

# Geometrical Constructions of Flock Generalized Quadrangles

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cal construction of  $\mathcal{S}(F)$  which works for any  $q$ . Here we show how, for  $q$  odd, one can derive Knarr's construction from Thas' one. To that end we describe an interesting representation of the point-plane flags of  $\text{PG}(3, q)$ , which can be generalized to any dimension and which can be useful for other purposes. Applying this representation onto Thas' model of  $\mathcal{S}(F)$ , another interesting model of  $\mathcal{S}(F)$  on a hyperbolic cone in  $\text{PG}(6, q)$  is obtained. In a final section we show how sub-quadrangles and ovoids of  $\mathcal{S}(F)$  can be obtained via the description in  $\text{PG}(6, q)$ .

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## 1. INTRODUCTION

A (finite) *generalized quadrangle* (GQ) is an incidence structure  $\mathcal{S} = (P, B, I)$  in which  $P$  and  $B$  are disjoint (nonempty) sets of objects called *points* and *lines* respectively, and for which  $I$  is a symmetric point-line *incidence relation* satisfying the following axioms.

(i) Each point is incident with  $1 + t$  lines ( $t \geq 1$ ) and two distinct points are incident with at most one line.

(ii) Each line is incident with  $1 + s$  points ( $s \geq 1$ ) and two distinct lines are incident with at most one point.

(iii) If  $x$  is a point and  $L$  is a line not incident with  $x$ , then there is a unique pair  $(y, M) \in P \times B$  for which  $x I M I y I L$ .

Generalized quadrangles were introduced by Tits [18] in his celebrated work on triality.

The integers  $s$  and  $t$  are the *parameters* of the generalized quadrangle and  $\mathcal{S}$  is said to have *order*  $(s, t)$ ; if  $s = t$ ,  $\mathcal{S}$  is said to have *order*  $s$ . There is a point-line duality for GQ (of order  $(s, t)$ ) for which in any definition or theorem the words “point” and “line” are interchanged and the parameters  $s$  and  $t$  are interchanged. Hence, we assume without further notice that the dual of a given theorem or definition has also been given.

Let  $\mathcal{S} = (P, B, I)$  be a (finite) GQ of order  $(s, t)$ . Then  $\mathcal{S}$  has  $v = |P| = (1 + s)(1 + st)$  points and  $b = |B| = (1 + t)(1 + st)$  lines; see 1.2.1 of Payne and Thas [11]. Also,  $s + t$  divides  $st(1 + s)(1 + t)$ , and, for  $s \neq 1 \neq t$ , we have  $t \leq s^2$  and, dually,  $s \leq t^2$ ; see Payne and Thas [11, 1.2.2 and 1.2.3].

## 2. FLOCKS, BLT-SETS, AND FLOCK GENERALIZED QUADRANGLES

Let  $F$  be a *flock* of the quadratic cone  $K$  with vertex  $x$  of  $\text{PG}(3, q)$ , that is, a partition of  $K - \{x\}$  into  $q$  disjoint irreducible conics. Then, by Thas [13] with  $F$  there corresponds a GQ  $\mathcal{S}(F)$  of order  $(q^2, q)$ ; in fact it was shown that with  $F$  there corresponds a  $q$ -clan and then by work of Payne [7, 8] and Kantor [3, 4] with  $F$  there corresponds a GQ of order  $(q^2, q)$ . Also, independently, Walker [19] and Thas discovered that with each flock of an irreducible quadric of  $\text{PG}(3, q)$  there corresponds a translation plane of order  $q^2$ ; see also Fisher and Thas [2] and Thas [13].

Let  $F = \{C_1, C_2, \dots, C_q\}$  be a flock of the quadratic cone  $K$  with vertex  $x$  of  $\text{PG}(3, q)$ , with  $q$  odd. The plane of  $C_i$  is denoted by  $\pi_i$ ,  $i = 1, 2, \dots, q$ . Let  $K$  be embedded in the nonsingular quadric  $Q$  of  $\text{PG}(4, q)$ . Let the polar line of  $\pi_i$  with respect to  $Q$  be denoted by  $L_i$  and let  $L_i \cap Q = \{x, x_i\}$ ,  $i = 1, 2, \dots, q$ . If  $H_i$  is the tangent hyperplane of  $Q$  at  $x_i$ , then put  $H_i \cap Q = K_i$ ,  $H_i \cap H_j \cap Q = K_i \cap H_j = C_{ij}$  and  $C_{ii} = C_i$ , with  $i, j = 1, 2, \dots, q$  and  $i \neq j$ . Then Bader, Lunardon and Thas [1] prove that  $F_i = \{C_{i1}, C_{i2}, \dots, C_{iq}\}$  is a flock of  $K_i$ ,  $i = 1, 2, \dots, q$ . We say that the flocks  $F_1, F_2, \dots, F_q$  are *derived* from the given flock  $F$ . In many cases this process of derivation produces new flocks and new planes, but Payne and Rogers [10] prove that the GQ  $\mathcal{S}(F), \mathcal{S}(F_1), \dots, \mathcal{S}(F_q)$  are always isomorphic.

