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We have determined the complete nucleotide sequences of adeno-associated virus 3 (AAV-3) and generated an infectious clone. The single-stranded DNA genome of AAV-3 is 4726 nucleotides in length. The positive strand contains two large open reading frames; the left open reading frame encodes the nonstructural proteins and the right open reading frame encodes the structural proteins. The coding regions are flanked by identical inverted terminal repeat sequences containing palindromes. AAV-3 has little homology with the autonomous parvoviruses or erythroviruses but has 82% overall sequence homology with AAV-2. At the amino acid level there was 88% homology with AAV-2 nonstructural (Rep) proteins and 87% homology with AAV-2 capsid proteins. In addition, AAV-3 differed importantly from AAV-2 in the lack of a typical promoter sequence (TATA box) at p40 and the presence of the consensus sequence for adenovirus-related transcription factor E4F binding within the upstream region of the p5 promoter. These results suggest that AAV-3 not only consists of serologically distinct structural proteins but that viral propagation also may be controlled by different gene regulatory elements at the transcription level. The infectious clone confirmed the sequence and may be useful for developing new vectors for gene therapy. © 1996 Academic Press, Inc.

### INTRODUCTION

Adeno-associated viruses (AAVs) are small, nonenveloped, single-stranded DNA viruses, classified as dependoviruses because they were originally thought to replicate only in the presence of a co-infecting helper adeno- or herpes virus (Murphy et al., 1995). AAVs were first discovered as contaminants of laboratory stocks of adenoviruses propagated in tissue culture (Atchison et al., 1965; Hoggan et al., 1966). AAVs have been isolated from a variety of different animal species, including primates, dogs, cows, horses, chickens, and sheep (Murphy et al., 1995), where they appear to be nonpathogenic. To date, five primate AAVs have been described, distinguished by techniques that assay capsid protein variation, including complement fixation, immunodiffusion, neutralization, and fluorescent-antibody binding (Hoggan et al., 1966; Parks et al., 1967; Georg-Fries et al., 1984). Isolates of AAV-2, AAV-3, and AAV-5 have been obtained directly from human clinical specimens, and man appears to be their natural host. In contrast, neither AAV-1 nor AAV-4 has been isolated from clinical specimens, but serum antibodies to AAV-1 and AAV-4 have been detected in African green monkeys, suggesting that they are simian viruses (Blacklow et al., 1968; Parks et al., 1970). AAV-5 appears more distantly related to the other primate AAVs, the only AAV-5 isolate was from

<sup>1</sup> To whom correspondence and reprint requests should be addressed at Bldg. 10/Rm. 7C218, National Institutes of Health, 9000 Rockville Pike, Bethesda, MD 20892-1652. Fax: (301)-496-8396; E-mail: muramats@gwgate.nhlbi.nih.gov. a penile condylomatous lesion, and, epidemiologically, transmission appears to follow acquisition of herpesviruses rather than adenoviruses (Georg-Fries *et al.*, 1984).

Of the many dependoviruses described, only AAV-2 has been characterized at the genomic organization and nucleotide sequence levels (Srivastava et al., 1983; Cassinotti et al., 1988; Ruffing et al., 1994). The virus has a single-stranded DNA genome, with identical doublestranded hairpin structures at each end. The AAV-2 genome contains three transcriptionally active promoters, from which mRNAs for four nonstructural (Rep) proteins and three capsid (Cap) proteins are transcribed by alternative splicing (Carter et al., 1990). Binding of the Rep protein to the "Rep-binding" motif in the hairpin structure appears necessary for preferential targeted integration of the AAV-2 genome into host cell chromosomal DNA (Weitzman et al., 1994). The ability to integrate into human chromosome in the absence of helper viruses and lack of apparent pathogenicity have made AAV-2 a popular candidate as a viral vector for gene therapy (for review, Flotte and Carter, 1995).

Apart from serological studies on the antigenic differences between AAV-2 and AAV-3 performed in the 1960s, very little is known about AAV-3. In contrast to AAV-4, not even a restriction enzyme map for the viral genome has been determined, and there is some question as to whether AAV-3 is a serotype of AAV-2 or a distinctly different virus. DNA–RNA cross-hybridization studies suggest there is only 37% homology between AAV-2 and AAV-3 (Rose *et al.*, 1968); more recent DNA cross-hybridization studies with goose parvovirus DNA also suggested that there was a distinct difference between the DNA sequences of AAV-2 and AAV-3 (Brown *et al.*, 1995b). In addition, polyacrylamide gel electrophoresis of virions indicated that the capsid proteins were of different sizes (Rose *et al.*, 1971), and binding studies of the two viruses have suggested that they bind to different receptors on cellular membranes (Mizukami *et al.*, 1996).

We were interested in confirming that AAV-3 was a distinctly different virus and not a serotype of AAV-2. We have therefore cloned and sequenced the entire genome of AAV-3. Sequence analysis showed similar genomic organization and high homology to AAV-2 at both the DNA and the amino acid level, but significant divergence in the structural proteins and especially in the p5 and p40 promoter organization. Production of AAV-3 infectious clone confirmed that the sequence was correct.

