

On Arithmetic Properties of Integers with Missing Digits I: Distribution in Residue Classes

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Consider all the integers not exceeding x with the property that in the system number to base g all their digits belong to a given set $\mathcal{D} \subset \{0, 1, \dots, g, -1\}$. The distribution of these integers in residue classes to “not very large” moduli is studied.

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SECTION 1

Throughout this paper we use the following notations: We denote by \mathbb{R} , \mathbb{Z} , and \mathbb{N} the sets of the real numbers, integers and positive integers. We write $l_1(N) = \log N$, $l_2(N) = \log \log N$, $l_3(N) = \log \log \log N$. If $F(N) = O(G(N))$, then we write $F(N) \ll G(N)$; if the implied constant depends on certain parameters α, β, \dots (but on no other parameters), then we write $F(N) = O_{\alpha, \beta, \dots}(G(N))$ and $F(N) \ll_{\alpha, \beta, \dots} G(N)$. We denote by $\omega(n)$ the number of

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distinct prime factors of n and by $\Omega(n)$ the number of prime factors of n counted with multiplicity. The greatest prime factor of the integers n will be denoted by $P(n)$ and $\varphi(n)$ is Euler's function. If α is a real number, we write $e(\alpha) = e^{2i\pi\alpha}$ and $\|\alpha\| = d(\alpha, \mathbb{Z})$ the distance of α to the closest integer.

Let $g \in \mathbb{N}$ be fixed with

$$g \geq 2. \tag{1.1}$$

If $n \in \mathbb{N}$, then representing n in the number system to base g :

$$n = \sum_{j=0}^{\mu} a_j g^j, \quad 0 \leq a_j \leq g-1,$$

we write

$$S(n) = \sum_{j=0}^{\mu} a_j.$$

For $N \in \mathbb{N}$, $m \in \mathbb{N}$, $r \in \mathbb{Z}$ we write

$$\mathcal{U}_{(m,r)}(N) = \{n: n \leq N, S(n) \equiv r \pmod{m}\}.$$

The arithmetic structure of the sets $\mathcal{U}_{m,r}(N)$ has been studied by Gelfond [GEL]. His main result which extends an earlier result of Fine [FIN] is the following:

RU 1. *If $m \in \mathbb{N}$ is fixed with*

$$(m, g-1) = 1, \tag{1.2}$$

then for all $r \in \mathbb{Z}$ and all "small" $q \in \mathbb{N}$. the set $\mathcal{U}_{(m,r)}(N)$ is well-distributed in the residue classes modulo q .

As an application of the result above, Gelfond estimated the number of "z-free" elements of $\mathcal{U}_{(m,r)}(N)$:

(RU 2) If $g \in \mathbb{N}$, $m \in \mathbb{N}$, $z \in \mathbb{N}$ are fixed with (1.1), (1.2) and $z > 1$, and $r \in \mathbb{Z}$, then for $N \rightarrow +\infty$ Gelfond [GEL] gave an asymptotics for the member of elements of $\mathcal{U}_{(m,r)}(N)$ which are not divisible by the z th power of a prime.

In [MS1] we studied further arithmetic properties of the elements of the sets $\mathcal{U}_{(m,r)}(N)$. But one might think that these results are not very much surprising since the sets $\mathcal{U}_{(m,r)}(N)$ are of "positive density" and would like to study "thinner" sets characterized by digit properties and to see whether still the same conclusion holds.

Indeed, in [MS2] we introduced the sets $\mathcal{V}_k(N)$ defined in the following way: if $g \in \mathbb{N}$, $g \geq 2$, $N \in \mathbb{N}$, $k \in \mathbb{N}$, $0 \leq k \leq (g-1)(\log N / \log g + 1)$, then let

$$\mathcal{V}_k = \mathcal{V}_k(N) = \{n: n \leq N, S(n) = k\}$$

(where again, $S(n)$ denotes the sum of the digits in the number system to base g). We showed that for every k we have

$$|\mathcal{V}_k(N)| \ll_g N(\log N)^{-1/2}$$

so that, in fact, the sets \mathcal{V}_k are much thinner than the sets $\mathcal{U}_{(m,r)}$. In [MS2] we proved analogs of the results (RU 1) and (RU 2) with the sets \mathcal{V}_k in place of the sets $\mathcal{U}_{(m,r)}$:

RV 1. If $g \in \mathbb{N}$, $g \geq 2$, $k \in \mathbb{N}$, $0 < k < (g-1)(\log N / \log g + 1)$,

$$\min \left(k, (g-1) \frac{\log N}{\log k} - k \right) \rightarrow +\infty,$$

$m \in \mathbb{N}$ and m is “small,” then \mathcal{V}_k is well-distributed in the residue classes modulo m .

As an application of this result, (RV 2) a \mathcal{V} -analog of (RU 2) is given in [MS2].

Several other arithmetic properties of the sets $\mathcal{U}_{(m,r)}$ and \mathcal{V}_k are given in [MS1], [MS2].

SECTION 2

In this paper, our goal is to study even thinner sets characterized by digit properties. Indeed, while $|\mathcal{U}_{(m,r)}(N)| \gg N$ and

$$\max_k |\mathcal{V}_k(N)| \geq N(\log N)^{-1/2},$$

here our goal is to study sets with cardinality $< N^{1-\varepsilon}$. The most natural way to construct such a set via digit properties is to consider integers with missing digits. In other words, let

$$g \in \mathbb{N}, \quad g \geq 3, \quad t \in \mathbb{N}, \quad 2 \leq t \leq g-1, \quad (2.1)$$

$$\mathcal{D} \subset \{0, 1, \dots, g-1\}, \quad 0 \in \mathcal{D}, \quad |\mathcal{D}| = t, \quad (2.2)$$

and let $\mathcal{W}_{\mathcal{D}}(N)$ denote the set of the integers n such that $0 \leq n \leq N$ and representing n in the number system to base g :

$$n = \sum_{j=0}^v a_j g^j, \quad 0 \leq a_j \leq g-1, \quad (2.3)$$

where now

$$g^v \leq N < g^{v+1}, \quad (2.4)$$

we have

$$a_j \in \mathcal{D} \quad \text{for } j=0, 1, \dots, v.$$

(Note that the assumption $0 \in \mathcal{D}$ is not necessary, but it makes the discussion slightly simpler, besides the general case can be reduced to this one.) Sets of the type $\mathcal{W}_{\mathcal{D}}(N)$ have been studied in [COQ1], [COQ2], [COQ3], [FK], [MAU]. Here our goal is to prove analogs of the results (RU 1), (RU 2), (RV 1), (RV 2) for the sets $\mathcal{W}_{\mathcal{D}}$. The study of the analogs of the other arithmetic properties studied in [MS1], [MS2] with the sets $\mathcal{W}_{\mathcal{D}}$ in place of the sets $\mathcal{U}_{(m,r)}$ or \mathcal{V}_k will appear in a further paper.

