Crystal Structures of the HsIVU Peptidase–ATPase Complex Reveal an ATP-Dependent Proteolysis Mechanism

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Summary

Background: The bacterial heat shock locus HsIU ATPase and HsIV peptidase together form an ATP-dependent HsIVU protease. Bacterial HsIVU is a homolog of the eukaryotic 26S proteasome. Crystallographic studies of HsIVU should provide an understanding of ATP-dependent protein unfolding, translocation, and proteolysis by this and other ATP-dependent proteases.

Results: We present a 3.0 Å resolution crystal structure of HsIVU with an HsIU hexamer bound at one end of an HsIV dodecamer. The structure shows that the central pores of the ATPase and peptidase are next to each other and aligned. The central pore of HsIU consists of a GYVG motif, which is conserved among proteaseassociated ATPases. The binding of one HsIU hexamer to one end of an HsIV dodecamer in the 3.0 Å resolution structure opens both HsIV central pores and induces asymmetric changes in HsIV.

Conclusions: Analysis of nucleotide binding induced conformational changes in the current and previous HsIU structures suggests a protein unfolding–coupled translocation mechanism. In this mechanism, unfolded polypeptides are threaded through the aligned pores of the ATPase and peptidase and translocated into the peptidase central chamber.

Introduction

ATP-dependent proteases are responsible for the degradation of the majority of proteins in the cell [1–3], including regulatory protein factors and abnormally folded proteins. They are essential for cellular maintenance and their biochemical properties have been studied extensively over the past decade [3–5].

§To whom correspondence should be addressed (e-mail: wang@ mail.csb.yale.edu [J. W.]; eom@kjist.ac.kr [S. H. E.]). The bacterial ATP-dependent protease HsIVU is a homolog of the eukaryotic 26S proteasome [6–10]. HsIVU is composed of two distinct polypeptides, the *h*eat shock *locus* HsIV peptidase and HsIU ATPase. HsIV forms a dodecamer of two back-to-back stacked hexameric rings. HsIU forms a hexameric ring and binds to either one or both HsIV ends.

Crystallographic studies of the proteolytic cores of ATP-dependent proteases [11–15] reveal that they have a common architecture and underlying ATP-dependent proteolysis mechanism. One element of this common architecture is a large proteolytic chamber formed by the peptidases in two stacked rings. The proteolytic active sites are located inside this chamber and access to the chamber is restricted to narrow pores at each end. The dimensions of these pores are so small that folded proteins cannot pass through, requiring protein substrates to be in an unfolded, extended conformation in order to reach the proteolytic active sites. Electron microscopic (EM) image reconstruction [16-18] reveals that the ATPase particles in ClpAP and the 26S proteasome also have pores and the pores of the ATPases and peptidases appear to be adjacent to each other and aligned.

We have determined the crystal structures of the HsIVU peptidase–ATPase complex in an asymmetric and symmetric form. The quaternary arrangement of the peptidase and ATPase in our structures is radically different from that determined previously by X-ray crystallography [19], but is consistent with the EM images of the HsIVU complex [18]. Our structures also differ from the previous crystal structure [19] in the conformation of the bound nucleotide. The biological relevance of these structures is addressed below.

Results and Discussion

Structure Determination and Overall Structure

We have determined two crystal structures (Figure 1) of the HsIVU protease, an asymmetric complex at 3.0 Å resolution and a symmetric complex at 7.0 Å resolution (Table 1). X-ray diffraction data in both crystal forms suffered from complete (50%:50%) hemihedral twinning. In the asymmetric complex, there is one HslU hexamer bound to end of the HsIV dodecamer in a $U_6V_6V_6$ configuration (Figure 1b). In the symmetric complex, there are two HsIU hexamers bound to both HsIV ends in $a U_6 V_6 V_6 U_6$ configuration (Figure 1c). Consistent with this, the length of the unit cell's c axis in the high-resolution crystal form (Form I; Table 1) can accommodate only one asymmetric complex, and it can accommodate one symmetric complex in the low-resolution crystal form (Form II; Table 1). The structures were determined, by molecular replacement, with the hexameric HsIU and dodecameric HsIV of Bochtler et al. [19] as search models. The asymmetric model has a free R factor of 29.5%

Key words: HsIVU; ATP-dependent proteolysis; protein unfolding; translocation



Figure 1. The Structures of HsIVU

(a) A composite-omit electron density map (cyan, contoured at 1σ) at 3.0 Å resolution reveals that the bound dADP (yellow) is in an *anti* conformation, not *syn*, as in a previously determined structure (AMPPNP, magenta). This map was generated before dADP was built into the model.

