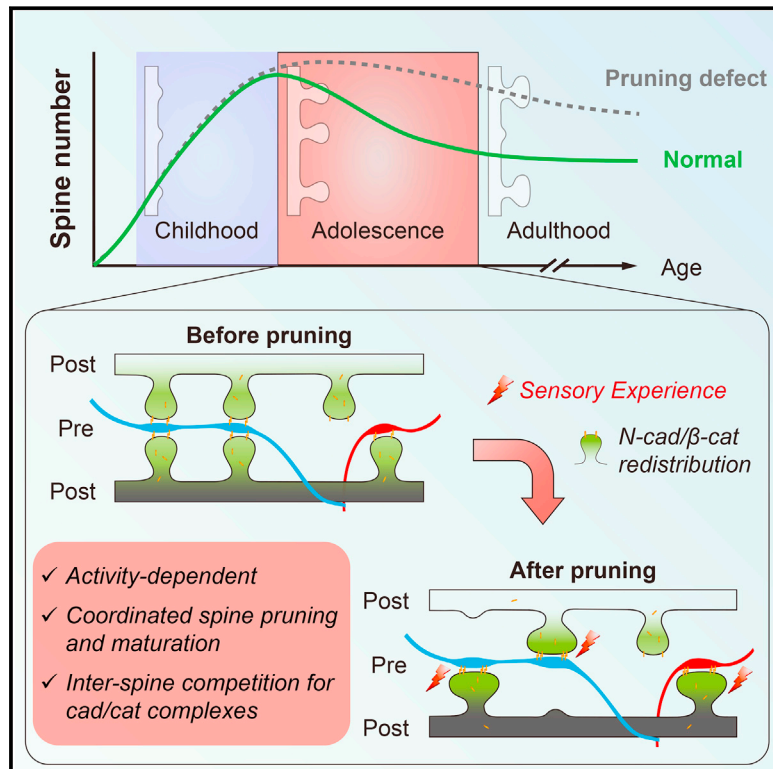


# Coordinated Spine Pruning and Maturation Mediated by Inter-Spine Competition for Cadherin/Catenin Complexes

## Graphical Abstract



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## In Brief

The pruning or elimination of dendritic spines is always coordinated with the maturation of surviving neighboring spines. This process is bidirectionally regulated by neural activity and mediated by inter-spine competition for cadherin/catenin cell adhesion complexes.

## Highlights

- Spine pruning and maturation in the mammalian brain are coordinated events
- Competition for cadherins and catenins mediates spine maturation and elimination
- Inter-spine competition for  $\beta$ -catenin in vivo biases spine fate during pruning
- Activity-dependent acceleration of spine pruning and maturation requires  $\beta$ -catenin



# Coordinated Spine Pruning and Maturation Mediated by Inter-Spine Competition for Cadherin/Catenin Complexes

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<http://dx.doi.org/10.1016/j.cell.2015.07.018>

## SUMMARY

Dendritic spines are postsynaptic compartments of excitatory synapses that undergo dynamic changes during development, including rapid spinogenesis in early postnatal life and significant pruning during adolescence. Spine pruning defects have been implicated in developmental neurological disorders such as autism, yet much remains to be uncovered regarding its molecular mechanism. Here, we show that spine pruning and maturation in the mouse somatosensory cortex are coordinated via the cadherin/catenin cell adhesion complex and bidirectionally regulated by sensory experience. We further demonstrate that locally enhancing cadherin/catenin-dependent adhesion or photo-stimulating a contacting channelrhodopsin-expressing axon stabilized the manipulated spine and eliminated its neighbors, an effect requiring cadherin/catenin-dependent adhesion. Importantly, we show that differential cadherin/catenin-dependent adhesion between neighboring spines biased spine fate *in vivo*. These results suggest that activity-induced inter-spine competition for  $\beta$ -catenin provides specificity for concurrent spine maturation and elimination and thus is critical for the molecular control of spine pruning during neural circuit refinement.

## INTRODUCTION

By virtue of their bulbous heads and constricted necks (Cajal, 1911), dendritic spines provide important biochemical and electrical compartmentalization within neurons, housing the postsynaptic density of excitatory synapses and associated organelles (Harris and Weinberg, 2012; Higley and Sabatini, 2012; Murakoshi and Yasuda, 2012; Sheng and Kim, 2011; Yuste, 2013). Previous studies demonstrated that rapid spinogenesis during early postnatal life (McAllister, 2007; Tada and Sheng, 2006; Yuste and Bonhoeffer, 2004) is followed by significant reduction in spine density during the transition through adoles-

cence, suggesting substantial synapse/spine pruning (Elston et al., 2009; Huttenlocher, 2002; Rakic et al., 1986, 1994). *In vivo* imaging in the mouse sensory cortices further demonstrated that during brain maturation, the rate of spine elimination substantially exceeded that of spine formation (Grutzendler et al., 2002; Holtmaat et al., 2005; Zuo et al., 2005a). This high rate of spine elimination, together with its regulation by sensory experience (Trachtenberg et al., 2002; Xu et al., 2009; Yang et al., 2009; Zuo et al., 2005b), suggested that the pruning of existing connections between neurons likely serve as an important process in the refinement of neural circuits (Alvarez and Sabatini, 2007; Bhatt et al., 2009; Fu and Zuo, 2011; Holtmaat and Svoboda, 2009).

In addition to dynamics in number, spines also show diversity in morphology, with thin spines being more motile and mushroom spines being more stable and containing larger postsynaptic densities (Harris et al., 1992; Holtmaat et al., 2005; Zuo et al., 2005a). Local increase in neural activity or induction of long-term potentiation (LTP) has been shown to induce the formation of new spines and/or the enlargement of existing spines, while spine shrinkage is associated with long-term depression (LTD) (Engert and Bonhoeffer, 1999; Maletic-Savatic et al., 1999; Matsuzaki et al., 2004; Murakoshi and Yasuda, 2012; Nägerl et al., 2004; Zhou et al., 2004). Based on these observations, it has been proposed that new spines (mostly thin) underlie memory acquisition, while stable spines (mostly mushroom and stubby) contribute to memory consolidation and storage (Bourne and Harris, 2007; Kasai et al., 2003).

The total excitatory input of a neuron is determined by the combined parameters of spine density and spine size/shape. Interestingly, while activity-dependent changes in spine density and shape have been extensively investigated independently (Alvarez and Sabatini, 2007; Bhatt et al., 2009; Bourne and Harris, 2008; Harris et al., 1992; Holtmaat and Svoboda, 2009; Segal, 2005; Spacek and Harris, 1997; Tada and Sheng, 2006), they have rarely been examined simultaneously in the context of spine pruning. Furthermore, since spine pruning during adolescence is temporally and phenotypically distinct from the degenerative and global loss of spines due to aging or pathological disorders such as Alzheimer's disease (Penzes et al., 2011; Sheng et al., 2012), different molecular mechanisms are likely to be employed. Importantly, in post-mortem human samples and animal models of neurodevelopmental disorders, including autism

spectrum disorders (ASD), intellectual disability, and schizophrenia, alterations in spine density and/or shape, possibly attributing to defects in spine pruning, have been reported (Glausier and Lewis, 2013; He and Portera-Cailliau, 2013; Penzes et al., 2011; Tang et al., 2014; Zoghbi and Bear, 2012). Thus, identification of mediators of spine pruning is critical to our understanding of the mechanism underlying neural circuit refinement.

Since neural activity is a driving force in development, we first investigated the effect of sensory experience on spine density and maturation. We found highly correlated, experience-dependent spine pruning and maturation in the mouse primary somatosensory cortex. Using live imaging, we further demonstrated that locally elevating neural activity or cadherin/catenin-dependent cell adhesion led to enlargement of the stimulated spine and concurrent pruning of its neighbor, an effect dependent on inter-spine distance and N-cadherin motility. Furthermore, selective enrichment of  $\beta$ -catenin in a small proportion of spines *in vivo* through pre-synaptic manipulations promoted the survival and maturation of  $\beta$ -catenin-enriched spines, at the expense of neighboring spines with lower  $\beta$ -catenin levels. Finally and importantly, acceleration of spine pruning induced by environmental enrichment was abolished in the absence of endogenous  $\beta$ -catenin. Together, these results demonstrate a critical role of the cadherin/catenin complex in mediating coordinated spine pruning and maturation during neural circuit refinement.

## RESULTS

### Activity-Dependent Spine Pruning in the Maturing Brain

We used environmental enrichment from birth (EE), a paradigm that increased sensory stimulation via multiple modalities (He et al., 2010; Zheng et al., 2014), to investigate the *in vivo* effects of neural activity on spine density and morphology over the developmental period of 1 to 3 months (m). Using Golgi staining, we found significant reduction in spine density in basal dendrites of layer 2/3 pyramidal neurons in the barrel field of the primary somatosensory cortex (S1BF), the primary motor cortex (M1), the piriform cortex (Pir), and the primary visual cortex (V1), as well as in medium spiny neurons of the dorsal striatum (CPU), both in mice reared under control and enriched conditions (Figures 1A, 1B, and S1A–S1D). These results suggest that spine pruning is a general phenomenon occurring in multiple sensory/motor regions, as the organism matures from adolescence to adulthood. Interestingly, brain regions primarily thought to be responsible for learning and memory, including the prefrontal cortex (PFC) and the hippocampal CA1 region, demonstrated complex changes in spine density over this period, suggesting that they either did not undergo spine pruning at the population level or did so with different time courses (Figures S1E and S1F). This difference may reflect requirement of the hippocampus and PFC to learn throughout life, rather than en masse within a critical period (Hensch, 2004), as is typical for sensory and motor cortices.

