

Monomial complete intersections, the weak Lefschetz property and plane partitions

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ABSTRACT

We characterize the monomial complete intersections in three variables satisfying the Weak Lefschetz Property (WLP), as a function of the characteristic of the base field. Our result presents a surprising, and still combinatorially obscure, connection with the enumeration of plane partitions. It turns out that the rational primes p dividing the number, $M(a, b, c)$, of plane partitions contained inside an arbitrary box of given sides a, b, c are precisely those for which a suitable monomial complete intersection (explicitly constructed as a bijective function of a, b, c) fails to have the WLP in characteristic p . We wonder how powerful can be this connection between combinatorial commutative algebra and partition theory. We present a first result in this direction, by deducing, using our algebraic techniques for the WLP, some explicit information on the rational primes dividing $M(a, b, c)$.

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1. Introduction

Let $A = \bigoplus_{d \geq 0} A_d$ be a *standard graded K -algebra*, where K is an infinite field. A can be identified with a quotient of a polynomial ring $K[x_1, \dots, x_r]$ by a homogeneous ideal I , where the standard grading on R (that is, all x_i 's have degree 1) is naturally induced on A . The *Hilbert function* of A is the arithmetic function H defined by $H_A(d) := \dim_K A_d = \dim_K R_d - \dim_K I_d$, for all $d \geq 0$. We suppose here that $A = R/I$ be *artinian*. This has a number of equivalent formulations, including that the Krull dimension of A is zero, that the radical of I is the irrelevant ideal $\underline{m} = (x_1, \dots, x_r)$ of R , or that the Hilbert function of A is eventually zero. This latter condition allows one to naturally identify H_A with the *h -vector* of A , $h_A := (h_0, h_1, \dots, h_e)$. Notice that $h_0 = 1$ and that we may assume, without loss of generality, that $h_e \neq 0$.

The *socle* of A is the annihilator of \underline{m} in A . Hence it is a homogeneous ideal, and we define the *socle-vector* $s_A := (s_0, \dots, s_e)$ to be its Hilbert function. It is easy to see that $s_e = h_e \neq 0$. The integer e is defined as the *socle degree* of A (or of h_A). If the socle is concentrated in degree e , that is, $s_i = 0$ for all $i \leq e - 1$, we say that A is a *level algebra*. If A is level and $s_e = 1$, A is called *Gorenstein*. (One often refers to the h -vector of a level or Gorenstein algebra as a *level* or *Gorenstein h -vector*.) The algebra A is *monomial* if it is presented by monomials (that is, if the ideal I is generated by monomials).

Two well-known and useful facts about Gorenstein algebras are that their h -vectors are symmetric about the middle (i.e., $h_i = h_{e-i}$ for all indices i), and that if the algebras are also monomial and artinian, then they are *complete intersections*. That is, they are of the form $K[x_1, \dots, x_r]/(x_1^{a_1}, \dots, x_r^{a_r})$, for some positive integers a_1, \dots, a_r .

One of the fundamental properties an artinian algebra can enjoy is the *Weak Lefschetz Property* (WLP). This is a very natural property, originally coming from algebraic geometry, which is also of great independent interest in algebra and combinatorics. A is said to have the WLP if there exists a linear form L of R such that, for all indices i , the multiplication map

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$\times L$ between the K -vector spaces A_i and A_{i+1} has maximal rank. That is, $\times L$ is injective if $\dim_K A_i \leq \dim_K A_{i+1}$ and surjective if $\dim_K A_i \geq \dim_K A_{i+1}$ (and therefore bijective if $\dim_K A_i = \dim_K A_{i+1}$). If such an L exists, it is called a *Lefschetz element* of A . The Lefschetz elements of an algebra with the WLP form a non-empty open set in the Zariski topology of $\mathbb{A}^r(K)$, after we naturally identify a linear form with its coefficients.

A currently active and interesting line of research is to understand the behavior of the WLP for algebras over fields of positive characteristic (see [6,17,26]). Several of the initial results in this area have been unexpected or surprising – especially in the light of what happens in characteristic zero – and many problems today are still little understood. One of the main goals of this paper is to make a contribution in this direction.

We restrict to the case of monomial artinian Gorenstein quotients of a polynomial ring in $r = 3$ variables, that is, algebras of the form $A = K[x, y, z]/(x^\alpha, y^\beta, z^\gamma)$. Our main result entirely characterizes the positive integers α, β, γ and p , where p is a prime number, such that A has the WLP in characteristic p . This answers, as the particular case $\alpha = \beta = \gamma$, a question posed by Migliore et al. [17, Question 7.12]. Also, for any such algebra A , the number of primes p for which A fails to have the WLP in characteristic p is finite. In particular, this reproves a special case of a well-known result of Stanley [23], saying that, in characteristic 0, all artinian monomial complete intersections have the WLP. (See also [25,21]. Stanley’s result actually showed much more, namely the *Strong Lefschetz Property* for such algebras.) At least one of the lemmas we prove along the way is also of some independent interest in terms of determinant evaluations.

As a byproduct, our main result yields a surprising connection with partition theory. It turns out that the rational primes p dividing the number of plane partitions contained inside a given $a \times b \times c$ box can be characterized as those prime numbers for which the monomial complete intersection $R = K[x, y, z]/(x^{a+b}, y^{a+c}, z^{b+c})$ fails to have the WLP in $\text{char}(K) = p$. It follows as the special case $a = 1$ that the number, $\binom{b+c}{b}$, of integer partitions contained inside a $b \times c$ rectangle is divisible by p if and only if the algebra $K[x, y, z]/(x^{b+1}, y^{c+1}, z^{b+c})$ fails to have the WLP in $\text{char}(K) = p$. It would be very interesting to understand these facts also combinatorially.

We wonder how powerful this new connection between combinatorial commutative algebra and partition theory can be for either field. We already move a first step in this direction, by deducing, thanks to one of our algebraic techniques for the WLP, some explicit information on the primes occurring in the integer factorization of the number of plane partitions contained inside an arbitrary box.

2. Preliminary results

This section contains the preliminary results needed in the rest of the paper. The first of these is known (as the Desnanot–Jacobi adjoint matrix theorem), and gives us a tool to compute the determinant of a matrix by induction.

Lemma 2.1 ([11], Section 3; [12], Proposition 10). *Let U be an $n \times n$ matrix. Denote by $U_{i_1, i_2, \dots, i_k}^{j_1, j_2, \dots, j_k}$ the submatrix of U in which rows i_1, i_2, \dots, i_k and columns j_1, j_2, \dots, j_k are omitted. We have*

$$\det(U) \cdot \det(U_{1,n}^{1,n}) = \det(U_1^1) \cdot \det(U_n^n) - \det(U_n^1) \cdot \det(U_1^n).$$

We now use Lemma 2.1 to obtain the determinant of a particular matrix in closed form.

