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Constraints on new physics in MFV models: A model-independent analysis of $\Delta F = 1$ processes

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Abstract

We analyse the constraints on dimension-six $\Delta F = 1$ effective operators in models respecting the MFV hypothesis, both in the one-Higgs doublet case and in the two-Higgs doublet scenario with large tan *β*. The constraints are derived mainly from the $b \rightarrow s$ inclusive observables measured at the *B* factories. The implications of these bounds in view of improved measurements in exclusive and inclusive observables in $b \rightarrow s\ell^+\ell^-$ and $s \rightarrow d\nu\bar{\nu}$ transitions are discussed.

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1. Introduction

The Standard Model (SM) can be viewed as the renormalizable part of an effective field theory, valid up to some still undetermined cut-off scale *Λ* above the electroweak scale, theory, valua up to some still undetermined cut-off scale Λ above the electroweak scale, $(\sqrt{2}G_F)^{-1/2}$ ≈ 250 GeV. Theoretical arguments based on a natural solution of the hierarchy problem suggest that *Λ* should not exceed a few TeV. This expectation leads to a paradox when combined with the absence of significant deviations from the SM in loop-induced flavourviolating observables, potentially sensitive to very high energy scales. An effective solution to this problem is provided by the so-called hypothesis of Minimal Flavour Violation [\[1\],](#page-19-0) namely

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Table 1

Main observables used to determine bounds on the MFV dimension-six operators. The SM predictions are updated according to the most recent determinations of the SM input values (see Section [4\)](#page-11-0).

Here we quote naïve averages of the values obtained by the experiments and with symmetrized errors.

by the assumption that the SM Yukawa couplings are the only relevant breaking sources of the $SU(3)$ ⁵ flavour symmetry [\[2\]](#page-19-0) of the low-energy effective theory.¹

This symmetry and symmetry-breaking ansatz is realised in various explicit extensions of the SM, such as supersymmetric models (see e.g. Refs. [\[5,6\]](#page-19-0) and [\[7\]\)](#page-19-0) or models with extra dimensions (see e.g. Ref. [\[8\]\)](#page-19-0). However, its main virtue is the possibility to perform a general analysis of new-physics effects in low-energy observables independently of the ultraviolet completion of the model. As shown in Ref. [\[1\],](#page-19-0) the MFV hypothesis allows to build a rather predictive effective theory in terms of SM and Higgs fields. The predictions on flavour-violating observables derived within this effective theory are powerful tests of the underlying flavour structure of the model: if falsified, these tests would unambiguously signal the presence of new symmetry-breaking terms.

The observables most relevant to test the MFV hypothesis and, within this framework, to constrain the structure of the effective theory are $\Delta F = 2$ and $\Delta F = 1$ flavour-changing neutralcurrent (FCNC) processes. An updated analysis of the $\Delta F = 2$ sector, or the meson–antimeson mixing amplitudes, has been presented recently in Ref. [\[9\].](#page-19-0) The goal of this work is a complete analysis of the $\Delta F = 1$ sector, or the rare-decay amplitudes.

Using the currently available measurements of $\Delta F = 1$ FCNC processes from $b \rightarrow s$ and $s \rightarrow d$ transitions (see Table 1) we derive updated bounds on the effective scale of new physics within MFV models. We consider in particular both the scenario of one effective Higgs doublet and the case of two Higgs doublets and large tan β , where we are free to change the relative normalization of the two Yukawa couplings and to decouple the breaking of $U(1)_{PQ}$ and $SU(3)^5$ global symmetries [\[1\].](#page-19-0)

Having derived the bounds on the effective operators from the observables listed in Table 1, we derive a series of predictions for exclusive and inclusive observables in $b \to s\ell^+\ell^-$ and $s \to d\bar{\nu}$ transitions which have not been measured so far with high accuracy. On the one hand, these predictions indicate where to look for large new physics effects in the flavour sector, even under the pessimistic hypothesis of MFV. On the other hand, some of these predictions could provide, in the future, a proof of the MFV hypothesis: a set of deviations from the SM exhibiting the correlation predicted by this symmetry structure.

¹ For earlier/alternative definitions of the MFV hypothesis see Refs. [\[3,4\].](#page-19-0)

The paper is organised as follows: in Section 2 we review the structure of the effective $\Delta F = 1$ Hamiltonian under the MFV hypothesis. The theoretical expressions of the observables analysed, in terms of the Wilson coefficients of the effective theory, are presented in Section [3.](#page-6-0) The numerical bounds on the scale of new physics and the predictions for future measurements are discussed in Section [4 and 5,](#page-11-0) respectively. The results are briefly summarised in the Conclusions.

2. MFV and $\Delta F = 1$ processes

Under the MFV hypothesis, the dimension-six effective operators relevant to down-type FCNC transitions, both with one or two Higgs doublets, can be defined as follows [\[1\]:](#page-19-0)

$$
\mathcal{O}_{H1} = i(\bar{Q}_L \lambda_{FC} \gamma_\mu Q_L) H_U^{\dagger} D_\mu H_U, \qquad \mathcal{O}_{H2} = i(\bar{Q}_L \lambda_{FC} \tau^a \gamma_\mu Q_L) H_U^{\dagger} \tau^a D_\mu H_U, \n\mathcal{O}_{G1} = H_D(\bar{Q}_L \lambda_{FC} \lambda_d \sigma_{\mu\nu} T^a D_R)(g_s G_{\mu\nu}^a), \qquad \mathcal{O}_{G2} = (\bar{Q}_L \lambda_{FC} \gamma_\mu T^a Q_L)(g_s D_\mu G_{\mu\nu}^a), \n\mathcal{O}_{F1} = H_D(\bar{Q}_L \lambda_{FC} \lambda_d \sigma_{\mu\nu} D_R)(eF_{\mu\nu}), \qquad \mathcal{O}_{F2} = (\bar{Q}_L \lambda_{FC} \gamma_\mu Q_L)(eD_\mu F_{\mu\nu}), \n\mathcal{O}_{\ell1} = (\bar{Q}_L \lambda_{FC} \gamma_\mu Q_L)(\bar{L}_L \gamma_\mu L_L), \qquad \mathcal{O}_{\ell2} = (\bar{Q}_L \lambda_{FC} \gamma_\mu \tau^a Q_L)(\bar{L}_L \gamma_\mu \tau^a L_L), \n\mathcal{O}_{\ell3} = (\bar{Q}_L \lambda_{FC} \gamma_\mu Q_L)(\bar{E}_R \gamma_\mu E_R), \qquad \mathcal{O}_{S1} = (\bar{Q}_L \lambda_{FC} \lambda_d D_R)(\bar{E}_R \lambda_\ell L_L). \qquad (1)
$$

The five fermion fields $(Q_L, D_R, U_R, L_L, E_R)$ carry a flavour index $(i = 1, \ldots, 3)$: since we are interested in FCNC processes of down-type quarks, it is convenient to work in the masseigenstate basis of charged leptons and down-type quarks, where $\lambda_{d,\ell} = \text{diag}\{m_{d,\ell}/\langle H_D \rangle\}$. In this basis the flavour-changing coupling $(\lambda_{FC})_{ij}$ can be expanded as

$$
(\lambda_{\text{FC}}) = \begin{cases} (Y_U Y_U^{\dagger})_{ij} \approx \lambda_t^2 V_{3i}^* V_{3j}, & i \neq j, \\ 0, & i = j, \end{cases}
$$
 (2)

in terms of the top-quark Yukawa coupling ($\lambda_t = m_t / \langle H_U \rangle \approx 1$) and the CKM matrix (V_{ij}). Note that we have defined the operators linear in the gauge fields including appropriate powers of the corresponding gauge couplings (contrary to the original definition of Ref. [\[1\]\)](#page-19-0). Moreover, we have included from the beginning the scalar-density operator \mathcal{O}_{S_1} , which plays a relevant role in the two-Higgs doublet case at large tan $\beta = \langle H_U \rangle / \langle H_D \rangle$.² For all the operators linear in λ_d we could also consider a corresponding set with opposite chirality ($D_R \leftrightarrow Q_L$); however, the hierarchical structure of λ_d implies that only one chirality structure is relevant. In the following we restrict the attention to flavour transitions of the type $j > i$, such as the leading chirality structure is the one in Eq. (1).