Of brain regions undergoing spine pruning, S1BF demonstrated the most striking developmental- and activity-dependence. In control mice, the reduction in spine density was most significant between 2 and 3 m, while in EE-reared mice, it was completed between the younger age of 1 and 2 m, despite

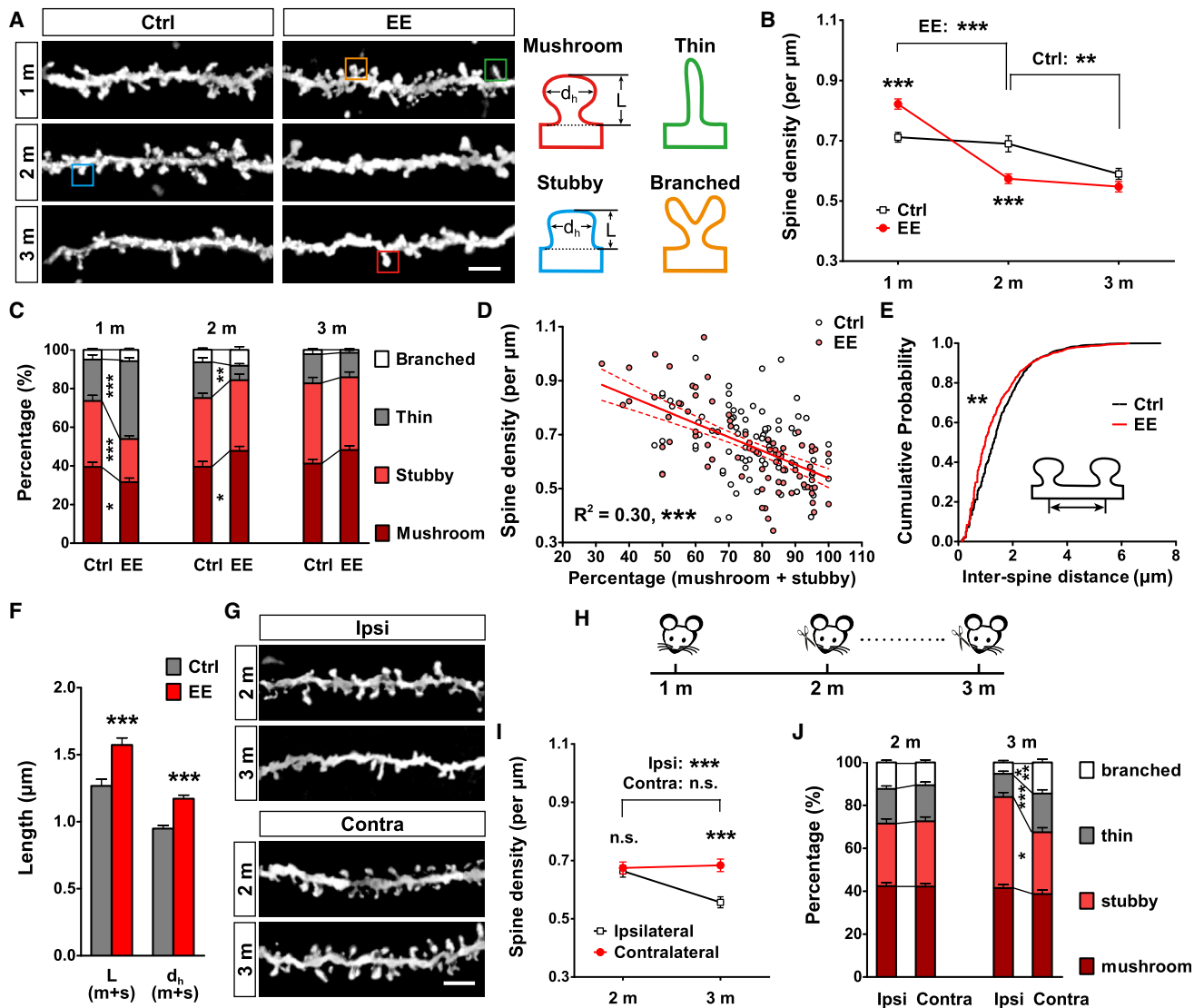
significantly elevated spinogenesis before 1 m (Figures 1B and S1G). The enrichment-induced acceleration of spine pruning was specific to basal dendrites of layer 2/3 pyramidal neurons (Figures 1B and S1H–S1J), which mostly receive within-column excitatory inputs from layer 4 and layer 2/3 neurons (Petersen, 2007). Based on these observations, we focused on spines on the basal dendrites of S1 layer 2/3 neurons.

To assess developmental changes in spine maturation, we classified the spines into four categories according to their morphology (Harris et al., 1992; Zagrebelsky et al., 2005), under the general understanding that mushroom and stubby spines represented more mature spines, while thin and branched spines tended to be more plastic and immature (Bourne and Harris, 2008; Harris et al., 1992; Spacek and Harris, 1997; Tada and Sheng, 2006). We found that the proportion of mature spines increased with age, a process accelerated by EE-rearing (Figure 1C). We note that this acceleration of spine maturation temporally coincided with that of spine pruning, suggesting the EE-rearing effects on spine maturation and pruning were coordinated. Importantly, we observed a significant inverse correlation between the percentage of mature spines (mushroom and stubby spines) and spine density for all data from Ctrl and EE mice (Figure 1D,  $R^2 = 0.30$ ,  $p < 0.001$ ). We note that at 3 m, spines from EE-reared mice were more clustered (Govindarajan et al., 2006; Kleindienst et al., 2011; Larkum and Nevian, 2008), as demonstrated by a shift of the distribution to shorter inter-spine distances (Figure 1E). The mature spines from EE-reared mice were also bigger (Figure 1F, see also Figure 7C). These results are similar to the effects of motor learning (Fu et al., 2012) and ocular dominance shift (Chen et al., 2012) and consistent with potentially increased synaptic strength and/or more refined local circuitry in EE-reared mice.

To investigate whether sensory experience was required for spine pruning, we trimmed one side of the whiskers in mice reared under standard conditions from 2 to 3 m. While significant spine pruning and maturation occurred over this period in the ipsilateral S1BF, corresponding to the intact whiskers, both processes were effectively blocked in the contralateral cortex, corresponding to the trimmed whiskers (Figures 1G–1J). Thus, spine pruning and maturation in S1BF occurred concurrently and are bidirectionally regulated by sensory experience through the whiskers, demonstrating tight coupling between these processes.

### A Requirement for the Cadherin/Catenin Complex in Spine Pruning

The above observations suggested a model in which neural activity locally induced differentiation of spine fates, with some spines being eliminated while others matured. We hypothesize that this fate differentiation is mediated by redistribution of limited resources with the following characteristics: (1) enriched in spines, (2) regulated by neural activity, and (3) “motile” and redistributable between spines. Synaptic cell adhesion molecules fulfill all criteria, with the added advantage of being trans-synaptic and thus capable of coordinating pre- and post-synaptic changes (Giagtzoglou et al., 2009; Hirano and Takeichi, 2012; Südhof, 2008). We started by testing important cell adhesion molecules identified in post-synaptic densities, namely N-cadherin and  $\beta$ -catenin (Sheng and Kim, 2011). N-cadherin is a

