

A Molecular Roadmap of Reprogramming Somatic Cells into iPSCs

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SUMMARY

Factor-induced reprogramming of somatic cells into induced pluripotent stem cells (iPSCs) is inefficient, complicating mechanistic studies. Here, we examined defined intermediate cell populations poised to becoming iPSCs by genome-wide analyses. We show that induced pluripotency elicits two transcriptional waves, which are driven by c-Myc/Klf4 (first wave) and Oct4/Sox2/Klf4 (second wave). Cells that become refractory to reprogramming activate the first but fail to initiate the second transcriptional wave and can be rescued by elevated expression of all four factors. The establishment of bivalent domains occurs gradually after the first wave, whereas changes in DNA methylation take place after the second wave when cells acquire stable pluripotency. This integrative analysis allowed us to identify genes that act as roadblocks during reprogramming and surface markers that further enrich for cells prone to forming iPSCs. Collectively, our data offer new mechanistic insights into the nature and sequence of molecular events inherent to cellular reprogramming.

INTRODUCTION

Induced pluripotent stem cells (iPSCs) have been generated from a number of mouse and human cell types upon enforced

expression of transcription factors such as Oct4, Klf4, Sox2, and c-Myc (OKSM) (Takahashi et al., 2007; Takahashi and Yamanaka, 2006). iPSCs provide a valuable source of patient-specific cells for the study and potential treatment of human diseases (Wu and Hochedlinger, 2011). In addition, iPSC technology offers a unique tool to dissect the principles of cell fate determination during normal development and its dysregulation in disease (Stadtfeld and Hochedlinger, 2010).

In general, less than 3% of somatic cells expressing OKSM give rise to iPSC colonies, complicating efforts to dissect the mechanisms of reprogramming. Owing to this limitation, most previous studies focused on the immediate response of somatic cells to factor expression. For example, fibroblasts were shown to go through a process that was reminiscent of a mesenchymal-to-epithelial transition (MET) within a few days of OKSM expression (Li et al., 2010; Samavarchi-Tehrani et al., 2010). At the epigenetic level, widespread remodeling of certain histone modifications, but not of DNA methylation patterns, was seen within the first few cell divisions of iPSC induction (Koche et al., 2011). However, intermediate and late stages of reprogramming have remained inaccessible for more detailed molecular analyses.

We and others have documented that fibroblasts undergoing reprogramming pass through a number of defined intermediates (Brambrink et al., 2008; Stadtfeld et al., 2008). Briefly, cells expressing OKSM from doxycycline (dox)-inducible lentiviral vectors initially downregulate the fibroblast-associated marker Thy1 (day 1–2), then activate the SSEA1 antigen (day 3–5) and eventually upregulate an Oct4-GFP reporter (day 8–10) before forming stable iPSC colonies at approximately 1.5 weeks.

Importantly, isolation of these rare cell populations with the aforementioned markers allowed us to significantly enrich for cells that are poised to becoming iPSCs. Here, we have utilized this approach, in combination with a transgenic system that enables homogeneous dox-inducible OKSM expression in somatic cells (Stadtfeld et al., 2010), to purify intermediate stages of iPSC formation with the goal to elucidate the nature and sequence of molecular changes specific to cellular reprogramming.

RESULTS

Experimental Approach to Studying Rare Reprogramming Intermediates

We first determined whether the reprogramming of fibroblasts with a recently reported dox-inducible transgenic system (“reprogrammable system”) (Stadtfeld et al., 2010) generates the same subpopulations of cells that we have previously described by using direct lentiviral infection (Stadtfeld et al., 2008). As shown in Figure 1A, murine embryonic fibroblasts (MEFs) carrying the Col1a1-tetO-OKSM transgene, the ROSA26-M2-rtTA allele, and an Oct4-GFP knockin reporter gave rise to Thy1[−] cells, SSEA1⁺ cells, and Oct4-GFP⁺ cells with the expected kinetics. To verify that these intermediate populations were indeed enriched for cells that would form iPSCs, we sorted cells on feeders based on Thy1, SSEA1, and GFP expression and treated them with dox for an equal number of days (see Extended Experimental Procedures). Consistent with our previous report, intermediate cells with the potential to give rise to iPSCs were initially present within both the Thy1[−] and SSEA1⁺ populations, then progressed to SSEA1⁺ cells, and ultimately transited to the SSEA1⁺, Oct4-GFP⁺ population (Figures 1B and 1C). Importantly, sorting of Thy1⁺ cells after day 3 and of Thy1[−] cells after day 6 consistently failed to yield iPSC colonies, indicating that these cell populations had become refractory to reprogramming.

To examine the phenotypic progression of reprogramming intermediates, we sorted Thy1⁺, SSEA1⁺, and Oct4-GFP⁺ cells after 3, 6, 9, and 12 days of dox induction, followed by culture in dox for another 3 days before reassessing their surface phenotype (Figure 1C). This analysis, combined with the abovementioned reprogramming results (Figure 1B), documents that (1) cells undergoing successful reprogramming with the Col1a1-tetO-OKSM transgenic system transit in a linear fashion from a Thy1⁺ to a Thy1[−] to a SSEA1⁺ state in the first 6 days and eventually to a SSEA1⁺, Oct4-GFP⁺ state by days 9–12 (see Figure 1D for graphic summary; red arrows connect intermediates progressing toward iPSCs); (2) SSEA1⁺ cells are phenotypically still plastic until days 9–12 when they undergo commitment to a stable pluripotent cell fate; and (3) Thy1⁺ cells lose their ability to progress toward a Thy1[−] and SSEA1⁺ state as early as day 3. Note that progressing intermediates account for only 5%–10% of cells in regular reprogramming cultures compared with 90%–95% of Thy1⁺/Thy1[−] cells.

We generated gene expression profiles for these intermediate cell populations as well as for noninduced Thy1⁺ MEFs (day 0) and dox-independent iPSCs (see Table S1, available online, for searchable database). Examination of candidate genes

confirmed that Thy1 was downregulated and SSEA1 (synthesized by the gene product of *Fut9* [Kudo et al., 2004]) was upregulated in the Thy1[−] and SSEA1⁺ populations, respectively (Figure 1E; note that red line depicts progressive intermediates as defined in Figure 1D by red solid arrows, whereas black line shows refractory Thy1⁺ cells). In addition, we noticed that *Snai1* became downregulated, whereas *E-Cadherin* was upregulated at day 3, consistent with the occurrence of a MET. *Alkaline phosphatase (Alpl)* and *Fbxo15*, early markers of pluripotent cells, gradually increased their expression, whereas endogenous *Oct4* and *Sox2* transcripts were detectable only late during iPSC generation. Lastly, *Cyclin B1* became upregulated and the CDK inhibitor *Cdkn2b* (encoding for p15) was downregulated early in reprogramming. It is worth mentioning that Thy1⁺ cells mirrored the gene expression changes of progressing cells until day 3 but then failed to sustain this trend at later time points, which correlated with their inability to produce iPSCs after day 3 (Figure 1B). We conclude that our sorting strategy allows us to analyze gene expression patterns of progressive intermediate cell populations transitioning toward iPSCs (Figure 1C) and to distinguish these from patterns in the bulk population of cells that are refractory to reprogramming.

Major Gene Expression Changes Occur in Two Discernible Phases during iPSC Formation

Principle component analysis (PCA) of the cell populations revealed a molecular connectivity reflecting their progression from the initial Thy1⁺ cells toward Thy1[−] cells and ultimately SSEA1⁺ cells, Oct4-GFP⁺ cells and iPSCs as depicted by the dashed red line (Figure 2A). PCA analysis further showed that all intermediates at day 3 clustered together, indicating that cells responded homogeneously to OKSM activation within the first few days. After day 3, however, SSEA1⁺ cells progressed toward Oct4-GFP⁺ cells, which were most closely related to established iPSCs, demonstrating that the SSEA1⁺ population gradually evolved toward a bona fide pluripotent state with time. Unsupervised clustering confirmed the similarities of SSEA1⁺ cells at days 3–9 and of Oct4-GFP⁺ cells at day 12 and iPSCs (Figure 2B). An examination of the number of differentially expressed genes between progressing (SSEA1⁺) and refractory (Thy1⁺) cell populations at each time point showed a gradual increase, which culminated at ~1,500 genes by day 12 (Figure 2C). These observations document that the isolation of subpopulations with experimentally proven distinct reprogramming potentials are distinguishable by global gene expression patterns.