Lemma 2.2. *Let the matrix $N = \left(\binom{a+b}{a-i+j} \right)$, where $1 \leq i \leq n+1, 1 \leq j \leq n$. Then, for any integer $k = 1, \dots, n+1$, the determinant of the matrix $N_k = \left(\binom{a+b}{a-i+j} \right)$, where $1 \leq i \leq n+1, 1 \leq j \leq n$ and $i \neq k$, is*

$$\det(N_k) = \prod_{i=1}^{k-1} \frac{(n+1-i)(b+i)}{i(n+a-i)} \prod_{i=1}^n \frac{(a+b+i-1)!(i-1)!}{(a-2+i)!(b+i)!}.$$

(As usual, we set any empty product to equal 1.)

Proof. When $n = 1$, it is clear that $N = \begin{bmatrix} \binom{a+b}{b} \\ \binom{a+b}{b+1} \end{bmatrix}$. If $k = 1$, $\det(N_k) = \binom{a+b}{b+1}$. If $k = 2$, $\det(N_k) = \frac{b+1}{a} \cdot \frac{\binom{a+b}{b}}{\binom{a+b}{b+1}} =$

$\binom{a+b}{b}$.
When $n = 2$,

$$N = \begin{bmatrix} \binom{a+b}{b} & \binom{a+b}{b-1} \\ \binom{a+b}{b+1} & \binom{a+b}{b} \\ \binom{a+b}{b+2} & \binom{a+b}{b+1} \end{bmatrix}, \quad N_1 = \begin{bmatrix} \binom{a+b}{b+1} & \binom{a+b}{b} \\ \binom{a+b}{b+2} & \binom{a+b}{b+1} \end{bmatrix}, \quad N_2 = \begin{bmatrix} \binom{a+b}{b} & \binom{a+b}{b-1} \\ \binom{a+b}{b+1} & \binom{a+b}{b} \\ \binom{a+b}{b+2} & \binom{a+b}{b+1} \end{bmatrix},$$

$$N_3 = \begin{bmatrix} \binom{a+b}{b} & \binom{a+b}{b-1} \\ \binom{a+b}{b+1} & \binom{a+b}{b} \end{bmatrix}.$$

One can easily check that

$$\det(N_1) = \prod_{i=1}^0 \frac{(n+1-i)(b+i)}{i(n+a-i)} \prod_{i=1}^2 \frac{(a+b+i-1)!(i-1)!}{(a-2+i)!(b+i)!},$$

$$\det(N_2) = \prod_{i=1}^1 \frac{(n+1-i)(b+i)}{i(n+a-i)} \prod_{i=1}^2 \frac{(a+b+i-1)!(i-1)!}{(a-2+i)!(b+i)!},$$

$$\det(N_3) = \prod_{i=1}^2 \frac{(n+1-i)(b+i)}{i(n+a-i)} \prod_{i=1}^2 \frac{(a+b+i-1)!(i-1)!}{(a-2+i)!(b+i)!}.$$

We can now assume by induction that the determinant be the desired one for square matrices N_k of size up to $n - 1$, for any given integer $n - 1 \geq 2$. We want to show that the result is true when N_k has size n . We have

$$N_{k,1,n}^{1,n} = \left(\binom{a+b}{b-j+i} \right), \text{ which is an } (n-2) \times (n-2) \text{ matrix with } i \neq k-1;$$

$$N_{k,1}^1 = \left(\binom{a+b}{b-j+i} \right), \text{ which is an } (n-1) \times (n-1) \text{ matrix with } i \neq k-1;$$

$$N_{k,n}^n = \left(\binom{a+b}{b-j+i} \right), \text{ which is an } (n-1) \times (n-1) \text{ matrix with } i \neq k;$$

$$N_{k,1}^n = \left(\binom{a+b}{b+1-j+i} \right), \text{ which is an } (n-1) \times (n-1) \text{ matrix with } i \neq k-1;$$

$$N_{k,n}^1 = \left(\binom{a+b}{b-1-j+i} \right), \text{ which is an } (n-1) \times (n-1) \text{ matrix with } i \neq k.$$

Thus, by induction, $\det(N_{k,1,n}^{1,n}) = \prod_{i=1}^{k-2} \frac{(n-1-i)(b+i)}{i(n-2+a-i)} \prod_{i=1}^{n-2} \frac{(a+b+i-1)!(i-1)!}{(a-2+i)!(b+i)!}$;

$$\det(N_{k,1}^1) = \prod_{i=1}^{k-2} \frac{(n-i)(b+i)}{i(n-1+a-i)} \prod_{i=1}^{n-1} \frac{(a+b+i-1)!(i-1)!}{(a-2+i)!(b+i)!},$$

$$\det(N_{k,n}^n) = \prod_{i=1}^{k-1} \frac{(n-i)(b+i)}{i(n-1+a-i)} \prod_{i=1}^{n-1} \frac{(a+b+i-1)!(i-1)!}{(a-2+i)!(b+i)!},$$

$$\det(N_{k,1}^n) = \prod_{i=1}^{k-2} \frac{(n-i)(b+1+i)}{i(n-2+a-i)} \prod_{i=1}^{n-1} \frac{(a+b+i-1)!(i-1)!}{(a-3+i)!(b+1+i)!},$$

$$\det(N_{k,n}^1) = \prod_{i=1}^{k-1} \frac{(n-i)(b+i-1)}{i(n+a-i)} \prod_{i=1}^{n-1} \frac{(a+b+i-1)!(i-1)!}{(a-1+i)!(b-1+i)!}.$$

A straightforward computation also gives:

$$\frac{\det(N_{k,1}^1) \cdot \det(N_{k,n}^n)}{\det(N_{k,1,n}^{1,n})} = \frac{(b+n)(n+a-1)}{(1-k+n)(a+b+n-1)} \cdot \prod_{i=1}^{k-1} \frac{(n-i)(b+i)}{i(n+a-i)} \cdot \prod_{i=1}^n \frac{(a+b+i-1)!(i-1)!}{(a+i-2)!(b+i)!},$$

$$\frac{\det(N_{k,1}^n) \cdot \det(N_{k,n}^1)}{\det(N_{k,1,n}^{1,n})} = \frac{(-1+a)b}{(1-k+n)(a+b+n-1)} \cdot \prod_{i=1}^{k-1} \frac{(n-i)(b+i)}{i(n+a-i)} \cdot \prod_{i=1}^n \frac{(a+b+i-1)!(i-1)!}{(a-2+i)!(b+i)!}.$$

