# QUASI-RESIDUAL QUASI-SYMMETRIC DESIGNS 

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#### Abstract

It is shown that for each $\lambda \geqslant 3$, there are only finitely many quasi-residual quasi-symmetric (QRQS) designs and that for each pair of intersection numbers ( $x, y$ ) not equal to ( 0,1 ) or (1,2), there are only finitely many QRQS designs.

A design is shown to be affine if and only if it is ORQS with $x=0$. A projective design is defined as a symmetric design which has an affine residual. For a projective design, the block-derived design and the dual of the point-derivate of the residual are multiples of symmetric designs.


## 1. Quasi-residual quasi-symmetric designs

Let $\mathscr{P}$ be a set of elements (points) and $\mathscr{B}$ a family of subsets (blocks) of $\mathscr{P}$. (The same subset may be repeated, i.e., occur more than once as a block.) $(\mathscr{P}, \mathscr{B})$ is a block design with parameters $(v, b, r, k, \lambda)$ if
(i) $|\mathscr{P}|=v,|\mathscr{B}|=b, v>k \geqslant 3$;
(ii) each point lies in $r$ blocks;
(iii) each block contains $k$ points;
(iv) each pair of points occurs in exactly $\lambda$ blocks.

A design is symmetric if $v=b$ or equivalently, $r=k$. It is well-known that symmetric designs are just those designs in which any two blocks intersect in just $\lambda$ points. A design is quasi-symmetric (OS) if the number of points in the intersection of two blocks takes just two values, $x$ and $y(x<y)$. The following known results concerning QS designs can be obtained by counting frequencies of the intersection numbers $x$ and $y$ (see [7] for an alternative proof using linear algebraic methods).

## Proposition 1.

$$
k(r-1)(x+y)=(b-1) x y+k(k-1)(\lambda-1)+k(r-1)
$$

Propusition 2. $y-x \mid k-x$ and $y-x \mid r-\lambda$.
A residual design is a design obtained from a symmetric $(v, k, \lambda)$ design by
deleting a block and all of its points. The parameters of the resulting residual design will then be $(v-k, v-1, k, k-\lambda, \lambda)$. A design is called quasi-residual (QR) if its parameters are of this form. It is easy to see that a design is quasi-residual if and only if $r=k+\lambda$. We note that a QR design is not necessarily embeddable in a symmetric design, however, Hall and Connor ([8] or [9]) have shown that for $\lambda=1,2$, the notions of residual and quasi-residual are equivalent. More generally, Bose, Shrikhande and Singhi [4] have shown that if $k$ is larger than a certain function $g(\lambda)$ of $\lambda$, then a QR design is embeddable in a unique syminetric desigr. We will subsequently utilize the following consequence of $H$ il and Conner's result:

Theorem 3. Let $D$ be an arbitrary design with $\lambda=2$. Then $D$ is residual if and only if $D$ is OS with intersection numbers $(x, y):=(1,2)$.

Hereafter, unless otherwise indicated we consider only quasi-residual, quasisymmetric (QRQS) designs. Specializing Propositions 1 and 2 to these designs we have:

## Proposition 4

$$
k^{2} \lambda^{2}-k \lambda(k+\lambda-1)(x+y)+\left(k^{2}+2 k \lambda+\lambda^{2}-k-2 \lambda\right) x y=0
$$

or equivalently.

$$
(k-x)(k-y) \lambda^{2}-(k-1)(k x+k y-2 x y) \lambda+k(k-1) x y=0 .
$$

Proposition 5. $y-x \mid x$ and $y-x \mid k$.

We consider two questions with regard to QRQS designs:
(1) Given $\lambda$, what are the possible values of $(x, y)$ and the other design parameters?
(2) Given $(x, y)$, what are the possible values of $\lambda$ and the other design parameters?

The following results are helpful in partially answering these questions.

Lemma 6. If $D$ is an arbitrary design with $\lambda=1$, then $D$ is either symmetric or QS with $(x, y)=(0,1)$.

Proof. Since two points lie in exactly one block, two blocks intersect in at most one point. If each pair of blocks intersect in the same number of points then the design is symmetric. Otherwise the design nust be QS with $x=0, y=1$.

The following partial converse results upon substituting $x=0, y=1$ in Proposition!

Lemma 7. If $D$ is QS with $(x, y)=(0,1)$, then $\lambda=1$.
Lemma 8. If $x=1$, then $y=2$ and the design is either the complete design $(5,10,6$, $3,3)$ or a design with parameters of the form $\left.\binom{k+1}{2},\binom{k+2}{2}, k+2, k, 2\right)$.

