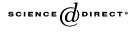


Available online at www.sciencedirect.com



Journal of Algebra 294 (2005) 463-477



www.elsevier.com/locate/jalgebra

# Alternate proofs of two theorems of Philip Hall on finite *p*-groups, and some related results

Yakov Berkovich

Department of Mathematics, University of Haifa, Haifa 31905, Israel Received 7 November 2004

Available online 15 August 2005

Communicated by Paul Flavell

To the 100th anniversary of Philip Hall (1904-1982)

#### Abstract

New very detailed proofs of Theorems 2.5 and 2.64 from the seminal paper of Philip Hall [P. Hall, On a theorem of Frobenius, Proc. London Math. Soc. Ser. (2) 40 (1936) 468–501] are given. A number of generalizations of these theorems are proved. For example, we show that if *G* is a *p*-group of order  $p^{k(p-1)+3}$ , k > 2, and exponent  $> p^k$  with  $\Omega_k(G) = G$ , then either *G* is of maximal class or *G* possesses a normal subgroup *H* of order  $p^p$  and exponent *p* such that G/H is of maximal class. Counting theorems play important role in this note. © 2005 Elsevier Inc. All rights reserved.

Probably, the following remarkable 'conditionless' structure theorem<sup>1</sup> is one of the deepest consequences of Hall's theory of regular p-groups.

**Theorem 1** (P. Hall [6, Theorem 2.5]). Let  $H > \{1\}$  be a normal subgroup of a p-group G. Then there exists in H a chain  $C: \{1\} = L_0 < L_1 < \cdots < L_n = H$  of G-invariant subgroups with the properties (i = 1, ..., n):

0021-8693/\$ – see front matter © 2005 Elsevier Inc. All rights reserved. doi:10.1016/j.jalgebra.2005.06.030

E-mail address: berkov@math.haifa.ac.il.

 $<sup>^{1}</sup>$  As far as I know, this is the first citing the above theorem since its publication in 1936.

(a) L<sub>i</sub>/L<sub>i-1</sub> is of order ≤ p<sup>p-1</sup> and exponent p, and
(b) either the order of L<sub>i</sub> is exactly p<sup>(p-1)i</sup>, or else L<sub>i</sub> = Ω<sub>i</sub>(H).

A chain C, having properties (a) and (b) of Theorem 1, is said to be a (p-1)-admissible Hall chain in H, and this is agrees with the definition of a k-admissible Hall chain following Supplement 2 to Theorem 1. The length of C is at least  $\log_p(\exp(H))$  since  $\exp(L_i) \leq p^i$  for all i.

It follows from Theorem 1 that if p > 2, e > 1,  $\exp(G) = p^e$ , and  $|G| \le p^{(p-1)(e-1)}$ , then, for some natural number k < e, the *p*-group *G* has a characteristic subgroup of order  $< p^{(p-1)k}$  and exponent  $p^k$ . Indeed, let  $C: \{1\} = L_0 < L_1 < \cdots < L_n = G$  be a (p-1)-admissible Hall chain in *G*, which exists by Theorem 1. Since  $n \ge e$ , there exists a natural number k < e such that  $|L_k| < p^{(p-1)k}$ . In that case, by Theorem 1,  $L_k = \Omega_k(G)$ , and this subgroup is characteristic in *G*. It is interesting to give a proof of this assertion independent of Theorem 1.

The original proof of Theorem 1, a skillful and fairly difficult inductive argument, contains a gap. Namely, in [6, p. 481], the number  $i_1$  is defined (this number plays the crucial role in Hall's proof). However, the case in which  $i_1$  does not exist, is overlooked. This gap is easily repaired in part (iii) of our proof of Theorem 1. In view of Remark 2, we do not use the number  $i_1$  at all. All prerequisites for the presented proof of Theorem 1 are contained in §2 of [6] so that proof is a real simplification of the original one. Our proof, especially in part (i), uses some ideas of Hall's proof. As a by-product of this approach, two additional new results, Supplements 1 and 2 to Theorem 1 are presented (these supplements are not consequences of Theorem 1).

Theorem 2.64 in [6] asserts that if a *p*-group *G* has order  $\leq p^{(p-1)k+1}$  and  $\Omega_k(G) = G$ , then  $\exp(G) \leq p^k$ . This follows immediately from

**Theorem 2.** Let  $k \in \mathbb{N}$  and let G be a p-group. If G has no subgroup of order  $p^{(p-1)k+1}$ and exponent  $\leq p^k$ , then  $\exp(\Omega_k(G)) \leq p^k$ .

Suppose that *G* is as in the statement of [6, Theorem 2.64]. Assuming that  $\exp(G) > p^k$ , we see that *G* has no subgroup of exponent  $\leq p^k$  and order  $p^{(p-1)k+1} (\geq |G|)$ . In that case, by Theorem 2,  $\exp(\Omega_k(G)) \leq p^k$ , contrary to the assumption since  $\Omega_k(G) = G$ .

The proof of Theorem 2 is based on Lemma 3(i). In part (iii) of the proof of Theorem 1 we use a partial case of Theorem 2. Our proof of Theorem 2 is independent of Theorem 1, in contrast to the original proof of [6, Theorem 2.64], hence, it is essentially simpler. As *p*-groups of maximal class and order >  $p^{(p-1)k+1}$  show, Theorem 2 yields the best possible result. Theorem 2 is a partial case of Theorem 4, which is not so elementary since it is based on Blackburn's theory of *p*-groups of maximal class.

The collecting formula (the so called Hall–Petrescu formula) is used in one place of Hall's proof of Theorem 1 essentially. In our proof, a good substitute for that formula is Theorem 2.

According to Blackburn, a *p*-group *G* is said to be *absolutely regular* if  $|G: \mathcal{O}_1(G)| < p^p$ . By Hall's regularity criterion (see Lemma 3(c)), absolutely regular *p*-groups, as their name indicates, are regular. All necessary prerequisites on regular and absolutely regular *p*-groups, presented in Lemma 3(c),(d).

We use the standard notation common for papers on *p*-groups (see [1,2]). Denote  $\Omega_n(G) = \langle x \in G \mid x^{p^n} = 1 \rangle$  and  $\mathcal{O}_n(G) = \langle x^{p^n} \mid x \in G \rangle$ . If A < G,  $\exp(A) \leq p^e$  and k < e, then  $\mathcal{O}_k(A) \leq \Omega_{e-k}(\mathcal{O}_k(G))$  since  $\mathcal{O}_k(A)$  is generated by elements of order  $\leq p^{e-k}$ . Let

$$\mho^{0}(G) = G, \qquad \mho^{1}(G) = \mho_{1}(G), \qquad \mho^{i+1}(G) = \mho_{1}(\mho^{i}(G)), \quad i = 1, 2, \dots$$

Since  $\exp(G/\mathbb{U}^i(G)) \leq p^i$ , then  $\mathbb{U}_i(G) \leq \mathbb{U}^i(G)$ . The subgroups  $\mathbb{U}^i(G)$  are characteristic in *G* and control the structure of the subgroups  $\mathbb{U}_i(G)$ .

In what follows we use the bar convention.

To facilitate the proof of Theorem 1 and all subsequent results, it will be convenient to begin by proving Lemma 3, Theorem 2 and the assertions contained in Remarks 1–4.

### **Lemma 3.** Let G be a p-group.

- (a) If G is irregular, it possesses a characteristic subgroup of order  $\ge p^{p-1}$  and exponent p. In particular, if G is an arbitrary p-group and H, a normal subgroup of G, has a subgroup of order  $p^k \le p^{p-1}$  and exponent p, then H possesses a G-invariant subgroup of order  $p^k$  and exponent p.
- (b) Suppose that W, a normal subgroup of G, has a subgroup of order p<sup>p-1</sup> and exponent p, let R < W be a G-invariant subgroup of order p<sup>k</sup> < p<sup>p-1</sup> and exponent p. Then there exists a G-invariant subgroup H < W of order p<sup>p-1</sup> and exponent p such that R < H. On the other hand, if W has no G-invariant subgroup of order p<sup>p-1</sup> and exponent p, it is absolutely regular.
- (c) (Hall) (i) *p*-groups of class < p (so also groups of order  $p^p$ ) are regular. (ii) Hall regularity criterion [6, Theorem 2.3]: absolutely regular *p*-groups are regular. (iii) If *G* is regular, then  $\exp(\Omega_n(G)) \leq p^n$  and  $|\Omega_n(G)| = |G/U_n(G)|$  for  $n \in \mathbb{N}$ .
- (d) Sections of absolutely regular *p*-groups are absolutely regular.
- (e) Let *H* be a normal subgroup of *G*, where  $|H| \leq p^{(p-1)e}$  and  $\exp(H) = p^e$ . Then there exists a chain  $\{1\} = T_0 < T_1 < \cdots < T_e = H$  of length *e* of *G*-invariant subgroups such that

$$p^{p-1} \ge |T_1/T_0| \ge |T_2/T_1| \ge \dots \ge |T_e/T_{e-1}|, \quad \exp(T_i/T_{i-1}) = p, \quad i = 1, \dots, e.$$

*If, in addition,*  $|H| = p^{(p-1)e}$ *, then*  $|T_i/T_{i-1}| = p^{p-1}$  *for all i*.