### MATERIALS AND METHODS

### Cell culture and preparation of viral DNA

Seed viruses (AAV-3, AAV-2, and adenoviruses types 2 and 5) and cells (KB, 293-31, and Detroit 6 cells) were purchased from American Type Culture Collection (ATCC, Rockville, MD). Cells were maintained in Dulbecco's modified Eagle's minimal essential medium (DMEM) supplemented with 10% heat-inactivated fetal calf serum and penicillin-streptomycin. Either KB or Detroit 6 cells were infected with AAV-3 or AAV-2 at an input multiplicity of infection (m.o.i.) of 0.3 with adenovirus helper (m.o.i. 10). Cells were incubated for 40 hr before harvesting. Virions were obtained by lysis of cells and banding in a cesium chloride gradient as previously described (Mizukami et al., 1996). Replicative viral DNA was obtained by extraction of infected cells and collection of the low molecular weight DNA fraction (Hirt, 1967). Virion-associated DNA was obtained by lysis of virus particles with 0.2 mg/ml proteinase K and 0.5% SDS in 50 mM NaCl at 50° for 16 hr.

### Restriction enzyme analysis

To obtain a rough restriction enzyme map of the AAV-3 genome for cloning purposes, DNA was extracted from the supernatant of infected cell cultures and single-stranded viral DNA allowed to anneal by incubation in 50 m*M* NaCl at 50° for 16 hr. DNA was incubated with restriction enzymes (*Bam*HI, *Eco*RI, *Hin*dIII, and *Pst*I), and the fragments were analyzed by Southern hybridization using a <sup>32</sup>P-labeled AAV-2 probe excised from pAV2 (ATCC).

## Cloning and sequencing of coding sequence

Replicative AAV-3 virion DNA was digested with pairs of restriction enzymes (double digest), and the products were cloned into pUC19. Clones were screened for AAV- 3 inserts by Southern hybridization using a <sup>32</sup>P-labeled AAV-2 probe, as described above.

Clones containing AAV-3 were amplified and sequenced by the dideoxynucleotide chain termination method using either Sequenase II (Amersham, UK) for manual sequencing or Ampli*Taq* DNA polymerase (Perkin Elmer, CA) for automated DNA sequencing (Applied Biosystems, Inc.). Initially, "universal" M13 forward and reverse primers were used; subsequently primers were designed from previously obtained sequence. Inserts were completely sequenced in both directions.

Additional sequence into the right termini was obtained by PCR amplification. PCR primers were designed based on the termini sequences of AAV-2 and the obtained AAV-3 sequence. The products were cloned into a cloning vector (TA cloning; Invitrogen) and sequenced as above.

## Cloning and sequencing of the termini

AAV-3 virion-associated DNA was digested with the restriction enzyme *Sac*I and the products were analyzed on agarose gels. After the appropriate bands were excised from the gels, DNA was extracted using a gel extraction kit (Qiagen, CA). Two of the fragments were ligated into the *SacI/Sma*I site of pUC18. Clones containing AAV-3 terminal sequence were amplified and sequenced by a PCR-based cycle sequencing method using Ampli*Taq* DNA polymerase (Perkin Elmer).

# Cloning of the entire viral genome

To obtain the sequence of the entire genome, AAV-3 virion-associated DNA was digested with one or both restriction enzymes *Pst*I and *Sac*I. The products were cloned into pUC18 and then subcloned into pBluescript II (KS<sup>+</sup>). The sequences of the junction regions were confirmed by direct PCR-based cycle sequencing of extracted viral DNA.

## Sequence analysis

All sequences obtained were analyzed using DNAStar (DNAStar, Inc.) and GeneWorks (IntelliGenetics, Inc.). Transcription factor recognition sequences were searched with the GeneWorks program based on the Transcription Factor database of the National Center for Biotechnology Information.

### Transfection of cells with AAV plasmid

293-31 cells were transfected with 10  $\mu$ g of plasmid DNA per 10-cm dish by using lipofectin (Gibco BRL) according to manufacturer's instructions. At 24 hr, the lipofectin medium was replaced with DMEM supplemented with 2% fetal calf serum and containing the helper adenovirus type 5 (Ad5; m.o.i. 10). At 40 hr, DNA was extracted (Tissue kit; Qiagen, Inc.) and digested with *Eco*RI. The

