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Series B[www.elsevier.com/locate/jctb](http://www.elsevier.com/locate/jctb)Hypomorphy of graphs up to complementation <sup>☆</sup>Jamel Dammak <sup>a</sup>, Gérard Lopez <sup>b</sup>, Maurice Pouzet <sup>c</sup>, Hamza Si Kaddour <sup>c</sup><sup>a</sup> Département de Mathématiques, Faculté des Sciences, Université de Sfax, B.P. 802, 3018 Sfax, Tunisia<sup>b</sup> Institut de Mathématiques de Luminy, CNRS-UPR 9016, 163 avenue de Luminy, case 907, 13288 Marseille cedex 9, France<sup>c</sup> ICJ, Université de Lyon, Université Claude Bernard Lyon 1, 43 boulevard du 11 novembre 1918, 69622 Villeurbanne cedex, France

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## ABSTRACT

Let  $V$  be a set of cardinality  $v$  (possibly infinite). Two graphs  $G$  and  $G'$  with vertex set  $V$  are *isomorphic up to complementation* if  $G'$  is isomorphic to  $G$  or to the complement  $\bar{G}$  of  $G$ . Let  $k$  be a non-negative integer,  $G$  and  $G'$  are  *$k$ -hypomorphic up to complementation* if for every  $k$ -element subset  $K$  of  $V$ , the induced subgraphs  $G_{\downarrow K}$  and  $G'_{\downarrow K}$  are isomorphic up to complementation. A graph  $G$  is  *$k$ -reconstructible up to complementation* if every graph  $G'$  which is  $k$ -hypomorphic to  $G$  up to complementation is in fact isomorphic to  $G$  up to complementation. We give a partial characterisation of the set  $\mathcal{S}$  of ordered pairs  $(n, k)$  such that two graphs  $G$  and  $G'$  on the same set of  $n$  vertices are equal up to complementation whenever they are  $k$ -hypomorphic up to complementation. We prove in particular that  $\mathcal{S}$  contains all ordered pairs  $(n, k)$  such that  $4 \leq k \leq n - 4$ . We also prove that 4 is the least integer  $k$  such that every graph  $G$  having a large number  $n$  of vertices is  $k$ -reconstructible up to complementation; this answers a question raised by P. Ille [P. Ille, Personal communication, September 2000].

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## 1. Introduction

Ulam's Reconstruction Conjecture [17] (see [2,3]) asserts that two graphs  $G$  and  $G'$  on the same finite set  $V$  of  $v$  vertices,  $v \geq 3$ , are isomorphic provided that the restrictions  $G_{\downarrow K}$  and  $G'_{\downarrow K}$  of  $G$  and  $G'$  to the  $(v - 1)$ -element subsets of  $V$  are isomorphic. If this latter condition holds for the  $k$ -element subsets of  $V$  for some  $k$ ,  $2 \leq k \leq v - 2$ , then, as it has been noticed several times,  $G$  and  $G'$  are

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identical. This conclusion does not require the finiteness of  $v$  nor the isomorphism of  $G_{\uparrow K}$  and  $G'_{\uparrow K}$ , it only requires that  $G_{\uparrow K}$  and  $G'_{\uparrow K}$  have the same number of edges for all  $k$ -element subsets  $K$  of  $V$ , simply because the adjacency matrix of the Kneser graph  $KG(2, k + 2)$  is non-singular (see Section 2).

In this paper we look for similar results if the conditions on the restrictions  $G_{\uparrow K}$  and  $G'_{\uparrow K}$  are given up to complementation, that is if  $G'_{\uparrow K}$  is isomorphic to  $G_{\uparrow K}$  or to its complement  $\overline{G}_{\uparrow K}$ , or if  $G'_{\uparrow K}$  has the same number of edges than  $G_{\uparrow K}$  or  $\overline{G}_{\uparrow K}$ . If the first condition holds for all  $k$ -element subsets  $K$  of  $V$ , we say that  $G$  and  $G'$  are *k-hypomorphic up to complementation* and, if the second holds, we say that  $G$  and  $G'$  have *the same number of edges up to complementation*. We say that  $G$  is *k-reconstructible up to complementation* if every graph  $G'$ , *k*-hypomorphic to  $G$  up to complementation, is isomorphic to  $G$  or its complement.

We show first that the equality of the number of edges, up to complementation, for the  $k$ -vertices induced subgraphs suffices for the equality up to complementation provided that  $4 \leq k \neq 7$  and  $v$  is large enough (Theorem 2.10). Our proof is based on Ramsey's theorem for pairs [15].

Next, we give partial description of the set  $\mathcal{S}$  of ordered pairs  $(v, k)$  such that two graphs  $G$  and  $G'$  on the same set of  $v$  vertices are equal up to complementation whenever they are *k*-hypomorphic up to complementation.

**Theorem 1.1.**

- (1) Let  $v \leq 2$ , then  $(v, k) \in \mathcal{S}$  iff  $k \in \mathbb{N}$ .
- (2) Let  $v > 2$  then  $(v, k) \in \mathcal{S}$  implies  $4 \leq k \leq v - 2$ .
  - (a) If  $v \equiv 2 \pmod{4}$ ,  $(v, k) \in \mathcal{S}$  iff  $4 \leq k \leq v - 2$ .
  - (b) If  $v \equiv 0 \pmod{4}$  or  $v \equiv 3 \pmod{4}$  then  $(v, k) \in \mathcal{S}$  implies  $k \leq v - 3$  for infinitely many  $v$  and  $4 \leq k \leq v - 3$  implies  $(v, k) \in \mathcal{S}$ .
  - (c) If  $v \equiv 1 \pmod{4}$  then  $(v, k) \in \mathcal{S}$  implies  $k \leq v - 4$  for infinitely many  $v$  and  $4 \leq k \leq v - 4$  implies  $(v, k) \in \mathcal{S}$ .

Our proof for membership in  $\mathcal{S}$  is a straightforward application of properties of incidence matrices due to D.H. Gottlieb [7], W. Kantor [10] and R.M. Wilson [19]. It is given in Section 3. Constraints on  $\mathcal{S}$  are given in Section 4.

Our motivation comes from the following problem raised by P. Ille: find the least integer  $k$  such that every graph  $G$  having a large number  $v$  of vertices is *k*-reconstructible up to complementation. With Theorem 1.1 we show that  $k = 4$  (see Section 2).

A quite similar problem was raised by J.G. Hagendorf (1992) and solved by J.G. Hagendorf and G. Lopez [8]. Instead of graphs, they consider binary relations and instead of the complement of a graph, they consider the *dual*  $R^*$  of a binary relation  $R$  (where  $(x, y) \in R^*$  if and only if  $(y, x) \in R$ ); they prove that 12 is the least integer  $k$  such that two binary relations  $R$  and  $R'$ , on the same large set of vertices, are either isomorphic or dually isomorphic provided that the restrictions  $R_{\uparrow K}$  and  $R'_{\uparrow K}$  are isomorphic or dually isomorphic, for every  $k$ -element subsets  $K$  of  $V$ .

**2. Preliminaries**

Our notations and terminology follow [1]. A *graph* is an ordered pair  $G := (V, \mathcal{E})$ , where  $\mathcal{E}$  is a subset of  $[V]^2$ , the set of pairs  $\{x, y\}$  of distinct elements of  $V$ . Elements of  $V$  are the *vertices* of  $G$  and elements of  $\mathcal{E}$  its *edges*. If  $K$  is a subset of  $V$ , the *restriction* of  $G$  to  $K$ , also called the *induced graph* on  $K$  is the graph  $G_{\uparrow K} := (K, [K]^2 \cap \mathcal{E})$ . If  $K = V \setminus \{x\}$ , we denote this graph by  $G_{-x}$ . The *complement* of  $G$  is the graph  $\overline{G} := (V, [V]^2 \setminus \mathcal{E})$ . We denote by  $V(G)$  the vertex set of a graph  $G$ , by  $E(G)$  its edge set and by  $e(G) := |E(G)|$  the number of edges. If  $\{x, y\}$  is an edge of  $G$  we set  $G(x, y) = 1$ ; otherwise we set  $G(x, y) = 0$ . The *degree* of a vertex  $x$  of  $G$ , denoted  $d_G(x)$ , is the number of edges which contain  $x$ . The graph  $G$  is *regular* if  $d_G(x) = d_G(y)$  for all  $x, y \in V$ . If  $G, G'$  are two graphs, we denote by  $G \simeq G'$  the fact that they are isomorphic. A graph is *self-complementary* if it is isomorphic to its complement.

