $O_g(x) \neq O_g(y_1) \neq O_g(y_2) = O_g(y_3)$ , or  $O_g(x) = O_g(y_3) \neq O_g(y_1) \neq O_g(y_2)$ , or  $O_g(x) = O_g(y_2) = O_g(y_3) \neq O_g(y_1)$ . The common points of these cases are that  $O_g(x) \neq O_g(y_1)$ , while  $y_1$  is the unique element in  $O_g(y_1)$  that is adjacent to  $x$ . They are connected by the edge  $e_1$ . Particularly,  $g^{|O_g(x)|}(y_1) = y_1$  since  $g^{|O_g(x)|}(y_1)$  is also adjacent to  $g^{|O_g(x)|}(x) = x$ . Thus,  $\exists m \in \mathbb{N}$ , such that  $|O_g(x)| = m|O_g(y_1)|$ .

Since  $g \in \text{Aut } \Gamma$ , each  $g^j(x)$  is adjacent to exactly one vertex in  $O_g(y_1)$ , namely,  $g^j(y_1)$ . The edge connecting  $g^j(x)$  and  $g^j(y_1)$  is  $g^j(e)$ . Furthermore, each  $g^j(y_1)$  is adjacent to  $m$  vertices in  $O_g(x)$ , i.e.,

$$g^j(x), g^{j+|O_g(y_1)|}(x), \dots, g^{j+(m-1)|O_g(y_1)|}(x).$$

By identifying these  $m$  vertices with  $g^j(y_1)$  and removing the edges

$$g^j(e_1), g^{j+|O_g(y_1)|}(e_1), \dots, g^{j+(m-1)|O_g(y_1)|}(e_1),$$

one obtains a new graph  $\Gamma'$  with rank  $n$  and a fewer number of vertices than that of  $\Gamma$ .

Denote by  $\varphi: \Gamma \rightarrow \Gamma'$  the canonical quotient map. Suppose that the valence of  $y_1 \in \Gamma$  is  $j$ . Then, because all vertices in  $O_g(x)$  have valences 3, the valence of  $\varphi(y_1) \in \Gamma'$  must be  $j' = j + 3m - 2m = j + m \geq 4$ . Similarly, all other vertices in  $\varphi(O_g(y_1))$  also have valence greater than or equal to 4. Therefore,  $\Gamma'$  has no vertex with valence 1 or 2. Moreover,  $\Gamma'$  has at least three vertices  $\varphi(y_1), \varphi(y_2), \varphi(y_3)$ , so it will not be a beam of edges.

Now  $g$  induces an action  $g_{\Gamma'} \in \text{Aut } \Gamma'$  defined as follows:

$$g_{\Gamma'} \circ \varphi = \varphi \circ g. \quad (4.1)$$

The above discussions show that the triple  $(n, \Gamma', g_{\Gamma'})$  cannot be a counter-example to Claim 3.2. This implies that

$$\text{order}(g_{\Gamma'}) \in B_n.$$

Put  $h = g^{\text{order}(g_{\Gamma'})}$ , and arbitrarily fix a vertex  $v \in \Gamma$ . Then

$$\varphi(h(v)) = (g_{\Gamma'})^{\text{order}(g_{\Gamma'})}(\varphi(v)) = \varphi(v).$$

If  $v \notin O_g(x) \cup O_g(y_1)$ , then  $\varphi^{-1}(\varphi(v))$  has only one element, so  $h(v) = v$ . If  $v \in O_g(y_1)$ , then  $\varphi^{-1}(\varphi(v))$  has only one element in  $O_g(y_1)$ , which implies that  $h(v) = v$ . Finally, if  $v \in O_g(x)$ , suppose without loss of generality that  $v = x$ . Then, other than  $e_1$ , there are two edges  $e_2, e_3$  that are connected to  $x$ . Since, for  $i = 2, 3$ ,  $\varphi^{-1}(\varphi(e_i))$  has only one element, and  $\varphi(h(e_i)) = (g_{\Gamma'})^{\text{order}(g_{\Gamma'})}(\varphi(e_i)) = \varphi(e_i)$ , it follows that  $h(e_i) = e_i$ . Thus,  $x$  and  $h(x)$  are both common ends of  $e_2$  and  $e_3$ . However,  $\Gamma$  has no multiple edges, so  $h(x) = x$ . To sum up,  $h$  fixes all the vertices in  $\Gamma$ , i.e.,  $h = \text{id}_{\Gamma}$ , and  $\text{order}(g) \mid \text{order}(g_{\Gamma'})$ .

Hence,  $\text{order}(g) \in B_n$ , which contradicts our assumptions.

*Case 2.*  $O_g(x) = O_g(y_1) \neq O_g(y_2) = O_g(y_3)$ . Then  $|O_g(x)|$  is even, and all the vertices in  $O_g(x)$  are divided into adjacent pairs. By squeezing each pair and the edge connecting them to one point, one can construct a new graph  $\Gamma'$  with no vertex of valence 1 or 2. Furthermore,  $\Gamma'$  has rank  $n$  and a fewer number of vertices than that of  $\Gamma$ . Denote by  $g_{\Gamma'}$  the induced action of  $g$  on  $\Gamma'$ . Then  $(n, \Gamma', g_{\Gamma'})$  cannot be a counter-example to Claim 3.2. Since  $\Gamma'$  has at least three vertices, it is not a beam of edges. Therefore,  $\text{order}(g_{\Gamma'}) \in B_n$ . However,  $\text{order}(g) = \text{order}(g_{\Gamma'})$ , which leads to a contradiction again.