AAV3 AAV2	TTGGCCACTC CCTCTATGCG TTGGCCACTC CCTCTCTGCG	CACTEGETEG CTEGGTGGGG CGETEGETEG CTEACTGAGG	CCTGGCGACC AAAGGTCGCC CCGGGCGACC AAAGGTCGCC	AGACGGACGT GCTTTGCACC CGACGECCGG GCTTTGCCCC	TCCGGCCCCA CCGAGCGAGC GGCGGCCTCA GTGAGCGAGC	100 100			
	E4F/EivF GC box								
AAV3 AAV2	GAGTGCGCAT AGAGGGAGTG GAGCGCGCAG AGAGGGAGTG	GCCAACTCCA TCACTAGAGG GCCAACTCCA TCACTAGGGG	-TATGGCA <u>GT_GACGTA-A</u> CG TTCCTGGAGG_GGTGGAGTCG	CGAAGCGCGC GAAGCGAGAG TGACGT GAATTACGTC - FivE	-CACGCCTA- CCAGCTG ATAGGGTTAG GGAGGTCCTG	193 196			
AAV3 AAV2	CG <b>TCAGC</b> AGT CAGGTGAECC TATTAGAGG <mark>T CACGTGA</mark> GTG	TTTTGCGACA GTTTGCGACA TTTTGCGACA TTTTGCGACA	F CCACGTGGCC GCTGAGGGTA CCATGTGGTC ACGCTGGGTA	TATATTCTCG AGTGAGCGAA	CCAGGAGCTC CATTITG-AC GCAGGGTCTC CATTITGAAG	292 295			
	MLTF	→ start	p	5					
AAV3 AAV2	CGCGAAATTT GAACGAGCAG CGGGAGGTTT GAACGCGCAG	CAGECATGEC GGGGTTCTAC CCGCCATGEC GGGGTTTTAC	GAGATTGTCC TGAAGGTCCC GAGATTGTGA TTAAGGTCCC	GAGTGACETG GACGAGCGCC CAGCGACCTT GACGGGCATC	TGCCGGGCAT TTCTAACTCG TGCCCGGCAT TTCTGACAGC	392 395			
AAV3 AAV2	TTTGTTAACT GGGTGGCCGA TTTGTGAACT GGGTGGCCGA	GAAGGAATGG GACGTGCCGC GAAGGAATGG GAGTTGCCGC	CGGATTCTGA CATGGATCCG CAGATTCTGA CATGGATCTG	AATCTGATTG AGCAGGCACC AATCTGATTG AGCAGGCACC	CCTGACCGTG GCCGAAAAGC CCTGACCGTG GCCGAGAAGC	492 495			
AAV3 AAV2	TTCAGCGCGA GTTCCTGGTG TGCAGCGCGA CTTTCTGACG	GAGTGGCGCC GCGTGAGTAA GAATGGCGCC GTGTGAGTAA	GGCCCCGGAG GCCCTCTTT GGCCCCGGAG GCCCTTTCT	TTGTCCAGTT CGAAAAGGGG TTGTGCAATT TGAGAAGGGA	GAGACCTACT TCCACCTGGA GAGAGCTACT TCCACATGCA	592 595			
		and a second							
AAV3 AAV2	CGTGCTCGTG GAAACCACCG	GGGTGAAATC CATGGTGGTC GGGTGAAATC CATGGTTTTG	GGCCGCTACG IGAGCCAGAT GGACGTTTCC IGAGTCAGAT	TAAAGAGAAAG CTGGTGACCC TCGCGAAAAA CTGATTCAGA	GAATTTACCG CGGGATCGAG	692 695			
			GC box		nindar mardialatiki bitiki				
AAV3 AAV2	CCGCAGCTTC CGAACTGGTT CCGACTTTGC CAAACTGGTT	CGCGGTGACC AAAACGCGAA CGCGGTCACA AAGACCAGAA	ATGGCGCCGG GGGCGGGAAC ATGGCGCCGG AGGCGGGAAC	AAGGTGGTGG ACGACTGCTA AAGGTGGTGG ATGAGTGCTA	CATCCCCAAC TACTGCTCC CATCC <u>CCAAT</u> TACTTGCTCC	792 795			
			p18		CCAAT box				
AAV3 AAV2	CCAAGACECA GEECGAGETE CEAAAACECA GEETGAGETE	CAGTGGGCGT GGACTAACAT CAGTGGGCGT GGACTAATAT	GGACCAGTAT TTAAGCGCCT GGAACAGTAT TTAAGCGCCT	GTTTGAATCT CGCGGAGCGT GTTTGAATCT CACGGAGCGT	AAACGGCTGG TGGCGCAGCA AAACGGTTGG TGGCGCAGCA	892 895			
	p19start								
AAV3 AAV2	TCTGAEGEAE GTGTEGEAGA TCTGAEGEAE GTGTEGEAGA	CGCAGGAGCA GAACAAAGAG CGCAGGAGCA GAACAAAGAG	AATCAGAACC <u>CCAAT</u> TCTGA AATCAGAATC <u>CCAAT</u> TCTGA	CGCGCCGGTC ATCAGGTCAA TGCGCCGGTG ATCAGATCAA	AAACCTCAGC CAGGTACATG AAACTTCAGC CAGGTACATG	992 995			
AAV3	GAGCTGGTCG GGTGGCTGGT	GGACCGCGGG ATCACGTCAG	AAAAGCAATG GATTCAGGAG	GACCAGGECT EGTACATETE	CTTCAACGEC GCCTCCAACT	1092			
AAVZ	BAUCTUBILG GUIGGETCGT	GOALAAGGGG ATTALCTCGG	AUAAULAGIG GAICCAGGAG	UACCAGGECT CATACATETE	CITCAATOCG GUUTULAALT	1032			
AAV3	CGCGGTCCCA GATCAAGGCC	GCGCTGGACA ATGCCTCCAA	GATCATGAGC CTGACAAAGA	CGGCTCCGGA CTACCTGGTG	GG-CAGCAAC CCGCCGGAGG	1191			
AAV2	CGCGGTCCCA AATCAAGGCT	GCCTTGGACA ATGCGGGAAA	GATTATGAGC CTGACTAAAA	CCGCCCCCGA CTACCTGGTC	GGCCAGCAGC CCG-TGGAGG	1194			

FIG. 1. Complete nucleotide sequences of AAV-3 and AAV-2 (Srivastava *et al.*, 1983; Cassinotti *et al.*, 1988; Ruffing *et al.*, 1994). The sequences correspond to the complementary (plus) strands. Darkened bases are matches. Dashes (-) indicate gaps introduced into the sequence to increase homology. Start and stop codons for nonstructural proteins (Rep) and capsid proteins (VP) are underlined. Transcription factor binding sites or promoters (TATA box, MLTF, E4F, EivF, GC box, CCAAT box) are double underlined. Polyadenylation and splice donor and acceptor sites are also shown (\*).

