# Orthogonality of $\boldsymbol{p}$-adic characters 

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#### Abstract

For an abelian topological group $G$ and a non-archimedean complete valued field $K$ necessary and sufficient conditions are derived in order that the $K$-valued characters on $G$ form an orthogonal set with respect to the supremum norm (Theorems 2.1, 2.2,3.1, 4.3). Examples of groups satisfying these conditions (for example $\mathbb{Q}_{p}$ ) are considered in § 5 .


## NOTATIONS AND TERMINOLOGY

Throughout this note, $K$ is a non-archimedean nontrivially valued complete field with valuation $\|$ and residue class field $k, G$ is an additively written topological abelian group. For a prime number $p$ the field of the $p$-adic numbers is $\mathbb{Q}_{p}$, with valuation $\left.\right|_{p} ; \mathbb{Z}_{p}:=\left\{x \in \mathbb{Q}_{p}:|x|_{p} \leq 1\right\} ; C_{p}$ is the group of $p$ elements. The characteristic of a field $L$ is denoted char $L$. Let $H$ be an abelian topological group. Then $\operatorname{Hom}(G, H)$ is the group of all continuous homomorphisms $G \rightarrow H ; G_{K}^{\wedge}:=\operatorname{Hom}(G,\{x \in K:|x|=1\})$ is the group of the $K$-valued characters.

DEFINITION. Let $p$ be a prime number. $G$ is $p$-finite if there is no sequence of open subgroups $G=H_{0} \supset H_{1} \supset H_{2} \supset \cdots$ such that for each $n$ the index [ $H_{n}: H_{n+1}$ ] equals $p$.

DEFINITION. Let $0<c \leq 1$. A subset $X$ of $G_{K}^{\hat{N}}$ is a $c$-orthogonal set if for each finite number of distinct elements $\alpha_{1}, \ldots, \alpha_{n}$ of $X$ and for all $\lambda_{1}, \ldots, \lambda_{n} \in K$

$$
\left\|\sum_{i=1}^{n} \lambda_{i} \alpha_{i}\right\|_{\infty}:=\sup _{x \in G}\left|\sum_{i=1}^{n} \lambda_{i} \alpha_{i}(x)\right| \geq c \max _{1 \leq i \leq n}\left|\lambda_{i}\right|
$$

A 1-orthogonal set is orthonormal.
For elementary analysis in $K$ e.g. the properties of the $K$-valued functions exp and $\log$ (defined if char $K=0$ ) we refer to [1].

## § 1. TWO GENERAL PROPOSITIONS ON ORTHOGONALITY

PROPOSITION 1.1. Let $\alpha_{1}, \ldots, \alpha_{n} \in G_{K}^{\hat{A}}$. Then $\left\{\alpha_{1}, \ldots, \alpha_{n}\right\}$ is an orthogonal set if and only if $i \neq j$ implies $\left\|\alpha_{i}-\alpha_{j}\right\|_{\infty}=1$.

PROOF. It suffices to consider the induction step $n-1 \rightarrow n$ of the "if" part of the statement, so let $\lambda_{1}, \ldots, \lambda_{n} \in K$ and $f=\sum_{i=1}^{n} \lambda_{i} \alpha_{i}$. We have

$$
\|f\|_{\infty} \geq \sup _{s, x \in G}\left|f(s+x)-\alpha_{n}(s) f(x)\right|=\sup _{s, x \in G}\left|\sum_{i=1}^{n-1} \lambda_{i}\left(\alpha_{i}(s)-\alpha_{n}(s)\right) \alpha_{i}(x)\right| .
$$

By the induction hypothesis the right hand side equals

$$
\sup _{s \in G} \max _{1 \leq i \leq n-1}\left|\lambda_{i}\right|\left|\alpha_{i}(s)-\alpha_{n}(s)\right|=\max _{1 \leq i \leq n-1}\left|\lambda_{i}\right|
$$

so that

$$
\|f\|_{\infty} \geq \max _{1 \leq i \leq n-1}\left|\lambda_{i}\right| .
$$

But also

$$
\begin{aligned}
& \left|\lambda_{n}\right|=\left\|f-\sum_{i=1}^{n-1} \lambda_{i} \alpha_{i}\right\|_{\infty} \leq \max \left(\|f\|_{\infty},\left\|\sum_{i=1}^{n-1} \lambda_{i} \alpha_{i}\right\|_{\infty}\right) \leq \\
& \leq \max \left(\|f\|_{\infty}, \max _{1 \leq i \leq n-1}\left|\lambda_{i}\right|\right) \leq\|f\|_{\infty}
\end{aligned}
$$

which finishes the proof.
PROPOSITION 1.2. Let $H$ be a closed subgroup of $G$, let $c_{1}, c_{2} \in(0,1]$. Suppose that $H_{\hat{K}}$ is a $c_{1}$-orthogonal set and that $(G / H)_{\hat{K}}$ is a $c_{2}$-orthogonal set. Then $G_{\hat{K}}^{\hat{}}$ is a $c_{1} c_{2}$-orthogonal set.