- (f) Let *H* be a normal subgroup of *G*, where  $|H| = p^{(p-1)e}$  and  $\exp(H) \leq p^e$ . Then there exists a chain  $\{1\} = T_0 < T_1 < \cdots < T_e = H$  of length *e* of *G*-invariant subgroups such that  $|T_i/T_{i-1}| = p^{p-1}$  and  $\exp(T_i/T_{i-1}) = p$  for  $i = 1, \dots, e$ .
- (g) Suppose that W, a normal subgroup of G, is neither absolutely regular nor of maximal class. (i) [2, Theorem 7.6] The number of subgroups of order p<sup>p</sup> and exponent p in W is ≡ 1 (mod p). (ii) [3, Corollary 13.3] If A < W be a G-invariant subgroup of order p<sup>a</sup> < p<sup>p</sup>, then there exists in W a G-invariant subgroup H of order p<sup>p</sup> and exponent p containing A.

- (h) (i) If G is absolutely regular and  $|G| > p^{(p-1)k}$ , then  $\exp(G) > p^k$ . (ii) If G is of maximal class and  $|G| > p^{(p-1)k+1}$ , then  $\exp(G) > p^k$ . Any two irregular p-groups of maximal class and the same order have the same exponent.<sup>2</sup>
- (i) Suppose that  $|G| \leq p^{pk}$ . Then  $\mathbb{O}^{k-1}(G)$  is either absolutely regular or of order  $p^p$  and exponent p (the same is true for  $\mathbb{O}_{k-1}(G) (\leq \mathbb{O}^{k-1}(G))$ ). If, in addition,  $|G| < p^{pk}$ , the above two subgroups are absolutely regular.
- (j) [2, Theorem 7.4(b)] Suppose that G is irregular but it is not of maximal class. If G contains a subgroup H of maximal class and index p, then  $G/K_p(G)$  is of order  $p^{p+1}$  and exponent p. In that case,  $\exp(G) = \exp(H)$ .
- (k) [2, Theorem 13.21] Let A < G and suppose that all subgroups of G that contain A as a subgroup of index p, are of maximal class. Then G is also of maximal class.
- (1) (Blackburn, see [2, Theorem 9.6]) Let G be of maximal class of order > p<sup>p+1</sup> and exponent p<sup>e</sup>. Then G has no normal subgroup of order p<sup>p</sup> and exponent p and exactly p maximal subgroups, say M<sub>1</sub>,..., M<sub>p</sub>, of G are of maximal class and one of maximal subgroups of G, say G<sub>1</sub>, is absolutely regular and exp(G<sub>1</sub>) = exp(G). Next, exp(M<sub>i</sub>) < exp(G) if and only if |G| = p<sup>(p-1)e+2</sup> and K<sub>p</sub>(G) = U<sub>1</sub>(G) has exponent p<sup>e-1</sup>. Regular epimorphic images of G are of exponent p.
- (m) (Blackburn, see [3, Theorems 9.5 and 9.6]) If G is of maximal class, then  $\Omega_2(G) = G$ . If, in addition, G is irregular, then its subgroups of orders  $> p^p$  are either absolutely regular and contained in  $G_1$  (see (1)) or of maximal class. Next, if  $|G| > p^p$ , then G is irregular and  $|G/\mathcal{V}_1(G)| = p^p$ .

**Proof.** (a) For a proof of the first assertion, see [2, the paragraph preceding Theorem 7.8]. Let us prove the second assertion. If *H* is regular, the desired subgroup is contained in the *G*-invariant subgroup  $\Omega_1(H)$  of exponent *p* (see (c)). Now let *H* be irregular. Then, by the first assertion, *H* has a characteristic subgroup *K* of order  $\ge p^{p-1}$  and exponent *p*, and our claim now is obvious.

(b) By (a), there exists a *G*-invariant subgroup  $H \leq W$  of order  $p^{p-1}$  and exponent *p*. Set D = RH; then *D* is normal in *G*. Clearly, cl(D) < p so *D* is regular, and we conclude that exp(D) = p (see (c)) The desired subgroup is any *G*-invariant subgroup *L* of order  $p^{p-1}$  such that  $R < L \leq D$ . Now suppose that *W* has no *G*-invariant subgroup of order  $p^{p-1}$  and exponent *p*. Then, by Lemma 3(g)(i), *W* is either absolutely regular or irregular of maximal class. In the second case, however,  $\Omega_1(\Phi(W))$  is of order  $p^{p-1}$  and exponent *p*, contrary to the assumption.

(d) Since epimorphic images of G are absolutely regular (this is obvious), it suffices to show that any subgroup U of G is absolutely regular. Indeed, by (c),

$$|U/\mho_1(U)| = |\Omega_1(U)| \leq |\Omega_1(G)| = |G/\mho_1(G)| \leq p^{p-1},$$

and we are done.

(e) If *H* is absolutely regular, then  $\{1\} < \Omega_1(H) < \cdots < \Omega_e(H) = H$  is the desired chain. Now let *H* be not absolutely regular. We use induction on |H|. The subgroup L =

<sup>&</sup>lt;sup>2</sup> There exist, for p > 2, two regular *p*-groups of maximal class and the same order which have distinct exponents.

 $\mathcal{U}_{e-1}(H)$  is absolutely regular and  $\exp(L) = p$ ,  $|L| \leq p^{p-1}$  (see (i)). By (b),  $L \leq U \leq H$ , where *U* is *G*-invariant of order  $p^{p-1}$  and exponent *p*. Since  $|H/U| \leq p^{(p-1)(e-1)}$  and  $\exp(H/U) = p^{e-1}$ , there is, by induction, a chain  $U/U = T_1/U < \cdots < T_e/U = H/U$  of *G*-invariant subgroups such that  $\exp(T_i/T_{i-1}) = p$  for  $i = 1, \dots, e$  (here  $T_0 = \{1\}$ ) and

$$p^{p-1} \ge |T_1/T_0| \ge |T_2/T_1| \ge \cdots \ge |T_e/T_{e-1}|.$$

Then  $\{1\} = T_0 < T_1 < \cdots < T_e = H$  is the desired chain.

(f) In view of (e), one may assume that  $\exp(H) < p^e$  so H is not absolutely regular, by (h), below. Then H possesses a G-invariant subgroup  $T_1$  of order  $p^{p-1}$  and exponent p, by (c). Since  $|H/T_1| = p^{(p-1)(e-1)}$  and  $\exp(H/T_1) \leq \exp(H) \leq p^{e-1}$ , there is, by induction, a chain  $T_1/T_1 < T_2/T_1 < \cdots < T_e/T_1 = H/T_1$  of G-invariant subgroups such that  $T_{i+1}/T_i$  is of order  $p^{p-1}$  and exponent p,  $i = 1, \ldots, e-1$ . Then  $\{1\} = T_0 < T_1 < \cdots < T_e = H$  is the desired chain.

(h) Parts (i), (ii) follow from (c), (d) and (l).

(i) Assume that  $\mho^{k-1}(G)$  is not absolutely regular. One may assume that k > 1. We have  $|\mho^{i-1}: \mho^i(G)| \ge p^p$  for i = 1, ..., k, by (d). In that case,

$$|G: \mho^{k-1}(G)| = \prod_{i=1}^{k-1} |\mho^{i-1}(G): \mho^{i}(G)| \ge p^{p(k-1)}$$

so  $|\mathcal{O}^{k-1}(G)| \leq p^p$ . In that case, if  $\mathcal{O}^{k-1}(G)$  is of exponent > *p*, it is absolutely regular. In view of  $\mathcal{O}_{k-1}(G) \leq \Omega_1(\mathcal{O}^{k-1}(G))$ , we are done.