Therefore,

$$\begin{aligned} \frac{\det(N_{k,1}^1) \cdot \det(N_{k,n}^n)}{\det(N_{k,1,n}^{1,n})} - \frac{\det(N_{k,1}^n) \cdot \det(N_{k,n}^1)}{\det(N_{k,1,n}^{1,n})} &= \frac{n}{1-k+n} \prod_{i=1}^{k-1} \frac{(n-i)(b+i)}{i(n+a-i)} \prod_{i=1}^n \frac{(a+b+i-1)!(i-1)!}{(a+i-2)!(b+i)!} \\ &= \prod_{i=1}^{k-1} \frac{(n+1-i)(b+i)}{i(n+a-i)} \prod_{i=1}^n \frac{(a+b+i-1)!(i-1)!}{(a-2+i)!(b+i)!} = \det(N_k). \end{aligned}$$

Hence, by Lemma 2.1, $\det(N_k) = \prod_{i=1}^{k-1} \frac{(n+1-i)(b+i)}{i(n+a-i)} \prod_{i=1}^n \frac{(a+b+i-1)!(i-1)!}{(a-2+i)!(b+i)!}$. \square

Remark 2.3. Our previous lemma, which is also of independent interest in terms of determinant evaluations, extends C. Krattenthaler’s result on $\det \left(\binom{a+b}{1 \leq i, j \leq n} \right)$ (see [12]). The determinant of the same matrix as Krattenthaler’s had also been evaluated by Roberts [22] with a different method (thanks to Junzo Watanabe for kindly pointing out this reference).

The next lemma contains two very useful algebraic facts. In particular, it allows us to look at a unique map in order to determine whether our algebras A have the WLP. (We state it here in a considerably lesser degree of generality than its original formulation in [17].)

Lemma 2.4 ([17], Propositions 2.1 and 2.2). *Let $A = R/I$ be an artinian monomial complete intersection of socle degree e . Then $L = x + y + z$ is a Lefschetz element of A . Also, A has the WLP if and only if the linear map $\times L : A_{\lfloor \frac{e-1}{2} \rfloor} \rightarrow A_{\lfloor \frac{e+1}{2} \rfloor}$ is injective.*

Let us set $s = \lfloor \frac{e-1}{2} \rfloor$, and hence $s + 1 = \lfloor \frac{e+1}{2} \rfloor$, for the rest of the paper. We call the integer $s + 1$ a *peak* of the h -vector h , given that $h_1 \leq h_2 \leq \dots \leq h_s \leq h_{s+1} \geq h_{s+2} \geq \dots \geq h_{e-1} \geq h_e = 1$ (this chain of inequalities is well known, and is essentially the *unimodality* property for complete intersection h -vectors). We prove next that, in the cases we are concerned with for e even, h has a single peak, i.e., $h_s < h_{s+1} > h_{s+2}$. When e is odd, by the symmetry of Gorenstein h -vectors, h needs to have at least a twin peak, that is, $h_s = h_{s+1}$.

The following lemma tells us that, when it comes to determining when the WLP fails, we only need to be concerned with small values of γ compared to $\alpha + \beta$. Precisely, we have

Lemma 2.5. *Let $A = R/I$, where $R = K[x, y, z]$, $I = (x^\alpha, y^\beta, z^\gamma)$, and $\alpha \leq \beta \leq \gamma$. Suppose that $\gamma > \alpha + \beta - 2$ if e is odd, and $\gamma > \alpha + \beta - 3$ if e is even. Then A has the WLP.*

Proof. It is easy to check that those values of γ correspond to the case $\gamma > s + 1$. Hence A coincides through degree $s + 1$ with the algebra $B = K[x, y, z]/(x^\alpha, y^\beta)$. Since z is clearly a non-zero divisor in B (which has Krull dimension 1), we have that multiplication by a general linear form is an injective map between any two consecutive degrees of B . Hence, it is also injective through degree $s + 1$ in A , which proves that A has the WLP (cf. Lemma 2.4). \square

Remark 2.6. The previous lemma takes care entirely of the case $\alpha = 1$, that is, when our monomial complete intersections are essentially in two variables (after an obvious isomorphism). The stronger fact that *all* algebras in two variables have the WLP in characteristic zero (and even more, the Strong Lefschetz Property) was first shown in [10]. The WLP part of that result was then reproved by Migliore and the second author [18] using tools, including Green’s theorem on hyperplane restrictions, which are independent of the characteristic (that the result was characteristic-free, however, was not mentioned in [18]).

Lemma 2.7. *Let $A = R/I$ be as above, and suppose that $\alpha \leq \beta \leq \gamma \leq \alpha + \beta - 3$. If e is even, then h_A has a single peak at $s + 1 = \frac{\alpha + \beta + \gamma - 3}{2}$, and $h_{s+1} - h_s = 1$.*

Proof. Since for any d , $h_d = \binom{2+d}{d} - \dim_K I_d$, we have

$$h_{s+1} - h_s = \left(\binom{s+3}{2} - \binom{s+2}{2} \right) - (\dim_K I_{s+1} - \dim_K I_s).$$

Hence it suffices to show that $\dim_K I_{s+1} - \dim_K I_s = s + 1$.

The key observation here is that, from the assumption $\alpha \leq \beta \leq \gamma \leq \alpha + \beta - 3$, it easily follows that no monomial in I_{s+1} can be divisible by $x^\alpha y^\beta$ or $x^\alpha z^\gamma$ or $y^\beta z^\gamma$. Thus,

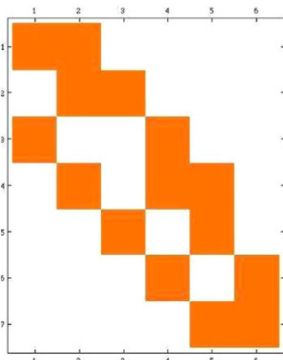
$$\begin{aligned} \dim_K I_{s+1} - \dim_K I_s &= \left(\binom{s+1-\alpha+2}{2} + \binom{s+1-\beta+2}{2} + \binom{s+1-\gamma+2}{2} \right) \\ &\quad - \left(\binom{s-\alpha+2}{2} + \binom{s-\beta+2}{2} + \binom{s-\gamma+2}{2} \right) \\ &= (s-\alpha+2) + (s-\beta+2) + (s-\gamma+2) \\ &= 3s+3 - (\alpha + \beta + \gamma - 3) = 3(s+1) - 2(s+1) = s+1. \quad \square \end{aligned}$$

3. Monomial complete intersections in three variables

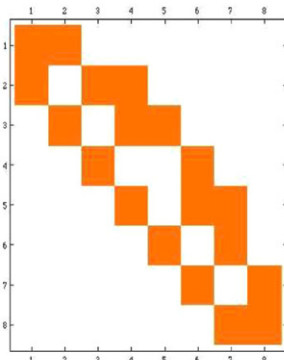
According to Lemma 2.4, determining when the WLP holds for $A = K[x, y, z]/(x^\alpha, y^\beta, z^\gamma)$ is tantamount to determining when the map $\times L : A_s \rightarrow A_{s+1}$ is injective, for $L = x + y + z$. We use linear algebra to study the problem.