Remarkably, a comparison of relative gene expression changes among pairs of progressing cell populations at successive time points revealed two distinct waves of major gene activity (Figure 2D, left). The first wave occurred between days 0 and 3, whereas a second wave was detectable toward the end of reprogramming, after day 9. Refractory Thy1⁺ cells initiated the first wave but failed to undergo the second wave (Figure 2D, right). Gene ontology (GO) analysis showed that expression changes within the first phase involved activation of processes related to cell proliferation, metabolism, cytoskeleton organization, and downregulation of genes associated with development. Genes upregulated during the second phase

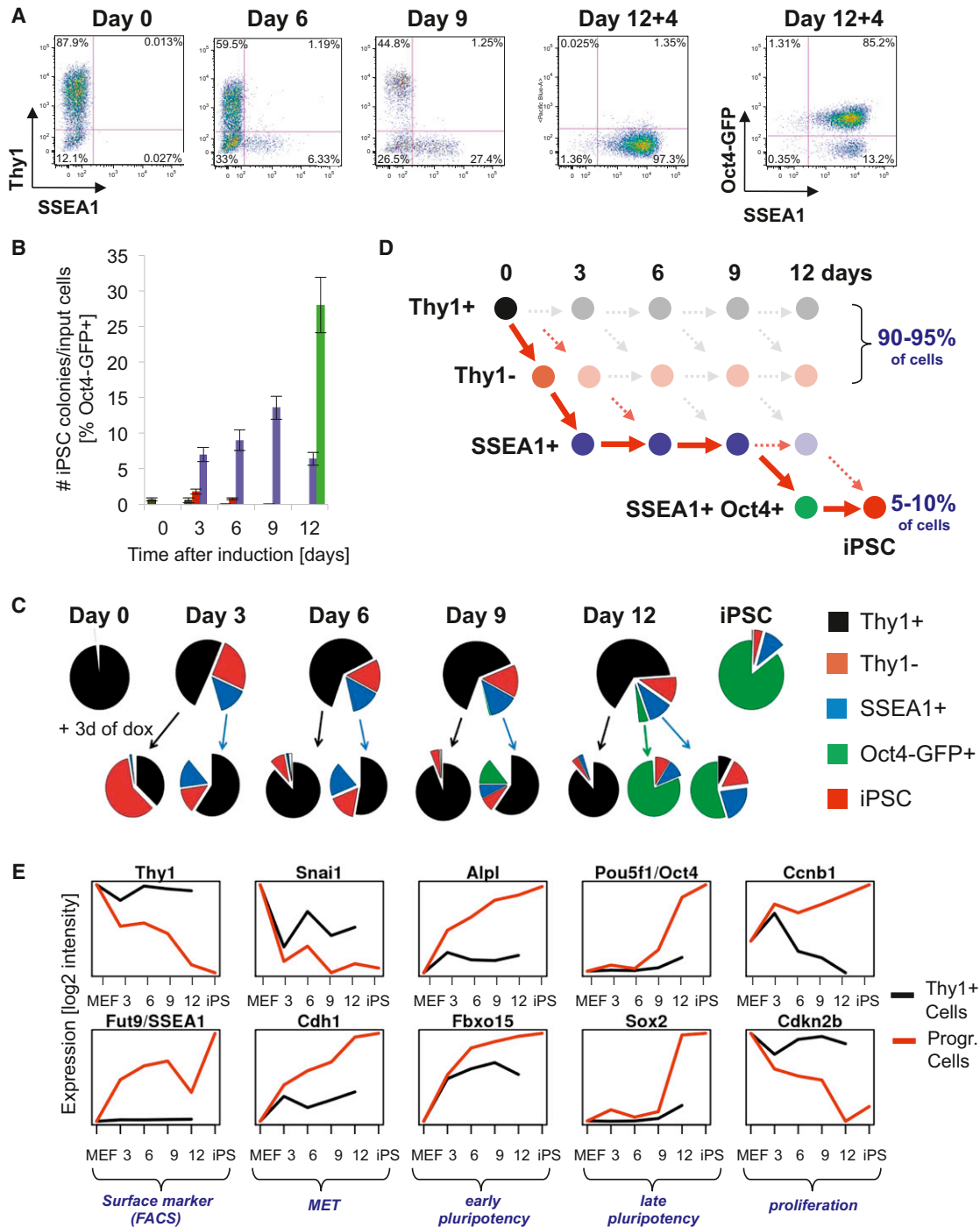


Figure 1. Strategy for Isolating Reprogramming Intermediates

(A) FACS analysis of reprogrammable MEFs at indicated time points. 12+4 denotes transgene-independent growth for 4 days.

(B) Comparison of reprogramming efficiencies of intermediates purified at indicated time points. Note that established iPSCs have a colony formation efficiency of ~30% (Stadtfeld et al., 2008). Data are represented as mean ± SEM (n = 3).

(C) Pie charts summarizing FACS analysis of reprogrammable cells at indicated time points (top row). Bottom row shows FACS analysis for Thy1, SSEA1 and Oct4-GFP 3 days after sorting and plating of the above cell populations in the presence of doxycycline.

(D) Scheme illustrating the different subpopulations throughout reprogramming. Solid red arrows connect cell populations progressing toward iPSCs as inferred from data in (B).

(E) Expression analyses of indicated genes at day 0, 3, 6, 9, and 12 of reprogramming and in established iPSCs (black lines depict Thy1+ populations; red lines depict cells undergoing successful reprogramming as defined by red arrows in Figure 1D).

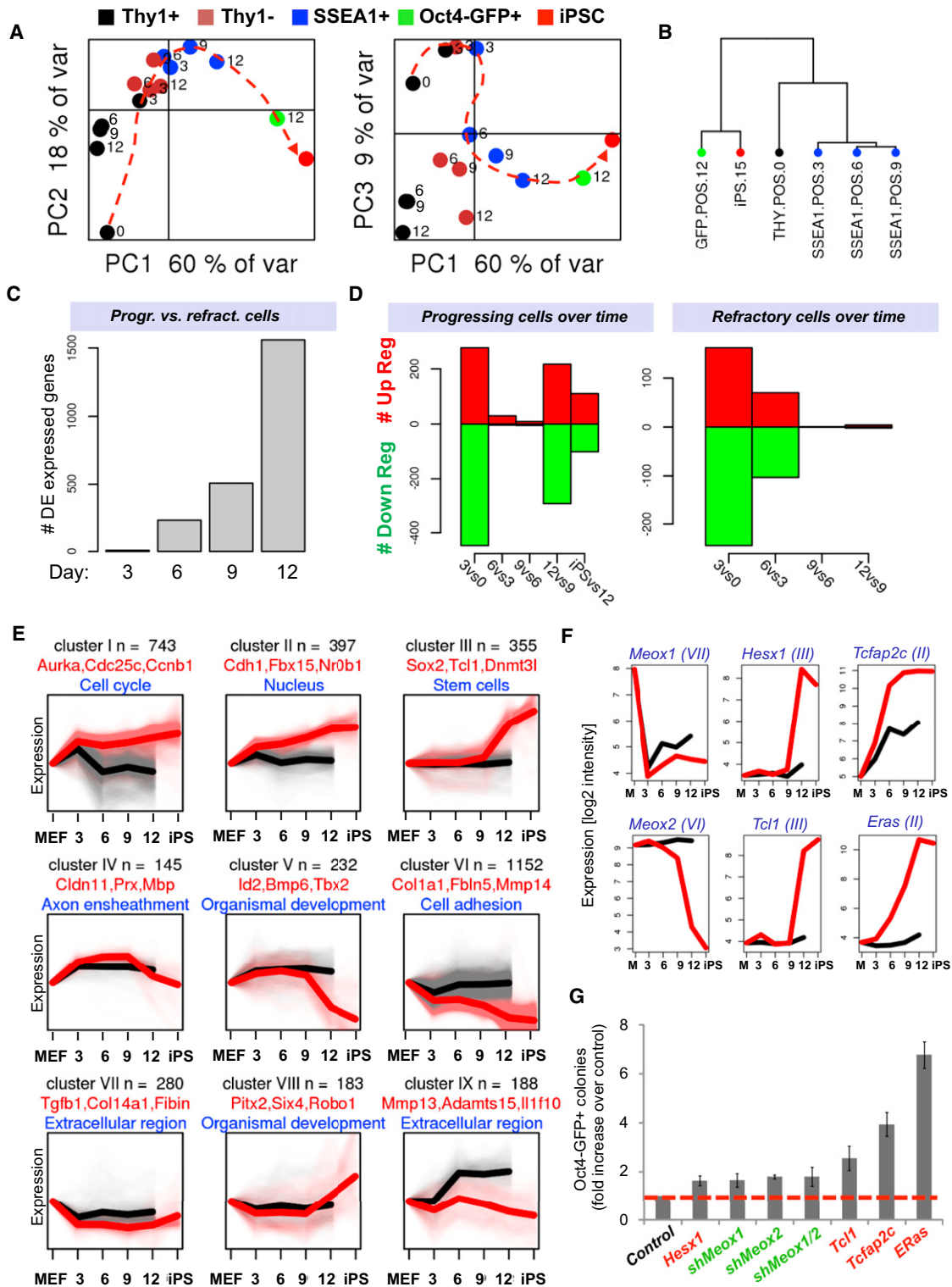


Figure 2. Gene Expression Dynamics during iPSC Formation

(A) Principal component analyses of global gene expression data of FACS-sorted subpopulations at indicated time points.

(B) Unsupervised hierarchical clustering of gene expression profiles of indicated cell populations.

(C) Number of differentially expressed genes between Thy1⁺ and SSEA1⁺ cells at indicated time points.

(D) Number of differentially expressed (DE) genes in progressing SSEA1⁺ cells at successive time points. Right panel shows gene expression changes in refractory Thy1⁺ cells.

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were associated with embryonic development and stem cell maintenance. A parallel study, which applied proteomics to study the same intermediates of reprogramming, concurs with our findings and further shows that molecular changes are highly coordinated during both phases (Hansson et al., 2012). Together, these data demonstrate that cells undergoing reprogramming into iPSCs, as defined by populations upregulating SSEA1+ at early time points and SSEA1+/Oct4-GFP at late time points, undergo a biphasic process at the transcriptional level that is separated by a period of less pronounced transcriptional change.