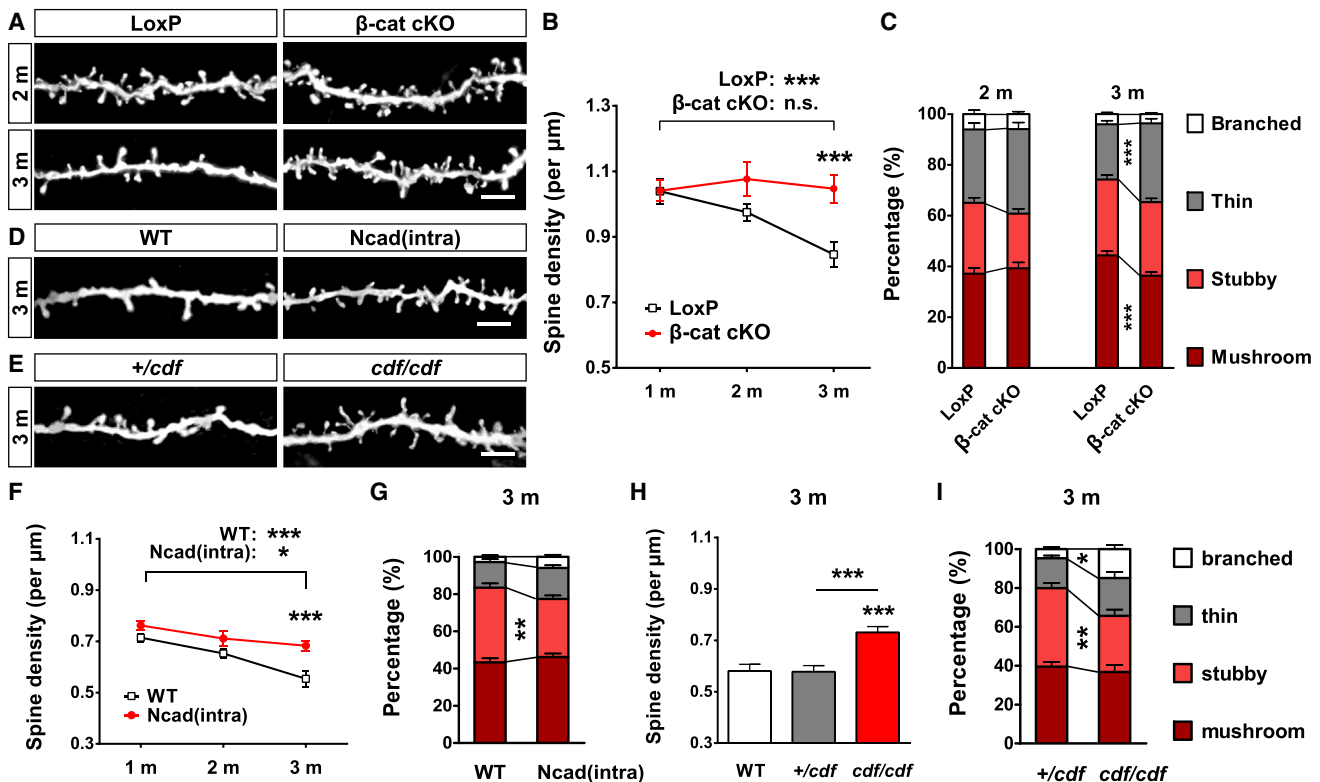


**Figure 1. Activity-Dependent Spine Pruning in S1BF**

(A) Representative inverted Golgi staining images showing spines in basal dendrites of layer 2/3 pyramidal neurons in S1BF, categorized as mushroom (red), stubby (blue), thin (green), and branched (orange) subtypes.  
 (B and C) EE accelerated spine pruning (B,  $n = 30\text{--}50/\text{group}$ ) and maturation (C) in S1BF.  
 (D) An inverse correlation between spine density and the percentage of mature spines from all data in (B) and (C) ( $n = 155$ ,  $R^2 = 0.30$ ,  $p < 0.001$ ).  
 (E) Cumulative distribution of inter-spine distances in Ctrl and EE mice at 3 m ( $n = 975$ , 950 segments in Ctrl, EE,  $p = 0.001$ ).  
 (F) The length and head diameter of mushroom and stubby spines were increased in EE mice at 3 m ( $n = 169$ , 179 spines in Ctrl, EE).  
 (G and H) Representative inverted Golgi staining images showing spines in S1BF (G) and whisker deprivation protocol (H).  
 (I and J) Whisker deprivation blocked spine pruning (I,  $n = 36\text{--}40/\text{group}$ ) and maturation (J) in contralateral S1BF.  
 Scale bars, 5  $\mu\text{m}$ . Data are presented as mean  $\pm$  SEM. In this and all subsequent figures, \* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.001$ ; n.s.:  $p > 0.05$ . See also Figure S1.

homophilic, calcium-dependent transmembrane cell adhesion molecule present at high levels pre- and post-synaptically. Together with its intracellular binding partners  $\beta$ -catenin and  $\alpha$ N-catenin, it forms the cadherin/catenin complex, which has been shown to play important roles in synapse formation and plasticity (Arikath and Reichardt, 2008; Benson and Huntley, 2012; Brigidi and Bamji, 2011; Hirano and Takeichi, 2012; Tai et al., 2008). To investigate requirement for the cadherin/catenin complex in spine pruning in vivo, we conditionally knocked out

endogenous  $\beta$ -catenin in excitatory neurons of the cerebral cortex and hippocampus using inducible CaMKCreERT2 ( $\beta\text{-cat}^{fl/fl}$ ; CaMKCreERT2<sup>+/+</sup>;  $\beta\text{-cat}$  cKO) from 1 m, after the peak of synaptogenesis (Figures S2A–S2F). By 3 m,  $\beta\text{-cat}$  cKO mice had significantly higher spine density (Figures 2A and 2B) as well as less mature spines (Figure 2C), as compared with LoxP littermates ( $\beta\text{-cat}^{fl/fl}$ ; LoxP Ctrl), suggesting impaired spine pruning and maturation. These concurrent defects were very similar to the effects of whisker trimming (Figures 1G–1J), suggesting a



**Figure 2. Appropriate Spine Pruning and Maturation Require the Cadherin/Catenin Complex**

(A) Representative inverted Golgi staining images of spines in S1BF of  $\beta$ -cat<sup>fl/fl</sup>, *CaMKCreERT2*<sup>+/-</sup> ( $\beta$ -cat cKO) mice and LoxP littermates injected with tamoxifen at 1 m.

(B and C) Spine pruning (B, n = 27–40/group) and maturation (C) were impaired in  $\beta$ -cat cKO mice.

(D and E) Representative examples of spines in S1BF of *CamK2 $\alpha$ -Ncad(intra)* mice and wild-type (WT) littermates (D), as well as *cdf/cdf* mice and control littermates (E).

(F and G) Spine pruning (F, n = 30–50/group) and maturation (G, 3 m) were impaired in *CamK2 $\alpha$ -Ncad(intra)* mice.

(H and I) 3 m *cdf/cdf* mice showed higher spine density (H, n = 25–30/group) and less mature spines (I).

Scale bars, 5  $\mu$ m. Data are presented as mean  $\pm$  SEM. See also Figure S2.

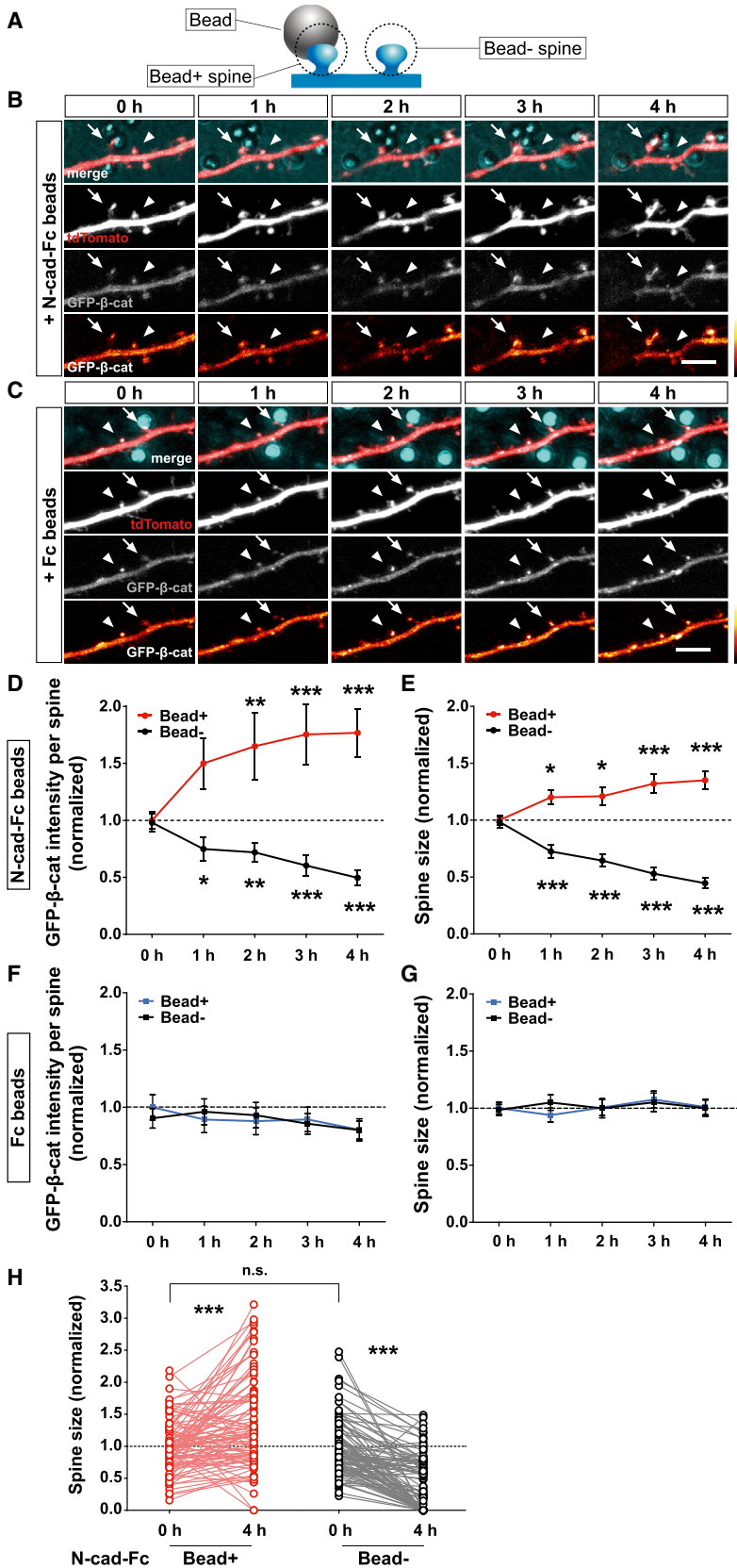
requirement of  $\beta$ -catenin during experience-dependent spine pruning. Similar pruning and maturation defects were also found in the *CamK2 $\alpha$ -Ncad(intra)* transgenic mice (Figures 2D, 2F, and 2G), in which overexpression of the intracellular domain of N-cadherin sequestered endogenous  $\beta$ -catenin and interfered with normal functioning of the complex (Yu and Malenka, 2003). In homozygous *cerebellar deficient folia (cdf)* mice, in which the *Catna2* gene encoding  $\alpha$ N-catenin is deleted, spine density was normal at 1 and 2 m, but significantly higher at 3 m, accompanied by significant reduction in the proportion of mature spines (Figures 2E, 2H, 2I, S2H, and S2I). Thus, in three independent genetic manipulations interfering with the function of the cadherin/catenin complex, we observed concurrent defects in spine pruning and maturation, implicating an important role of this complex in mediating these processes.

#### Locally Enhancing Cadherin/Catenin-Dependent Adhesion Induces $\beta$ -Catenin Redistribution and Spine Fate Differentiation In Vitro

Having shown that  $\beta$ -catenin is required for spine pruning and maturation, we next asked if local changes in cadherin/cat-

enin-dependent adhesion were sufficient to induce the process. To track activity- or  $\beta$ -catenin-dependent changes at the single spine level, cultured neurons were co-transfected with GFP- $\beta$ -catenin and tdTomato and imaged every hour upon local induction of cadherin-dependent adhesion using N-cad-Fc-coated beads. Using a paired spines analysis (PSA) (see Experimental Procedures), we compared spine pairs on the same dendritic branch, one in close contact with a N-cad-Fc bead (bead+ spine) and a neighboring spine not contacted by beads (bead- spine). During a 4-hr imaging period, we found that both GFP- $\beta$ -cat intensity and spine size gradually increased in bead+ spines and were concurrently reduced in neighboring bead- spines, sometimes disappearing all together (Figures 3A, 3B, 3D, and 3E). As control, we showed that coating beads with human Fc did not significantly affect GFP- $\beta$ -cat distribution or spine size (Figures 3C, 3F, and 3G). The likelihood of the bead- spine disappearing was not related to initial spine size (Figure 3H).