Before the breaking of the electroweak symmetry, we define the MFV effective Lagrangian as $\mathcal{L}_{eff-MFV}^{\Delta F=1} = (\sum_n a_n \mathcal{O}_n)/\Lambda^2$, where a_i are $O(1)$ couplings and Λ is the effective scale of new physics. After the spontaneous breaking of the electroweak symmetry, the operators in (1) are mapped onto the standard basis of FCNC operators, defined by

$$
\mathcal{H}_{\text{eff}}^{\Delta F=1} = -\frac{G_{\text{F}}\alpha_{\text{em}}}{2\sqrt{2}\pi \sin^2 \theta_{\text{W}}} V_{3i}^* V_{3j} \sum_n C_n^{\text{MFV}} \mathcal{Q}_n + \text{h.c.},\tag{3}
$$

² In principle, in the two-Higgs doublet case we can consider additional operators obtained from those in (1) by the exchange $H_U \to H_D$ and/or $\lambda_{FC} \to Y_D Y_D^{\dagger} \lambda_{FC}$. However, for all the *B* physics observables we analyse in this work, the effects of these additional operators can be reabsorbed into a redefinition of the couplings of the operators in (1).

where

$$
Q_7 = \frac{e}{g^2} m_j \bar{d}_i \sigma_{\mu\nu} (1 + \gamma_5) d_j F_{\mu\nu},
$$

\n
$$
Q_8 = \frac{g_s}{g^2} m_j \bar{d}_i \sigma_{\mu\nu} T^a (1 + \gamma_5) d_j G^a_{\mu\nu},
$$

\n
$$
Q_9 = \bar{d}_i \gamma_\mu (1 - \gamma_5) d_j \sum_\ell \bar{\ell} \gamma_\mu \ell,
$$

\n
$$
Q_{10} = \bar{d}_i \gamma_\mu (1 - \gamma_5) d_j \sum_\ell \bar{\ell} \gamma_\mu \gamma_5 \ell,
$$

\n
$$
Q_{10} = \bar{d}_i \gamma_\mu (1 - \gamma_5) d_j \sum_\ell \bar{\ell} \gamma_\mu \gamma_5 \ell,
$$

\n
$$
Q_{\bar{\ell}} = \bar{d}_i (1 + \gamma_5) d_j \bar{\ell} (1 - \gamma_5) \ell.
$$

\n(4)

Defining

$$
\epsilon_i = \left(\frac{\Lambda_0}{\Lambda}\right)^2 a_i, \quad \Lambda_0 = \frac{\lambda_t \sin^2 \theta_W m_W}{\alpha_{em}} \approx 2.4 \text{ TeV},\tag{5}
$$

the modified initial conditions of the Wilson coefficients of this effective Hamiltonian at the electroweak scale, $\delta C_i = C_i^{\text{MFV}}(\mu_H) - C_i^{\text{SM}}(\mu_H)$, are [\[1\]](#page-19-0)

$$
\delta C_7 = 2g^2 \epsilon_{F1}, \qquad \delta C_8 = 2g^2 \epsilon_{G1},
$$

\n
$$
\delta C_9 = \epsilon_{\ell 1} + \epsilon_{\ell 2} + \epsilon_{\ell 3} - [(1 - 4\sin^2 \theta_W) \epsilon_Z + 2e^2 \epsilon_{F2}], \qquad \delta C_{10} = \epsilon_Z - \epsilon_{\ell 1} - \epsilon_{\ell 2} + \epsilon_{\ell 3},
$$

\n
$$
\delta C_8 = 2g^2 \epsilon_{G1},
$$

\n
$$
\delta C_{10} = \epsilon_Z - \epsilon_{\ell 1} - \epsilon_{\ell 2} + \epsilon_{\ell 3},
$$

\n
$$
\delta C_{\nu \bar{\nu}} = \epsilon_Z + \epsilon_{\ell 1} - \epsilon_{\ell 2}, \qquad (6)
$$

where $\epsilon_Z = (\epsilon_{H1} + \epsilon_{H2})/2$.

At this point it is useful to compare our effective $\Delta F = 1$ Hamiltonian to those adopted in similar analyses in the recent literature. Bounds on the scale of new physics and corresponding predictions for rare decays in MFV scenarios have been discussed also by other authors (see e.g. Refs. [\[38–40\]\)](#page-20-0). However, most of the recent analyses concentrated on a specific version of the general MFV framework [\[1\],](#page-19-0) the so-called *constrained* MFV (CMFV) [\[3\].](#page-19-0) While MFV as proposed in [\[1\]](#page-19-0) is an hypothesis about the symmetry-breaking structure of the *SU(*3*)*⁵ flavour symmetry, the CMFV contains a further dynamical assumption: the hypothesis of no new effective dimension-six flavour-changing operators beside the SM ones (after electroweak symmetry breaking). In practice, also this dynamical assumption can be related to a symmetry-breaking issue: namely the hypothesis about the breaking of the $U(1)_{PQ}$ symmetry of the SM gauge La-grangian [\[1\].](#page-19-0) Indeed independently from the value of tan $\beta = \langle H_U \rangle / \langle H_D \rangle$, in absence of a sizable $U(1)_{PQ}$ breaking the coefficient of the scalar operator is too small to compensate the strong λ_d , λ_ℓ suppression in Eq. (6). Since large $U(1)_{\text{PO}}$ breakings can occur in well-motivated extensions of the SM, such as supersymmetric models (see e.g. [\[41–43\]\)](#page-20-0), we make no specific assumptions about its size and take into account also the effects of the scalar operators. For the same reason, using the definition of λ_{FC} in [\(2\)](#page-2-0) we remove from the operator basis terms contributing to flavour-conserving processes induced by the diagonal component of $Y_U Y_U^{\dagger}$. At large tan β flavour-diagonal terms could receive additional contributions of the type $Y_D Y_D^{\dagger}$, therefore we cannot use them to bound the flavour-changing terms. This does not occur in the CMFV, where $Z \rightarrow bb$ provide a useful constraint on flavour-changing operators [\[40\].](#page-20-0)

2.1. Effective weak Hamiltonian at $\mu = m_b$

When computing $\Delta F = 1$ observables, we need to take into account also the contributions of four-quark operators. Following the notation of Ref. [\[16\],](#page-19-0) we write the complete effective Hamiltonian relevant to $b \rightarrow s$ transitions as

$$
\mathcal{H}_{\text{eff}}^{b \to s} = -\frac{4G_F}{\sqrt{2}} \Big[V_{us}^* V_{ub} \big(C_1^c P_1^u + C_2^c P_2^u \big) + V_{cs}^* V_{cb} \big(C_1^c P_1^c + C_2^c P_2^c \big) \Big] -\frac{4G_F}{\sqrt{2}} \Bigg\{ \sum_{i=3}^{10} \big[\big(V_{us}^* V_{ub} + V_{cs}^* V_{cb} \big) C_i^c + V_{ts}^* V_{tb} C_i^t \big] P_i + V_{ts}^* V_{tb} C_0^b P_0^c \Bigg\} + \text{h.c.,}
$$
\n(7)

where

$$
P_1^{\mu} = (\bar{s}_L \gamma_{\mu} T^a u_L)(\bar{u}_L \gamma^{\mu} T^a b_L), \qquad P_5 = (\bar{s}_L \gamma_{\mu_1} \gamma_{\mu_2} \gamma_{\mu_3} b_L) \sum_q (\bar{q} \gamma^{\mu_1} \gamma^{\mu_2} \gamma^{\mu_3} q),
$$

\n
$$
P_2^{\mu} = (\bar{s}_L \gamma_{\mu} u_L)(\bar{u}_L \gamma^{\mu} b_L), \qquad P_6 = (\bar{s}_L \gamma_{\mu_1} \gamma_{\mu_2} \gamma_{\mu_3} T^a b_L) \sum_q (\bar{q} \gamma^{\mu_1} \gamma^{\mu_2} \gamma^{\mu_3} T^a q),
$$

\n
$$
P_1^c = (\bar{s}_L \gamma_{\mu} T^a c_L)(\bar{c}_L \gamma^{\mu} T^a b_L), \qquad P_7 = \frac{e}{g_s^2} m_b (\bar{s}_L \sigma^{\mu \nu} b_R) F_{\mu \nu},
$$

\n
$$
P_2^c = (\bar{s}_L \gamma_{\mu} c_L)(\bar{c}_L \gamma^{\mu} b_L), \qquad P_8 = \frac{1}{g_s} m_b (\bar{s}_L \sigma^{\mu \nu} T^a b_R) G_{\mu \nu}^a,
$$

\n
$$
P_3 = (\bar{s}_L \gamma_{\mu} b_L) \sum_q (\bar{q} \gamma^{\mu} q), \qquad P_{10} = \frac{e^2}{g_s^2} (\bar{s}_L \gamma_{\mu} b_L) \sum_\ell (\bar{\ell} \gamma^{\mu} \gamma_5 \ell),
$$

\n
$$
P_4 = (\bar{s}_L \gamma_{\mu} T^a b_L) \sum_q (\bar{q} \gamma^{\mu} T^a q), \qquad P_9 = \frac{e^2}{g_s^2} (\bar{s}_L \gamma_{\mu} b_L) \sum_\ell (\bar{\ell} \gamma^{\mu} \ell).
$$

\n(8)

With respect to the SM literature we also add the scalar-density operator with right-handed *b* quark,

$$
P_0^{\ell} = \frac{e^2}{16\pi^2} (\bar{s}_L b_R)(\bar{\ell}_R \ell_L),\tag{9}
$$

which plays an important role in the large tan β regime. This operator is present also in the SM, but it is usually neglected because of the of strong suppression of its Wilson coefficients.

In principle we should consider new-physics effects both in the four-quark (P_{1-6}) and in the FCNC operators. However, four-quark operators receive a large SM contribution which is naturally much larger with respect to the new-physics one in the MFV scenario. Moreover fourquark operators do not contribute at the tree-level to the observables we are considering (FCNC processes). As a result, it is a good approximation to consider new-physics effects only in the leading FCNC operators 3 :

$$
C_7^t(\mu_H) = C_{7,\text{SM}}^t(\mu_H) + \frac{\alpha_s}{4\pi} \delta C_7,
$$

\n
$$
C_8^t(\mu_H) = C_{8,\text{SM}}^t(\mu_H) + \frac{\alpha_s}{4\pi} \delta C_8,
$$

\n
$$
C_9^t(\mu_H) = C_{9,\text{SM}}^t(\mu_H) + \frac{\alpha_s}{4\pi \sin^2 \theta_W} \delta C_9,
$$

\n
$$
C_{10}^t(\mu_H) = C_{10,\text{SM}}^t(\mu_H) + \frac{\alpha_s}{4\pi \sin^2 \theta_W} \delta C_{10},
$$

 3 Even including explicit new-physics contributions to C_{1-6} , to an excellent accuracy these could reabsorbed into the Wilson coefficients of the FCNC operators.