Defining Reprogramming-Specific Gene Expression Patterns

To gain further insights into the mechanisms of iPSC induction, we next determined categories of genes that changed their expression in characteristic patterns (Figure 2E and Table S1). A large number of genes became abruptly upregulated (cluster I; ~750 genes) or downregulated (cluster VI; ~1,200 genes) early in reprogramming and then remained largely unchanged until the iPSC state. Genes in these two categories were mainly involved in controlling DNA replication and cell division processes (upregulated genes) as well as cell adhesion and cell-cell contacts (downregulated genes) and account for the first transcriptional wave during reprogramming. Another category was comprised of ~400 genes that were gradually upregulated, such as the pluripotency-associated genes *Alpl*, *Fbx15*, *Nr0b1*, *Tcfcp2l1*, and *Sall1* (cluster II), whereas roughly 350 genes were induced late during reprogramming and contained genes enriched for the categories stem cells and DNA binding (cluster III). The latter group, which contained well-known core pluripotency factors such as *Nanog*, *Oct4*, and *Sox2* as well as *Esrrb*, *Dnmt3L*, *Tcl1*, and *Nr5a2*, is in part responsible for the second transcriptional wave and marks the acquisition of a stable pluripotent state.

Intriguingly, we also identified categories of genes that were either transiently up- or downregulated during iPSC formation (cluster IV and VIII, respectively) or upregulated early and downregulated late (cluster V). Genes within those categories included a number of developmental and cell-type-specific regulators such as *Bcl11a*, *Prx*, and *Tbx21* among the transiently upregulated genes and *Spp1*, *Pitx2*, and *Six4* among the transiently downregulated genes (Figure 2E).

Lastly, we hypothesized that the manipulation of dynamically regulated genes from these categories might enhance reprogramming. We selected the Akt coactivator *Tcl1*, the transcription factors *Tcfap2c* and *Hesx1* and the ESC-specific Ras isoform ERas for overexpression experiments and the fibroblast-enriched genes *Meox1* and *Meox2* for knockdown experiments (Figure 2F). Accordingly, upregulation or downregulation of these genes gave rise to up to seven times more Oct4-GFP+

colonies compared with control cells (Figure 2G). Collectively, these experiments prove that our gene expression categories facilitate the identification of molecules that positively or negatively influence the reprogramming process.

Gene Expression Patterns of Refractory Cells

Another category of genes (cluster IX) contained about 200 genes that were aberrantly activated in refractory Thy1+ cells (Figure 2E and Table S1). Genes within this class were related to extracellular space/matrix, plasma membrane, retinoic acid binding, and immune response processes (e.g., *Mmp13*, *Rarres2*, *Fgf18*, *Fndc1*, *Aqp1* and *4*, *Il1f10*, *Hsd11b1*, and Figure S1A) and likely contributed to the failure of Thy1+ cells to reprogram.

To further understand the molecular reasons for the inability of Thy1+ intermediates to reprogram, we analyzed other genes that were differentially expressed between SSEA1+ and Thy1+ cells. This analysis revealed that mesenchymal genes were not properly downregulated, whereas epithelial genes failed to be upregulated in Thy1+ cells compared to SSEA1+ cells after day 3 (Figure S1B). We also searched for differentially expressed genes between Thy1+ and SSEA1+ cells at day 3, when overall gene expression patterns were still highly similar among all populations (Figure 2A). This analysis yielded a small number of significantly up and downregulated genes (e.g., *Il6*, *Nup210*, and *Bex1*) that might serve as valuable early discriminators between cells that succeed or fail in reprogramming (Figure S1C). We conclude that Thy1+ cells become refractory to reprogramming for a variety of reasons that include (1) an inability to undergo a MET, (2) aberrant activation of differentiation and immune-response-associated genes, and (3) a failure to maintain global gene expression trends beyond day 3.

Impact of Cellular Heterogeneity on Molecular Dissection of Reprogramming

We employed different strategies to determine the degree of heterogeneity among SSEA1+ cells. First, we used Fluidigm technology to perform single-cell expression analysis for 26 genes (see Figure S2A) in FACS-purified SSEA1+ intermediates at days 3, 6, and 9 as well as in day 0 Thy1+ MEFs and established iPSCs. Correspondence analysis (COA) of all 26 genes across the different cellular groups showed that the three intermediate populations formed separate clusters that partially overlapped and gradually progressed from MEFs to iPSCs (Figure 3A). COA confirmed the early and late transcriptional waves and illustrated an increased degree of variation within SSEA1+ intermediates compared with MEFs and iPSCs (Figure 3B). Biplot analysis suggested that activation of *Nr5a2*, *ERas*, *Zfp42*, *Esrrb*, *Dnmt3l*, and *PECAM* was most informative for predicting the iPSC state, followed by activation of *Nanog*, *Lin28*, and *EpCAM* (Figure 3C). A comparison of the expression dynamics of

(E) Gene expression categories (I to IX) clustered by common expression changes during reprogramming (black trendlines depict gene expression patterns in Thy1+ population; red trendlines depict gene expression patterns in cells undergoing successful reprogramming as defined in Figure 1D). Each gene is only represented once per category.

(F) Expression analysis of candidate genes selected from (E) for overexpression or knockdown experiments shown in (G). Gene expression categories are shown in brackets.

(G) Reprogramming potential of OKSM transgenic MEFs infected with lentiviral vectors expressing the indicated candidate genes or hairpins. Data are represented as mean \pm SEM (n = 3). See also Figure S1.

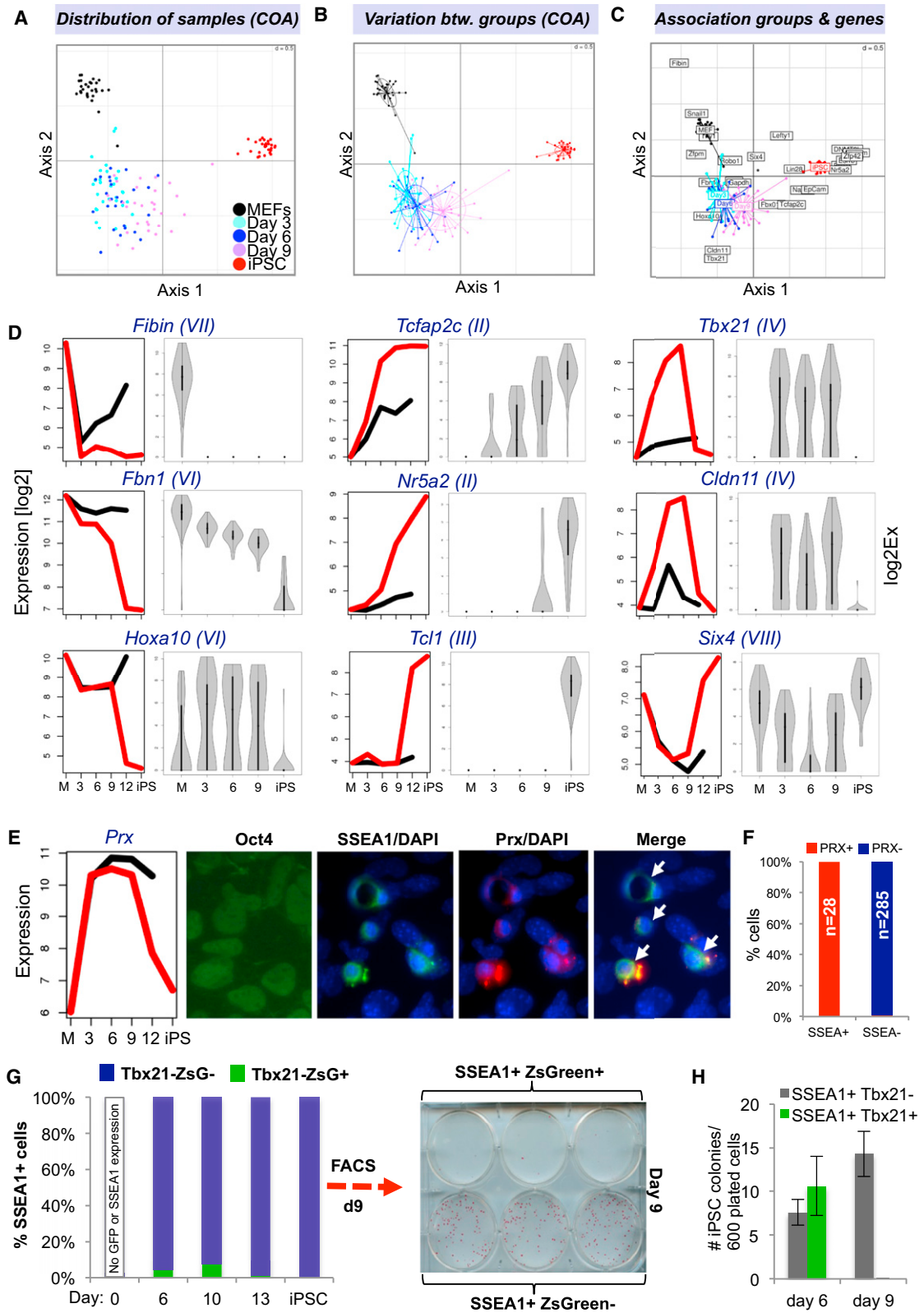


Figure 3. Characterization of Cellular Heterogeneity within SSEA1+ Cells

(A) Correspondence analysis (COA) of single-cell expression data obtained with Fluidigm technology for 26 genes in indicated cell populations.

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individual genes between SSEA1+ bulk populations and single SSEA1+ cells revealed a similar overall kinetics and allowed us to differentiate between MEF- and ESC-associated genes that were either downregulated or upregulated immediately, gradually or late upon reprogramming factor expression (Figure 3D).

