

JOURNAL OF ALGEBRA 73, 156–166 (1981)

The Number of Generators of a Colength N Ideal in a Power Series Ring

DAVID BERMAN*

*Department of Mathematics, University of Utah, Salt Lake City, Utah 84112**Communicated by D. Buchsbaum*

Received August 27, 1980

If I is an ideal in $R = k[[x_1, \dots, x_r]]$, the colength of I is defined to be $\dim_k R/I$. Given N a positive integer, and an ordered system of parameters x_1, \dots, x_r , I will define an ideal I_N , intuitively the ideal of colength N closest to an ideal of the form $m^j = (x_1, \dots, x_r)^j$ for an appropriate j . If $N = \dim_k R/m^j$ for some j , the ideal $I_N = m^j$ and has generators all of the same degree; otherwise I_N has generators in adjacent degrees. Always there exists j such that $m^j \supset I_N \supset m^{j+1}$.

In the main theorem, Theorem 1, I show that if I is any colength N graded ideal in R , then the number of generators of $I \leq$ the number of generators of I_N . The number of generators of I refers to the minimal number of homogeneous generators. Since an ideal of finite colength contains a power of the maximal ideal, this result is true for the polynomial ring as well as for the power series ring.

If I is any colength N ideal in R and I^* is the associated graded ideal of I , then the number of generators of $I \leq$ the number of generators of I^* and the colength $I^* = N$. Hence, the theorem implies that the number of generators of any colength N ideal \leq the number of generators of I_N .

The proof of the main theorem relies on a result of Macaulay [3] which reduces the theorem to the case where I is an ideal of colength N generated by monomials. In that case, by pulling out and filling in appropriate monomials in I , a new monomial ideal I' is obtained which has the same colength as I and more generators, and is in a sense "nearer" to I_N . The theorem then follows by induction. Figures are included illustrating the proof of Theorem 1 in the case $r = 2, 3$, and 4.

Proposition 2 describes asymptotic bounds for the number of generators of m^s in terms of the colength N of m^s . First, if r is held fixed and $s \rightarrow \infty$, then

$$\#\text{gens } m^s \cong (r/\sqrt{r!}) N^{(1-1/r)}.$$

*This paper was part of the author's Ph.D. thesis written at the University of Texas, Austin, 1978 under the supervision of Anthony Iarrobino.

Second, if s is held fixed and $r \rightarrow \infty$, then

$$\# \text{gens } m^s \cong (s/\sqrt{s!})^{-s/(s-1)} N^{s/(s-1)}.$$

An immediate consequence of Theorem 1 and Proposition 2 is Theorem 3, giving a sharp upper bound on the number of generators of an ideal I of colength N in R ; the bound is

$$\# \text{gens } I \leq (r/\sqrt{r!}) N^{(1-1/r)}(1 + \varepsilon),$$

when $N \geq N(r, \varepsilon)$. Previously, upper bounds of the form $cN^{(1-1/r)}$ were obtained on the number of generators of a colength N ideal in R , by Briançon and Iarrobino [2]. The result of this paper is the first in which the upper bound is sharp. Boratynski *et al.* in [1] have bounded the number of generators of a colength N ideal in a local Cohen–Macaulay ring R . In case $R = K[[x_1, \dots, x_r]]$, their result is that $\# \text{gens } I \leq (r!/\sqrt{r!}) N^{(1-1/r)} + (r - 1)$. For a general survey of related problems concerning the number of generators of ideals in local rings, see the book by Sally [4].

I now describe the ideal I_N . Order the monomials in R lexicographically:

If $m = x_1^{i_1} \cdots x_r^{i_r}$, $m' = x_1^{j_1} \cdots x_r^{j_r}$, then $m < m'$ if

(i) $\deg m < \deg m'$

or

(ii) $\deg m = \deg m'$, $m \neq m'$, and if l is the smallest positive integer such that $i_l \neq j_l$, then $i_l > j_l$.