Table 2 Reference input values used in the matching and in the RGE evolution of the Wilson coefficients.

Quantity	Value
$\sin^2 \theta_W$	0.2312
$\alpha_s(m_Z)$	0.1187
$\alpha_{\rm em}(m_Z)$	1/127.8
$m_h^{\overline{MS}}(m_b)$	4.2 GeV
$m_t^{\overline{MS}}(m_t)$	165 GeV

$$
C_0^{\ell}(\mu_H) = \frac{2}{\sin^2 \theta_W} \delta C_S^{\ell},\tag{10}
$$

with the δC_i given in Eq. [\(6\).](#page-3-0) The initial conditions for the SM terms are obtained by a NNLO matching of the effective Wilson coefficients at the high scale $\mu_H \in [80, 160]$ GeV, using CKM unitarity to get rid of $V_{cb}^*V_{cs}/V_{tb}^*V_{ts}$ [\[16\].](#page-19-0)

To a good approximation, in all the considered low-energy observables δC_7 and δC_8 appear in a fixed linear combination:

$$
\delta C_7 + 0.3 \delta C_8. \tag{11}
$$

For this reason, in the following we set $\delta C_8 = 0$ and treat only δC_7 as independent fit parameter (avoiding the flat direction in the parameter space determined by Eq. (11)). The bounds on δC_7 thus obtained should therefore be interpreted as bounds on the $\delta C_7 - \delta C_8$ combination in Eq. (11).

The Wilson coefficients are evolved from the high scale down to $\mu_b = O(m_b)$ using SM renormalization group equations at the NNLO accuracy [\[44,45\].](#page-20-0) At the low scale it is convenient to define the effective coefficients [\[18\]](#page-19-0)

$$
A_7 = \frac{4\pi}{\alpha_s(\mu_b)} C_7(\mu_b) - \frac{1}{3} C_3(\mu_b) - \frac{4}{9} C_4(\mu_b) - \frac{20}{3} C_5(\mu_b) - \frac{80}{9} C_6(\mu_b),\tag{12}
$$

$$
A_9 = \frac{4\pi}{\alpha_s(\mu_b)} C_9(\mu_b) + \sum_{i=1}^6 C_i(\mu_b) \gamma_{i9}^{(0)} \ln \frac{m_b}{\mu} + \frac{4}{3} C_3(\mu_b) + \frac{64}{9} C_5(\mu_b) + \frac{64}{27} C_6(\mu_b),
$$
\n(13)

$$
A_{10} = \frac{4\pi}{\alpha_s(\mu_b)} C_{10}(\mu_b),
$$
\n(14)

$$
T_9 = \frac{4}{3}C_1(\mu_b) + C_2(\mu_b) + 6C_3(\mu_b) + 60C_5(\mu_b),
$$
\n(15)

$$
U_9 = -\frac{7}{2}C_3(\mu_b) - \frac{2}{3}C_4(\mu_b) - 38C_5(\mu_b) - \frac{32}{3}C_6(\mu_b),
$$
\n(16)

$$
W_9 = -\frac{1}{2}C_3(\mu_b) - \frac{2}{3}C_4(\mu_b) - 8C_5(\mu_b) - \frac{32}{3}C_6(\mu_b),
$$
\n(17)

where $C_i(\mu) = C_i^t(\mu) - C_i^c(\mu)$, $\gamma_{i9}^{(0)}$ is given in [\[16\]](#page-19-0) and we have neglected the tiny *u*-quark loop contribution to *A*9. The reference input values used in this procedure are collected in Table 2.

Beside *B* physics, we are interested also in $s \rightarrow d$ transitions and particularly in the rare decays $K \to \pi \nu \bar{\nu}$. In the MFV framework these are described by the following simple effective Hamiltonian

$$
\mathcal{H}_{\text{eff}}^{s \to d} = \frac{G_F \alpha_{\text{em}}(m_Z)}{\sqrt{2}} \sum_{\ell = e, \mu, \tau} \left(\frac{y_v}{2\pi \sin^2 \theta_W} P_{\nu \bar{\nu}} \right) + \text{h.c.},\tag{18}
$$

where

$$
P_{\nu\bar{\nu}} = (\bar{s}\gamma_{\mu}d)(\bar{\nu}_{\ell}\gamma^{\mu}(1-\gamma_{5})\nu_{\ell}),
$$
\n(19)

$$
y_{\nu} = \frac{1}{|V_{us}|} \left(\lambda_t (X_t + \delta C_{\nu \bar{\nu}}) + \text{Re} \lambda_c \tilde{P}_{u,c} \right),\tag{20}
$$

with $\lambda_q = V_{qs}^* V_{qd}$, $X_t = 1.464 \pm 0.041$, $\tilde{P}_{u,c} = (0.2248)^4 P_{u,c}$ [\[33\]](#page-20-0) and $P_{u,c} = 0.41 \pm 0.04$ [\[34–36\].](#page-20-0)

3. Observables

The observables we are interested in, either to derive bounds from the existing measurements or to obtain predictions for future measurements, are the differential decay distributions of $B \rightarrow$ $X_s\ell^+\ell^-$ and $B \to (K^*, K)\ell^+\ell^-$ decays, and the integrated rates of $B_{s,d} \to \ell^+\ell^-$, $B \to X_s\gamma$, $B \to K^{(*)} \nu \bar{\nu}$ and $K \to \pi \nu \bar{\nu}$. In the following we analyse the theoretical expressions of these observables in terms of the (non-standard) Wilson coefficients of the MFV effective theory.

3.1. B → $X_s \ell^+ \ell^-$

In order to minimise the theoretical uncertainty, we normalize all the observables to the corresponding SM predictions. The numerical values for the SM predictions are computed at full NNLO accuracy, applying also QED corrections, following the analysis of Refs. [\[21,22\],](#page-19-0) and non-perturbative $1/m_b$ corrections [\[19,23\].](#page-19-0) On the other hand, since full NNLO expressions for the non-standard operator basis in [\(7\)](#page-4-0) are not available, the relative deviations from the SM are computed at NLO accuracy (with partial inclusion of NNLO corrections, as discussed below). Given the overall good agreement between data and SM predictions, and the corresponding smallness of non-standard effects, this procedure minimise the theoretical uncertainty.

Following the standard notations of $B \to X_s \ell^+ \ell^-$ analyses within the SM, we define the effective coefficients

$$
\tilde{C}_7^{\text{eff}} = \left[1 + \frac{\alpha_s}{\pi} \omega_7(\hat{s})\right] A_7 + \cdots,\tag{21a}
$$

$$
\tilde{C}_9^{\text{eff}} = \left[1 + \frac{\alpha_s}{\pi} \omega_9(\hat{s})\right] \left[A_9 + T_9 h\left(\hat{m}_c^2, \hat{s}\right) + U_9 h(1, \hat{s}) + W_9 h(0, \hat{s})\right] + \cdots,\tag{21b}
$$

$$
\tilde{C}_{10}^{\text{eff}} = \left[1 + \frac{\alpha_s}{\pi} \omega_9(\hat{s})\right] A_{10} + \cdots,\tag{21c}
$$

where all quantities with a hat are normalized to the *b* quark pole mass $(\hat{x} = x/m_b)$. The leadingorder gluon bremsstrahlung corrections $\omega_i(\hat{s})$ and the loop function $h(x, y)$ can be found in [\[18,19\],](#page-19-0) while the dots denote additional perturbative α_s and electro-magnetic corrections, as well as non-perturbative power corrections, which we only consider for the SM normalization. The Wilson coefficients are evolved down to the low scale $\mu_b = 2.5$ GeV, using the input values in [Table 2.](#page-5-0) As shown in [\[19\],](#page-19-0) using such a low renormalization scale minimise the NNLO QCD corrections to the $B \to X_s \ell^+ \ell^-$ differential rate. An important difference with respect to the SM case is the presence of the P_0^{ℓ} operator. To the level of accuracy we are working at, its inclusion is straightforward, since it is renormalized only in a multiplicative way.

