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Optimized prevention of stroke: What is the role of ultrasound?

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KEYWORDS

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Summary Major cardio- and cerebrovascular events often occur in individuals without known preexisting cardiovascular disease. The prevention of such events, including the accurate identification of those at risk, remains a serious public health challenge. Scoring equations to predict those at increased risk have been developed using cardiovascular risk factors, but they tend to overestimate the risk in low-risk populations and underestimate it in high-risk populations. This overview discusses the possible role of ultrasound for an optimized prevention of stroke and focusses on (1) the importance of embolic signals in asymptomatic carotid stenosis, (2) the detection of unstable carotid plaques using duplex ultrasonography, and (3) the role of the ankle–brachial index for the stroke risk prediction in the acute stage and for secondary prevention.

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Introduction

Despite the improvements in acute stroke therapy as well as effective secondary prevention measures, stroke remains the most important disease for permanent disability and is the second frequent cause of death worldwide [1]. The risk factors for stroke are well known and were subdivided into non-modifiable (e.g. age, sex, genetic predisposition) and modifiable categories (e.g. hypertension, smoking, diabetes). The INTERSTROKE study [2] shows that 5 risk factors (history of hypertension or blood pressure >160/90 mm Hg, smoking, waste-to-hip ratio, physical inactivity and diet-risk score) explain 83.4% of the stroke risk in the population.

However, major cardio- and cerebrovascular events often occur in individuals without known preexisting cardiovascular disease. The prevention of such events, including the accurate identification of those at risk, remains a serious public health challenge [3]. Scoring equations to predict those at increased risk have been developed using cardiovascular risk factors, but they tend to overestimate the risk in low-risk populations and underestimate it in high-risk populations [4]. An important prerequisite for the use of surrogate parameters for risk prediction particularly in the primary care setting is that these parameters add substantial incremental value in risk prediction beyond the traditional Framingham-type risk scores or give a better estimate to select high-risk patients for invasive procedures, e.g. carotid endarterectomy (CEA). This overview discusses the possible role of ultrasound for an optimized prevention of stroke and focusses on (1) the detection of unstable carotid plaques using duplex ultrasonography, (2) the importance of embolic signals in asymptomatic carotid stenosis (ACS), and (3) the

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role of the ankle–brachial index for the stroke risk prediction in the acute stage and for secondary prevention.

Detection of embolic signals in asymptomatic carotid stenosis

Due to the improvements of medical management in patients with high-grade ACS, there is uncertainty as how to best manage these patients. New studies demonstrate, that a well-treated patient with ACS has an annual risk of ipsilateral stroke of only 0.3% [5]. Therefore, 80 patients with an ACS must be treated by a CEA to prevent one disabling stroke. Consequently, the cost-effectiveness of CEA in patients with ACS has been questioned [6]. Nevertheless, ACS accounts for a large burden of stroke, and the majority of ipsilateral strokes are unheralded [7]. Identification of the group of ACS patients at higher risk would improve both risk-benefit and cost-benefit ratios for CEA. Several methods to identify such a high-risk group have been suggested, including ultrasonic detection of asymptomatic embolization. If clinical embolism is a good predictor of the subsequent stroke risk, asymptomatic cerebral emboli might also predict clinical stroke risk [8]. Transcranial Doppler ultrasound (TCD) is a non-invasive technique that can be used to detect circulating emboli. Several studies evaluated the association between detection of embolic signals and new ischemic events in patients with ACS [9–11] and reported different results. Recently a large prospective and multi-center study (ACES, Asymptomatic Carotid emboli Study) evaluated the relationship between asymptomatic emboli and stroke risk in 467 patients with an ACS of at least 70% [8]. The detection of emboli was associated with an increased risk for ipsilateral TIA and stroke (HR 2.54, 95% CI 1.2–5.36) and in particular for ipsilateral stroke (HR 5.57, 95% CI 1.61–19.32) during 2 years of follow-up even after adjusting for antiplatelet therapy, degree of stenosis, and other risk factors. The absolute annual risk of ipsilateral stroke or TIA between baseline and 2 years was 7.13% in patients with embolic signals and 3.04% in those without, and for ipsilateral stroke was 3.62% in patients with embolic signals and 0.70% in those without. The authors performed a meta-analysis with all studies available including 1144 patients. The hazard ratio for the risk of ipsilateral stroke for those with embolic signals compared with those without was 6.63 (95% CI 2.85–15.44) with no heterogeneity between studies ($p = 0.33$).