(j) We have to check the last equality only. In the case under consideration,  $K_p(G) = \mathcal{O}_1(H)$  and  $\exp(\mathcal{O}_1(H)) = \frac{1}{p} \exp(H)$  (Lemma 3(1)). Then

$$\exp(G) \leq \exp(\mathbf{K}_p(G)) \exp(G/\mathbf{K}_p(G)) = p \cdot \exp(\mho_1(H)) = \exp(H)$$

since *H* is of maximal class, and we are done since  $\exp(G) \ge \exp(H)$ .  $\Box$ 

#### **Remarks.** Let *G* be a *p*-group and $k, j \in \mathbb{N}$ .

1. Let  $\exp(\Omega_k(G)) \leq p^k$  and let  $G/\Omega_k(G)$  be regular. We claim that  $\exp(\Omega_{k+j}(G)) \leq p^{p+j}$  and  $\Omega_j(G/\Omega_k(G)) = \Omega_{k+j}(G)/\Omega_k(G)$ . Indeed, set  $H = \Omega_k(G)$  and  $F/H = \Omega_j(G/H)$ . One may assume that H < G; then  $\exp(H) = p^k$ . If  $x \in F$ , then  $x^{p^j} \in H$ (Lemma 3(c)(iii)) so  $o(x) \leq p^{k+j}$  and  $F \leq \Omega_{i+j}(G)$ . Now let  $y \in G$  with  $o(y) \leq p^{k+j}$ . Then  $y^{p^j} \in H$  so  $yH \in F/H$  and  $y \in F$ , and we conclude that  $\Omega_{i+j}(G) \leq F$ .

2. Let *H* be a normal subgroup of *G*,  $\exp(\Omega_k(H)) = p^k$ ,  $H'\Omega_k(H)$  is absolutely regular and  $|\Omega_k(H)| \leq p^{(p-1)k}$ . Let  $\{1\} = L_0 < L_1 < \cdots < L_k = \Omega_k(H)$  be a (p-1)-admissible Hall chain in  $\Omega_k(H)$  which exists by Lemma 3(e). For a nonnegative integer *s*, put  $L_{k+s}/L_k = \Omega_s(H/L_k)$ . We claim that  $\{1\} = L_0 < L_1 < \cdots < L_k < L_{k+1} \cdots < H$  is a (p-1)-admissible Hall chain in *H*. Indeed, the factors of the above chain are of order  $\leq p^{p-1}$  and exponent *p* and  $\Omega_i(\Omega_k(H)) = \Omega_i(H)$  for  $i \leq k$ , and we are done (see Remark 1).

3. Let *M* be a normal subgroup of *G* and  $\Omega_j(G/M) \leq H/M$  for some  $H \leq G$ . Then  $\Omega_j(G) \leq H$ . Indeed, if  $x \in G$  with  $o(x) \leq p^j$ , then  $o(xM) \leq p^j$  so  $xM \leq \Omega_j(G/M) \leq H/M$  and  $x \in H$ .

4. Let *H* be a normal subgroup of *G* and let  $F_0 \leq H$  be a *G*-invariant subgroup of order *p*. Suppose that  $H/F_0$  is of order  $p^{(p-1)e}$  and exponent  $\leq p^e$ . We claim that there is in *H* a (p-1)-admissible Hall chain of length e + 1 with last index = *p*. One may assume that e > 0. Set  $\overline{G} = G/F_0$ . By Lemma 3(f), there is a (p-1)-admissible Hall chain  $\{\overline{1}\} = \overline{F_0} < \overline{F_1} < \cdots < \overline{F_e} = \overline{H}$  in  $\overline{H}$ . We proceed by induction on *e*. Suppose that there is a (p-1)-admissible Hall chain  $\{1\} = L_1 < \cdots < L_{e-1} < F_{e-1}$  in  $F_{e-1}$  such that  $|F_{e-1}/L_{e-1}| = p$ . Then  $H/L_{e-1}$  is of order  $p^p$  so regular, and  $H/F_{e-1}$  is of order  $p^{p-1}$  and exponent *p*. It follows that  $\Omega_1(H/L_{e-1})$  is of order  $\geq p^{p-1}$  and exponent *p* (Lemma 3(c)(iii)). Let  $L_e/L_{e-1}$  be an arbitrary *G*-invariant subgroup of order  $p^{p-1}$  in  $\Omega_1(H/L_{e-1})$  (see Lemma 3(c) again). Then  $\{1\} = L_0 < L_1 < \cdots < L_{e-1} < L_e < H$  is the desired chain of length e + 1 in *H*.

**Proof of Theorem 2.** We proceed by induction on |G| and k assuming that G is a minimal counterexample. Then  $\Omega_k(G) > p^k$  so G is irregular (Lemma 3(c)), and  $\exp(G) \ge p^{k+1}$ .

Let k = 1 and let R, a normal subgroup of G, be of exponent p of maximal order. Since G has no subgroup of order  $p^{(p-1)1+1} = p^p$  and exponent p, we get  $|R| = p^{p-1}$  (Lemma 3(a)). If  $x \in G - R$  is of order p, then  $S = \langle x, R \rangle$  is of order  $p^p = p^{(p-1)1+1}$  and exponent p (Lemma 3(c)), a contradiction. Thus,  $R = \Omega_1(G)$  so  $\exp(\Omega_1(G)) = p$ , and the theorem is true for k = 1.

Now we let k > 1. Then G has a noncyclic subgroup of order  $p^{k+1}$  (otherwise G is cyclic) so p > 2. If M < G is maximal, then  $\exp(M) \ge p^k$  since  $\exp(G) > p^k$ . Let A < Gbe a subgroup of maximal order among subgroups of exponent  $\leq p^k$ ; then  $|A| \leq p^{(p-1)k}$ , by hypothesis, and A < G since  $\exp(A) < \exp(G)$ . Let  $A \leq M < G$ , where |G:M| = p; then  $A \leq \Omega_k(M)$ . By induction,  $\exp(\Omega_k(M)) = p^k$  so  $\Omega_k(M) = A$ , whence A is normal in G and  $\exp(A) = p^k$  since  $\exp(M) \ge p^k$ . By assumption, there is  $g \in G - A$  with  $o(g) \leq p^k$ . Then  $g^p \in M$  so  $g^p \in \Omega_{k-1}(M) \leq A$ . Set  $B = \langle g, A \rangle$ ; then |B| = p|A| > |A|. If  $B \leq F < G$ , where |G:F| = p, then  $B \leq \Omega_k(F)$  has exponent  $p^k$  (here we use induction), contrary to the choice of A. Thus, F does not exist so B = G and |G:A| = p,  $|G| \leq C$  $p^{(p-1)k+1} < p^{pk}$  and  $\exp(G) = p^{k+1}$ . Therefore, by Lemma 3(i),  $\mathcal{O}_{k-1}(G)$  is absolutely regular since it has an element of order  $p^2$ . Let  $\Omega_1(\mathcal{O}_1(G)) \leq H$ , where H is a G-invariant subgroup of order  $p^{p-1}$  and exponent p (*H* exists, by Lemma 3(b)). Then  $\mathcal{O}_{k-1}(A) \leq$  $\Omega_1(\mathcal{O}_{k-1}(G)) \leq H$  since  $\mathcal{O}_{k-1}(A)$  is generated by elements of order p. If  $H \leq A$ , then G = AH and  $G/(H \cap A) = (A/(H \cap A)) \times (H/(H \cap A))$  is of exponent  $p^{k-1}$ . In that case,  $\exp(G) = p^k$ , a contradiction. Thus,  $H \leq A$ . Set  $\overline{G} = G/H$ . Let  $x \in G - A$  be such that o(x) is as small as possible; then  $o(x) \leq p^k$ . In that case,  $\overline{G} = \langle \overline{x}, \overline{A} \rangle$ ,  $\exp(\overline{A}) = p^{k-1}$ ,  $|\overline{A}| \leq p^{(p-1)(k-1)}$  and  $o(\overline{x}) \leq p^{k-1}$  since  $x^{p^{k-1}} \leq \Omega_1(\mathcal{O}_{k-1}(G)) \leq H$ . We also have  $|\overline{G}| \leq p^{(p-1)(k-1)+1}$  and  $\Omega_{k-1}(\overline{G}) = \overline{G}$ . The group  $\overline{G}$  has no subgroup of order  $p^{(p-1)(k-1)+1}$  $|\bar{G}|$  and exponent  $\leq p^{k-1}$ . Therefore, by induction,  $\exp(\Omega_{k-1}(\bar{G})) = p^{k-1}$  so  $\exp(\overline{G}) = p^{k-1}$ , and we have  $\exp(G) \leq p^k$ , a final contradiction. П