Consistent with the GFP- $\beta$ -cat result, N-cad-Fc bead applications enriched surface N-cadherin, indicated by increased pHluorin-N-cadherin (pH-N-cad) intensity in the bead+ spines



**Figure 3. Locally Enhancing Cadherin/Catenin-Dependent Adhesion Differentiates Spine Fates In Vitro**

(A) Schematic showing bead-contacting (bead+) and non-contacting (bead-) spines selected for PSA.

(B and C) Time-lapse images showing spine pairs expressing GFP-β-cat in contact with N-cad-Fc beads (B) or Fc control beads (C, bead+, arrow; bead-, arrowhead). Last row of each image set is in glow scale.

(D and E) PSA results showing changes in GFP-β-cat intensity (D) and spine size (E) between spine pairs in contact with N-cad-Fc beads (n = 90; bead+ versus bead-: D, 0 hr, n.s., 1 hr, p < 0.01, all others, p < 0.001; E, 0 hr, n.s., all others, p < 0.001).

(F and G) PSA results showing changes in GFP-β-cat intensity (F) and spine size (G) between spine pairs in contact with Fc beads (n = 82; bead+ versus bead-: n.s. for all time points).

(H) Plots of the size of 90 spine pairs analyzed in (D) and (E) before and after 4 hr-contact with N-cad-Fc beads. Paired t test, bead+ versus bead- at 0 hr, p = 0.69. Scale bars, 5 μm. Data are presented as mean ± SEM.

and concurrent loss of pH-N-cad signal and reduced size of neighboring bead–spines (Figures 4A–4C). When all spine pairs were sorted into groups according to their survival status (both present, bead+ present only, bead– present only, both disappeared, Figure 4D), it was clear that contact with N-cad-Fc beads significantly enhanced the survival of bead+ spines, while simultaneously reducing that of neighboring bead– spines (Figures 4D and 4E).

Is the ability to induce spine fate differentiation specific to the cadherin/catenin complex? We thus tested a pair of heterophilic cell adhesion molecules well-known for their synaptogenesis functions, namely Neuroligin (NL) and Neurexin (NRXN) (Giagtzoglou et al., 2009; Südhof, 2008). Consistent with their synaptogenic abilities, spines contacting NRXN-1 $\beta$ -Fc-coated beads accumulated NL1-GFP and grew in size. However, the neighboring bead–spine did not shrink and even started to accumulate small amounts of NL1-GFP (Figures 4F–4H). Thus, the ability to simultaneously induce enlargement of one spine and pruning of its neighbor is specific to the cadherin/catenin complex. Further fluorescence recovery after photobleaching (FRAP) experiments showed that N-cadherin traveled in and out of spines faster than NL1 or the AMPA receptor subunit GluA2, as suggested by a shorter recovery half-time, a smaller time constant  $\tau$ , and a larger rate constant K (Figures S3A–S3F), though NL1 had a larger mobile fraction (Figure S3G). Interestingly, the recovery curve of GluA2 fluorescence, similar to a previous report (Ashby et al., 2006), closely resembled that of N-cadherin, consistent with the reported physical interaction between GluA2 and N-cadherin (Saglietti et al., 2007).

### Activity Induces Distance-Dependent Spine Fate Differentiation In Vitro

Are local differences in activity sufficient to induce redistribution of the cadherin/catenin complex and changes in spine fate? To address this question, we electroporated teal fluorescent protein (TFP)-tagged channelrhodopsin (ChR) into 10%–30% of neurons at time of plating and then co-transfected Venus- $\beta$ -cat and tdTomato into another neuronal population (<1%) at 9 days in vitro (DIV), thereby allowing identification of juxtaposed pre-synaptic ChR axons and post-synaptic Venus- $\beta$ -cat/tdTomato spines (Figure S4A). During live imaging at DIV 16, neurons were stimulated with blue light at 4 Hz (10 pulses of 10 ms, every 60 s), in the presence of tetrodotoxin to prevent recurrent activity. PSA was carried out between spine pairs, comparing a spine contacted by ChR axons (ChR<sup>+</sup> spines, Figures 5A and 5C) with a neighboring spine not in contact with any ChR axons (ChR<sup>–</sup> spines). We found that photostimulation induced enlargement of ChR<sup>+</sup> spines, as well as their accumulation of Venus- $\beta$ -cat. Importantly, neighboring ChR<sup>–</sup> spines lost Venus- $\beta$ -cat and shrank in size, sometimes disappearing all together (Figures 5A, 5D, and 5E; TFP Ctrl: Figures S4B and S4C).

To better quantitate these changes, we calculated a competition index (CI) (see [Experimental Procedures](#)) between ChR<sup>+</sup> and ChR<sup>–</sup> spines, with a CI toward “1” indicating that the ChR<sup>+</sup> spine is winning, toward “–1” indicating that the ChR<sup>–</sup> spine is winning, and a CI of “0” indicating no differences between ChR<sup>+</sup> and ChR<sup>–</sup> spines (Figure 5F). Use this simplified parameter, we found that activity-induced increase in CI was inversely corre-

lated with inter-spine distance (Figures 5G, S4G, and S4H) and independent of the number of in between spines (Figure S4I), further supporting a model of local competition for limited resources.

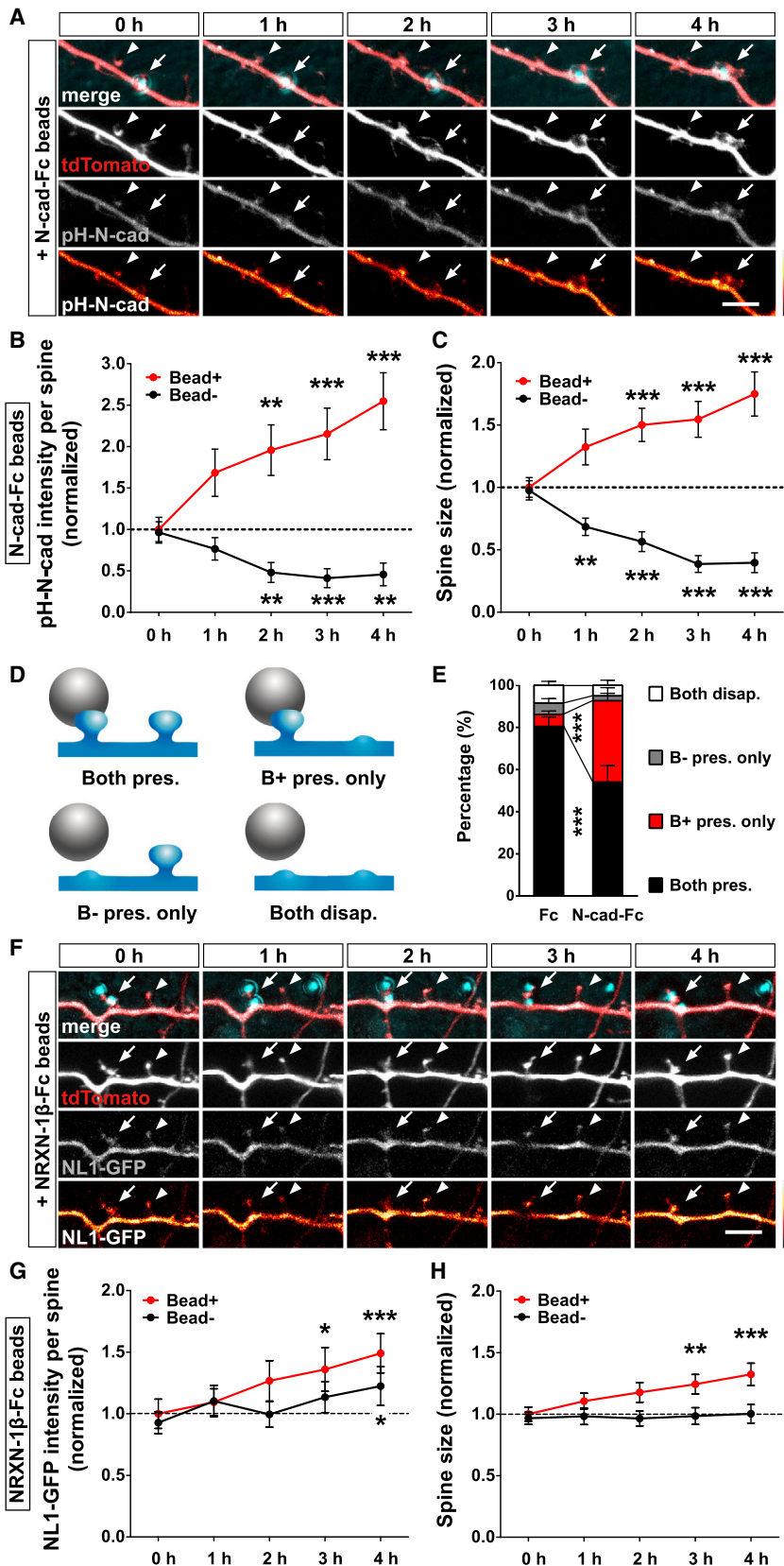
### Activity-Induced Spine Fate Differentiation Requires Cadherin/Catenin-Dependent Adhesion but Not Protein Synthesis or Degradation

To further examine requirement for the cadherin/catenin complex in activity-induced spine fate differentiation, we imaged spines in neurons expressing GFP and in contact with ChR-tdTomato axons, with or without  $\beta$ -catenin RNAi. We found that photostimulation-induced spine fate differentiation was abolished in neurons with reduced endogenous  $\beta$ -catenin, (Figures 5B, 5H, and S4E). This effect was fully rescued by co-expression of Ncad-AD (Figures 5H and S4F), a chimera molecule consisting of the extracellular and transmembrane domain of N-cadherin fused with the actin-binding domain of  $\alpha$ N-catenin and capable of restoring function of the cadherin/catenin complex in the absence of  $\beta$ -catenin (Tan et al., 2010). Together, these results demonstrate that  $\beta$ -catenin, specifically through its function as a component of the cadherin/catenin complex, is required for activity-induced spine fate differentiation.