Proof. By Proposition 5, $y-1 \mid 1$, so $y=2$. By Proposition 4,

$$
(k-1)(k-2) \lambda^{2}-(k-1)(3 k-4) \lambda+2 k(k-1)=0 .
$$

Hence $[\lambda-2][(k-2) \lambda-k]=0$, so $\quad \lambda=2 \quad$ o: $\quad k /(k-2)$. If $\quad \lambda=k /(k-2)=$ $1+2 /(k-2)$, then $k=3, \lambda=3$ or $k=4, \lambda=2$. In the case $\lambda=3$, the parameters are $(5,10,6,3,3)$. This is a complete design and can casily be shown to have the required intersection numbers. If $\lambda=2$, then $r=k+2$ and the parameters are $\left.\binom{k+1}{2},\binom{k+2}{2}, k+2, k, 2\right)$.

We can now answer questions (1) and (2) above for the smallest parameter values.
(i) For $\lambda=1$, every QRQS design has $(x, y)=(0,1)$. The parameters must have the form

$$
\left(k^{2}, k^{2}+k, k+1, k, 1\right)
$$

which is an affine plane $A(2, k)$.
(ii) For $\lambda=2$, we have, by Theorem $3,(x, y)=(1.2)$ and the parameters must be of the form

$$
\left.\binom{k+1}{2},\binom{k+2}{2}, k+2, k, 2\right) .
$$

(iii) For $(x, y):=(0,1)$, we have $\lambda=1$ and parameters as indicated in (i) above.
(iv) For $(x, y)=(1,2)$, Lemma 8 stipulates that either $\lambda=2$ with parameters as indicated in (ii) above or $\lambda=3$ with parameters ( $5,10,6,3,3$ ).

In each of the above cases, there are infinitely many possible parameter sets although not all of these may have solutions. We now show that each of the remaining cases yields only finitely many possible parameter sets.

Lemma 9. In $a(v, b, r, k, \lambda) \mathrm{QR}$ design,
(a) $v=k+k(k-1) / \lambda=\left(k^{2}+\lambda k-k\right) / \lambda$,
(b) $b=v+k+\lambda-1=(k+\lambda)(k+\lambda-1) / \lambda$.

Proof. (a) follows immediately from the basic relation ( $v-1) \lambda=r(k-1)$ and the fact that $r=k+\lambda$ in a QR design.
(b) follows from (a) and the basic relation $b k=v r$.

For QRQS designs in which $x=0$ we obtain the following:
Lemma 10. Let $x=0$. Then for some integer $n \geqslant 2$
(a) $n y=k$,
(b) $y=k \lambda /(k+\lambda-1)$,
(c) $y=\lambda \cdot(\lambda-1) / n$, i.e., $\lambda=y+(y-1) /(n-1)$,
(d) $v=n k$,
(e) $y=k^{2} / v$.

Proof. (a) follows from Proposition 5, and (b) is a consequence of Proposition 4. For (c), note that $k=n k \lambda /(k+\lambda-1)$ by (a) and (b). Hence $k+\lambda-i=n \lambda$ or (using (a) again) $n y=(n-1) \lambda+1$ from which (c) follows. Using the fact that $k-1=$ ( $n-1$ ) $\lambda$ together with Lemma 9 (a), we get $v=k+k(k-1) / \lambda=k+(n-1) k$ and so (d) holds. (e) follows from (a) and (d).

As direct consequences of Lemma 10, we get,

Corollary 11. If $\boldsymbol{x}=0$, then
(a) $n|k, n| \lambda-1$ and $n-1 \mid y-1$,
(b) $\lambda|k-1, k| v$ and $\lambda-y \mid \lambda-1$,
(c) $v \mid k^{2}$.

We let $a$ denote the number of blocks meeting a given block $B_{0}$ in $y$ points. It is not difficult to show that $a$, the frequency of the intersection number $y$, is independent of $B_{0}$. The following proposition occurs upon specializing a result of [10] to QRQS designs:

## Proposition 12

$$
(b-a-1)(x-(\lambda-1))(x-\lambda)+a(y-(\lambda-1))(y-\lambda)=\lambda(\lambda-1)(\lambda-2) .
$$

We derive the following consequence:
Corollary 13. $(x, y)=(\lambda-1, \lambda)$ if and only if $\lambda=1$ or 2 .
Proof. If $\lambda=1$ or 2 , the right hand side of aie equation of Proposition 12 becomes 0 . Since there are not integers between $\lambda-1$ and $\lambda$, each term on the left hand side is non-negative and so must be zero. The converse is straightforward.