First, we illustrate with a few examples the matrix M associated with the map $\times L : A_s \rightarrow A_{s+1}$. Notice that M is a square matrix when h_A has a twin peak (that is, when the socle degree e is odd), and by Lemma 2.7, it is of size $(h_s + 1) \times h_s$ when h_A has a single peak (i.e., for e even). In the following examples the marked boxes inside M are 1’s and the rest of the matrix is 0. They have been generated by means of a Mathematica [16] computer program.²

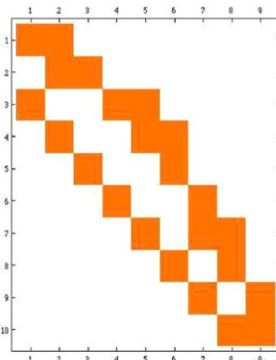
² The program generating the above matrices can be found on the first author’s webpage, at http://www.mathlab.mtu.edu/~jizhou/Commutative_Algebra_Project3.nb.



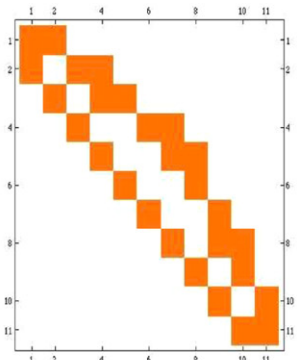
Case $\alpha = 3, \beta = 3, \gamma = 3$



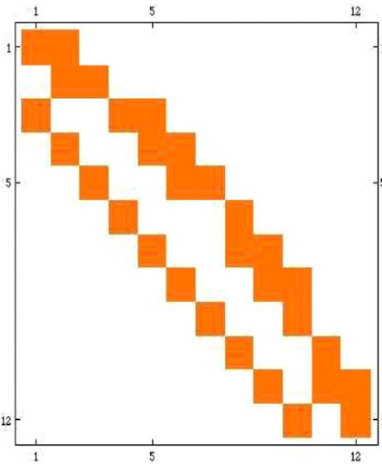
Case $\alpha = 3, \beta = 3, \gamma = 4$



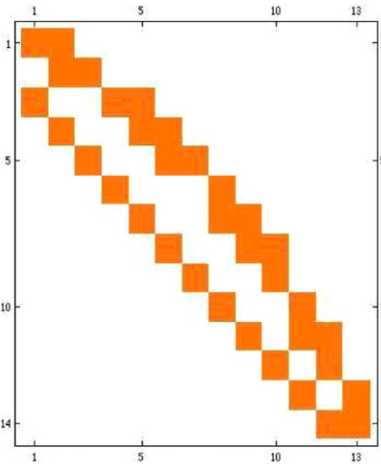
Case $\alpha = 3, \beta = 4, \gamma = 4$



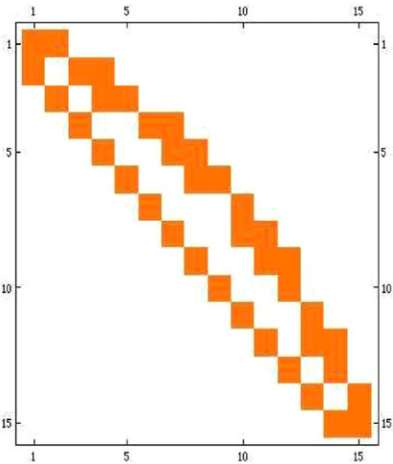
Case $\alpha = 3, \beta = 4, \gamma = 5$



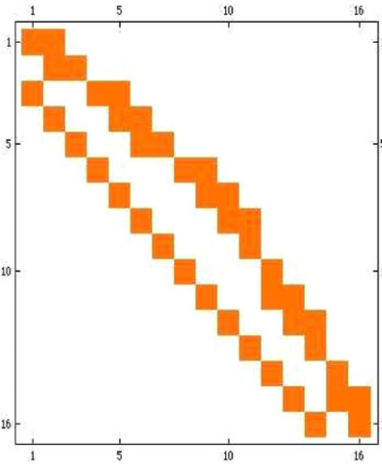
Case $\alpha = 4, \beta = 4, \gamma = 4$



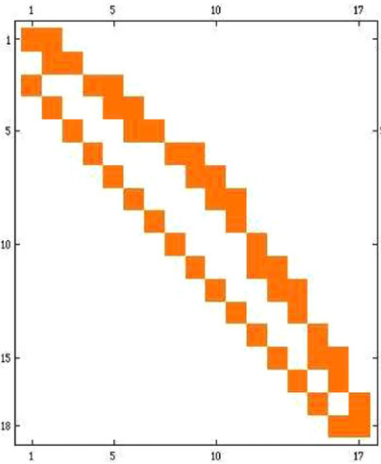
Case $\alpha = 4, \beta = 4, \gamma = 5$



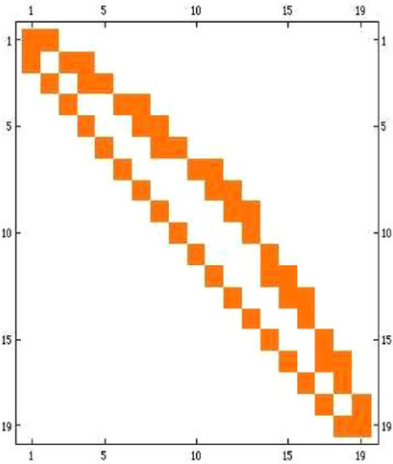
Case $\alpha = 4, \beta = 4, \gamma = 6$



Case $\alpha = 4, \beta = 5, \gamma = 5$



Case $\alpha = 4, \beta = 5, \gamma = 6$



Case $\alpha = 4, \beta = 5, \gamma = 7$

Now let

$$Z_{m \times (m+1)} = \begin{bmatrix} 1 & 1 & 0 & \dots & 0 & 0 & 0 \\ 0 & 1 & 1 & \dots & 0 & 0 & 0 \\ \vdots & \vdots & \ddots & \ddots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \dots & 1 & 1 & 0 \\ 0 & 0 & 0 & \dots & 0 & 1 & 1 \end{bmatrix}_{m \times (m+1)}$$

and

$$Z_{m \times m} = \begin{bmatrix} 1 & 1 & 0 & \cdots & 0 & 0 & 0 \\ 0 & 1 & 1 & \cdots & 0 & 0 & 0 \\ \vdots & \vdots & \ddots & \ddots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & 1 & 1 & 0 \\ 0 & 0 & 0 & \cdots & 0 & 1 & 1 \\ 0 & 0 & 0 & \cdots & 0 & 0 & 1 \end{bmatrix}_{m \times m}.$$