The main result of Bader *et al.* [1] amounts to proving that in the GQ  $Q(4, q)$  arising from the quadric  $Q$ , the set  $\mathcal{V} = \{x_0, x_1, \dots, x_q\}$ , with  $x = x_0$ , has the property that for any three distinct points  $x_i, x_j, x_k$  of  $\mathcal{V}$  there is no point on  $Q(4, q)$  collinear with all of them. Let  $W(q)$  be the classical GQ arising from a symplectic polarity of  $\text{PG}(3, q)$ . Then  $W(q)$  is isomorphic to the dual of  $Q(4, q)$ ; see Payne and Thas [11, 3.2.1]. With  $\mathcal{V}$  there corresponds a set  $\mathcal{W}$  of  $q + 1$  lines  $L_0, L_1, \dots, L_q$  of  $W(q)$ , having the property that for any three distinct lines  $L_i, L_j, L_k$  of  $\mathcal{W}$  there is no line in  $W(q)$  concurrent with all of them. Such a set of  $q + 1$  lines in  $W(q)$ ,  $q$  odd,

was called a *BLT-set* by Kantor [5]. Hence any given flock  $F$  defines just one BLT-set, and any BLT-set produces  $q+1$  flocks (possibly non-isomorphic) but just one GQ.

### 3. THE CONSTRUCTION OF KNARR

Start with a symplectic polarity  $\theta$  of  $\text{PG}(5, q)$ ,  $q$  odd. Let  $p \in \text{PG}(5, q)$  and let  $\text{PG}(3, q)$  be a 3-dimensional subspace of  $\text{PG}(5, q)$  for which  $p \notin \text{PG}(3, q) \subset p^\theta$ . In  $\text{PG}(3, q)$   $\theta$  induces a symplectic polarity  $\theta'$ , and hence a GQ  $W(q)$ . Let  $\mathcal{W}$  be a BLT-set of the GQ  $W(q)$  and construct a geometry  $\mathcal{S} = (P, B, I)$  as follows.

Points are of three types:

- (i) the  $q^5$  points of  $\text{PG}(5, q)$  not in  $p^\theta$ ;
- (ii) the  $q^3 + q^2$  lines of  $\text{PG}(5, q)$  not containing  $p$  but contained in one of the planes  $\pi_i = pL_i$ , with  $L_i$  a line of the BLT-set  $\mathcal{W}$ ;
- (iii)  $p$ .

Lines are of two types:

- (a) the  $q^4 + q^3$  totally isotropic planes of  $\theta$  not contained in  $p^\theta$  and meeting some  $\pi_i$  in a line (not through  $p$ );
- (b) the  $q+1$  planes  $\pi_i = pL_i$ , with  $L_i \in \mathcal{W}$ .

The incidence relation  $I$  is just the natural incidence inherited from  $\text{PG}(5, q)$ .

Then Knarr [6] proves that  $\mathcal{S}$  is a GQ of order  $(q^2, q)$  isomorphic to  $\mathcal{S}(F)$ , with  $F$  any flock arising from the BLT-set  $\mathcal{W}$ . We emphasize that in this construction  $q$  must be odd.

### 4. THE CONSTRUCTION OF THAS

Let  $K$  be a quadratic cone with vertex  $x$  of  $\text{PG}(3, q)$ . Further, let  $y$  be a point of  $K - \{x\}$  and let  $\zeta$  be a plane of  $\text{PG}(3, q)$  not containing  $y$ . Now we project  $K - \{y\}$  from  $y$  onto  $\zeta$ . Let  $\tau$  be the tangent plane of  $K$  at the line  $xy$  and let  $\tau \cap \zeta = T$ . Then with the  $q^2$  points of  $K - xy$  there correspond the  $q^2$  points of the affine plane  $\zeta - T = \zeta'$ , with any point of  $xy - \{y\}$  there corresponds the intersection  $\infty$  of  $xy$  and  $\zeta$ , with the generators of  $K$  distinct from  $xy$  there correspond the lines of  $\zeta$  distinct from  $T$  containing  $\infty$ , with the (nonsingular) conics on  $K$  passing through  $y$  there

correspond the affine parts of the  $q^2$  lines of  $\zeta$  not passing through  $\infty$ , and with the (nonsingular) conics on  $K$  not passing through  $y$  there correspond the  $q^2(q-1)$  (nonsingular) conics of  $\zeta$  which are tangent to  $T$  at  $\infty$ .

Let  $F = \{C_1^*, C_2^*, \dots, C_q^*\}$  be a flock of the cone  $K$ . Now consider the set  $\tilde{F} = \{C_1, C_2, \dots, C_{q-1}, N\}$  consisting of the  $q-1$  nonsingular conics  $C_1, C_2, \dots, C_{q-1}$  and the line  $N$  of  $\zeta$ , which is obtained by projecting the elements of  $F$  from  $y$  onto  $\zeta$ . So  $C_1, C_2, \dots, C_{q-1}$  are conics which are mutually tangent at  $\infty$  (with common tangent line  $T$ ) and  $N$  is a line of  $\zeta$  not containing  $\infty$ .

