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# Mitochondrial and ion channel gene alterations in autism $\stackrel{ m transmission}{\sim}$

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#### ABSTRACT

To evaluate the potential importance in autistic subjects of copy number variants (CNVs) that alter genes of relevance to bioenergetics, ionic metabolism, and synaptic function, we conducted a detailed microarray analysis of 69 autism probands and 35 parents, compared to 89 CEU HapMap controls. This revealed that the frequency CNVs of  $\geq$  100 kb and CNVs of  $\geq$  10 Kb were markedly increased in probands over parents and in probands and parents over controls. Evaluation of CNVs $\geq$  1 Mb by chromosomal FISH confirmed the molecular identity of a subset of the CNVs, some of which were associated with chromosomal rearrangements. In a number of the cases, CNVs were found to alter the copy number of genes that are important in mitochondrial oxidative phosphorylation (OXPHOS), ion and especially calcium transport, and synaptic structure. Hence, autism might result from alterations in multiple bioenergetic and metabolic genes required for mental function. This article is part of a Special Issue entitled: 17th European Bioenergetics Conference (EBEC 2012).

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#### 1. Introduction

The term autism is applied to a triad of symptoms including communication deficits, impaired social interactions, and repetitive stereotypic behaviours [1]. Autism manifestations also occur in diseases with defined genetic etiology, e.g. Timothy syndrome, Angelman syndrome, Tuberous sclerosis, Fragile X mental retardation, Rett syndrome, etc. [2]. However, in the majority of cases the underlying pathogenic mechanisms that lead to this symptom complex are not defined.

There is growing evidence that genome dosage changes can contribute to the etiology of autism. These can result from cytogenetically detectable chromosomal changes to sub-microscopic changes detected through microarray analyses and comparative genomic hybridization. Among the earliest surveys of autism patients for sub-microscopic

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genomic alterations (deletions or duplications) it was reported that copy number variants (CNVs) were found in 10% of sporadic autism patients, 3% of multiplex families, and 1% of controls [3,4].

While a specific nuclear DNA (nDNA) CNV may lead to autism in some individuals it might manifest as cognitive impairment, attention deficit hyperactivity disorders, or psychiatric manifestations in others [5]. This suggests that neuropsychiatric disorders might have a common pathophysiological basis and that variation in the clinical manifestations might reflect interactions between the gene dosage affects caused by the CNV and other genetic, epigenetic, and/or environmental modifying factors.

It is still unclear if autism and other neuropsychiatric diseases are caused by one of a few relatively rare large (greater than 1 megabase) genome copy number dosage changes or whether smaller rare copy number variations in specific combinations also play roles in autism pathogenesis. Whatever the nature of the CNVs, it is clear that they must alter the copy of one or more genes in which gene dosage changes are sufficient to cause disease. Deletion, duplication, or mutations of such genes could then perturb cellular function sufficiently to cause neuronal symptoms. Mutation of an active copy of an imprinted gene could have such an effect or deletion of the normal copy of a gene whose homologue was mutated. Alternatively, the expressivity of one CNV might be influenced by the interaction with another CNV [6].

Given that the CNVs that have been found associated with autism are dispersed throughout the nDNA, then alteration of a copy of

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anyone of a number of genes must be able to increase the risk of autism. This implies that the pathophysiology of autism might be the result of perturbation of a genetic network all of whose gene functions contribute to optimal neurological function. One such network could be the roughly one to two thousand nDNA genes plus the thousands of copies of the mitochondrial DNA (mtDNA) genes that are required to assemble the mitochondrion and the mitochondrial energy generating pathway, OXPHOS. In addition to generating most of the cellular energy, the mitochondrion regulates cellular oxidation-reduction states, reactive oxygen species production, cytosolic and mitochondrial calcium levels, and apoptosis via activation of the mitochondrial permeability transition pore (mtPTP). Since the brain has the highest mitochondrial energy demand, then partial defects in mitochondrial energy production or calcium homeostasis could be expected to preferentially affect synaptic function and result in neuropsychiatric disease [2,7,8].