First we will prove the \mathcal{W} -analog of the results (RU 1), (RV 1):

THEOREM 1. *If g and t satisfy (2.1), then there exist positive constants $c_1 = c_1(g, t)$, $c_2 = c_2(g, t)$, $c_3 = c_3(g, t)$ such that if also (2.2) holds, and writing $\mathcal{D} = \{d_1, d_2, \dots, d_t\}$ where $d_1 = 0$, we have*

$$(d_2, \dots, d_t) = 1, \quad (2.5)$$

moreover, $N \in \mathbb{N}$, $m \in \mathbb{N}$, $m \geq 2$, $((g-1)g, m) = 1$,

$$m < \exp(c_1(\log N)^{1/2}) \quad (2.6)$$

and $h \in \mathbb{Z}$, then

$$\begin{aligned} & \left| \left| \{n: n \in \mathcal{W}_{\mathcal{D}}(N), n \equiv h \pmod{m}\} \right| - \frac{1}{m} |\mathcal{W}_{\mathcal{D}}(N)| \right| \\ & < c_2 \frac{1}{m} |\mathcal{W}_{\mathcal{D}}(N)| \exp\left(-c_3 \frac{\log N}{\log m}\right). \end{aligned} \quad (2.7)$$

(Note that the condition (2.5) is necessary since (d_2, \dots, d_t) divides every element of $\mathcal{W}_{\mathcal{D}}(N)$.)

By Theorem 1, the set $\mathcal{W}_{\mathcal{D}}(N)$ is well-distributed in the modulo m residue classes if $m < \exp(c(g, t)(\log N)^{1/2})$. It follows that for such an m , $\mathcal{W}_{\mathcal{D}}(N)$ meets every residue class modulo m . One may ask the question that how

large can m be with this property? We will prove the following theorem in this direction:

THEOREM 2. *If g, t satisfy (2.1), then there is an effectively computable number $N_0 = N_0(g, t)$ such that if $\mathcal{D} \subset \{0, 1, \dots, g-1\}$, $|\mathcal{D}| = t$, $N > N_0$, then there is a prime p with the following properties: writing*

$$k = \left\lceil 2 \frac{\log g}{\log t} \right\rceil + 1,$$

we have

$$p > N^{(\log t)/2(k \log g)},$$

and $\mathcal{W}_{\mathcal{D}}(N)$ meets every residue class modulo p .

From the opposite direction, one might like to show that there exist relatively small moduli m (small in terms of $|\mathcal{W}_{\mathcal{D}}(N)|$) such that $\mathcal{W}_{\mathcal{D}}(N)$ does not meet residue class modulo m . If $m > |\mathcal{W}_{\mathcal{D}}(N)|$ then this is clearly so. We will improve on this trivial bound considerably:

THEOREM 3. *Let $\varepsilon > 0$. Then there is a number $g_0 = g_0(\varepsilon)$ such that if $g \in \mathbb{N}$, $g > g_0$ and $\mathcal{D} = \{0, 1\}$, then for infinitely many $N \in \mathbb{N}$ there is an $m \in \mathbb{N}$ such that $\gcd(m, g) = 1$,*

$$m < |\mathcal{W}_{\mathcal{D}}(N)|^{\varepsilon} \tag{2.8}$$

and $\mathcal{W}_{\mathcal{D}}(N)$ does not meet every residue class modulo m .

(Indeed, it will turn out that $\mathcal{W}_{\mathcal{D}}(N)$ meets only “few” residue classes modulo m .)

One can apply Theorem 1 to prove the \mathcal{W} -analog of (RU 2), (RV 2):

THEOREM 4. *If g, t, \mathcal{D} are defined as in Theorem 1, and $z \in \mathbb{N}$,*

$$z > \frac{\log g}{\log t}, \tag{2.9}$$

then there are effectively computable constants N_0, c_4 (both depending on g, t and z only) such that if $N > N_0$, then the number $T_z(N)$ of those integers n with $n \in \mathcal{W}_{\mathcal{D}}(N)$ which are not divisible by the z th power of a prime p with $((g-1)g, p) = 1$ is

$$T_z(N) = \left(\zeta(z) \prod_{p|(g-1)} \left(1 - \frac{1}{p^z} \right) \right)^{-1} |\mathcal{W}_{\mathcal{D}}(N)| \\ \times \left(1 + O_{g,t,z} \left(\exp \left(-c_4 (\log N)^{1/2} \left(\frac{\log t}{\log g} - \frac{1}{z} \right) \right) \right) \right) \quad (2.10)$$

Note that we have no asymptotics for $T_z(N)$ if (2.9) does not hold. Thus, e.g., we have not been able to settle the following problem:

Problem 1. Is it true that if $g \in \mathbb{N}$, $g \geq 6$ then there are infinitely many square-free integers such that every digit of them in the number system to base g is 0 or 1?

Note that for $g=3, 4$ and 5 this has been proved by Filaseta and Konyagin in [FK], and the $g=3$ special case also follows from Theorem 4 above.