2.1. Incidence matrices and isomorphy up to complementation

Let  $V$  be a finite set, with  $v$  elements. Given non-negative integers  $t, k$ , let  $W_{t,k}$  be the  $\binom{v}{t}$  by  $\binom{v}{k}$  matrix of 0's and 1's, the rows of which are indexed by the  $t$ -element subsets  $T$  of  $V$ , the columns are indexed by the  $k$ -element subsets  $K$  of  $V$ , and where the entry  $W_{t,k}(T, K)$  is 1 if  $T \subseteq K$  and is 0 otherwise.

A fundamental result, due to D.H. Gottlieb [7], and independently W. Kantor [10], is this:

**Theorem 2.1.** For  $t \leq \min(k, v - k)$ ,  $W_{t,k}$  has full row rank over the field  $\mathbb{Q}$  of rational numbers.

If  $k := v - t$  then, up to a relabelling,  $W_{t,k}$  is the adjacency matrix  $A_{t,v}$  of the Kneser graph  $KG(t, v)$ , graph whose vertices are the  $t$ -element subsets of  $V$ , two subsets forming an edge if they are disjoint. An equivalent form of Theorem 2.1 is:

**Theorem 2.2.**  $A_{t,v}$  is non-singular for  $t \leq \frac{v}{2}$ .

Applications to graphs and relational structures where given in [6] and [13].

Theorem 2.1 has a modular version due to R.M. Wilson [19].

**Theorem 2.3.** For  $t \leq \min(k, v - k)$ , the rank of  $W_{t,k}$  modulo a prime  $p$  is

$$\sum \binom{v}{i} - \binom{v}{i-1}$$

where the sum is extended over those indices  $i$ ,  $0 \leq i \leq k$ , such that  $p$  does not divide the binomial coefficient  $\binom{k-i}{t-i}$ .

In the statement of the theorem,  $\binom{v}{-1}$  should be interpreted as zero.

We will apply Wilson's theorem with  $t = p = 2$  for  $k \equiv 0 \pmod{4}$  and for  $k \equiv 1 \pmod{4}$ . In the first case the rank of  $W_{2,k} \pmod{2}$  is  $\binom{v}{2} - 1$ . In the second case, the rank is  $\binom{v}{2} - v$ .

Let us explain why the use of these results in our context is natural.

Let  $X_1, \dots, X_r$  be an enumeration of the 2-element subsets of  $V$ ; let  $K_1, \dots, K_s$  be an enumeration of the  $k$ -element subsets of  $V$  and  $W_{2,k}$  be the matrix of the 2-element subsets versus the  $k$ -element subsets. If  $G$  is a graph with vertex set  $V$ , let  $w_G$  be the row matrix  $(g_1, \dots, g_r)$  where  $g_i = 1$  if  $X_i$  is an edge of  $G$ , 0 otherwise. We have  $w_G W_{2,k} = (e(G \upharpoonright_{K_1}), \dots, e(G \upharpoonright_{K_s}))$ . Thus, if  $G$  and  $G'$  are two graphs with vertex set  $V$  such that  $G \upharpoonright_K$  and  $G' \upharpoonright_K$  have the same number of edges for every  $k$ -element subset of  $V$ , we have  $(w_G - w_{G'}) W_{2,k} = 0$ . Thus, provided that  $v \geq 4$ , by Theorem 2.1,  $w_G - w_{G'} = 0$  that is  $G = G'$ .

This proves the observation made at the beginning of our introduction. The same line of proof gives:

**Proposition 2.4.** Let  $t \leq \min(k, v - k)$  and  $G$  and  $G'$  be two graphs on the same set  $V$  of  $v$  vertices. If  $G$  and  $G'$  are  $k$ -hypomorphic up to complementation then they are  $t$ -hypomorphic up to complementation.

**Proof.** Let  $H$  be a graph on  $t$  vertices. Set  $Is(H, G) := \{L \subseteq V : G \upharpoonright_L \simeq H\}$ ,  $Is(H, G) := Is(H, G) \cup Is(\bar{H}, G)$  and  $w_{H,G}$  the 0–1-row vector indexed by the  $t$ -element subsets  $X_1, \dots, X_r$  of  $V$  whose coefficient of  $X_i$  is 1 if  $X_i \in Is(H, G)$  and 0 otherwise. From our hypothesis, it follows that  $w_{H,G} W_{t,k} = w_{H,G'} W_{t,k}$ . From Theorem 2.1, this implies  $w_{H,G} = w_{H,G'}$  that is  $Is(H, G) = Is(H, G')$ . Since this equality holds for all graphs  $H$  on  $t$ -vertices, the conclusion of the proposition follows.  $\square$

**Theorem 2.5.**  $(k, v) \in S$  for all  $v, k$  such that  $4 \leq k \leq v - 4$ .

**Proof.** Let  $k$  be a non-negative integer and  $G, G'$  be two graphs on the same set  $V$  of  $v$  vertices which are  $k$ -hypomorphic up to complementation. Suppose  $k = 4$ . If  $v = 6$ , a careful case analysis (or a very special case of Wilson's theorem, see Theorem 2.6 below) yields that  $G$  and  $G'$  are equal up to complementation. If  $v \geq 6$ , then from this fact,  $G_{\uparrow K}$  and  $G'_{\uparrow K}$  are equal up to complementation for every 6-element subset  $K$  of  $V$ . Thus, this conclusion also holds for all  $k$ -element subsets of  $V$  with  $k \leq 6$ . This implies that it holds for all  $k$  and particularly that  $G$  and  $G'$  are equal up to complementation. Otherwise, there are two pairs of vertices  $\{x, y\}$  and  $\{x', y'\}$  such that  $G(x, y) \neq G'(x, y)$  and  $G(x', y') \neq \overline{G'}(x', y')$ . But then  $G_{\uparrow K}$  and  $G'_{\uparrow K}$ , with  $K := \{x, y, x', y'\}$ , are not equal up to complementation. Now, suppose  $4 \leq k \leq v - 4$ . According to Proposition 2.4, these two graphs are 4-hypomorphic up to complementation. From the observation above,  $G$  and  $G'$  are equal up to complementation.  $\square$

P. Ille [9] asked for the least integer  $k$  such that every graph  $G$  having a large number  $v$  of vertices is  $k$ -reconstructible up to complementation.

From Theorem 2.5 above,  $k$  exists and is at most 4. From Proposition 4.1 below, we have  $k \geq 4$ . Hence  $k = 4$ .

This was our original solution of Ille's problem.

The use of Wilson's theorem leads to the improvement of Theorem 2.5 contained in Theorem 1.1. If  $k \equiv 0 \pmod{4}$  or  $k \equiv 1 \pmod{4}$ , its use is natural. If we look at conditions which imply  $G' = G$  or  $G' = \overline{G}$ , it is simpler to consider the *boolean sum*  $G \dot{+} G'$  of  $G$  and  $G'$ , that is the graph  $U$  on  $V$  whose edges are pairs  $e$  of vertices such that  $e \in E(G)$  if and only if  $e \notin E(G')$ . Indeed,  $G' = G$  or  $G' = \overline{G}$  amounts to the fact that  $U$  is either the empty graph or the complete graph. This leads to the use of the matrix  $W_{2k}$ . Indeed, if we suppose for an example that  $G$  and  $G'$  are  $k$ -hypomorphic up to complementation,  $e(G_{\uparrow K})$  and  $e(G'_{\uparrow K})$  are equal up to complementation for every  $k$ -element subset  $K$  of  $V$  thus, in particular, have the same parity up to complementation. If  $k \equiv 0 \pmod{4}$  or  $k \equiv 1 \pmod{4}$ ,  $\binom{k}{2}$  is even, hence this latter condition amounts to the fact that  $e(G_{\uparrow K})$  and  $e(G'_{\uparrow K})$  have the same parity. As it is easy to see, this amounts to the fact that  $e(U_{\uparrow K}) = 0$  modulo 2. Since this property holds for every  $k$ -element subset  $K$ , we have  $w_U W_{2k} = (0, \dots, 0) \pmod{2}$ . As we will see below, if  $k \equiv 0 \pmod{4}$ , Wilson's theorem yields  $w_U = (0, \dots, 0)$  or  $w_U = (1, \dots, 1)$ , that is  $U$  is empty or complete, so  $G' = G$  or  $G' = \overline{G}$ . If  $k \equiv 1 \pmod{4}$  an additional condition is needed to get the same conclusion. Indeed, in this case, the empty graph and a star-graph on the same vertex set yield  $w_U W_{2k} = (0, \dots, 0) \pmod{2}$ . We have not been able yet to apply Wilson's theorem in the cases  $k \equiv 2 \pmod{4}$  and  $k \equiv 3 \pmod{4}$  (also note that in these cases,  $e(G_{\uparrow K})$  and  $e(G'_{\uparrow K})$  have always the same parity up to complementation, no matter what  $G$  and  $G'$  are).