Alterations in mitochondrial structure and function have been repeatedly observed in autistic patients [1,9–15], and alterations in the mtDNA have been reported in some cases of autism spectrum disorder [16–18]. The activity of the mitochondrial inner membrane Calciumregulated aspartate/glutamate carrier (AGC) gene, *SLC25A12*, and/or its expression have also been reported to be increased in autistic patients, at least in part due to elevated levels of calcium in the brains of autism patients [19–21].

Therefore, we reasoned that CNV alteration in anyone of a wide variety of bioenergetic, ion transport, of synaptic structural genes might predispose to autism. To test this hypothesis, we surveyed the CNVs in a set or carefully phenotyped autism patients and in a number of cases their parents and then asked if the CNVs encompassed genes that would be important in mitochondrial function, ion metabolism, and synaptic structure.

# 2. Methods

These studies were carried out at University of California Irvine with Institutional Review Board approvals (1996-616 and 2002-2608) and at the University of California San Diego with Institutional Review Board approvals (090243 and 0807260) for human subject research. Parents/guardians gave informed consent to participate in the study for probands and for themselves and other children. When appropriate, informed assent was obtained from probands.

Criteria used to establish autism diagnosis included ADOS (autism diagnostic observation schedule), ADI (autism diagnostic inventory), and in some cases CARS (Childhood Autism Rating Scale). Subjects underwent testing of cognitive abilities using Mullen or Stanford Binet tests depending on the proband age and abilities. Family history was obtained and geneticists with expertise in dysmorphology and neurologists examined probands. Clinical chemistry and metabolic analyses, including organic and amino acid profiles were obtained. In two autistic probands where blood chemistries indicated possible mitochondrial functional impairment, muscle biopsy was obtained for biochemical studies.

Blood was drawn from probands and parents and in some cases from siblings and was used to establish lymphoblastoid cell lines. DNA from the cells was used in microarray analyses.

The total number of autistic probands studied was 69, including 7 sets of monozygotic twins and 4 affected sib pairs. We also studied 35 parents. For control data we utilized data information on DNA of lymphoblastoid cell lines of Hap Map CEU individuals (control population of northern and western European ancestry).

Microarray analyses were performed using the Affymetrix 6.0 SNP arrays. The SNP (single nucleotide polymorphism) genotypes and CNVs were determined using the Genotyping Console version 3.0.2 (Affymetrix Inc., 2008). Cell intensity files (CEL files) were created which contain the images of the scanned probe set, including copy number and allele-specific SNP probes. The software determines the

number of copies present by comparing the signal intensity of the probes and comparing them to the Hap Map reference data. The copy number variants can then be visually appreciated using the Genotyping Console. Two Quality Control (QC) measures are used in the Genotyping Console to insure the data is sufficient for analysis. The first QC step is the Contrast QC measurement. The second QC measure is the Median of the Absolute values of all Pairwise Differences (MAPD). The MAPD measure analyzes the variability of log2 ratios of adjacent probes. All samples used in the analyses presented here met QC standards on both measures.

Data were analyzed using the Affymetrix genotyping console and two sets of search parameters were used. In the first set we searched for CNVs that included 20 markers, and extended over segments that were greater than 100 kb in length, with no more than 60% overlap with known polymorphic variants designated in the Database for Genomic variants (abbreviated as 20m100k600). In a second search we documented genomic changes detected by 20 markers within segments larger than 10 kb and with no overlap with known copy number variants (abbreviated as 20m10k00). Microarray data used in these analyses passed Affymetrix quality control measures, i.e. MAPD <0.35 and contrast QC > 1.7.

Cell lines from 14 of the 15 autistic probands who were found to harbor CNVs larger than 1 megabase were examined by metaphase chromosomes fluorescence *in situ* hybridization (FISH).