DNA was then fractionated by electrophoresis on 1% agarose gel, transferred to nylon membrane, and hybridized to <sup>32</sup>P-labeled AAV-3 full-length DNA that was excised from the AAV-3 plasmid with *Eco*RI and *Xba*l. From one dish, cells and supernatant were frozen and thawed three times, heated at 60° for 15 min to inactivate excess adenovirus, and used to infect additional cultures. AAV-3 antigen expression was analyzed by the indirect immunofluorescence technique. The first antibody was an antiserum against AAV-3 virion purified by banding in a cesium chloride gradient (Braton Biotech, Inc., Rockville, MD) and the second antibody was fluorescein-conjugated goat anti-guinea pig immunoglobulin G (Zymed, San Francisco, CA).

# RESULTS

#### Restriction map

Southern analysis of DNA extracted from AAV-3 virions produced two bands of approximately 1.7 and 4.7 kb (data not shown). After incubation with multiple restriction enzymes, the 1.7-kb band remained undigested, a

result that implied a single-stranded DNA structure. The 4.7-kb band was incised with restriction enzymes *Bam*HI, *Hin*dIII, and *Pst*I, indicating self-annealed double-stranded DNA and that, similar to AAV-2, AAV-3 virions encapsidated both positive and negative strands of DNA. AAV-3 DNA was not affected by treatment with the restriction enzyme *Eco*RI.

#### Cloning and sequencing of viral DNA

Self-annealed double-stranded viral DNA was incubated with pairs of restriction enzymes *Pstl/Hin*dIII and *Pstl/Bam*HI, and the products were ligated into the appropriate restriction enzyme sites of pUC19. Terminal sequences were obtained by excision of virion DNA with *Sac*I, and the two small *Sac*I fragments were blunt-ligated into the *Sacl/Sma*I site of pUC18. The PCR fragment was cloned into a pCRII vector.

To obtain the entire genomic sequence, AAV-3 virionassociated DNA was digested with one or both restriction enzymes *Pst*I and *Sac*I. The products were cloned into pUC18 and then subcloned into pBluescript II (KS<sup>+</sup>). As previously, the sequences of the junction regions