PROOF. Let $\pi: G \rightarrow G / H$ be the canonical surjection and let $\pi^{\wedge}:(G / H)_{\hat{K}} \rightarrow G_{K}^{\wedge}$ be defined by the formula $\pi^{\wedge}(\beta)=\beta \circ \pi$. Choose a full set $R$ of representatives modulo $H$ in $G$ and a full set $S$ of representatives modulo $\pi^{\wedge}\left((G / H)_{K}\right)$ in $G_{K}^{\hat{K}}$. Then we have trivially
(i) $\sigma_{1}, \sigma_{2} \in S, \sigma_{1} \neq \sigma_{2} \Rightarrow \sigma_{1} \neq \sigma_{2}$ on $H$,
(ii) $\beta_{1}, \beta_{2} \in(G / H)_{K}^{\wedge}, \beta_{1} \neq \beta_{2} \Rightarrow \beta_{1} \circ \pi \neq \beta_{2} \circ \pi$,
(iii) Each $x \in G$ has a unique representation $x=r+h(r \in R, h \in H)$,
(iv) Each $\alpha \in G_{K}^{\hat{K}}$ has a unique representation $\alpha=\sigma \cdot \pi^{\wedge}(\beta)\left(\sigma \in S, \beta \in(G / H)_{K}\right)$.

Now let $f=\sum_{\alpha \in G_{\kappa}^{\lambda}} \lambda_{\alpha} \alpha$ be a finite $K$-linear combination of characters of $G$.

We shall prove that $\|f\|_{\infty} \geq c_{1} c_{2} \max _{\alpha}\left|\lambda_{\alpha}\right|$. We have

$$
f=\sum_{\sigma \in S} \sum_{\beta \in(\bar{G} / H)_{\hat{k}}} \lambda_{\sigma, \beta} \sigma \cdot \pi^{\wedge}(\beta)
$$

where $\lambda_{\sigma, \beta}:=\lambda_{\sigma \pi^{\wedge}(\beta)}$. For each $r \in R$ we have, by (i) and the $c_{1}$-orthogonality of $H_{K}^{\hat{K}}$,

$$
\begin{aligned}
\|f\|_{\infty} & \geq \sup _{h \in H}\left|\sum_{\sigma} \sum_{\beta} \lambda_{\sigma, \beta} \sigma(r+h) \beta(\pi(r+h))\right| \\
& =\sup _{h \in H}\left|\sum_{\sigma}\left(\sum_{\beta} \lambda_{\sigma, \beta} \sigma(r) \beta(\pi(r))\right) \sigma(h)\right| \\
& \geq c_{1} \sup _{\sigma}\left|\sum_{\beta} \lambda_{\sigma, \beta} \sigma(r) \beta(\pi(r))\right| \\
& =c_{1} \sup _{\sigma}\left|\sum_{\beta} \lambda_{\sigma, \beta} \beta(\pi(r))\right| .
\end{aligned}
$$

By the $c_{2}$-orthogonality of $(G / H)_{\hat{K}}$ and (ii) we have the further estimate

$$
\begin{aligned}
\|f\|_{\infty} & \geq c_{1} \sup _{\sigma}\left\|\sum_{\beta} \lambda_{\sigma, \beta} \beta\right\|_{\infty} \\
& \geq c_{1} c_{2} \sup _{\sigma} \sup _{\beta}\left|\lambda_{\sigma, \beta}\right|=c_{1} c_{2} \max _{\alpha \in G^{*}}\left|\lambda_{\alpha}\right| .
\end{aligned}
$$

## § 2. THE CASE OF MIXED CHARACTERISTICS

Throughout § 2 we assume char $K=0$, char $k=p \neq 0$. Without loss of generality, $K \supset \mathbb{Q}_{p}$.