Now we consider planes  $\pi_\infty \neq \zeta$  and  $\mu \neq \zeta$  of  $\text{PG}(3, q)$ , respectively containing  $T$  and  $N$ ; in  $\mu$  we consider a point  $r$ , with  $r \notin \zeta \cup \pi_\infty$ . Next, let  $O_i$  be the nonsingular quadric which contains  $C_i$ , which is tangent to  $\pi_\infty$  at  $\infty$  and which is tangent to  $\mu$  at  $r$ , with  $i = 1, 2, \dots, q-1$ . As  $C_i \cap N = \emptyset$ , the quadric  $O_i$  is elliptic,  $i = 1, 2, \dots, q-1$ .

Next, let  $\mathcal{S}$  be the following incidence structure.

### Points of $\mathcal{S}$

(a) The  $q^3(q-1)$  nonsingular elliptic quadrics  $O$  containing  $O_i \cap \pi_\infty = L_\infty^{(i)} \cup M_\infty^{(i)}$  (over  $\text{GF}(q^2)$ ) such that the intersection multiplicity of  $O_i$  and  $O$  at  $\infty$  is at least three (that are  $O_i$ , the nonsingular elliptic quadrics  $O \neq O_i$  containing  $L_\infty^{(i)} \cup M_\infty^{(i)}$  (over  $\text{GF}(q^2)$ ) and intersecting  $O_i$  over  $\text{GF}(q)$  in a nonsingular conic containing  $\infty$ , and the nonsingular elliptic quadrics  $O \neq O_i$  for which  $O \cap O_i$  over  $\text{GF}(q^2)$  is  $L_\infty^{(i)} \cup M_\infty^{(i)}$  counted twice), with  $i = 1, 2, \dots, q-1$ .

(b) The  $q^3$  points of  $\text{PG}(3, q) - \pi_\infty$ .

(c) The  $q^3$  planes of  $\text{PG}(3, q)$  not containing  $\infty$ .

(d) The  $q-1$  sets  $\mathcal{O}_i$ , where  $\mathcal{O}_i$  consists of the  $q^3$  quadrics  $O$  of type (a) corresponding with  $O_i$ ,  $i = 1, 2, \dots, q-1$ .

(e) The plane  $\pi_\infty$ .

(f) The point  $\infty$ .

### Lines of $\mathcal{S}$

(i) Let  $(w, \gamma)$  be a point-plane flag of  $\text{PG}(3, q)$ , with  $w \notin \pi_\infty$  and  $\infty \notin \gamma$ . Then all quadrics  $O$  of type (a) which are tangent to  $\gamma$  at  $w$ , together with  $w$  and  $\gamma$ , form a line of type (i). Any two distinct quadrics of such a line have exactly two points ( $\infty$  and  $w$ ) in common. The total number of lines of type (i) is  $q^5$ .

(ii) Let  $O$  be a point of type (a) which corresponds to the quadric  $O_i$ ,  $i \in \{1, 2, \dots, q-1\}$ . If  $O \cap \pi_\infty = O_i \cap \pi_\infty = L_\infty^{(i)} \cup M_\infty^{(i)}$  (over  $\text{GF}(q^2)$ ), then all points  $O'$  of type (a) for which  $O' \cap O$  over  $\text{GF}(q^2)$  is  $L_\infty^{(i)} \cup M_\infty^{(i)}$  counted twice, together with  $O$  and  $O_i$ , form a line of type (ii). There are  $q^2(q-1)$  lines of type (ii).

(iii) A set of  $q$  parallel planes of  $\text{AG}(3, q) = \text{PG}(3, q) - \pi_\infty$ , where the line at infinity does not contain  $\infty$ , together with the plane  $\pi_\infty$ , is a line of type (iii).

(iv) Lines of type (iv) are the lines of  $\text{PG}(3, q)$ , not in  $\pi_\infty$ , containing  $\infty$ .

(v)  $\{\infty, \pi_\infty, \mathcal{O}_1, \mathcal{O}_2, \dots, \mathcal{O}_{q-1}\}$  is the unique line of type (v).

### *Incidence of $\mathcal{S}$*

Incidence is containment.

Then it is proved in Thas [15] that  $\mathcal{S}$  is a GQ isomorphic to the point-line dual of the flock GQ  $\mathcal{S}(F)$ . We emphasize that this construction works for any prime power  $q$ .