We are now ready to compute the inclusive decay spectra. Neglecting the strange quark mass while keeping the full dependence on the lepton mass, we get for the unnormalized forward– backward asymmetry and the rate

$$
\frac{dA_{FB}}{d\hat{s}} = \frac{G_F^2 \alpha_{em}^2 m_b^5}{256\pi^5} |V_{tb} V_{ts}^*|^2 (1 - \hat{s})^2 \left(1 - \frac{4\hat{m}_\ell^2}{\hat{s}^2}\right) \n\times \left\{-2\operatorname{Re}\left(\tilde{C}_9^{\text{eff}} \tilde{C}_{10}^{\text{eff}}\right)\hat{s} - 4\operatorname{Re}\left(\tilde{C}_7^{\text{eff}} \tilde{C}_{10}^{\text{eff}}\right) + \operatorname{Re}\left(\tilde{C}_9^{\text{eff}} C_0^\ell^*\right)\hat{m}_\ell \n+ 2\operatorname{Re}\left(\tilde{C}_7^{\text{eff}} C_0^\ell^*\right)\hat{m}_\ell, \tag{22}
$$
\n
$$
\frac{d\Gamma}{d\hat{s}} = \frac{G_F^2 \alpha_{em}^2 m_b^5}{768\pi^5} |V_{tb} V_{ts}^*|^2 (1 - \hat{s})^2 \sqrt{1 - \frac{4\hat{m}_\ell^2}{\hat{s}^2}} \n\times \left\{\left[12\operatorname{Re}\left(\tilde{C}_7^{\text{eff}} \tilde{C}_9^{\text{eff}}\right) + \frac{4|\tilde{C}_7^{\text{eff}}|^2(2 + \hat{s})}{\hat{s}}\right] \left(1 + \frac{2\hat{m}_\ell^2}{\hat{s}}\right) + 6\hat{m}_\ell^2 (|\tilde{C}_9^{\text{eff}}|^2 - |\tilde{C}_{10}^{\text{eff}}|^2) \right) \n+ (|\tilde{C}_9^{\text{eff}}|^2 + |\tilde{C}_{10}^{\text{eff}}|^2) \left[1 + 2\hat{s} + \frac{2\hat{m}_\ell^2(1 - \hat{s})}{\hat{s}}\right] + \frac{3}{4}\hat{s} \left[\left(1 - \frac{4\hat{m}_\ell^2}{\hat{s}}\right)|C_0^\ell|^2\right] \n+ 3\hat{m}_\ell \operatorname{Re}\left(C_0^\ell \tilde{C}_{10}^{\text{eff}}\right)\right]. \tag{23}
$$

As anticipated, these expressions are used only to evaluate the relative impact of new physics. Altogether, the estimated theoretical errors for the integrated inclusive rates reported in [Table 1](#page-1-0) are around 10–30%. In the numerical analysis this error is added in quadrature to the experimental one, which, at present, provides the largely dominant source of uncertainty.⁴

3.2.
$$
B \rightarrow (K^*, K)\ell^+\ell^-, B \rightarrow (K^*, K)\nu\bar{\nu}
$$

Exclusive modes are affected by a substantially larger theoretical uncertainty with respect to the inclusive ones. However, they are experimentally easier and allow to probe or constrain combinations of Wilson coefficients which are not accessible (or hardly accessible) in the inclusive modes. The most interesting observables for our analysis are the forward–backward asymmetry (FBA) in $B \to K^* \ell^+ \ell^-$ and the lepton universality ratio in $B \to K^{(*)} \ell^+ \ell^-$. In addition, interesting predictions can be derived for the $B \to (K^*, K) \nu \bar{\nu}$ decay rates.

In the $B \to K^* \ell^+ \ell^-$ case we need to introduce seven independent hadronic form factors (*V*, *A*_{0−2}, *T*_{1−3} [\[31,49\]\)](#page-19-0). Following Refs. [\[50,51\]](#page-20-0) we define the following set of auxiliary variables:

$$
A(\hat{s}) = \frac{2}{1 + \hat{m}_{K^*}} C_9^{\text{eff}}(\hat{s}) V(\hat{s}) + \frac{4\hat{m}_b}{\hat{s}} C_7^{\text{eff}} T_1(\hat{s}),
$$

⁴ There are two sources of theoretical uncertainties which, at present, are difficult to estimate: (i) non-perturbative power corrections of order $\alpha_s \Lambda/m_b$; (ii) radiative corrections associated to the soft-photon experimental cuts applied in the experiments. In the first case the effect is estimated to be of $O(5\%)$ by simple dimensional counting [\[46\]](#page-20-0) (more sophisticated estimates using the vacuum insertion method in the context of $B \to X_s \gamma$ confirm this naive estimate [\[47\]\)](#page-20-0). As far as radiative corrections are concerned, the theoretical predictions include a correction due to collinear logs [\[22\]](#page-19-0) which does not correspond to the treatment of soft and collinear photons performed so far by the experiments [\[48\].](#page-20-0) We guesstimate this mismatch as a 10% uncertainty in the high- q^2 region and 5% error in the low- q^2 region. Given the large experimental uncertainties, which are still dominant, the impact of these additional theory errors turns out to be negligible. However, we stress that in the future a reduction of the theory uncertainties can be obtained only with a proper treatment of soft-photon corrections.

$$
B(\hat{s}) = (1 + \hat{m}_{K^*}) \bigg[C_9^{\text{eff}}(\hat{s}) A_1(\hat{s}) + \frac{2\hat{m}_b}{\hat{s}} (1 - \hat{m}_{K^*}) C_7^{\text{eff}} T_2(\hat{s}) \bigg],
$$

\n
$$
C(\hat{s}) = \frac{1}{1 - \hat{m}_{K^*}^2} \bigg[(1 - \hat{m}_{K^*}) C_9^{\text{eff}}(\hat{s}) A_2(\hat{s}) + 2\hat{m}_b C_7^{\text{eff}} \bigg(T_3(\hat{s}) + \frac{1 - \hat{m}_{K^*}^2}{\hat{s}} T_2(\hat{s}) \bigg) \bigg],
$$

\n
$$
D(\hat{s}) = \frac{1}{\hat{s}} \bigg[C_9^{\text{eff}}(\hat{s}) \big((1 + \hat{m}_{K^*}) A_1(\hat{s}) - (1 - \hat{m}_{K^*}) A_2(\hat{s}) - 2\hat{m}_{K^*} A_0(\hat{s}) \big) - 2\hat{m}_b C_7^{\text{eff}} T_3(\hat{s}) \big],
$$

\n
$$
E(\hat{s}) = \frac{2}{1 + \hat{m}_{K^*}} C_{10}^{\text{eff}} V(\hat{s}),
$$

\n
$$
F(\hat{s}) = (1 + \hat{m}_{K^*}) C_{10}^{\text{eff}} A_1(\hat{s}),
$$

\n
$$
G(\hat{s}) = \frac{1}{1 + \hat{m}_{K^*}} C_{10}^{\text{eff}} A_2(\hat{s}),
$$

\n
$$
H(\hat{s}) = \frac{1}{\hat{s}} C_{10}^{\text{eff}} \big[(1 + \hat{m}_{K^*}) A_1(\hat{s}) - (1 - \hat{m}_{K^*}) A_2(\hat{s}) - 2\hat{m}_{K^*} A_0(\hat{s}) \big],
$$

\n
$$
X(\hat{s}) = -\frac{m_{K^*}}{m_b} A_0(\hat{s}) C_0^{\ell},
$$

\n(24)

where now all quantities with a hat are normalized to the physical meson mass (and bremsstrahlung corrections are omitted from the effective Wilson coefficients without the tilde). Also in this case the above expressions are used only to evaluate deviations from the SM. As far as the SM predictions are concerned, in this case the most accurate results are obtained by means of QCD factorization and SCET [\[28–30,52,53\].](#page-19-0) However, here we adopt a conservative point of view and evaluate the SM predictions and the corresponding errors by means of the parametrisation and QCD SR calculations of Ref. [\[31\].](#page-19-0) Then we enlarge the theoretical errors by the differences between our SM predictions and known QCD factorization and SCET results [\[28–30\].](#page-19-0) In practice, given the large experimental errors, this choice has almost no influence on the constraints derived.

Using the auxiliary variables in Eq. (24), the un-normalized FBA then reads

$$
\frac{d\mathcal{A}_{FB}}{d\hat{s}} = \frac{G_F^2 \alpha_{em}^2 m_B^5}{2^{10} \pi^5} |V_{ts}^* V_{tb}|^2 \hat{u}(\hat{s})^2 \times \left\{ \hat{s} \operatorname{Re}(BE^*) + \hat{s} \operatorname{Re}(AF^*) - \frac{\hat{m}_\ell}{\hat{m}_{K^*}^2} [\lambda \operatorname{Re}(XC^*) - (1 - \hat{m}_{K^*}^2 - \hat{s}) \operatorname{Re}(XB^*)] \right\},
$$
\n(25)

where

$$
\hat{u}(\hat{s}) = \sqrt{\lambda \left(1 - 4\frac{\hat{m}_{\ell}^2}{\hat{s}}\right)},\tag{26}
$$

$$
\lambda \equiv \lambda \left(1, \hat{m}_{K^*}^2, \hat{s} \right) = 1 + \hat{m}_{K^*}^4 + \hat{s}^2 - 2\hat{s} - 2\hat{m}_{K^*}^2 (1 + \hat{s}). \tag{27}
$$