AAV3	ACATTACCAA	AAATCGGATC	ТАССАААТСС	TGGAGCTGAA	CGGGTACGAT	CCGCAGTACG	CGGCCTCCGT	CTTCCTGGGC	TGGGCGCAAA	AGAAGTTCGG	1291
AAV2	ACATTTCCAG	CAATCGGATT	ТАТААААТТТ	TGGAACTAAA	CGGGTACGAT	CC <u>CCAAT</u> ATG	CGGCTTCCGT	CTTTCTGGGA	TGGGCCACGA	AAAAGTTCGG	1294
AAV3	GAAGAGGAAC	ACCATCTGGC	TCTTTGGGCC	GGCCACGACG	GGTAAAACCA	ACATEGEGGA	AGCCATCGCC	CACGCCGTGC	CCTTCTACGG	CTGCGTAAAC	1391
AAV2	CAAGAGGAAC	ACCATCTGGC	TGTTTGGGCC	TGCAACTACC	GGGAAGACCA	ACATEGEGGA	GGCCATAGCC	CACACTGTGC	CCTTCTACGG	GTGCGTAAAC	1394
AAV3 AAV2	TGGA <u>CCAAT</u> G TGGA <u>CCAAT</u> G	AGAACTTTCC	CTTCAACGAT	TGCGTCGACA TGTGTCGACA	AGATGGTGAT AGATGGTGAT	CTGGTGGGAG CTGGTGGGAG	GAGGGCAAGA GAGGGGGAAGA	TGACGGCCAA TGACCGCCAA	GGTCGTGGAG GGTCGTGGAG	AGCGCCAAGG TCGGCCAAAG	1491 1494
AAV3 AAV2	GC CCATTCT <u>GGG</u> CCATTCTCGG	DOX CGGAAGCAAG AGGAAGCAAG	GTGCGCGTGG GTGCGCGTGG	ACCAAAAGTG ACCAGAAATG	CAAGTCATCG CAAGTECTCG	GCCCAGATCG GCCCAGATAG	AACCCACTCC	CGTGATCGTC CGTGATCGTC	ACCTCCAACA	CCAACATGTG CCAACATGTG	1591 1594
AAV3 AAV2	CGCCGTGATT	GACGGGAACA GACGGGAACT	GCACCAECTT CAACGACCTT	CGAGCATCAG CGAACACCAG	CAGCCGCTGC CAGCCGTTGC	AGGACCGGAT	GTTTGAATTT GTTCAAATTT	GAACTTACCC GAACTCACCC	GCCGTTTGGA GCCGTCTGGA	CCATGACTTT TCATGACTTT	1691 1694
AAV3 AAV2	GGGAAGGTCA GGGAAGGTCA	CCAAACAGGA CCAAGCAGGA	AGTAAAGGAC AGTCAAAGAC		GGGCTTCCGA GGGCAAAGGA	MLTF TCACGTGACT TCACGTGGTT	GACGTGGCTC GAGGTGGAGC	ATGAGTTCTA ATGAATTCTA	CGTCAGAAAG CGTCAAAAAG	GGTGGAGCTA GGTGGAGCCA	1791 1794
AAV3 AAV2	AGAAACGCCC AGAAAAGACC	CGCCTCCAAT CGCCCCCAGT	GACGCGGATG GACGCGGATA	TAAGCGAGCC TAAGTGAGCC 40	AAAACGGGAG CAAACGGGTG	TGCACGTCAC CGCGAGTCAG	TTGCGCAGCC TTGCGCAGCC	GACAACGTCA ATCGACGTCA	GACGCGGAAG GACGCGGAAG	CACEGGCGGA CTTEGATCAA	1891 1894
AAV3 AAV2	CTACGCGGAC CTACGCAGAC	★→ splic AGGTACCAAA AGGTACCAAA	e P ACAAATGTTC ACAAATGTTC	TCGTCACGTG TCGTCACGTG	GGCATGAATC GGCATGAATC	TGATGCTTTT TGATGCTGTT	TECCTGTAAA TECCTGCAGA	ACATGCGAGA CAATGCGAGA	GAATGAATCA GAATGAATCA	AATTT <u>CCAAT</u> GAATTCAAAT	1991 1994
AAV3	GTCTGTTTTA	CGCATGGTCA	AAGAGACTGT	GGGGGAATGCT	TCCCTGGAAT	GTCAGAATCT	CAACCCGTTT	CTGTCGTCAA	AAAGAAGACT	TATCAGAAAC	2091
AAV2	ATCTGCTTCA	CTCACGGACA	GAAAGACTGT	TTAGAGTGCT	TTCCCGT	GTCAGAATCT		CTGTCGTCAA	AAAGG-CG	TATCAGAAAC	2088
AAV3	TGTGT <u>CCAAT</u>	TCATCATATC	CTGGGAAGGG	CACCCGAGAT	TGCCTGTTCG	GCCTGCGATT	TGG <u>CCAAT</u> GT	GGACTTGGAT	GACTGTGTTT	CTGAGCAA <u>TA</u>	2191
AAV2	TGTGCTACAT	TCATCATATC	ATGGGAAAGG	TGCCAGA-	CGCTTGCACT	GCCTGCGATC	TGGTCAATGT	GGATTTGGAT	GACTGCATCT	TTGAACAATA	2185
AAV3 AAV2	← Spiic AATGACTTAA AATGATTTAA	ACCAGGT <u>ATG</u> ATCAGGTATG	GCTGCTGACG GCTGCCGATG		AGATTGGCTC AGATTGGCTC	GAGGACAACC GAGGACACTC	TTTCTGAAGG TCTCTGAAGG	CATTCGTGAG AATAAGACAG	TGGTGGGGCTC TGGTGGAAGC	← TGAAACCTGG TCAAACCTG-	2291 2284
AAV3	AGTECCTCAA	C-CCAAAGCG	AAC-CAACAA	CACCAGGACA	ACCGTCGGGG	TCTTGTGCTT	CCGGGTTACA	AATACCTCGG	ACCEGGTAAC	GGACTCGACA	2389
AAV2	-GCECACCAC	CACCAAAGCC	CGCAGAGCGG	CATAAGGACG	ACAGCAGGGG	TCTTGTGCTT	CCTGGGTACA	AGTACCTCGG		GGACTCGACA	2383
AAV3	AAGGAGAGCC	GGTCAACGAG	GCGGACGCGG	CAGCCCTEGA	ACACGACAAA	GCTTACGACC	AGCAGCTEAA	GGCCGGTGAC	AACCCGTACC	TCAAGTACAA	2489
AAV2	AGGGAGAGCC	GGTCAACGAG	GCAGACGCCG	CGGCCCTEGA	GCACGACAAA	GCCTACGACC	GGCAGCTEGA	CAGCGGAGAC	AACCCGTACC	TCAAGTACAA	2483
AAV3 AAV2	CCACGCCGAC	GCCGAGTTTC GCGGAGTTTC	AGGAGCGTCT AGGAGCGCCT	TCAAGAAGAT TAAAGAAGAT	ACGTCTTTTG	GGGGCAACCT GGGGCAACCT	TGGCAGAGCA CGGACGAGCA	GTCTTCCAGG GTCTTCCAGG	CCAAAAAGAG CGAAAAAGAG	GATCCTTGAG GGTTCTTGAA	2589 2583
AAV3 AAV2	CCTCTTGGTC CCTCTGGGCC	TGGTTGAGGA TGGTTGAGGA	AGCAGCTAAA ACCTGTTAAG		GAAAGAAGGG GAAAAAAAGAG GAAAAAAAGAG	GGCTGTAGAT GCCGGTAGAG	CAGTCTCCTC CACTCTCCTG	AGGAAECGGA TGGAGCCAGA	CTCATCATCT CTCCTCCTCG	GGTGTTGGCA GGAACCGGAA	2689 2683
AAV3 AAV2	AATCGGGCAA AGGCGGGCCA	ACAGCCTGCC GCAGCCTGCA	AGAAAAAGAC AGAAAAAGAT	TAAATTTCGG TGAATTTTGG	TCAGACTGGA TCAGACTGGA	GACTCAGAGT GACGCAGACT	CAGTCCCAGA CAGTACCTGA	CCCTCAACCT	CTCGGAGAAC CTCGGACAGC	CACCAGCAGC	2789 2783
AAV3 AAV2	CCCCACAAGT	TTGGGATCTA CTGGGAACTA		P3 start TTCAGGCGGT TACAGGCAGT	GGCGCACCAA GGCGCACCAA	TGGCAGACAA TGGCAGACAA	TAACGAGGGT TAACGAGGGC	GCCGATGGAG GCCGACGGAG	TGGGTAATTC TGGGTAATTC	CTCAGGAAAT CTCGGGAAAT	2889 2883
AAV3	TGGCATTGCG	ATTCCCAATG	GCTGGGCGAC	AGAGTCATCA	CCACCAGCAC	CAGAACCTGG	GCCCTGCCCA	CTTACAACAA	CCATCTCTAC	AAGCAAATCT	2989
AAV2	TGGCATTGCG	ATTCCACATG	GATGGGCGAC	AGAGTCATCA		CCGAACCTGG	GCCCTGCCCA	CCTACAACAA	CCACCTCTAC	AAACAAATTT	2983
AAV3 AAV2	CCAG <u>CCAAT</u> C CCAG <mark>CCAAT</mark> C	AGGAGCTTCA AGGAGCCTCG	AACGACAACC	ACTACTTTGG ACTACTTTGG	CTACAGCACC	CCTT666666T CCTT666666T	ATTTTGACTT	TAACAGATTC CAACAGATTC	CACTGECACT	TCTCACCACG TITCACCACG	3089 3083
AAV3	TGACTGGCAG	CGACTCATTA	ACAACAACTG	GGGATTCCGG	CCCAAGAAAC	TCAGETTCAA	GCTCTTCAAC	ATCCAAGTTA	GAGGGGTCAC	GCAGAACGAT	3189
AAV2	TGACTGGCAA	AGACTCATCA	ACAACAACTG	GGGATTCCGA		TCAACTTCAA	GCTCTTTAAC	ATTCAAGTCA	AAGAGGTCAC	GCAGAATGAC	3183
AAV3	GGCACGACGA	CTATTGCCAA		AGCACGGTTC	AAGTGTTTAC	GGACTCGGAG	TATCAGCTCC	CGTACGTGCT	CGGGTCGGCG	CACCAAGGCT	3289
AAV2	GGTACGACGA	CGATTGCCAA		AGCACGGTTC	AGGTGTTTAC	TGACTCGGAG	TACCAGCTCC	CGTACGTCCT	CGGCTCGGCG	CATCAAGGAT	3283
AAV3	GTCTCECGEC	GTTTCCAGCG	GACGTCTTCA	TGGTCCCTCA	GTATGGATAC	CTCACCCTGA	ACAACGGAAG	TCAAGCGGTG	GGACGCTCAT	CCTTTTACTG	3389
AAV2	GCCTCECGEC	GTTCCCAGCA	GACGTCTTCA	TGGTGCCACA	GTATGGATAC	CTCACCCTGA	ACAACGGGAG	TCAGGCAGTA	GGACGCTCTT	CATTTTACTG	3383
AAV3	CCTGGAGTAC	TTCCCTTCGC	AGATGCTAAG	GACTGGAAAT	AACTT <u>CCAAT</u>	TCAGCTATAC	CTTCGAGGAT	GTACCTTTTC	ACAGCAGCTA	CGCTCACAGC	3489
AAV2	CCTGGAGTAC	TTTCCTTCTC	AGATGCTGCG	TACCGGAAAC	AACTTTACCT	TCAGCTACAC	TTTTGAGGAC	GTTCCTTTCC	ACAGCAGCTA	CGCTCACAGC	3483
AAV3	CAGAGTTTGG	ATEGCTTGAT	GAATCCTCTT	ATTGATCAGT	ATCTGTACTA	CCTGAACAGA	ACGCAAGGAA	CAACCTCTGG	AACAACCAA <u>C</u>	<u>CAAT</u> CACGGC	3589
AAV2	CAGAGTCTGG	ACEGTCTCAT	GAATCCTCTC	ATCGACCAGT	ACCTGTATTA	CTTGAGCAGA	ACAA-ACA	CTCCAAGTGG	AACCACCACG	CAGTCAAGGC	3580