## 5. AN INTERESTING REPRESENTATION OF POINT-PLANE FLAGS OF $\text{PG}(3, q)$

Consider the point-plane flag  $((0, 0, 0, 1), X_0 = 0)$  of  $\text{PG}(3, q)$ . Now let  $(w, \gamma)$  be any point-plane flag of  $\text{PG}(3, q)$ , with  $(0, 0, 0, 1) \notin \gamma$  and  $w$  not in  $X_0 = 0$ . Let  $w(1, x, y, z)$  and  $\gamma: aX_0 + bX_1 + cX_2 + X_3 = 0$ . Then we put

$$(w, \gamma)^\eta = (x, y, z, a, b, c) \in \text{AG}(6, q).$$

All images are points of the quadric  $\Phi$  of  $\text{PG}(6, q)$  with equation

$$X_1X_5 + X_2X_6 + X_3X_0 + X_4X_0 = 0.$$

This quadric  $\Phi$  is a hyperbolic cone with vertex  $s(0, 0, 0, 1, -1, 0, 0)$ . The hyperplane  $\Pi$  with equation  $X_0 = 0$  of  $\text{PG}(6, q)$  is tangent to  $\Phi$  along the line joining  $s$  to  $(0, 0, 0, 0, 1, 0, 0)$ . Clearly  $\eta$  is a bijection from the set of all flags  $(w, \gamma)$ , with  $(0, 0, 0, 1) \notin \gamma$  and  $w$  not in  $X_0 = 0$ , onto  $\Phi - \Pi$ .

If  $O$  is a nonsingular quadric of  $\text{PG}(3, q)$  which is tangent to  $X_0 = 0$  at  $(0, 0, 0, 1)$ , then the flags  $(w, \gamma)$ , with  $w \in O - \{(0, 0, 0, 1)\}$  and  $\gamma$  the tangent plane of  $O$  at  $w$  are mapped by  $\eta$  onto the points not in  $X_0 = 0$  of a nonsingular quadric  $O' \subset \Phi$ , contained in some 3-dimensional subspace  $\delta$  of  $\text{PG}(6, q)$  and having the same character as  $O$ . Also,  $O'$  contains the point  $h(0, 0, 0, 1, 1, 0, 0)$  of  $\Phi$ .

If  $O$  has equation

$$\sum_{\substack{i,j=0 \\ i \leq j}}^3 a_{ij} X_i X_j = 0, \quad \text{with } a_{33} = a_{13} = a_{23} = 0,$$

then  $O$  is nonsingular if and only if  $a_{03} \neq 0 \neq 4a_{11}a_{22} - a_{12}^2$ . So we may assume  $a_{03} = 1$ . It is easily checked that the space  $\delta$  containing  $O'$  is represented by

$$\begin{cases} X_4 = 2a_{00}X_0 + a_{01}X_1 + a_{02}X_2 + X_3, \\ X_5 = a_{01}X_0 + 2a_{11}X_1 + a_{12}X_2, \\ X_6 = a_{02}X_0 + 2a_{22}X_2 + a_{12}X_1. \end{cases}$$

Also, if  $w(1, x, y, z) \in O$  and if  $\gamma$  is the tangent plane of  $O$  at  $w$ , then

$$(w, \gamma)^n = (1, x, y, z, 2a_{00} + a_{01}x + a_{02}y + z, \\ a_{01} + 2a_{11}x + a_{12}y, a_{02} + 2a_{22}y + a_{12}x).$$

Let  $\Phi^+$  be the hyperbolic quadric  $X_3 = X_1X_5 + X_2X_6 + X_4X_0 = 0$  (so  $\Phi^+$  is the base of the cone  $\Phi$ ). If  $q$  is odd, then the quadric  $O'$  is tangent to  $\Pi \cap \delta$  at  $h$ . Now let  $q$  be even. Then  $s = h$ . In such a case  $\delta$  belongs to  $\Phi$ . Hence  $\delta \cap \Phi^+$  is a plane  $\delta'$  of  $\Phi^+$ , and in this way there arise  $q^2(q-1)$  planes  $\delta'$  of  $\Phi^+$ ; the planes  $\delta'$  all belong to a same family  $\mathcal{A}$  of generators of  $\Phi^+$ . The space  $X_3 = X_0 = 0$  is tangent to  $\Phi^+$  at  $e_4(0, 0, 0, 0, 1, 0, 0)$ , and as  $e_4 \notin \delta'$  the plane  $\delta'$  does not belong to  $\Pi$ . Further,  $\delta'$  has no point in common with the planes  $X_0 = X_3 = X_5 = X_6 = 0$  and  $X_0 = X_3 = X_1 = X_2 = 0$  of  $\Phi^+$ . Finally, for a fixed  $\delta'$  the  $q^3$  corresponding quadrics  $O'$  belong to a common linear 3-dimensional system of quadrics in the 3-dimensional space  $s\delta' = \delta$ .