By Theorem 4, if $\log t/\log g$ is “large” then there are many integers free of z th powers in $\mathcal{W}_{\mathcal{D}}(N)$. One might like to prove the opposite statement, i.e., that there are integers with large z th power part in $\mathcal{W}_{\mathcal{D}}(N)$. Indeed, if g, t, \mathcal{D} are defined as in Theorem 1, $z \in \mathbb{N}$ and $z \geq 2$, then by Theorem 1, for large n $\mathcal{W}_{\mathcal{D}}(N)$ contains integers with z th power part greater than $\exp(c(\log N)^{1/2})$. We will show that if t is close enough to g and z is small enough in terms of t and g then $\mathcal{W}_{\mathcal{D}}(N)$ contains integers with z th power parts as large as N^c (with $c = c(g, t, z)$):

THEOREM 5. *If g, t, \mathcal{D} satisfy (2.1) and (2.2), and $z \in \mathbb{N}$,*

$$z < \left(2 \left(1 - \frac{\log t}{\log g} \right) \right)^{-1}, \quad (2.11)$$

then there are effectively computable constants $N_0 = N_0(g, t, z)$ and $c_5 = c_5(g, t, z)$ such that if $N > N_0$ then there is a positive integer n and a prime p with

$$n \in \mathcal{W}_{\mathcal{D}}(N), \quad p > c_5 \left(\frac{N^{(\log t)/(2 \log g)}}{\log N} \right)^{1/(2z-1)}$$

and

$$p^z | n.$$

Thus, e.g., if $\log t/\log g > \frac{3}{4}$ then there are integers with large prime square part in $\mathcal{W}_{\mathcal{D}}(N)$. On the other hand, we have not been able to settle the following question:

Problem 2. Is it true that if $g \in \mathbb{N}$, $g \geq 3$ then there is a constant $c = c(g)$ with the following property: there are infinitely many integers n such that

every digit of them in the number system to base g is 0 or 1, and there is a prime with $p > n^c$, $p^2 | n$?

SECTION 3

Three Lemmas. To prove Theorem 1 we shall need three lemmas.

LEMMA 1. *If g , t and \mathcal{D} are defined as in Theorem 1 and $\alpha \in \mathbb{R}$, then there is an integer j such that $2 \leq j \leq t$ and*

$$\|d_j \alpha\| \geq \frac{1}{2(g-1)^2} \|\alpha\|. \quad (3.1)$$

Proof of Lemma 1. We have to distinguish two cases.

Case 1. Assume that

$$\|\alpha\| \leq \frac{1}{2(g-1)},$$

i.e., α can be written in the form

$$\alpha = k + \theta_1$$

with $k \in \mathbb{Z}$ and

$$|\theta_1| = \|\alpha\| \leq \frac{1}{2(g-1)}. \quad (3.2)$$

Then we have

$$d_2 \alpha = d_2 k + d_2 \theta_1 = d_2 k + \theta_2 \quad (3.3)$$

where, in view of (3.2),

$$|\theta_2| = |d_2 \theta_1| = d_2 |\theta_1| \leq (g-1) \frac{1}{2(g-1)} = \frac{1}{2}. \quad (3.4)$$

It follows from (3.3) and (3.4) that

$$\|d_2 \alpha\| = |\theta_2| = |d_2 \theta_1|$$

so that

$$\|d_2 \alpha\| = d_2 |\theta_1| \geq |\theta_1| = \|\alpha\|$$

which implies (3.1) with 2 in place of j .

Case 2. Assume now that

$$\|\alpha\| > \frac{1}{2(g-1)}. \quad (3.5)$$

If

$$\|d_2\alpha\| \geq \frac{1}{2(g-1)^2},$$

then (3.1) holds with $j=2$; thus we may assume that

$$\|d_2\alpha\| < \frac{1}{2(g-1)^2},$$

i.e., $d_2\alpha$ can be written in the form

$$d_2\alpha = l + \theta_3 \quad (3.6)$$

with $l \in \mathbb{Z}$ and

$$|\theta_3| < \frac{1}{2(g-1)^2}. \quad (3.7)$$

Dividing (3.6) by d_2 we obtain

$$\alpha = \frac{l}{d_2} + \frac{\theta_3}{d_2}. \quad (3.8)$$

If $d_2|l$ then this implies

$$\|\alpha\| \leq \frac{|\theta_3|}{d_2} \leq |\theta_3| < \frac{1}{2(g-1)^2}$$

which contradicts (3.5). It follows that $d_2 \nmid l$ so that writing l/d_2 in the form

$$\frac{l}{d_2} = \frac{u}{v}, \quad u \in \mathbb{Z}, \quad v \in \mathbb{N}, \quad (u, v) = 1, \quad (3.9)$$

here we have

$$v > 1 \quad (3.10)$$

and

$$v \leq d_2 \leq g-1. \quad (3.11)$$

Then by (2.5) and (3.10) there is a j such that $j \in \{2, 3, \dots, t\}$ and $v \nmid d_j$ whence, by (3.8), (3.9), (3.10) and (3.11),

$$\begin{aligned} \|d_j \alpha\| &= \left\| d_j \left(\frac{u}{v} + \frac{\theta_3}{d_2} \right) \right\| \geq \left\| \frac{d_j u}{v} \right\| - \frac{d_j}{d_2} |\theta_3| \\ &\geq \frac{1}{v} - (g-1) \frac{1}{2(g-1)^2} \geq \frac{1}{g-1} - \frac{1}{2(g-1)} \\ &= \frac{1}{2(g-1)} \end{aligned}$$

so that (3.1) holds and this completes the proof of Lemma 1.

Write

$$u(\alpha) = u_{\mathcal{D}}(\alpha) = \sum_{k=1}^t e(d_k \alpha)$$

and

$$\mathcal{U}(\alpha) = \mathcal{U}_{\mathcal{D}}(\alpha) = \frac{u_{\mathcal{D}}(\alpha)}{t}.$$

LEMMA 2. *If $g, t, \mathcal{D}, \alpha$ are defined as in Lemma 1 then we have*

$$|\mathcal{U}(\alpha)| \leq 1 - \frac{1}{(g-1)^5} \|\alpha\|^2.$$

Proof of Lemma 2. By Lemma 1 there is a j satisfying $2 \leq j \leq t$ and (3.1). Then we have

$$\begin{aligned} |\mathcal{U}(\alpha)| &= \frac{1}{t} \left| \sum_{k=1}^t e(d_k \alpha) \right| \leq \frac{1}{t} \left(|e(d_1 \alpha) + e(d_1 \alpha)| + \left| \sum_{\substack{2 \leq k \leq t \\ k \neq j}} e(d_k \alpha) \right| \right) \\ &\leq \frac{1}{t} (|1 + e(d_j \alpha)| + (t-2)) \end{aligned} \tag{3.12}$$