Similarly the decay rate can be written as

$$
\frac{d\Gamma}{d\hat{s}} = \frac{G_F^2 \alpha_{\rm em}^2 m_B^5}{2^{10} \pi^5} |V_{ts}^* V_{tb}|^2 \times \left\{ \frac{|A|^2}{3} \lambda (\hat{s} + \hat{m}_{\ell}^2) + \frac{|E|^2}{3} \lambda (\hat{s} - \hat{m}_{\ell}^2) + \frac{1}{4 \hat{m}_{K^*}^2} [|B|^2 (\lambda - \hat{u}(\hat{s})^2 / 3 + 8 \hat{m}_{K^*}^2 (\hat{s} + 2 \hat{m}_{\ell}^2)) + |F|^2 (\lambda - \hat{u}(\hat{s})^2 / 3 + 8 \hat{m}_{K^*}^2 (\hat{s} - 4 \hat{m}_{\ell}^2))]
$$

$$
+\frac{\lambda}{\hat{m}_{K^*}^2} \Big[\hat{s}|X|^2 + 2\hat{m}_{\ell}\lambda \big(\text{Re}\big(XF^*\big) - \hat{s}\,\text{Re}\big(XH^*\big) - \big(1 - \hat{m}_{K^*}^2\big)\,\text{Re}\big(XG^*\big)\big)\Big] + \frac{\lambda}{4\hat{m}_{K^*}^2} \Big[|C|^2\big(\lambda - \hat{u}(\hat{s})^2/3\big) + |G|^2\big(\lambda - \hat{u}(\hat{s})^2/3 + 4\hat{m}_{\ell}^2\big(2 + 2\hat{m}_{K^*}^2 - \hat{s}\big)\big)\Big] - \frac{1}{2\hat{m}_{K^*}^2} \Big[\text{Re}\big(BC^* + FG^*\big)\big(1 - \hat{m}_{K^*}^2 - \hat{s}\big)\big(\lambda - \hat{u}(\hat{s})^2/3\big) + 4\hat{m}_{\ell}^2\,\text{Re}\big(FG^*\big)\lambda\Big] - 2\frac{\hat{m}_{\ell}^2}{\hat{m}_{K^*}^2}\lambda \Big[\text{Re}\big(FH^*\big) - \text{Re}\big(GH^*\big)\big(1 - \hat{m}_{K^*}^2\big)\Big] + |H|^2\frac{\hat{m}_{\ell}^2}{\hat{m}_{K^*}^2}\hat{s}\lambda\Big].
$$
 (28)

In the *B* \rightarrow *K* $\ell^+\ell^-$ case we only need three form factors $(f_+, f_0 \text{ and } f_T)$ [\[54\].](#page-20-0) Again we define a set of auxiliary variables [\[50,51\]](#page-20-0)

$$
A' = C_9^{\text{eff}}(\hat{s}) f_+(\hat{s}) + \frac{2\hat{m}_b}{1 + \hat{m}_K} C_7^{\text{eff}} f_T(\hat{s}),
$$

\n
$$
C' = C_{10}^{\text{eff}} f_+(\hat{s}),
$$

\n
$$
D' = \frac{1 - \hat{m}_K^2}{\hat{s}} C_{10}^{\text{eff}} [f_0(\hat{s}) - f_+(\hat{s})],
$$

\n
$$
X' = \frac{1 - \hat{m}_K^2}{2\hat{m}_b} C_0^{\ell} f_0(\hat{s}),
$$
\n(29)

in terms of which

$$
\frac{d\Gamma}{d\hat{s}} = \frac{G_F^2 \alpha_{\text{em}}^2 m_B^5}{2^{10} \pi^5} |V_{tb} V_{ts}^*|^2 \hat{u}(\hat{s}) \left\{ (|A'|^2 + |C'|^2) \left[\lambda - \frac{\hat{u}(\hat{s})^2}{3} \right] + 4|C'|^2 \hat{m}_{\ell}^2 (2 + 2\hat{m}_{K}^2 - \hat{s}) \right. \\ \left. + 8 \operatorname{Re}(C'D'^*) \hat{m}_{\ell}^2 (1 - \hat{m}_{K}^2) + 4|D'|^2 \hat{m}_{\ell}^2 \hat{s} + |X'|^2 (2\hat{s} - 4\hat{m}_{\ell}^2) \right. \\ \left. + 4 \operatorname{Re}(D'X'^*) \hat{m}_{\ell} \hat{s} + 4 \operatorname{Re}(C'X'^*) \hat{m}_{\ell} (1 - \hat{m}_{K}^2) \right\} . \tag{30}
$$

In comparison to $B \to K^{(*)} \ell^+ \ell^-$, theoretical calculations of the decay amplitudes for $B \to$ $K^{(*)}\nu\bar{\nu}$ are considerably more reliable, owing to the absence of long-distance interactions that affect charged-lepton channels. We consider the missing energy distribution of the decay rates in terms the energy of the neutrino pair in the *B* rest frame and define the dimensionless variable $\hat{x} = \hat{E}_{\text{miss}}$, which varies in the range $(1 - \hat{m}_{K^{(*)}}^2)/2 < \hat{x} < 1 - \hat{m}_{K^{(*)}}$. For the $B \to K^* \nu \bar{\nu}$ channel, the partial rate then reads [\[55,56\]](#page-20-0)

$$
\frac{d\Gamma}{d\hat{x}} = \frac{G_F^2 \alpha_{\rm em}^2 m_B^5}{128\pi^5} |V_{t,b} V_{t,s}^*|^2 \left| \frac{\delta C_{\nu\bar{\nu}} + X_t}{\sin^2 \theta_W} \right|^2 \lambda^{1/2} \left\{ \hat{s} \left[(\hat{m}_{K^*} + 1) A_1(\hat{s}) - \frac{\lambda^{1/2} V(\hat{s})}{\hat{m}_{K^*} + 1} \right]^2 + \hat{s} \left[(\hat{m}_{K^*} + 1) A_1(\hat{s}) + \frac{\lambda^{1/2} V(\hat{s})}{\hat{m}_{K^*} + 1} \right]^2 + \left[\frac{(\hat{m}_{K^*} + 1)^2 (\sqrt{\lambda + 4\hat{m}_{K^*}^2} - 2\hat{m}_{K^*}^2) A_1(\hat{s}) - \lambda A_2(\hat{s})}{2\hat{m}_{K^*}(\hat{m}_{K^*} + 1)} \right]^2 \right\},
$$
\n(31)

while in the case of $B \to K \nu \bar{\nu}$ we have

$$
\frac{d\Gamma}{d\hat{x}} = \frac{G_F^2 \alpha_{\rm em}^2 m_B^5}{128\pi^5} |V_{t,b} V_{t,s}^*|^2 \left| \frac{\delta C_{\nu\bar{\nu}} + X_t}{\sin^2 \theta_W} \right|^2 f_+(\hat{s}) \lambda^{3/2}.
$$
\n(32)

Quantity Value $|V_{us}|$ 0.2255 ± 0.0007 [\[33\]](#page-20-0) $|V_{cb}|$ $(4.1 \pm 0.1) \times 10^{-2}$ [\[10\]](#page-19-0)
 $|V_{ub}|$ $(3.8 \pm 0.4) \times 10^{-3}$ [10] $(3.8 \pm 0.4) \times 10^{-3}$ [\[10\]](#page-19-0) *γ (*70*.*3 ± 6*.*3*)*◦ [\[9\]](#page-19-0) f_{B_s}
 f_{B_s}/f_{B_d} (0.260 ± 0.030) GeV [\[26\]](#page-19-0)

(1.21 ± 0.06) [59] (1.21 ± 0.06) [\[59\]](#page-20-0)

^B*(B* [→] *Xcν) (*10*.*⁷⁵ [±] ⁰*.*16*)* [×] ¹⁰−² [\[10\]](#page-19-0)

 0.58 ± 0.01 [\[11\]](#page-19-0)

Table 3 Main inputs used in the numerical analysis (inputs not explicitly indicated in this table are taken from Ref. [\[58\]\)](#page-20-0).

3.3. B → *X_{sγ}*

Since the scalar-density operator does not contribute to $B \to X_s \gamma$, in this case the treatment is completely equivalent to the SM. We can thus take advantage of the complete NNLO analysis of Ref. [\[11\].](#page-19-0) Expressing the branching ratio in terms of the initial conditions of C_7 and expanding around the SM value we can write [\[11,12,57\]](#page-19-0)

$$
\mathcal{B}(B \to X_s \gamma)_{[E_\gamma > 1.6 \text{ GeV}]}
$$

= $\left| \frac{V_{ts} V_{tb}^*}{V_{cb}} \right|^2 \frac{6.00 \times 10^{-5}}{C_{uc}} [3.15 - 7.18 \delta C_7 + 4.74 (\delta C_7)^2]$ (33)

where $C_{uc} = |V_{ub}/V_{cb}|^2 \Gamma(B \to X_c e \nu) / \Gamma(B \to X_u e \nu)$ and its numerical value is reported in Table 3. Following Ref. [\[11\]](#page-19-0) we assign a theoretical error of 0.23×10^{-4} to this expression.

Thanks to the precise experimental measurement of the $B \to X_s \gamma$ rate, Eq. (33) provide a very stringent bound on non-standard contributions to electric-dipole and chromomagnetic operators. As anticipated, we do not treat δC_8 as an independent parameter in the fit: the bounds on δC_7 derived by means of Eq. (33) should be interpreted as bounds on the linear $\delta C_7 - \delta C_8$ combination in Eq. [\(11\).](#page-5-0)

$$
3.4. \, B_{s,d} \to \ell^+ \ell^-
$$

The pure leptonic decays $B_s \to \ell^+ \ell^-$ receive contributions only from the effective operators P_{10} and P_0^{ℓ} . These are free from the contamination of four-quark operators, which makes the generalization to the $b \rightarrow d$ case straightforward.