Assume again that  $q$  is odd. Then we consider the projection  $\xi$  from  $h$  onto the hyperplane  $\text{PG}(5, q)$  with equation  $X_3 = 0$ . We have  $(\delta - \{h\})^\xi = \tilde{\delta}$ , with  $\tilde{\delta}$  the plane having equations

$$\begin{cases} X_3 = 0, \\ X_4 = 2a_{00}X_0 + a_{01}X_1 + a_{02}X_2, \\ X_5 = a_{01}X_0 + 2a_{11}X_1 + a_{12}X_2, \\ X_6 = a_{02}X_0 + a_{12}X_1 + 2a_{22}X_2. \end{cases}$$

Then

$$(\tilde{\delta})^\theta = \tilde{\delta},$$

with  $\theta$  the symplectic polarity of  $\text{PG}(5, q)$  represented by the bilinear form

$$X_0 Y_4 - X_4 Y_0 + X_1 Y_5 - X_5 Y_1 + X_2 Y_6 - X_6 Y_2.$$

Hence  $\tilde{\delta}$  is a totally isotropic plane of the polarity  $\theta$ . It is readily checked that  $\tilde{\delta} \not\subset \Pi$ , that  $\tilde{\delta} \cap \Pi = R$  is not a line of the quadric  $H$  with equations  $X_3 = X_0 = X_1 X_5 + X_2 X_6 = 0$  (that is,  $H = \Phi^+ \cap \Pi$ ), and that  $R$  is not tangent to  $H$ . The number of totally isotropic planes of  $\theta$  in  $\Pi$  is equal to  $(q+1)(q^2+1)$ , the number of totally isotropic planes intersecting  $\Pi$  in a line of  $H$  is  $(q+3)q^3((q+1)q^3$  of these planes intersect a plane of one system of generators of  $H$ , the remaining  $2q^3$  planes intersect a plane of the second system of generators of  $H$ ), and the number of totally isotropic planes intersecting  $\Pi$  in a tangent of  $H$ , not contained in  $H$ , is equal to  $2(q^2-1)q^3$ . Any of the remaining  $q^5(q-1)$  totally isotropic planes of  $\theta$  corresponds to a nonsingular quadric  $O$  of  $\text{PG}(3, q)$ .

## 6. FROM THE CONSTRUCTION OF THAS TO THE CONSTRUCTION OF KNARR

We start from the construction of Thas of the dual of a flock GQ  $\mathcal{S}(F)$  of order  $(q^2, q)$ ,  $q$  odd. We will use the notations of Section 4.

A point of type (a) is an elliptic quadric of  $\text{PG}(3, q)$  touching a fixed plane  $\pi_\infty$  at a fixed point  $\infty$ . We identify the point-plane flag  $(\infty, \pi_\infty)$  with the point-plane flag  $((0, 0, 0, 1), X_0 = 0)$  of Section 5. So with  $O$  there corresponds an elliptic quadric  $O'$  on the cone  $\Phi$ , and a totally isotropic plane  $\tilde{\delta}$  of the symplectic polarity  $\theta$  of  $\text{PG}(5, q)$ . Suppose that with  $O_i$  there corresponds the totally isotropic plane  $\tilde{\delta}_i$  of  $\theta$ , and that  $\tilde{\delta}_i \cap \Pi = R_i$ , with  $i = 1, \dots, q-1$ . One can show that with the  $q-1$  nonsingular elliptic quadrics  $O$  of  $\text{PG}(3, q)$  for which  $O \cap O_i$  over  $\text{GF}(q^2)$  is  $L_\infty^{(i)} \cup M_\infty^{(i)}$  counted twice, there correspond the  $q-1$  totally isotropic planes distinct from  $\tilde{\delta}_i$  and not contained in  $\Pi$ , which contain the line  $R_i$ ,  $i \in \{1, 2, \dots, q-1\}$ . With the  $q^3 - q$  elliptic quadrics  $O$  containing  $L_\infty^{(i)} \cup M_\infty^{(i)}$  (over  $\text{GF}(q^2)$ ) and intersecting  $O_i$  over  $\text{GF}(q)$  in a nonsingular conic containing  $\infty$ , there correspond the totally isotropic planes not in  $\Pi$  intersecting the totally isotropic plane  $e_4 R_i$  in a line distinct from  $R_i$ ,  $i \in \{1, 2, \dots, q-1\}$ .

Let  $w$  be a point of type (b). With the flags  $(w, \gamma)$ ,  $\infty \notin \gamma$ , there correspond  $q^2$  points of a totally isotropic plane of  $\theta$ , not in  $\Pi$  and containing a line of the totally isotropic plane  $X_0 = X_1 = X_2 = X_3 = 0$  (on  $H$ ).

Let  $\gamma$  be a point of type (c). With the flags  $(w, \gamma)$ ,  $w \notin \pi_\infty$ , there correspond  $q^2$  points of a totally isotropic plane of  $\theta$ , not in  $\Pi$  and containing a line of the totally isotropic plane  $X_0 = X_3 = X_5 = X_6 = 0$  (on  $H$ ).

With the  $q-1$  points of type (d) we let correspond the  $q-1$  totally isotropic planes  $e_4 R_i$  of  $\theta$ ,  $i = 1, 2, \dots, q-1$ .

With the unique point  $\pi_\infty$  of type (e) we let correspond the plane  $X_0 = X_3 = X_5 = X_6 = 0$ , and with the unique point  $\infty$  of type (f) we let correspond the plane  $X_0 = X_1 = X_2 = X_3 = 0$ .