Two of the patients, one with a few (2) CNVs and another with a large number (13) of CNVs, were then analyzed for systemic mitochondrial OXPHOS dysfunction. A muscle biopsy was collected and the electron transport chain enzymes assayed [22,23].

#### 3. Results

## 3.1. Frequency of copy number variants detected in microarray analyses

If mutations in anyone of a number of genes involved in an integrated pathway could cause autism, we hypothesized that individuals with more CNVs would be more likely to manifest autism. To determine if autism probands harbor more CNVs than their parents or population controls, we used Affymetrix 6.0 microarray analysis to identify CNVs in lymphoblastoid cell lines derived from the autism probands and their parents and we compared these to those reported in the lymphoblastoid cell lines derived from 89 CEU Hap Map subjects that had been analyzed using the same microarray platform. The number and frequency of variants detected using criteria 20m100k60o and criteria 20m10k0o are presented in Supplemental Tables 1A and B, respectively, with gains and losses listed separately. Because estimations of the overall frequency of CNVs may be influenced by cases with large numbers of variants, we graphed the frequency of patients versus the numbers of CNVs per individual in categories: 0 CNVs, 1 CNV, 2-5 CNVs, 6-10 CNVs, etc.

The numbers of CNVs per subject using search parameters 20m100k600 for probands, parents, and CEU controls show highly significant differences (p<0.00005) in CNV frequency between autistic probands and CEU controls and between parents of autistic subjects and CEU controls (Fig. 1, Supplemental Table 1a). Furthermore, CEU control samples were more likely to have no large CNVs detected and only 2% of the CEU control samples had more than one large CNV. This compares to 36% of the proband samples and 25% of the parental samples. The mean number of CNVs per subject in the category 20m100k600 is 1.6 for probands, 0.94 for parents, and 0.16 for CEU controls.

Highly significant differences in frequency of CNVs in autistic probands and their parents were also observed in the category of variants detected using the 20m10k0o criteria (p=0.0001). The mean number of CNVs per subject in this category is 9.0 for proband, 2.5 for parents of autistic probands, and 0.14 for CEU controls (Fig. 2, Supplemental Table 2).



**Fig. 1.** The relative frequency of CNVs in probands, parents, and CEU controls by standard criteria. Criteria for inclusion in this analysis are CNVs that encompass  $\geq$  20 SNP markers, are  $\geq$  100 kilobases in length, and cannot overlap more than 60% with a previously identified variant (20m100k60o). The frequency of CNVs is much greater in the patients than in parents, and CNV frequency is greater in patients and parents than in controls (Kruskal–Wallis non-parametric test, p<0.00005).

We also examined the frequency of inherited CNVs in trios where samples were available from both parents and their autistic child. Using the search criteria 20m10k00 we determined that 16 of the 145 CNVs (11%) observed in probands were inherited from a parent.

## 3.2. Genes involved in megabase sized multigenic CNVs

If CNVs associated with autism generate the phenotype because they alter the genes of an integrated bioenergetic, ion metabolism, and synaptic structural gene network, then we hypothesized that autism associated CNVs should encompasses one of more genes important for these functions. Accordingly, we performed a detailed analysis of CNVs larger than 1 megabase (mb) in 15 autistic probands. In 14 of these cases we also analyzed the metaphases using FISH in an attempt to verify the molecular defect.

In one sib pair affected with autism and developmental delay we identified an approximately 4-megabase deletion on chromosome 12p and a similar sized duplication on chromosome 2p. Previously, these siblings had been reported as having normal karyotypes on the basis of clinical cytogenetic studies. By FISH analysis we found that the siblings carried a 2p:12p translocation. This translocation led to replacement of the terminal region of chromosome 12 (12p) with material from 2p (Fig. 3). This translocation resulted in the deletion from one homologue of chromosome 12 of 27 genes including



**Fig. 2.** The relative frequency of CNVs in probands, parents, and CEU controls by the most exacting criteria. Criteria for inclusion in this analysis are CNVs that encompass  $\geq 20$  SNP markers, are  $\geq 10$  kilobases in length, but do not overlap at all with any previously identified variant (20m100k00). The frequency of CNVs is much greater in the patients than in parents, and CNV frequency is greater in patients and parents than in controls (Kruskal–Wallis non-parametric test, p<0.00005).