If TCD is to be used as a clinical tool for risk stratification, improved methods of automated detection of embolic signals are needed [8]. TCD recording itself is simple, non-invasive, and widely used in clinical practice worldwide. However, review of data for the presence of embolic signals is time consuming and relies on trained observers. Automated systems have been developed that have high sensitivity and specificity for detecting the higher intensity embolic signals seen in patients with symptomatic stenosis [12]. However, these systems were less sensitive to the lower intensity embolic signals found in ACS [13]. Therefore new systems are needed that enable an automatic detection of emboli even at lower signal intensity levels with an improved sensitivity and specificity.

Conclusion

- Detection of asymptomatic embolization on TCD can be used to identify patients with ACS who are at a higher risk of stroke and TIA.
- Assessment of the presence of embolic signals on TCD might be useful in the selection of patients with ACS who may benefit from CEA.
- Better systems are needed that enable an automatic detection of emboli even at lower signal intensity levels with an improved sensitivity and specificity.

Unstable plaque

A number of prospective studies have examined associations between ultrasonic plaque characteristics and stroke risk in ACS. Associations have been detected with a number of features including texture heterogeneity, echolucency, and surface irregularities [14]. A limited number of studies have used a simple measure of echolucency and these have shown conflicting results. More recently, data from ACES demonstrated that plaque morphology assessed using a simple visual rating scale predicts ipsilateral stroke in ACS [14]. 435 subjects with ACS $\geq 70\%$ were included and followed-up for 2 years. A 4-point visual rating scale was applied to the plaques and they were classified as echolucent (37.7%) or echogenic. Plaque echolucency at baseline was associated with an increased risk of ipsilateral stroke alone (HR 6.43, 95% CI 1.36–30.44). A combination of plaque echolucency and ES positivity at baseline was associated with an increased risk of ipsilateral stroke alone (HR 10.61, 95% CI 2.98–37.82). The combination of ES detection and plaque morphology allows a greater prediction than either measure alone and identifies a high-risk group with an annual stroke risk of 8%, and a low-risk group with a risk of <1% per year. These data show that the combination of 2 measures of plaque instability may identify a high-risk group of patients with ACS that may benefit from a CEA.

Conclusion

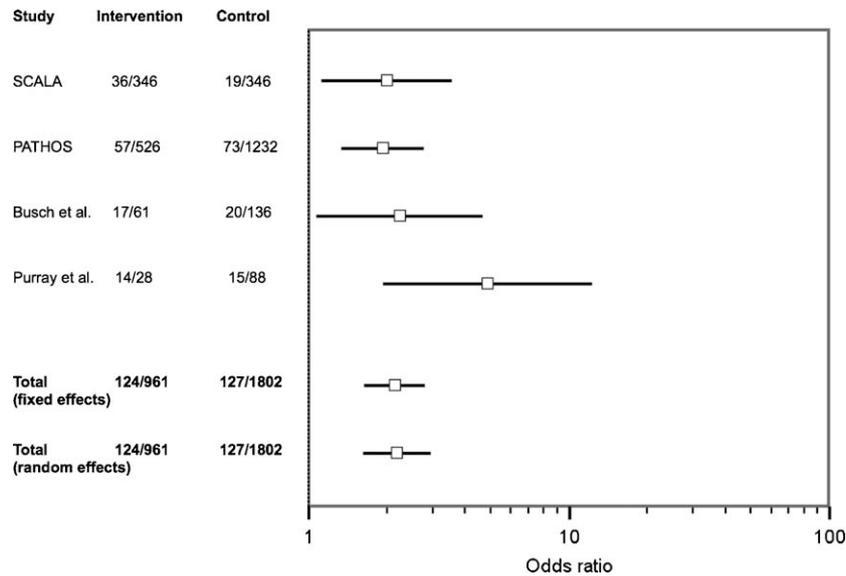
- Plaque morphology assessed using a simple and clinically applicable, visual rating scale predicts ipsilateral stroke risk in ACS.
- The combination of asymptomatic emboli detection and plaque morphology allows a greater prediction than either measure alone and identifies a high-risk group with an annual stroke risk of 8%.