We next examined the shape of the violin plots (Figure 3D) in order to deduce whether gene expression changes took place in a minority or majority of SSEA1+ intermediates; whereas unimodal plots are consistent with uniform gene expression in a majority of cells, bimodal plots are indicative of distinct expression patterns and thus heterogeneous cell populations. We could distinguish between three characteristic patterns of gene expression change (Figure 3D and Figure S2B): (1) exclusively unimodal expression patterns, which were mostly characterized by genes that changed in one of the two transcriptional waves and included MEF genes that were silenced early (*Fbin*, *Snai1*) or gradually (*Fbn1*) as well as all examined pluripotency genes that were activated late (*Zfp42*, *Esrrb*, *Nr5a2*, *ERas*, *Lin28*, *PECAM*, *Tcl1*, *Dnmt3l*); (2) unimodal expression early and late with bimodal expression at intermediate stages of reprogramming, which contained the MEF gene *Zfp* and the early iPSC marker *EpCAM*, *Nanog* and *Tcfap2c*; and (3) bimodal expression patterns at all time points. Examples included the MEF gene *Hoxa10* and the intermediate-specific genes *Cldn11*, *Tbx21*, and *Six4*. Coexpression analysis of representative genes from each category showed that they were indeed activated within the same cells (Figure S2C).

To determine whether intermediate-specific genes were always expressed heterogeneously within SSEA1+ cells (Figure 3D), we performed immunohistochemistry (IHC) for *Prx* (Figure 3E) in reprogrammable MEFs induced with dox for 9 days. Costaining with antibodies recognizing SSEA1 and *Prx* revealed that all SSEA1+ cells also expressed *Prx* (28/28 examined cells) (Figure 3F). This result suggested that *Prx* and probably other intermediate-specific genes are expressed homogeneously among SSEA1+ cells and thus mark cells poised to becoming iPSCs. In contrast, other intermediate-specific genes such as *Tbx21* are expressed in more rare subsets of SSEA1+ cells (Figure 3D) whose fate remains unclear. To test whether activation of the latter group of genes correlated with their ability to form iPSCs, we infected tail fibroblasts isolated from Tbx21-ZsGreen mice with a polycistronic viral vector expressing OKSM. Flow cytometric analysis of SSEA1+ intermediates at days 6 and 9 confirmed the heterogeneous expression pattern (Figure 3G). Plating of equal numbers of SSEA1+ Tbx21-ZsGreen+ and of SSEA1+ Tbx21-ZsGreen- cells from day 6 on feeders gave rise to roughly equal numbers of iPSC colonies, indicating that Tbx21 upregulation at this time point was neither necessary nor inhibitory for reprogramming (Figure 3H). However, SSEA1+

Tbx21-ZsGreen+ cells isolated at day 9 of reprogramming almost entirely lost their ability to form iPSC colonies, suggesting that a failure to downregulate this marker at later stages of reprogramming prohibited iPSC formation (Figures 3G and 3H). We conclude that our analysis of SSEA1+ bulk populations correlates well with expression patterns in individual SSEA1+ cells, thus validating our approach to study FACS-enriched intermediates of reprogramming. However, our observation that SSEA1+ cells exhibited some degree of heterogeneity warrants a search for markers that allow for further purification of reprogramming intermediates destined to form iPSCs (see [Identification of Molecules to Enrich for Cells Poised to Becoming iPSCs](#)).

Comparison with piPSCs and Bulk Populations Expressing OKSM

Partially reprogrammed iPSCs (piPSCs) are assumed to represent intermediate stages of reprogramming (Mikkelsen et al., 2008; Sridharan et al., 2009). piPSC lines are stable cell lines that have silenced the somatic program but failed to activate the pluripotency program and depend on continuous expression of viral transgenes. To assess whether their overall gene expression signature closely resembled any of our profiled cell populations, we performed PCA analysis between our data sets and published results from six different piPSC lines. Consistent with previous observations, piPSC lines derived from distinct cell types and produced in different laboratories clustered together, suggesting a similar molecular makeup (Figure S1D, gray symbols). Unexpectedly, these cell lines were quite distinct from any of our profiled intermediate populations along the depicted PC axes, showing essentially no overlap with one notable exception; piPSC line BIV1 (Mikkelsen et al., 2008) that was generated with dox-inducible lentiviruses clustered together with retrovirally induced piPSCs in the presence of dox (“dox+” marked triangle) but grouped with our late SSEA1+ intermediates after ~10 days of dox withdrawal (“dox-” triangle). We conclude that piPSCs originate from cells that have exited the normal reprogramming route at an early time point and became immortalized, hence showing little overlap with progressing intermediates.

A comparison of gene expression profiles from SSEA1+ intermediates with those obtained from reprogrammable “secondary” cells exposed as bulk populations to dox for 0, 4, 8, 12, or 16 days (Mikkelsen et al., 2008) further showed that the latter samples clustered most closely with Thy1+ and Thy1- cells around day 3 but not with SSEA1+ intermediates at comparable later time points (Figure S1D, turquoise triangles). This finding indicated that this previous study of bulk populations predominantly captured expression changes of cells that failed to reprogram after day 3 and underscores the importance of

(B) COA of same groups as shown in (A) illustrates variation in gene expression. Size of ovals indicates degree of variation.

(C) Biplot displaying overlay of COA with genes associated with individual groups.

(D) Comparison of Affymetrix (left) and single-cell (right) expression data for nine selected genes. Gene expression categories, as defined in Figure 2E, of selected candidates are shown in brackets.

(E) Immunofluorescence for Oct4, SSEA1, and *Prx* on reprogrammable MEFs treated with dox for 9 days.

(F) Quantification of data shown in (E).

(G) FACS analysis of Tbx21-ZsGreen tail fibroblasts infected with dox-inducible lentivirus expressing OKSM at indicated time points.

(H) Reprogramming potentials of indicated cell populations at days 6 and 9.

Data are represented as mean \pm SEM (n = 3). See also Figure S2.

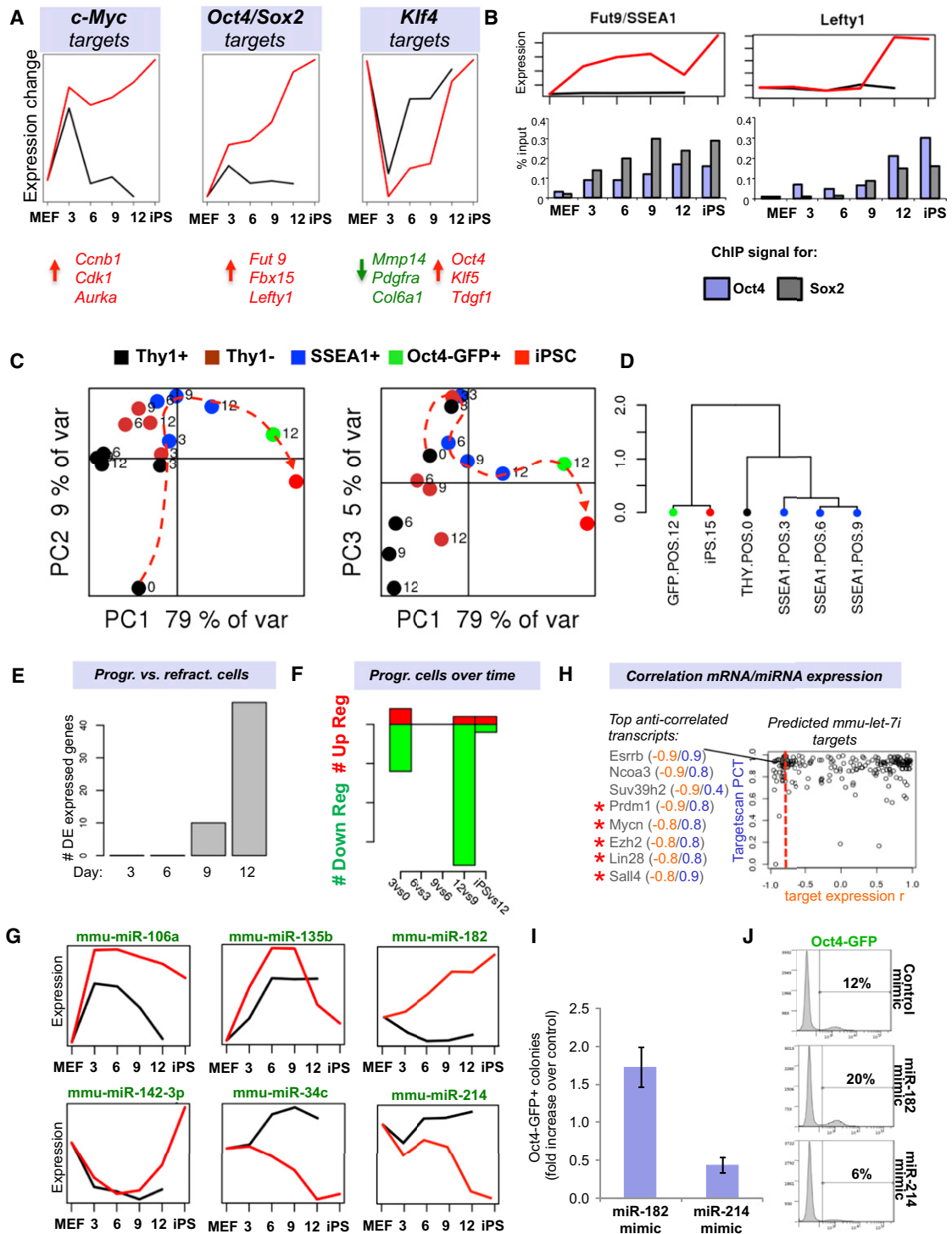


Figure 4. Predicted Reprogramming Factor Activities and MicroRNA Expression Dynamics

(A) Transcription factor (TF) activities for *c-Myc*, *Klf4* and the *Sox2-Oct4* dimer based on network component analysis. Shown below are examples of activated (red) or repressed (green) targets.