Let R_j denote the vector space spanned by all degree j forms in R , and $W(j, d)$ denote the vector space spanned by the first d degree j monomials in the order. If N is a positive integer then there exists a positive integer j such that

$$\sum_{l=0}^{j-1} \#R_l \leq N < \sum_{l=0}^j \#R_l.$$

Let

$$t = \left(\sum_{l=0}^j \#R_l \right) - N.$$

Define

$$I_N = (W(j, t), m^{j+1}).$$

Then

$$\begin{aligned} \text{colength } I_N &= \dim R/I_N = \sum_{l=0}^{j-1} \#R_l + (\#R_j - t) \\ &= \left(\sum_{l=0}^j \#R_l \right) - t = N. \end{aligned}$$

THEOREM 1. *If I is any colength N graded ideal, the number of generators of $I \leq$ the number of generators of I_N . (Special case: If $N = \dim_k R/m^j$, then $I_N = m^j$ and has $\dim_k R_j$ generators.)*

Proof. Step 1: Reduction to ideals generated by monomials.

Consider graded ideals I with fixed dimension type $T = t_0, \dots, t_j, \dots$, and colength N , where $t_j = \dim_k I_j$, with $I_j = I \cap R_j$. Let $s_i(j)$ = number of $\text{deg } j$ generators of I in a minimal generating set. Then $t_{j+1} = \dim R_1 I_j + s_i(j + 1)$ by linear algebra. Macaulay shows in [3] that for vector spaces of forms, V , of degree j with fixed dimension t_j , the dimension of $R_1 V$ is minimal if $V = W(j, t_j)$. It follows that $t_{j+1} \geq \dim R_1 W(j, t_j)$ and that the total number of generators of I , $\sum_{j=0}^{\infty} s_i(j)$, is maximal among I of dimension type T , for $I_T = \text{def } \bigoplus_{j=0}^{\infty} W(j, t_j)$. Observe that I_T is an ideal since $t_{j+1} \geq \dim R_1 W(j, t_j)$ implies $R_1 W(j, t_j) = W(j + 1, \dim R_1 W(j, t_j)) \subseteq W(j + 1, t_{j+1})$. Thus, to prove the theorem, I need only show that the number of generators of $I_T \leq$ the number of generators of I_N , for all dimension types T of colength N .

Step 2: Induction over the partially ordered set of types.

Partially order the dimension types so that $T < S$ if

- (i) initial degree $I_T <$ initial degree I_S ,

or

- (ii) initial degree $I_T =$ initial degree I_S , and the number of generators of I_T of initial degree $>$ the number of generators of I_S of initial degree.

Observe that if $T_N =$ dimension type of I_N and $T =$ dimension type of any colength N ideal, then $T \leq T_N$; also there are only finitely many possible dimension types T for colength N ideals.

The proof of Theorem 1 is by descending induction, starting at T_N , on the partially ordered set $\{T | T \text{ is the dimension type of a colength } N \text{ ideal}\}$. Suppose, by way of induction, that $T < T_N$ is a fixed dimension type and that for all T' with $T < T' \leq T_N$, the number of generators of $I_{T'} \leq$ the number of generators of I_N .

Let

$a =$ last monomial of initial degree in I_T ;

$b =$ first monomial among those of largest degree not in I_T .

Since $T < T_N$, $\text{deg } a < \text{deg } b$. Suppose

$$a = x_1^{i_1} x_2^{i_2} \dots x_n^{i_n}, \quad i_n \neq 0$$

$$b = x_1^{i'_1} x_2^{i'_2} \dots x_m^{i'_m}, \quad i'_m \neq 0.$$

Case 1. $n \leq m$.