## **Remarks.** Let *G* be a *p*-group and $k \in \mathbb{N}$ .

5. Let  $\Omega_k(G) = G$ . If A is maximal among proper subgroups X of G satisfying  $\Omega_k(X) = X$ , then |G:A| = p. Indeed, assume that A is not normal in G. Take  $x \in$ 

 $G - N_G(A)$ . Let A < M < G, where M is maximal in G. Then  $A \neq A^x \leq M$ ,  $A < H = \langle A, A^x \rangle \leq M < G$  and  $\Omega_k(H) = H$ , contrary to the choice of A. Thus, A is normal in G. Let  $y \in G - A$  be of minimal order; then  $o(y) \leq p^k$ ,  $y^p \in A$ ,  $\Omega_k(\langle y, A \rangle) = \langle y, A \rangle > A$  so  $G = \langle y, A \rangle > A$  and |G:A| = p, as was to be shown.

6. Let A < G be maximal among subgroups of G of exponent  $\leq p^k$ . We claim that if  $|A| \leq p^{(p-1)k}$ , then  $A = \Omega_k(G)$ . Assume that this is false; then A < G. Set  $N = N_G(A)$ . Assume that N < G. Then, by induction,  $A = \Omega_k(N)$  is characteristic in N so N = G and  $A = \Omega_k(G)$ , i.e., G is not a counterexample. Thus, A is normal in G. Let  $y \in G - A$  be of minimal order; then  $o(y) \leq p^k$  and  $y^p \in A$ . Set  $B = \langle y, A \rangle$ . Then  $|B| \leq p^{(p-1)k+1}$  and  $\Omega_k(B) = B$ . It follows from Theorem 2 that  $\exp(B) = p^k$ , contrary to the choice of A. Thus,  $A = \Omega_k(G)$ , as was to be shown. (Compare with Theorem 2.)

If, in Remark 6, A is of order  $p^{(p-1)k+1}$ , it is not necessarily normal in G (let G be a p-group of maximal class and order  $\ge p^{(p-1)(k-1)+3}$ ; if G contains a subgroup A of order  $p^{(p-1)k+1}$  and exponent  $p^k$ , it is maximal among subgroups of G of exponent  $p^k$ , but  $A < \Omega_k(G)$ ). See, however, Theorem 6.

Now we are ready to prove Theorem 1.

**Proof of Theorem 1.** Set  $\exp(H) = p^e$ . We may assume that e > 1, p > 2 and H is not absolutely regular. Indeed, if e = 1, then any chain satisfying condition (a), is a Hall chain. If H is absolutely regular, then  $\{1\} < \Omega_1(H) < \cdots < \Omega_e(H) = H$  is *the unique* (p - 1)-admissible Hall chain in H. Next, if p = 2, then any part of a chief series of G, lying below H, is a Hall chain in H.

We proceed by induction on |H|.

Let  $F_0$  be a *G*-invariant subgroup of order *p* in *H* and set  $\overline{G} = G/F_0$ . Then, by induction, there is in  $\overline{H}$  a (p-1)-admissible Hall chain

$$\{\bar{1}\} = \bar{F}_0 < \bar{F}_1 < \dots < \bar{F}_n = \bar{H}.$$

Obviously,  $\exp(\bar{F}_i) \leq p^i$  so  $\exp(F_i) \leq p^{i+1}$  for all *i*. Let  $i_0$  be the greatest value of *i* such that  $|\bar{F}_i| = p^{(p-1)i}$ . In view of Remark 4, one may assume that  $i_0 < n$ ; then p > 2 and  $|\bar{F}_{i_0+1}| < p^{(p-1)(i_0+1)}$  so  $\Omega_{i_0+1}(\bar{H}) = \bar{F}_{i_0+1}$  since the displayed chain satisfies condition (b) of the theorem. It follows that  $\Omega_{i_0+1}(H) \leq F_{i_0+1}$  (Remark 3) so

(\*) 
$$\Omega_{i_0+1}(H) = \Omega_{i_0+1}(F_{i_0+1}).$$

Since  $|F_{i_0+1}| \leq p^{(p-1)(i_0+1)}$ , it follows from Theorem 2 that  $\exp(\Omega_{i_0+1}(F_{i_0+1})) \leq p^{i_0+1}$  or, what is the same,

(\*\*) 
$$\exp(\Omega_{i_0+1}(H)) \leq p^{i_0+1}$$
.

Next, by the choice of  $i_0$ , we have  $|\bar{F}_{i_0+1}/\bar{F}_{i_0}| < p^{p-1}$ , and we conclude that  $\bar{H}/\bar{F}_{i_0}$  has no *G*-invariant subgroup of order  $p^{p-1}$  and exponent *p* (indeed, if  $\bar{U}/\bar{F}_{i_0}$  is a such subgroup, then  $\exp(\bar{U}) \leq p^{i_0+1}$  so  $\bar{U} \leq \Omega_{i_0+1}(\bar{H}) = \bar{F}_{i_0+1}$ , which is a contradiction).

Thus,  $\bar{F}_{i_0+1}/\bar{F}_{i_0} = \Omega_1(\bar{H}/\bar{F}_{i_0})$  whence  $\bar{H}/\bar{F}_{i_0}$  is absolutely regular (Lemma 3(b)) so  $H/F_{i_0} \cong \bar{H}/\bar{F}_{i_0}$ ) is also absolutely regular.

Assume that  $i_0 = 0$ . Then  $|\bar{F}_1| < p^{p-1}$  so  $\Omega_1(\bar{H}) = \bar{F}_1$ , by (b), and  $|F_1| = |F_0||\bar{F}_1| \le p^{p-1}$ . In that case,  $F_1$  must be of order  $p^{p-1}$  and exponent p (otherwise, H is absolutely regular, by Lemma 3(b)). Then  $\Omega_1(H) = F_1$  and  $H/\Omega_1(H)$  is absolutely regular (see the previous paragraph). By Remark 2, there is a (p-1)-admissible Hall chain in H.

In what follows let  $i_0 > 0$ ; then  $\overline{F}_1$  is of order  $p^{p-1}$  and exponent p so  $|F_1| = p^p$ and  $\exp(F_1) \leq p^2$ . We also have  $\exp(F_{i_0+1}) \leq |F_0| \exp(\overline{F}_{i_0+1}) \leq p \cdot p^{i_0+1} = p^{i_0+2}$  and, according to this, we have to consider separately the following three possibilities:

(i)  $\exp(F_{i_0+1}) < p^{i_0+1}$ , (ii)  $\exp(F_{i_0+1}) = p^{i_0+1}$ , and (iii)  $\exp(F_{i_0+1}) = p^{i_0+2}$ .

(i) Suppose that  $\exp(F_{i_0+1}) < p^{i_0+1}$ ; then, by (\*),  $F_{i_0+1} = \Omega_{i_0+1}(F_{i_0+1}) = \Omega_{i_0+1}(H)$ . It follows from the last equality that  $\exp(H) < p^{i_0+1}$  so  $\Omega_{i_0+1}(H) = H$  and hence  $F_{i_0+1} = H$ . By Remark 4, there exists in  $F_{i_0}$  a (p-1)-admissible Hall chain

$$\{1\} = L_0 < L_1 < \dots < L_{i_0} < F_{i_0}$$

satisfying

$$|F_{i_0}: L_{i_0}| = p, \qquad |L_{i_0}| = p^{(p-1)i_0}, \qquad |H/L_{i_0}| = |H/F_{i_0}||F_{i_0}/L_{i_0}| \le p^{p-2} \cdot p = p^{p-1}$$

so  $H/L_{i_0}$  is regular of exponent  $\leq p^2$ .

If  $\exp(H/L_{i_0}) = p$ , then  $\{1\} = L_0 < L_1 < \cdots < L_{i_0} < H$  is the desired chain.