Consistently, we showed in cross cell comparisons in fixed neuronal cultures that redistribution of  $\beta$ -catenin and differentiation of spine fate still occurred in the presence of the protein synthesis inhibitor cycloheximide (CHX) (Figures S5A and S5C). Interfering with proteasome-dependent protein degradation using MG132 or lactacystin (Lacta) also did not block either process, while application of tetanus toxin (TeTx) (Figures S5A and S5C) to inhibit synaptic transmission did. Importantly, interfering with N-cadherin surface mobility by crosslinking surface N-cadherin with an antibody against its surface epitope (N-cad Ab cross) or using N-cad-Fc-coated beads to sequester N-cadherin along dendritic shafts (N-cad-Fc sequester) (Figures S5A–S5D) effectively blocked both processes. The requirement of actin reorganization and Ca<sup>2+</sup>/calmodulin-dependent protein kinase II (CaMKII) activity, but not protein synthesis, for coordinated spine fate differentiation and  $\beta$ -catenin redistribution was further confirmed by live imaging within the first hour of photostimulation (Figures S5E–S5L). Together, these results strongly suggest a critical role of inter-spine competition for the cadherin/catenin complex in local, activity-induced differentiation of spine fates.

### Inter-Spine Competition for $\beta$ -Catenin Biases Spine Fate In Vivo

Does inter-spine competition for  $\beta$ -catenin also mediate spine pruning in vivo? To investigate this, we injected AAV-Cre-GFP into the S1BF of 1-month-old Ai34D;  $\beta$ -cat <sup>$\Delta$ ex3/ $\Delta$ ex3</sup> mice, where Cre expression (Cre<sup>+</sup>) induced expression of a truncated and stabilized form of  $\beta$ -catenin ( $\beta$ -cat Ovp) (Harada et al., 1999) (Figures 6A, S6A, and S6B), as well as synaptophysin-tdTomato (Ai34D) to mark presynaptic axonal termini (pre.  $\beta$ -cat Ovp, Figures 6A, 6C, and 6D); Ai34D mice injected with AAV-Cre-GFP were used as controls (pre. Ctrl, Figures 6A, 6C, and 6D). Two weeks after viral injection, uninfected layer 2/3 pyramidal neurons near the injection site were filled with the green



**Figure 4. The Ability to Induce Spine Fate Differentiation Is Specific to the Cadherin/Catenin Complex**

(A and F) Time-lapse images showing spine pairs expressing pH-N-cad in contact with N-cad-Fc beads (A) or spines expressing NL1-GFP in contact with NRXN-1 $\beta$ -Fc beads (F, bead+, arrow; bead-, arrow-head), last row in glow scale.

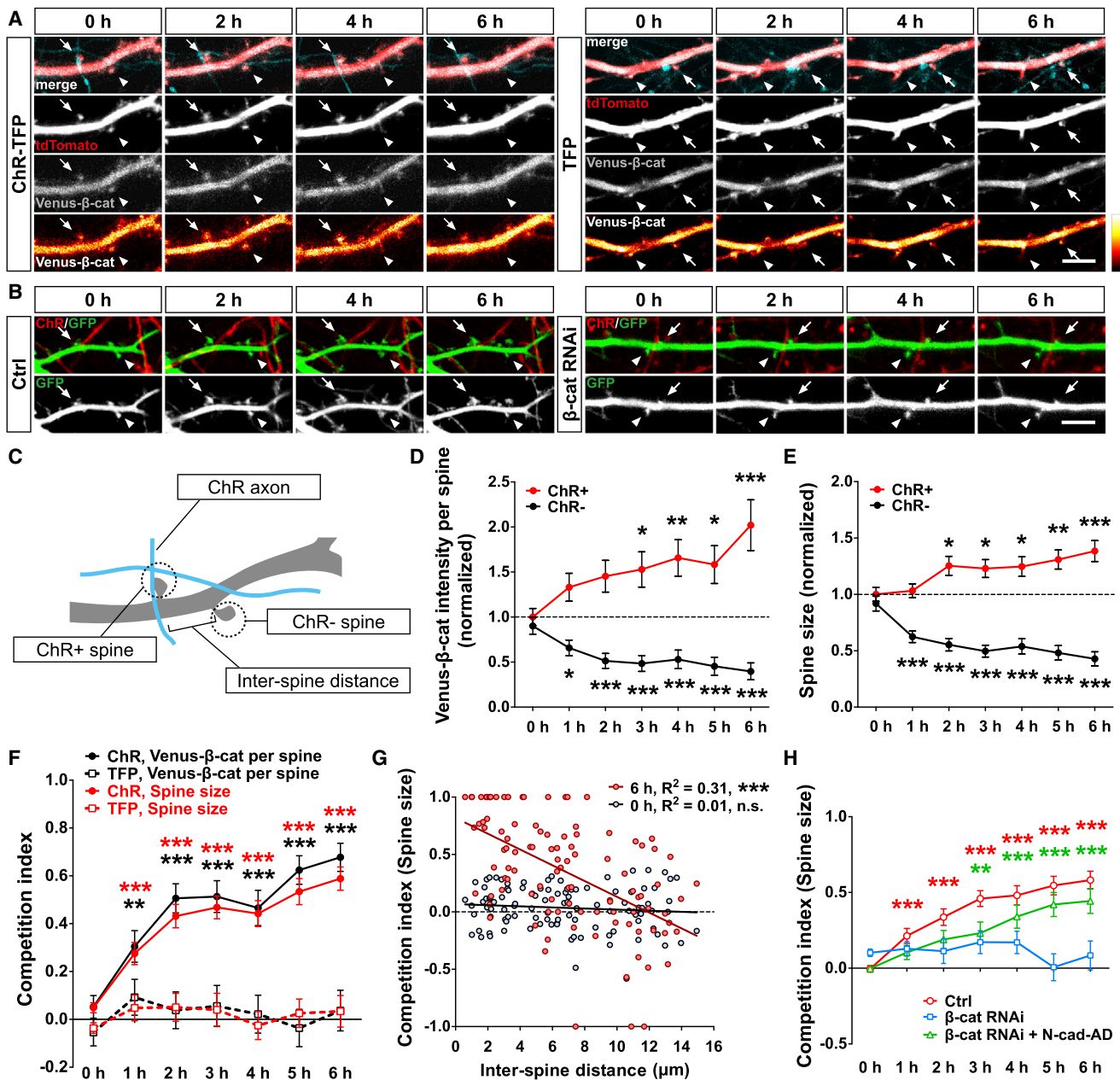
(B and C) PSA results showing changes in pH-N-cad intensity (B) and spine size (C) between spine pairs in contact with N-cad-Fc beads (n = 41; bead+ versus bead-: B, 0 hr, n.s., 1 hr, p < 0.05, all others, p < 0.001; C, 0 hr, n.s. all others, p < 0.001).

(D and E) Schematics (D) and distributions (E) of 4 types of spine pairs at the end of imaging (n = 7 experiments in Fc, 9 in N-cad-Fc).

(G and H) PSA results showing changes in NL1-GFP intensity (G) and spine size (H) between spine pairs in contact with NRXN-1 $\beta$ -Fc beads (n = 81; bead+ versus bead-: G, 0–2 hr, n.s., 3 hr, p < 0.05, 4 hr, p < 0.01).

Scale bars, 5  $\mu$ m. Data are presented as mean  $\pm$  SEM. See also Figure S3.





**Figure 5. Activity Induces In Vitro Spine Fate Differentiation Mediated by  $\beta$ -Catenin**

(A and B) Time-lapse images showing spine pairs (A, red; B, green) contacting ChR-TFP (A, cyan) or ChR-tdTomato (B, red) axons (ChR<sup>+</sup>, arrow; ChR<sup>-</sup>, arrowhead).

(C) Schematics of ChR<sup>+/−</sup> spine pairs selected for PSA.

(D–F) PSA results showing changes in Venus- $\beta$ -cat intensity (D), spine size (E), and competition indices (CIs, F) between the paired spines (n = 58–64, ChR<sup>+</sup> versus ChR<sup>-</sup>: D, 0 hr, n.s., 1 hr, p < 0.05, all others, p < 0.001; E, 0 hr, n.s., 1 hr, p < 0.01, all others, p < 0.001).