**Fig. 3.** FISH Analysis of 2p:12p translocation resulting in the deletion of CACNA1C and CACNA2D4. Green = chromosome 2p probe which marks the translocated region and hence results in three copies, Red = chromosome 12q probe which marks the bottom of chromosome 12, this region is not rearranged. Translocation of the tip of the short arm of chromosome 2 (2p) onto the tip of the short arm of chromosome 12 (12p), replaces a section of the short arm of chromosome 2p. Loss of one of the two chromosomal copies of 12p removes 27 genes including CACNA1C and CACNA2D4. Generation of an extra copy of the 2p duplicates 12 genes including SNTG2.

the calcium ion channel genes *CACNA1C* and *CACNA2D4*. The duplication of 2p25 material led to increased copy number of 12 genes, including *SNTG2* (Table 1, AU55-202 & AU55-204). These siblings showed marked growth retardation with height at the 10th percentile and weight at the 5th percentile.

The chromosome regions involved in the 1 megabase CNV and the numbers of genes encompassed are listed in Table 1. In Table 2 we

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CNV larger than 1 megabase in autistic probands detected by Affymetrix 6.0 SNP arrays
and FISH.

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Case ID	Chrom. region.	Prox. bp	Distal bp	Change	Genes
AU28-202	15q24.1-q24.2	70,750 kb	73,860 kb	Deletion	37
AU52-201	4q32.1-q34.2	157,975 kb	176,835 kb	Deletion	32
AU09-202	15q11-q13.3	18,200 kb	30,700 kb	Duplication	29
AU64-201	15q11-q13.3	18,200 kb	32,000 kb	Duplication	29
29-AH	15q13.2-q13.3	28,700 kb	30,320 kb	Deletion	7
AU210202	15q11.2-q13.1	21,192 k	26,500 k	Duplication	12
AU24-201	15pter-q11	1 k	8000 kb	Extra chrom.	3
AU78-202	2q37.2-q37.3	235,663 kb	243697 kb	Deletion	31
AU50-201	13q13.3-q14.11	33,949 kb	43,438 kb	Deletion	39
69 AF	Xp11.23-p11.22	47,961 kb	52,723 kb	Duplication	66
AU55-202	2pter-p25.2	2 kb	4981 kb	Duplication	12
AU55-204	2pter-p25.2	2 kb	4981 kb	Duplication	12
AU55-202	12pter-p13.2	6 kb	4.006 kb	Deletion	25
AU55-204	12pter-p13.2	6 kb	4.006 kb	Deletion	25
AU69-201	7p22.2-p21.3	10.338 kb	11.732 kb	Deletion	3
AU69-202	7p22.2-p21.3	10.338 kb	11.732 kb	Deletion	3
AU69-202	2pter-2cent.	1 kb	80 MB	Duplication	Many
AU69-202	7pter-7p22.3	160 kb	4400 kb	Deletion	9
AU81-201	1q21.1	144,570 kb	147,300 kb	Duplication	11