We have the following result:

Proposition 3.1. *If h_A has a twin peak, that is, $\gamma = \alpha + \beta - 2m$ for some integer $m \geq 1$, then the matrix $M_{h_s \times h_s}$ is*

$$\begin{bmatrix} Z_{m \times (m+1)} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} \\ I_{m+1} & Z_{(m+1) \times (m+2)} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} \\ \vdots & \ddots & \ddots & \vdots & \vdots & \vdots & \vdots & \vdots & \cdots & \vdots & \vdots \\ \mathbf{0} & \cdots & I_{\alpha-1} & Z_{(\alpha-1) \times \alpha} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \cdots & \cdots & I_\alpha & Z_{\alpha \times \alpha} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \cdots & \vdots & \vdots \\ \mathbf{0} & \cdots & \cdots & \mathbf{0} & \mathbf{0} & \cdots & Z_{\alpha \times \alpha} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \cdots & \cdots & \mathbf{0} & \mathbf{0} & \cdots & I_\alpha & Z_{(\alpha-1) \times \alpha}^T & \cdots & \mathbf{0} & \mathbf{0} \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ \mathbf{0} & \cdots & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & I_{m+2} & Z_{(m+1) \times (m+2)}^T \\ \mathbf{0} & \cdots & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & I_{m+1} & Z_{m \times (m+1)}^T \end{bmatrix}.$$

If h_A has a single peak, that is, $\gamma = \alpha + \beta - 2m + 1$ for some integer $m > 1$, then $M_{(h_s+1) \times h_s}$ is

$$\begin{bmatrix} Z_{m \times (m+1)} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} \\ I_{m+1} & Z_{(m+1) \times (m+2)} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} \\ \vdots & \ddots & \ddots & \vdots & \vdots & \vdots & \vdots & \vdots & \cdots & \vdots & \vdots \\ \mathbf{0} & \cdots & I_{\alpha-1} & Z_{(\alpha-1) \times \alpha} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \cdots & \cdots & I_\alpha & Z_{\alpha \times \alpha} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \cdots & \vdots & \vdots \\ \mathbf{0} & \cdots & \cdots & \mathbf{0} & \mathbf{0} & \cdots & Z_{\alpha \times \alpha} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \cdots & \cdots & \mathbf{0} & \mathbf{0} & \cdots & I_\alpha & Z_{(\alpha-1) \times \alpha}^T & \cdots & \mathbf{0} & \mathbf{0} \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ \mathbf{0} & \cdots & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \cdots & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & I_{m+1} & Z_{m \times (m+1)}^T \\ \mathbf{0} & \cdots & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & I_m & Z_{(m-1) \times m}^T \end{bmatrix}.$$

(There are $\beta - \alpha$ blocks of $Z_{\alpha \times \alpha}$ in both cases. $\mathbf{0}$ represents a block matrix with all 0's.)

Proof. We arrange the monomial basis of A_s in colexicographical order. This ordering is defined, for monomials of the same degree, by setting $x_1^{a_1} x_2^{a_2} \cdots x_n^{a_n} < x_1^{a'_1} x_2^{a'_2} \cdots x_n^{a'_n}$ if the following relation among base 10 expansions is satisfied: $(a_n a_{n-1} \cdots a_1)_{10} < (a'_n a'_{n-1} \cdots a'_1)_{10}$. For instance, the colexicographical order on the degree 3 monomials of $K[x_1, x_2, x_3]$ gives $x_3^3 < x_2^2 x_3 < x_1 x_2^2 < x_1^2 x_3 < x_1^2 x_2 < x_1 x_2 x_3 < x_2^2 x_3 < x_1 x_2^2 < x_2 x_3^2 < x_3^3$.

We let $\{f_{s,1}, f_{s,2}, \dots, f_{s,h_s}\}$ be the monomial basis of A_s , arranged in colexicographical order. If $f \in A_s$ then $f = \alpha_1 f_{s,1} + \alpha_2 f_{s,2} + \cdots + \alpha_{h_s} f_{s,h_s}$. By applying the linear operator $\times L$ on f , we get

$$L \cdot f = (x + y + z) \cdot f = \beta_1 f_{s+1,1} + \beta_2 f_{s+1,2} + \cdots + \beta_{h_s+1} f_{s+1,h_s+1} \in A_{s+1},$$

where $\{f_{s+1,1}, f_{s+1,2}, \dots, f_{s+1,h_s+1}\}$ is the monomial basis of A_{s+1} in colexicographical order, and $\beta_i = \alpha_j + \alpha_k + \alpha_{k+1}$. Notice that if $x^a y^b z^c \in A_{s+1}$, then $x^{a-1} y^b z^c, x^a y^{b-1} z^c, x^a y^b z^{c-1} \in A_s$, which implies that $x^{a-1} y^b z^c, x^a y^{b-1} z^c$ are next to each other according to our ordering. Hence, the difference of the subscripts of the coefficients is 1. It is easy to see that

$$M \cdot \begin{bmatrix} \alpha_1 \\ \alpha_2 \\ \vdots \\ \alpha_{h_s} \end{bmatrix} = \begin{bmatrix} \beta_1 \\ \beta_2 \\ \vdots \\ \beta_{h_s+1} \end{bmatrix}.$$

If we now expand the product $(x + y + z) \cdot f$ in terms of x, y, z , after a standard but tedious computation we obtain that the matrix M has the desired form. \square

Define, for any integers $a, b, c \geq 1$,

$$M(a, b, c) := \prod_{i=1}^a \prod_{j=1}^b \prod_{k=1}^c \frac{i+j+k-1}{i+j+k-2} = \prod_{i=1}^a \frac{(b+c+i-1)!(i-1)!}{(b+i-1)!(c+i-1)!},$$

and for α, β, γ as in our assumptions and such that $\alpha + \beta + \gamma$ is odd,

$$\mathcal{H}(k) := \prod_{i=1}^{k-1} \frac{\binom{\frac{\alpha+\beta-\gamma+1}{2}-i}{i} \binom{\frac{-\alpha+\beta+\gamma-1}{2}+i}{i}}{i(\alpha-i)} \cdot M\left(\frac{\alpha+\beta-\gamma-1}{2}, \frac{\alpha-\beta+\gamma-1}{2}, \frac{-\alpha+\beta+\gamma+1}{2}\right).$$

We are now ready for the main result of this paper, where we characterize the artinian monomial complete intersections in 3 variables having the WLP in characteristic p .