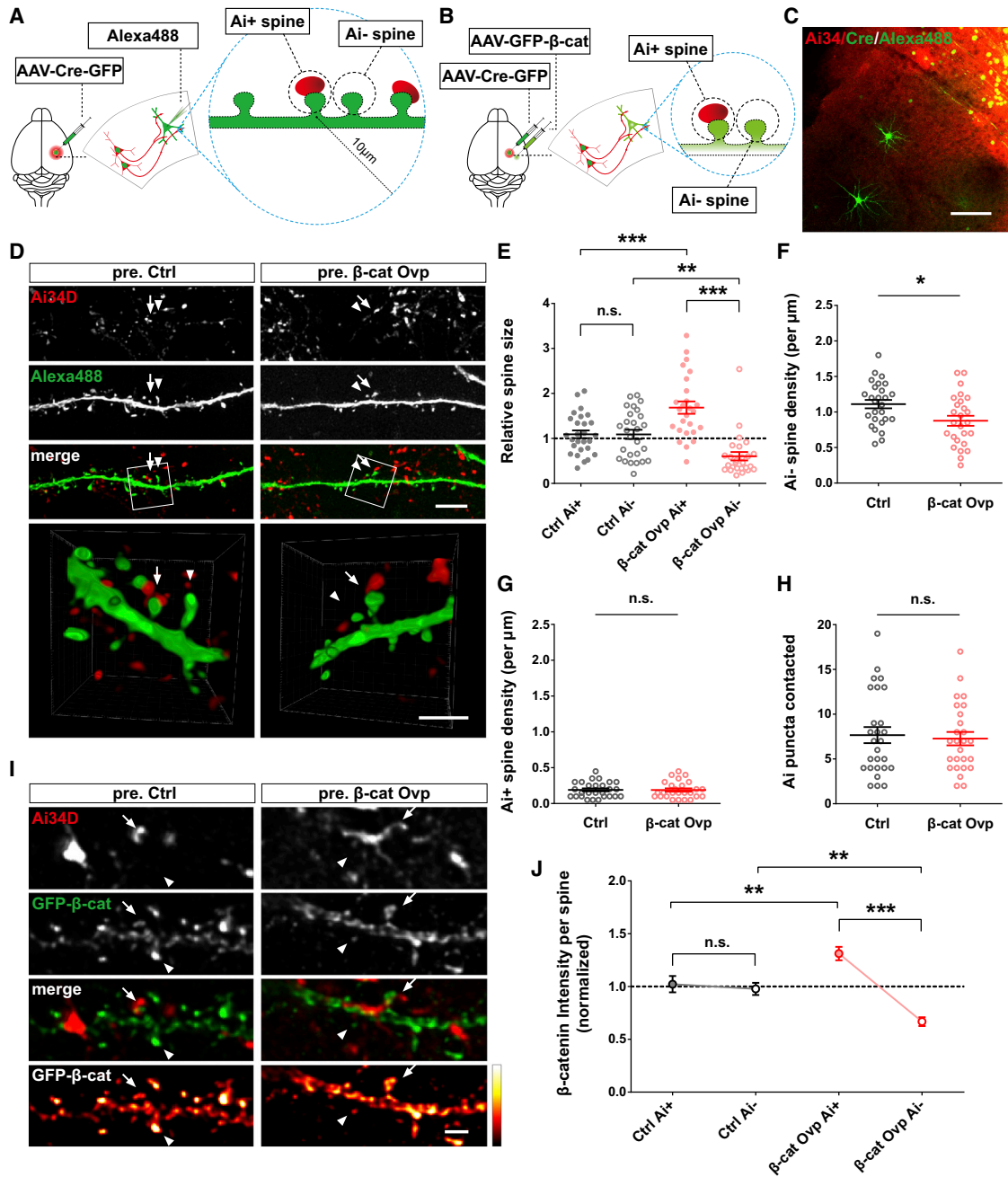
(G) An inverse correlation between CIs (spine size) and inter-spine distances at 6 hr (n = 105).

(H) The increase of CI in ChR spine pairs (red, n = 56) was abolished by  $\beta$ -catenin RNAi (blue, n = 23), and restored by co-expression of N-cad-AD (green, n = 34).

Scale bars, 5  $\mu$ m. Data are presented as mean  $\pm$  SEM. See also Figures S4 and S5.

fluorescent dye Alexa 488 to visualize their spine morphology. PSA was carried out for green spines contacting pre-synaptic Ai34D puncta (Ai<sup>+</sup> spines) via recurrent layer 2/3 connections and adjacent spines on the same dendrite not contacting

Ai34D puncta (Ai<sup>-</sup> spines). In control Ai34D mice, all neurons are genetically wild-type for  $\beta$ -catenin, both pre- and post-synaptically, and no differences were observed in the relative sizes of Ai<sup>+</sup> and Ai<sup>-</sup> spines (Figures 6D and 6E; Movie S1). In



**Figure 6. Inter-Spine Competition for  $\beta$ -Catenin Differentiates Spine Fates In Vivo**

(A and B) Schematics of viral injection and dye microinjection experiments in floxed Ai34D;  $\beta$ -cat <sup>$\Delta$ ex3/ $\Delta$ ex3</sup> mice or Ai34D mice for in vivo PSA of spine size (A) and  $\beta$ -catenin level (B).

(C) Merged image showing Cre-GFP (green nuclear fluorescence, upper right corner), Ai34D (red), and Alexa 488 dye-fill (green neurons with clear morphology, bottom left).

(D) Representative deconvolved images showing spine pairs (green) contacting Ai34D (red) puncta (Ai<sup>+</sup>, arrow; Ai<sup>-</sup>, arrowhead) in Ctrl (pre. Ctrl) and presynaptic  $\beta$ -catenin overexpressing (pre.  $\beta$ -cat Ovp) mice. The magnified and 3D-rendered views of spines in the boxed region (white box in third row) are shown in the bottom row.

(E) PSA results showing changes in relative spine size between spine pairs (n = 26–27). Dotted line indicates the average size of all spines within 10  $\mu$ m radius of the Ai<sup>+</sup> spine.

(F–H) The densities of Ai<sup>-</sup> (F) and Ai<sup>+</sup> spines (G), as well as the number of Ai34D puncta contacted by filled dendrite/spines (H) within 10  $\mu$ m radius of the Ai<sup>+</sup> spine.

(I) Representative deconvolved images showing spine pairs expressing GFP- $\beta$ -cat (green) and contacting Ai34D (red) puncta (Ai<sup>+</sup>, arrow; Ai<sup>-</sup>, arrowhead) in pre. Ctrl and pre.  $\beta$ -cat Ovp mice.

(legend continued on next page)

Ai34D;  $\beta$ -cat <sup>$\Delta$ ex3/ $\Delta$ ex3</sup> mice, the post-synaptic filled neurons did not express Cre and thus had wild-type  $\beta$ -catenin level, while pre-synaptic Ai34D puncta contacting Ai<sup>+</sup> spines had higher levels of presynaptic  $\beta$ -catenin and thus could recruit more cadherin/catenin complexes into the contracting spine. In other words, presynaptic  $\beta$ -cat Ovp by Cre expression in Ai34D;  $\beta$ -cat <sup>$\Delta$ ex3/ $\Delta$ ex3</sup> mice achieved the in vivo equivalent of the N-cad-Fc beads (Figures 3 and 4). PSA showed that Ai<sup>+</sup> spines in pre.  $\beta$ -cat Ovp mice were larger in size than neighboring Ai<sup>-</sup> spines and both also respectively larger and smaller than their counterparts in pre. Ctrl mice (Figures 6D and 6E; Movie S2). We also found that within a 10  $\mu$ m radius of each Ai<sup>+</sup> spine, the density of Ai<sup>-</sup> spines was significantly lower in pre.  $\beta$ -cat Ovp mice, as compared with pre. Ctrl mice (Figure 6F), while no significant changes were found in Ai<sup>+</sup> spine density (Figure 6G). This reduction in Ai<sup>-</sup> spine density was not induced by alterations in the probability of spines contacting Ai34D puncta, since the total number of Ai puncta in contact with the dendritic segment was not significantly different between Ctrl and  $\beta$ -cat Ovp mice (Figure 6H). Together, these results suggest that enhancing cadherin/catenin-dependent adhesion on an individual spine in vivo was sufficient to make it more mature and at the same time destabilizes and/or eliminates neighboring spines.

In a complementary set of experiments, we injected AAV-Cre-GFP and AAV-GFP- $\beta$ -cat (Figure S6C) into two different but close sites in the S1BF of Ai34D;  $\beta$ -cat <sup>$\Delta$ ex3/ $\Delta$ ex3</sup> mice. Neurons infected with the two constructs were highly distinguishable: those expressing Cre showing strong nuclear Cre-GFP signals, as well as Ai34 puncta at their axonal termini, while those expressing GFP- $\beta$ -cat had GFP-tagged  $\beta$ -catenin in their dendrites and spines (Figures 6B and 6I). PSA results showed that in Ctrl mice, 2 weeks after viral injection, GFP- $\beta$ -cat was equally distributed between Ai<sup>+</sup> and Ai<sup>-</sup> spines (Figures 6I and 6J). However, in pre.  $\beta$ -cat Ovp mice, the Ai<sup>+</sup> spines possessed a higher level of GFP- $\beta$ -cat while neighboring Ai<sup>-</sup> spines generally lost GFP- $\beta$ -cat (Figures 6I and 6J). Together these results demonstrate that differential levels of cadherin/catenin-dependent adhesion between neighboring spines are sufficient to induce in vivo redistribution of  $\beta$ -catenin between spines and direct them into the distinct fates of becoming more mature or being eliminated.

In the experiments above, differences between spines within the same neuron were induced. There is an alternative scenario where local differences in post-synaptic  $\beta$ -catenin level between spines of different neurons could bias their competition for a common pre-synaptic axonal terminus (Holtmaat and Svoboda, 2009; Knott et al., 2006; Lee et al., 2013). Deducing from our inter-spine competition model, we would expect that between such neighboring neurons, the one with higher total  $\beta$ -catenin level would be advantaged and thus have a higher spine density. We induced differential  $\beta$ -catenin expression between neighboring neurons by sparsely infecting S1BF in one cerebral hemisphere of  $\beta$ -cat <sup>$\Delta$ ex3</sup> mice ( $\beta$ -cat cKO) with AAV-Cre-GFP (~30% of

all glutamatergic neurons infected) at 1 m and the other hemisphere with AAV-GFP as control (Figure S6D). At 2 or 3 m, three types of neurons were analyzed: Cre<sup>+</sup> neurons with reduced  $\beta$ -catenin level, Cre<sup>-</sup> neurons within 50  $\mu$ m radius of Cre<sup>+</sup> neurons (wild-type  $\beta$ -catenin level), and GFP control neurons from the other hemisphere (wild-type  $\beta$ -catenin level). At both ages, Cre<sup>+</sup> neurons had lower spine density and at 3 m also a lower proportion of mature spines as compared with neighboring Cre<sup>-</sup> neurons or control GFP neurons (Figures S6E and S6G–S6J). These results support the notion that intracellular  $\beta$ -catenin provided “competence” for spine survival and maturation.