**Theorem 2.6.** *Let  $G$  and  $G'$  be two graphs on the same set  $V$  of  $v$  vertices (possibly infinite). Let  $k$  be an integer such that  $4 \leq k \leq v - 2$ ,  $k \equiv 0 \pmod{4}$ . Then the following properties are equivalent:*

- (i)  $e(G_{\uparrow K})$  has the same parity as  $e(G'_{\uparrow K})$  for all  $k$ -element subsets  $K$  of  $V$ ;
- (ii)  $G' = G$  or  $G' = \overline{G}$ .

**Proof.** The implication (ii)  $\Rightarrow$  (i) is trivial. We prove (i)  $\Rightarrow$  (ii).

We may suppose  $V$  finite. Let  $W_{2k}$  be the matrix defined page 3 and  ${}^t W_{2k}$  its transpose. Let  $U := G \dot{+} G'$ . From the fact that  $e(G_{\uparrow K})$  has the same parity as  $e(G'_{\uparrow K})$  for all  $k$ -element subsets  $K$ , the boolean sum  $U$  belongs to the kernel of  ${}^t W_{2k}$  over the 2-element field. Since by Wilson's theorem, the rank of  $W_{2k}$  modulo 2 is  $\binom{v}{2} - 1$ , the kernel of its transpose  ${}^t W_{2k}$  has dimension 1. Since  $(1, \dots, 1)W_{2k} = (0, \dots, 0) \pmod{2}$  then  $w_U W_{2k} = (0, \dots, 0) \pmod{2}$  amounts to  $w_U = (0, \dots, 0)$  or  $w_U = (1, \dots, 1)$ , that is  $U$  is empty or complete, so  $G' = G$  or  $G' = \overline{G}$ .  $\square$

Let  $G$  be a graph. A 3-element subset  $T$  of  $V$  such that all pairs belong to  $E(G)$  is a *triangle* of  $G$ . A 3-element subset of  $V$  which is a triangle of  $G$  or of  $\overline{G}$  is a *3-homogeneous* subset of  $G$ .

**Theorem 2.7.** Let  $G$  and  $G'$  be two graphs on the same set  $V$  of  $v$  vertices (possibly infinite). Let  $k$  be an integer such that  $5 \leq k \leq v - 2$ ,  $k \equiv 1 \pmod{4}$ . Then the following properties are equivalent:

- (i)  $e(G_{\uparrow K})$  has the same parity as  $e(G'_{\uparrow K})$  for all  $k$ -element subsets  $K$  of  $V$  and the same 3-homogeneous subsets;
- (ii)  $G' = G$  or  $G' = \bar{G}$ .

**Proof.** We follow the same line as for the proof of Theorem 2.6. The implication (ii)  $\Rightarrow$  (i) is trivial. We prove (i)  $\Rightarrow$  (ii).

We suppose  $V$  finite, we set  $U := G \dot{+} G'$  and from the fact that  $e(G_{\uparrow K})$  has the same parity as  $e(G'_{\uparrow K})$  for all  $k$ -element subsets  $K$ , we get that the boolean sum  $U$  belongs to the kernel of  ${}^tW_{2k}$  (over the 2-element field).

**Claim 2.8.** Let  $k$  be an integer such that  $2 \leq k \leq v - 2$ ,  $k \equiv 1 \pmod{4}$ , then the kernel of  ${}^tW_{2k}$  consists of complete bipartite graphs and their complements (including the empty graph and the complete graph).

**Proof.** Let us recall that a *star-graph* of  $v$  vertices consists of a vertex linked to all other vertices, those  $v - 1$  vertices forming an independent set. The vector space (over the 2-element field) generated by the star-graphs on  $V$  consists of all complete bipartite graphs; since  $v$  is distinct from 1 and 2, these are distinct from the complete graph (but include the empty graph). Moreover, its dimension is  $v - 1$  (a basis being made of star-graphs). Let  $\mathbb{K}$  be the kernel of  ${}^tW_{2k}$ . Since  $k$  is odd, each star-graph belongs to  $\mathbb{K}$ . Since  $k \equiv 1 \pmod{4}$ , the complete graph also belongs to  $\mathbb{K}$ . According to Wilson's theorem, the rank of  $W_{2k} \pmod{2}$  is  $\binom{v}{2} - v$ . Hence the kernel of  ${}^tW_{2k}$  has dimension  $v$ . Consequently,  $\mathbb{K}$  consists of complete bipartite graphs and their complements, as claimed.  $\square$

A *claw* is a star-graph on four vertices, that is a graph made of a vertex joined to three other vertices, with no edges between these three vertices. A graph is *claw-free* if no induced subgraph is a claw.

**Claim 2.9.** Let  $G$  and  $G'$  be two graphs on the same set and having the same 3-homogeneous subsets, then the boolean sum  $U := G \dot{+} G'$  and its complement are claw-free.

**Proof.** Suppose there is a claw in  $U$  with edges  $\{x, y\}$ ,  $\{x, y'\}$  and  $\{x, y''\}$ . Without loss of generality, assume that  $G(x, y) = G(x, y')$ . If  $U(y, y') = 0$ , that is  $G(y, y') = G'(y, y')$ , then since  $G$  and  $G'$  have the same 3-element homogeneous sets and  $G(x, y) \neq G'(x, y)$ ,  $\{x, y, y'\}$  cannot be homogeneous, hence  $G(y, y') \neq G(x, y)$  and  $G'(y, y') \neq G'(x, y)$ . This implies  $G(y, y') \neq G'(y, y')$ , a contradiction. From this observation,  $U$  is claw-free. Since  $G$  and  $\bar{G}'$  have the same 3-homogeneous subsets and  $\bar{U} = G \dot{+} \bar{G}'$ , we also get that  $\bar{U}$  is claw-free.  $\square$

For a characterization of these boolean sums, see [14].

From Claim 2.8,  $U$  or its complement is a complete bipartite graph and, from Claim 2.9,  $U$  and  $\bar{U}$  are claw-free. Since  $v \geq 5$  (in fact  $v \geq 7$ ), it follows that  $U$  is either the empty graph or the complete graph. Hence  $G' = G$  or  $G' = \bar{G}$  as claimed.  $\square$

### 2.2. Conditions on the number of edges and Ramsey's theorem

**Theorem 2.10.** Let  $k$  be an integer,  $7 \neq k \geq 4$ . There is an integer  $m$  such that if  $G$  and  $G'$  are two graphs on the same set  $V$  of  $v$  vertices,  $v \geq m$ , such that  $G_{\uparrow K}$  and  $G'_{\uparrow K}$  have the same number of edges, up to complementation, for all  $k$ -element subsets  $K$  of  $V$ , then  $G' = G$  or  $G' = \bar{G}$ .

Conditions  $7 \neq k \geq 4$  in Theorem 2.10 are necessary.

- For  $k = 7$ , consider two graphs  $G$  and  $G'$  on  $V := \{1, 2, \dots, v\}$  such that  $\{i, j\}$  is an edge of  $G$  and  $G'$  for all  $i \neq j$  in  $\{1, 2, \dots, v - 2\}$ ,  $G$  has no another edge and  $G'$  has  $\{v - 1, v\}$  as an additional edge. For  $k < 4$  apply Proposition 4.1 below.