In this case, modify the ideal I_T by pulling out the monomial a and filling in the monomial b . The result is an ideal $I_{T'}$ of type T' with $T < T'$ and colength N . As a result of pulling out a , $r - n + 1$ new generators are created for $I_{T'}$:

$$x_1^{i_1} \cdots x_{n-1}^{i_{n-1}} x_n^{i_n+1}, x_1^{i_1} \cdots x_n^{i_n} x_{n+1}, \dots, x_1^{i_1} \cdots x_n^{i_n} x_r.$$

As a result of filling in b , $r - m + 1$ old generators are lost for $I_{T'}$:

$$x_1^{i'_1} \cdots x_{m-1}^{i'_{m-1}} x_m^{i'_m+1}, x_1^{i'_1} \cdots x_m^{i'_m} x_{m+1}, \dots, x_1^{i'_1} \cdots x_m^{i'_m} x_r.$$

Therefore, if $n \leq m$,

$$\begin{aligned} \# \text{gens } I_{T'} &= \# \text{gens } I_T + \text{change in } \# \text{gens} \\ &\text{from pulling } a + \text{change in } \# \text{gens from filling } b \\ &= \# \text{gens } I_T + ((r - n + 1) - 1) + (1 - (r - m + 1)) \\ &= \# \text{gens } I_T + (m - n) \geq \# \text{gens } I_T. \end{aligned}$$

Now by the induction hypothesis for T' , $\# \text{gens } I_{T'} \leq \# \text{gens } I_N$.

Note. If $R = k[[x, y]]$, then always $n \leq m = 2$. The possible ideals I_T , where T is the type of a colength 10 ideal in $k[[x, y]]$ are displayed in Fig. 1.

Case 2. $n > m$ (cf. Figs. 2, 3).

It is always true that $\deg a \leq \deg b$, and $\deg a = \deg b \Rightarrow I_T = I_N$. Suppose $\deg a < \deg b$. Because of the choice of I_T , all monomials of degree equal degree of a , but which are less than a , are in I_T . Thus all monomials of degree equal degree of b which are less than $ax_r^{\deg b - \deg a}$ are in I_T . Therefore, $b > ax_r^{\deg b - \deg a}$; and since the degrees of b and $ax_r^{\deg b - \deg a}$ are equal, it must be true that their x_1 exponents satisfy $i'_1 \leq i_1$. Therefore $\deg a < \deg b$ and $i'_1 \leq i_1 \Rightarrow i_2 + i_3 + \cdots + i_n \leq i'_2 + i'_3 + \cdots + i'_m$. Now let l denote the largest integer less than or equal to m satisfying

$$i_l + i_{l+1} + \cdots + i_n \leq i'_l + i'_{l+1} + \cdots + i'_m. \tag{1}$$

It follows from the choice of l , that

$$i_{l+1} + \cdots + i_n > i'_{l+1} + \cdots + i'_m \quad \text{if } l < m, \tag{2}$$

or

$$i_{l+1} + \cdots + i_n > 0 \quad \text{if } l = m. \tag{2'}$$

I treat first the case $l < m$ and later the case $l = m$.