Ankle-brachial index (ABI)

Peripheral arterial disease (PAD) is increasingly recognized as a clinically important marker of atherosclerotic disease due to its association with cardiovascular disease incidence and mortality. Determination of the ABI, which is the ratio of systolic pressure at the ankle to that in the arm, is quick, easy to measure and a noninvasive method used to establish the presence of PAD. The equipment is inexpensive – a handheld Doppler sonograph costs less than 400 EUR. The procedure is simple, taking less than 10–15 min, and can

Table 1 Meta-analysis for the association between an pathological ABI (<0.9) and new vascular events according to [21].

| Outcome | Age- and sex-adjusted risk | | |
|-------------------|----------------------------|------------------------|------------------------|
| | No. of studies | Relative risk (95% CI) | Test for heterogeneity |
| Overall mortality | 14 | 4.0 (3.7–4.3) | $P = 0.002$ |
| Vascular events | 11 | 2.8 (2.6–3.1) | $P < 0.001$ |
| Stroke/TIA | 8 | 2.3 (2.0–2.7) | $P = 0.025$ |
| CHD | 8 | 2.4 (2.1–2.7) | $P < 0.001$ |

**Figure 1** Meta-analysis of four trials showing the predictive value of low ABI (<0.9) for new cardiovascular events (stroke, MI or death) in patients with acute stroke.

be performed by a suitably trained nurse or health care professional.

A reduced ABI has been shown to identify patients at risk for cardiovascular events (Table 1). Patients with stroke or transient ischemic attack often had PAD. However, it is still unclear whether PAD is also a good predictor for future cerebrovascular disease. A recent meta-analysis demonstrated a pooled multivariate adjusted relative risk of 1.35 (95% confidence interval, CI 1.10–1.65) for stroke in patients with an ABI < 0.9 [15].

Meves et al. [16] analyzed the association between PAD, either symptomatic or asymptomatic (defined as an ABI < 0.9), and the future stroke risk in 6880 patients from the German Epidemiological Trial on Ankle–Brachial Index (getABI), a large and prospective cohort study of a typical primary care sample of unselected elderly patients. During the 5-year follow-up period, 183 patients had a stroke. In patients with PAD ($n = 1429$) compared to those without PAD ($n = 5392$), the incidence of all stroke types, with the exception of hemorrhagic stroke, was about doubled (for fatal stroke tripled). The corresponding adjusted hazard ratios were 1.6 (95% CI 1.1–2.2) for total stroke, 1.7 (95% CI 1.2–2.5) for ischemic stroke, 0.7 (95% CI 0.2–2.2) for hemorrhagic stroke, 2.5 (95% CI 1.2–5.2) for fatal stroke and 1.4 (95% CI 0.9–2.1) for nonfatal stroke. Lower ABI categories were associated with higher stroke rates. Besides high

age, previous stroke and diabetes mellitus, PAD was a significant independent predictor for ischemic stroke. The stroke risk was similar in patients with symptomatic ($n = 593$) as compared to asymptomatic ($n = 836$) PAD.

Interestingly, recent studies that analyzed the prognostic impact of low ABI values (<0.9) on stroke recurrence and cardiovascular events in acute stroke patients revealed comparable results (Fig. 1). Purroy et al. [17] observed an increased stroke recurrence rate (32.1 vs. 13.6%, $p < 0.001$) and more vascular events (50 vs. 70%, $p < 0.001$) in patients with low ABI values. Similar results were seen in the SCALA trial [18] that examined 852 patients from 85 neurological stroke units throughout Germany as well as the PATHOS study [19] from Italy with 755 acute stroke patients. Busch et al. [20] described an increased risk for stroke, myocardial infarction or death in acute stroke patients with a low ABI < 0.9 (relative risk 2.2; 95% CI 1.1–4.5).

Conclusion

- An ABI < 0.9 is an independent predictor of stroke recurrence in acute stroke.
- The detection of PAD defined as an ABI < 0.9 identifies high-risk patients for further vascular events; the vascular

risk including stroke is clearly increased even in subjects with asymptomatic PAD.

- Elderly patients in the primary care setting should be screened for (asymptomatic) PAD to enable consequent treatment of modifiable cardiovascular risk factors to reduce the risk of ischemic stroke and other vascular events; however, whether this screening is cost-effective remains to be established.

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