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## Table 2

M	itoc	hond	Irial	functions.
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A. Genes affected by CNVs≥1 Mb studied by microarrays and FISH								
Proband ID	Chromosome region	Position and extent in Kb	Number of genes	Genes of interest				
28-202	15q24.1-15q24.2	73,420–75,932 del.	45	PPCDC <sup>a</sup> , COX5A <sup>a</sup> , SIN3A, SEMA7A, CYP11A1 <sup>a</sup> , CYP1A1, CYP1A2				
64-201	15q11-15q13.3	2000–32,940 dup.	>80	NDN, SNURPN, SNORD-HBI, UBE3A, ATP10A, GABRA5 <sup>b</sup> , GABRB3 <sup>b</sup> , GABRG3 <sup>b</sup> HERC2, OCA2, APBA2, CHRNA7 <sup>b</sup> , SCG5				
09-201	15q11-15q13.3	2000–32,940 dup.	>80	NDN, SNURPN, SNORD-HBI, UBE3A, ATP10A, GABRA5 <sup>b</sup> , GABRB3 <sup>b</sup> , GABRG3 <sup>b</sup> , HERC2, OCA2, APBA2, SCG5				
210-202	15q11.2–15q13.1	21,200-28,700 dup.	>80	NDN, SNURPN, SNORD-HBI, UBE3A, ATP10A, GABRA5 <sup>b</sup> , GABRB3 <sup>b</sup> , GABRG3 <sup>b</sup> , HERC2				
AH	15q13.3	30,670-32,460 del.	14	ARHGAP11B, TRPM1, KFL13, CHRNA7 <sup>b</sup>				
069AF	Xp11.23-p11.21	47,961-52,723 dup	14	CLCN5, AKAP4, DGKK <sup>c</sup> , SHROOM4				
50-201	13q13.2-13q14.11	35,400-43,903 del	44	NBEA, SLC25A15 <sup>a</sup> , MTRF1 <sup>c</sup> , DGKH, ENOX1, AKAP11				
52-201	4q32.1-4q32.2	157,977-176,836 del	61	ETFDH <sup>a</sup> , CBR4 <sup>a</sup> CLCN3, GLRB <sup>b</sup> GPM6 <sup>b</sup>				
78-202	2q37.2-q37.3	236,307–243,819 del	78	NDUFA10 <sup>a</sup> , D2HGDH <sup>a</sup> , CENTG2, HDACA4, UBE2F, AGXT				
B. CNVs detected first	by microarrays and then confirm	ned by FISH						
Proband ID	Chromosome region	Extent in KB	Number of genes	Genes of interest				
55-202, 55-204	2pter-p25.3 dup	0–4498 dup.	12	SNTG2, PXDN, TPO <sup>c</sup>				
55-02, 55-204	12pter- p25.2	0–3930 del.	27	CACNA1C <sup>b</sup> , CACNA2D4 <sup>b</sup> SLC6A12, SLC6A13, RAD52, ERC1, FKBP4				
CNV in both members	s of monozygotic twin pair micro	oarray only						
69-201, 69-202	7p21.3	10,340 K–11,720 K	2	NDUFA4 <sup>ª</sup> , PHF4				

Mitochondrial Functions

15q24.1-15q24.2.

PPCDC: Phosphopantothenoylcysteine decarboxylase involved in the biosynthesis Coenzyme A.

COX5: Cytochrome c oxidase subunit Va, a subunit of OXPHOS complex IV.

CYP11A: Mitochondrial inner membrane enzyme that catalyzes the conversion of cholesterol to pregnenolone.

13q13.2-14.11

SLC25A15: Member of the mitochondrial carrier family, transports ornithine across the inner mitochondrial membrane from the cytosol to the mitochondrial matrix.

MTRF1: Mitochondrial protein with similarity to the peptide chain release factors (RFs).

4q32.1-q32.2

ETFDH: Electron-transferring-flavoprotein dehydrogenase reduces ubiquinone in the mitochondrial membrane using electrons derived from fatty acid oxidation within the matrix borne by the mitochondrial electron-transfer flavoprotein.

CBR4: Carbonyl reductase 4 mitochondrial location NAD(P)H dehydrogenase quinone activity.

2q37.2-q37.3

NDUFA10: A component of the NADH dehydrogenase (ubiquinone) oxidoreductase (OXPHOS complex I).