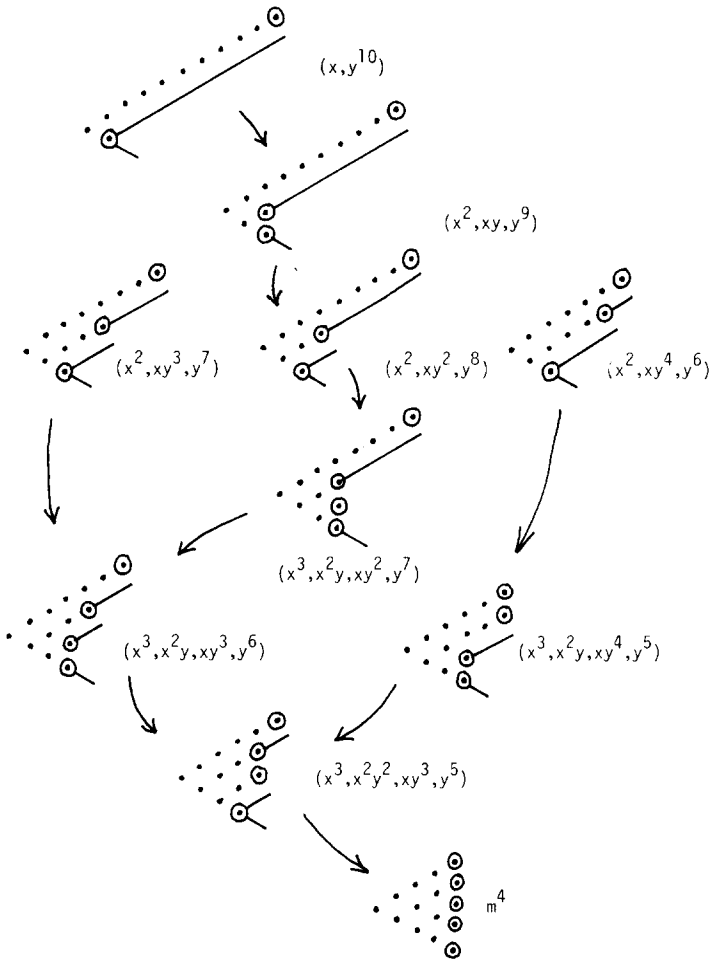


FIG. 1. The ideals I_T , where T is the type of a colength 10 ideal in $k[x, y]$, $x < y$. Explanation: All types in one horizontal level are less than all types in the level directly below. An arrow $A \rightarrow B$ indicates that B was obtained from A by pulling out one monomial and filling in another. Generators are circled.

Case 2a; $l < m$. In the first case modify the ideal I_T as follows to get an ideal $I_{T'}$, $T < T' \leq T_N$. Pull out all monomials M which satisfy

$$x_1^{i_1} \dots x_{l-1}^{i_{l-1}} x_l^{(i_l + \dots + i_n) - (i_{l+1} + \dots + i_m)} x_{l+1}^{i_{l+1}} \dots x_m^{i_m} \leq M \leq x_1^{i_1} \dots x_n^{i_n} = a. \quad (3)$$

By (1) and (2) $i_l < (i_l + \dots + i_n) - (i_{l+1} + \dots + i_m) \leq i'_l$ so that the left-hand monomial in (3) is well defined and is less than a . Fill in all monomials M' which satisfy

$$b = x_1^{i'_1} \dots x_m^{i'_m} \leq M' \leq x_1^{i'_1} \dots x_{l-1}^{i'_{l-1}} x_l^{(i'_l + \dots + i'_m) - (i_{l+1} + \dots + i_n)} x_{l+1}^{i'_{l+1}} \dots x_n^{i'_n}. \quad (4)$$

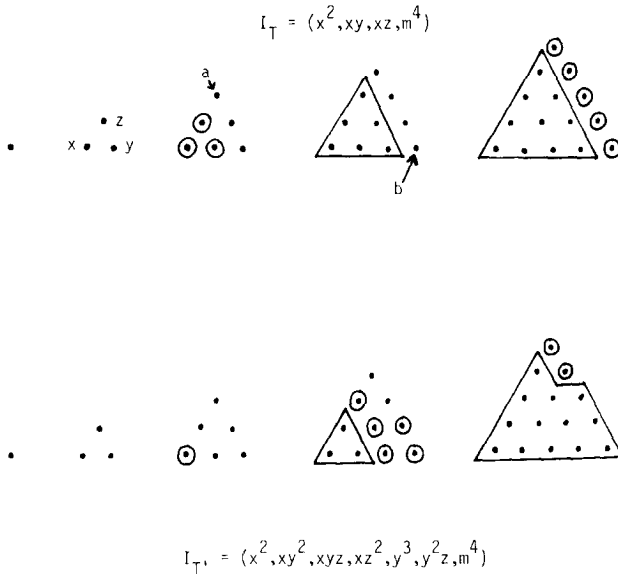


FIG. 2. The critical case $n > m$ in $k[[x, y, z]]$, $x < y < z$. Explanation: In $k[[x, y, z]]$, $n > m$ forces $m = 2$, $n = 3$, thus $a = x^{i_1}y^{i_2}z^{i_3}$, $b = x^{i_1}y^{i_2}$; \bar{M} is the set $y^{i_3} \leq \bar{M} \leq z^{i_3}$. In the diagram $a = xz$, $b = y^3$. To get $I_{T'}$ from I_T we pull out the constant x -power row of monomials in I_T including a and fill in part of the constant x -power row of monomials in I_T starting with b . $I_{T'}$ has the property that $\# \text{gens } I_{T'} \geq \# \text{gens } I_T$ (in the diagram both have eight generators). Generators are circled and other monomials in I are triangled off.