Let  $c(k)$  be the least integer  $m$  for which the conclusion of Theorem 2.10 holds.

**Problem 2.11.** Is  $c(k) \leq k + 4$ ?

Our proof uses Ramsey's theorem rather than incidence matrices. It is inspired from a relationship between Ramsey's theorem and Theorem 2.1 pointed out in [13]. The drawback is that the bound on  $c(k)$  is quite crude.

Let  $r_2^k(k)$  be the bicolor Ramsey number for pairs: the least integer  $n$  such that every graph on  $n$  vertices contains a  $k$ -homogeneous subset, that is a clique or an independent on  $k$  vertices. We deduce Theorem 2.10 and  $c(k) \leq r_2^k(k)$  from the following result.

**Proposition 2.12.** Let  $k$  be an integer,  $7 \neq k \geq 4$  and let  $G$  and  $G'$  be two graphs on the same set  $V$  of  $v$  vertices,  $v \geq k$  such that:

- (1)  $G_{\uparrow K}$  and  $G'_{\uparrow K}$  have the same number of edges, up to complementation, for all  $k$ -element subsets  $K$  of  $V$ ;
- (2)  $V$  contains a  $k$ -element subset  $K$  such that  $G_{\uparrow K}$  or  $\bar{G}_{\uparrow K}$  has at least  $l$  edges where  $l := \min(\frac{k^2+7k-12}{4}, \frac{k(k-1)}{2})$ .

Then  $G' = G$  or  $G' = \bar{G}$ .

The inequality  $\frac{k^2+7k-12}{4} \leq \frac{k(k-1)}{2}$  holds iff  $k \geq 8$ . For  $k > 8$  the condition  $l = \frac{k^2+7k-12}{4}$  is weaker than the existence of a clique of size  $k$ .

**Proof.** We may suppose that  $V$  contains a  $k$ -element subset of  $V$ , say  $K$ , such that  $e(G_{\uparrow K}) \geq l$ ; also we may suppose, from condition (1), that  $e(G_{\uparrow K}) = e(G'_{\uparrow K})$  otherwise replace  $G'$  by its complement. We shall prove that for all  $V'$  such that  $K \subseteq V' \subseteq V$  and  $|V'| = k + 2$  we have  $e(G_{\uparrow K'}) = e(G'_{\uparrow K'})$  for all  $k$ -element subset  $K'$  of  $V'$ . Since the adjacency matrix of the Kneser graph  $KG(2, k + 2)$  is non-singular,  $G_{\uparrow V'} = G'_{\uparrow V'}$ . It follows that  $G = G'$ .

**Claim 2.13.** For  $x \notin K$  and  $y \in K$ ,  $e(G_{\uparrow(K \cup \{x\}) \setminus \{y\}}) = e(G'_{\uparrow(K \cup \{x\}) \setminus \{y\}})$ .

**Proof.** Let  $x \notin K$  and  $y \in K$ . Set  $K' := (K \cup \{x\}) \setminus \{y\}$ . The graphs  $G_{\uparrow K'}$  and  $G'_{\uparrow K'}$  have at least  $l' := l - (k - 1)$  edges. Since  $G_{\uparrow K'}$  and  $G'_{\uparrow K'}$  have the same number of edges up to complementation, we have  $e(G_{\uparrow K'}) = e(G'_{\uparrow K'})$  whenever  $l' \geq \frac{k(k-1)}{4}$ , that is  $l \geq l'' := \frac{(k-1)(k+4)}{4}$ .

If  $k \geq 8$  we have  $l = \frac{k^2+7k-12}{4}$  yielding  $l > l''$  as required. If  $k \in \{4, 5, 6\}$  we have  $l = \frac{k(k-1)}{2}$  yielding again  $l \geq l''$ . □

**Claim 2.14.** For distinct  $x, x' \notin K$  and  $y, y' \in K$ ,  $e(G_{\uparrow(K \cup \{x, x'\}) \setminus \{y, y'\}}) = e(G'_{\uparrow(K \cup \{x, x'\}) \setminus \{y, y'\}})$ .

**Proof.** Let  $x, x' \notin K$  and  $y, y' \in K$  be distinct. Set  $K' := (K \cup \{x, x'\}) \setminus \{y, y'\}$ . We have  $e(G_{\uparrow K'}) \geq e(G_{\uparrow K}) - (2k - 3)$  and  $e(G'_{\uparrow K'}) \geq e(G'_{\uparrow K}) - (2k - 3)$ . Thus  $e(G_{\uparrow K'})$  and  $e(G'_{\uparrow K'})$  have at least  $l' := l - (2k - 3)$  edges. Since  $G_{\uparrow K'}$  and  $G'_{\uparrow K'}$  have the same number of edges up to complementation, we have  $e(G_{\uparrow K'}) = e(G'_{\uparrow K'})$  whenever  $l' \geq \frac{k(k-1)}{4}$ , that is  $l \geq \frac{k^2+7k-12}{4}$ . This inequality holds if  $k \geq 8$ .

Suppose  $k \in \{4, 5, 6\}$ . Thus  $l = \frac{k(k-1)}{2}$ . Hence  $K$  is a clique for  $G$  and  $G'$ .

**Subclaim.** Let  $u \notin K$  then  $G$  and  $G'$  coincide on  $K \cup \{u\}$ .

**Proof.** Since  $K$  is a clique, this amounts to  $G(u, v) = G'(u, v)$  for all  $v \in K$ , a fact which follows from Claim 2.13. Indeed, we have  $d_{G_{\uparrow K \cup \{u\}}}(u) = \frac{1}{k-1} \sum_{w \in K} d_{G_{\uparrow (K \cup \{u\}) \setminus \{w\}}}(u)$ . From Claim 2.13 we have  $d_{G_{\uparrow (K \cup \{u\}) \setminus \{w\}}}(u) = d_{G'_{\uparrow (K \cup \{u\}) \setminus \{w\}}}(u)$ . Thus  $d_{G_{\uparrow K \cup \{u\}}}(u) = d_{G'_{\uparrow K \cup \{u\}}}(u)$ . Since  $d_{G_{\uparrow (K \cup \{u\}) \setminus \{v\}}}(u) = d_{G'_{\uparrow (K \cup \{u\}) \setminus \{v\}}}(u)$  the equality  $G(u, v) = G'(u, v)$  follows.  $\square$

From this subclaim it follows that  $G$  and  $G'$  coincide on  $K'$  with the possible exception of the pair  $\{x, x'\}$ . Set  $a := e(G_{\uparrow K'})$ ,  $a' := e(G'_{\uparrow K'})$ . Suppose  $a \neq a'$ . Then  $|a - a'| = 1$ , hence the sum  $a + a'$  is odd. Since  $G_{\uparrow K'}$  and  $G'_{\uparrow K'}$  have the same number of edges up to complementation, this sum is also  $\frac{k(k-1)}{2}$ . If  $k = 4$  or  $k = 5$  this number is even, a contradiction. Suppose  $k = 6$ . We may suppose  $a = a' + 1$  hence from  $a + a' = \frac{k(k-1)}{2}$  we get  $a = 8$ . Put  $\{x_1, x_2, x_3, x_4, y, y'\} := K$ . Since  $K$  is a clique we have  $G(x, x') = 1$ ,  $G'(x, x') = 0$  and  $G, G'$  contain just one edge from  $\{x, x'\}$  to  $\{x_1, x_2, x_3, x_4\}$ . We may suppose  $G(x_1, x) = G'(x_1, x) = 1$ ,  $G(x_1, x') = G'(x_1, x') = 0$  and  $G(t, u) = G'(t, u) = 0$  for all  $t \in \{x_2, x_3, x_4\}$  and  $u \in \{x, x'\}$ .

Let  $K'' := (K \cup \{x, x'\}) \setminus \{x_1, x_2\}$ . From the subclaim above,  $G$  and  $G'$  coincide on  $K''$  with the exception of the pair  $\{x, x'\}$  hence  $G, G'$  contain just one edge from  $\{x, x'\}$  to  $\{x_3, x_4, y, y'\}$ . We can assume  $G(y, u) = G'(y, u) = 1$  for exactly one  $u \in \{x, x'\}$ , and  $G(t, u) = G'(t, u) = 0$  for all  $t \in \{x_3, x_4, y'\}$  and  $u \in \{x, x'\}$ .