D2HGDH: D-2hydroxyglutarate dehydrogenase, a mitochondrial enzyme belonging to the FAD-binding oxidoreductase/transferase type 4 family.

7p21.3

NDUFA4: A component of the NADH dehydrogenase (ubiquinone) oxidoreductase (OXPHOS complex I).

Ion channel functions

ATP10A: A member of the family of P-type cation transport ATPases.

CHRNA7: A subunit of the nicotinic acetylcholine receptors (nAChRs), members of a superfamily of ligand-gated ion channels that mediate fast signal transmission at synapses. GABRA5, GABRB3, GABRG3: Distinct subunits of a multisubunit chloride channels that serves as the receptors for gamma-aminobutyric acid.

TRPM1: A calcium permeable cation channel4q32.1–q32.2.

CLCN3: A member of the voltage-gated chloride intracellular channel family.

GPM6: A neuronal membrane glycoprotein with calcium channel activity.

12pter-p25.2

CACNA1C: An alpha-1 subunit of a voltage-dependent calcium channel.

CACNA2D4: a member of the alpha-2/delta subunit family, a protein in the voltage-dependent calcium channel complex.

<sup>a</sup> Mitochondrial location or function.

<sup>b</sup> Ion channel related.

<sup>c</sup> Metabolic function.

summarize genes of particular interest in the regions that showed CNVs and note genes involved in mitochondrial functions and ion metabolism.

Multi-gene duplications of 15q11.1–15q11.3 were found in three cases and included the *SNRPN* and *UBE3A* genes (see Table 1). In two cases the duplications also involved the GABA receptor genes *GABRB3*, *GABRA5* and *GABRG3*. Our studies on parents in these cases revealed that the abnormalities arose *de novo* in the affected children. Studies of polymorphic markers revealed that the largest duplications

(cases AU09-202 and AU64-201) arose on maternal chromosomes, the duplication in case AU210-202 arose on a paternal chromosome. Analysis of autistic manifestations and degree of cognitive impairment in the three cases with 15q duplications revealed that the phenotype was much milder in AU210-202, where the interstitial duplication of 15q11.2–q13.1 arose on a paternal chromosome.

Our microarray studies confirmed the presence of a 15q13.3 deletion in a case of autism that had prior clinical cytogenetic studies. We also studied the parents of this proband and determined that the

<sup>15</sup>q11-q13.3

# Table 3

Relative skeletal muscle mitochondrial electron transport chain activities in autistic probands with low and high CNV level.

Case	CNV #	CS	CI	CI/CS	CII + CIII	CII + CIII/CS	CIV	CIV/CS
1	2	0.93	89.4	96.1	155	167	0.68	0.73
2	13	1.75	62.8	36.0	571	327	1.06	0.61
X(SD	)	1.31(0.46)		81(29.4)		263(196)		0.69(0.32)
Range	2	0.67-2.3		50-134		88-659		0.27-1.2

 $\label{eq:CS} CS = citrate synthase (\mu mol/min/mg protein), CI = complex I (NADH-CoQ_1 oxidoreductase, nmol/min/mg protein), CII+CIII = complex II + complex III (succinate-cytochrome c oxidoreductase, nmol/min/mg protein), CIV = complex IV (cytochrome c oxidase, rate constant/mg protein). X(SD) = mean and standard deviation, Range = extent of normal values.$ 

15q13.3 deletion occurred *de novo* in the patient. In this patient biochemical evaluation revealed slight increases in long chain organic acids and increased acylcarnitine during one hospitalization for evaluation of a seizure disorder. On carnitine supplementation organic acid levels were normal and free carnitine and acylcarnitine blood levels were in the normal range.