(B) Expression dynamics of an early (*Fut9*; left) and late (*Lefty1*; right) *Oct4/Sox2* target during reprogramming. Shown below is promoter ChIP analysis for *Oct4* and *Sox2*.

(C) Principle component analysis of microRNA expression data of FACS-sorted subpopulations at the indicated time points.

(D) Unsupervised hierarchical clustering of indicated microRNA expression profiles.

(E) Number of differentially expressed (DE) microRNAs between *Thy1+* and *SSEA1+* cells at indicated time points.

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enriching for the rare subsets of cells that are prone to generating iPSCs, particularly at later stages of reprogramming.

Transcription Dynamics Predicts Distinct Reprogramming Factor Activities

We next wondered whether the biphasic transcriptional pattern could be explained by the activity of any individual or combinations of transcription factors. To this end, we compared our gene expression data with published genome-wide occupancy studies for Oct4, Sox2, Klf4, c-Myc, and Nanog in pluripotent stem cells (see [Table S1](#) and [Extended Experimental Procedures](#)). Whereas a similar number of targets of Oct4, Sox2, and Klf4 were up- and downregulated during both transcriptional waves, targets of c-Myc were mostly upregulated (~80%) with a bias for the first wave ([Figure S3A](#)).

Given that many pluripotency-associated genes are targets of Oct4, Sox2, Klf4, and c-Myc in ESCs/iPSCs, we next distinguished between expression changes of individual and combinatorial OKSM targets during both waves. This analysis confirmed that c-Myc alone or in combination with other factors is a dominant force behind early gene induction (shown for c-Myc and Klf4 targets in [Figure S3B](#)). To assess the contributions from individual factors to reprogramming, we applied a mathematical approach that models and predicts transcription factor activities by using network component analysis ([Chang et al., 2008](#)). As expected, c-Myc targets showed a striking upregulation during the first few days of OKSM expression, with no major changes detectable until the end of reprogramming ([Figure 4A](#), left).

Notably, an analysis of transcriptional activity for genes that are bound by pairs of factors in ESCs showed a gradual change, as exemplified for Oct4/Sox2 (OS) targets, suggesting that the combined activity of certain pluripotency factors is more likely to modulate targets than individual factors ([Figure 4A](#), middle). To experimentally verify this mathematical prediction, we picked 3 OS targets that became upregulated early (*Fut9*, day 3) or late (*Nanog* and *Lefty1*, days 9–12) during reprogramming and performed chromatin immunoprecipitation (ChIP) on SSEA1+ intermediates with Oct4- and Sox2-specific antibodies, combined with real time PCR. Indeed, we found that *Fut9* was occupied by both Oct4 and Sox2 as early as day 3, whereas *Nanog* and *Lefty1* were occupied by Oct4 alone at early time points and by both Oct4 and Sox2 by day 12, consistent with their robust transcriptional activation ([Figure 4B](#) and [Figure S3C](#)). The different susceptibilities of OS targets to be transcriptionally activated correlated well with an underlying permissive or repressive chromatin structure ([Figure S3D](#)).

Klf4 was exceptional in that its targets changed their expression early and late in reprogramming with a phase of less activity change during intermediate stages (days 3 to 9), supporting a possible dual role of Klf4 in early somatic gene repres-

sion and subsequent pluripotency gene activation ([Figure 4A](#), right). Accordingly, regulated Klf4 targets were comprised of factors associated with differentiation, such as *Tgfb1*, *Pdgfra*, and *Col6a1*, at early time points and of pluripotency-associated genes including *Pou5f1* (*Oct4*), *Tdgf1*, and *Klf5* at late time points of reprogramming. Altogether, these results suggest that the first transcriptional wave is mostly mediated by c-Myc and occurs in both progressing and nonprogressing cells, whereas the second wave is the consequence of a gradual upregulation of OS targets, ultimately leading to the activation of other pluripotency genes, including *Nanog*, to consolidate the pluripotent transcription factor network. Klf4 seems to support both phases by suppressing genes during the first phase and enhancing pluripotency gene expression during the second phase.

MicroRNA Expression Follows Biphasic Pattern and Inversely Correlates with Known and Predicted Target mRNAs

Similar to the expression analysis for coding genes, miRNA expression analysis allowed us to cluster cell populations into different groups based on their phenotype by using PCA and unsupervised clustering ([Figures 4C–4E](#) and [Table S2](#)). Pairwise comparisons of progressing SSEA1+ populations at successive time points again revealed two transcriptional waves, which both showed an over representation of downregulated versus upregulated miRNAs ([Figure 4F](#)). miRNAs changed their expression in similar patterns to mRNAs over the course of reprogramming (see [Figure 4G](#) for representative examples). Moreover, miRNAs that have previously been documented to inhibit (e.g., let-7, miR-34c) or promote (e.g., miR-294, miR-106a) ([Huo and Zambidis, 2012](#)) iPSC formation, showed the expected downregulation and upregulation, respectively, in progressing intermediates ([Figure 4G](#) and [Figure S3E](#)). We conclude that forced expression of OKSM controls the expression of both coding and noncoding loci in a similar fashion.

A comparison of many miRNAs and their known targets indicated an inverse correlation ([Figure S3E](#)). This is exemplified for miR-294, which targets *TgfbR2*, and for let-7, which targets *Lin28* ([Subramanyam and Blelloch, 2011](#)). To extend this analysis beyond well-established miRNA-mRNA pairs, we built a table that links the expression changes during reprogramming of all differentially expressed miRNAs to their putative mRNA targets ([Figure 4H](#), [Figure S3F](#) and [Extended Experimental Procedures](#)). Indeed, the previously validated let-7c targets *Lin28*, *N-Myc*, and *Sall4* and the miR-294 targets *Lats2*, *TgfbR2*, and *Akt1* exhibited high negative correlation scores ([Figure S3F](#)). This analysis further suggested that the pluripotency factor *Esrrb*, the histone methyltransferases *Suv39h1/2*, and the coactivator *Ncoa3*, all of which are implicated in cellular reprogramming, are likely targets of let-7c, whereas the documented

(F) Number of differentially expressed microRNAs between progressing SSEA1+ cell populations at successive time points.

(G) Examples of microRNA profiles that change in dynamic patterns.

(H) Predicted target genes of let-7c (TargetsScan database) are shown based on inverse expression patterns with let-7c. Examples of putative targets with an inverse expression score of -0.8 or lower are shown. Targets marked by red asterisks have previously been validated.

(I and J) iPSC formation efficiencies (I) and Oct4-GFP FACS quantification of reprogrammable MEFs treated with mimics for miR-182 or miR-214 (J).

Data are represented as mean \pm SEM (n = 3). See also [Figure S3](#).

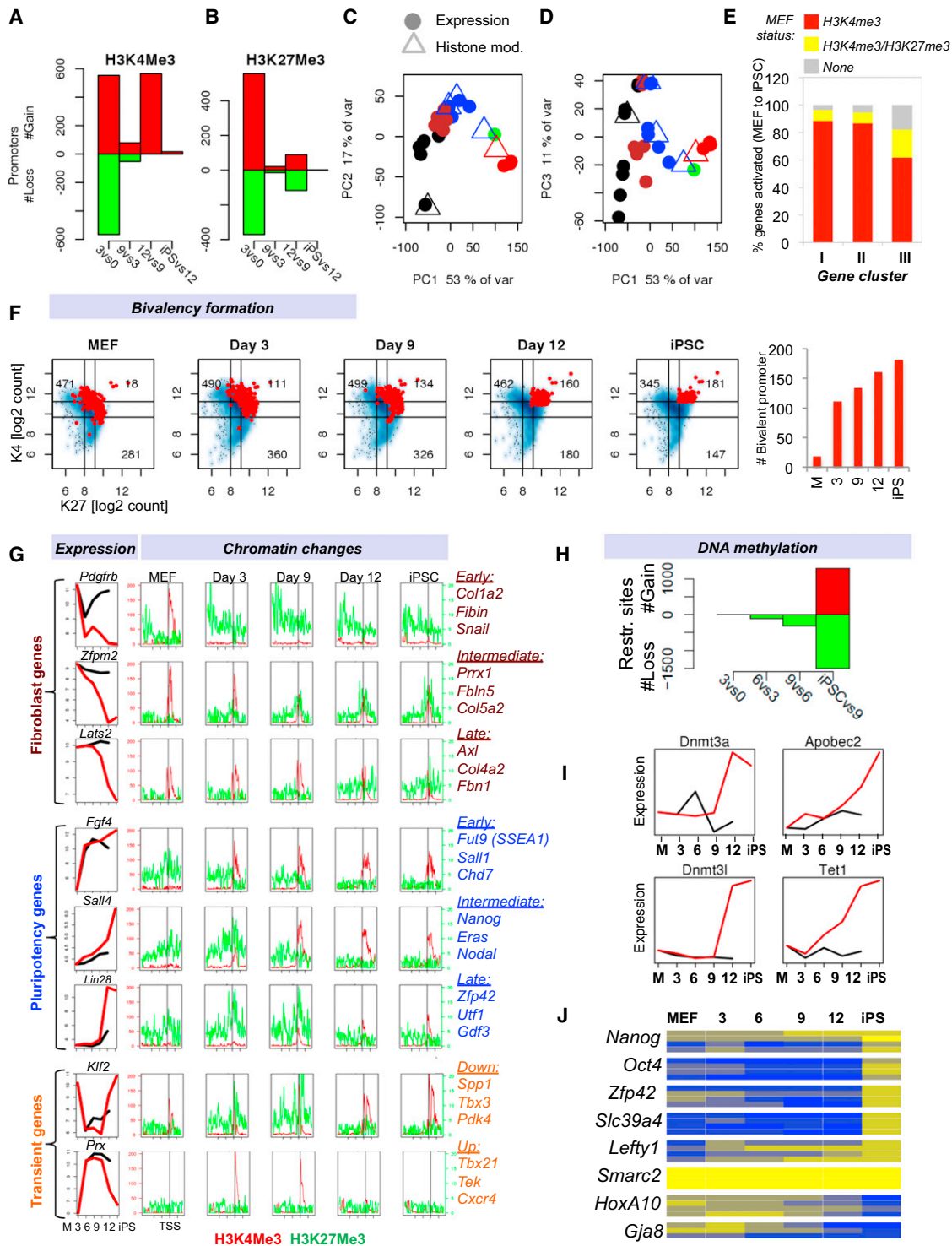


Figure 5. Histone and DNA Methylation Dynamics during Cellular Reprogramming

(A and B) Enrichment for H3K4me3 or H3K27me3 at promoters of differentially expressed genes in progressing intermediates.