Now we let  $\exp(H/L_{i_0}) = p^2$ . By Lemma 3(c)(iii),  $U/L_{i_0} = \Omega_1(H/L_{i_0})$  is of exponent p and index  $|F_{i_0}/L_{i_0}| = p$  in  $H/L_{i_0}$  since  $\exp(H/F_{i_0}) = p$ . Therefore,

$$\{1\} = L_0 < L_1 < \dots < L_{i_0} < U$$

is a (p-1)-admissible Hall chain in U. Let  $W/L_{i_0} = \mho_1(H/L_{i_0})$ ; then  $|W/L_{i_0}| = |(H/L_{i_0}): (U/L_{i_0})| = p$  (part (iii) of Lemma 3(c)). Since  $\exp(H/W) = p$  and  $|H/W| < p^{p-1}$ , we get  $\mho_1(H) < W$  (< since  $|H/\mho_1(H)| \ge p^p$ : H is not absolutely regular). Therefore, there exists a G-invariant subgroup  $T_{i_0}$  satisfying  $\mho_1(H) < T_{i_0} < W$  and  $|T_{i_0}| = p^{(p-1)i_0}$  (recall that  $p^{(p-1)i_0} = |L_{i_0}| < |H|$  and  $|H: \mho_1(H)| \ge p^p > |H:W|$ ). We have  $\exp(T_{i_0}) \le \exp(H) \le p^{i_0}$ , so there exists in  $T_{i_0}$  a (p-1)-admissible Hall chain  $\{1\} = T_0 < T_1 < \cdots < T_{i_0}$  and all indices of that chain are equal to  $p^{p-1}$  (Lemma 3(f)). Since  $H/T_{i_0}$  is of order  $\le p^{p-1}$  and exponent p,  $\{1\} = T_0 < T_1 < \cdots < T_{i_0} < H$  is the desired chain.

(ii) Suppose that  $\exp(F_{i_0+1}) = p^{i_0+1}$ ; then  $F_{i_0+1} = \Omega_{i_0+1}(H)$ , by (\*). Since  $H/\Omega_{i_0+1}(H)$ , as an epimorphic image of  $H/F_{i_0}$ , is absolutely regular and  $|\Omega_{i_0+1}(H)| \leq p^{(p-1)(i_0+1)}$ , there is a (p-1)-admissible Hall chain in H, by Lemma 3(e) and Remark 2.

(iii) Suppose that  $\exp(F_{i_0+1}) = p^{i_0+2}$ . Then, by (\*\*),  $\exp(\Omega_{i_0+1}(H)) = p^{i_0+1}$ . We have  $F_{i_0} \leq \Omega_{i_0+1}(H)$  and  $H/F_{i_0}$  is absolutely regular so  $H/\Omega_{i_0+1}(H)$  is also absolutely regular

and, in addition,  $|\Omega_{i_0+1}(H)| \leq p^{(p-1)(i_0+1)}$ . Therefore, there is a (p-1)-admissible Hall chain in H, by Remark 2.

The proof is complete.  $\Box$ 

Let *H* be a normal subgroup of order  $p^m$  and exponent  $p^e$  of a *p*-group *G* and let  $C:\{1\} = L_0 < L_1 < \cdots < L_n = H$  be a (p-1)-admissible Hall chain in *H*.

- (A) Suppose, in addition, that  $m \ge (p-1)e$ . Assume that for some  $i \le e$ , we have  $|L_i| < p^{(p-1)i}$ ; then n > e since m < (p-1)n. In that case, by Theorem 1,  $L_e = \Omega_e(G) = H$  so  $n \le e$ , a contradiction. Thus, for all  $i \le e$ , we must have  $|L_i| = p^{(p-1)i}$ .
- (B) Suppose that, for some i < n, we have  $|L_i| < p^{(p-1)i}$  (here we do not assume that  $m \leq (p-1)e$ ). Then  $L_i = \Omega_i(H) < H$  so  $\exp(L_i) = p^i$ . It follows that  $\exp(L_j) = p^j$  for all  $j \leq n$  so n = e.
- (C) Let  $i_0$  be the maximal value of *i* satisfying  $|L_i| = p^{(p-1)i}$ . Then, by Theorem 1, the members  $L_j$ ,  $j > i_0$ , of the chain C are determined uniquely by the equality  $L_j = \Omega_j(H)$ .

**Supplement 1 to Theorem 1.** Let k < p be a natural number and let H be a normal subgroup of a p-group G. Then there is in H a chain  $\{1\} = L_0 < L_1 < \cdots < L_n = H$  of G-invariant subgroups with the properties (i = 1, ..., n):

- (a)  $L_i/L_{i-1}$  is of order  $\leq p^k$  and exponent p, and
- (b) either the order of  $L_i$  is exactly  $p^{ik}$ , or else  $L_i = \Omega_i(H)$ .

Setting, in Supplement 1, k = p - 1, we get Theorem 1.

**Supplement 2 to Theorem 1.** Let H be a regular normal subgroup of a p-group G and let  $k \in \mathbb{N}$ . Then there is in H a chain  $\{1\} = L_0 < L_1 < \cdots < L_n = H$  of G-invariant subgroups with the properties  $(i = 1, \ldots, n)$ :

- (a)  $L_i/L_{i-1}$  is of order  $\leq p^k$  and exponent p, and
- (b) either the order of  $L_i$  is exactly  $p^{ik}$ , or else  $L_i = \Omega_i(H)$ .

A chain C satisfying conditions (a) and (b) of any of the above supplements, is said to be a *k*-admissible Hall chain in *H* (independently of the structure of *H*). To prove the above supplements, it suffices to repeat, word for word, the proof of Theorem 1. Hall's proof of Theorem 1 for regular *H* is not easier than in general case. In the second supplement one can replace regularity by the following condition: whenever *U* is a section of *H*, then  $|\Omega_n(U)| = |U/\mathcal{O}_n(U)|$  for all  $n \in \mathbb{N}$ . In that case, according to [7], we also have  $\exp(\Omega_k(U)) \leq p^k$  and  $\mathcal{O}_k(U) = \{x^{p^k} \mid x \in U\}$  for all sections *U* of *H*. Following Mann, such groups are called  $\mathcal{P}$ -groups. By Lemma 3(c), regular *p*-groups are  $\mathcal{P}$ -groups.

**Remark.** 7. An irregular *p*-group *G* of maximal class is a  $\mathcal{P}$ -group if and only if  $|G| = p^{p+1}$  and  $|\Omega_1(G)| = p^p$ . Indeed,  $|\Omega_1(G)| = |G/\mathcal{V}_1(G)|$  and the right-hand side of the last equality equals  $p^p$  (Lemma 3(m)). In that case,  $\Omega_1(G)$  is a normal subgroup of *G* of

order  $p^p$  and exponent p. Then  $|G| = p^{p+1}$ , by Lemma 3(1); in that case, as it is easy to check, we must have p > 2. On the other hand, if G is of maximal class and order  $p^{p+1}$  with  $|\Omega_1(G)| = p^p$ , it is a  $\mathcal{P}$ -group since all its proper sections are regular. Mann gave an example of irregular group G of order  $p^{p+1}$ , p > 2, such that  $|\Omega_1(G)| = p^p$ . (It is easy to show that if all subgroups of order  $p^{p+1}$  of an irregular p-group G of maximal class are  $\mathcal{P}$ -groups, then  $|G| = p^{p+1}$ .)

There exist *p*-groups without *p*-admissible Hall chains. Indeed, a *p*-group of maximal class and order  $\ge p^{2p}$  has no *p*-admissible Hall chain.

As the proof of Theorem 1 shows, if  $\{1\} = L_0 < L_1 < \cdots < L_n = H$  is a (p-1)admissible Hall chain in  $H \leq G$ , then  $|L_1:L_0| \geq |L_2:L_1| \geq |L_n:L_{n-1}|$ . The similar assertion is not true for *p*-admissible Hall chains as the group  $H = G = \langle x, y | x^8 = 1, y^4 = x^4, x^y = x^{-1} \rangle$  shows (indices of the unique 2-admissible Hall chain in *G* are 4, 2, 4).