For all $\beta \in \mathbb{R}$ we have

$$\begin{aligned} |1 + e(\beta)|^2 &= 2 + 2 \cos 2\pi\beta = 4(1 - \sin^2 \pi\beta) \\ &\leq 4(1 - (2 \|\beta\|)^2) = 4(1 - 4 \|\beta\|^2) \end{aligned}$$

whence

$$|1 + e(\beta)| \leq 2(1 - 4 \|\beta\|^2)^{1/2} \leq 2(1 - 2 \|\beta\|^2).$$

Thus it follows from (3.1) and (3.12) that

$$\begin{aligned} |\mathcal{W}(\alpha)| &\leq \frac{1}{t} (2(1 - 2 \|d_j \alpha\|^2) + (t - 2)) = 1 - \frac{4}{t} \|d_j \alpha\|^2 \\ &\leq 1 - \frac{4}{g-1} \left(\frac{1}{2(g-1)^2} \|\alpha\| \right)^2 = 1 - \frac{1}{(g-1)^5} \|\alpha\|^2 \end{aligned}$$

which completes the proof of Lemma 2.

LEMMA 3. If $g, m, j, \rho \in \mathbb{N}$, $g \geq 2$, $((g-1)g, m) = 1$, $m \geq 2$, $1 \leq j \leq m-1$,

$$\rho \geq 2 \frac{\log m}{\log g} + 8 \tag{3.13}$$

and $\beta \in \mathbb{R}$, then

$$\sum_{u=0}^{\rho-1} \left\| \beta + g^u \frac{j}{m} \right\|^2 \geq \frac{(g-1)^2}{128g^4} \frac{\rho}{\log m}.$$

Proof of Lemma 3. This is Lemma 2 in [MS2].

SECTION 4

Proof of Theorem 1. Consider the generating function

$$G(\alpha) = \sum_{n \in \mathcal{W}_{\mathcal{D}}(N)} e(n\alpha)$$

so that

$$G(0) = |\mathcal{W}_{\mathcal{D}}(N)| \tag{4.1}$$

and for all $h \in \mathbb{Z}$, $m \in \mathbb{N}$ we have

$$|\{n: n \in \mathcal{W}_{\mathcal{D}}(N), n \equiv h \pmod{m}\}| = \frac{1}{m} \sum_{j=0}^{m-1} e\left(-\frac{hj}{m}\right) G\left(\frac{j}{m}\right). \tag{4.2}$$

It follows from (4.1) and (4.2) that

$$\begin{aligned} & \left| \left| \{n: n \in \mathcal{W}_{\mathcal{D}}(N), n \equiv h(\text{mod } m)\} \right| - \frac{1}{m} |\mathcal{W}_{\mathcal{D}}(N)| \right| \\ &= \left| \left| \{n: n \in \mathcal{W}_{\mathcal{D}}(N), n \equiv h(\text{mod } m)\} \right| - \frac{1}{m} G(0) \right| \\ &\leq \frac{1}{m} \sum_{j=1}^{m-1} \left| G\left(\frac{j}{m}\right) \right| \end{aligned} \tag{4.3}$$

so that it remains to estimate $|G(j/m)|$ for

$$j \in \{1, 2, \dots, m-1\}. \tag{4.4}$$

As in [MS2], write N in the form

$$\begin{aligned} N &= \sum_{j=1}^s b_j g^{v_j}, \\ v_1 &> v_2 > \dots > v_s, \quad b_j \in \{1, 2, \dots, g-1\} \quad \text{for } j=1, 2, \dots, s \end{aligned}$$

so that

$$g^{v_1} \leq N < g^{v_1+1}$$

whence

$$v_1 = \left\lfloor \frac{\log N}{\log g} \right\rfloor.$$

Moreover, for $l=1, 2, \dots, s$, let \mathcal{A}_l denote the set of the integers n that can be represented in the form

$$n = \sum_{i=1}^{l-1} b_i g^{v_i} + x g^{v_l} + \sum_{u=0}^{v_l-1} y_u g^u$$

where

$$x \in \mathcal{D} \cap \{0, 1, \dots, b_l-1\}, \quad y_u \in \mathcal{D} \quad \text{for } u=0, 1, \dots, v_l-1,$$

and let

$$\mathcal{A}_{s+1} = \begin{cases} \{N\} & \text{if } N \in \mathcal{W}_{\mathcal{D}}(N) \\ \emptyset & \text{if } N \notin \mathcal{W}_{\mathcal{D}}(N). \end{cases}$$

Then clearly we have

$$\mathcal{W}_{\mathcal{D}}(N) = \bigcup_{i=1}^{s+1} \mathcal{A}_i$$

and

$$\mathcal{A}_j \cap \mathcal{A}_l = \emptyset \quad \text{for } 1 \leq j < l \leq s+1$$

so that for all $\alpha \in \mathbb{R}$,

$$G_l(\alpha) = \sum_{n \in \mathcal{W}_{\mathcal{D}}(N)} e(n\alpha) = \sum_{l=1}^{s+1} \sum_{n \in \mathcal{A}_l} e(n\alpha) = \sum_{l=1}^{s+1} G_l(\alpha). \quad (4.5)$$

Here for $1 \leq l \leq s$ we have

$$\begin{aligned} G_l(\alpha) &= \sum_x \sum_{y_0} \cdots \sum_{y_{v_l-1}} e((b_1 g^{v_1} + \cdots + b_{l-1} g^{v_{l-1}} + x g_l^{v_l} + y_0 g^0 \\ &\quad + \cdots + y_{v_l-1} g^{v_l+1})\alpha) \\ &= e(b_1 g^{v_1} + \cdots + b_{l-1} g^{v_{l-1}}) \left(\sum_{x \in \mathcal{D} \cap \{0, 1, \dots, b_{l-1}\}} e(x g^{v_l}) \right) \\ &\quad \times \prod_{u=0}^{v_l-1} \left(\sum_{y_u \in \mathcal{D}} e(y_u g^u \alpha) \right) \\ &= e(b_1 g^{v_1} + \cdots + b_{l-1} g^{v_{l-1}}) \left(\sum_{x \in \mathcal{D} \cap \{0, 1, \dots, b_{l-1}\}} e(x g^{v_l}) \right) \prod_{u=0}^{v_l-1} u_{\mathcal{D}}(g^u \alpha) \end{aligned}$$

whence

$$|G_l(\alpha)| \leq |\mathcal{D}| \prod_{u=0}^{v_l-1} |u_{\mathcal{D}}(g^u \alpha)| \leq g t^{v_l} \prod_{u=0}^{v_l-1} |u_{\mathcal{D}}(g^u \alpha)|. \quad (4.6)$$