When similar experiments were performed in  $\beta$ -cat <sup>$\Delta$ ex3/ $\Delta$ ex3</sup> mice,  $\beta$ -cat Ovp Cre<sup>+</sup> neurons had a higher spine density and accelerated spine maturation (Figures S6F and S6K–S6N). Importantly, Cre<sup>-</sup> neurons neighboring Cre<sup>+</sup>  $\beta$ -cat Ovp neurons had significantly lower spine density and less mature spines as compared with GFP neurons, even though they genetically both have wild-type  $\beta$ -catenin levels (Figures S6F and S6K–S6N). Thus, the fates of individual spines depend not only on their own  $\beta$ -catenin level, but also on their relative level with neighboring “competitor” spines sharing similar axonal inputs.

### Acceleration of Spine Pruning by Enrichment Requires $\beta$ -Catenin

To examine if the cadherin/catenin complex was required for enrichment-induced acceleration of spine pruning, we densely infected S1BF of 1-month-old  $\beta$ -cat <sup>$\Delta$ ex3</sup> mice reared under control or EE conditions with AAV-Cre-GFP (~90% glutamatergic neurons infected) and with AAV-GFP in the opposite hemisphere as a control. Consistent with our Golgi staining data (Figures 1A–1C and 2A–2C), EE yielded significant acceleration of spine pruning and maturation in LoxP control neurons, while both processes were significantly blocked in  $\beta$ -cat cKO neurons (Figures 7A–7D; neurons with severe dendrite blebbing, termed Type II neurons, were excluded from analyses, see Figures S7A–S7D). In EE-reared mice, although  $\beta$ -cat cKO neurons still underwent some level of pruning, their spine densities at 2 and 3 m were significantly higher than those of LoxP control neurons and similar to that of  $\beta$ -cat cKO neurons in Ctrl mice (Figures 7A and 7B). More importantly, spine maturation was completely blocked in  $\beta$ -cat cKO neurons under EE conditions (Figure 7D). These results demonstrated that experience-induced acceleration of spine pruning and maturation required  $\beta$ -catenin function, although enrichment may partially compensate the pruning defects in  $\beta$ -cat cKO neurons, probably through activation of alternative mechanisms. In support of the limited resource hypothesis, enrichment did not significantly affect N-cadherin and  $\beta$ -catenin levels (Figures S7E–S7G).

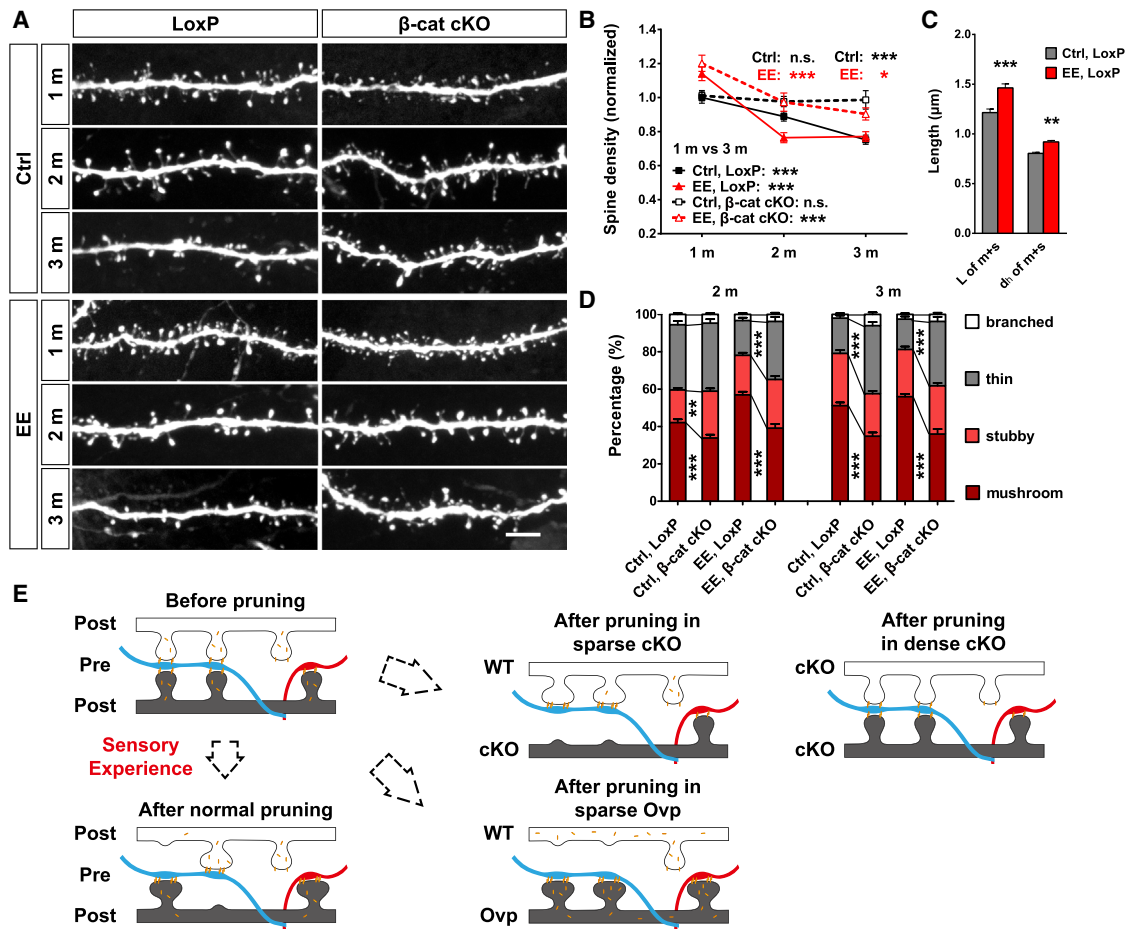
## DISCUSSION

The neural circuitry in the mammalian brain undergoes substantial remodeling during postnatal development. Here we

(J) PSA results showing GFP- $\beta$ -cat level in spine pairs ( $n = 76$ – $102$ ). Dotted line indicates the average GFP- $\beta$ -cat intensity of all spines measured along the same dendrite.

Scale bars, 100  $\mu$ m in (C), 5  $\mu$ m in third row of (D), 2  $\mu$ m in the bottom row of (D) and in (I).

Data are presented as mean  $\pm$  SEM. See also Figure S6 and Movies S1 and S2.



**Figure 7. Reduction in Endogenous  $\beta$ -Catenin Level Prevents Enrichment-Induced Acceleration of Spine Pruning and Maturation**

(A) Representative images of spines of neurons in  $\beta$ -cat<sup>fl/fl</sup> mice infected with AAV-GFP (LoxP) or AAV-Cre-GFP ( $\beta$ -cat cKO) at 1 m. (B–D) Spine pruning (B, n = 24–38/group) and maturation (D) in  $\beta$ -cat cKO neurons were both impaired under Ctrl and EE conditions. The length and head diameter of mushroom and stubby spines in LoxP neurons were both impaired under Ctrl and EE conditions (C; n = 200/group). (E) A competition-based model of spine pruning. Scale bars, 5  $\mu$ m. Data are presented as mean  $\pm$  SEM. See also Figure S7.

demonstrated that during brain maturation, neural activity drives neighboring spines to compete for limited cadherin/catenin complexes, resulting in redistribution of cadherins and catenins from less active spines to the more active spines, leading to concurrent maturation of the latter and elimination/pruning of the former. This activity-driven, cadherin/catenin-dependent and competition-based model for coordinated spine pruning and maturation (Figure 7E) provides a molecular mechanism for specificity during neural circuit refinement. In light of previous work showing regulation of spine density via competition between neurons for limited resources (English et al., 2012; Kwon et al., 2012), this work demonstrates that the competition can occur at the more subcellular level of neighboring spines on the same dendritic branch. Thus, it may represent a basic principle in neuroscience that limited availability of specific molecules determines the normal wiring of the brain and defect of which may result in neuropsychiatric disorders.

### Activity-Dependent Spine Pruning in Multiple Brain Regions

Spine pruning is a well-characterized phenomenon that occurs across mammalian species (Alvarez and Sabatini, 2007; Bhatt et al., 2009; Fu and Zuo, 2011; Holtmaat and Svoboda, 2009). Here, we demonstrate that spine pruning occurs at the population level in multiple sensory-motor regions of the rodent brain, as well as medium spiny neurons of the dorsal striatum (Figures 1 and S1A–S1D). While the causal relationship between spine dynamics and memory remains to be demonstrated conclusively (Hübener and Bonhoeffer, 2010), the observations that sensory experience, motor learning, and fear conditioning all affected the rates of both spine formation and elimination (Fu et al., 2012; Holtmaat et al., 2006; Lai et al., 2012; Trachtenberg et al., 2002; Xu et al., 2009; Yang et al., 2009; Zuo et al., 2005b), clearly demonstrate a strong correlation between spine dynamics and experience-dependent learning. We surmise that in the sensory/motor cortices, spine pruning occurs at the

population level, likely because development within each region is relatively synchronized in order to learn “routines,” such as differentiating shapes, textures, and odors and acquiring motor skills like walking, climbing, and whisking, abilities that remain relatively constant throughout adulthood.

In the PFC and hippocampus, in contrast, new memories are constantly formed and unconsolidated ones removed throughout life, a few synapses at a time, resulting in individualized spine dynamics and sustained circuit plasticity. In our experiments, the most significant effect of EE in these regions was slightly increased spine density at one development time point (Figures S1E and S1F), possibly generating more potential for plasticity in adulthood. This result is in contrast to the population level spine pruning observed in the PFC of post-mortem human and non-human primates. Interestingly, both spinogenesis and spine pruning are delayed in the PFC, as compared to sensory cortices, in humans (Huttenlocher, 2002), while in non-human primates, spinogenesis was similar between different regions, but spine pruning was more protracted in the PFC (Elston et al., 2009; Rakic et al., 1986, 1994). This evolutionary change in PFC spine dynamics likely reflects the increasing importance of the PFC for higher cognitive tasks in primates and especially humans.