FIG. 1—Continued

were confirmed directly by PCR-based cycle sequencing of viral DNA.

#### Nucleotide sequence

The genome of AAV-3 was 4726 nucleotides in length. Comparison with the genome of AAV-2 showed 82% overall sequence homology (Fig. 1). Among other parvoviruses, the closest relative of AAV-3 appeared to be the goose parvovirus which showed 54% sequence homology. There was <42% homology with the autonomous parvoviruses, including erythroviruses.

As with AAV-2, both ends of the AAV-3 genome consisted of identical inverted repeats (ITRs) containing palindromes of 146 bp and consistent with the formation of T-shaped hairpin structures. The AAV-2 nonstructural Rep protein binding motif (Weitzman *et al.*, 1994) and terminal resolution site (Snyder *et al.*, 1993) in the hairpin appeared highly conserved, with only a single base-pair substitution between the two AAVs (Fig. 2).

### Genomic organization

The location of ATG start and stop codons indicated that AAV-3 had a genomic organization similar to those of other members of the Parvoviridae, with one large open reading frame (ORF) in the left half of the genome and a second long ORF in the right. There were no large open reading frames in the complementary strand, suggesting that it did not encode proteins.

A search for the consensus promoter sequence (TATA boxes) in the AAV-3 genome revealed two promoter regions starting at nucleotides 252 and 840, which we designated p5 and p18, corresponding to p5 and p19 of AAV-2. However, in contrast to AAV-2, there was no typical midgenome promoter sequence, with the sequence TGTAA at nucleotide 1820 in the analogous position to the TATAA sequence of the AAV-2 p40 promotor.