We carried out microarray studies to more accurately define chromosome deletion breakpoints and impacted genes in four patients with autism where cytogenetic and clinical data where previously reported. Deletions in these patients involved 15g24.1 (AU28-202), 4g32.1-g34.2 (AU52-201), 2g37.2-g37.3 (AU78-202) and 13g13.3q14.11 (AU50-201). In autism case AU28-202, a 15q deletion, was found to remove the promyelocytic leukemic (PML) locus and surrounding genes [24]. This region also encompassed other important mitochondria genes (see Table 2). Deletion of 15q24.1-15q24.2 removed the mitochondrial genes COX5A and PPCDC. COX5A is a subunit of complex IV and PPCDC encodes phosphopantothenoyl-cysteine decarboxylase which is involved in the generation of the acyl carrier molecule, coenzyme A. Coenzyme A, in turn, is involved in the synthesis of Coenzyme Q, and both are central to mitochondrial function. Consistent with these associations, physiological studies of this patient revealed elevated alanine levels which are commonly seen in patients with mitochondrial dysfunction.

Female monozygotic twins, AU69-201 and AU69-202, who met ADOS criteria for autism and with developmental delay, were evaluated at age 10 years. These twins were both found to harbor a duplication on chromosome 7p that was 1.4 mb in size. We further mapped the duplication to 7p21.3–p22.2, extending from 10,338 K to 11,732 K. This region encompassed the *NDUFA4* gene that encodes a subunit of mitochondrial OXPHOS complex I as well as *PHF4* involved in epigenetic chromatin regulation and *THSD7A* that encodes a subunit of thrombospondin.

Two cases were examined for mitochondrial OXPHOS defects in skeletal muscle mitochondria, case 1 with two CNVs (a low number) and case 2 with 13 CNVs (a high number) (Table 3). Case 1 shows normal electron transport chain enzyme levels. By contrast, case 2 shows a clinically significant complex I defect, the patient's complex I activity being 44% of the mean normal value and 28% below the lowest normal value. Therefore, this data raises the possibility that the severity of an autism patient's mitochondrial defect may be related to the number of genetic alterations that impact nuclear genes related to energy metabolism.

#### 3.3. Discussion and significance

Our study has demonstrated that there is a direct correlation between the autism phenotype and the number of CNVs, with the number of CNVs in the parents of autistic children being intermediate between the probands and the controls. Hence, an increase in the number of CNVs increases the probability of developing autism. Analysis of the genes encompassed by well defined CNVs confirmed that CNVs frequently altered genes involved in mitochondrial, ion channel, and synaptic function. Since both mitochondrial function and ion transport are important for synaptic function, alterations in any of these genes could affect synaptic function and create a neuropsychiatric phenotype [2].

While some rearrangements were seen more than once, others were seen only once in our sample. The most common CNV occurred at 15q11–q13.3. This region encompasses the *UBE3A* gene, deficiency of which we have shown in a mouse mutant specifically alters the hippocampal mitochondrial morphology and brain complex II/III specific activity [25]. Other CNVs altered the copy number of genes known to be important in mitochondrial function including *NDUFA4*, *NDUFA10*, *PPCDC*, *COX5*, *CYP11A*, *SLC25A15*, *MTRF*, *ETFDH*, and *CBR4* (Table 2).

There is now evidence that recurrent 15q24 microdeletion events occur in individuals in various populations and that this microdeletion is associated with intellectual disability, speech problems, and frequently with autism. A study of 15 cases with 15q24.1–15q24.2 deletions also led to the conclusion that several genes in this region likely played roles in determining intellectual disability [26]. Since this region encompasses the *COX5A* and *PPCDC* genes, this recurrent microdeletion is consistent with our hypothesis that a subset of autism CNVs do seem to coincide with important nuclear encoded mitochondrial gene loci [27].