(b) The HsIVU complex in the asymmetric $U_6V_6V_6$ configuration. Parts of HsIU domain I could not be built into the final electron density and are indicated by spheres for their approximate locations.

(c) The HsIVU structure in the symmetric $U_6V_6V_6U_6$ configuration. The orientation of the complexes in (1b) and (1c) differs by 30°.

for data between 85 and 3.0 Å (Table 1). A portion of an unbiased composite-omit electron density map is shown in Figure 1a. This map was calculated before dADP was built into the structure. The symmetric model was refined with one body per subunit in the rigid-body refinement. This model has a free R factor of 43.2% for data between 10 and 7 Å (Table 1). There is a relative rotation of 6.5° of the hexameric HsIU with respect to the dodecameric HsIV between these two structures.

Quaternary Arrangement of the ATPase and Peptidase in the HsIVU Structures

HslU can be divided into three domains [19]-amino terminal (N; residues 2-109/244-332), intermediate (I; residues 110-243), and carboxyl terminal (C; residues 333-443). In the structure of Bochtler et al., [19], HslV is contacted by HsIU through domain I and the pores of HsIU and HsIV are separated more than 80 Å, whereas in ours this contact is mediated by the nucleotide binding domains, and the pores of HsIU and HsIV are next to each other and aligned. Two lines of evidence indicated that our structures are biologically more relevant. First, our structures agree with an EM structure, which shows most of the HsIU's scattering mass is adjacent to HsIV, with less massive domains extending away from the interface [18]. The dimension and positions of the bulky domains correspond to those of our N and C domains, and the domains with less scattering mass correspond to domain I in our structures. Second, a sequence comparison indicates that the HsIU-HsIV interface we observe is composed of conserved residues, as would be expected for a functionally critical interface (Figures 2a and 3a). Conserved residues are clustered into two groups on the surface, one near the pore including GYVG of the pore 1 motif and D271 at the end of the pore 2 motif (Figure 2a), and the other near the nucleotide binding site including the P loop and residues near A309 (Figure 3a). The end of HsIU domain I, where HsIV binds in the structure previously determined [19], is not conserved (Figure 2a). Further crystallographic resolution of differing quaternary arrangements of the peptidase and ATPases will be addressed elsewhere.

Binding of HsIU to HsIV Opens the HsIV Translocation Pores

The binding of HsIU to one HsIV end induces associated asymmetric changes (Figures 3b and 3c) in electrostatic potential, surface curvature, pore size, and the peptidase active site. We define the hexameric ring of HsIV as *cis* and *trans* with respect to HsIU (Figure 1b). The rms C α deviation of uncomplexed HsIV [13] to *cis* HsIV is 1.6 Å, and it is 2.0 Å to *trans* HsIV. The *cis* and *trans* rings themselves differ by 2.3 Å. Other differences include subtle changes around the peptidase active sites, including a repositioning of K33 and a rotation of a peptide bond near Thr-1 in the *trans* ring.

Some of the most interesting changes upon the binding of HsIU to HsIV involve HsIV's central (or translocation) pore (Figures 3b and 3c). In the uncomplexed HsIV structure [13], the diameter of its translocation pore is about 13 Å, while in our $U_6V_6V_6$ structure, the *cis* HsIV ring has a pore diameter of 19.7 Å, and the *trans* HsIV ring has a pore diameter of 17 Å (Figures 3b and 3c). One possible advantage of opening the *trans* HsIV pore