As with AAV-2, there was a single polyadenylation site (AATAAA) at the far right side (starting at nt 4433).

For transcription factor binding sites, there were 2 GC boxes (GGGCGG; nt 743 and 1499) and 13 CCAAT boxes as well as binding sites for transcription factors E4F (TGACGTAAC; nt 149), EivF (GTGACGT; nt 148), and MLTF (TCACGTGA; nt 1742). In AAV-2, GC boxes exist in ITRs (nt 80 and 4634) and EivF and MLTF binding sites are located upstream of the p5 promoter (nt 160, 206).

#### Nonstructural (Rep) coding region

By analogy with other parvoviruses, the left-sided open reading frame (nt 318–2189) encoded the nonstructural or Rep protein(s). The AAV-3 left open reading frame



FIG. 2. Hairpin structure of the left ITR of AAV-3. The sequences homologous to the Rep binding motif and terminal resolution site (trs) of AAV-2 are outlined. Bases different from AAV-2 are in bold.

encoded a putative nonspliced nonstructural protein of 624 amino acids and of a predicted molecular weight of 71 kDa. The putative Rep protein showed homology to the nonstructural proteins of other parvoviruses, with highest relationship to the unspliced Rep78 protein of AAV-2 (88%) and lower homologies for nonstructural proteins of goose and duck parvoviruses (44%). Nonstructural proteins of other autonomous parvoviruses showed little homology to AAV-3 (B19 24%, simian 26%, bovine 19%). As with AAV-2 Rep78, the amino acid sequence included an ATP/GTP binding site motif (amino acids 334–341 of Rep 78) (Saraste *et al.*, 1990) and a putative zinc binding motif at the carboxyl terminus (Carter *et al.*, 1990) (Fig. 3a).

AAV-2 encodes four nonstructural proteins by alternative splicing. The acceptor/donor sequences for this alternative splicing also were conserved in AAV-3 (donor at nt 1904, acceptor at 2134) and if used would encode proteins corresponding to Rep68 and Rep40 of AAV-2.

### Capsid protein coding region

The right-sided open reading frame (nt 2209–4416) encoded a capsid protein of 736 amino acids, with a predicted molecular weight of 82 kDa. The putative capsid protein showed 87% homology to the VP1 capsid protein of AAV-2 and 54% homology to goose and duck parvoviruses' VP1, but little homology to other autonomous parvoviral capsid proteins (B19 19%, simian 18%, bovine 24%).

The AAV-2 VP2 capsid protein is believed to initiate from an ACG triplet, and there was an identical triplet at nucleotide 2620 in AAV-3. The spliced VP2 and VP3 proteins would contain 600 and 535 amino acids, respectively, with predicted molecular weights of 66 and 60 kDa. All three AAV-3 capsid proteins showed high similarity to AAV-2 proteins (87% for VP1, 88% for VP2, and 89% for VP3), with the largest dissimilarity between them located in the middle of VP3, where two possible N-linked glycosylation sites exist in AAV-3, but not in AAV-2 (Fig. 3b).

#### Biological activity of AAV-3 plasmid in human cells

Plasmid containing the entire AAV-3 sequence was transfected into cells, and the progeny DNA was compared with that produced by standard AAV-3 virus infection by Southern blot hybridization (Fig. 4). In the cells transfected with AAV-3 plasmid alone, only one band was detected (lane 3). Two bands were detected in cells subjected to AAV-3 plasmid transfection and Ad5 coinfection (lane 4). In this experiment, the upper band was at the position corresponding to the plasmid containing AAV-3 and the lower band was characteristic of normal AAV-3 infection (lane 1); in addition, the signal intensity of the lower band was stronger than that of the upper band, suggesting amplification of the viral sequence. Under our extraction conditions, only double-stranded viral DNA (either reannealed or replicative form) was detected in both the infection and the transfection lanes. No band was detected in cells infected by Ad5 alone (lane 2).

AAV-3-coded proteins after transfection were detected using an indirect immunofluorescence assay: an intranuclear fluorescence pattern indistinguishable from that seen in virus infected cells was observed (Fig. 5).

To confirm that infectious AAV-3 was produced, a virus stock was prepared from cultures transfected by AAV-3 plasmid by freezing and thawing the cells and supernatant of one 10-cm dish after plasmid transfection. After



FIG. 3. Amino acid homologies of the nonstructural proteins and capsid proteins between AAV-3 and AAV-2. AAV-2 sequence as described in the legend to Fig. 1. Darkened amino acids are matches. (a) Amino acid sequence of AAV-3 nonstructural protein to AAV-2 Rep78 sequence. The ATP/GTP binding site motif is underlined. The cysteines and histidines of a putative zinc binding motif at the carboxyl terminus are indicated (\*). (b) Amino acid sequence alignment of capsid proteins of AAV-3 and AAV-2. The first amino acids of VP2 and VP3 are indicated by arrows. The regions predicted to be exposed on the surface of the capsid are underlined. Possible N-linked glycosylation sites are marked (#).

heat treatment to inactivate excess adenovirus we used this preparation to infect additional 293-31 cells with fresh Ad5 helper (m.o.i. 10). At 38 hr, immunofluorescence with an anti-AAV-3 antibody showed an intranuclear fluorescence pattern typical of AAV-3 infection.