Other CNVs were found to alter ion transport genes. A 2p:12p chromosomal translocation was found in two autistic siblings. It leads to deletion of 12p that removes one copy each of the *CACNA1C* and *CACNA2D4* calcium channel genes. Mutations in the *CACNA1C* gene, generally at codon G406R, cause Timothy syndrome [28]. The CACNA1C protein is a T-type voltage-gated Ca<sub>v</sub>1.2 channel and its mutation in Timothy syndrome results in Long QT syndrome and autism. Ca<sub>v</sub>1.2 shows its highest expression in the hippocampus, amygdala, and putamen [29]. The *CACNA2D4* gene has a more limited range of tissue expression than *CACNA1C*, being expressed primarily in eye and retina, in the pituitary, and adrenal gland.

An independent study reported findings on two children who manifested developmental delay and impaired social interactions. They were found to have an interstitial deletion on 12p13.33 that impacted eight genes including *CACNA1C*, *CACNA2D4* and *ERC1* [30]. A survey of the *CACNA1H* gene, a close paralog of the Timothy syndrome Ca<sup>++</sup> channel, in 461 autism DNAs revealed six new mutations. Functional studies of two of these mutant proteins, R212C and R902W, revealed extended Ca<sup>++</sup> influx into the cytosol [31]. There is growing evidence for the importance of CACNA1C in brain function and evidence that specific allelic variants and genotypes of *CACNA1C* occur with higher frequency in patients with mental illness including bipolar disease, recurrent major depression and schizophrenia [32,33]. Also *CACNA1C* genotype can influence verbal fluency in healthy individuals [34].

Calcium levels have been found to be elevated in the brains of autism patients and this appears to activate the mitochondrial AGC which is central to the cytosol–mitochondrial NADH shuttle system [19]. Cytosolic calcium levels are regulated by mitochondrial calcium uptake, driven by the mitochondrial inner membrane potential ( $\Delta P$ ). This involves the mitochondrial Ca<sup>++</sup> uniporter [35,36] which interfaces with the type 3 inositol triphosphate receptor (IP3R) receptors in the endoplasmic reticulum (ER) located in the mitochondrial associated membranes (MAMs). The promyelocytic leukemia (*PML*) protein whose gene at 15q is deleted in AU28-202 is associated with this complex [37]. Excessive mitochondrial uptake of calcium can activate the mitochondrial permeability transition pore (mtPTP) causing cell death [38]. Other CNVs also encompassed transport genes including *GPM6*, *TRPM1*, (*GABRA5*, *GABRB3*, *GABRG3*), *CLCN3*, *CHRNA7* and *ATP10A* (Table 2).

One patient report links mitochondrial dysfunction with ion channel disruption. This patient carried a *de novo* translocation between chromosomes 1 and 15, t (1; 15) (p36.11: q24.2) which interrupted the *CLIC4* intracellular chloride channel 4 gene on 1p36.11 and the *PPCDC* gene on 15q24 which was split between exons 1 and 3. In addition, the translocation decreased the expression of the *SCAMP5* gene close to the 15 translocation breakpoint [39].

Other CNVs appear to impact synaptic structure and function. The duplication of 2p25 material added an additional copy of the *SNTG2* gene (Fig. 3). Previously, we reported that patient 50-201 with a 13q deletion was hemizygous for a number of genes including the very large neurobeachin (*NBEA*) gene [40]. Disruption of the *NBEA* gene has been reported in another autism patient [41] and knockout of *NBEA* in mice led to a reduced number of dendritic spine synapses in cultured neuronal cells [42].

# 4. Conclusion

Our study confirmed the correlation between increased numbers of CNVs and risk of developing autism and revealed that some patients with CNVs can also manifest mitochondrial dysfunction. By carefully mapping the CNVs we have observed that CNVs in autistic patients commonly encompass genes important in mitochondrial function, ion transport, and synaptic structure and function. Since the nucleus contains between one and two thousand mitochondrial genes plus numerous ion transport genes, it is conceivable that the removal of any one of a large number of different genes necessary to maintain this integrated bioenergetic, signaling, and synaptic structural gene network could adversely affect synaptic function and thus result in autism. If this speculation is correct, it might explain why it has been so difficult to identify individual structural genes that cause neuropsychiatric disorders.

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