THEOREM 2.1. (Compare Theorem 4.3). Suppose that $K$ does not contain $p$ th roots of unity except 1 . Then the following are equivalent.
( $\alpha$ ) $G_{K}^{\wedge}$ is orthonormal.
$(\beta) G_{K}^{\hat{K}}$ is $c$-orthogonal for some $c \in(0,1)$.
( $\gamma$ ) $\operatorname{Hom}\left(G, \mathbb{Z}_{p}\right)=(0)$.
PROOF. $(\alpha) \Rightarrow(\beta)$ is trivial. Assume $(\beta)$. Let $\phi \in \operatorname{Hom}\left(G, \mathbb{Z}_{p}\right)$. Choose $s \in K$ such that $0<|s|<\min \left(p^{1 /(1-p)}, c\right)$. Then $\alpha: x \mapsto \exp (s \phi(x))(x \in G)$ is a well defined element of $G_{\hat{K}}^{\hat{K}}$. For $x \in G$ we have $|\alpha(x)-1|=|s \phi(x)| \leq|s|<c$ so that $\|\alpha-1\|_{\infty}<c$. By $(\beta)$ we then have $\alpha=1$ implying $\phi(x)=0$ for all $x \in G$ and $(\gamma)$ follows. Finally we prove $(\gamma) \Rightarrow(\alpha)$. Suppose $(\alpha)$ is not true. Then by Proposition 1.1 there exists an $\alpha \in G_{K}^{\hat{K}}$ with $0<\|1-\alpha\|_{\infty}<1$. Our assumption on $K$ implies that the function $\log$ maps $\{x \in K:|1-x|<1\}$ injectively into the additive group $K$. By analyticity $\log$ is bounded on $\alpha(G)$. The ultrametric Hahn Banach Theorem ([1] A.8) yields a continuous $\mathbb{Q}_{p}$-linear map $\phi: K \rightarrow \mathbb{Q}_{p}$ that does not vanish on $\left(\log ^{\circ} \alpha\right)(G)$. Then $\phi^{\circ} \log ^{\circ} \alpha$ is a continuous nontrivial homomorphism of $G$ into a bounded subgroup of $\mathbb{Q}_{p}$. It follows that Hom $\left(G, \mathbb{Z}_{p}\right) \neq(0)$.

THEOREM 2.2. Suppose that $K$ contains the $p$ th roots of unity. Then the following are equivalent.
$(\alpha) G_{K}^{\wedge}$ is orthonormal.
$(\beta) \operatorname{Hom}\left(G, C_{p}\right)=(0)$.
PROOF. $(\alpha)=(\beta)$. It suffices to prove that $\alpha \in G_{K}^{\hat{K}}, \alpha^{p}=1$ implies $\alpha=1$. For each $x \in G$ we have $|1-\alpha(x)| \leq p^{1 /(1-p)}<1$. Hence, by $(\alpha), \alpha=1$. We proceed to prove $(\beta) \Rightarrow(\alpha)$. If $(\alpha)$ is not true then by Proposition 1.1 there is an $\alpha \in G_{K}^{\hat{K}}$ such that $\tau:=\|1-\alpha\|_{\infty}$ is strictly between 0 and 1 . We shall prove that Hom $\left(G, C_{p}\right) \neq(0)$. In fact, set $\tau^{\prime}:=\tau \max (\tau, 1 / p)$. Then $H:=\{x \in G$ : $\left.|\alpha(x)-1| \leq \tau^{\prime}\right\}$ is a proper open subgroup of $G$. We have $x \in G \Rightarrow|\alpha(x)-1| \leq \tau \Rightarrow$ $\Rightarrow|\alpha(p x)-1| \leq \max (\tau, 1 / p)|\alpha(x)-1| \leq \tau^{\prime}$. It follows that each nonzero element of the nontrivial discrete group $G / H$ has order $p$. One easily obtains a homomorphism of $G / H$ onto $C_{p}$. We see that Hom $\left(G, C_{p}\right) \neq(0)$.