Theorem 3.2. *Let $A = R/I$, where $R = K[x, y, z]$, $I = (x^\alpha, y^\beta, z^\gamma)$, and $\text{char}(K) = p$.*

(1) *If e is odd (that is, $\alpha + \beta + \gamma$ is even), then A fails to have the WLP if and only if*

$$p \mid M\left(\frac{\alpha+\beta-\gamma}{2}, \frac{\alpha-\beta+\gamma}{2}, \frac{-\alpha+\beta+\gamma}{2}\right).$$

(2) *If e is even (that is, $\alpha + \beta + \gamma$ is odd), then A fails to have the WLP if and only if, for all integers $1 \leq k \leq \frac{\alpha+\beta-\gamma+1}{4}$,*

$$p \mid \mathcal{H}(k).$$

Proof. Recall that we denote by M the matrix associated with the map $\times L : A_s \rightarrow A_{s+1}$, where $L = x + y + z$. Our strategy consists of computing the absolute value of the determinant of M when M is a square matrix, and all the maximal minors of M when M is not a square matrix. It follows from the above observations that A fails to have the WLP in characteristic p if and only if p is a prime factor of the determinant of M or of all the maximal minors of M . For simplicity we keep the notation $\det(T)$ when we actually mean the absolute value of $\det(T)$.

(1) Let $\gamma = \alpha + \beta - 2m$, for some $m \geq 1$. Set

$$U = \begin{bmatrix} I_{m+1} & Z_{(m+1) \times (m+2)} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} \\ \vdots & \ddots & \ddots & \vdots & \vdots & \vdots & \vdots & \vdots & \cdots & \vdots \\ \mathbf{0} & \cdots & I_{\alpha-1} & Z_{(\alpha-1) \times \alpha} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} \\ \mathbf{0} & \cdots & \cdots & I_\alpha & Z_{\alpha \times \alpha} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \cdots & \vdots \\ \mathbf{0} & \cdots & \cdots & \mathbf{0} & \mathbf{0} & \cdots & Z_{\alpha \times \alpha} & \mathbf{0} & \cdots & \mathbf{0} \\ \mathbf{0} & \cdots & \cdots & \mathbf{0} & \mathbf{0} & \cdots & I_\alpha & Z_{(\alpha-1) \times \alpha}^T & \cdots & \mathbf{0} \\ \vdots & \cdots & \cdots & \vdots & \vdots & \vdots & \vdots & \vdots & \cdots & \vdots \\ \mathbf{0} & \cdots & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & I_{m+2} & Z_{(m+1) \times (m+2)}^T \\ \mathbf{0} & \cdots & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & I_{m+1} \end{bmatrix},$$

$$V = \begin{bmatrix} \mathbf{0} \\ \vdots \\ \mathbf{0} \\ \mathbf{0} \\ \vdots \\ \mathbf{0} \\ \mathbf{0} \\ \vdots \\ \mathbf{0} \\ Z_{m \times (m+1)}^T \end{bmatrix}, \quad W = [Z_{m \times (m+1)} \quad \mathbf{0} \quad \cdots \quad \mathbf{0} \quad \mathbf{0} \quad \cdots \quad \mathbf{0} \quad \mathbf{0} \quad \cdots \quad \mathbf{0}], \quad X = [\mathbf{0}].$$

Thus, $M = \begin{bmatrix} W & X \\ U & V \end{bmatrix}$. Evaluating $\det(M)$ up to sign is equivalent to evaluating the determinant of $M' = \begin{bmatrix} U & V \\ W & X \end{bmatrix}$. We have $\det(M') = \det(U) \det(WU^{-1}V) = \det(WU^{-1}V)$. It is a standard task to check that U^{-1} is the matrix

$$\begin{bmatrix} I_{m+1} & -Z_{(m+1) \times (m+2)} & \cdots & (-1)^n Z_{(m+1) \times (m+2)} Z_{(m+2) \times (m+3)} \cdots Z_{\alpha \times \alpha} \cdots Z_{\alpha \times \alpha} \cdots Z_{(m+2) \times (m+3)}^T Z_{(m+1) \times (m+2)}^T \\ \mathbf{0} & I_{m+2} & \cdots & (-1)^{n-1} Z_{(m+2) \times (m+3)} \cdots Z_{\alpha \times \alpha} \cdots Z_{\alpha \times \alpha} \cdots Z_{(m+2) \times (m+3)}^T Z_{(m+1) \times (m+2)}^T \\ \vdots & \vdots & \ddots & \vdots \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & -Z_{(m+1) \times (m+2)}^T \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & I_{m+1} \end{bmatrix}.$$

Thus, $WU^{-1}V = (-1)^n Z_{m \times (m+1)} Z_{(m+1) \times (m+2)} \cdots Z_{\alpha \times \alpha} \cdots Z_{\alpha \times \alpha} \cdots Z_{(m+1) \times (m+2)}^T Z_{m \times (m+1)}^T$. Using the properties of the Pascal triangle, one can easily verify that

$$\begin{aligned} & Z_{m \times (m+1)} Z_{(m+1) \times (m+2)} \cdots Z_{\alpha \times \alpha} \cdots Z_{\alpha \times \alpha} \cdots Z_{(m+1) \times (m+2)}^T Z_{m \times (m+1)}^T \\ &= \begin{bmatrix} \binom{\alpha + \beta - 2m}{\beta - m} & \binom{\alpha + \beta - 2m}{\beta - m - 1} & \cdots & \binom{\alpha + \beta - 2m}{\beta - 2m + 1} \\ \binom{\alpha + \beta - 2m}{\beta - m + 1} & \binom{\alpha + \beta - 2m}{\beta - m} & \cdots & \binom{\alpha + \beta - 2m}{\beta - 2m + 2} \\ \vdots & \vdots & \ddots & \vdots \\ \binom{\alpha + \beta - 2m}{\beta - 1} & \binom{\alpha + \beta - 2m}{\beta - 2} & \cdots & \binom{\alpha + \beta - 2m}{\beta - m} \end{bmatrix}. \end{aligned}$$

By Krattenthaler’s result ([12], 2.17), we have

$$\det \binom{\alpha + \beta - 2m}{\beta - m + i - j}_{1 \leq i, j \leq m} = M \left(\frac{\alpha + \beta - \gamma}{2}, \frac{\alpha - \beta + \gamma}{2}, \frac{-\alpha + \beta + \gamma}{2} \right).$$

Hence the absolute value of determinant of M is $M \left(\frac{\alpha + \beta - \gamma}{2}, \frac{\alpha - \beta + \gamma}{2}, \frac{-\alpha + \beta + \gamma}{2} \right)$, as desired.