Thus by $t \geq 2$ we have

$$\begin{aligned} \sum_{l: v_l \leq 1/2 \log N / \log g} |G_l(\alpha)| &\leq \sum_{l: v_l \leq 1/2 \log N / \log g} g t^{v_l} \prod_{u=0}^{v_l-1} 1 < g \sum_{j=0}^{+\infty} t^{(\log N)/(2 \log g) - j} \\ &\leq 2g t^{(\log N)/(2 \log g) - j} < 2g(t^{v_1} + 1)^{1/2} < 2g^2(t^{v_1})^{1/2} \\ &\leq 2g^2 |\mathcal{W}_{\mathcal{D}}(N)|^{1/2} \quad (\text{for } \alpha \in \mathbb{R}) \end{aligned} \quad (4.7)$$

since clearly

$$|\mathcal{W}_{\mathcal{D}}(N)| \geq t^{v_1}. \quad (4.8)$$

If $v_l > \frac{1}{2} \log N / \log g$, then by (2.6),

$$v_l > \frac{1 \log N}{2 \log g} > 2 \frac{\log m}{\log g} + 8$$

so that (3.13) holds with v_l in place of ρ . Thus using Lemma 2 and 3, by (4.6) and $1 - x \leq e^{-x}$ (for $x \geq 0$) for $l \leq s$, $v_l > \frac{1}{2} \log N / \log g$, $1 \leq j \leq m - 1$ we have

$$\begin{aligned} \left| G_l \left(\frac{j}{m} \right) \right| &\leq g t^{v_l} \prod_{u=0}^{v_l-1} \left(1 - \frac{1}{(g-1)^5} \left\| g^u \frac{j}{m} \right\|^2 \right) \\ &\leq g t^{v_l} \exp \left(- \frac{1}{(g-1)^5} \sum_{u=0}^{v_l-1} \left\| g^u \frac{j}{m} \right\|^2 \right) \\ &\leq g t^{v_l} \exp \left(- \frac{1}{128 g^4 (g-1)^3 \log m} v_l \right) \\ &\leq g t^{v_l} \exp \left(- \frac{1}{256 g^4 (g-1)^3 \log g \log m} \log N \right) \end{aligned}$$

whence, by (2.1), (2.6) and (4.8),

$$\begin{aligned} \sum_{\substack{l \leq s \\ v_l > 1/2 \log N / \log g}} \left| G_l \left(\frac{j}{m} \right) \right| &\leq g \exp \left(- \frac{\log N}{c_{10} \log m} \right) \sum_{j=0}^{+\infty} t^{v_1-j} \\ &\leq t^{v_1} \exp \left(- \frac{\log N}{c_{11} \log m} \right) \\ &\leq |\mathcal{W}_{\mathcal{D}}(N)| \exp \left(- \frac{\log N}{c_{11} \log m} \right) \\ &\text{for } j \in \{1, 2, \dots, m-1\} \end{aligned} \tag{4.9}$$

(where c_{10}, c_{11} depend on g and t). Finally, clearly we have

$$|G_{s+1}(\alpha)| = \left| \sum_{n \in \mathcal{A}_{s+1}} e(n\alpha) \right| \leq \sum_{n \in \mathcal{A}_{s+1}} 1 = |\mathcal{A}_{s+1}| \leq 1 \quad (\text{for } \alpha \in \mathbb{R}). \tag{4.10}$$

It follows from (4.5), (4.7), (4.9) and (4.10) that for j satisfying (4.4) we have

$$\begin{aligned}
\left| G\left(\frac{j}{m}\right) \right| &\leq 2g^2 |\mathcal{W}_{\mathcal{D}}(N)|^{1/2} + |\mathcal{W}_{\mathcal{D}}(N)| \exp\left(-\frac{\log N}{c_{11} \log m}\right) + 1 \\
&= \frac{1}{m} |\mathcal{W}_{\mathcal{D}}(N)| (2mg^2) |\mathcal{W}_{\mathcal{D}}(N)|^{-1/2} \\
&\quad + m \exp\left(-\frac{\log N}{c_{11} \log m}\right) + m |\mathcal{W}_{\mathcal{D}}(N)|^{-1}
\end{aligned} \tag{4.11}$$

By $t \geq 2$ and (4.8), there are positive constants $c_{12} = c_{12}(g)$ and $c_{13} = c_{13}(g)$ such that

$$|\mathcal{W}_{\mathcal{D}}(N)| \geq t^{v_1} \geq 2^{[(\log N)/\log g]} > c_{12} N^{c_{13}}. \tag{4.12}$$

If c_1 in (2.6) is chosen small enough, then (2.7) follows from (2.6), (4.3), (4.11) and (4.12), and this completes the proof of Theorem 1.

SECTION 5

Proof of Theorem 2. The proof will be based on the Cauchy–Davenport lemma and Gallagher’s “larger sieve:”

LEMMA 4 ([DAV1], [DAV2]). *Let p be a prime number and let \mathcal{A} and \mathcal{B} sets of distinct modulo p residue classes: \mathcal{A} and $\mathcal{B} \in \mathbb{Z}_p$. Then*

$$|\mathcal{A} + \mathcal{B}| \geq \min(|\mathcal{A}| + |\mathcal{B}| - 1, p)$$

(where $\mathcal{A} + \mathcal{B} = \{a + b : a \in \mathcal{A}, b \in \mathcal{B}\}$).

If $\mathcal{A} \subset \mathbb{Z}$, $m \in \mathbb{N}$ then let $v(\mathcal{A}, m)$ denote the number of residue classes modulo m that contain at least one element of \mathcal{A} .