THEOREM 2.3. Suppose that, for each $n \in \mathbb{N}, K$ contains the $p^{n}$ th roots of unity. Then the following are equivalent.
$(\alpha) G_{K}^{\hat{K}}$ is c-orthogonal for some $c \in(0,1)$.
( $\beta$ ) $G$ is $p$-finite.
PROOF. $(\alpha) \Rightarrow(\beta)$. Suppose $(\alpha)$ and $G$ is not $p$-finite; we derive a contradiction. Let $n \in \mathbb{N}$ be such that $p^{-n+1}<c$. There is an open subgroup $H$ of $G$ for which $[G: H]=p^{-n}$. Then $F:=G / H$ has $p^{n}$ elements and so has $F_{K}^{\hat{K}}$ by our assumption on $K$. From

$$
\sum_{\alpha \in F_{\hat{k}}^{\alpha}} \alpha(x)=\left[\begin{array}{ll}
0 & \text { if } x \in F, x \neq 0 \\
p^{n} & \text { if } x \in F, x=0
\end{array}\right.
$$

it follows directly that $F_{K}$ is not $p^{-n+1}$-orthogonal, hence not $c$-orthogonal. But then $G_{K}^{\wedge}$ is not $c$-orthogonal.

Now suppose ( $\beta$ ). There is an open subgroup $H$ of finite index such that Hom $\left(H, C_{p}\right)=(0)$. By Theorem $2.2 H_{K}^{\hat{K}}$ is orthonormal. By finiteness and linear independence $(G / H)_{\hat{K}}$ is $c$-orthogonal for some $c \in(0,1)$. Then, by Proposition 1.2, $G_{K}^{\wedge}$ is $c$-orthogonal.

PROBLEM. Is $(\alpha) \Rightarrow(\beta)$ true if we assume only that $K$ contains the $p$ th roots of unity?
§ 3. THE CASE char $k=0$
THEOREM 3.1. Let char $k=0$. The following are equivalent.
( $\alpha$ ) $G_{K}^{\wedge}$ is orthonormal.
( $\beta$ ) $G_{K}^{\hat{K}}$ is $c$-orthogonal for some $c \in(0,1)$.
$(\gamma) \operatorname{Hom}(G, \mathbb{Q})=(0)$ (where $\mathbb{Q}$ carries the discrete topology).
PROOF. $(\alpha) \Rightarrow(\beta)$ is trivial. Assume $(\beta)$. Let $\phi \in \operatorname{Hom}(G, \mathbb{Q})$. Choose $s \in K$, $0<|s|<c$. Then $\alpha: x \mapsto \exp (s \phi(x))(x \in G)$ is in $G_{K}^{\wedge}$ and $\|1-\alpha\|_{\infty} \leq|s|<c$. By ( $\beta$ ) we have $\alpha=1$ implying $\phi=0$ and $(\gamma)$ is proved. To prove $(\gamma) \Rightarrow(\alpha)$, suppose ( $\alpha$ )
is false. By Proposition 1.1 there is an $\alpha \in G_{K}^{\hat{K}}$ with $0<\|1-\alpha\|_{\infty}<1$. Then $T:=\left(\log { }^{\circ} \alpha\right)(G)$ is a nontrivial additive subgroup of $K$. Choose $t \in T, t \neq 0$ and let $\pi: K \rightarrow K /\{x \in K:|x|<|t|\}$ be the quotient map. Then $\pi(n t) \neq 0$ for all $n \in \mathbb{N}$. Thanks to the divisibility of $\mathbb{Q}$ there is a homomorphism $\phi: K /\{x \in K:|x|<$ $<|t|\} \rightarrow \mathbb{Q}$ mapping $\pi(t)$ into 1 . We see that $\phi \circ \pi \circ \log \circ \alpha$ is a continuous nonzero homomorphism $G \rightarrow \mathbb{Q}$ so that $\operatorname{Hom}(G, \mathbb{Q}) \neq(0)$.
REMARK. It is easy to see that condition $(\gamma)$ is equivalent to the following. For each open subgroup $H$ the quotient $G / H$ is a torsion group.

## § 4. THE CASE char $K=p \neq 0$

This case has to be treated in a way different from the previous ones as we do not have a $K$-valued logarithm or exponential.

Let $K$ be algebraically closed, char $K=p \neq 0$. The group $K^{+}:=\{x \in K$ : $|1-x|<1\}$ does not contain roots of unity except 1 . For each $n \in \mathbb{N}$ and $a \in K^{+}$there is a unique $b \in K^{+}$for which $b^{n}=a$. We write $b=a^{1 / n}$. In an obvious way we obtain a homomorphism

$$
r \mapsto a^{r}
$$

of $\mathbb{Q}$ into $K^{+}$which is uniformly continuous with respect to the $p$-adic metric on $\mathbb{Q}$ since for $r_{1}, r_{2} \in \mathbb{Q}, r_{1} \neq r_{2}$

$$
\left|a^{r_{1}}-a^{r_{2}}\right|=\left|a^{r_{1}-r_{2}}-1\right|=|a-1|^{\left|r_{1}-r_{2}\right|_{p}^{-1}}
$$

and therefore extends to a continuous homomorphism $\lambda \mapsto a^{\lambda}$ of $\mathbb{Q}_{p}$ into $K$. The easy proof of the following proposition is left to the reader.