Set  $B := \{x_2, x_3, x_4, x, x', y'\}$ , then  $e(G_{\uparrow B}) = 7$  and  $e(G'_{\uparrow B}) = 6$ . So  $e(G_{\uparrow B}) \neq e(G'_{\uparrow B})$  and  $e(G_{\uparrow B}) + e(G'_{\uparrow B}) \neq \frac{k(k-1)}{2}$ , that gives a contradiction.  $\square$

Clearly Proposition 2.12 follows from Claims 2.13 and 2.14.  $\square$

### 3. Some members of $\mathcal{S}$

Sufficient conditions for membership stated in Theorem 1.1 are contained in Theorem 3.1 below.

Let  $v$  be a non-negative integer and  $\vartheta(v) := 4l$  if  $v \in \{4l+2, 4l+3\}$ ,  $\vartheta(v) := 4l-3$  if  $v \in \{4l, 4l+1\}$ .

**Theorem 3.1.** *Let  $v, k$  be two integers with  $4 \leq k \leq \vartheta(v)$ . Then, for every pair of graphs  $G$  and  $G'$  on the same set  $V$  of  $v$  vertices, the following properties are equivalent:*

- (i)  $G$  and  $G'$  are  $k$ -hypomorphic up to complementation;
- (ii)  $G_{\uparrow K}$  and  $G'_{\uparrow K}$  have the same number of edges, up to complementation, and the same number of 3-homogeneous subsets, for all  $k$ -element subsets  $K$  of  $V$ ;
- (iii)  $G_{\uparrow K}$  and  $G'_{\uparrow K}$  have the same number of edges, up to complementation, for all  $k$ -element and  $k'$ -element subsets  $K$  of  $V$  where  $k'$  is an integer verifying  $3 \leq k' < k$ ;
- (iv)  $G' = G$  or  $G' = \bar{G}$ .

#### 3.1. Ingredients

Let  $G := (V, E)$  be a graph. Let  $A^{(2)}(G)$  be the set of pairs  $\{u, u'\}$  made of some  $u \in E(G)$  and some  $u' \in E(\bar{G})$ . Let  $A^{(0)}(G) := \{\{u, u'\} \in A^{(2)}(G) : u \cap u' = \emptyset\}$ ,  $A^{(1)}(G) := A^{(2)}(G) \setminus A^{(0)}(G)$  and let  $a^{(i)}(G)$  be the cardinality of  $A^{(i)}(G)$  for  $i \in \{0, 1, 2\}$ ; thus  $a^{(2)}(G) = a^{(0)}(G) + a^{(1)}(G)$ . Let  $T(G)$  be the set of triangles of  $G$  and let  $t(G) := |T(G)|$ . Let  $H^{(3)}(G) := T(G) \cup T(\bar{G})$  be the set of 3-homogeneous subsets of  $G$  and  $h^{(3)}(G) := |H^{(3)}(G)|$ .

Some elementary properties of the above numbers are stated in the lemma below; the proof is immediate.

**Lemma 3.2.** *Let  $G$  be a graph with  $v$  vertices, then:*

- (1)  $A^{(i)}(G) = A^{(i)}(\bar{G})$ , hence  $a^{(i)}(G) = a^{(i)}(\bar{G})$ , for all  $i \in \{0, 1, 2\}$ .
- (2)  $a^{(2)}(G) = e(G)e(\bar{G})$ .
- (3)  $a^{(1)}(G) = \sum_{x \in V(G)} d_G(x)d_{\bar{G}}(x)$ .
- (4)  $h^{(3)}(G) = \frac{v(v-1)(v-2)}{6} - \frac{1}{2}a^{(1)}(G)$ .

**Lemma 3.3.** Let  $G$  and  $G'$  be two graphs on the same finite vertex set  $V$ , then

$$e(G') = e(G) \quad \text{or} \quad e(G') = e(\bar{G}) \quad \text{iff} \quad e(G)e(\bar{G}) = e(G')e(\bar{G}').$$

**Proof.** Suppose

$$e(G)e(\bar{G}) = e(G')e(\bar{G}'). \tag{1}$$

Since  $e(G) + e(\bar{G}) = \frac{v(v-1)}{2}$  and  $e(G') + e(\bar{G}') = \frac{v(v-1)}{2}$ , where  $v := |V|$ , we have

$$e(G) + e(\bar{G}) = e(G') + e(\bar{G}'). \tag{2}$$

Then (1) and (2) give  $e(G') = e(G)$  or  $e(G') = e(\bar{G})$ . The converse is obvious.  $\square$

**Lemma 3.4.** Let  $G$  be a graph,  $V := V(G)$ ,  $v := |V|$ .

(a) Let  $i \in \{0, 1\}$ ,  $k$  such that  $4 - i \leq k \leq v$ , then

$$a^{(i)}(G) = \frac{1}{\binom{v-4+i}{k-4+i}} \sum_{\substack{K \subseteq V \\ |K|=k}} a^{(i)}(G_{\uparrow K}).$$

(b) Let  $k$  such that  $3 \leq k \leq v - 1$ , then

$$a^{(0)}(G) = \frac{v-3}{v-k} e(G)e(\bar{G}) - \frac{1}{\binom{v-4}{k-3}} \sum_{\substack{K \subseteq V \\ |K|=k}} e(G_{\uparrow K})e(\bar{G}_{\uparrow K}),$$

$$a^{(1)}(G) = \frac{1}{\binom{v-4}{k-3}} \sum_{\substack{K \subseteq V \\ |K|=k}} e(G_{\uparrow K})e(\bar{G}_{\uparrow K}) - \frac{k-3}{v-k} e(G)e(\bar{G}).$$

**Proof.** (a) Let  $\{u, u'\} \in A^{(i)}(G)$  for  $i \in \{0, 1\}$ . The number of  $k$ -element subsets  $K$  of  $V$  containing  $u$  and  $u'$  is  $\binom{v-4+i}{k-4+i}$ . The result follows.

(b) If  $k = 3$  then (a) and the fact that  $a^{(0)}(G) + a^{(1)}(G) = e(G)e(\bar{G})$  give the formulas.

If  $4 \leq k \leq v - 1$ , then by (a) we have

$$\binom{v-4}{k-4} a^{(0)}(G) = \sum_{\substack{K \subseteq V \\ |K|=k}} a^{(0)}(G_{\uparrow K}),$$

$$\binom{v-3}{k-3} a^{(1)}(G) = \sum_{\substack{K \subseteq V \\ |K|=k}} a^{(1)}(G_{\uparrow K}).$$

Summing up and applying (2) of Lemma 3.2 to the  $G_{\uparrow K}$ 's we have

$$\binom{v-4}{k-4} a^{(0)}(G) + \binom{v-3}{k-3} a^{(1)}(G) = \sum_{\substack{K \subseteq V \\ |K|=k}} e(G_{\uparrow K})e(\bar{G}_{\uparrow K}). \tag{3}$$

On the other hand

$$a^{(0)}(G) + a^{(1)}(G) = e(G)e(\bar{G}). \tag{4}$$

Eqs. (3) and (4) form a Cramer system with  $a^{(0)}(G)$  and  $a^{(1)}(G)$  as unknowns. Indeed the determinant

$$\Delta := \begin{vmatrix} \binom{v-4}{k-4} & \binom{v-3}{k-3} \\ 1 & 1 \end{vmatrix} = \binom{v-4}{k-4} - \binom{v-3}{k-3} = -\binom{v-4}{k-3}$$

is nonzero. A straightforward computation gives the result.  $\square$



**Corollary 3.5.** Let  $G$  and  $G'$  be two graphs on the same set  $V$  of  $v$  vertices and  $k$  be an integer such that  $4 \leq k \leq v$ .

The implications (ii)  $\Rightarrow$  (i) and (i)  $\Rightarrow$  (iii) between the following statements hold.