(2) We now consider when M is a non-square matrix. In this case, we set $\gamma = \alpha + \beta - 2m + 1$, for some $m > 1$.

By Lemma 2.7, M is an $(h_s + 1) \times h_s$ matrix. Thus, its maximal minors are the matrices obtained by omitting one of the rows. Denote by M_k the maximal minor obtained by omitting the k th row. We define U to be

$$\begin{bmatrix} I_{m+1} & Z_{(m+1) \times (m+2)} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} \\ \vdots & \ddots & \ddots & \vdots & \vdots & \vdots & \vdots & \vdots & \cdots & \vdots & \vdots \\ \mathbf{0} & \cdots & I_{\alpha-1} & Z_{(\alpha-1) \times \alpha} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \cdots & \cdots & I_{\alpha} & Z_{\alpha \times \alpha} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \cdots & \vdots & \vdots \\ \mathbf{0} & \cdots & \cdots & \mathbf{0} & \mathbf{0} & \cdots & Z_{\alpha \times \alpha} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \cdots & \cdots & \mathbf{0} & \mathbf{0} & \cdots & I_{\alpha} & Z_{(\alpha-1) \times \alpha}^T & \cdots & \mathbf{0} & \mathbf{0} \\ \vdots & \cdots & \cdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ \mathbf{0} & \cdots & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & I_{m+2} & Z_{(m+1) \times (m+2)}^T \\ \mathbf{0} & \cdots & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \cdots & I_{m+1} \\ \mathbf{0} & \cdots & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \cdots & \mathbf{0} \\ & & & & & & & & & & Z_{m \times (m+1)}^T \\ & & & & & & & & & & I_m \end{bmatrix},$$

$$V = \begin{bmatrix} \mathbf{0} \\ \vdots \\ \mathbf{0} \\ Z_{(m-1) \times m}^T \end{bmatrix}, \quad W = [Z_{m \times (m+1)} \quad \mathbf{0} \quad \cdots \quad \mathbf{0} \quad \mathbf{0} \quad \cdots \quad \mathbf{0} \quad \mathbf{0} \quad \cdots \quad \mathbf{0} \quad \mathbf{0}], \quad X = [\mathbf{0}].$$

Hence $M = \begin{bmatrix} W & X \\ U & V \end{bmatrix}$. If $1 \leq k \leq m = \frac{\alpha + \beta - \gamma + 1}{2}$, we need to omit the k th row of W and X , in order to omit the k th row of M . Let W_k and X_k be the matrices W and X without their k th row. Therefore, $M_k = \begin{bmatrix} W_k & X_k \\ U & V \end{bmatrix}$. Obtaining $\det(M_k)$ is equivalent to evaluating the determinant of $\begin{bmatrix} U & V \\ W_k & X_k \end{bmatrix}$. Notice that $\det \begin{bmatrix} U & V \\ W_k & X_k \end{bmatrix} = \det(W_k U^{-1} V)$.

Hence, we can use the same approach as in part (1) in order to obtain the determinant of the matrix $W_k U^{-1} V$. Entirely similar computations show that this matrix is

$$W_k U^{-1} V = \left(\binom{\alpha + \beta - 2m + 1}{\beta - m + i - j} \right), \quad \text{where } 1 \leq i \leq m, \quad 1 \leq j \leq m - 1, \text{ and } i \neq k.$$

By Lemma 2.2, for $k = 1, 2, \dots, \frac{\alpha+\beta-\gamma+1}{2} = m$, we have

$$\det(W_k U^{-1} V) = \prod_{i=1}^{k-1} \frac{\binom{\frac{\alpha+\beta-\gamma+1}{2} - i}{i(\alpha - i)} \binom{\frac{-\alpha+\beta+\gamma-1}{2} + i}{i(\alpha - i)}}{i(\alpha - i)} \cdot M \left(\frac{\alpha + \beta - \gamma - 1}{2}, \frac{\alpha - \beta + \gamma - 1}{2}, \frac{-\alpha + \beta + \gamma + 1}{2} \right) = \det(M_k) = \mathcal{H}(k).$$

Notice that omitting the k th row, by symmetry, gives the same determinant (up to sign) that we obtain by omitting the $(\frac{\alpha+\beta-\gamma+1}{2} + 1 - k)$ th row.

However, we cannot apply the same method for $k > m$, because in this case we would end up omitting a row from both matrices U and V and affecting the entire structure of M_k . We use a different approach to evaluate the determinant of M_k . Instead of deleting a row, we add an extra column S with 1 as the k th entry. The rest are all zeros. Now the new matrix M'' is

$$\begin{bmatrix} Z_{m \times (m+1)} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ I_{m+1} & Z_{(m+1) \times (m+2)} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \vdots & \ddots & \ddots & \vdots & \vdots & \vdots & \vdots & \vdots & \cdots & \vdots & \vdots & S \\ \mathbf{0} & \cdots & I_{\alpha-1} & Z_{(\alpha-1) \times \alpha} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \cdots & \cdots & I_\alpha & Z_{\alpha \times \alpha} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \cdots & \vdots & \vdots & \vdots \\ \mathbf{0} & \cdots & \cdots & \mathbf{0} & \mathbf{0} & \cdots & Z_{\alpha \times \alpha} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \cdots & \cdots & \mathbf{0} & \mathbf{0} & \cdots & I_\alpha & Z_{(\alpha-1) \times \alpha}^T & \cdots & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \vdots & \cdots & \cdots & \vdots & \vdots & \vdots & \vdots & \vdots & \cdots & \vdots & \vdots & \vdots \\ \mathbf{0} & \cdots & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \ddots & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \cdots & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & I_{m+1} & Z_{m \times (m+1)}^T & \mathbf{0} \\ \mathbf{0} & \cdots & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{0} & \cdots & \cdots & I_m & Z_{(m-1) \times m}^T \end{bmatrix},$$

with

$$S = \begin{bmatrix} 0 \\ \vdots \\ 0 \\ 1 \\ 0 \\ \vdots \\ 0 \end{bmatrix}.$$

Note that M'' is a square matrix. Evaluating $\det(M_k)$ is equivalent to evaluating $\det(M'')$. We again consider M'' as four blocks, where now

$$V = \begin{bmatrix} \mathbf{0} & \mathbf{0} \\ \vdots & S \\ \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} \\ \vdots & \vdots \\ \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} \\ \vdots & \vdots \\ \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} \\ Z_{(m-1) \times m}^T & \mathbf{0} \end{bmatrix},$$