#### DISCUSSION

We have cloned and sequenced the entire genome of a second human dependovirus, AAV-3. We confirmed that AAV-3 encapsidates DNA strands of both plus and minus polarity and that both strands are encapsidated with equal frequency into individual virions (Rose *et al.*, 1969), allowing double-stranded DNA to be obtained by annealing under low-salt condition. A similar approach was used for cloning of AAV-2 (Samulski *et al.*, 1982; Senapathy and Carter, 1984), bovine parvovirus (Shull *et al.*, 1988), and simian parvovirus (Brown *et al.*, 1995a).

The genome of AAV-3 (4726 bp) was longer than that of AAV-2 (4679 bp). This difference in length can be mainly attributed to short deletions in the regions between the inverted terminal repeats and open reading frames on both sides of AAV-2 genome. Cross-hybridization studies of AAV DNA–RNA interactions performed in the 1960s indicated a homology of approximately 37% between AAV-2 and AAV-3 (Rose *et al.*, 1968). Our results show that the homology between them is much greater.

AAV-3 had a genomic organization similar to the AAV-



**FIG. 4.** Southern blot analysis of rescue and amplification of AAV-3 DNA from infectious clone. DNA was isolated from 293-31 cells that had been infected or transfected with AAV-3 virion and Ad5 (lane 1), Ad5 alone (lane 2), AAV-3 plasmid alone (lane 3), and AAV-3 plasmid and Ad5 (lane 4). The DNA was digested with *Eco*RI, fractionated on a 1% agarose gel, transferred to a nylon membrane, and hybridized to <sup>32</sup>P-labeled AAV-3 DNA. Black arrow indicates bands corresponding to the AAV-3 plasmid, and white arrow indicates bands corresponding to AAV-3 virus replication.

2. The internal portion of the genome divided into two open reading frames that encode nonstructural and structural proteins. In AAV-2, nonstructural proteins Rep78 and Rep68 control DNA replication and also can mediate the formation of a complex between the ends of the AAV genome and the chromosome 19 integration locus. If the reduced number of GAGC repeats in the putative Rep binding motif of AAV-3 is significant for Rep binding, it might be expected to affect site-specific integration as well as DNA replication.

Whether the TGTAA sequence at nucleotide 1820 functions as a promotor remains to be elucidated. However, it is unlikely that this sequence is a cloning or sequencing artifact because five different clones, all directly derived from either replicative form or self-annealed form of virus DNA and sequenced by both manual and automated methods, showed the same results. In addition, the corresponding region of AAV-1 also lacks a TATA box (K. E. Brown *et al.*, manuscript in preparation). Upstream from this sequence is a GC box (starting at nt 1499) and binding sites for the major late transcription factor (MLTF; nt 1742). In parvovirus B19, there is no functionally active midgenome TATA box and the GC box is a major controlling sequence for *in vitro* transcription (Blundell and



FIG. 5. Immunofluorescent staining with polyclonal guinea pig serum antibodies directed against AAV-3 capsids in 293-31 cells transfected with AAV-3 plasmid co-infected with Ad5 as a helper. Cells were prepared 3 days after transfection with AAV-3 plasmid.

Astell, 1989). In AAV-2, a MLTF binding site exists in the upstream region of p5 promoter and reduces the basal activity and enhances the E1A-induced activity of the p5 control region (Chang *et al.*, 1989). Thus, AAV-3 and AAV-2 may be controlled by different gene regulatory elements at the transcription level. We are now determining the transcription map of AAV-3.

It is important to note that within the upstream region of the AAV-3 p5 promoter there was a consensus sequence for transcription factor E4F or EivF binding. These cellular factors are involved in E1A-responsive transactivation of the adenovirus E4 promoter and function in the initiation of adenovirus replication (Raychaudhuri et al., 1987; Cortes et al., 1988; Rooney et al., 1990). E4F binds to a sequence (TGACGTAAC) within the adenovirus E4 promotor overlapping two activating transcription factor (ATF) binding sites, and EivF binds to a sequence (GTG-ACGT) closely related to the ATF sequence (TGACGT). An adenovirus E4 gene is required as part of the AAV helper function during infection of human cells with adenovirus particles (Carter et al., 1983), and recent studies have shown that plasmid expression of the E4 open reading frame 6 protein in cells infected with recombinant AAV increases gene transduction up to 1000-fold (Ferrari and Samulski, 1995). The EivF binding sequence overlaps the M26 motif, an enhancer element for recombination, which is also found in AAV-2 and in its integrated cellular sequence (Giraud et al., 1994). The E4F binding sequence is not found in the AAV-2.

The most divergent regions for the VP3 polypeptides were located in the middle region of these proteins. Based on the atomic structure determined by X-ray crystallography of canine parvovirus, these regions may be exposed at the surface of capsid proteins (Tsao *et al.*, 1991; Chapman and Rossmann, 1993). Different capsid proteins presumably contribute to the distinct antigenicities of AAV-2 and AAV-3 and may explain the difference in binding to cell membranes of the two viruses (Mizukami *et al.*, 1996). Differences in cell binding may also reflect different cell tropisms for AAV-2 and AAV-3.

Intact AAV-3 genome can be rescued from a recombinant clone when transfected cells are superinfected with adenovirus. The rescued genome is functional in that AAV-3 protein is expressed in the nuclei of cells and infectious virus is produced. The infectious clone helps to validate the sequence that we report here. An infectious clone will allow study of AAV-3 at a molecular level comparable to AAV-2 and it may be possible to develop novel gene therapy vectors based on AAV-3.

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