(C and D) Superimposition of principal component analyses for genes enriched in H3K4me3 (C) or H3K27me3 (D) (triangles) with gene expression data (circles) of the same cell populations (see Figure 2A for color coding).

(E) Display of activated genes from gene expression categories I, II, and III (see Figure 2E) in relation to their chromatin status in MEFs.

(F) Number of differentially expressed genes that become bivalent (H3K27me3 and H3K4me3 enriched) during reprogramming (red dots = bivalent promoters) and quantification.

(legend continued on next page)

iPSC-inhibitory factor *Prrx1* (Yang et al., 2011) is predicted to be targeted by miR-294.

Unexpectedly, miR-302a, whose forced expression was also shown to enhance iPSC generation in the context of the Yamanaka factors (Subramanyam and Blelloch, 2011), exhibited transient activation specifically in Oct4-GFP+ cells at day 12 but remained otherwise unchanged (Figures S3G and S3H). Mir-302a is normally expressed in mouse epiblast stem cells (Huo and Zambidis, 2012) but barely detectable in mouse ESCs, suggesting that iPSC induction might entail a transient passage through an epiblast-like state before reaching naive pluripotency. In agreement with this idea, we detected transient downregulation of a number of putative mir-302a targets in Oct4-GFP+ cells such as *Rbl2*, *Rab11fip5*, *Rbbp6*, and transient, albeit modest, upregulation of epiblast stem cell-associated markers including *Brachyury (T)*, *Cer1*, *Foxa2*, *Eomes*, and *Fgf5* (Figures S3G and S3I). It remains to be tested whether activation of *miR-302a* and associated transcripts takes place in all or only a subset of Oct4-expressing intermediate cells. Also, we cannot rule out the possibility that these transient expression changes are the result of aberrant targeting of exogenous OKSM to the respective genes, resulting in their activation or repression.

We finally wondered whether our miRNA expression data would allow us to identify novel modulators of reprogramming. Indeed, gain-of-function of miR-182 by mimics increased, whereas that of miR-214 decreased iPSC formation, consistent with their transcriptional changes during reprogramming (Figures 4G, 4I, and 4J).

Differential Chromatin States Provide an Epigenetic Logic for Early, Gradual, and Late Gene Regulation

We and others have previously shown that both transcriptional and DNA/histone methylation patterns are reset from a somatic state to a pluripotent state upon reprogramming into iPSCs (Maherali et al., 2007; Mikkelsen et al., 2008). However, it is unknown when these epigenetic changes occur during reprogramming and whether there is a hierarchy in their establishment and erasure, respectively. In an attempt to resolve these questions, we analyzed active and repressive histone methylation marks (histone H3 lysine 4 and lysine 27 trimethylation H3K4me3/H3K27me3) and DNA methylation patterns at a genome-wide scale in SSEA1+ cells throughout reprogramming. Analysis of H3K4me3 and H3K27me3 ChIP-seq patterns in progressing intermediates showed two waves (Figures 5A and 5B), which coincided with the observed mRNA and miRNA phases (Figures 5C and 5D). Kinetic analysis of bivalency formation (H3K4me3/H3K27me3 enriched promoters) (Bernstein et al., 2006) at genes that changed expression during reprogramming showed an initial burst of ~110 targets by day 3, which gradually increased to ~130 at day 9, ~160 by day 12, and ~180 in estab-

lished iPSCs (Figure 5F, Figure S4A). Thus, activating and repressive histone marks individually exhibit a biphasic pattern akin to coding and noncoding genes, whereas the establishment of differentially expressed bivalent promoters is a more gradual process.

An examination of histone marks at genes that changed their transcription in characteristic patterns allowed us to study their underlying chromatin dynamics (Figure 5G and Table S1). Consistent with the different gene expression categories (Figure 2E), we were able to distinguish between genes that changed their H3K4me3 and H3K27me3 status early (day 3), at intermediate stages (day 6–9), or late (day 12). For example, the fibroblast-associated gene *Pdgfrb* was downregulated by day 3, which coincided with the early loss of H3K4me3 and subsequent acquisition of H3K27me3 marks, suggesting efficient access of this locus by chromatin silencers. In contrast, the MEF-expressed gene *Zfp2* showed a gradual decrease in H3K4me3 marks and a concomitant increase in H3K27me3 marks, resulting in a bivalent state and transcriptional silencing around day 9, whereas the *Lats2* gene became decorated by H3K27me3 and was transcriptionally silenced only by day 12.

Similar to the deposition of H3K27me3 marks and the concomitant silencing of MEF-specific genes, we observed distinct classes of pluripotency genes that gained H3K4me3 and lost H3K27me3 at different time points (e.g., *Fgf4*, *Sall4*, and *Lin28*) (Figure 5G). Lastly, genes that changed their expression transiently acquired H3K4me3 or H3K27me3 in a temporally restricted manner (e.g., *Prx*, *Klf2*) (Figure 5G). We deduce from these results that the kinetics of silencing of MEF genes and activation of ESC genes is determined by a combination of parameters, including the type and complexity of underlying histone modifications as well as the availability and accessibility of transcription factors to regulate a given target (see Figure 4B). Indeed, the vast majority of genes (~90%) that were activated early or gradually (categories I and II; see Figure 2E) already carried activating H3K4me3 marks in MEFs (Figure 5E and Figure S4B), thus complementing observations made in a previous study (Koche et al., 2011). In contrast, genes that were activated late (category III, e.g., *Oct4*, *Nanog*) are often unmarked (~15% of genes) or bivalent (~15%) in MEFs, suggesting that loci associated with these chromatin patterns are more resistant to transcriptional activation.

DNA Methylation Patterns Are Reset Late in Reprogramming

In contrast to gene expression and histone modification patterns, genome-wide promoter DNA methylation changes occurred predominantly late in reprogramming as determined by HELP analysis (Figure 5H). Equal numbers of methylated restriction sites were gained and lost after day 9, indicating

(G) Integration of gene expression and histone modification data define subsets of genes with characteristic expression changes. Shown are examples of fibroblast-associated (top), pluripotency-associated (center), and transiently changing genes (bottom).

(H) Number of genes, which change DNA methylation status in progressing cell populations during reprogramming as determined by genome-wide methylation analysis (HELP).

(I) Expression dynamics of candidate genes associated with DNA methylation and demethylation.

(J) Heatmap of DNA methylation patterns of specific CpGs (boxes) in the promoter regions of indicated genes during reprogramming with EpiTYPER DNA methylation analyses. Yellow indicates 0% methylation, and blue represents 100% methylation.

See also Figure S4.