The $B_s \to \ell^+ \ell^-$ rates can be written as

$$
\Gamma(B_s \to \ell^+ \ell^-)
$$
\n
$$
= \frac{\alpha_{\text{em}}^2 G_F^2 |V_{tb}^* V_{ts} A_{10}|^2}{16\pi^3} m_{Bs} m_\ell^2 f_{Bs}^2 \sqrt{1 - 4 \frac{m_\ell^2}{m_{Bs}^2}} \left[|1 + \delta_S|^2 + \left(1 - 4 \frac{m_\ell^2}{m_{Bs}^2} \right) |\delta_S|^2 \right], \quad (34)
$$

where

$$
\delta_S = \frac{C_0^{\ell} m_{B_s}^2}{4A_{10}(m_b + m_s)m_{\ell}} = \frac{C_0^{\ell}}{\lambda_{\ell}\lambda_b} \frac{m_{B_s}^2 \tan \beta^2}{4A_{10}\langle H_U \rangle^2} \frac{m_b}{m_b + m_s}.
$$
(35)

Table 4

Combined bounds on the effective Wilson coefficients in the MFV scenario, and observables used to derive the bounds (in the case of the scalar operator we report the bound in terms of the scale-independent combination $\delta C_S^{\mu}/m_b$).

δC_i	95% probability bound	Observables
δC_7	$[-0.14, 0.06] \cup [1.42, 1.62]$	$B \to X_s \gamma$, $B \to X_s \ell^+ \ell^-$
δC_9	$[-2.8, 0.8]$	$B \to X_s \ell^+ \ell^-$
δC_{10}	$[-0.4, 2.3]$	$B \to X_s \ell^+ \ell^-$, $B_s \to \mu^+ \mu^-$
$\delta C_S^{\mu}/m_b$	$[-0.09, 0.09]/(4.2 \text{ GeV})$	$B_s \rightarrow \mu^+ \mu^-$
$\delta C_{\nu\bar{\nu}}$	$[-6.1, 2.0]$	$K^+ \rightarrow \pi^+ \nu \bar{\nu}$

The $B_d \to \ell^+\ell^-$ rates are obtained from Eq. [\(34\)](#page-10-0) with the exchange $\{V_{ts}, m_{B_s}, m_s\} \to \{V_{td},$ m_{B_d} , m_d . Neglecting tiny corrections of $O(m_s/m_b)$, this leads to one of the most stringent tests of the MFV scenario, both at small and large tan *β* values, namely the relation

$$
\frac{\Gamma(B_s \to \ell^+ \ell^-)}{\Gamma(B_d \to \ell^+ \ell^-)} \approx \frac{f_{B_s} m_{B_s}}{f_{B_d} m_{B_d}} \left| \frac{V_{ts}}{V_{t_d}} \right|^2.
$$
\n(36)

On the other hand, we stress that the relation between $B_{s,d} \to \ell^+ \ell^-$ rates and $\Delta F = 2$ observables discussed in [\[38\]](#page-20-0) holds only in specific (constrained) versions of the MFV scenario.

3.5. $K \rightarrow \pi \nu \bar{\nu}$

The branching ratio of charged and neutral $K \to \pi \nu \bar{\nu}$ decays can be simply expressed as

$$
\mathcal{B}(K^+ \to \pi^+ \nu \bar{\nu}) = \kappa_{\nu}^+ (1 + \Delta_{\rm EM}) |y_{\nu}|^2, \qquad \mathcal{B}(K_L \to \pi^0 \nu \bar{\nu}) = \kappa_{\nu}^L [\text{Im}(y_{\nu})]^2, \tag{37}
$$

where from [\[33,37\]](#page-20-0) $\kappa_v^+ = 0.7867(43) \times 10^{-5}$ and $\kappa_v^L = 3.3624(264) \times 10^{-5}$ whereas the electromagnetic corrections $\Delta_{EM} = -(0.30 \pm 0.05)\%$. Similarly to $B_{s,d} \to \ell^+ \ell^-$ rates, also in this case we have two observables controlled by a single free parameter: the real coefficient $\delta C_{\nu\bar{\nu}}$ in Eq. [\(20\).](#page-6-0) Thus also in this case the ratio of the two $K \to \pi \nu \bar{\nu}$ rates leads to a significant model-independent test of the MFV hypothesis.

4. Numerical analysis

In order to determine the presently allowed range of the δC_i we have performed a global fit of the $\Delta F = 1$ observables in [Table 1.](#page-1-0) The main numerical inputs beside rare processes used in the fits are reported in [Table 3.](#page-10-0) The latter have been assumed to be not correlated and not polluted by new physics effects. In particular, as far the CKM angle *γ* is concerned, we have used the results of Ref. [\[9\]](#page-19-0) where the CKM matrix is determined using only tree-level observables. Our fitting procedure follows the method adopted by the UTfit Collaboration [\[9\]:](#page-19-0) we integrate over the probability distributions of inputs and conditional probability distributions of observables assuming validity of MFV to obtain (after proper normalization) the probability distributions for the δC_i .

The resulting ranges for the *δCi* and the corresponding bounds on the scale of new physics for the various operators are shown in Tables 4 and 5, and in [Fig. 1.](#page-12-0) The bounds in Table 4 are the results of the global fit, where all the δC_i are allowed to vary. The most interesting correlations among pairs of δC_i of the global fit are shown in [Fig. 1.](#page-12-0) On the other hand, the bounds in [Table 5](#page-12-0) correspond to the bound on the scale of each non-standard operator, assuming the others to have

Operator	Λ_i @95% prob. [TeV]	Observables
$H_D^{\dagger}(\bar{D}_R \lambda_d \lambda_{\text{FC}} \sigma_{\mu\nu} Q_L)(eF_{\mu\nu})$	6.1	$B \to X_s \gamma$, $B \to X_s \ell^+ \ell^-$
$H_D^{\dagger}(\bar{D}_R \lambda_d \lambda_{\text{FC}} \sigma_{\mu\nu} T^a Q_L)(g_s G_{\mu\nu}^a)$	3.4	$B \to X_s \gamma$, $B \to X_s \ell^+ \ell^-$
$(\bar{Q}_L \lambda_{\text{FC}} \gamma_\mu Q_L)(e D_\mu F_{\mu\nu})$	1.5	$B \to X, \ell^+ \ell^-$
$i(\bar{Q}_L \lambda_{\text{FC}} \gamma_\mu Q_L) H_{II}^\dagger D_\mu H_U$	1.1^*	$B \to X_s \ell^+ \ell^-$, $B_s \to \mu^+ \mu^-$
$i(\bar{Q}_L \lambda_{\text{FC}} \tau^a \gamma_\mu Q_L) H_U^{\dagger} \tau^a D_\mu H_U$	1.1^*	$B \to X_s \ell^+ \ell^-$, $B_s \to \mu^+ \mu^-$
$(\bar{Q}_L \lambda_{\text{FC}} \gamma_\mu Q_L)(\bar{L}_L \gamma_\mu L_L)$	1.7	$B \to X_s \ell^+ \ell^-$, $B_s \to \mu^+ \mu^-$
$(\bar{Q}_L \lambda_{\text{FC}} \gamma_\mu \tau^a Q_L)(\bar{L}_L \gamma_\mu \tau^a L_L)$	1.7	$B \to X_s \ell^+ \ell^-$, $B_s \to \mu^+ \mu^-$
$(Q_L \lambda_{\text{FC}} \gamma_\mu Q_L)(\bar{E}_R \gamma_\mu E_R)$	2.7	$B \to X_s \ell^+ \ell^-$, $B_s \to \mu^+ \mu^-$

Table 5 Individual bounds on the scale of new physics for the most relevant MFV operators.

A discrete ambiguity is removed at 90% probability, improving the bound to 2.3 TeV.

Fig. 1. Correlation plots for the pairs of shifts in the Wilson coefficients. Allowed regions at 68% (in green) and 95% (in red) probability. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

a negligible impact. Note that the correlations of the δC_i play a non-trivial role also in Table 5, by means of Eq. [\(6\):](#page-3-0) each bound corresponds to setting one of the $a_i = \pm 1$ and the others to zero. In case of sign ambiguities, the bound on the scale corresponds to the lower allowed value.

In the case of the scalar-density operator, the translation of the bound on δC_S^{μ} into a bound on the scale is not straightforward as for the other operators. Assuming that the coefficient of O*S*¹ in Eq. [\(1\)](#page-2-0) does not depend on tan β and setting $a_{S1} = \pm 1$ we get

$$
A_{[O_{S1}]}>A_0 \left(\frac{\lambda_b \lambda_\mu}{|\delta C_S^{\mu}|_{\text{max}}}\right)^{1/2} = (1.5 \text{ TeV}) \times \left(\frac{\tan \beta}{50}\right) [95\% \text{ prob.}].\tag{38}
$$

This bound, comparable to most of the bounds in Table 5, is especially interesting in specific models, where it can be identified with a bound on the mass of heavy Higgs fields. This happens for instance in the MSSM, where a_{S1} is suppressed by $1/16\pi^2$ (\mathcal{O}_{S1} being forbidden at the tree level by the Peccei–Quinn symmetry) but grows linearly with tan *β* [\[1\].](#page-19-0) In particular, setting $|a_{S1}|/A^2 = \tan \frac{\beta}{(16\pi^2 M_H^2)}$, leads to

$$
M_H > (830 \text{ GeV}) \times \left(\frac{\tan \beta}{50}\right)^{3/2} [95\% \text{ prob.}].
$$
 (39)

As far the sign of C_7 is concerned, we find that the *wrong sign* solution to C_7 is still allowed, but has a lower probability compared to the SM sign. As shown in [Fig. 2,](#page-13-0) the large contribution

Fig. 2. Probability distribution of *δC*7.