Let *G* be a *p*-group and let *H*, a normal subgroup in *G*, be of order  $p^{kp}$  and exponent  $\leq p^k$ . Then there exists a *p*-admissible Hall chain in *H* of length *k*. Indeed, the claim is trivial for k = 1. Assuming k > 1, we proceed by induction on *k*. By Lemma 3(h), *H* is neither absolutely regular nor irregular of maximal class. The subgroup  $\mathcal{O}_{k-1}(H)$  is of order  $\leq p^p$  and exponent *p* (Lemma 3(i)). Then, by Lemma 3(g)(ii),  $\mathcal{O}_{k-1}(H) \leq F < H$ , where *F* is a *G*-invariant subgroup of order  $p^p$  and exponent *p*. We have  $|H/F| = p^{p(k-1)}$  and  $\exp(H/F) \leq p^{k-1}$  so there is a *p*-admissible Hall chain  $F_1/F = F/F < F_2/F < \cdots < F_k/F$  in H/F, by induction; then  $\{1\} = F_0 < F_1 < \cdots < F_k = H$  is the desired chain.

It appears that the same approach as in the proof of Theorem 2, allows us to give the new proof of the following

**Theorem 4** (= [1, Theorem 4]). Let k > 1. Suppose that a *p*-group *G* has no subgroup of order  $p^{(p-1)k+2}$  and exponent  $\leq p^k$ . Then either  $\exp(\Omega_k(G)) \leq p^k$  or *G* is of maximal class and<sup>3</sup> of order  $\geq p^{(p-1)k+2}$ .

Theorem 2 follows from Theorem 4 immediately. The proof of Theorem 4 is not so elementary: it based of the theory of p-groups of maximal class.

To facilitate the proof of Theorem 4, we first prove the following

**Lemma 5.** Suppose that G is a group of order  $p^{(p-1)k+2}$  and  $\Omega_k(G) = G$ . Then either  $\exp(G) \leq p^k$  or G is of maximal class.

**Proof.** We are working by induction on |G| and k assuming that G is a minimal counterexample. Then  $\exp(\Omega_k(G)) > p^k$  (in that case, G is irregular) and G is not of maximal class. Therefore, by Theorem 2, G possesses a subgroup A of order  $p^{(p-1)k+1}$  and exponent  $\leq p^k$ . It follows from  $\exp(G) > p^k$  that  $\exp(A) = p^k$  since |G:A| = p, and then

<sup>&</sup>lt;sup>3</sup> It is asserted in [1, Theorem 4] that if G is of maximal class, then  $|G| = p^{(p-1)k+2}$ . In fact, there is no restriction on the order of G in this case, as Theorem 4(b) shows.

 $\exp(G) = p^{k+1}$ . By Lemma 3(h), A is not absolutely regular. Since G is not of maximal class, k > 1 (see Lemma 3(c)).

Assume that A is of maximal class; then A is irregular (Lemma 3(m)) and  $\exp(G) = \exp(A) = p^k$  (Lemma 3(j)), a contradiction.

Since  $|G| = p^{(p-1)k+2} \leq p^{pk}$ , the subgroup  $\mathcal{O}_{k-1}(G)$  is absolutely regular since it has an element of order  $p^2$  (Lemma 3(i)). Since  $\exp(A) = p^k$ , the subgroup  $\mathcal{O}_{k-1}(A)$ is generated by elements of order p so it is contained in  $\Omega_1(\mathcal{O}_{k-1}(G))$ ; in that case,  $\exp(\mathcal{O}_{k-1}(A)) = p$  and  $|\mathcal{O}_{k-1}(A)| \leq p^{p-1}$  (Lemma 3(c)(iii)). By Lemma 3(b),  $\Omega_1(\mathcal{O}_{k-1}(G)) \leq U$ , where U is a G-invariant subgroup of order  $p^p$  and exponent p. Assume that  $U \leq A$ . Then G = UA and  $G/U \cong A/(U \cap A)$  is of exponent  $p^{k-1}$  since  $\mathcal{O}_{k-1}(A) \leq U$ ; in that case,  $\exp(G) \leq \exp(G/U) \exp(U) = p^k$ , a contradiction. Thus, U < A. Write  $\overline{G} = G/U$ . Let  $x \in G - A$  be of minimal order; then  $o(x) \leq p^k$ . We have  $\overline{G} = \langle \overline{x}, \overline{A} \rangle, o(\overline{x}) \leq p^{k-1}$  since  $x^{p^{k-1}} \in \Omega_1(\mathcal{O}_{k-1}(G)) \leq U$ , and so  $\Omega_{k-1}(\overline{G}) = p^{k-1}$  and  $|\overline{G}| = |G/U| = p^{(p-1)(k-1)+1}$ . By Theorem 2,  $\exp(\overline{G}) = p^{k-1}$  so  $\exp(G) \leq p^k$ , and G is not a counterexample.  $\Box$ 

**Proof of Theorem 4.** If *G* is of maximal class and exponent >  $p^k$ , its order is  $\ge p^{(p-1)k+2}$ and it satisfies the hypothesis [2, Theorem 13.19]; see also Lemma 3(h),(l),(m). Suppose that *G* is a counterexample of minimal order. Then  $\exp(G) \ge \exp(\Omega_k(G)) \ge p^{k+1}$  so *G* is irregular, all maximal subgroups of *G* have exponent  $\ge p^k$  and *G* is not of maximal class. By Theorem 2, *G* has a (proper) subgroup *A* of order  $p^{(p-1)k+1}$  and exponent  $\le p^k$ . Since *A* is maximal among subgroups of *G* of exponent  $\le p^k$ , we get  $\exp(A) = p^k$ . In view of Lemma 5,  $|G| > p^{(p-1)k+2}$ .

Assume that *G* has a subgroup *H* of maximal class and index *p*. Let *R*, a normal subgroup of *G*, be of order  $p^p$  and exponent *p* (Lemma 3(g)(i)). Assume, in addition, that R < H. Then  $|H| = p^{p+1}$  (Lemma 3(1)). By Lemma 3(j),  $\exp(G) = \exp(H) = p^2 < p^{k+1}$ , a contradiction. Now let  $R \notin H$ ; then G = RH,  $|R \cap H| = p^{p-1}$  and  $G/R \cong (H/(R \cap H)) \times (R/(R \cap H))$ . In that case,  $\exp(H/(R \cap H)) = \exp(G/R) \ge p^k > p$ . Then  $H/(R \cap H)$  is irregular (otherwise,  $\exp(H/(R \cap H)) = p$ ). In that case,  $H/(R \cap H)$  has a subgroup  $B/(R \cap H)$  of order  $p^{(p-1)(k-1)+1}$  and exponent  $p^{k-1}$  [3, Theorems 9.5, 9.6, 13.19]. But  $B/(R \cap H) \cong B_0/R$  for some  $B_0 < G$ . Then  $\exp(B_0) \le p^k$  and  $|B_0| = p^{(p-1)(k-1)+1+p} = p^{(p-1)k+2} > |A|$ , a contradiction. Thus, all subgroups of index *p* in *G* are not of maximal class.

The hypothesis is inherited by subgroups of *G*. Therefore, if *M* is maximal in *G*, then, by induction,  $\exp(\Omega_k(M)) = p^k$ , since *M* is not of maximal class, by the previous paragraph. If we take, from the start, *M* so that it contains *A*, we get  $A = \Omega_k(M)$  so *A* is normal in *G*. By assumption, there is  $x \in G - A$  with  $o(x) \leq p^k$ ; then  $x^p \in M$ ,  $o(x^p) < p^k$  so  $x^p \in \Omega_{k-1}(M) \leq A$ . Set  $B = \langle x, A \rangle$ . Then  $|B| = p|A| = p^{(p-1)k+2}$ ,  $\exp(B) = p^{k+1}$  and  $\Omega_k(B) = B$  so, by Lemma 5 and the choice of *A*, *B* must be of maximal class. By the previous paragraph, |G:B| > p. Let B < M < G, where *M* is maximal in *G*. Then, by the above,  $\Omega_k(M) = A$ , a contradiction since  $A < B \leq \Omega_k(M)$ . The proof is complete.  $\Box$ 

For k = 1, Theorem 4 is not true. Indeed, let the central product G = M \* C, where M is a p-group of maximal class and order  $p^{p+1}$  with  $|\Omega_1(M)| = p^{p-1}$  and C is cyclic of order  $p^2$ ,  $|G| = p^{p+2}$ . Then  $\Omega_1(G) = G$  has exponent  $p^2$ , G has no subgroup of order

 $p^{p+1} = p^{(p-1)1+2}$  and exponent *p* (consider the intersection of that subgroup with *M*); see in [5, Appendix 31, the paragraph preceding Exercise B].