By (1) and (2) $i_l \leq (i'_l + \dots + i'_m) - (i_{l+1} + \dots + i_n) < i'_l$ so that the right-hand monomial in (4) is well defined and is greater than b .

Dividing each term in (3) by $x^{i'_1} \dots x^{i'_l}$ shows that we pulled all monomials $M = x^{i'_1} \dots x^{i'_l} \bar{M}$ with

$$x^{i'_1(i_{l+1} + \dots + i_n) - (i'_{l+1} + \dots + i'_m)} x^{i'_{l+1}} \dots x^{i'_m} \leq \bar{M} \leq x^{i'_{l+1}} \dots x^{i'_n}. \tag{5}$$

Dividing each term in (4) by $x^{i'_1} \dots x^{i'_{l-1}} x^{i'_l(i_{l+1} + \dots + i'_m) - (i_{l+1} + \dots + i_n)} = c$ shows that we filled in all monomials $M' = c\bar{M}$ with \bar{M} satisfying (5). Hence the number of monomials lost equals the number of monomials added, so $\text{colength } I_{T'} = \text{colength } I_T = N$.

I now show that the number of generators of $I_{T'}$ equals the number of generators of I_T . Let a' denote the left-hand monomial in (3) and b' denote the right-hand monomial in (4). Since all monomials of degree equal degree of a which are less than a are in I_T , the new generators of degree, $\text{deg } a + 1$, in $I_{T'}$, will be all monomials M with