Fig. 3. Correlation plots for the pairs of shifts in the Wilson coefficients, which are most affected by including the low and high energy regions in $B \to X_s \ell^+\ell^-$. The left plot shows regions allowed at 68% (in green) while in the right plot, the regions shown are allowed at 95% (in red). The dark and light shaded regions correspond to the fit with and without the low and high energy regions in $B \to X_s \ell^+ \ell^-$ respectively. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

to δC_7 , corresponding to a sign flip of $C_7(m_b)$, has a probability of about 30%. We stress that the sign flip of $C_7(m_b)$ occurs only if C_9 receives a sizable non-standard contribution. This is consistent with the conclusion of Ref. [\[60\],](#page-20-0) where the wrong sign solution to C_7 has been excluded assuming small new-physics effects in the other Wilson coefficients.

The impact of the low- and high-energy regions in $B \to X_s \ell^+\ell^-$, which are often neglected, can be seen in Fig. 3, where we plot the most interesting 68% and 95% allowed regions with or without the information of these two measurements. In view of future experimental improvements, we report below the numerical values of the main observables expanded in powers of the *δCi*:

$$
\mathcal{B}(B \to X_s \gamma)(E_\gamma > 1.6 \text{ GeV}) = 3.13(23) \times 10^{-4} \times \left(1 - 2.28 \delta C_7 + 1.51 \delta C_7^2\right),\tag{40}
$$

Fig. 4. Correlation plots for the pairs of shifts in the Wilson coefficients, which are most affected by including the exclusive A_{FB} observables. Allowed regions at 68% with (dark green) and without (light green) exclusive A_{FB} observables are shown. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

$$
\mathcal{B}(B \to X_s \ell^+ \ell^-) (q^2 \in [0.0021, 0.04] \text{ GeV}^2)
$$

= 0.8(2) × 10⁻⁶[1 + 2.02\delta C₇² + 0.20\delta C₉² + 0.20\delta C₁₀² – 2.23\delta C₇ + 0.23\delta C₉
- 0.37\delta C₁₀ + 0.31\delta C₇\delta C₉ + 0.01(δC_S^{μ})² – 0.01\delta C₁₀\delta C_S^{\mu} + 0.01\delta C_S^{\mu}],
\n
$$
\mathcal{B}(B \to X_s \ell^+ \ell^-) (q^2 \in [1.0, 6.0] \text{ GeV}^2)
$$

= 1.6(1) × 10⁻⁶[1 + 0.64\delta C₇² + 0.61\delta C₉² + 0.61\delta C₁₀² – 0.03\delta C₁₀\delta C_S^{\mu} + 0.86\delta C₉
- 1.15\delta C₁₀ + 0.73\delta C₇\delta C₉ + 0.13(δC_S^{μ})² – 0.03\delta C₁₀\delta C_S^{\mu} + 0.04\delta C_S^{\mu}],
\n
$$
\mathcal{B}(B \to X_s \ell^+ \ell^-) (q^2 \in [14.4, 25.0] \text{ GeV}^2)
$$

= 2.3(7) × 10⁻⁷[1 + 0.05\delta C₇² + 0.28\delta C₇ + 0.59\delta C₉² + 0.59\delta C₉²

Since the experimental information of exclusive $B \to K^* \ell^+ \ell^-$ modes is quite good [\[27\],](#page-19-0) we have also investigated the impact of including these observables in the fit. In this case we have used the results of Ref. [\[31\]](#page-19-0) for the hadronic form factors and their corresponding errors, taking into account additional theoretical uncertainty due to neglected additional long distance effects [\[28–30\].](#page-19-0)

The FB asymmetry plays a significant role. In particular, the normalized FB asymmetry

$$
\bar{\mathcal{A}}_{\rm FB}(q^2) = \frac{1}{d\Gamma/dq^2} \frac{d\mathcal{A}_{\rm FB}}{dq^2} \tag{44}
$$

is interesting since the main uncertainty due to the form factors, namely the overall normalization of the decay rate, partly cancels out. Moreover, $A_{FB}(B \to K^* \ell^+ \ell^-)$ in the low q^2 energy region is very small in the SM due to the destructive interference of C_7 and C_9 (resulting in the famous zero of the asymmetry at low q^2). It is therefore a good probe of the relative sign of the Wilson coefficients. The impact of including the presently available data on $A_{FB}(B \to K^* \ell^+ \ell^-)$ is shown in [Fig. 4.](#page-14-0)^{[5](#page-14-0)} As can be seen, at present the additional information significantly reduces part of the ambiguities in the $\delta C_9 - \delta C_{10}$ and $\delta C_{10} - \delta C_0^{\mu}$ planes only at 68% probability level. On the other hand, the overall bounds on the scales of individual coefficients do not change in appreciable way. This may seem at odds with conclusions reached in Refs. [\[27,61\].](#page-19-0) However, the central experimental values for the high q^2 region lie approximately 1.5 standard deviations above the range of possible theory predictions within MFV satisfying other bounds. In addition, this range of theory predictions spans less than two experimental standard deviations. Under the assumption of MFV validity, the present FB asymmetry measurements therefore cannot significantly affect the 95% probability regions of δC_i . As seen on [Fig. 4,](#page-14-0) the situation would however improve dramatically, once the experimental precision would approximately double.

Contrary to the FB asymmetry, it turns out that the *K*[∗] longitudinal polarization is not very sensitive to new physics in the MFV scenario.

For completeness, we report below the numerical expressions of $A_{FB}(B \to K^* \ell^+ \ell^-)$, inte-grated and normalized to the decay rate as in Ref. [\[27\]](#page-19-0) expanded in powers of the δC_i :

$$
\begin{split}\n&\frac{\mathcal{A}_{\text{FB}}(B \to K^* \ell^+ \ell^-)_{q^2 < 6.25 \text{ GeV}^2}}{\Gamma(B \to K^* \ell^+ \ell^-)_{q^2 < 6.25 \text{ GeV}^2}} \\
&= -0.01(2)(1 - 20.0\delta C_7 - 11.0\delta C_9 + 1.0\delta C_{10} + 21.0\delta C_7 \delta C_{10} + 11.0\delta C_9 \delta C_{10}) \\
&\quad / \left(1 + 1.2\delta C_7^2 - 0.7\delta C_7 + 0.5\delta C_9^2 + 0.5\delta C_{10}^2 + 0.6\delta C_9 - 1.0\delta C_{10} + 0.8\delta C_7 \delta C_9\right), \\
&\frac{\mathcal{A}_{\text{FB}}(B \to K^* \ell^+ \ell^-)_{q^2 > 10.24 \text{ GeV}^2}}{\Gamma(B \to K^* \ell^+ \ell^-)_{q^2 > 10.24 \text{ GeV}^2}} \\
&= 0.20(8)(1 + 0.5\delta C_7 + 1.2\delta C_9 - 1.0\delta C_{10} - 0.5\delta C_7 \delta C_{10} - 1.3\delta C_9 \delta C_{10}) \\
&\quad / \left(1 + 0.1\delta C_7^2 + 0.4\delta C_7 + 0.6\delta C_9^2 + 0.6\delta C_{10}^2 + 1.0\delta C_9 - 1.2\delta C_{10} + 0.4\delta C_7 \delta C_9\right).\n\end{split} \tag{45}
$$

In the above expressions, we have neglected the scalar operator contributions, which are made negligible by the strong bound coming from $B_s \to \mu^+ \mu^-$. However, δC_S^{μ} dominates possible NP effects in the lepton universality ratios of $B \to K^{(*)}\ell^+\ell^-$, as we discuss in the next section.

5. Predictions

Using the bounds on the MFV operators discussed in the previous section, we obtain a series of constraints for FCNC processes which are not well measured yet. The most interesting predictions can be summarised as follows:

• $R^{(\mu/e)}(B \to K^{(*)}\ell^+\ell^-).$

As pointed out in Ref. [\[51\]](#page-20-0) the lepton universality ratios (or the muon to electron ratios of branching ratios) in $B \to K^{(*)} \ell^+ \ell^-$ are very clean probes of possible scalar density oper-

⁵ $A_{FB}(B \to K^* \ell^+ \ell^-)$ has been measured both by Belle [\[61\]](#page-20-0) and BaBar [\[27\].](#page-19-0) However, in Ref. [61] only the fully integrated asymmetry has been reported. The normalized values of $A_{FR}(B \to K^* \ell^+ \ell^-)$ in different q^2 bins, as reported in Ref. [\[27\],](#page-19-0) represent the most useful information for our purpose. For this reason, we have restricted our numerical analysis only to the results in Ref. [\[27\].](#page-19-0)

Fig. 5. 95% probability allowed ranges for the lepton universality ratio (muon/electron) for the differential distributions of $B \to K \ell^+ \ell^-$ (left) and $B \to K^* \ell^+ \ell^-$ (right).

ators. Within the MFV framework there is a one-to-one correspondence between possible deviations from the SM in the lepton universality ratios and the contribution of the scalardensity operator in $B_s \to \mu^+\mu^-$. Thanks to the substantial improvement on $B_s \to \mu^+\mu^-$, the effect is highly constrained.