Proposition 14. If $y=\lambda \geqslant 3$, then $k=(\lambda-2) x /(\lambda-1-x)$.

Proof. From Proposition 4,

$$
k[(2 t-1) \lambda x-\lambda(\lambda-1)(x+\lambda)]+\lambda(\lambda-2) \lambda x=0,
$$

i.e.,

$$
k_{A}(\lambda x-\lambda(\lambda-1))+\lambda(\lambda-2) \lambda x=0,
$$

i.e.,

$$
k(\lambda-1-x)=(\lambda-2) x
$$

By Corollary 13, $x \neq \lambda-1$, hence the result.
Proposition 15. Suppose $y \neq \lambda$. Then $k=(B \pm D) / 2 A$, where

$$
\begin{aligned}
& A=(\lambda-x)(\lambda-y), \quad B=(\lambda-1)(x+y)-(2 \lambda-1) x y, \\
& C=\lambda(\lambda-2) x y, \quad D^{2}=B^{2}-4 A C .
\end{aligned}
$$

Proof. If $y \neq \lambda$, then $A \neq 0$ and the second equation of Proposition 4, which is $A k^{2}-B k+C=0$ restricts $k$ to the stated values.

Proposition 16. Let $D$ be an arbitrary design with a repeated block. Then $D$ is QS if and only if $D$ is a multiple of a symmetric design.

Proof. Let $D$ be a $(v, b, r, k, \lambda)$ QS design with a repeated block. Each block has exactly a blocks meeting it in $y$ points. But since $D$ has a repeated block, $y=k$. Therefore every block is repeated $a$ times. Thus $D$ is a multiple of a $\left(v . b^{\prime}, r^{\prime}, k\right.$, $\lambda^{\prime}$ ) design with no repeated blocks, where $b / t^{\prime}=r / r^{\prime}=\lambda / \lambda^{\prime}=a+1$. But this means that every pair of blocks in the latter design intersect in $x$ points. Hence this design is symmetric.

The converse is obvious.

Proposition 17. Let $D$ be a QS design with parameters ( $v, b, r, k, \lambda$ ). If $y=k$, then $x=k \lambda / r$.

Proof. We use the notation of the previous proof. It was shown that every pair of blocks in the symmetric ( $v, b^{\prime}, r^{\prime}, k, \lambda^{\prime}$ ) design intersect in $x$ points. Hence $x=\lambda^{\prime}$. But $\lambda^{\prime}=r^{\prime} \lambda / r$ and $r^{\prime}=k$ (by symmetry). Therefore $x=k \lambda / r$.

Proposition 18. If $(x, y) \neq(0,1)$, then $x<\lambda<y^{2}$.
Pronf. If $x=0$, then by Lemma 10 (c),

$$
\lambda \leqslant 2 y-1=y^{2}-(y-1)^{2}<y^{2}
$$

Suppose now $x \neq 0$. Let

$$
\theta=\frac{(k-1)(\lambda-1)+(r-1)}{r-1} \text { and } \mu=\frac{k(r-1)}{b-1}
$$

(i.e., for a given block $B_{0}, \mu$ is the "mean" of the values $\left|B_{0} \cap B\right|$ as $B$ ranges over the remaining blocks). Then Proposition 1 becomes $x+y=x y / \mu+\theta$. Since $x<\mu$,
$x+y<y+\theta$ and hence $x<\theta$. Since $k<r$,

$$
\theta=\frac{(k-1)(\lambda-1)}{r-1}+1<\lambda-1+1=\lambda
$$

and so $x<\lambda$. Note, again using the above equation, that since $y>\mu, x+y>x+\theta$ and thus $y>\theta$. It follows that $(k-y)(\lambda-y)<y(y-1)$. If $y \neq k$, then $\lambda-y<y^{2}-y$ or $\lambda<y^{2}$. If $y=k$, then by Proposition 17,

$$
x=\frac{k \lambda}{k+\lambda}=\frac{y \lambda}{y+\lambda} .
$$

Therefore $\lambda=x y /(y-x) \leqslant x y<y^{2}$, so that in either case, $\lambda<y^{2}$.
Although we shall utilize Proposition 18 as stated, we should also note the following result of Bose, Shrikhande and Singhi [4]: If $k>2 \lambda^{3}-4 \lambda^{2}+4 \lambda-2$ in a QRQS design, then $y \leqslant \lambda$.