Table 1. Summary of Crystallographic Data		
Form	I	II
Space group	P321	P321
Unit cell dimension	a = b = 167.00 Å, c = 161.32 Å	a = b = 173.39 Å, c = 254.43 Å
	$\alpha = \beta = 90^{\circ}$, $\gamma = 120^{\circ}$	$\alpha = \beta = 90^{\circ}, \gamma = 120^{\circ}$
Resolution	85–3.0 Å	80–7.0 Å
R _{symm}	13.1%	20.1%
# Reflections	46,551	6,489
Completeness	86%	87%
Model content	2dADP, 4HslV 1–173	4HslV 1–173
	2HsIU 2-131/220-443	4HsIU 2-166/216-443
Missing residues	11%	8%
rms bond length deviations	0.009 Å	(rigid-body refined)
rms bond angle deviations	1.6 °	
R-factor	25.7% (85–3.0 Å)	40.1% (10–7 Å)
Free R-factor	29.5% (85–3.0 Å)	43.2% (10–7 Å)
X-ray data/PDB accession	1G4A	1G4B

R_{symm} = $\Sigma_{isym,hdl}|I - \langle I \rangle |\Sigma_{isym,hdl} \langle I \rangle$, where isym are symmetry-related observations. Test set for free R-factor calculations was selected in 10% reflections in P622, taking account of twinning.

is that it might facilitate the release of proteolytic oligopeptide products through this pore.

The structure does not directly show how conformational changes in the trans HsIV ring are transmitted through the HsIV-HsIV interface. It is interesting to note that the HsIV-HsIV interface is largely hydrophobic, and 70% of the buried 12,630 Å² solvent accessible area [20] at the interface involves carbon atoms. In contrast, the HsIU-HsIV interface is largely hydrophilic, and 50% of the buried 5,580 Å² solvent accessible area at the interface involves oxygen and nitrogen atoms. The buried HsIU surface is conserved (Figure 3a) and includes 36 hydrogen bonds between the ATPase and peptidase.

Sequence Conservation in Domain I and Translocation Pore

Conserved HsIU sequences are also exposed in two additional areas: in domain I and in the central pore of the HsIU hexamer (Figure 2a). Bochtler et al. [19] have previously proposed that domain I binds unfolded protein substrates on the basis of its conformational flexibility in different crystal forms. By mapping the sequence conservation in this region onto the current structures (Figure 2a), we observe that the domain I surface facing the central cavity is conserved. This provides further evidence for their proposal that domain I is a substrate binding site. As an extension to this proposal, we believe

> Figure 2. Sequence Conservation in HsIU and Other ATPases

(a) HsIU sequence conservation is shown in gray scale from the most (dark) to least (white) conserved and is compared with solvent accessibility of each residue shown in scale from buried (dark blue) to exposed (light blue and white). The secondary structures (vellow ribbons drawing), and key segments such as P loop, pore 1, pore 2, domain I, and box B (switch I) are also shown. Each 10th HsIU residue is labeled with an asterisk. Walker box A (or P loop) and box B are underlined with magenta arrows. Residues at the nucleotide binding pocket are underlined in green. Motifs IV and V of the Hsp100/ClpP ATPases are underlined with blue arrows. These motifs are located at the junction of domains N and C and control the domain motion upon nucleotide binding. The ordered portion of domain I is underlined with red dashes, and the ends of the domain are underlined with green arrows. Residues in primary and secondary pores (pore 1 and pore 2) are underlined with black dashes.

(b) The conservation of the primary pore 1 motif includes all subfamilies of ATP-dependent proteases and ClpB and Hsp104. ClpA, ClpX, ClpC, ClpB, Hsp104, HslU, Lon, and FtsH are from E. coli. ClpC from Synechococcus sp. PCC 7942, and rpt from yeast.



FLFLGFTGVGKTELRKALANCIFDAEDALINFDMSEIMERNSISKLIGAPPGIIGIEGGQUSEAI FIFSGPTGVGKTELTKALAAYFFGSEEAMIRLDMSEYMERHTVSKLIGSPPGYVGYNEGGQLTEAV



Figure 3. HsIVU Complex Formation

(a) The HslU apical surface that binds HslV is hydrophilic (left side, red and blue for O and N atoms) and conserved (right side, using the same gray scale as in Figure 2). The bound dADP has a widened pore and is also shown near the apical surface.

(b) The cis HsIV apical surface that binds HsIU is also hydrophilic.