The following theorem generalizes Theorem 4; its proof is shorter since it is based on other ideas.

**Theorem 6.** Let G be a p-group and k > 1. Suppose that G has a proper subgroup A of order  $p^{(p-1)k+1}$  which is maximal among subgroups of G of exponent  $\leq p^k$ . Then either  $\Omega_k(G) = A$  or G is of maximal class (in the last case, A is also of maximal class).

**Proof.** Suppose that  $\Omega_k(G) > A$ ; then  $\exp(\Omega_k(G)) > p^k$ . In that case, G is irregular (Lemma 3(c)(iii)). It follows that  $\exp(A) = p^k$ .

First suppose that *A* is normal in *G*. Let  $x \in G - A$  be of minimal order. Then  $o(x) \leq p^k$ , by assumption, and  $x^p \in A$  so  $B = \langle x, A \rangle$  has order  $p^{(p-1)k+2}$  and exponent  $p^{k+1}$ , and  $\Omega_k(B) = B$ . In that case, by Lemma 5, *B* is of maximal class. It follows from parts (h) and (l) of Lemma 3 that *A* is also of maximal class. Now let  $A < D \leq G$  be such that |D:A| = p. Since  $\exp(D) > p^k = \exp(A)$ , it follows from Lemma 3(j) that *D* must be of maximal class. Thus, all subgroups of *G* of order p|A|, containing *A*, are of maximal class so *G* is also of maximal class, by Lemma 3(k).

Now suppose that A is not normal in G. Set  $N_G(A) = N$ . Since N < G, A is not characteristic in N so, by the previous paragraph, N is of maximal class. Then, by [4, Remark 3], G is also of maximal class. The last assertion follows from Lemma 3(h),(l).  $\Box$ 

In particular, if a *p*-group *G* has only one subgroup, say *A*, of order  $p^{(p-1)k+1}$  and exponent  $\leq p^k$ , then  $\Omega_k(G) = A$ .<sup>4</sup> This follows from Theorem 6 and Lemma 3(h),(l) if k > 1. Now let k = 1 and  $\Omega_1(G) > A$ . First assume that *A* is normal in *G*. Let  $x \in G - A$  be of order *p*. Set  $B = \langle x, A \rangle$  and let  $x \in B_1 < B$  with  $|B : B_1| = p$ . Then, by the modular law,  $B_1 = \langle x \rangle \cdot (B \cap B_1)$  so  $\Omega_1(B_1) = B_1$ , and we get  $B_1 \neq A$ ,  $\exp(B_1) = p$  (Lemma 3(c)(iii)) and  $|B_1| = p^p = |A|$ , a contradiction. Setting  $N_G(A) = N$ , we get, by what has just been proved,  $\Omega_1(N) = A$  so *A* is characteristic in *N* and so N = G, i.e., *A* is normal in *G*, contrary to the assumption.

Let G be a 2-group of exponent >  $2^k > 2$  and let A < G be of order  $2^{k+1}$  and exponent  $\leq 2^k$  which is maximal among subgroups of G of exponent  $\leq 2^k$ . We claim that then one of the following holds:

(A) *G* has a cyclic subgroup of index 2, (B)  $G = \langle x, y | x^{2^n} = 1, n > 1, y^4 = x^{2^{n-1}}, x^y = x^{-1} \rangle.$ 

We assume that *G* has no cyclic subgroup of index 2; then *G* is not of maximal class so, by Theorem 6,  $A = \Omega_k(G)$ . It follows from Lemma 3(m) that *A* is not of maximal class; then  $cl(A) \leq 2$ . In that case,  $\Omega_2(G) = \Omega_2(A)$  is of order 8. By [2, Lemma 2.1(c)], *G* is a group from (B).<sup>5</sup>

<sup>&</sup>lt;sup>4</sup> Compare with Remark 6.

<sup>&</sup>lt;sup>5</sup> As Janko noticed, two groups in that lemma, corresponding to values i = 0 and i = 1, are isomorphic.

**Proposition 7.** Let G be a group of order  $p^{(p-1)k+3}$ , k > 2. Suppose that  $\Omega_k(G) = G$  and  $\exp(G) > p^k$ . Then one of the following holds:

- (a) G is of maximal class.
- (b) G has a subgroup A of index p and exponent p<sup>k</sup>, A has a G-invariant subgroup H of order p<sup>p</sup> and exponent p such that G/H and A/H are of maximal class.

**Proof.** We have  $|G| = p^{(p-1)k+3} \leq p^{kp}$  since  $k \geq 3$ .

Suppose that *G* is not of maximal class. Then, by Theorem 4, *G* has a maximal subgroup *A* such that  $\exp(A) = p^k$ ; then  $\exp(G) = p^{k+1}$  and  $|A| = p^{(p-1)k+2}$ . By Lemma 3(h), *A* is neither absolutely regular nor of maximal class. By Lemma 3(i),(c),  $\mathcal{O}_{k-1}(G)$  is absolutely regular since it has an elements of order  $p^2$ , and  $\mathcal{O}_{k-1}(A) \leq \Omega_1(\mathcal{O}_{k-1}(G)) \leq H < G$ , where *H* is a *G*-invariant subgroup of order  $p^p$  and exponent *p*. Let  $x \in G - A$  be such that o(x) is as small as possible; then  $o(x) \leq p^k$ . Set  $\overline{G} = G/H$ . We have  $\exp(\overline{A}) = p^{k-1}$ ,  $|\overline{G}| = p^{(p-1)(k-1)+2}$ ,  $o(\overline{x}) \leq p^{k-1}$  since  $x^{p^{k-1}} \in \Omega_1(\mathcal{O}_{k-1}(G)) \leq H$ , and so  $\Omega_{k-1}(\overline{G}) = \overline{G}$ . We have  $\exp(\overline{G}) > p^{k-1}$  so H < A (otherwise, G = HA and  $G/H \cong A/(A \cap H)$  is of exponent  $p^{k-1}$ ; then  $\exp(G) \leq p^k$ , which is not the case). In that case,  $\overline{G}$  is of maximal class, by Lemma 5. It follows from Lemma 3(h),(l) that  $\overline{A}$  is also of maximal class. The proof is complete.  $\Box$ 

Taking k = 3 in Proposition 7, we get

**Corollary 8.** Let G be a group of order  $p^{3p}$ . If  $\Omega_3(G) = G$ , then one of the following holds:

- (a)  $\exp(G) \leq p^3$ .
- (b) *G* is of maximal class.
- (c) G has a subgroup A of index p and exponent p<sup>3</sup>, A has a G-invariant subgroup H of order p<sup>p</sup> and exponent p such that G/H and A/H are of maximal class.

**Proposition 9.** Let k > 3, p > 2 and let G be a p-group containing a normal subgroup A of order  $p^{(p-1)k+2}$  which is maximal among subgroups of G of exponent  $\leq p^k$ . Then one of the following holds:

- (a)  $\Omega_k(G) = A$ .
- (b) |G:A| = p, there is in A a G-invariant subgroup R of order  $p^p$  and exponent p such that G/R and A/R are of maximal class.

**Proof.** Assume that  $\Omega_k(G) > A$ . Then, if  $A < U \leq G$ , where |U:A| = p, then  $\exp(U) = p^{k+1}$  so  $\exp(A) = p^k$ . By Lemma 3(h), A is neither absolutely regular nor of maximal class.

By Lemma 3(i),(c),  $\mathcal{O}_{k-1}(A)$  is of order  $\leq p^{p-1}$  and exponent *p* since *A* is generated by elements of order *p* and  $|A| < p^{kp}$  in view of k > 3. Then, by Lemma 3(g)(ii),  $\mathcal{O}_{k-1}(A) < R < A$ , where *R* is a *G*-invariant subgroup of order  $p^p$  and exponent *p*. Set  $\overline{G} = G/R$ . We

have  $|\bar{A}| = p^{(p-1)(k-1)+1}$  and  $\exp(\bar{A}) = p^{k-1}$ . Next,  $\bar{A}$  is maximal among subgroups of exponent  $p^{k-1}$  in  $\bar{G}$ , by the choice of A. It follows from Theorem 6 that either  $\Omega_{k-1}(\bar{G}) = \bar{A}$  or  $\bar{G}$  and  $\bar{A}$  are of maximal class. In the second case, |G : A| = p since each normal subgroup of  $\bar{G}$  of index > p has center of order > p so is not of maximal class.