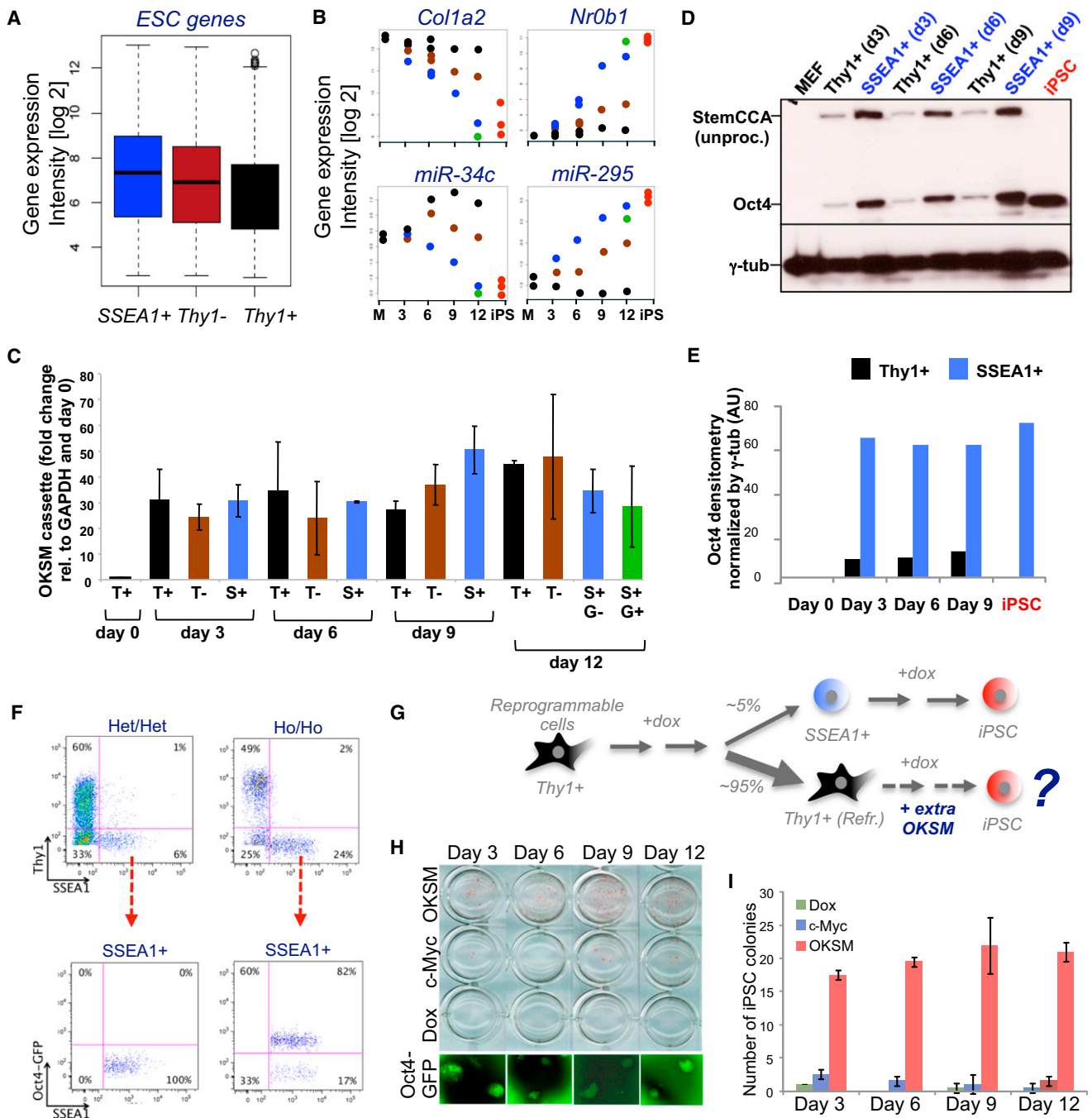


Figure 6. Rescue of Refractory *Thy1*⁺ Cells by Increased OKSM Expression

(A) Box plot depicting average expression levels in *Thy1*⁺ cells, *Thy1*⁻ cells and SSEA1⁺ cells of genes that are significantly upregulated between MEFs and iPSCs.

(B) Expression dynamics of indicated MEF-associated and ESC-associated transcripts in *Thy1*⁺, *Thy1*⁻ and SSEA1⁺ cells (see Figure 2A for color coding).

(C) Exogenous *Oct4* expression levels, normalized to GAPDH for the indicated cell populations and time points.

(D) Western blot analysis for Oct4 and gamma-tubulin (γ -tub) for the indicated cell populations and time points. Higher molecular weight band for exogenous Oct4 compared with endogenous Oct4 (iPSC) reflects unprocessed protein originating from the polycistronic construct as described previously by Carey et al. (2011).

(E) Densitometric quantification of western blot analysis shown in (D). AU is an abbreviation for arbitrary units.

(F) FACS analysis of reprogrammable fibroblasts carrying one (Het/Het) or two (Ho/Ho) copies each of the OKSM cassette and Rosa26-M2rTA allele (top row). Bottom row shows FACS analysis for SSEA1 and Oct4-GFP of the same samples.

(G) Experimental outline to rescue refractory *Thy1*⁺ cells by supplying viral copies of OKSM.

(legend continued on next page)

that a comparable number of loci became methylated and demethylated, respectively. In agreement, we found that enzymes implicated in DNA methylation and demethylation, such as *Dnmt3a*, *Dnmt3L*, *Apobec2*, and *Tet1* were transcriptionally upregulated late and specifically in SSEA1+ cells (Figure 5I). To confirm these global changes of DNA methylation at single base-resolution, we investigated promoter methylation levels at a number of candidate loci by mass array EpiTYPER on genomic DNA isolated from SSEA1+ intermediates. We found that pluripotency-associated genes, such as *Nanog*, *Oct4*, and *Zfp42* (*Rex1*) became demethylated very late during reprogramming (~days 9–12) (Figure 5J and Table S3). Similarly, genes that are normally methylated in pluripotent cells but demethylated in fibroblasts, including *HoxA10* and *Gja8*, became de novo methylated late.

Rescue of Refractory Cells by Increased OKSM Expression

A comparison of gene expression intensities among the different subpopulations showed that Thy1[−] and Thy1⁺ cells generally failed to regulate ESC-enriched and MEF-enriched mRNAs and miRNAs to the same extent as SSEA1+ cells (Figures 6A and 6B). Although this observation cannot be explained by differential transcription of the OKSM transgene in these subpopulations (Figure 6C), we were surprised to detect substantially increased protein levels for Oct4 in SSEA1+ cells compared with Thy1+ cells (Figures 6D and 6E). Consistently, we observed a 20%–25% reduction in the number of Thy1+ and Thy1[−] cells and a concomitant 400% increase in the number of SSEA1+ cells when inducing reprogrammable MEFs carrying two copies of the OKSM cassette and Rosa26-M2rtTA allele (Ho/Ho), respectively, with dox compared with MEFs that only contained one copy of each transgene (Het/Het) (Figure 6F).

To test whether elevated OKSM protein levels could rescue refractory Thy1+ cells at different stages of reprogramming, we infected Thy1+ cells isolated at days 3, 6, 9, and 12 of dox induction from Het/Het reprogrammable MEFs with viral vectors expressing additional copies of OKSM (Figure 6G). Remarkably, Thy1+ cells receiving extra copies of OKSM, but not untreated control cells or cells infected with c-Myc vector alone, gave rise to a substantial number of Oct4-GFP+ iPSC colonies (Figures 6H and 6I). This result thus documents that the inability to sustain OKSM protein expression in Thy1+ cells on or after day 3 contributes to their failure to form iPSCs.

Identification of Molecules to Enrich for Cells Poised to Becoming iPSCs

In a last set of experiments, we aimed to identify new surface markers that would allow further enrichment for subpopulations of cells undergoing reprogramming in comparison with Thy1, SSEA1, and Oct4-GFP expression. We focused on the molecules c-Kit, EpCAM, and PECAM1 because of their expression patterns specifically in SSEA1+ intermediates (*EpCAM*, early

gene; *c-Kit*, intermediate gene; *PECAM1*, late gene) (Figure 7A and Figure S5A). Notably, EpCAM+ cells were first detectable at day 6 in a fraction (~25%) of SSEA1+ cells. In contrast, c-Kit became upregulated only by day 9 in ~25% of SSEA1+ cells, whereas PECAM1 was detectable exclusively in SSEA1+, Oct4-GFP+ cells at day 9. Altogether, these results show that EpCAM, c-Kit, and PECAM1 become activated at successive time points in subsets of SSEA1+ cells. This experiment further documents that SSEA1+ intermediates that activated the endogenous Oct4(-GFP) locus are generally more homogeneous for these three markers than are SSEA1+ Oct4-GFP- cells at day 6 and day 9.

To assess the functional value of these markers, we sorted SSEA1+ EpCAM[−] and SSEA1+ EpCAM+ cells 6 days after dox induction and plated equal numbers on feeders for another 8 days in the presence of dox. Counting of dox-independent alkaline phosphatase-positive colonies 5 days later showed a modest but significant increase in reprogramming efficiency among SSEA1+ EpCAM+ intermediates (Figure 7B). In agreement, expression analysis of both subpopulations revealed subtle differences with 68 genes being upregulated and 48 genes being downregulated more than 2-fold (Figure 7C). Upregulated genes included *Nanog* (11-fold), *ERas* (7-fold), *Sox2*, *Nr0b1*, *Sall4*, *Nr5a2*, and *Tdgf1* (3- to 5-fold). Surprisingly, the core transcription factor Oct4 was not differentially expressed, which is consistent with the absence of Oct4-GFP signal at this time point. At the epigenetic level, *Nanog* promoter methylation levels were reduced by 50%, whereas *Oct4* promoter methylation levels decreased only mildly (Figure 7D and Figure S5B). These findings thus suggest that there is a hierarchy in the activation of core pluripotency factors within SSEA1+ cells with *Nanog* and associated transcripts being activated before *Oct4*.

DISCUSSION

Our results constitute a comprehensive analysis of transcriptional and epigenetic changes in phenotypically defined intermediates of iPSC induction. These data have elucidated the identity and order of molecular changes inherent to transcription factor-induced reprogramming (see Figure 7 for summary of observations) and provide a rich resource of data to further dissect the mechanisms of induced cell fate transitions. Our findings suggest that the reprogramming of somatic cells follows a similar sequence of epigenetic changes as is seen during normal somatic cell differentiation; differentiating cells are thought to undergo transcriptional and histone modification changes before DNA methylation changes (Jones, 2012). It will be interesting to assess whether the rather abrupt loss of methylation after day 9 is solely the consequence of a replication-dependent passive process or also involves active demethylation. Notably, methylation changes coincided with the acquisition of a stably reprogrammed state and are in line with

(H) Alkaline phosphatase stained colonies obtained after infecting Thy1⁺ reprogrammable cells at indicated days with a dox-inducible vector expressing OKSM. Controls were uninfected, dox-treated Thy1⁺ cells (“Dox”) and Thy1⁺ cells infected with c-Myc virus alone. Representative Oct4-GFP+ colonies are shown at the bottom.

(I) Quantification of results in (G). Data are represented as mean ± S.E.M. (n = 3).