(c) The *trans* HsIV apical surface differs from the *cis* HsIV in electrostatic potential (B and C on an identical scale), surface curvature, and pore size.

that domain I unfolds proteins by alternatively exposing and burying its binding site, as described below, rather than through an order–disorder transition [19].

Conformational changes were also previously observed in the central pore of the HsIU hexamer [19]. However, the functional role of this pore had not been established, because the pore and nucleotide binding domains of HsIU were observed to be remote to HsIV in the previous crystal structure [19]. In the current structures, it is clear that this is a translocation pore where the unfolded polypeptide is likely threaded through and translocated into HsIV.

The translocation pore consists of a primary and a secondary motif (Figure 2a). The primary motif (pore 1 in Figure 2) forms the innermost pore in the HsIU hexamer. This motif contains a conserved GYVG sequence, and is located in sequence next to the Walker box A or P loop motif that binds the phosphate of the nucleotide. The secondary motif (pore 2 in Figure 2) forms a second layer next to the primary pore in the HsIU hexamer. This motif is located in sequence immediately next to Walker box B. Nucleotide binding induces conformational changes in Walker box A (and through it to pore 1) as well as in Walker box B (and through it to pore 2). Pore 2 is linked to pore 1 through backbone interactions. Therefore, nucleotide binding induces conformational

changes in the pore through two separate paths: directly through Walker A, and indirectly through Walker B and pore 2.

The primary pore motif is conserved among all protease-associated ATPases and the chaperones ClpB and Hsp104 (Figure 2b), implying a shared mechanism. There are only small variations in the second to fourth residues among different subfamilies: the second position is always either Y or F; the third position is hydrophobic; and the fourth position is always G. The consensus sequence at the first position differs among subfamilies. It is G in ClpA, ClpB, ClpC, ClpX, and HsIU, it is T in Lon, it is M in FtsH, and it is K in the 19S ATPases. All these ATPases belong to the AAA/AAA+ family, and ClpA, ClpB, ClpC, ClpX, and HsIU also belong to the Clp/ATPase family [21–23].

The Bound dADP in HsIU Is in an anti Conformation

In the structure of the asymmetric HsIVU complex, an electron density map unambiguously reveals that the bound dADP is in an *anti* conformation (Figure 1a), rather than in the previously described *syn* conformation [19]. In this *anti* conformation, dADP adenine N1 accepts a hydrogen from IIe-18 amide and N6 donates its hydrogen to IIe-18 carbonyl for two discriminative hydrogen

Figure 4. Nucleotide-Dependent Conformational Changes at the Binding Pocket

(a) A superposition of the nucleotide-free HsIU subunit (magenta) onto dADP-bound HsIU (yellow, gray, and cyan) using Walker box A C α coordinates reveals conformational changes in Walker box B or helix α N9 (cyan), especially from an adjacent subunit (asterisk).

(b) Helix α N9 (cyan) and strand β N6 (gray) cross-link all 6 HsIU subunits with the bound dADP.





Figure 5. Three HsIU Conformational States

Closed (a-d), open (e-h), and filled (i).

(a) The closed state occurs in the dADP-bound HsIU structure and a previous structure with 6 AMPPNP bound per HsIU hexamer. Pore 1 (yellow) and pore 2 (cyan) are shown. The accessible pore diameter is 4.4 Å (the closest distance between the centers of atoms across the pore is 7.2 Å).

(b) Side view of the closed pore structure.

(c) Domain I in the HsIU hexamer is symmetrically distributed in the closed state in the asymmetric complex, in which the missing domain I residues were modeled according to Bochtler et al., [19].

(d) Domain I exposes a substrate binding site in the closed state.

(e) The open state occurs in a previously determined structure with 3 AMPPNP bound per HsIU hexamer.

(f) Side view of the open pore structure.

(g) Domain I is asymmetrically distributed in the open state.

(h) A part of conserved surface in domain I is buried between two adjacent HsIU subunits.

(i) The filled state occurs in a previously determined structure with 4 ATP bound per HslU hexamer.

bonds (Figure 1a). This recognition pattern explains why HsIU is specifically an ATPase [8].