PROPOSITION 4.1. Let $K$ be algebraically closed, let char $K=p \neq 0$. Then $K^{+}:=\{x \in K:|x-1|<1\}$ has the structure of a Banach space over $\mathbb{Q}_{p}$ with respect to addition, scalar multiplication and norm defined respectively by

$$
\begin{aligned}
& (x, y) \mapsto x y \\
& \left.(\lambda, x) \mapsto x^{\lambda} \quad(x, y) \in K^{+}\right) \\
& \|x\|=\left[\begin{array}{ll}
-(\log |1-x|)^{-1} & \text { if } x \in K, x \neq 1 \\
0 & \text { if } x \in K, x=1
\end{array}\right.
\end{aligned}
$$

Furthermore, the norm topology equals the initial topology on $K^{+}$.
COROLLARY 4.2. Let char $K=p \neq 0$, let $s \in K, 0<|s-1|<1$. Then there exists a continuous homomorphism

$$
\{x \in K:|1-x| \leq|1-s|\} \rightarrow \mathbb{Z}_{p}
$$

that maps s into 1.
Proof. We may assume that $K$ is algebraically closed. By Proposition 4.1 and the ultrametric Hahn Banach theorem there is a homomorphism
$\phi: K^{+} \rightarrow \mathbb{Q}_{p}$ such that $\phi(s)=1$ and $|\phi(x)|_{p} \leq\|s\|^{-1}\|x\|$ for all $x \in K^{+}$, where $\|\|$ is the norm defined above. Then $\phi$ is continuous. If $x \in K,|1-x| \leq|1-s|$ then $\|x\| \leq\|s\|$ so $|\phi(x)|_{p} \leq\|s\|^{-1}\|s\|=1$ i.e. $\phi(x) \in \mathbb{Z}_{p}$.

THEOREM 4.3. Let char $K=p \neq 0$. The following are equivalent.
( $\alpha$ ) $G_{K}^{\wedge}$ is orthonormal.
( $\beta$ ) $G_{K}^{\hat{K}}$ is c-orthogonal for some $c \in(0,1)$.
( $\gamma$ ) Hom $\left(G, \mathbb{Z}_{p}\right)=(0)$.
Proof. $(\beta) \Rightarrow(\gamma)$. Choose $a \in K, 0<|1-a|<c$. It is easily seen that the map $n \mapsto a^{n}$ extends continuously to an injection $x \mapsto a^{x}$ of $\mathbb{Z}_{p}$ into $\{x \in K:|1-x| \leq$ $\leq|1-a|\}$. Let $\phi \in \operatorname{Hom}\left(G, \mathbb{Z}_{p}\right)$. Then $\alpha: x \mapsto a^{\phi(x)}(x \in G)$ is in $G_{K}^{\hat{K}}$ and $\|1-\alpha\|_{\infty} \leq|1-a|<c$. By $(\beta)$ we have $\alpha=1$, whence $\phi=0$. To prove $(\gamma) \Rightarrow(\alpha)$ we may assume that $K$ is algebraically closed. Suppose ( $\alpha$ ) is not true. By Proposition 1.1 there is an $\alpha \in G_{K}^{\hat{K}}$ such that $\tau:=\|1-\alpha\|_{\infty}$ is strictly between 0 and 1. There is an $x \in G$ for which $|\alpha(x)-1| \leq \tau<|\alpha(x)-1|^{1 / p}$; let $s \in K$ be such that $s^{p}=\alpha(x)$. For each $y \in G$ we have $|\alpha(y)-1| \leq \tau \leq|\alpha(x)-1|^{1 / p}=\left|s^{p}-1\right|^{1 / p}=$ $=|s-1|$. By Corollary 4.2 there is a continuous homomorphism $\phi:\{x \in K$ : $|1-x| \leq|1-s|\} \rightarrow \mathbb{Z}_{p}$ mapping $s$ into 1 . Then $\phi \circ \alpha \in \operatorname{Hom}\left(G, \mathbb{Z}_{p}\right)$ and since $\phi(\alpha(x))=\phi\left(s^{p}\right)=p \neq 0$ we conclude that Hom $\left(G, \mathbb{Z}_{p}\right) \neq(0)$.