#### Cadherin/Catenin-Dependent and Competition-Based Model for Coordinated Spine Pruning and Maturation

The molecular mechanism by which spines are selectively pruned during brain maturation has been a long-standing mystery. Here, we demonstrate that spine pruning is highly coordinated with spine maturation and is an inevitable consequence of activity-induced inter-spine competition for limited intracellular resource, which we identified to be the cadherin/catenin complex (Figure 7E).

The cadherin/catenin complex is synaptically localized and has well-described roles in promoting spinogenesis (Arikath and Reichardt, 2008; Benson and Huntley, 2012; Brigidi and Bamji, 2011; Tai et al., 2008). Here, we demonstrate that local redistribution of  $\beta$ -catenin via inter-spine competition is necessary and sufficient for mediating spine pruning (Figures 3, 4, 5, 6, and S6). The dependence of this process on inter-spine distance (Figure 5G) and N-cadherin motility (Figures S5A–S5D), but not on protein synthesis or degradation (Figures S5A, S5C, S5H, and S5L), strongly suggests that competition for surface cadherin/catenin complexes is the main driving force underlying initial spine fate determination. Consistently, activity has been previously shown to locally regulate N-cadherin endocytosis (Tai et al., 2007), an important step for relocalizing cadherin/catenin complexes between spines, and stabilize trans-synaptic cadherin/catenin complexes (Tanaka et al., 2000). The competition-based model of spine pruning is further supported by our in vivo observations that under all conditions where neighboring neurons had similar  $\beta$ -catenin levels (Figures 1, 2, and 7), as would occur naturally, spine pruning and maturation are always coordinated, demonstrating tight coordination between these processes in vivo.

Is the role of cadherin/catenin complex in mediating coordinated spine maturation and pruning related to its spinogenesis effects? We believe these to be distinct functions. In its syn-

aptogenesis function, loss of  $\beta$ -catenin at the population level reduced spine density (Arikath and Reichardt, 2008; Benson and Huntley, 2012; Brigidi and Bamji, 2011; Tai et al., 2008). In contrast, in the concurrent spine maturation and pruning function we identify here,  $\beta$ -catenin loss at the population level resulted in pruning defects and higher overall spine density (Figures 2A–2C and 7A–7D). The behavior of the cadherins/catenins is distinct from that of the NLs/NRXNs, another well-studied pair of cell adhesion molecules known for their strong synaptogenic effects (Giagtzoglou et al., 2009; Südhof, 2008). Locally clustering NLs induced spine enlargement, without inducing pruning of its neighbors (Figures 4F–4H). This important difference distinguishes NL from N-cadherin, with the former being strictly synaptogenic, and the latter having a weaker synaptogenic role, but later on playing important roles in the refinement of neural circuitry. We note that N-cadherin is homophilic, which may facilitate coordinated pre- and post-synaptic interactions, as only the expression of one molecule needs to be regulated.

#### Abnormal Spine Pruning in Neurodevelopmental Disorders

Loss- and gain-of-function of a number of molecules have already been shown to both result in neurological disorders with developmental origins, including ASD, intellectual disability, and schizophrenia (Glausier and Lewis, 2013; Penzes et al., 2011; Zoghbi and Bear, 2012), underscoring the importance of appropriate gene expression for normal neural circuit development. N-cadherin and  $\beta$ -catenin, by virtue of their early expression in embryonic development, are essential for survival of the embryo and thus not genetically associated with neuropsychiatric disorders. However, other catenins with overlapping functions, including *Cttna2* and *Ctnd2*, as well as classical cadherin family members with more restricted expression patterns, such as *Cdh7*, *Cdh9*, *Cdh10*, *Cdh12*, *Cdh15*, and *Cdh18*, have been linked to ASD, intellectual disability, bipolar disorder, and schizophrenia (Hirano and Takeichi, 2012; Redies et al., 2012; Turner et al., 2015).

Increased spine density has been observed in adolescent postmodern autism patients but not younger ones, suggesting defects in spine pruning in ASD patients. In contrast to schizophrenia, where spine density abnormalities were restricted to the PFC (Glausier and Lewis, 2013), spine pruning defects in ASD patients were found in all brain areas examined, including temporal, parietal, and frontal regions (Hutsler and Zhang, 2010; Tang et al., 2014). Abnormalities in spine pruning have also been reported in multiple sensory regions in ASD mouse models such as *Fmr1* KO and *MECP2* duplication (He and Portera-Cailliau, 2013; Penzes et al., 2011; Zoghbi and Bear, 2012). Since “hypersensitivity and hyposensitivity to sensory inputs” is prevalent among children with ASD (Marco et al., 2011; Suarez, 2012), defects in spine pruning in sensory cortices could be a contributing factor to the observed changes in sensory inputs. Furthermore, given the fundamental roles of dendritic spines in shaping neural circuitry and neural plasticity, the regulation of spine pruning by cadherin/catenin complexes, in the context of existing literature, may provide the missing link through which alterations in transcription factors such as

FMRP and MeCP2 can lead to autism, intellectual disability, and related neurodevelopmental disorders.

## EXPERIMENTAL PROCEDURES

Full experimental details can be found in the [Supplemental Experimental Procedures](#).

### Viral Injections, Immunohistochemistry, and Fluorescent Dye Microinjections

All animal procedures complied with the animal care standards set forth by the US NIH and have been approved by the Institutional Animal Care and Use Committee of the Institute of Neuroscience, Chinese Academy of Science (Shanghai, China). Mice injected with adeno-associated viruses (AAVs, packaged by Obio Technology) were perfused with PBS, followed by 4% paraformaldehyde. Coronal sections (30  $\mu\text{m}$ ) were used for immunohistochemistry and 250  $\mu\text{m}$  sections for dye microinjections, carried out on a Nikon FN1 fluorescence microscope using sharp glass pipettes loaded with fluorescent dyes.

### Image Acquisition and Live Imaging

For in vivo spine analysis, basal dendrites of layer 2/3 pyramidal neurons were imaged at 1  $\mu\text{m}$  (Golgi staining) or 0.3  $\mu\text{m}$  (dye microinjection) Z intervals.

Live imaging experiments were performed using DIV16 neurons. For beads experiments, protein-coated beads were applied to the cultures 30 min before imaging to allow the beads to sink to the bottom of the dish. For photostimulation, a custom-made LED system controlled by Master-8 (A.M.P.I.) was used to deliver a train of blue light pulses every 60 s.

### Image Analyses

All images were analyzed with no post-acquisition modifications and blinded to the experimental condition. PSA was performed in ImageProPlus by randomly selecting neighboring spines (regions of interest) on the same dendritic branch from images in the morphology marker channel and thresholded. A mask was then generated to measure spine area and total intensity of fluorescently tagged proteins. The competition index (CI) was calculated as:  $(pC^+ - pC^-)/(pC^+ + pC^-)$ , in which "p" is the parameter of interest, i.e., spine size or integrated intensity of the labeled molecule and "C<sup>+</sup>" or "C<sup>-</sup>" indicates whether the parameter was measured in a contacting (C<sup>+</sup>) or non-contacting (C<sup>-</sup>) spine, be it bead or ChR axon.

For in vivo comparison of Ai<sup>+</sup> and Ai<sup>-</sup> spines, PSA was performed between Ai<sup>+</sup> spine and the nearest Ai<sup>-</sup> spine on the same dendrite. A circle of radius 10  $\mu\text{m}$  was drawn around the Ai<sup>+</sup> spine and the density of Ai<sup>+</sup> and Ai<sup>-</sup> spine within that circle measured. The sizes of Ai<sup>+</sup>/Ai<sup>-</sup> spine pairs were normalized to the mean size of all spines in that circle, and GFP- $\beta$ -cat intensity was normalized to the mean intensity of all spines analyzed on the same neuron (usually six to ten spines).

In example images, brightness/contrast adjustment within linear ranges were made using Fiji/ImageJ when necessary. Images in [Figures 6D](#) and [6I](#) and [Movies S1](#) and [S2](#) were deconvolved using Huygens Essential and 3D rendered in Imaris. For Golgi staining examples, images were projected at minimal intensity and inverted.

## SUPPLEMENTAL INFORMATION

Supplemental Information includes Supplemental Experimental Procedures, seven figures, and two movies and can be found with this article online at <http://dx.doi.org/10.1016/j.cell.2015.07.018>.

## AUTHOR CONTRIBUTIONS

W.-J.B. and X.Y. designed the project and wrote the manuscript. W.-J.B. performed all experiments and data analyses, except for western blots by W.-Y.M. and generation of molecular tools by S.-J.H. and Z.Q. All authors commented on the manuscript.

## ACKNOWLEDGMENTS

We thank Drs. Klaus Nave, Makoto M. Taketo, and the European Mutant Mouse Archive for transgenic mice, and Drs. Hailan Hu, Wei Lu, Thomas Sudhof, Chin-Yin Tai, Roger Tsien, and Yi-Zheng Wang for constructs. We thank He-Ling Song, Yuan Lu, Yue Sun, Zong-Fang Wan, Xue-Zhi Zeng, and Sha-Jin Huang for technical assistance and Dr. Yunqing Wen for help with the custom-made LED system. We thank the ION Optical Imaging Core Facility and Transgenic Core Facility for excellent technical support. We are grateful to colleagues at ION and members of the X.Y. laboratory for suggestions and comments. This work was supported by grants from the Ministry of Science and Technology (2011CBA00400) and the National Natural Science Foundation of China (31125015 and 31321091).

Received: October 29, 2014

Revised: April 11, 2015

Accepted: June 13, 2015

Published: August 6, 2015

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