Nucleotide-Dependent Conformational

Changes in HslU

Our structures have 6 dADP bound per HsIU hexamer. The hexameric HsIU assembly in the 3.0 Å asymmetric complex is in essentially the same conformation as the structure of HsIU with 6 bound AMPPNP per hexamer [19]. The C α rms difference was 0.8 Å between the two hexamers. Two structures of the HsIU ATPase have also been determined with fewer nucleotides bound, one with 3 AMPPNP and the other with 4 ATP bound per hexamer [19]. Large conformational differences between these various structures are observed and are presumably due to differences in the number and type of the bound nucleotide in them.

We examined the Walker box A and B motifs in HslU in order to determine how conformational changes are transmitted from the nucleotide binding pockets to the rest of the structure. Walker box B consists of residues 318–328 near helix α N9 [18]. We superimposed the nucleotide-free HslU subunit [19] onto the dADP-bound HslU structure using Walker box A C α coordinates. As a result of this superposition, the C α coordinates in the Walker box B motif from its neighboring subunit were displaced by up to 7.1 Å with an average displacement of 4.5 Å (Figure 4a). Conformational changes also exist in Walker box B within each HslU subunit, but are relatively small (Figure 4a). It is interesting to note that when the



Figure 6. A Protein Unfolding–Coupled Translocation Mechanism

Solid arrows indicate the movement of Y91. Dashed arrows indicate where hydrophobic surface is exposed and buried. Dotted outlines of a tyrosine show positions of Y91 in alternative states. Shaded spheres represent hydrophobic residues from unfolded substrate.

(a) In the closed state, the pore is closed and hydrophilic, and domain I has exposed substrate binding sites for the unfolded protein.(b) In the open state, the pore is hydrophobic and open, and domain I has partially buried

its substrate binding sites. The unfolded protein is released from domain I and may rebind at the pore. (c) During the open to closed transition, Y91 moves from inside HsIU to HsIV. If a hydrophobic residue from the unfolded substrate moves with Y91, translocation occurs. As indicated in this figure, small side clefts span the pore. Through these clefts another route exists for Y91 to return from the HsIU to HsIV side of the pore.

dADP-bound HsIU structure is superimposed onto the ADP-bound myosin ATPase structure [24] using Walker box A C α coordinates, Walker box B occupies a region corresponding to "switch I", and strand β N6, which immediately proceeds Walker box B, occupies a "switch II"-like region.

The inter-subunit conformational changes near the nucleotide binding pocket (Figure 4b) cause extensive repacking of the inter-subunit (HsIU–HsIU) interface within each HsIU hexamer. Since domain I extends out from the subunit interface in the HsIU hexamer, repacking of this interface is responsible for large motions of domain I.

There are three HsIU conformational states that can be observed in the current and previous structures (Figure 5). (1) The closed state (Figures 5a-5d) occurs in our current HsIU structure containing 6 dADP per hexamer as well as the previous structure containing 6 AMPPNP [19]. In this state, the pore diameter is 4.4 Å, the pore is hydrophilic, and Tyr-91 of the GYVG motif points toward HsIV. (2) The open state (Figures 5e-5h) has been shown to occur in a previously determined HsIU structure with 3 AMPPNP per hexamer [19]. In this state, the pore diameter is 8.4 Å, the pore is hydrophobic, and Tyr-91 points inside HsIU. (3). Finally, the filled state (Figure 5i) has also been shown to occur in a HsIU structure with 4 ATP bound per hexamer [19]. In this state, two of six Tyr-91 residues occupy the pore. These states suggest that Tyr-91 can move from inside HslU toward HslV through the pore. In addition to changes in the pore structure, domain I undergoes a nucleotide-dependent twisting motion [19]. This motion causes the conserved surface on domain I to be exposed in the closed state and partially buried in the open state (Figures 5d and 5h).

A Protein Unfolding–Coupled Translocation Mechanism On the basis of our earlier work on ClpP and comparisons of it with HsIV and the 20S proteasome, we proposed that the protein substrates of these proteases had to be in an extended conformation before they could be threaded through the central pores into proteolytic chambers [14–15]. In the structures described here, the pores of the HsIU ATPase and HsIV peptidase are aligned. This juxtaposition provides further evidence for the polypeptide-threading proposal. These structures, however, do not address at which terminus the threading begins and how the terminus is recognized.