## § 5. COROLLARIES

The next two theorems can easily be obtained by modifying the proofs of the previous theorems in an obvious way. $\left(\mathbb{Z}_{p}\right)_{d}$ stands for the group $\mathbb{Z}_{p}$ with the discrete topology.

THEOREM 5.1. (Compare Theorems 2.1 and 4.3). Let char $k=p \neq 0$ and suppose that $K$ does not contain $p$ th roots of unity except 1 . Then the locally constant $K$-valued characters on $G$ form an orthonormal set if and only if Hom $\left(G,\left(\mathbb{Z}_{p}\right)_{d}\right)=(0)$.

THEOREM 5.2. (Compare Theorems 2.2 and 3.1). Suppose either char $k=0$, or char $k=p \neq 0$ and $K$ contains the $p$ th roots of unity. Then the locally constant $K$-valued characters form an orthonormal set if and only if all $K$-valued characters form an orthonormal set.

Let us consider the class $\mathscr{C}$ of all $G$ for which the $K$-valued characters form an orthonormal set for any choice of $K$. It is easily seen that each one of the following conditions $(\alpha)-(\gamma)$ is equivalent to $G \in \mathscr{C}$.
$(\alpha)$ For each $K$ the locally constant $K$-valued characters form an orthonormal set.
$(\beta) \operatorname{Hom}(G, \mathbb{Q})=(0)$, Hom $\left(G, C_{p}\right)=(0)$ for each prime $p$.
$(\gamma)$ For each open subgroup $H \neq G$ of $G$ the quotient $G / H$ is an infinite torsion group.

The class $\mathscr{C}$ is closed for products. If $\phi$ is a continuous homomorphism of $G$ into an abelian group and $G \in \mathscr{C}$ then $\phi(G) \in \mathscr{C}$. If $G$ is a dense subgroup of an abelian topological group $G^{\prime}$ then $G \in \mathscr{C}$ if and only if $G^{\prime} \in \mathscr{C}$.

It follows that $\mathbb{Q}_{p}, \Pi_{p \text { prime }} \mathbb{Q}_{p}, \mathbb{Q}_{p} / \mathbb{Z}_{p}, \mathbb{Q} / \mathbb{Z}$ are in $\mathscr{\mathscr { L }}$. (In [3] it is proved in a different way that the $\mathbb{C}_{p}$-valued characters of $\mathbb{Q}_{p} / \mathbb{Z}_{p}$ are orthonormal.) No compact zerodimensional group, except ( 0 ), is in $\mathscr{C}$.

We present some further examples.
PROPOSITION 5.3. Let $E$ be a locally convex space over $\mathbb{Q}_{p}$. Then for any $K$ the $K$-valued characters on $E$ form an orthogonal set.

Proof. Let $H$ be an open subgroup of $E$, let $x \in E \backslash H$. There is an $n \in \mathbb{N}$ such that $p^{n} x \notin H, p^{n+1} x \in H$. Hence $E / H$ is a torsion group. Set $y:=p^{n} x$. Then $y, p^{-1} y, p^{-2} y, \ldots$ are mutually distinct modulo $H$. It follows that $E / H$ is infinite.

From 5.3 we may conclude that the additive group of any valued field extension of $\mathbb{Q}_{p}$ is in $\mathscr{\mathscr { C }}$. This leads to a question that is solved in the next proposition.

PROPOSITION 5.4. Let $L$ be a non-archimedean complete value field with residue class field $l$.
(i) If char $L=$ char $l$ then no subgroup of $L$, except (0), is in $\mathscr{C}$.
(ii) If $L \supset \mathbb{Q}_{p}$ for some prime $p$ then a closed subgroup of $L$ is in $\mathscr{C}$ if and only if it is a vector space over $\mathbb{Q}_{p}$.