We can now complete our answers to questions (1) and (2) above.

Theorem 19. For a fixed value of $\lambda \geqslant 3$, there are onlv, a finite number of QRQS designs.

Proof. By Proposition 18, $x<\lambda$. If $x=0$, then by Lemma 10 (c) and Coroilary 11 , $y$ can take only finitely many values each fixing the value of $n$, and hence by Lemma lof(a) for each such value there is at most one value of $k$ and therefore only one possible set of design parameters. If $x=1$, then by Lemma 8, there is only one design if $\lambda=3$ and none if $\lambda>3$.

The remaining case is $2 \leqslant x \leqslant \lambda-1$. Since $y-x \mid x$, there are finitely many $y$ for each $x$. If $y=\lambda$, then by Proposition 14, there is at most one value of $k$ and therefore only one possible paraneter set. If $y \neq \lambda$, then by Proposition 15, there is, at most one value of $k$ and therefore only one possible parameter set. If $y \neq \lambda$, then by Proposition 15, there are at most two values of $k$, yielding two parameter sets.

Theorem 20. For fixed ( $x, y$ ) where $x=0$ and $y \geqslant 2$, there are only a finite number of ORQS designs.

Proof. By Lemma $10(c)$ and Corsllary 11, $\lambda$ can take only finitely many values, each fixing the value of $n$, and hence by Ler uma 10 (a) for each such value there is at most one value of $k$, giving only one paranieter set.

Theorem 2i. For fixed $x \geqslant 2$ there are finitely many possible values of $y$ and for each such pair ( $x, y$ ) there are only a finite number of QRQS destyis.

Proof. Sii e $y \cdots x \mid x$, there are finitely many $y$ for each $x$. By Proposition 18,
$x<\lambda<y^{2}$. Hence there are finitely many values for $\lambda$. Also, $\lambda \geqslant 3$. If $y=\lambda$, then by Proposition 14 there is at most one value of $k$ and therefore only one possible parameter set. If $y \neq \lambda$, then by Proposition 17, there are at most two values of $k$, yielding two parameter sets.

It should be noted that the results of this section make it possible to construct an algorithm which will generate a comprehensive listing of possible parameter sets for QRQS designs. We have, in fact, generated such a listing with the aid of a computer. Of course for many of these designs, the question of actual existence remains unanswered.

## 2. Projective and affine ciesigns

Let $D=(\mathscr{P}, \mathscr{B})$ be an arbitrary design. An equivalence relation $\|$ on $\mathscr{B}$ is a parallelism if it satisfies the "Euclidean Axiom":
"For all $p \in \mathscr{P}$ and $B \in \mathscr{B}$, there is a unique $C \in \mathscr{B}$ with $p \in C$ and $E \| C$."
A resolvable design is a design which admits a parallelism. We note that in a resolvable design, if $B \| C$, then $B=C$ or $B \cap C=\emptyset$. An affine design is a resolvable design satisfying the following condition:
"There is an integer $y>0$ such that if $B \| C$, then $|B \cap C|=y$."
The following basic result is due to Bose [1].
Theorem 22. If $D$ is a resolvable design, then $D$ is quasiresidual if and only if $D$ is affine.

Our next result characterizes affine designs:
Theorem 23. A design $D$ is affine if and only if $D$ is QRQS with $x=0$.
Proof. Note that if $D$ is affine, then $D$ is QS with $x=0$ and is QR by Theorem 22. For the converse, suppose that $D$ is QRQS witli $x=0$. We define the relation $\|$ on the blocks by:

$$
\text { "B\|C if and only if } B=C \text { or } B \cap C=\emptyset "
$$

Clearly the relation $\|$ is reflexive and symmetric. Suppose $A \| B$ and $B \| C$. If any two of $A, B, C$ are identical, then $A \| C$ trivially, so we may suppose they are all distinct. For contradiction, assume that $A \nmid \because,-$ i.e., $A$ and $C$ intersect in $y$ points. We let $a$ denote the number of blocks intersecting $A$ and $d$ the number of blocks intersecting both $\therefore$ and $B$. By straightforward counting arguments $\cdots$ e have
(i) $a y=k(r-1)$, and
(ii) $d y^{2}=k^{2} \lambda$.

From (i), (ii) and Lemma $10(b)$, it follows that $a=d$. Hence each block which intersects $A$ also intersects $B$ so that $C$ intersects $B$, contradicting $B \| C$. Thus $A \| C$ and $\|$ is an equivalence relation.