Consider the line of type (i) defined by the point-plane flag  $(w, \gamma)$  of  $\text{PG}(3, q)$ , with  $w \notin \pi_\infty$  and  $\infty \notin \gamma$ . With this line we let correspond the point  $(w, \gamma)^{n\xi}$  of  $\text{PG}(5, q)$  ( $(w, \gamma)^{n\xi} \notin \Pi$ ).

Now we consider the line of type (ii) defined by the elliptic quadric  $O$ , where  $O$  corresponds to  $O_i$ . With  $O$  there corresponds a line  $U$  of  $e_4 R_i$ , with  $e_4 \notin U$  (with the point  $O$  of type (a) corresponds a totally isotropic plane  $\tilde{\delta}$  of  $\theta$ , and  $U = \tilde{\delta} \cap \Pi$ ). With the given line of type (ii) we let correspond the line  $U$  of  $\Pi$ .

A line of type (iii) consists of  $q$  parallel planes of  $\text{PG}(3, q) - \pi_\infty$ , where the line at infinity does not contain  $\infty$ , together with the plane  $\pi_\infty$ . With these  $q$  parallel planes correspond  $q$  totally isotropic planes of  $\theta$  containing a common line  $U$  of  $X_0 = X_3 = X_5 = X_6 = 0$ . With the given line of type (iii) we let correspond the line  $U$  of  $\Pi$ .

A line of type (iv) is a line of  $\text{PG}(3, q)$ , not in  $\pi_\infty$ , containing  $\infty$ . With the  $q$  points not in  $\pi_\infty$  of that line correspond  $q$  totally isotropic planes of  $\theta$  containing a common line  $U$  of  $X_0 = X_1 = X_2 = X_3 = 0$ . With the given line of type (iv) we let correspond the line  $U$  of  $\Pi$ .

With the unique line of type (v) we let correspond the point  $e_4$ .

Hence in  $\text{PG}(5, q)$  we have an incidence structure  $\mathcal{S}'$  with six types of points and five types of lines. With the natural incidence this structure  $\mathcal{S}'$  is a GQ isomorphic to the dual of  $\mathcal{S}(F)$ .

The elliptic quadrics  $O_1, O_2, \dots, O_{q-1}$  are tangent to the plane  $\mu$  at the point  $r$ , hence the totally isotropic planes  $\tilde{\delta}_1, \tilde{\delta}_2, \dots, \tilde{\delta}_{q-1}$  have a point  $g$  in common, with  $g \notin \Pi$ . Hence these planes are contained in a 4-dimensional space  $\beta$ . Hence  $R_1, R_2, \dots, R_{q-1}$  are contained in the 3-dimensional space  $\beta \cap \Pi = \alpha \subset e_4^\theta$ , with  $e_4 \notin \alpha$ . Let  $R_q$  be the intersection of  $\alpha$  with  $X_0 = X_1 = X_2 = X_3 = 0$ , and let  $R_{q+1}$  be the intersection of  $\alpha$  with  $X_0 = X_3 = X_5 = X_6 = 0$ . Then  $R_1, R_2, \dots, R_{q+1}$  are totally isotropic for the symplectic polarity  $\theta'$  induced by  $\theta$  in  $\alpha$ , that is,  $R_1, R_2, \dots, R_{q+1}$  are lines of the GQ  $W(q)$  determined by  $\theta'$ .

Consequently, the points of  $\mathcal{S}'$  are:

(a)' the  $q^4 + q^3$  totally isotropic planes of  $\theta$  not contained in  $e_4^\theta$  and meeting some plane  $e_4 R_i$  in a line (not through  $p$ ),  $i \in \{1, 2, \dots, q+1\}$ .

(b)' the  $q+1$  planes  $e_4 R_i$ ,  $i = 1, 2, \dots, q+1$ .



The lines of  $\mathcal{S}'$  are:

- (i)' the  $q^5$  points  $\text{PG}(5, q)$  not in  $e_4^\theta$ ;
- (ii)' the  $q^3 + q^2$  lines of  $\text{PG}(5, q)$  not containing  $e_4$  but contained in one of the planes  $e_4 R_i, i \in \{1, 2, \dots, q + 1\}$ .
- (iii)'  $e_4$ .

Now we show that no two of the lines  $R_1, R_2, \dots, R_{q+1}$  are concurrent in  $W(q)$ . Assume, by way of contradiction, that  $r'$  is a common point of  $R_i$  and  $R_j$  in  $W(q), i \neq j$ . Then there exist totally isotropic planes  $\delta'_i$  and  $\delta'_j$  of  $\theta$  which respectively contain  $R_i$  and  $R_j$ , and which intersect in a line not contained in  $e_4^\theta$ . It follows that the points  $\delta'_i$  and  $\delta'_j$  of  $\mathcal{S}'$  are incident with more than one line of  $\mathcal{S}'$ , clearly a contradiction. Hence no two of the lines  $R_1, R_2, \dots, R_{q+1}$  are concurrent in  $W(q)$ .