LEMMA 5 ([GAL]). *Let $M \in \mathbb{R}$, $N \in \mathbb{N}$ and let \mathcal{A} be a set of integers in the interval $[M + 1, M + N]$. Then for any finite set of primes S we have*

$$|\mathcal{A}| \leq \frac{\sum_{p \in S} \log p - \log N}{\sum_{p \in S} (\log p / v(\mathcal{A}, p)) - \log p}$$

provided that the denominator is positive.

Write

$$\delta = \frac{\log t}{2 \log g} - \frac{1}{k}$$

so that

$$\delta > 0 \tag{5.1}$$

by the definition of k , and set

$$x = \left\lfloor \frac{\log N}{k \log g} \right\rfloor$$

so that

$$g^x \leq N^{1/k} < g^{x+1}. \tag{5.2}$$

Finally, write

$$y = N^{(\log t)/(2k \log g)}. \tag{5.3}$$

We start out the indirect assumption that there is no p with $y < p \leq y^2$ such that $\mathcal{W}_{\mathcal{D}}(N)$ meets every residue class modulo p :

$$v(\mathcal{W}_{\mathcal{D}}(N), p) < p \quad \text{for all } y < p \leq y^2. \tag{5.4}$$

For $j = 1, 2, \dots, k$, write

$$\mathcal{A}_j = \{(g^x)^{j-1} n : n \in \mathcal{W}_{\mathcal{D}}(g^x - 1)\}$$

so that, in view of (5.2),

$$\sum_{j=1}^k \mathcal{A}_j \subset \mathcal{W}_{\mathcal{D}}(N), \tag{5.5}$$

and clearly,

$$v(\mathcal{A}_j, p) = v(\mathcal{W}_{\mathcal{D}}(g^x - 1), p) \tag{5.6}$$

for $j \in \{1, 2, \dots, k\}$ and all $p > g$.

By the Cauchy–Davenport lemma (Lemma 4) it follows from (5.4), (5.5) and (5.6) that

$$\begin{aligned} p > v(\mathcal{W}_{\mathcal{D}}(N), p) &\geq v\left(\sum_{j=1}^k \mathcal{A}_j, p\right) \geq \sum_{j=1}^k v(\mathcal{A}_j, p) - (k-1) \\ &= kv(\mathcal{W}_{\mathcal{D}}(g^x - 1), p) - (k-1) \end{aligned}$$

whence

$$v(\mathcal{W}_{\mathcal{D}}(g^x - 1), p) < \frac{p}{k} + 1 \quad (\text{for all } y < p \leq y^2).$$

Thus by using Gallagher's "larger sieve" (Lemma 5) with -1 , g^x , $\mathcal{W}_{\mathcal{D}}(g^x - 1)$ and $\{p: p \text{ prime}, y < p \leq y^2\}$ in place of M , N , \mathcal{A} and S , respectively, by the prime number theorem and since

$$\sum_{p \leq u} \frac{\log p}{p} = \log u + O(1),$$

in view of (5.2) and (5.3) we obtain for $N \rightarrow +\infty$ that

$$\begin{aligned} |\mathcal{W}_{\mathcal{D}}(g^x - 1)| &\leq \frac{\sum_{y < p \leq y^2} \log p - \log g^x}{\sum_{y < p \leq y^2} (\log p / (p/k) + 1) - \log g^x} \\ &= \frac{1 + o(1)) y^2 - (\log N)/k + O(1)}{(1 + o(1)) k \log y - (\log N)/k + O(1)} \\ &= \frac{(1 + o(1)) N^{(\log t)/(k \log g)}}{(1 + o(1)) \delta \log N + O(1)} \end{aligned}$$

where, by (5.1), indeed the denominator is positive for large N . By (5.2), it follows that

$$|\mathcal{W}_{\mathcal{D}}(g^x - 1)| = O_{g,t} \left(\frac{(g^x)^{(\log t)/(k \log g)}}{\log N} \right) = O_{g,t} \left(\frac{t^x}{\log N} \right) \quad (5.7)$$

On the other hand, clearly we have

$$|\mathcal{W}_{\mathcal{D}}(g^x - 1)| = t^x$$

which contradicts (5.7), and this contradiction completes the proof of the theorem.

SECTION 6

Proof of Theorem 3. Let g be a (fixed) positive integer large enough in terms of ε and let $k \in \mathbb{N}$. Write $N = g^{(g-2)k} - 1$ and $m = g^k - 1$ so that

$$|\mathcal{W}_{\mathcal{D}}(N)| = 2^{(g-2)k}$$

(with $\mathcal{D} = \{0, 1\}$) whence, for $k \rightarrow +\infty$,

$$m = g^k - 1 = (1 + o(1)) |\mathcal{W}_{\mathcal{D}}(N)|^{(\log g)/((g-1)(\log 2))}.$$

If $g > g_0(\varepsilon)$ then, indeed,

$$\frac{\log g}{(g-2)(\log 2)} < \varepsilon$$

so that (2.8) holds for every large k .

To show that $\mathcal{W}_{\mathcal{D}}(N)$ does not meet every residue class modulo m , observe that every $n \in \mathcal{W}_{\mathcal{D}}(N)$ is of the form

$$n = \sum_{i=0}^{(g-2)k-1} \varepsilon_i g^i$$

where $\varepsilon_i = 0$ or 1 for all i . It follows that

$$n = \sum_{l=0}^{g-3} \sum_{j=0}^{k-1} \varepsilon_{lk+j} (g^k)^l g^j \equiv \sum_{j=0}^{k-1} \left(\sum_{l=0}^{g-3} \varepsilon_{lk+j} \right) g^j \pmod{m}.$$

Here the coefficient of g^j satisfies

$$\sum_{l=0}^{g-3} \varepsilon_{lk+j} \in \{0, 1, \dots, g-2\}$$

so that it may assume $g-1$ incongruent values modulo m . Thus this last sum may assume at most

$$(g-1)^k = (1 + o(1)) m^{(\log(g-1))/\log g}$$

incongruent values, so that at most so many residue classes modulo m may meet $\mathcal{W}_{\mathcal{D}}(N)$ which completes the proof of the theorem.