To see that the Euclidean Axiom is satisfied, let $p$ be a point, $B$ a block and $\bar{B}$ the equivalence class of $B$. Suppose for all $C \in \bar{B}, p \notin C$. Then for all $C$ such that $p \in C,|B \cap C|=y$. Counting the number of pairs $(q, C)$ where $q \in B \cap C$ and $p \in C$, we have $k \lambda=r y$. Since $a=d$, we have $a y^{2}=k^{2} \lambda$ from (ii) above and thus $a y^{2}=k r y$ or $a y=k r$. This contradicts (i) above and hence for some $C \in \bar{B}$ we have $p \in \mathcal{C}$. This block $C$ is unique since blocks in the same equivalence class are disjoint. Since $\|$ is a parallelism, it follows that $D$ is affinc.

We wish to note that the fact that a QRQS design with $x=0$ is affine can be determined independently from results of Bose and Shrikhande [3]. For if $D$ is OS with $x=0$, then the dual of $D$ is a 2 class partially balanced incomplete block design (PBIB) as defined in [3]. Bose and Shrikhande show that each such PBIB is a special PBIB and it can be determined from this (using Lemma 10) that $D$ is affine. In B se, Bridges and Shrikhande [2] special PBIB's are shown to be equivalent to partial geometric designs satisfying certain conditions.

Let $G F(q)$ be the finite field with $q=p^{r}$ elements and let $V$ be the vector space of dimension $d+1$ over $G F(q)$. A projective geometry $P G(d, q)$ of dimension $d$ over $G F(q)$ is the system of subspaces of $V . P(d, q)$ denotes the block design ohatined from PG $(d, q)$ by taking the 0 -dimensional subspaces as points and the hyperplanes ( $(d-1)$-dimensional subspaces) as blocks. It can be verified that $P(d, q)$ is a symmetric

$$
\left(\frac{q^{d, 1}-1}{q-1}, \frac{q^{d}-1}{q-1}, \frac{q^{d}-1}{q-1}\right) \text { design. }
$$

$P(2 . q)$ has parameters $\left(q^{2}+q+1, q+1,1\right)$ and is called a projective plane or order q. We will allow $P(2, q)$ to denote any design with these parameters, not just those based on $G F(q)$.

An affine (or Euclidean) geometry $A G(d, q)$ is the system of point sets $S-(H \cap S)$ where $S$ ranges over all the subspaces of $P G(d, q)$ and $H$ is a fixed hyperplane. Thus an affine geometry is obtained from a projective geometry by removing a hyperplane and all of its points. $A(d, q)$ denotes the residual of $P(d . q)$ with respect to a block $H$. Thus $A(d, q)$ is a $\left(q^{d}, q^{d-1}, q^{d-2}\right)$ design. It is known that every $A(d, q)$ is an affine design. $A(2, q)$ is called an affine plane of order $q$.

In [5] Dembowski uses the term "projective design" as a synonym for "symmetric design* because these designs are generalizations of designs derived from projective geometries. However, the relationship between a $P(d, q)$ and an $A(d, q)$ is much closer than that between a symmetric design (I)embowski's "projective design") and an affine design. Every $P(d, q)$ has a residuai which is an $A(d, q)^{h}$ 'a symmetric design does not always have an affine residual. The term
"projective design" has fallen into disuse since Dembowski's usage, and this prompts us to revive the term under a different definition. A symmetric ( $v, k, \lambda$ ) design is projective if there exists a nonnegative integer $\lambda^{\prime}$ and a block $B$ such that for every pair of blocks $B_{1}, B_{2}$ different from $B$ and from each other, $B \cap B_{1} \cap B_{2}$ has either $\lambda$ or $\lambda^{\prime}$ points.

If $D=(\mathscr{P}, \mathscr{B})$ is a design and $B$ a block of $D$, we denote by $D^{B}$ the residual of $D$ with respect to $B$ as previously defined. We also define the block-derivate of $D$ with respect to $B$ to be the structure $D_{B}=\left(\mathscr{P}^{\prime}, \Im_{8^{\prime}}\right)$ where

$$
\mathscr{P}^{\prime}=B \quad \text { and } \quad \mathscr{B}^{\prime}=\left\{B^{\prime} \cap B \mid B^{\prime} \in \mathscr{B} \text { and } B^{\prime} \neq B\right\} .
$$