By symmetry, the discussions in the above two cases imply that  $O_g(y_1) = O_g(y_2) = O_g(y_3)$  whenever  $\text{order}(g) \notin B_n$ .

*Case 3.*  $O_g(x) = O_g(y_1) = O_g(y_2) = O_g(y_3)$ . Since  $\Gamma$  is connected,  $O_g(x)$  must contain all the vertices in  $\Gamma$ , which implies  $\text{order}(g) = |O_g(x)| = k_0$ , in which  $k_0$  is the number of vertices in  $\Gamma$ . Because all the

vertices have valence 3, the number of edges in  $\Gamma$  is exactly  $3k_0/2$ , and  $1 - n = \chi(\Gamma) = k_0 - 3k_0/2 = -k_0/2$ . Hence,  $\text{order}(g) = k_0 = 2(n - 1) \in B_n$ .

*Case 4.*  $O_g(x) \neq O_g(y_1) = O_g(y_2) = O_g(y_3)$ . Let  $\Gamma''$  be the connected graph that is obtained by first delete  $O_g(x)$  and all the edges with at least one end in them, then insert back a single point  $x'$ , and finally connecting  $x'$  with each point in  $O_g(y_1)$  by one edge. Denote by  $\Gamma'$  the graph obtained from  $\Gamma''$  by cutting leaves.

By definition,  $\chi(\Gamma') = \chi(\Gamma'') = \chi(\Gamma) - (|O_g(x)| - 1) + (3|O_g(x)| - |O_g(y_1)|)$ , so the rank of  $\Gamma'$  is

$$n' = n - \chi(\Gamma') + \chi(\Gamma) = n - 2|O_g(x)| + |O_g(y_1)| - 1. \quad (4.2)$$

Furthermore,  $g$  induces a unique action on  $\Gamma'$  which commutes with the quotient map from  $\Gamma$  to  $\Gamma'$ .

There are two subcases.

*Subcase a.*  $y_1$  has valence 1 in  $\Gamma''$ ; that is, any edge in  $\Gamma$  that is connected to  $y_1$  must have the other end in  $O_g(x)$ . Let  $m$  be the total number of such edges. Then  $m$  is actually the valence of  $y_1$ , so  $m \geq 3$ . These properties are also satisfied by other vertices in  $O_g(y_1)$  (for example,  $y_2$  and  $y_3$ ). Moreover,  $O_g(x) \cup O_g(y_1)$  contains all the vertices in  $\Gamma$ . Since  $\Gamma$  is simple,

$$\text{order}(g) = \text{lcm}(|O_g(x)|, |O_g(y_1)|),$$

and the number of edges in  $\Gamma$  is  $3|O_g(x)| = m|O_g(y_1)|$ . However,  $1 - n = \chi(\Gamma) = (|O_g(x)| + |O_g(y_1)|) - 3|O_g(x)| = |O_g(y_1)| - 2|O_g(x)|$ . Thus,

$$|O_g(x)| \leq 2|O_g(x)| - |O_g(y_1)| = n - 1.$$

If  $3 \mid m$ , then  $|O_g(y_1)|$  is a divisor of  $|O_g(x)|$ , so  $\text{order}(g) = |O_g(x)| \in B_n$ . If  $3 \nmid m$ , suppose that  $|O_g(x)| = 3^j q$  in which  $3 \mid q$  and  $q \geq 2$ . Then  $\text{order}(g) = \text{lcm}(3^{j+1}, q)$ . Now  $\forall u, v \in \mathbb{N}$ , if  $u, v \geq 4$ , then

$$u + v \leq 2 \max(u, v) \leq (\min(u, v)/2) \max(u, v) = uv/2.$$

It follows that, if  $u, v \geq 2$ , then  $u + v \leq uv/2 + 2$ . As a result,

$$(3^{j+1} - 3^j) + (q - 1) \leq (3^{j+1} - 3^j)q/2 + 2 - 1 = |O_g(x)| + 1 \leq n.$$

By Lemma 2.4,  $\text{order}(g) \in B_n$ .

*Subcase b.*  $y_1$  has valence greater than 1 in  $\Gamma''$ . Then all vertices in  $O_g(y_1)$  also have valence greater than 1 in  $\Gamma''$ . Thus  $\Gamma'' = \Gamma'$ . For any  $h = g^j$ , denote by  $h_{\Gamma'}$  its induced action on  $\Gamma'$ . Clearly, if  $h_{\Gamma'} = \text{id}_{\Gamma'}$ ,  $h(x) = x$ , then  $h = \text{id}_{\Gamma}$ . Namely,  $\text{order}(g)$  is a divisor of  $\text{lcm}(\text{order}(g_{\Gamma'}), |O_g(x)|)$ .