- (i)  $e(G'_{\uparrow K}) = e(G_{\uparrow K})$  or  $e(\overline{G}_{\uparrow K})$  and  $h^{(3)}(G_{\uparrow K}) = h^{(3)}(G'_{\uparrow K})$  for all  $k$ -element subsets  $K$  of  $V$ .
- (ii)  $e(G'_{\uparrow K}) = e(G_{\uparrow K})$  or  $e(\overline{G}_{\uparrow K})$  for all  $k$ -element and  $k'$ -element subsets  $K$  of  $V$  where  $k'$  is some integer verifying  $3 \leq k' < k$ .
- (iii)  $G_{\uparrow L}$  and  $G'_{\uparrow L}$  have the same number of edges up to complementation and  $h^{(3)}(G_{\uparrow L}) = h^{(3)}(G'_{\uparrow L})$  for all  $l$ -element subsets  $L$  of  $V$  and all integer  $l$  such that  $k \leq l \leq v$ .

**Proof.** (i)  $\Rightarrow$  (iii). Let  $L$  be an  $l$ -element subset of  $V$  with  $l \geq k$ , and  $K$  be a  $k$ -element subset of  $L$ . From Lemma 3.3 and (2) of Lemma 3.2, we have  $a^{(0)}(G_{\uparrow K}) + a^{(1)}(G_{\uparrow K}) = a^{(0)}(G'_{\uparrow K}) + a^{(1)}(G'_{\uparrow K})$ , and from (4) of Lemma 3.2,  $a^{(1)}(G_{\uparrow K}) = a^{(1)}(G'_{\uparrow K})$ . Hence  $a^{(i)}(G_{\uparrow K}) = a^{(i)}(G'_{\uparrow K})$  for all  $k$ -element subsets  $K$  of  $L$  and  $i \in \{0, 1\}$ .

From (a) of Lemma 3.4 applied to  $G_{\uparrow L}$  follows  $a^{(i)}(G_{\uparrow L}) = a^{(i)}(G'_{\uparrow L})$  for  $i \in \{0, 1\}$ , hence using (2) of Lemma 3.2 we get  $e(G_{\uparrow L})e(\overline{G}_{\uparrow L}) = e(G'_{\uparrow L})e(\overline{G}'_{\uparrow L})$ . The conclusion follows from Lemma 3.3 and (4) of Lemma 3.2.

(ii)  $\Rightarrow$  (i). It suffices to prove that  $h^{(3)}(G_{\uparrow K}) = h^{(3)}(G'_{\uparrow K})$  for all  $k$ -element subsets  $K$  of  $V$ . From Lemma 3.3 we have  $e(G_{\uparrow K})e(\overline{G}_{\uparrow K}) = e(G'_{\uparrow K})e(\overline{G}'_{\uparrow K})$  and  $e(G_{\uparrow K'})e(\overline{G}_{\uparrow K'}) = e(G'_{\uparrow K'})e(\overline{G}'_{\uparrow K'})$  for all  $k'$ -element set  $K' \subseteq K$ . From (b) of Lemma 3.4 we get  $a^{(i)}(G_{\uparrow K}) = a^{(i)}(G'_{\uparrow K})$  for  $i \in \{0, 1\}$ . Then by (4) of Lemma 3.2,  $h^{(3)}(G_{\uparrow K}) = h^{(3)}(G'_{\uparrow K})$ .  $\square$

**Proposition 3.6.** Let  $G$  and  $G'$  be two graphs on  $v$  vertices and  $k$  be an integer such that  $4 \leq k \leq v$ . If  $G$  and  $G'$  are  $k$ -hypomorphic up to complementation then  $e(G'_{\uparrow L}) = e(G_{\uparrow L})$  or  $e(\overline{G}'_{\uparrow L}) = e(\overline{G}_{\uparrow L})$  for all  $l$ -element subsets  $L$  of  $V$  and all integer  $l$  such that  $k \leq l \leq v$ .

**Proof.** If  $G$  and  $G'$  are  $k$ -hypomorphic up to complementation then  $G_{\uparrow K}$  and  $G'_{\uparrow K}$  have the same number of edges up to complementation, and the same number of 3-homogeneous subsets, for all  $k$ -element subsets  $K$  of  $V$ . We conclude using (i)  $\Rightarrow$  (iii) of Corollary 3.5  $\square$

By inspection of the eleven graphs on four vertices, one may observe that:

**Fact 3.7.** The ordered pair  $(e(G)e(\overline{G}), h^{(3)}(G))$  characterize  $G$  up to isomorphism and complementation if  $|V(G)| \leq 4$ .

Note that in Fact 3.7, we can replace  $(e(G)e(\overline{G}), h^{(3)}(G))$  by  $(a^{(0)}(G), a^{(1)}(G))$  (this follows from Lemmas 3.3 and 3.2).

**Proposition 3.8.** Let  $G$  and  $G'$  be two graphs on the same set  $V$  of  $v$  vertices and  $k$  be an integer. If  $3 \leq k \leq v - 3$  (respectively  $4 \leq k \leq v - 4$ ) and  $h^{(3)}(G_{\uparrow K}) = h^{(3)}(G'_{\uparrow K})$  (respectively  $a^{(0)}(G_{\uparrow K}) = a^{(0)}(G'_{\uparrow K})$ ) for all  $k$ -element subsets  $K$  of  $V$  then  $h^{(3)}(G_{\uparrow K}) = h^{(3)}(G'_{\uparrow K})$  (respectively  $a^{(0)}(G_{\uparrow K}) = a^{(0)}(G'_{\uparrow K})$ ) for all  $(v - k)$ -element subsets  $K$  of  $V$ .

**Proof.** By (4) of Lemma 3.2,  $h^{(3)}(G_{\uparrow K}) = h^{(3)}(G'_{\uparrow K})$  iff  $a^{(1)}(G_{\uparrow K}) = a^{(1)}(G'_{\uparrow K})$ .

**Case 1.**  $k \leq \frac{v}{2}$ , then  $v - k \geq k$ . Let  $K'$  be a  $(v - k)$ -element subset of  $V$ , then from (a) of Lemma 3.4 we have for  $i \in \{0, 1\}$ ,

$$a^{(i)}(G_{\uparrow K'}) = \frac{1}{\binom{v-k-4+i}{k-4+i}} \sum_{\substack{K \subseteq K' \\ |K|=k}} a^{(i)}(G_{\uparrow K}).$$

Then we get the conclusion.

**Case 2.**  $k > \frac{v}{2}$ , then  $v - k < \frac{v}{2}$ . Let  $K'$  be a  $k$ -element subset of  $V$ . From (a) of Lemma 3.4 we have for  $i \in \{0, 1\}$ ,

$$\sum_{\substack{K \subseteq K' \\ |K|=v-k}} a^{(i)}(G \upharpoonright K) = \binom{k-4+i}{v-k-4+i} a^{(i)}(G \upharpoonright K'). \tag{5}$$

Let  $X_1, X_2, \dots, X_l$  be an enumeration of the  $(v - k)$ -element subsets of  $V$ . Let  $w_G^{(i)} := (a^{(i)}(G \upharpoonright X_1), a^{(i)}(G \upharpoonright X_2), \dots, a^{(i)}(G \upharpoonright X_l))$ , and  $w_{G'}^{(i)} := (a^{(i)}(G' \upharpoonright X_1), a^{(i)}(G' \upharpoonright X_2), \dots, a^{(i)}(G' \upharpoonright X_l))$ . From (5), we get, for  $i \in \{0, 1\}$ ,  $A_{v-k,v}^t w_G^{(i)} = A_{v-k,v}^t w_{G'}^{(i)}$ . We conclude using Theorem 2.2.  $\square$

### 3.2. Proof of Theorem 3.1

(i)  $\Rightarrow$  (ii), (iv)  $\Rightarrow$  (i), (iv)  $\Rightarrow$  (iii) are obvious and (iii)  $\Rightarrow$  (ii) is implication (ii)  $\Rightarrow$  (i) of Corollary 3.5. Thus it is sufficient to prove (ii)  $\Rightarrow$  (iv).