Once the threading begins, how is the remaining protein unfolded by the ATPases and translocated through the pores? On the basis of the three HsIU conformations described above, we propose a protein unfolding–coupled translocation mechanism (Figure 6). Our mechanism involves the alternate exposure and burial of hydrophobic patches, and is thus reminiscent of the two-stroke unfolding mechanism proposed for GroEL [25–27].

The first step in our mechanistic cycle involves the closed state described above (Figure 6a). In this state, we propose that the pore is clamped around polypeptide substrate. In addition, the exposed hydrophobic surface in domain I would favor unfolding of substrate by providing binding sites for protein in nonnative conformation. The open state, by way of contrast, contains less accessible hydrophobic surface area in domain I (Figure 6b). This would favor the release of unfolded polypeptide by this domain. The released polypeptide could potentially refold in solution. However, in this state, the pore is open and hydrophobic, and provides an excellent and alternative binding site for polypeptide. Binding at the pore site would result in a net transfer of polypeptide from domain I to the pore. A key component of the hydrophobic patch at the pore in this state is provided by Y91. In the filled state (Figure 6c), this residue lies within the pore. It is tempting to speculate that its motion might be coupled to that of the unfolded polypeptide. If it were, then translocation of polypeptide from HsIU through its pore into HsIV would occur in the transition from filled to closed state, and this translocation would be unidirectional from HsIU to HsIV.

Biological Implications

ATP-dependent proteolysis is essential for cellular function. ATP-dependent proteases appear to have a shared ATP-dependent proteolysis mechanism on the basis of sequence analysis and structural studies. The bacterial HsIVU protease has been a model system for mechanistic studies. The present study reveals that the pores of the ATPase and peptidase are aligned in HsIVU, as they are in CIpAP and the 26S proteasome in the EM studies [16–17]. Therefore, our proposal of a polypeptidethreading mechanism through the aligned pores is likely applicable to all ATP-dependent proteases.

Many of the ATPases in ATP-dependent proteases have a dual function—in addition to serving as peptidase activators, they can act as chaperones [23, 27–32]. The residues that made up the translocation pore in all of these ATPases are conserved, suggesting that the proposed polypeptide-threading mechanism is also relevant to their chaperone activities.

Though we used an equal molar ratio of HsIU and HsIV in our crystallization trials, we have obtained both asymmetric $(U_6V_6V_6)$ and symmetric $(U_6V_6V_6U_6)$ HsIVU complexes. It is possible that the symmetric complex is the biologically relevant form of HsIVU. If it were, the two HsIU hexamers would have to coordinate their activities so that (1) substrate binding in one would preclude substrate binding in the other and (2) substrate binding in one would open the translocation pore of the other HsIU hexamer, allowing proteolytic products to diffuse away. A simpler and therefore more appealing model would have the asymmetric peptidase-ATPase complex as the biologically active form. In this form, unfolded protein substrates could enter the HsIV proteolytic chamber through one end, and proteolytic oligopeptide products diffuse out on the other end. The HslU ATPase actively catalyzes substrate entry through the proposed protein unfolding-coupled translocation mechanism, and it also catalyzes proteolytic product leaving by widening the distal HsIV translocation pore. In addition, previously published data on size exclusion chromatography of HsIVU indicate a dominant form consistent with a $U_6V_6V_6$ configuration (for example, Figure 5a) of [33]). The asymmetric binding of one ATPase (ClpX or CIpA) to the peptidase CIpP was previously observed [34], suggesting that the asymmetric complexes may also be the biologically active form in the ATP-dependent protease.

Our protein unfolding–coupled translocation mechanism requires the hydrophobic interactions of Y91 at the pore with the unfolded protein substrates. Thus, a prediction of the mechanism is that the translocation will stall, and proteolysis will abort, when the ATPdependent proteolysis machinery encounters a long stretch of amino acids devoid of hydrophobic residues. Such stalling, leading to a defined proteolytic product, has been observed in a glycine-rich region of the NF- κ B precursor [35] and near the SulA amino terminus in an MBP-SulA fusion substrate [36].