$$x_r \cdot (\text{first monomial before } a') < M \leq x_r \cdot a,$$

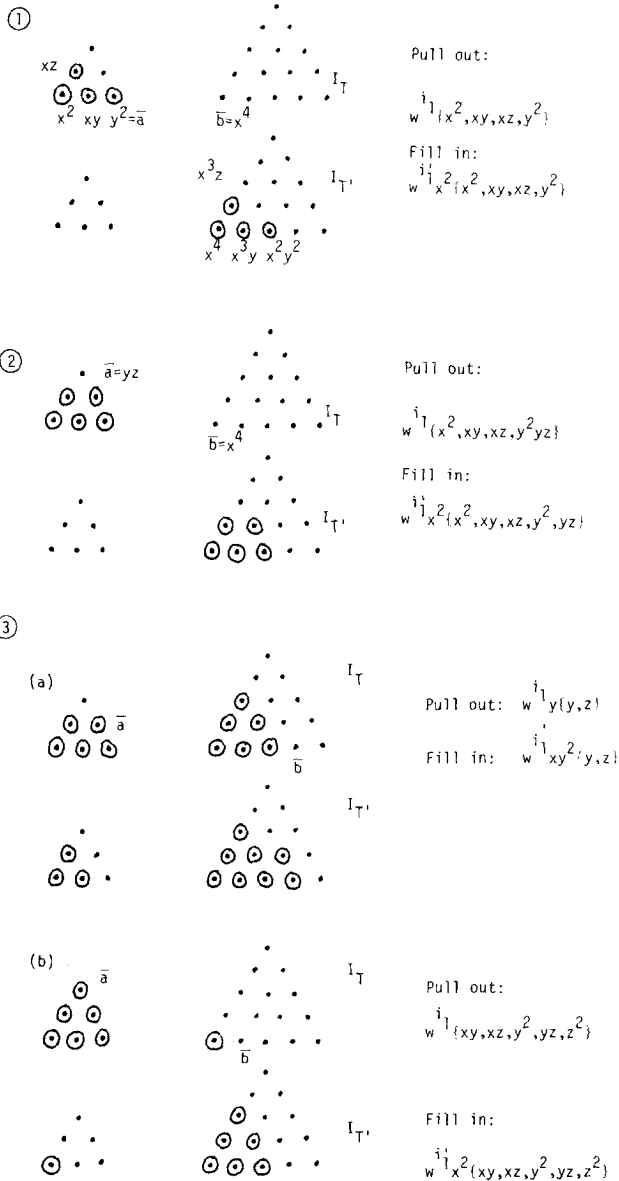


FIG. 3. The critical case $n > m$ in $k[[w, x, y, z]]$, $w < x < y < z$. There are three subcases: (1) $m = 2$, $n = 3$; $a = w^{i_1}x^{i_2}y^{i_3}$; $b = w^{i_4}x^{i_5}$. (2) $m = 2$, $n = 4$; $a = w^{i_1}x^{i_2}y^{i_3}z^{i_4}$; $b = w^{i_5}x^{i_6}$. (3) $m = 3$, $n = 4$; $a = w^{i_1}x^{i_2}y^{i_3}$; $b = w^{i_4}x^{i_5}y^{i_6}$. Only the monomials having the same degree as a and b and the same constant w power respectively are diagrammed for each ideal. These are the only monomials that change from I_T to $I_{T'}$. Set $a = w^{i_1}\bar{a}$, $b = w^{i_4}\bar{b}$. Always it is true that $\deg \bar{a} < \deg \bar{b}$. Subcase (3) splits into two subsubcases. (a) There is enough room to switch a single constant w , constant x power row. (b) There is not enough room.

or

$$x_1^{i_1} \dots x_{l-1}^{i_{l-1}} x_l^{(i_1 + \dots + i_n) - (i_{l+1} + \dots + i'_m)} x_{l+1}^{i_{l+1}} \dots \times x_{m-2}^{i_{m-2}} x_{m-1}^{i_{m-1}+1} x_m^{i_m-1} x_r < M \leq x_1^{i_1} \dots x_n^{i_n} x_r. \quad (6)$$

Since all monomials of degree equal degree of b which are less than b are in I_T and since all monomials of degree equal degree $b + 1$ are in I_T , the generators lost from those of I_T will be all monomials M' of degree, $\deg b + 1$, with

$$x_r \cdot (\text{first monomial before } b) < M' \leq x_r \cdot b',$$

or

$$x_1^{i_1} \dots x_{m-2}^{i_{m-2}} x_{m-1}^{i_{m-1}+1} x_m^{i_m-1} x_r < M' \leq x_1^{i_1} \dots \times x_{l-1}^{i_{l-1}} x_l^{(i_1 + \dots + i'_m) - (i_{l+1} + \dots + i_n)} x_{l+1}^{i_{l+1}} \dots x_n^{i_n} x_r. \quad (7)$$

Dividing each term in (7) by $x_1^{i_1} \dots x_{l-1}^{i_{l-1}} x_l^{(i_1 + \dots + i'_m) - (i_{l+1} + \dots + i_n)} = c'$ shows that the generators lost will be all monomials $M' = c' \bar{M}'$ with

$$x_l^{(i_{l+1} + \dots + i_n) - (i_{l+1} + \dots + i'_m)} x_{l+1}^{i_{l+1}} \dots x_{m-2}^{i_{m-2}} x_{m-1}^{i_{m-1}+1} x_m^{i_m-1} x_r < \bar{M}' \leq x_{l+1}^{i_{l+1}} \dots x_n^{i_n} x_r \quad (8)$$

Dividing each term in (6) by $x_1^{i_1} \dots x_l^{i_l}$ shows that the new generators are all monomials $M = x_1^{i_1} \dots x_l^{i_l} \bar{M}'$ with \bar{M}' satisfying (8). Therefore the number of new generators equals the number of generators lost. Hence the number of generators of I_T , equals the number of generators of I_T . By the induction hypothesis applied to $I_{T'}$, the number of generators of $I_T \leq$ the number of generators of I_N .