If $D$ is a symmetric $(v, k, \lambda)$ design, then $D_{B}$ is again a design, with parameters ( $k, v-1, k-1, \lambda, \lambda-1$ ). If $p$ is a point of $D$, the point-derivate of $D$ with respect to $p$ is the structure $D_{\mathrm{p}}=\left(\mathscr{P}^{\prime \prime}, \mathscr{B}^{\prime \prime}\right)$ where

$$
\mathscr{P}^{\prime \prime}=\mathscr{P}-\{p\} \quad \text { and } \quad \mathscr{B}^{\prime \prime}=\{B-\{p\} \mid p \in B \text { and } B \in \mathscr{B}\} .
$$

Proposition 24. Let $D$ be a symmetric design. Then $D$ is projective if and only if $D^{B}$ is affine for some block B of $D$.

Proof. Suppose $D$ is projective. Let $C_{1}, C_{2}$ be two blocks of $D^{B}$ corresponding to $B_{1}, B_{2}$ in $D$. If $\left|B \cap B_{1} \cap B_{2}\right|=\lambda$, then $\left|C_{1} \cap C_{2}\right|=0$. If $\left|B \cap B_{1} \cap B_{2}\right|=\lambda^{\prime}$, then $\left|C_{1} \cap C_{2}\right|=\lambda-\lambda^{\prime}$. Hence the residual $D^{B}$ is quasi-symmetric with $x=0, y=$ $\lambda-\lambda^{\prime}$. Conversely, if $D^{B 3}$ is affine, then any triple intersection of $B$ with $B_{1}, B_{2}$ has either $\lambda$ or $\lambda^{\prime}=\lambda-y$ points.

Note that the equation $\lambda^{\prime}=\lambda-y$ enables us to calculate $\lambda^{\prime}$. Using the relationship between the parameters of $D$ and $D^{B}$ together with Lemma $10(\mathrm{~b})$ we get $\lambda^{\prime}=\lambda(\lambda-1) /(k-1)$.
$\ln$ [5], Dembowski shows that the designs $P(d, q)$ are characterized by the fact that we get an affine residual no matter which block is chosen. (This is not true for projective designs in general.)

A $t$-design is a design in which every set of $t$ points is contained in exactly the same number, $\lambda_{t}$, of blocks. The following result is implicit in Dembowski [5]:

Proposition 25. Let $D$ be a symmetric ( $v, k, \lambda$ ) block design with $\}-1>k$. Then $D$ is not a 3-design.

Thus for a nontrivial symmetric design, the number of blocks containing three points assumes at least two values. We now consider the simplest case of the two values, which happens to reduce to the class of designs $P(d, q)$. In a design $D$, a line through two distinct points $p, q$ is the intersection of all blocks containing $p$ and $q$. $D$ is called smooth if any three non-collinear points are contained in the
same number of blocks. Note that any three collinear points must be in $\lambda$ blocks. We call a ( $v, b, r, k, \lambda$ ) design a near-3-design if the number of blocks containing three distinct points takes exactly two values, one of which is $\lambda$.

Proposition 26. Let $D$ be any design with parameters ( $v, b, r, k, \lambda$ ).
Then $D$ is smooth if and only if $D$ is a 3-design or a near-3-design.
Proof. If $D$ is smooth then any 3 collinear points are in $\lambda$ blocks and any 3 non-collinear points are in $\lambda^{\prime}$ blocks. Conversely, if $D$ is a 3-design, $D$ is obviously smooth.

Suppose $D$ is a near-3-design with three distinct points contained in either $\lambda$ or $\lambda^{\prime}$ blocks. Let $p_{1}, p_{2}, p_{3}$ be three distinct points contained in $\lambda$ blocks. A fortiori, each of these $\lambda$ blocks contain $p_{1}, p_{2}$. But in all, there are only $\lambda$ blocks containing $p_{1}, p_{2}$. Hence every block containing $p_{1}, r$ a'so contains $p_{3}$. Therefore $p_{3}$ is on the line through $p_{1}, p_{2}$. Hence any three non-collinear points must be contained in $\lambda^{\prime}$ blocks, i.e., $D$ is smooth.

An intrinsic characterization of the designs $P(d, q)$ is given by Dembowski and Wagner [6]:

Theorem 27. Let $D$ be an arbitrary design. Then $D$ is a $P(d, q)$ if and only if $D$ is symmetric and smooth.

Since $P(d, q)$ is never a 3 -design, we can refine the preceding Theorem as follows:

Theorem 28. $D$ is a $P(d, q)$ if and only if $D$ is a symmetric near-3-design.