Assume, by way of contradiction, that  $W(q)$  contains a line  $R$  which is concurrent with three distinct lines of  $\{R_1, R_2, \dots, R_{q+1}\} = \mathcal{W}'$ , say with  $R_i, R_j, R_k$ . Let  $r_l$  be the common point of  $R$  and  $R_l$  in  $W(q), l = i, j, k$ . Further, let  $\rho$  be a totally isotropic plane of  $\theta$  which contains  $R$  but is not contained in  $e_4^\theta$ , and let  $R'_k$  be a line of  $e_4 R_k$  distinct from  $e_4 r_k$  and  $R_k$ . Also, let  $\rho \cap R_i^\theta = R''_i, \rho \cap R_j^\theta = R''_j$  and  $\rho \cap R_k^\theta = R''_k$ . Then clearly  $R''_l \neq R, l = i, j, k$ , and the lines  $R''_i, R''_j, R''_k$  are not concurrent. If we now consider the totally isotropic planes  $\delta''_i = R''_i R_i, \delta''_j = R''_j R_j, \delta''_k = R''_k R'_k$  of  $\theta$ , then  $\delta''_i, \delta''_j, \delta''_k$  are points of  $\mathcal{S}'$  which form a triangle, a contradiction. Consequently  $\mathcal{W}'$  has the property that no three of its lines are concurrent with a common line of  $W(q)$ .

From the preceding it follows that  $\mathcal{W}'$  is a BLT-set of  $W(q)$ . The construction of Knarr [6] applied to the BLT-set  $\mathcal{W}'$  yields the dual of the GQ  $\mathcal{S}'$ . Let  $\mathcal{W}$  be the BLT-set of  $W(q)$  defined by the flock  $F$ . As  $\mathcal{S}'$  is isomorphic to the dual of  $\mathcal{S}(F)$ , then, by the proof of Theorem IV.1 in Payne and Thas [12],  $\mathcal{W}$  and  $\mathcal{W}'$  are equivalent with respect to the group  $P\Gamma Sp_4(q)$ . Now we show that  $\mathcal{W}$  and  $\mathcal{W}'$  are even equivalent with respect to the group  $PSp_4(q)$ .

In the construction of Thas, Let  $F$  be the flock of the quadratic cone  $K$  with equation  $X_0 X_1 = X_2^2$ , let the respective planes  $\pi_i$  of the elements of  $F$  have equation

$$l_i X_0 + m_i X_1 + n_i X_2 + X_3 = 0, \quad \text{with } i = 0, 1, \dots, q - 1,$$

and let  $l_0 = m_0 = n_0 = 0$ . Now we project  $K - \{(0, 1, 0, 0)\}$  from  $(0, 1, 0, 0)$  onto the plane  $\zeta$  with equation  $X_1 = 0$ . The plane  $\pi_0$  contains  $(0, 1, 0, 0)$ . The projection from  $(0, 1, 0, 0)$  onto  $\zeta$  of the conic  $\pi_i \cap K$ , with  $i \neq 0$ , is the conic  $C_i$  with equations

$$l_i X_0^2 + m_i X_2^2 + n_i X_0 X_2 + X_0 X_3 = 0 = X_1.$$

Also, we have  $\pi_0 \cap \zeta = N : X_1 = X_3 = 0$ . Further, let  $\mu$  have equation  $X_3 = 0$  and let  $r(1, 1, 0, 0)$ . Then

$$O_i: l_i X_0^2 + l_i X_1^2 + m_i X_2^2 - 2l_i X_0 X_1 + n_i X_0 X_2 + X_0 X_3 - n_i X_1 X_2 = 0,$$

with  $i = 1, 2, \dots, q-1$ . With  $O_i$  there corresponds an elliptic quadric  $O'_i$  on the cone  $\Phi$ , where  $O'_i$  is contained in the 3-dimensional space  $\delta_i$  with equations

$$X_4 = 2l_i X_0 - 2l_i X_1 + n_i X_2 + X_3,$$

$$X_5 = -2l_i X_0 + 2l_i X_1 - n_i X_2,$$

$$X_6 = n_i X_0 - n_i X_1 + 2m_i X_2.$$

Hence the line  $R_i$  has equations

$$\begin{cases} X_0 = X_3 = X_4 + X_5 = 0, \\ X_4 = -2l_i X_1 + n_i X_2, \\ X_6 = -n_i X_1 + 2m_i X_2, \end{cases}$$

with  $i = 1, 2, \dots, q-1$ . Further we have

$$R_q: X_0 = X_1 = X_2 = X_3 = X_4 + X_5 = 0,$$

and

$$R_{q+1}: X_0 = X_3 = X_4 = X_5 = X_6 = 0.$$

In the space  $\alpha: X_0 = X_3 = X_4 + X_5 = 0$  the symplectic polarity  $\theta'$  can be represented by (in Grassmann coordinates)

$$p_{15} + p_{26} = 0.$$

As  $F$  is a flock, we have that

$$(n_i - n_j)^2 - 4(l_i - l_j)(m_i - m_j) \text{ is a nonsquare,}$$

for all  $i, j \in \{0, 1, \dots, q-1\}$ . Now it is easy to check that the BLT-set  $\mathcal{W}$  defined by the flock  $F$  is equivalent for the group  $PSp_4(q)$  with the BLT-set  $\mathcal{W}' = \{R_1, R_2, \dots, R_{q+1}\}$ .