Case 2b; $l = m$. The remaining case is when $l = m$; i.e., $i_m + \dots + i_n \leq i'_m$. Then pull out all monomials M which satisfy

$$x_1^{i_1} \dots x_{m-1}^{i_{m-1}} x_m^{i_m + \dots + i_n} \leq M \leq x_1^{i_1} \dots x_n^{i_n} = a.$$

Fill in all monomials M' that satisfy

$$b = x_1^{i_1} \dots x_m^{i_m} \leq M' \leq x_1^{i_1} \dots x_{m-1}^{i_{m-1}} x_m^{i'_m - (i_{m+1} + \dots + i_n)} x_{m+1}^{i_{m+1}} \dots x_n^{i_n}.$$

Proceeding as before we find $\text{colength } I_{T'} = \text{colength } I_T = N$ and number of generators of $I_{T'}$, equals the number of generators of I_T . Now use the induction hypothesis again to get the number of generators of $I_T \leq$ the number of generators of I_N . ■

I now give asymptotic bounds for the number of generators of m^s in terms of the colength N of m^s . An idea of the constants involved is given by $r/\sqrt[r]{r!} < e$ and $\lim_{r \rightarrow \infty} r/\sqrt[r]{r!} = e$.

PROPOSITION 2. Given $m^s \subset k[[x_1, \dots, x_r]]$, colength $m^s = N$.

(A) If r is held fixed and $s \rightarrow \infty$, then

$$\# \text{gens } m^s \cong (r/\sqrt[r]{r!}) N^{(1-1/r)}.$$

In fact $(r/\sqrt[r]{r!}) N^{(1-1/r)} \leq \# \text{gens } m^s \leq (r/\sqrt[r]{r!}) N^{(1-1/r)} [1 + (r-1)/2s]$.

(B) If s is held fixed and $r \rightarrow \infty$, then

$$\# \text{gens } m^s \cong (s/\sqrt[s]{s!})^{-s/(s-1)} N^{s/(s-1)}.$$

In fact

$$(s/\sqrt[s]{s!})^{-s/(s-1)} N^{s/(s-1)} \leq \# \text{gens } m^s \leq (s/\sqrt[s]{s!})^{-s/(s-1)} N^{s/(s-1)} [1 + (s-1)/2r].$$

Proof of A.

$$\left. \begin{aligned} N &= \binom{r+s-1}{s-1} = \frac{(r+s-1)!}{r!(s-1)!} \\ \# \text{gens } m^s &= \binom{r+s-1}{s} = \frac{(r+s-1)!}{(r-1)!s!} \end{aligned} \right\} \Rightarrow \frac{rN}{s} = \# \text{gens } m^s$$

$$\begin{aligned} \# \text{gens } m^s / (r/\sqrt[r]{r!}) N^{(1-1/r)} &= (rN/s) / (r/\sqrt[r]{r!}) N^{(1-1/r)} = \frac{(Nr!)^{1/r}}{s} \\ &= \frac{1}{s} \left(\frac{(r+s-1)!}{(s-1)!} \right)^{1/r} = \frac{1}{s} ((r+s-1) \cdot (r+s-2) \cdot \dots \cdot (r+s-r))^{1/r}. \end{aligned}$$

Now if l_1, \dots, l_r are positive numbers such that $\sum_{i=1}^r l_i = K$, K fixed, then $\prod_{i=1}^r l_i$ is a maximum when $l_i = K/r$, $\forall i = 1, \dots, r$. (This is easily seen if $r = 2$, and the general case follows from the $r = 2$ case.) Thus

$$\begin{aligned} 1 &\leq \frac{1}{s} ((r+s-1) \cdot (r+s-2) \cdot \dots \cdot (r+s-r))^{1/r} \\ &\leq \frac{1}{s} \left(\left(\frac{(r+s-1) + (r+s-2) + \dots + s}{r} \right)^r \right)^{1/r} \\ &= \frac{1}{s} \left(\frac{r^2 + rs - (r(r+1)/2)}{r} \right) = 1 + \left(\frac{r-1}{2s} \right). \end{aligned}$$

Therefore,

$$1 \leq \# \text{gens } m^s / (r/\sqrt[r]{r!}) N^{(1-1/r)} \leq 1 + [(r-1)/2s]. \tag{9}$$