Our inal group of theorems develop some results on the structural interrelationships between projective and affine designs. In these theorems, we use $D_{p}^{B *}$ to denote the dual of the derivate of $D^{B}$ with respect to the point $p$ of $D^{B}$. (The dual of a structure is obtained by interchanging "points" and "blocks". This interch.ange is known as an "anti-isomorphism".)

Theorem 29. Let $D$ be a symmetric ( $v, k, \lambda$ ) design with $\lambda>1$ and let $B$ be a block. The fo!lowing are equivalent:
(1) $D^{13}$ is affine.
(2) $D_{B}$ is a multiple of a symmetric design.
(3) $D_{;}^{13 *}$ is a multiple of a symmetric design for every point $p$ of $D^{B}$.

Proof. (1) implies (2) and (3): Since $D^{B}$ is affine, $n=(v-k) /(k-\lambda)=(k-1) / \lambda$ is an integer $\geqslant 2$. Let $\lambda^{\prime}=\lambda(\lambda-1) /(k-1)$.


It can be verified that $D^{R}, D_{B}$ and $D_{p}^{B *}$ are quasi-symmetric designs with parameters and intersection numbers as shown. By Proposition 16, $D_{B}$ is a multiple of a symmetric ( $k, \lambda, \lambda^{\prime}$ ) design $D_{1}$, and $D_{\mathrm{p}}^{\mathrm{B} *}$ is a multiple of a symmetric ( $k, \lambda, \lambda^{\prime}$ ) design $D_{2}$.
(2) implies (1): $D_{B}$ has block size $\lambda$. Since it is a multiple of a symmetric design it is quasi-symmetric with $x=\mu$ (say) and $y=\lambda$. This means that for any pair of blocks $B_{1}, B_{2}$ of $D, B \cap B_{1} \cap B_{2}$ has either $\lambda$ or $\mu$ points. Hence the residual $D^{B}$ is quasi-symmetric with $x=0, y=\lambda-\mu$. Therefore $D^{B}$ is affine.
(3) implies (1): $D_{p}^{B *}$ has block size $\lambda$. Let $C_{1}, C_{2}$ be two blocks of $D_{B}$. Suppose $C_{1} \cap C_{2} \neq \emptyset$. Let $p \in C_{1} \cap C_{2}$. The anti-isomorphism $D_{\mathrm{p}}^{\mathrm{B}} \rightarrow D_{\mathrm{p}}^{\mathrm{B} *}$ maps $C_{1}-\{p\}$, $C_{2}-\{p\}$ into points $p_{1}, p_{2}$ (say). The pair $p_{1}, p_{2}$ is contained in a fixed number of blocks, say $\nu$ (i.e., $\nu$ is independent of the choice of $C_{1}, C_{2}$ ). Hence in $D_{p}^{B}$, $C_{1}-\{p\}, C_{2}-\{p\}$ have $\nu$ points in common. Therefore, in $D^{B},\left|C_{1} \cap C_{2}\right|=\nu+1$.

Since $D^{B}$ is not symmetric, there must be blocks $C_{1}, C_{2}$ which are disjoint. Hence $D^{B}$ is quasi-symmetric with $x=0, y=\nu+1$.

Theorem 30. Let $D$ be a projective $(v, k, \lambda)$ design with $\lambda>1$. Then $n=$ $(v-k) /(k-\lambda)=(k-1) / \lambda$ is an integer $\geqslant 2$. Moreover, there exist a block $B$ and symmetric ( $k, \lambda, \lambda^{\prime}$ ) designs $D_{1}, D_{2}$ such that $D_{B} \simeq n D_{1}$ and $D_{p}^{B *} \simeq(n-1) D_{2}$.

Proof. Since $D$ is projective, $D^{B}$ is affine for some block $B$. Thus the proof is the same as the first part of the proof of the previous theorem.

The next two theorems are specializations of the previous theorem to $P(d, q)$. For $d \geqslant 4$, we have the additional result that $D_{1}$ and $D_{2}$ are isomorphic, and are in fact the unique $P(d-1, q)$.

Theorem 31. Let $D=P(d, q)$ and $E=P(d-1, q)$ where $d \geqslant 4$. Let $B$ be any block of $D$. Then $D^{B} \simeq A(d, q), D_{B} \simeq q \cdot E$ and $D_{p}^{B *} \simeq(q-1) \cdot E$.