SECTION 7

Proof of Theorem 4. First we will prove

LEMMA 6. *If g, t satisfy (2.1) and $\mathcal{D} \in \{0, 1, \dots, g-1\}$, $|\mathcal{D}| = t$, then for $n \in \mathbb{N}$, $m \in \mathbb{N}$,*

$$m \leq N, \tag{7.1}$$

$h \in \mathbb{Z}$ we have

$$|\{n: n \in \mathcal{W}_{\mathcal{D}}(N), n \equiv h \pmod{m}\}| < 2g |\mathcal{W}_{\mathcal{D}}(N)| m^{-(\log t)/(\log g)}.$$

Proof of Lemma 6. For $m \in \mathbb{N}$, define r by $r \in \mathbb{N}$

$$g^r \leq m < g^{r+1}, \quad (7.2)$$

and for all $n \in \mathbb{N}$, define $x = x(n)$ and $y = y(n)$ by $n = xg^r + y$, $x, y \in \mathbb{Z}$, $0 \leq y < g^r$. If $x_0 \in \mathbb{Z}$ is such that there is at least one n with $n \in \mathcal{W}_{\mathcal{D}}(N)$, $x_n = x_0$, then clearly

$$|\{n: n \in \mathcal{W}_{\mathcal{D}}(N), x(n) = x_0\}| \begin{cases} = |\mathcal{W}_{\mathcal{D}}(g^r - 1)| = t^r & \text{for } x_0 < [N/g^r] \\ \leq |\mathcal{W}_{\mathcal{D}}(g^r - 1)| = t^r & \text{for } x_0 = [N/g^r]. \end{cases}$$

It follows that

$$|\{x_0: \text{there is } n \text{ with } n \in \mathcal{W}_{\mathcal{D}}(N), x(n) = x_0\}| t^r \leq |\mathcal{W}_{\mathcal{D}}(N)| + t^r$$

whence, by (7.1) and (7.2),

$$\begin{aligned} & |\{x_0: \text{there is } n \text{ with } n \in \mathcal{W}_{\mathcal{D}}(N), x(n) = x_0\}| \\ & \leq |\mathcal{W}_{\mathcal{D}}(N)| t^{-r} + 1 = |\mathcal{W}_{\mathcal{D}}(N)| (g^r)^{-(\log t)/(\log g)} + 1 \\ & < |\mathcal{W}_{\mathcal{D}}(N)| (m/g)^{-(\log t)/(\log g)} + 1 < g |\mathcal{W}_{\mathcal{D}}(N)| m^{-(\log t)/(\log g)} + 1 \end{aligned}$$

By (7.1) and (7.2),

$$m^{-(\log t)/(\log g)} < t^{r+1} \leq g |\mathcal{W}_{\mathcal{D}}(m)| \leq g |\mathcal{W}_{\mathcal{D}}(N)|$$

so that

$$|\{x_0: \text{there is } n \text{ with } n \in \mathcal{W}_{\mathcal{D}}(N), x(n) = x_0\}| < 2g |\mathcal{W}_{\mathcal{D}}(N)| m^{-(\log t)/(\log g)}. \quad (7.3)$$

By (7.2), for all $x_0 \in \mathbb{Z}$ clearly we have

$$|\{n: n \in \mathcal{W}_{\mathcal{D}}(N), x(n) = x_0, n \equiv h \pmod{m}\}| \leq 1. \quad (7.4)$$

It follows from (7.3) and (7.4) that

$$\begin{aligned} & |\{n: n \in \mathcal{W}_{\mathcal{D}}(N), n \equiv h \pmod{m}\}| \\ & = \sum_{x_0} |\{n: n \in \mathcal{W}_{\mathcal{D}}(N), x(n) = x_0, n \equiv h \pmod{m}\}| \\ & \leq \sum_{x_0} 1 < 2g |\mathcal{W}_{\mathcal{D}}(N)| m^{-(\log t)/(\log g)} \end{aligned}$$

(where in \sum_{x_0} , x_0 runs over the integers such that there is n with $n \in \mathcal{W}_{\mathcal{D}}(N)$, $x(n) = x_0$), which completes the proof of Lemma 6.

In order to prove (2.10), observe that clearly we have

$$\begin{aligned} T_z(N) &= \sum_{n \in \mathcal{W}_{\mathcal{D}}(N)} \sum_{\substack{d^z | n \\ (d, (g-1)g) = 1}} \mu(d) = \sum_{(d, (g-1)g) = 1} \mu(d) |\{n: n \in \mathcal{W}_{\mathcal{D}}(N), d^z | n\}| \\ &= \sum_1 + \sum_2 + \sum_3 \end{aligned} \tag{7.5}$$

with

$$\begin{aligned} \sum_1 &= \sum_{\substack{d < \exp(c_1(\log N)^{1/2/z}) \\ (d, (g-1)g) = 1}} \mu(d) \frac{|\mathcal{W}_{\mathcal{D}}(N)|}{d^z}, \\ \sum_2 &= \sum_{\substack{d < \exp(c_1(\log N)^{1/2/z}) \\ (d, (g-1)g) = 1}} \mu(d) \left(|\{n: n \in \mathcal{W}_{\mathcal{D}}(N), d^z | n\}| - \frac{|\mathcal{W}_{\mathcal{D}}(N)|}{d^z} \right) \end{aligned}$$

and

$$\sum_3 = \sum_{\substack{d \geq \exp(c_1(\log N)^{1/2/z}) \\ (d, (g-1)g) = 1}} \mu(d) |\{n: n \in \mathcal{W}_{\mathcal{D}}(N), d^z | n\}|.$$

Clearly we have

$$\begin{aligned} \sum_1 &= |\mathcal{W}_{\mathcal{D}}(N)| \left(\sum_{(d, (g-1)g) = 1} \frac{\mu(d)}{d^z} + O\left(\sum_{d \geq \exp(c_1(\log N)^{1/2/z})} \frac{1}{d^z} \right) \right) \\ &= \left(\left(\zeta(z) \prod_{p|(g-1)g} \left(1 - \frac{1}{p^z} \right) \right)^{-1} \right. \\ &\quad \left. + O_z \left(\exp \left(-c_1 \left(1 - \frac{1}{z} \right) (\log N)^{1/2} \right) \right) \right) |\mathcal{W}_{\mathcal{D}}(N)| \end{aligned} \tag{7.6}$$