Experimental Procedures

Crystallization and Data Collection

The *E. coli* enzymes of HsIU and HsIV were coexpressed, then copurified, and finally separated from each other and further individually purified [6]. An equal molar ratio mixture of the two enzymes in the presence of 1 mM dATP and 20 mM MgCl₂ was crystallized in two forms in P321 at room temperature using the hanging drop vapordiffusion method. The nucleotide dATP was hydrolyzed to dADP during the course of crystallization. Crystal form I was grown in drops containing 1 μ I 15 mg/ml HsIVU in storage buffer (20 mM Tris-HCI [pH 7.8], 5 mM MgCl₂, 0.5 mM EDTA, 1 mM DTT, and 10% glycerol) mixed with 1 μ I reservoir solution (10% ethylene glycol, 20 mM MgCl₂, 2 mM DTT, and 1 mM EDTA). Form II was grown in drops containing 1 μ I 27 mg/ml HsIVU in the storage buffer without mixing with the reservoir solution (0.1 M MES [pH 6.3]/1.5 M NaAc). Form I crystals were frozen in the presence of 30% ethylene glycol and form II crystals were frozen after crystals were dipped into paratone-N (Hampton Research). All crystals had various degrees of hemihedral twinning with a twinning operation of (-h, -k, I). The twinning fraction rose to 50% with increasing resolution. Low-resolution data were collected from frozen crystals using an R-axis IV imaging-plate system at home radiation sources and X-ray beam BL6A at the Photon Factory in Japan. The 3.0 Å resolution data were collected at the Advanced Light Source (Berkeley) beam line 5.0.2. Data were processed using the HKL suite of programs [37].

Structure Determination and Refinement

The structures were determined by molecular replacement as implemented in CNS [38] in both forms with low twinning fraction data sets. Search models were hexameric HsIU and dodecameric HsIV of Bochtler et al. [19]. The structures were refined using CNS. For the form I model, noncrystallographic symmetry (NCS) was only implicitly restrained during the MLHL refinement [38] with external phases. The external phases were calculated in two steps: (1) initial phases and figures of merit were generated by composite-omit simulated-annealing from a partially refined model, and (2) these phases were improved using density modification and 2-fold NCS averaging with an external mask. The mask covered only the model of one twinning fraction. The second twinning portion was suppressed using these external phases and ignored during the refinement. Cyclic detwinning using atomic models was attempted and so was the refinement with the inclusion of a twinning fraction. They did not yield better electron density maps and free R factor values. A part of domain I was partially disordered in the final electron density maps in form I, and it could not be built with certainty (Table 1). Weak electron density was observed near the peptidase active sites. This density could correspond to copurified oligopeptide products or substrates, but no peptides were built into the model. The model for form II was refined only by rigid-body refinement with one body per subunit and fixed $B = 60 \text{ Å}^2$ for all atoms.

Sequence Alignment

All sequence alignment was carried out using the GCG suite of program (Genetics Computer Group, Inc, Madison, Wisconsin). All sequences of HsIU, ClpX, ClpA, ClpC, Lon, FtsH, ClpB, and the proteasome 19S regulator ATPases that were available at the time this work was carried out (March 15, 2000) were included in the alignment. All sequences are from the publicly accessible Swiss-Pro database through PubMed. Individual sequence references are omitted and can be found through PubMed. There were 11 sequences for HsIU, 39 for ClpA, ClpB, ClpC, and ClpX, 35 for Lon, and 16 for FtsH at the time.

Figures 1, 4, 5, and 3a were made using the program Ribbons [39], and Figures 3b and 3c were made using the program GRASP [40].

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Note Added in Proof

While this manuscript was under review, four publications [41–44] on HsIVU structures and one [45] on the translocation mechanism of an ATP-dependent protease appeared in print. One crystal structure, small-angle X-ray scattering data, and an additional EM structure [41–42] agree with our crystal structures of the HsIVU complex. An EM structure of another ATP-dependent protease is also consistent with our mechanism [45]. Huber and colleagues have presented another HsIVU complex [44]. However, there were no systematic extinctions along (00) with l = 2n + 1 in the observed data, which argued against their space group assignment of P6₃22 for the complex.