Proof. Let the parameters of $D$ be $(v, k, \lambda)$. Then

$$
v=q^{d}+q^{d-1}+\cdots+1, \quad k=q^{d-1}+\cdots+1, \quad \lambda=q^{d-2}+\cdots+1 .
$$

Hence

$$
n=\frac{v-k}{k-\lambda}=\frac{k-1}{\lambda}=q .
$$

We take

$$
\lambda^{\prime}=\frac{\lambda(\lambda-1)}{k-1}=q^{d-3}+\cdots+1, \quad \lambda^{\prime \prime}=\frac{\lambda^{\prime}\left(\lambda^{\prime}-1\right)}{\lambda-1}=q^{d-4}+\cdots+1 .
$$

It is well known that $D^{B} \simeq A(d, q)$. By Theorem $29, D_{B} \simeq q D_{1}$ and $D_{p}^{B *} \simeq$ $(q-1) D_{2}$ where $D_{1}, D_{2}$ are symmetric ( $k, \lambda, \lambda^{\prime}$ ) designs.

It is easily verified that both $D_{1}$ and $D_{2}$ have the parameters of $P(d-1, q)$, so it only remains to show that they are isomorphic to $P(d-1, q)$.

To show $D_{1} \simeq P(d-1, q)$ : Let $K, L, M, N$ be four blocks in $D$.

$$
\begin{aligned}
& \operatorname{dim} K L=\operatorname{dim} M N=d-2 . \\
& \begin{aligned}
\operatorname{dim}(K L+M N)=d-2, d-1 & \text { or } d . \\
\operatorname{dim} K L M N & =\operatorname{dim} K L+\operatorname{dim} M N-\operatorname{dim}(K L+M N) \\
& =d-4, d-3 \text { or } d-2 .
\end{aligned}
\end{aligned}
$$

Hence $|K L M N|=\lambda^{\prime \prime}, \lambda^{\prime}$ or $\lambda$.
Since $D$ is self-dual, any 4 points are in $\lambda^{\prime \prime}, \lambda^{\prime}$ or $\lambda$ blocks. Therefore any 4 peints of $D^{B}$ are in $\lambda^{\prime \prime}, \lambda^{\prime}$ or $\lambda$ blocks. It follows that any 3 points of $D_{p}^{B}$ are in $\lambda^{\prime \prime}$, $\lambda^{\prime}$ or $\lambda$ blocks. Hence any 3 blocks of $D_{p}^{B *}$ intersect in $\lambda^{\prime \prime}, \lambda^{\prime}$, or $\lambda$ points. If $q=2$, $D_{p}^{B *}=D_{1}$, so any 3 blocks can intersect only in $\lambda^{\prime \prime}$ or $\lambda^{\prime}$ points. If $q>2, D_{p}^{B *}$ is a multiple of $D_{1}$ and again any 3 blocks of $D_{1}$ can intersect only in $\lambda^{\prime \prime}$ or $\lambda^{\prime}$ points. Hence in any case, any 3 points of $D_{1}^{*}$ are in $\lambda^{\prime \prime}$ or $\lambda^{\prime}$ blocks. Therefore, $D_{1}^{*}$ is smooth and by the Dembowski-Wagner Theorem is the design $P(d-1, q)$. But this design is self-dual, so $D_{1} \simeq P(d-1, q)$.

To show $D_{2} \simeq P(d-1, q)$ : Any 3 points of $D$ are in $\lambda^{\prime}$ or $\lambda$ blocks (since $D$ is self-dual). Hence any 3 points of $D_{B}$ are in $\lambda^{\prime}-1$ or $\lambda-1$ blocks. Since $D_{B} \simeq q \cdot D_{2}$, any 3 points of $D_{2}$ are in $\left(\lambda^{\prime}-1\right) / q$ or $(\lambda-1) / q$ blocks, i.e., $\lambda^{\prime \prime}$ or $\lambda^{\prime}$ blocks. Hence $D_{2}$ is smooth. Therefore $D_{2}=P(d-1, q)$. $\left.\quad\right]$

Theorem 32. Let $D=P(3, q)$. Let $B$ be any block of $D$. Then $D^{B} \simeq A(3, q)$, $D_{B} \approx q \cdot D_{1}$ and $D_{p}^{B *} \simeq(q-1) \cdot D_{2}$, where $D_{1}, D_{2}$ are projective planes $P(2, q)$.

Proof. The proof is the same as the first part of the proof of the previous theorem. We cannot conclude $D:=D_{2}$ because there may be more than one $P(2, q)$ for a given $q$.

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