The present allowed ranges for the differential distributions of the lepton universality ratios are shown in Fig. 5. As can be seen, the effect is negligibly small in the $B \to K^*$ case. In the $B \to K$ case there is still some room for deviations from the SM, but only in the high-*q*² region, where the decay is suppressed. The maximal integrated effect is reported in [Table 6.](#page-17-0) Note the major improvement with respect to the analysis of Ref. [\[51\],](#page-20-0) where the loose bound on $B_s \to \mu^+\mu^-$ allowed much larger non-standard effects.

$$
\bullet \ \mathcal{B}(B_d \to \mu^+ \mu^-)
$$

As anticipated, probably the best test of the MFV scenario in the $\Delta F = 1$ sector is the ratio in Eq. [\(36\).](#page-11-0) Taking into account the present bound on $B_s \to \mu^+\mu^-$ this implies the upper limit

$$
\mathcal{B}(B_d \to \mu^+ \mu^-) < 1.2 \times 10^{-9},\tag{46}
$$

at 95% probability. The clear correlation between $\mathcal{B}(B_d \to \mu^+\mu^-)$ and $\mathcal{B}(B_s \to \mu^+\mu^-)$ in the MFV framework is illustrated in [Fig. 6.](#page-17-0)

• $B(B \to X_s \tau^+ \tau^-)$

The bound on the scalar-current operator allows us to derive a non-trivial bound also on $B(B \to X_s \tau^+ \tau^-)$, which at large tan β is sensitive to scalar-current operators. The parametrical dependence on the modified Wilson coefficient of the integrated branching ratio is

$$
\mathcal{B}(B \to X_s \tau^+ \tau^-)_{q^2 \in [14.4, 25.0] \text{ GeV}^2}
$$

= 1.6(5) × 10⁻⁷(1 + 0.06\delta C₇² + 0.38\delta C₇ + 0.81\delta C₉² + 0.41\delta C₁₀² + 1.41\delta C₉
- 0.78\delta C₁₀ + 0.43\delta C₇\delta C₉ - 0.54\delta C₁₀\delta C₅² + 0.52\delta C₅² + 0.32\delta C₅²). (47)

Assuming $\delta C_S^{\tau} = (m_{\tau}/m_{\mu}) \delta C_S^{\mu}$, in agreement with Eq. [\(6\),](#page-3-0) and taking into account the allowed ranges of the Wilson coefficients in [Table 4,](#page-11-0) we obtain the 95% probability bound reported in [Table 6.](#page-17-0)

• $dA_{\text{FB}}/dq^2(B \to X_s \ell^+ \ell^-)$

Taking into account the available constraints on $C_{7,9,10}$, the resulting allowed range for the inclusive FB asymmetry is shown in [Fig. 7.](#page-18-0) As can be seen, in this case there is still a large room for non-standard effects: this observable is one of the few examples of quantities

Fig. 6. Correlations between $B_s \to \mu^+ \mu^-$, $B_d \to \mu^+ \mu^-$, and $B_s \to X_s \tau^+ \tau^-$. For a given value of $\mathcal{B}(B_s \to \mu^+ \mu^-)$, normalized to the SM prediction (horizontal axis), we plot the range of $\mathcal{B}(B_d \to \mu^+\mu^-)$ and $\mathcal{B}(B_s \to X_s\mu^+\mu^-)$, both normalized to the corresponding SM predictions (vertical axis).

Table 6

Predicted observables. All bounds are 95% probability limits. In the first two lines, the SM predictions refer to the kinematical region where lepton-mass phase-space effects can be safely neglected. The experimental results on *ν*^υ modes are not explicitly indicated since only 90% C.L. limits are available.

Observable	Experiment	MFV bound	SM prediction
$R^{(\mu/e)}(B \to K \ell^+ \ell^-) - 1$	0.17 ± 0.28 [62,63] [*]	$[-0.004, 0.14]$	$O(10^{-4})$ [64]
$R^{(\mu/e)}(B \to K^* \ell^+ \ell^-) - 1$	$0.37^{+0.53}_{-0.40} \pm 0.09$ [63]	$[-0.002, 0.01]$	$\lesssim 10^{-2}$
$\mathcal{B}(B_d \to \mu^+ \mu^-)$	$< 1.8 \times 10^{-8}$ [24]	$< 1.2 \times 10^{-9}$	$1.3(3) \times 10^{-10}$
$\mathcal{B}(B \to X_s \tau^+ \tau^-)$		$< 5 \times 10^{-7}$	$1.6(5) \times 10^{-7}$
$\mathcal{B}(B \to K \nu \bar{\nu})$	[65]	$< 0.4 \times 10^{-4}$	$(0.5 \pm 0.1) \times 10^{-5}$
$\mathcal{B}(B \to K^* \nu \bar{\nu})$	[65]	$< 9.4 \times 10^{-5}$	$(1.2 \pm 0.3) \times 10^{-5}$
$\mathcal{B}(K_L \to \pi^0 \nu \bar{\nu})$	[66]	$< 2.9 \times 10^{-10}$	$2.9(5) \times 10^{-11}$

Here we quote naïve averages of the values obtained by the experiments and with symmetrized errors.

which could exhibit large deviations from the SM even in the pessimistic MFV framework. On the other hand, present data exclude most configurations with flipped $sgn(C_9/C_{10})$ at 68% probability.

• $B(B \to K^{(*)} \nu \bar{\nu})$ and $B(K_L \to \pi^0 \nu \bar{\nu})$

From the $K^+ \rightarrow \nu \bar{\nu}$ bound on $\delta C_{\nu\nu}$ we can bound the rates of all the $\nu \bar{\nu}$ -type FCNC transitions.⁶ The most interesting predictions are reported in Table 6 (in the $B(B \to K^{(*)} \nu \bar{\nu})$) case we take into account form-factor uncertainties along the lines discussed in Section [3.2\)](#page-7-0). For completeness, we give the numerical expressions of the $K_L \to \pi^0 \nu \bar{\nu}$ and $B \to K^{(*)} \nu \bar{\nu}$ branching ratios:

$$
\mathcal{B}(K_L \to \pi^0 \nu \bar{\nu}) = 2.9(5) \times 10^{-11} [1 + 1.37 \delta C_{\nu \bar{\nu}} + 0.47 \delta C_{\nu \bar{\nu}}^2],
$$

\n
$$
\mathcal{B}(B \to K \nu \bar{\nu}) = 0.5(1) \times 10^{-5} [1 + 1.2 \delta C_{\nu \bar{\nu}} + 0.4 \delta C_{\nu \bar{\nu}}^2],
$$

\n
$$
\mathcal{B}(B \to K^* \nu \bar{\nu}) = 1.2(3) \times 10^{-5} [1 + 1.2 \delta C_{\nu \bar{\nu}} + 0.4 \delta C_{\nu \bar{\nu}}^2].
$$
\n(48)

⁶ These predictions are valid only in the limit where we can neglect operators with the $Y_u^{\dagger} Y_u Y_d^{\dagger} Y_d$ flavour structure. This condition is always fulfilled at small/moderate tan*β* and even at large tan*β* holds in most explicit MFV scenarios.

Fig. 7. 95% probability allowed range for the inclusive normalized FB asymmetry in $B \to X_s \ell^+ \ell^-$ (left) and $B \to$ $K^* \ell^+ \ell^-$ (right). The dashed curve denotes the central value of the SM prediction. The other three lines indicate the central values obtained flipping $sgn(C_7/C_{10})$ and/or $sgn(C_9/C_{10})$.

6. Conclusions

The MFV hypothesis provides an efficient tool to analyse flavour-violating effects in extensions of the SM. The effective theory built on this symmetry and symmetry-breaking hypothesis leads to: (1) a natural suppression of flavour violating effects, in agreement with present observations, even for new physics in the TeV range; (2) a series of experimentally testable predictions, which could help to identify the underlying mechanism of flavour symmetry breaking.

In this paper we have presented a general analysis of the MFV effective theory in the $\Delta F = 1$ sector. From the current stringent bounds on possible deviations from the SM in various *B*physics observables we have derived the bounds on the scale of new-physics reported in [Table 5.](#page-12-0) As can be seen, these bounds are perfectly compatible with new dynamics in the TeV range. We recall that the in models where new particles contribute to FCNC processes only at the loop level, the bounds on the particle masses are one order of magnitude weaker with respect to the bounds reported in [Table 5:](#page-12-0) $\Lambda \sim 4\pi m_{\text{NP}}$. Thus in weakly-interacting theories respecting the MFV hypothesis and with no tree-level FCNC, we could expect new particles well within the reach of the LHC.

Using the bounds on the effective operators, taking into account the correlations implied by the observables measured so far, we have derived a series of predictions for future high-statistics studies of flavour physics. This has allowed us to identify observables which could still exhibit large deviations for the SM even under the pessimistic hypothesis of MFV. The most interesting ones are the rare decays $B_{s,d} \to \mu^+\mu^-$, rare *B* and *K* decays with a neutrino pair in the final state, and the FB asymmetry in $B \to K^* \ell^+ \ell^-$ decays. The allowed parameter space for the latter is shown in Fig. 7.

Using the current bounds on MFV operators we have also identified a series of stringent tests of this symmetry principle. The most interesting *negative predictions* are summarised in [Table 6.](#page-17-0) If these predictions were falsified by future experiments, we could unambiguously conclude that there exist new flavour symmetry-breaking structures beyond the SM Yukawa couplings. The effective theory allows us to obtain also some *positive predictions*, namely correlations among different observables which could still exhibit a deviation form the SM. The most interesting of such positive predictions is the correlation between $B_s \to \mu^+\mu^-$ and $B_d \to \mu^+\mu^-$ implied by Eq. [\(36\)](#page-11-0) and illustrated in [Fig. 6.](#page-17-0) A clear evidence of physics beyond the SM in both channels, respecting this correlation, would provide a strong support of the MFV hypothesis.

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