It follows that if r is held fixed and $s \rightarrow \infty$

$$\# \text{gens } m^s \cong (r/\sqrt[r]{r!}) N^{(1-1/r)}.$$

Proof of B. Since $N = (r+s-1)!/r!(s-1)!$ and $\# \text{gens } m^s = (r+s-1)!/(r-1)!s!$, interchanging r and s has the effect of interchanging N and $\# \text{gens } m^s$. Thus by (9),

$$1 \leq N / ((s/\sqrt[s]{s!}) (\# \text{gens } m^s)^{(1-1/s)}) \leq 1 + [(s-1)/2r].$$

Therefore,

$$1 \leq \# \text{gens } m^s / ((s/\sqrt[s]{s!})^{-s/(s-1)} N^{s/(s-1)}) \leq \{1 + [(s-1)/2r]\}^{-s/(s-1)}.$$

This means that if s is held fixed and $r \rightarrow \infty$,

$$\# \text{gens } m^s \cong (s/\sqrt[s]{s!})^{-s/(s-1)} N^{s/(s-1)}. \blacksquare$$

An immediate consequence of Theorem 1 and Proposition 2 is a sharp upper bound on the number of generators of a graded ideal I of colength N in $R = k[[x_1, \dots, x_r]]$.

THEOREM 3. *Let I be a graded ideal of colength N . Then*

$$\# \text{gens } I \leq (r/\sqrt[r]{r!}) N^{(1-1/r)} (1 + \varepsilon(N, r)),$$

where $\varepsilon(N, r)$ is a function of N and r , and for fixed r , $\lim_{N \rightarrow \infty} \varepsilon(N, r) = 0$.

Proof. Let $s(N)$ be the unique positive integer such that $m^{s(N)-1} \not\subseteq I_N \supset m^{s(N)}$. From the definition of I_N , it is clear that $\# \text{gens } m^{s(N)-1} \leq \# \text{gens } I_N \leq \# \text{gens } m^{s(N)}$, and also that $\text{colength } m^{s(N)-1} < N \leq \text{colength } m^{s(N)}$. Thus if we set $N' = \text{colength } m^{s(N)}$, then $N' - N < \# \text{gens } m^{s(N)-1}$. Therefore, by Theorem 1 and Proposition 2,

$$\begin{aligned} \# \text{gens } I &\leq \# \text{gens } I_N \leq \# \text{gens } m^{s(N)} \leq (r/\sqrt[r]{r!}) N'^{(1-1/r)} \left(1 + \frac{r-1}{2s(N)}\right) \\ &= (r/\sqrt[r]{r!}) (N + (N' - N))^{(1-1/r)} \left(1 + \frac{r-1}{2s(N)}\right) \\ &\leq (r/\sqrt[r]{r!}) \left(N + (r/\sqrt[r]{r!}) N^{(1-1/r)}\right)^{(1-1/r)} \left(1 + \frac{r-1}{2s(N)-2}\right) \\ &\quad \times \left(1 + \frac{r-1}{2s(N)}\right) \end{aligned}$$

$$\begin{aligned}
&= (r/\sqrt{r!}) N^{(1-1/r)} \left(1 + (r/\sqrt{r!}) N^{-1/r} \left(1 + \frac{r-1}{2s(N)-2} \right) \right)^{(1-1/r)} \\
&\quad \times \left(1 + \frac{r-1}{2s(N)} \right).
\end{aligned}$$

By the binomial theorem, the last line equals

$$(r/\sqrt{r!}) N^{(1-1/r)} (1 + \varepsilon(N, r)),$$

where $\varepsilon(N, r)$ is a function of N and r , and for fixed r , $\lim_{N \rightarrow \infty} \varepsilon(N, r) = 0$. ■

REFERENCES

1. M. BORATYNSKI, D. EISENBUD, AND D. REES, On the number of generators of ideals in local Cohen–Macaulay rings, preprint.
2. J. BRIANÇON AND A. IARROBINO, Dimension of the punctual Hilbert scheme, *J. Algebra* **55**(1978), 536–544.
3. F. S. MACAULAY, Some properties of enumeration in the theory of modular systems, *Proc. London Math. Soc.* **26** (1927), 531–555.
4. J. D. SALLY, “Number of Generators of Ideals in Local Rings,” Dekker, New York, 1978.