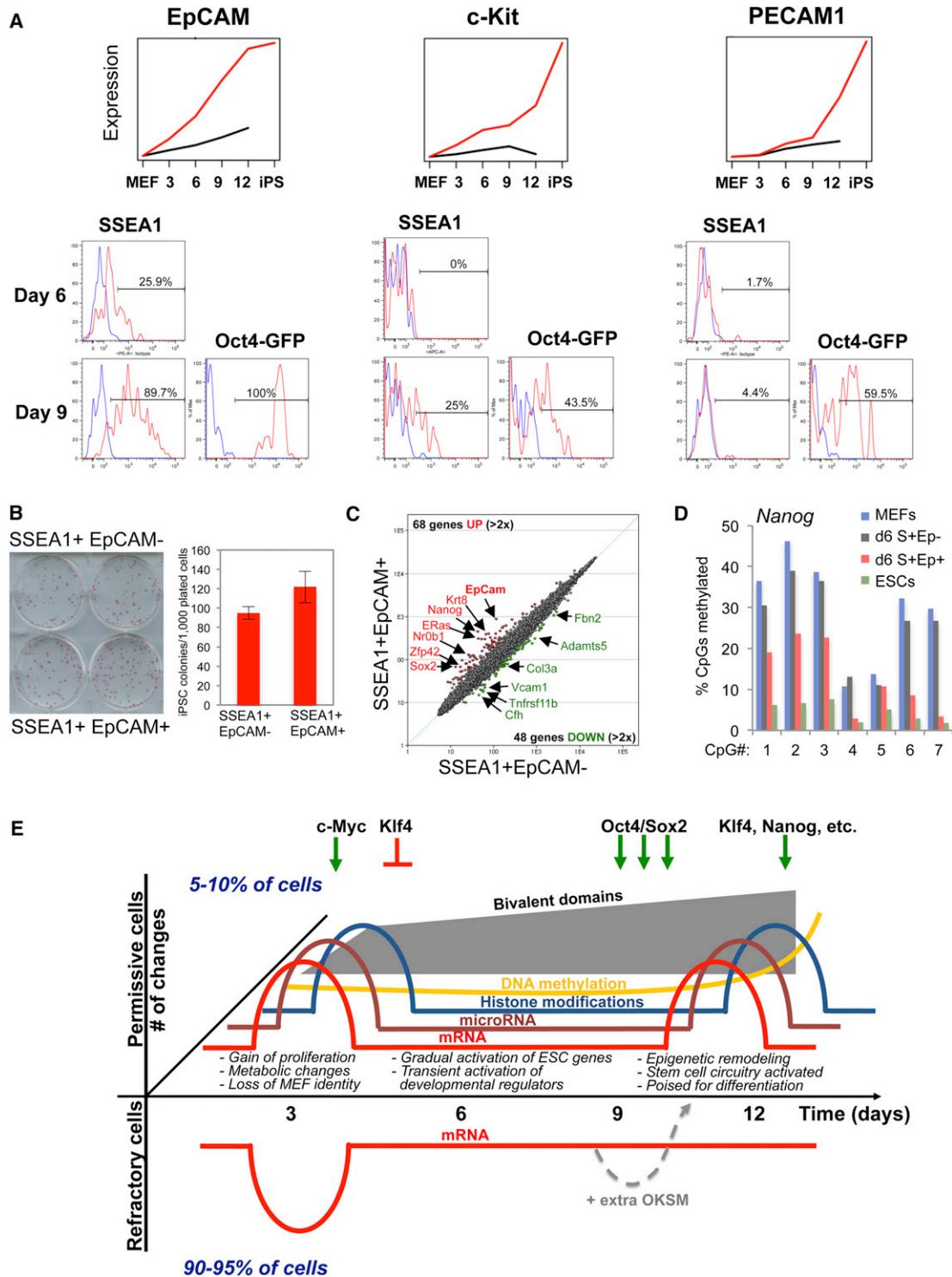


Figure 7. Identification of Surface Markers to Enrich for Reprogramming Intermediates, and Model

(A) Expression data and histogram plots of FACS analysis for c-Kit, EpCAM, and PECAM1 in SSEA1⁺ and Oct4-GFP⁺ populations at the indicated days of reprogramming. Red lines depict antibody-specific signal, blue lines show signal obtained with isotype control. No expression was seen before day 6.

(B) Potential of EpCAM subpopulations at day 6 to form iPSC colonies.

(C) Affymetrix expression analysis of EpCAM subpopulations.

(D) Methylation analysis of *Nanog* promoter by bisulfite sequencing of ESCs, MEFs and intermediates shown in (B). The following abbreviations are used: S, SSEA1; Ep, EpCAM.

(legend continued on next page)

the interpretation that methylation patterns stably lock in the re-programmed state.

Our molecular analysis allowed us to define nine categories of dynamically expressed genes, which characterize distinct stages of reprogramming and whose overexpression or knock-down enhanced iPSC formation. We surmise that a failure to activate these (Figure 2F) and related genes constitute road-blocks of reprogramming and is part of the reason why iPSC formation is inefficient and takes relatively long. Our observation of two major transcriptional waves and an intermediate period of less transcriptional change is reminiscent of a previous study that has identified an “initiation,” “maturation,” and “stabilization” phase when examining bulk populations of cells expressing OKSM (Samavarchi-Tehrani et al., 2010). The observed transient activation/repression of developmental regulators may indicate that the OKSM proteins aberrantly activate/repress these targets or, alternatively, that (some) reprogramming intermediates undergo a transient phase of transdifferentiation or dedifferentiation as part of the reprogramming process. Our results might thus explain recent successes in deriving epiblast stem cells, neural progenitors, or cardiomyocytes directly from fibroblasts upon brief expression of OKSM and exposure to culture conditions conducive of the respective cell type (Orkin and Hochedlinger, 2011). This finding could be potentially exploited to generate other desired cell fates directly from fibroblasts.

We have applied a mathematical model that faithfully predicts activation of OKSM targets in the course of reprogramming. Our results provide a transcriptional logic for the previously seen early requirement for c-Myc (Sridharan et al., 2009) and the late requirement for Sox2 (Chen et al., 2011) during reprogramming and suggest an unanticipated dual function for Klf4 by predominantly repressing somatic targets early and activating pluripotency targets late in iPSC formation. Our finding that Thy1⁺ refractory cells produce less OKSM protein and thus fail to properly regulate target gene expression compared with SSEA1⁺ cells warrants further examination. One plausible molecular explanation is that the OKSM factors are prone to more ubiquitination-mediated degradation in Thy1⁺ cells (Buckley et al., 2012).

We recognize the fact that SSEA1⁺ cells, although enriched for cells poised to forming iPSCs, still exhibit some degree of heterogeneity. Single-cell analysis of 26 genes as well as FACS analysis of three additional genes (*EpCAM*, *c-Kit*, and *PECAM*) documented that gene expression changes occur more homogeneously at early (0–3 days) and late time points (day 9 onward), whereas they are more heterogeneous at intermediate stages (days 6–9). There is some debate as to whether reprogramming entails a hierarchic/deterministic or probabilistic/stochastic process (Yamanaka, 2009). A previous study identified an early deterministic phase of reprogramming (Smith et al., 2010), whereas another recent report concluded that reprogramming involves an early stochastic and a late

deterministic phase (Buganim et al., 2012). Our data may explain both observations, and we therefore suggest that iPSC formation follows an early and late deterministic phase, which is separated by a more probabilistic phase. With the aid of new surface marker, such as EpCAM, PECAM, and c-Kit, or novel reporter alleles, it may be possible to identify rare intermediates that progress toward iPSCs in a purely deterministic manner.

EXPERIMENTAL PROCEDURES

Reprogramming Experiments

iPSCs were derived from MEFs or tail tip fibroblasts of reprogrammable mice (Stadtfield et al., 2010) or directly infected with polycistronic lentivirus expressing Oct4, Sox2, Klf4, and c-Myc as described in detail in Extended Experimental Procedures.

Flow Cytometry and Immunofluorescence

Flow cytometry for GFP, Thy1, SSEA1, EpCAM, PECAM, and c-Kit was done as reported previously (Stadtfield et al., 2008) and/or as described in Extended Experimental Procedures.

RNA Analysis

Gene expression profiling was performed with Affymetrix arrays. MicroRNA profiling was obtained with the Exiqon platform. Details are given in Extended Experimental Procedures.

Single-Cell Expression Analysis

Single-cell expression analysis was performed with Fluidigm technology for 26 genes with Taqman probes as described in Extended Experimental Procedures and Table S1.

HELP and MassARRAY EpiTyping DNA Methylation Analysis

These analyses were performed as described before (Polo et al., 2010). Details are given in the Extended Experimental Procedures and Table S1.

Chromatin Immunoprecipitation

ChIP analysis was essentially as described previously (Polo et al., 2010). Please see Extended Experimental Procedures and Table S1 for details.

ChIP-Seq Analysis

ChIP products from H3K4Me3 and H3K27Me3 pulldowns were subjected to high throughput sequencing with the GA/IIx Illumina platform. Details are given in the Extended Experimental Procedures.

Statistical and Bioinformatic Analyses

See Extended Experimental Procedures.

SUPPLEMENTAL INFORMATION

Supplemental Information includes Extended Experimental Procedures, five figures, and three tables and can be found with this article online at <http://dx.doi.org/10.1016/j.cell.2012.11.039>.

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(E) Model summarizing the presented data. Permissive cell populations (positive y axis) show biphasic pattern of mRNA/miRNA expression and individual histone marks. Bivalent domains are generated gradually after an initial burst. DNA methylation changes occur predominantly at the end of reprogramming. Forced expression of OKSM in refractory cells (negative y axis) can rescue their ability to form iPSCs. c-Myc/Klf4 mostly drive the first phase, whereas Oct4/Sox2/Klf4 drive the second phase.

See also Figure S5.

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