It remains to consider the possibility  $\Omega_{k-1}(\overline{G}) = \overline{A}$ . Then  $\Omega_{k-1}(G) \leq A$ , by Remark 3. By assumption, there exists an element  $x \in G - A$  such that  $o(x) \leq p^k$  and  $x^p \in A$ . Since  $\Omega_{k-1}G) \leq A$ , we get  $o(x) = p^k$ . Set  $B = \langle x, A \rangle$ ; then  $|B| = p^{(p-1)k+3}$  since A is normal in G,  $\Omega_k(B) = B$  and  $\exp(B) = p^{k+1}$ , by the choice of A. Since the maximal subgroup A of B is neither absolutely regular nor of maximal class and  $|B| > p^{p+1}$ , B is not of maximal class (Lemma 3(1)). Working by induction on |G|, we conclude that there is in A a B-invariant subgroup K of order  $p^p$  and exponent p such that A/K and B/K are of maximal class. By Lemma 3(m),  $\Omega_2(B/K) = B/K$  so  $\Omega_3(B) = B$ . In that case,  $B \leq \Omega_3(G) \leq \Omega_{k-1}(G) \leq A$ , since k > 3, and this is a contradiction. Thus,  $\Omega_k(G) = A$ , and the proof is complete.  $\Box$ 

**Proposition 10** (Compare with Corollary 8). Suppose that G is a p-group of order  $\leq p^{pk}$  such that  $\Omega_k(G) = G$  and all irregular sections of G of order  $p^{p+1}$  are  $\mathcal{P}$ -groups.<sup>6</sup> Then  $\exp(G) \leq p^k$ .

**Proof.** Suppose that *G* is a counterexample of minimal order; then k > 1 (Lemma 3(c)(i)),  $\exp(\Omega_k(G)) > p^k$  so *G* is irregular (Lemma 3(c)(iii)). In that case, by Remark 5, there exists in *G* a maximal subgroup *A* such that  $\Omega_k(A) = A$ . Since *A* satisfies the hypothesis, we get  $\exp(A) \leq p^k$ , by induction, so  $\exp(G) = p^{k+1}$  and  $\exp(A) = p^k$ .

If G is of maximal class, it is irregular; then G is of order  $p^{p+1}$ , by the last sentence of Remark 7; then  $\exp(G) = p^2 < p^{k+1}$ , which is a contradiction.

Assume that A is of maximal class; then A is irregular,  $|A| = p^{p+1}$  (Remark 7) so  $\exp(A) = p^2$  (it is easy to see that then p > 2 but we do not use this fact). In that case, by Lemma 3(j),  $\exp(G) = \exp(A) = p^2 < p^{k+1}$ , and G is not a counterexample.

Now assume that A is absolutely regular. Then, by [2, Theorem 7.5],  $\Omega_1(G)$  is of order  $p^p$  and exponent p. In that case, obviously,  $\exp(\Omega_k(G)) = p^k$ , contrary to the assumption. Next we let A be neither absolutely regular nor of maximal class.

By Lemma 3(i),  $\mathcal{O}_{k-1}(G)$  is absolutely regular since it contains an element of order  $p^2$ . Then  $\mathcal{O}_{k-1}(A) \leq \Omega_1(\mathcal{O}_{k-1}(G))$  since  $\Omega_{k-1}(A)$  is generated by elements of order p, and so  $\mathcal{O}_{k-1}(A)$  is of order  $\leq p^{p-1}$  and exponent p. By Lemma 3(g)(ii),  $\Omega_1(\mathcal{O}_{k-1}(G)) < H < G$ , where H is a G-invariant subgroup of order  $p^p$  and exponent p. Set  $\overline{G} = G/H$ . Let  $y \in G - A$  be of minimal order. Then  $o(y) \leq p^k$ ,  $\overline{G} = \langle \overline{y}, \overline{A} \rangle$ , where  $o(\overline{y}) \leq p^{k-1}$ since  $y^{p^{k-1}} \in \Omega_1(\mathcal{O}_{k-1}(G)) \leq H$ ,  $\exp(\overline{A}) = p^{k-1}$  so  $\Omega_{k-1}(\overline{G}) = \overline{G}$ , and  $|\overline{G}| \leq p^{p(k-1)}$ . Obviously,  $\overline{G}$  satisfies the hypothesis with k-1 instead of k. Then, by induction,  $\exp(\overline{G}) \leq p^{k-1}$  so  $\exp(G) \leq p^k$  and G is not a counterexample. The proof is complete.  $\Box$ 

<sup>&</sup>lt;sup>6</sup> For definition of  $\mathcal{P}$ -groups, see the paragraph preceding Remark 7.

**Corollary 11.** Let  $k \in \mathbb{N}$  and let A be a proper subgroup of a p-group G which is maximal among subgroups of G of exponent  $\leq p^k$ . Suppose that all irregular sections of the subgroup  $\Omega_k(G)$ , having order  $p^{p+1}$ , are  $\mathcal{P}$ -groups. Then, if  $|A| < p^{kp}$ , then  $\Omega_k(G) = A$ .

**Proof.** We are working by induction on |G|. Set  $N_G(A) = N$ . If N < G, then N satisfies the hypothesis so, by induction,  $\Omega_k(N) = A$ . In that case, A is characteristic in N so N = G, contrary to the assumption. Thus, A is normal in G. Let  $x \in G - A$  be such that o(x) is as small as possible. Then  $o(x) \leq p^k$ ,  $x^p \in A$  so  $B = \langle x, A \rangle$  is of order  $\leq p^{pk}$  since A is normal in G, and  $B = \Omega_k(B)$ . By Proposition 10,  $\exp(B) \leq p^k$ , contrary to the choice of A.  $\Box$ 

**Question 1.** Study the structure of a *p*-group G, p > 2, provided there exists only one (p-1)-admissible Hall chain in G.

**Question 2.** Let A < G be *p*-groups with  $|A| = p^{(p-1)k+2}$ ,  $\exp(A) = p^k$ , where k > 1. Suppose that *A* is maximal among subgroups of *G* of exponent  $p^k$ . Study the structure of *G* provided *A* is not normal in *G*. (See Proposition 9.)

Question 2 is nontrivial even in the case p = 2 = k.

**Question 3.** Let *G* be a *p*-group and let E < G be extraspecial of exponent  $p^2$ . Suppose that, whenever  $E < E_1 \leq G$ , then  $\exp(E_1) > p^2$ . Study the embedding of *E* in *G*. The case where *E* is the unique subgroup of *G* of order |E| and exponent  $p^2$ , is of special interest.

Question 3 is surprisingly complicated. Only in the case  $|E| = p^3$  the answer is known: *G* is a 2-group of maximal class.<sup>7</sup> Indeed, take  $E_1 > E$  such that  $|E_1:E| = p$ ; then  $E_1$  has a cyclic subgroup of index *p*, by hypothesis. Since  $E_1$  is not minimal nonabelian, we have p = 2. Then  $E_1$  is of maximal class. Since  $E_1$  is arbitrary, *G* is of maximal class, by Lemma 3(k).

#### References

- [1] Y. Berkovich, On subgroups of finite p-groups, J. Algebra 224 (2000) 198–240.
- [2] Y. Berkovich, On subgroups and epimorphic images of finite *p*-groups, J. Algebra 248 (2002) 472–553.
- [3] Y. Berkovich, Groups of prime power order, parts I, II, in preparation.
- [4] Y. Berkovich, On abelian subgroups of finite p-groups, J. Algebra 199 (1998) 262–280.
- [5] Y. Berkovich, Z. Janko, Groups of prime power order, part III, in preparation.
- [6] P. Hall, On a theorem of Frobenius, Proc. London Math. Soc. Ser. (2) 40 (1936) 468-501.
- [7] A. Mann, The power structure of p-groups, J. Algebra 42 (1) (1976) 121–135.

<sup>&</sup>lt;sup>7</sup> Janko proved that a *p*-group *G* is extraspecial if and only if  $\Omega_2(G)$  is extraspecial. Moreover, he proved that *G* is extraspecial or semidihedral of order 16 if  $\langle x \in G | o(x) = p^2 \rangle$  is extraspecial; see [5, §83].