Let  $l, k \leq l \leq v$ . According to implication (i)  $\Rightarrow$  (iii) of Corollary 3.5,  $e(G' \upharpoonright L) = e(G \upharpoonright L)$  or  $e(G' \upharpoonright L) = e(\overline{G} \upharpoonright L)$  for all  $l$ -element subsets  $L$  of  $V$ . If we may choose  $l \equiv 0 \pmod{4}$  with  $l \leq v - 2$ , then  $e(G \upharpoonright L)$  and  $e(G' \upharpoonright L)$  have the same parity. Theorem 2.6 gives  $G' = G$  or  $G' = \overline{G}$ . Thus, the implication (ii)  $\Rightarrow$  (iv) is proved if  $v \equiv 2 \pmod{4}$  and if  $v \equiv 3 \pmod{4}$ . There are two remaining cases.

**Case 1.**  $v \equiv 1 \pmod{4}$  and  $k = v - 4$ . We prove that  $e(G' \upharpoonright L)$  and  $e(G \upharpoonright L)$  have the same parity for all 4-element subsets  $L$  of  $V$ . Theorem 2.6 again gives  $G' = G$  or  $G' = \overline{G}$ . The proof goes as follows. Let  $L$  be a 4-element subset of  $V$ , and  $K$  be a  $k$ -element subset of  $V$ . By Lemma 3.2,  $a^{(2)}(G \upharpoonright K) = a^{(2)}(G' \upharpoonright K)$  and  $a^{(1)}(G \upharpoonright K) = a^{(1)}(G' \upharpoonright K)$ . Thus  $a^{(0)}(G \upharpoonright K) = a^{(0)}(G' \upharpoonright K)$ . Using Proposition 3.8, we get  $a^{(0)}(G \upharpoonright L) = a^{(0)}(G' \upharpoonright L)$  and  $h^{(3)}(G \upharpoonright L) = h^{(3)}(G' \upharpoonright L)$ . Now (4) of Lemma 3.2 gives  $a^{(1)}(G \upharpoonright L) = a^{(1)}(G' \upharpoonright L)$ . So  $a^{(2)}(G \upharpoonright L) = a^{(2)}(G' \upharpoonright L)$ , then using (2) of Lemma 3.2 and Lemma 3.3 we get  $e(G' \upharpoonright L) = e(G \upharpoonright L)$  or  $e(\overline{G} \upharpoonright L)$ , thus  $e(G' \upharpoonright L)$  and  $e(G \upharpoonright L)$  have the same parity.

**Case 2.**  $v \equiv 0 \pmod{4}$  and  $k = v - 3$ . From Proposition 3.8,  $G$  and  $G'$  have the same 3-homogeneous subsets. From Theorem 2.7,  $G' = G$  or  $G' = \overline{G}$  as claimed.

## 4. Constraints on $\mathcal{S}$

Two arbitrary graphs on the same set of vertices are  $k$ -hypomorphic up to complementation for  $k \leq 2$ . Hence, if  $v \leq 2$ ,  $(v, k) \in \mathcal{S}$  iff  $k \in \mathbb{N}$ . This is item (1) of Theorem 1.1.

Next, suppose  $v > 2$ , and  $(v, k) \in \mathcal{S}$ .

According to the proposition below, we have  $k \geq 4$ .

**Proposition 4.1.** *For every integer  $v \geq 4$ , there are two graphs  $G$  and  $G'$ , on the same set of  $v$  vertices, which are 3-hypomorphic up to complementation but not isomorphic up to complementation.*

**Proof.** Let  $G$  and  $G'$  be two graphs having  $\{1, 2, \dots, v\}$  as set of vertices.

- Even case:  $v = 2p$ . Pairs  $\{i, j\}$  are edges of  $G$  and  $G'$  for all  $i \neq j$  in  $\{1, 2, \dots, p\}$  and for all  $i \neq j$  in  $\{p + 1, \dots, 2p\}$ . The graph  $G$  has no other edge and  $G'$  has  $\{1, p + 1\}$  as an additional edge. Clearly  $G'$  and  $G$  are 3-hypomorphic up to complementation and not isomorphic. Since  $\overline{G}$  has  $p^2$  edges but  $G'$  has  $p(p - 1) + 1$  edges,  $G'$  and  $\overline{G}$  are not isomorphic.
- Odd case:  $v = 2p + 1$ . Pairs  $\{i, j\}$  are edges of  $G$  and  $G'$  for all  $i \neq j$  in  $\{1, 2, \dots, p\}$  and for all  $i \neq j$  in  $\{p + 1, \dots, 2p + 1\}$ . The graph  $G$  has no other edge and  $G'$  has  $\{1, p + 1\}$  as an additional edge. Clearly  $G'$  and  $G$  are 3-hypomorphic up to complementation and not isomorphic. Since  $\overline{G}$  has  $p(p + 1)$  edges but  $G'$  has  $p^2 + 1$  edges,  $G'$  and  $\overline{G}$  are not isomorphic.

In both cases  $G$  and  $G'$  are 3-hypomorphic up to complementation but not isomorphic up to complementation.  $\square$

According to the following lemma,  $v \geq 6$ .

**Lemma 4.2.** *For every  $v$ ,  $3 \leq v \leq 5$ , there are two graphs  $G$  and  $G'$ , on the same set of  $v$  vertices, which are  $k$ -hypomorphic for all  $k \leq v$  but  $G' \neq G$  and  $G' \neq \bar{G}$ .*

**Proof.** Let  $V := \{0, 1, 2, 3, 4\}$ ,  $\mathcal{E} := \{\{0, 1\}, \{1, 2\}, \{2, 3\}, \{3, 4\}, \{4, 0\}\}$  and  $\mathcal{E}' := (\mathcal{E} \setminus \{\{0, 4\}, \{1, 2\}\}) \cup \{\{1, 4\}, \{0, 2\}\}$ . Let  $G := (V, \mathcal{E})$  and  $G' := (V, \mathcal{E}')$ . These graphs are two 5-element cycles,  $G'$  being obtained from  $G$  by exchanging 0 and 1. Trivially, they satisfy the conclusion of the lemma. The two pairs  $G_{-3}, G'_{-3}$  and  $G_{-3,-4}$  and  $G'_{-3,-4}$  also satisfy the conclusion of the lemma.  $\square$

Next, a straightforward extension of the construction in Lemma 4.2 above yields  $k \leq v - 2$ . Indeed, let us say that two graphs  $G$  and  $G'$  on the same set  $V$  of vertices are  $k$ -hypomorphic if for any subset  $X$  of  $V$  of cardinality  $k$ ,  $G_{\uparrow X}$  and  $G'_{\uparrow X}$  are isomorphic. We have:

**Lemma 4.3.** *For every integer  $v$ ,  $v \geq 4$ , there are two graphs  $G$  and  $G'$ , on the same set of  $v$  vertices, which are  $k$ -hypomorphic for all  $k \in \{v - 1, v\}$  but  $G' \neq G$  and  $G' \neq \bar{G}$ .*

**Proof.** Let  $V := \{0, \dots, v - 1\}$ ,  $\mathcal{E} := \{\{i, i + 1\} : 0 \leq i < v - 1\} \cup \{\{0, v - 1\}\}$ ,  $\mathcal{E}' := (\mathcal{E} \setminus \{\{0, v - 1\}, \{1, 2\}\}) \cup \{\{1, v - 1\}, \{0, 2\}\}$ . Let  $G := (V, \mathcal{E})$  and  $G' := (V, \mathcal{E}')$ . These graphs are two  $v$ -element cycles,  $G'$  being obtained from  $G$  by exchanging 0 and 1. Trivially, they satisfy the conclusion of the lemma.  $\square$

With this lemma, the proof of the first part of item (2) is complete.

The fact that  $(v, k) \in \mathcal{S}$  implies  $k \leq \vartheta(v)$  for infinitely many  $v$  is an immediate consequence of the following proposition.