PROOF. (i) Let $H$ be a subgroup of $L$, let $s \in H, s \neq 0$. Set $H_{1}:=H /\{x \in H$ : $|x|<|s|\}$. One easily establishes a nontrivial homomorphism of $H_{1}$ into $\mathbb{Q}$ if char $l=0$, into $C_{p}$ if char $l=p \neq 0$. Then $H \notin \mathscr{G}$.
(ii) By Proposition 5.3 it suffices to prove that a closed subgroup $G$ of $L$ that is not a vector space over $\mathbb{Q}_{p}$ is not in $\mathscr{C}$. By continuity $G$ is a $\mathbb{Z}_{p}$-module i.e. $G$ is a closed convex set. There is an $x \in L$ such that $x \notin G$, but $\lambda \in G$ for some $\lambda \in \mathbb{Q}_{p}, \lambda \neq 0$. By the geometric form of the ultrametric Hahn Banach Theorem (see, for example, [2]) there is a continuous $\mathbb{Q}_{p}$-linear function $\phi: L \rightarrow \mathbb{Q}_{p}$ such that $|\phi(G)|_{p}<1,|\phi(x)|>1$. Observe that $\phi(G)$ is a nontrivial bounded subgroup of $\mathbb{Q}_{p}$. We see that $\operatorname{Hom}\left(G, \mathbb{Z}_{p}\right) \neq(0)$ so that $G \notin \mathscr{C}$.

We now turn to multiplicative groups in $L$. First we consider $L^{+}:=\{x \in L$ : $|1-x|<1\}$.

PROPOSITION 5.5. Let $L$ be as in 5.4, L algebraically closed.
(i) If char $L=p \neq 0$ then $L^{+} \in \mathscr{C}$.
(ii) If char $l=0$ then $L^{+} \ddagger \mathscr{G}$.
(iii) If $L \supset \mathbb{Q}_{p}$ then $L^{+} \in \mathscr{C}$.

Proof. (i) This is a direct consequence of Proposition 4.1 and Proposition 5.3.
(ii) The $\log$ function maps $L^{+}$homeomorphically into a bounded additive subgroup of $L$ which is not in $\mathscr{C}$ by Proposition 5.4.
(iii) The function exp and $\log$ can, since the additive group $L$ and the multiplicative group $L^{+}$are divisible, be extended to continuous homomorphisms EXP: $L \rightarrow L^{+}$and LOG: $L^{+} \rightarrow L$ respectively. Set $\phi(x):=$ EXPLOG $x\left(x \in L^{+}\right)$, set $G:=\{$ EXP $x: x \in L\}, C_{p \infty}:=\left\{x \in L^{+}: x^{p^{n}}=1\right.$ for some $\left.n \in \mathbb{N}\right\}$. For each $x \in L^{+}, x / \phi(x)$ is in $C_{p \infty}$. The formula

$$
x=(x / \phi(x)) \cdot \phi(x)
$$

yields a decomposition of $L^{+}$as a direct product of $C_{p \infty}$ and $G$. But $C_{p \infty} \cong \mathbb{Q}_{p} / \mathbb{Z}_{p} \in \mathscr{C}$ and $G \simeq L \in \mathscr{C}$ (EXP is injective). Hence $L^{+} \in \mathscr{C}$.

Finally we have (observe that a subgroup of $L^{\times}$that belongs to $\mathscr{C}$ must lie in $\{x \in L:|x|=1\}$ as $L^{\times} /\{x \in L:|x|=1\}$ is isomorphic to the value group of $L$ which is not in $\mathscr{C}$ )

PROPOSITION 5.6. Let $L$ be as in 5.5.
(i) If char $l=0$ then $\{x \in L:|x|=1\} \notin \mathscr{C}$.
(ii) If char $l=p \neq 0$ then $\{x \in L:|x|=1\} \in \mathscr{C}$ if and only if $l$ is algebraic over the field of $p$ elements.

PROOF. (i) $\{x \in L:|x|=1\} / L^{+}$is the multiplicative group of a field with characteristic 0 , which is not in $\mathscr{C}$ (as a discrete group).
(ii) If $\{x \in L:|x|=1\} \in \mathscr{C}$ then $l^{\times}:=\{x \in l: x \neq 0\}$, being a quotient of $\{x \in L:|x|=1\}$, must be a torsion group so that $l^{\times}$is algebraic over the prime field. Conversely, if $l^{\times}$is algebraic it is easily seen that $l^{\times} \in \mathscr{C}$. By Proposition 5.5 we have $L^{+} \in \mathscr{H}$. As $\{x \in L:|x|=1\} / L^{+} \simeq l^{\times}$we have $\{x \in L:|x|=1\} \in \mathscr{C}$ by Proposition 1.2.

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