We conclude that the above constructed model of the dual of  $\mathcal{S}(F)$  in the projective space  $PG(5, q)$ , is exactly the model constructed by Knarr starting from the BLT-set  $\mathcal{W}$  defined by  $F$ .

## 7. SUBQUADRANGLES AND OVOIDS

## 7.1. Subquadrangles in the Even Case

Let  $\mathcal{S}(F)$  be the GQ of order  $(q^2, q)$  arising from the flock  $F$  of the quadratic cone  $K$  of  $\text{PG}(3, q)$ ,  $q$  even. Then  $\mathcal{S}(F)$  has at least  $q^3 + q^2$  subquadrangles of order  $q$ ; see Thas [16]. By Payne [8] any of these subquadrangles  $\mathcal{S}'$  is a  $T_2(O)$  of Tits, with  $O$  an oval of  $\text{PG}(2, q)$ . Similarly as in the odd case (see Sections 5 and 6)  $\mathcal{S}(F)$  can be represented on the hyperbolic cone  $\Phi$  with vertex  $s$  in  $\text{PG}(6, q)$ . Let  $\varphi$  be a plane on the hyperbolic quadric  $\Phi^+$  such that  $\varphi$  and the planes  $X_0 = X_3 = X_5 = X_6 = 0$  and  $X_0 = X_3 = X_1 = X_2 = 0$  belong to a common system of generators of  $\Phi^+$ , but with  $\varphi$  not belonging to  $\Pi$  (that is, not containing  $e_4(0, 0, 0, 0, 1, 0, 0)$ ). There are exactly  $q^3 + q^2$  such planes  $\varphi$ . Consider the 3-dimensional space  $s\varphi = \Delta$ . Then with the  $q^3$  points of  $\Delta$  not in  $\Pi$  correspond the  $q^3$  points of a subquadrangle  $\mathcal{S}'$  of order  $q$  of  $\mathcal{S}(F)$  which are not collinear with the point  $\infty$  of  $\mathcal{S}(F)$ . In this way we find all  $q^3 + q^2$  subquadrangles  $\mathcal{S}'$  of order  $q$  containing the sets of type  $\{L, L_1, L_2\}^\perp \cup \{L, L_1, L_2\}^{\perp\perp}$  with  $L, L_1, L_2$  pairwise nonconcurrent, with  $\infty \text{ I } L$ , and with  $\infty \text{ I } M$  and  $M \in \{L, L_1, L_2\}^\perp$ .

One can also show that with  $q^3 - q^2$  lines of  $\mathcal{S}'$  there correspond  $q^3 - q^2$  conics in  $\Delta$  through  $s$ . Each plane  $\omega$  through  $s$  but neither containing the intersection of  $\varphi$  and  $X_0 = X_3 = X_5 = X_6 = 0$ , nor the intersection of  $\varphi$  and  $X_0 = X_3 = X_1 = X_2 = 0$ , contains exactly  $q$  of these conics. Then  $q$  conics are tangent to  $\omega \cap \Pi$  at  $s$ , and define a partition of  $\omega - \Pi$ .

## 7.2. Ovoids in the Odd Case

Consider again  $\mathcal{S}(F)$  and its representation on the cone  $\Phi$ . Assume that  $q$  is odd. Let  $\beta$  be a plane of  $\Phi^+$  which is disjoint from the planes  $X_0 = X_1 = X_2 = X_3 = 0$  and  $X_0 = X_3 = X_5 = X_6 = 0$ ; such a plane  $\beta$  does not belong to  $\Pi$ . Now we consider the 3-dimensional space  $s\beta = \Delta'$ , with  $s(0, 0, 0, 1, -1, 0, 0)$ . Then it can be shown that the  $q^3$  points of  $\Delta' - \Pi$  together with the point  $e_4(0, 0, 0, 0, 1, 0, 0)$  correspond to the points of an ovoid of  $\mathcal{S}(F)$ . These ovoids are of the type described in Thas and Payne [17, 6.2].

## 7.3. Ovoids in the Even Case

Consider again  $\mathcal{S}(F)$  and its representation on the cone  $\Phi$ . Let  $O'$  be an ovoid of  $\Phi^+$  which contains  $e_4$ . Then it can be shown that the  $q^3$  points of  $sO' - \Pi$  together with the point  $e_4$  correspond to the points of an ovoid of  $\mathcal{S}(F)$ . These ovoids are exactly the ovoids described in Thas [14, 7.3 (a)].

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