**Proposition 4.4.** *For every integer  $v := m + r$  such that  $q \equiv 1 \pmod{4}$  for each prime power  $q$  occurring in the decomposition of  $m$  and  $r \in \{2, 3, 4\}$  there are two graphs  $G$  and  $G'$ , on the same set of  $v$  vertices, which are  $k$ -hypomorphic up to complementation for all  $k$ ,  $\vartheta(v) + 1 \leq k \leq v$  but  $G' \neq G$  and  $G' \neq \bar{G}$ .*

Our construction uses vertex-transitive self-complementary graphs. We recall that there is a vertex-transitive self-complementary graph on  $m$  vertices if and only if  $q \equiv 1 \pmod{4}$  for each prime power  $q$  occurring in the decomposition of  $m$  [12,16]. Lexicographical products of Paley graphs readily provide examples of vertex-transitive self-complementary graphs for each  $m$  as above. A complete description is not known. For more information about these graphs see [5]. For Paley graphs see also [18].

**Lemma 4.5.** *A finite graph  $G$  is vertex-transitive and self-complementary if and only if its order is distinct from 2 and  $G_{-x}$  is self-complementary for every vertex  $x \in V(G)$ .*

**Proof.** Let  $\mathcal{G}$  be the class of finite graphs of order distinct from 2 such that  $G_{-x}$  is self-complementary for every vertex  $x \in V(G)$ . Let  $G \in \mathcal{G}$ . Let  $n := |V(G)|$ . We may suppose  $n > 2$ . Let  $x \in V(G)$ . We have  $d_G(x) = e(G) - e(G_{-x})$ . Since  $G_{-x}$  is self-complementary,  $e(G_{-x}) = e(\bar{G}_{-x})$  and, since  $e(G_{-x}) + e(\bar{G}_{-x}) = \binom{n-1}{2}$ ,  $e(G_{-x}) = \frac{1}{2} \binom{n-1}{2}$ . Thus  $d_G(x)$  does not depend on  $x$ , that is  $G$  is regular. Since  $n > 2$  we have  $e(G) = \frac{1}{n-2} \sum_{x \in V(G)} e(G_{-x})$  thus  $e(G) = \frac{n(n-1)}{4}$ . This added to  $e(G_{-x}) = \frac{(n-1)(n-2)}{4}$  yields  $n(n-1) \equiv 0 \pmod{4}$  and  $(n-1)(n-2) \equiv 0 \pmod{4}$ . It follows that  $n \equiv 1 \pmod{4}$ . As it is well known [11], regular graphs of order distinct from 2 are reconstructible. Thus  $G$  is self-complementary. The proof that  $G$  is reconstructible yields that for every vertex  $x$ , every isomorphism from  $G_{-x}$  onto

$\overline{G}_{-x}$  is induced by an isomorphism  $\varphi$  from  $G$  onto  $\overline{G}$  which fixes  $x$ . Hence, for a given pair of vertices  $x, x'$  there is an element  $\Gamma \in \text{Aut}(G)$  such that  $\Gamma(x) = x'$  if and only if there is an isomorphism  $\varphi : G \rightarrow \overline{G}$  such that  $\varphi(x) = x'$ . It follows that each orbit of  $\text{Aut}(G)$  is preserved under all isomorphisms from  $G$  onto  $\overline{G}$ . Thus, if  $A$  is a union of orbits,  $G_{\uparrow A} \in \mathcal{G}$ . Since members of  $\mathcal{G}$  have odd order, there is just one orbit, proving that  $\text{Aut}(G)$  is vertex-transitive.

Conversely, let  $G$  be a self-complementary vertex-transitive graph. Clearly  $G$  is not of order 2. Let  $x \in V(G)$ . Since  $G$  is self-complementary,  $G_{-x}$  is isomorphic to  $\overline{G}_{-y}$  for some  $y \in V(G)$ . Since  $\text{Aut}(\overline{G}) = \text{Aut}(G)$  and  $\text{Aut}(G)$  is vertex-transitive,  $\overline{G}_{-y}$  is isomorphic to  $\overline{G}_{-x}$ . Hence,  $G \in \mathcal{G}$ .

**Proof of Proposition 4.4.** Let  $v, m, r$  satisfying the stated conditions. Let  $P$  be a self-complementary vertex-transitive graph of order  $m$ .

**Case 1.**  $r = 4$ . In this case  $\vartheta(v) = m$ . Let  $V$  be made of  $V(P)$  and four new elements added, say  $1, 2, 3, 4$ . Let  $G$  and  $G'$  be the graphs with vertex set  $V$  which coincide with  $P$  on  $V(P)$ , the other edges of  $G$  being  $\{1, 2\}, \{2, 3\}, \{3, 4\}, \{2, x\}, \{3, x\}$  for all  $x \in V(P)$ , the other edges of  $G'$  being  $\{1, 3\}, \{2, 3\}, \{2, 4\}, \{2, x\}, \{3, x\}$  for all  $x \in V(P)$ . Clearly,  $G' \neq G$  and  $G' \neq \overline{G}$ . We check that  $G$  and  $G'$  are  $k$ -hypomorphic for  $\vartheta(v) + 1 \leq k \leq v$ . Let  $X \subseteq V$  with  $|X| \leq 3$  and  $K := V \setminus X$ . With the help of Lemma 4.5, note that if  $X \cap \{1, 2, 3, 4\} \in \{\{1, 2\}, \{1, 3\}, \{2, 4\}, \{3, 4\}\}$  then  $G_{\uparrow K} \simeq \overline{G'}_{\uparrow K}$ . In all other cases  $G_{\uparrow K} \simeq G'_{\uparrow K}$ .

**Case 2.**  $r = 3$ . In this case  $\vartheta(v) = m$ . Let  $G_1 := G_{-1}$  and  $G'_1 := G'_{-1}$  where  $G, G'$  are the graphs constructed in Case 1. Clearly  $G' \neq G$  and  $G' \neq \overline{G}$ . And since  $G, G'$  are  $k$ -hypomorphic for  $m + 1 \leq k \leq m + 4$ , the graphs  $G_1$  and  $G'_1$  are  $k$ -hypomorphic for  $\vartheta(v) + 1 \leq k \leq v$ .

**Case 3.**  $r = 2$ . In this case  $\vartheta(v) = m - 1$ . Let  $V$  be made of  $V(P)$  and two new elements added, say  $1, 2$ . Let  $G$  and  $G'$  be the graphs with vertex set  $V$  which coincide with  $P$  on  $V(P)$ , the other edges of  $G$  being  $(2, x)$  for all  $x \in V(P)$ , the other edges of  $G'$  being  $(1, x)$  for all  $x \in V(P)$ . Clearly,  $G' \neq G$  and  $G' \neq \overline{G}$ . Let  $X \subseteq V$  with  $|X| \leq 2$  and  $K := V \setminus X$ . If  $X \cap \{1, 2\} \neq \emptyset$  then  $G_{\uparrow K} \simeq \overline{G'}_{\uparrow K}$ . In all other cases  $G_{\uparrow K} \simeq G'_{\uparrow K}$ . Hence,  $G$  and  $G'$  are  $k$ -hypomorphic for  $\vartheta(v) + 1 \leq k \leq v$ .  $\square$

By Theorem 2.6 we have:

**Remark 4.6.** Let  $G$  be a graph with  $v$  vertices. If there is a graph  $G' \neq G$  on the same vertex set  $V$ , an integer  $k$  such that  $1 \leq k \leq v - 2, k \equiv 0 \pmod{4}$ ,  $G'$  is  $(v - 1)$ -hypomorphic to  $G$  and  $e(G'_{\uparrow K})$  has the same parity as  $e(G_{\uparrow K})$  for all  $k$ -element subsets  $K$  of  $V$ , then  $G$  is vertex-transitive and self-complementary.

**5. Conclusion**

Let  $\mathcal{R}$  be the set of ordered pairs  $(v, k)$  such that two graphs on the same set of  $v$  vertices are isomorphic up to complementation whenever these two graphs are  $k$ -hypomorphic up to complementation.

Behind Ille's problem was the question of a description of  $\mathcal{R}$ .

This seems to be a very difficult problem. Except the trivial inclusion  $\mathcal{S} \subseteq \mathcal{R}$ , the fact that some ordered pairs like  $(5, 4), (v, v - 3)$  for  $v \geq 7$  belong to  $\mathcal{R}$  requires some effort [4].

We prefer to point out the following problem.

**Problem 5.1.** *Let  $v > 2$ . Is  $(v, k) \in \mathcal{S} \iff 4 \leq k \leq \vartheta(v)$ ?*

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