TABLE 1  
The Maximum Order  $c_n$  for Some Small  $n$

$n$	$c_n$	$n$	$c_n$
1	2	11	$90 = 2 \cdot 3^2 \cdot 5$
2	6	12	$180 = 2^2 \cdot 3^2 \cdot 5$
3	$6 = 2 \cdot 3$	13	$210 = 2 \cdot 3 \cdot 5 \cdot 7$
4	$12 = 2^2 \cdot 3$	14	$420 = 2^2 \cdot 3 \cdot 5 \cdot 7$
5	$12 = 2^2 \cdot 3$	15	$420 = 2^2 \cdot 3 \cdot 5 \cdot 7$
6	$20 = 2^2 \cdot 5$	16	$504 = 2^3 \cdot 3^2 \cdot 7$
7	$30 = 2 \cdot 3 \cdot 5$	17	$630 = 2 \cdot 3^2 \cdot 5 \cdot 7$
8	$60 = 2^2 \cdot 3 \cdot 5$	18	$1260 = 2^2 \cdot 3^2 \cdot 5 \cdot 7$
9	$60 = 2^2 \cdot 3 \cdot 5$	19	$1260 = 2^2 \cdot 3^2 \cdot 5 \cdot 7$
10	$84 = 2^2 \cdot 3 \cdot 7$	20	$2520 = 2^3 \cdot 3^2 \cdot 5 \cdot 7$

Moreover,  $\Gamma'$  has no closed chain, since  $x'$  has valence greater than or equal to 3.

If  $|O_g(x)| \geq |O_g(y_1)|$ , then

$$|O_g(x)| \leq 2|O_g(x)| - |O_g(y_1)| = n - n' - 1,$$

in which  $n'$  is the rank of  $\Gamma'$ . Because  $n' < n$ , by the "simplestness" of  $(n, \Gamma, g)$ , order  $(g_{\Gamma'}) \in B_{n'+1}$ . By Lemma 2.5, order  $(g) \in B_n$ .

Now suppose that  $|O_g(x)| < |O_g(y_1)|$ .  $\forall j \in \mathbb{N}$ ,  $g^j(x) = x$  implies that  $g^j(\{y_1, y_2, y_3\}) = \{y_1, y_2, y_3\}$ . Since  $y_1, y_2, y_3$  are in the same orbit of  $g$ , it follows that  $|O_g(y_1)|$  is a divisor of  $3|O_g(x)|$ . Therefore,  $|O_g(x)| = |O_g(y_1)|/3$  or  $2|O_g(y_1)|/3$ .

If  $|O_g(x)| = |O_g(y_1)|/3$ , then, for each  $j \in \mathbb{N}$ , the three vertices in  $O_g(y_1)$  adjacent to  $g^j(x)$  must be

$$g^j(y_1), g^{j+|O_g(x)|}(y_1), \text{ and } g^{j+2|O_g(x)|}(y_1),$$

and each  $g^j(y_1)$  is adjacent to a unique vertex in  $O_g(x)$ . By arguments similar to the proof of Case 1, one can show that order  $(g) \in B_n$ .

If  $|O_g(x)| = 2k$ ,  $|O_g(y_1)| = 3k$  in which  $k \in \mathbb{N}$ , then

$$n - n' - 1 = 2|O_g(x)| - |O_g(y_1)| = k = |O_g(x)| - k.$$

By Lemma 2.4,  $|O_g(x)| \in B_{n-n'-1}$ . However, order  $(g_{\Gamma'}) \in B_{n'+1}$ . It follows from Lemma 2.5 that  $\text{lcm}(\text{order}(g_{\Gamma'}), |O_g(x)|) \in B_n$ . Consequently, order  $(g) \in B_n$ , once again a contradiction.

To sum up, all four cases are impossible. Hence, Theorem 3.1 is true.  $\blacksquare$

*Remark.* We thank the referee for pointing out that part of our results, namely, the description in Theorem 3.1, is also obtained in [4]. However, we believe that our approach here is simpler and more intrinsic. It also gives a clearer understanding of the action of elements in  $\text{Out } F_n$  on graphs as well as the realization of numbers in the order sets  $B_n$ .



5. APPENDIX: SOME CALCULATIONS FOR  $c_n$

Theorem 3.1 reduces the problem of finding maximum orders to the study of number sets  $B_n$ . According to this theorem, for some small  $n$ , the maximum order  $c_n$  of periodic outer automorphisms of  $F_n$  can be calculated easily. Table 1 shows part of the results. In addition, it is known that, for  $a_n$  defined in Eq. (2.2),

$$\log a_n = \sqrt{n \log n} \left( 1 + \frac{\log \log n + \mu_n}{2 \log n} \right),$$

in which  $\{\mu_n\}$  is a bounded number series. Careful analysis of  $B_n$  shows that, when  $n > 2$ ,

$$\log c_n = \log b_n = \sqrt{n \log n} \left( 1 + \frac{\log \log n + \tau_n}{2 \log n} \right),$$

in which  $\{\tau_n\}$  is another bounded number sequence.

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