Moreover, it follows from Theorem 1 that

$$\begin{aligned} \sum_2 &= O \left(|\mathcal{W}_{\mathcal{D}}(N)| \sum_{d < \exp(c_1(\log N)^{1/2/z})} d^{-z} \exp(-c_{14}(\log N)^{1/2}) \right) \\ &= O_z(|\mathcal{W}_{\mathcal{D}}(N)| \exp(-c_{15}(\log N)^{1/2})). \end{aligned} \tag{7.7}$$

Finally, in view of (2.9), by Lemma 6 we have

$$\begin{aligned} \sum_3 &\leq \sum_{\exp(c_1(\log N)^{1/2}/z) \leq d} 2g |\mathcal{W}_{\mathcal{D}}(N)| d^{-z(\log t)/(\log g)} \\ &= O_{g, t, z} \left(|\mathcal{W}_{\mathcal{D}}(N)| \exp \left(c_1(\log N)^{1/2} \left(\frac{1}{z} - \frac{\log t}{\log g} \right) \right) \right), \end{aligned} \quad (7.8)$$

(2.10) follows from (7.5), (7.6), (7.7) and (7.8), and this completes the proof of Theorem 4.

SECTION 8

Proof of Theorem 5. The proof will be based on the following prime power moduli version of the large sieve (see, e.g., [SAR]):

LEMMA 7. *If* $K \in \mathbb{Z}$, $M \in \mathbb{N}$, $\mathcal{A} \subset \{K+1, K+2, \dots, K+M\}$, $z \in \mathbb{N}$ and $v \in \mathbb{R}$, then, writing

$$Z = |\mathcal{A}| \quad \text{and} \quad Z(q, h) = |\{a \in \mathcal{A}, a \equiv h \pmod{q}\}|,$$

we have

$$\sum_{p \leq v} p^z \sum_{h=0}^{p^z-1} \left(Z(p^z, h) - \frac{Z}{p^z} \right)^2 \leq (v^{2z} + M)Z.$$

Indeed, this is a straightforward consequence of the analytic form of the large sieve.

We will prove Theorem 3 by contradiction. Write

$$y = c_{16}(N^{(\log t)/(2 \log g)} / \log N)^{1/(2z-1)},$$

and assume that

$$y < p \leq 2y, \quad n \in \mathcal{W}_{\mathcal{D}}(N) \quad \text{imply} \quad p^z \nmid n; \quad (8.1)$$

it suffices to show that if c_{16} is small enough in terms of g , t and z , then this assumption leads to a contradiction.

Define the integer k by

$$g^{2k} \leq N < g^{2k+2} \quad (8.2)$$

so that $k = [\log N / 2 \log g]$. Then clearly $u, v \in \mathcal{W}_{\mathcal{D}}(g^k - 1)$ implies that $u + g^k v \in \mathcal{W}_{\mathcal{D}}(N)$. Assume that p is a prime greater than g , and $\mathcal{W}_{\mathcal{D}}(g^k - 1)$ meets more than $p^z/2$ residue classes modulo p^z . Then by $p > g$, the set

$\{-g^k v: v \in \mathcal{W}_{\mathcal{Q}}(g^k - 1)\}$ meets the same number of residue classes module p^z . Thus by the pigeon hole principle, there are $u, v \in \mathcal{W}_{\mathcal{Q}}(g^k - 1)$ with

$$u \equiv -g^k v \pmod{p^z}$$

so that $p^z | (u + g^k v) \in \mathcal{W}_{\mathcal{Q}}(N)$. Thus by our indirect assumption (8.1),

$|\{h: 0 \leq h < p^z, \text{ there is } n \in \mathcal{W}_{\mathcal{Q}}(g^k - 1) \text{ with}$

$$n \equiv h \pmod{p^z}\}| \leq \frac{p^z}{2} \quad \text{for all } y < p \leq 2y \tag{8.3}$$

(note that $p > y$ implies $p > g$).

Now we apply Lemma 7 with $-1, g^k, \mathcal{W}_{\mathcal{Q}}(g^k - 1)$ and $2y$ in place of K, M, \mathcal{A} and v , respectively. By (8.2) we obtain

$$\sum_{p \leq 2y} p^z \sum_{h=0}^{p^z-1} \left(Z(p^z, h) - \frac{Z}{p^z} \right)^2 \leq ((2y)^{2z} + g^k)Z \leq ((2y)^{2z} + N^{1/2})Z. \tag{8.4}$$

On the other hand, by (8.3) and the prime number theorem for large N we have

$$\begin{aligned} & \sum_{p \leq 2y} p^z \sum_{h=0}^{p^z-1} \left(Z(p^z, h) - \frac{Z}{p^z} \right)^2 \\ & \leq \sum_{y < p \leq 2y} p^z \sum_{\substack{0 \leq h < p^z-1 \\ Z(p^z, h)=0}} \frac{Z^2}{p^{2z}} \\ & = Z^2 \sum_{y < p \leq 2y} p^{-z} |\{h: 0 \leq h < p^z - 1, Z(p^z, h) = 0\}| \\ & \geq Z^2 \sum_{y < p \leq 2y} p^{-z} \frac{p^z - 1}{2} > \frac{1}{3} Z^2 \sum_{y < p \leq 2y} 1 \\ & = \frac{1}{3} Z^2 (1 + o(1)) \frac{y}{\log y} > \frac{1}{4} Z^2 \frac{y}{\log y}. \end{aligned} \tag{8.5}$$

It follows from (8.4) and (8.5) that

$$Z < 4 \frac{\log y}{y} ((2y)^{2z} + N^{1/2}) < 4 \frac{\log N}{y} ((2y)^{2z} + N^{1/2}). \tag{8.6}$$

On the other hand, by (8.2) clearly we have

$$Z = |\mathcal{W}_{\mathcal{Q}}(g^k - 1)| = t^k = (g^{2k})^{(\log t)/(2 \log g)} > g^{-2} N^{(\log t)/(2 \log g)}. \tag{8.7}$$

It follows from (8.6) and (8.7) that

$$N^{(\log t)/(2 \log g)} < 4g^2 \frac{\log N}{y} ((y)^{2z} + N^{1/2}).$$

However, in view of (2.11), an easy computation shows that if c_{16} in the definition of y is small enough then this inequality cannot hold, and this completes the proof of the theorem.

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