and the other three blocks are the same as before. Therefore we only need to evaluate the determinant of $WU^{-1}V$. Employing the same method, after a series of standard computations we get

$$\det(M_k) = \det(WU^{-1}V) = \sum_{k=1}^{\frac{\alpha+\beta-\gamma+1}{2}} (-1)^k \cdot \binom{s}{j-k} \cdot \mathcal{H}(k)$$

for

$$\left\{ \begin{array}{l} 1 \leq s \leq \frac{\alpha - \beta + \gamma - 1}{2} \quad \text{and} \quad 1 \leq j \leq \frac{\alpha + \beta - \gamma + 1}{2} + s \\ \frac{\alpha - \beta + \gamma + 1}{2} \leq s \leq \frac{-\alpha + \beta + \gamma - 1}{2} \quad \text{and} \quad 1 \leq j \leq \alpha, \end{array} \right.$$

and

$$p \mid \sum_{k=1}^{\frac{\alpha+\beta-\gamma+1}{2}} (-1)^k \cdot \binom{s}{\frac{-\alpha+\beta+\gamma-1}{2} + k - j} \cdot \mathcal{H}(k)$$

for all $\frac{-\alpha+\beta+\gamma+1}{2} \leq s \leq \gamma - 1$ such that $1 \leq j \leq \frac{\alpha+\beta+\gamma-1}{2} - s$, and for all $1 \leq k \leq \frac{\alpha+\beta-\gamma+1}{2}$.

Notice that, if $p \mid \mathcal{H}(k)$, then obviously, for all $1 \leq k \leq \frac{\alpha+\beta-\gamma+1}{2}$,

$$p \mid \sum_{k=1}^{\frac{\alpha+\beta-\gamma+1}{2}} (-1)^k \cdot \binom{s}{j - k} \cdot \mathcal{H}(k)$$

and

$$p \mid \sum_{k=1}^{\frac{\alpha+\beta-\gamma+1}{2}} (-1)^k \cdot \binom{s}{\frac{-\alpha+\beta+\gamma-1}{2} + k - j} \cdot \mathcal{H}(k).$$

Therefore p only needs to satisfy the condition $p \mid \mathcal{H}(k)$ for all $1 \leq k \leq \frac{\alpha+\beta-\gamma+1}{4}$. This completes the proof of the theorem. \square

Remark 3.3. In a different but similar effort to ours, Hara and Watanabe [9] recently computed the determinants of the incidence matrices between graded components of the Boolean lattice on an r -set. This was equivalent, because of considerations analogous to those we have made above, to determining in which characteristics the complete intersections $K[x_1, \dots, x_r]/(x_1^2, \dots, x_r^2)$ have the Strong Lefschetz Property.

Example 3.4. Let $A = K[x, y, z]/(x^{b+1}, y^{b+1}, z^{2b})$, where b is any positive integer. Hence the socle degree of A is $4b - 1$, and by Theorem 3.2, A fails to have the WLP in characteristic p if and only if p divides $M(1, b, b) = \binom{2b}{b}$.

Notice that $2 \mid \binom{2b}{b}$ for all integers $b \geq 1$. For instance, this can be easily seen by observing that the involution on the class of b -subsets of a given $2b$ -set, defined by taking the complementary of each set, has no fixed points. Thus, for any $b \geq 1$, A fails to have the WLP in $\text{char}(K) = 2$.

Remark 3.5. Notice that, over the integers, the matrix M of Theorem 3.2 has a non-zero determinant when it is a square matrix. Similarly, when it is non-square, it is easy to see that not all its maximal minors M_k can have a zero determinant (for instance, M_1). This reproves that all artinian monomial complete intersections in three variables have the WLP over a field of characteristic zero, which is a (very) special case of a well-known result of Stanley [23] (see also [25], and for the first proof using only commutative algebra methods, Reid et al. [21]).

Theorem 3.2 also answers, as the particular case $\alpha = \beta = \gamma$, a question raised by Migliore, Mirò-Roig and Nagel ([17], Question 7.12). Define, for any given $d \geq 3$ odd, a function \mathcal{F} as

$$\mathcal{F}(k) := \prod_{i=1}^{k-1} \frac{\binom{\frac{d+1}{2} - i}{2} \cdot \binom{\frac{d-1}{2} + i}{2}}{i(d-i)} \cdot M\left(\frac{d-1}{2}, \frac{d-1}{2}, \frac{d+1}{2}\right).$$

Corollary 3.6. Let $A = R/I$, where $R = K[x, y, z]$, $I = (x^d, y^d, z^d)$, and $\text{char}(K) = p$. Then A fails to have the WLP if and only if $p \mid M\left(\frac{d}{2}, \frac{d}{2}, \frac{d}{2}\right)$ if d is even, and $p \mid \mathcal{F}(k)$ for all integers $1 \leq k \leq \frac{d+1}{4}$ if d is odd.

Proof. This follows immediately from Theorem 3.2, by setting $\alpha = \beta = \gamma = d$. \square

In general, even though Theorem 3.2 has established necessary and sufficient conditions in order for an algebra to fail the WLP, obviously determining explicitly such algebras is extremely difficult computationally. Indeed, this problem is equivalent to that of determining the primes in the integer factorization of the determinants of Theorem 3.2. However, we can prove with a simple algebraic argument the following explicit bounds in any characteristic p :

Proposition 3.7. Let $A = K[x, y, z]/(x^\alpha, y^\beta, z^\gamma)$, where $\alpha \leq \beta \leq \gamma$. Then, for any prime number p such that

$$\gamma \leq p^n \leq \left\lfloor \frac{\alpha + \beta + \gamma - 3}{2} \right\rfloor$$

for some positive integer n , A fails to have the WLP in $\text{char}(K) = p$.

Proof. Recall that the peak of h_A is in degree $s + 1 = \left\lfloor \frac{\alpha + \beta + \gamma - 3}{2} \right\rfloor$. It is a nice (combinatorial) exercise to check that $p \mid \binom{p^n}{k}$ for all integers $k = 1, 2, \dots, p^n - 1$ (see [3]). Thus, from the assumption $\alpha \leq \beta \leq \gamma \leq p^n$, we have that

$$(x + y + z) \cdot (x + y + z)^{p^n - 1} = (x + y + z)^{p^n} = 0$$

in A . Therefore, since $L = x + y + z \neq 0$ in A , by induction on the degree we easily get that the map $\times L : A_{p^n - 1} \rightarrow A_{p^n}$ is not injective. Hence A fails to have the WLP. \square

Example 3.8. Consider again the special case $\alpha = \beta = \gamma = d$. The bounds of Proposition 3.7 become

$$d \leq p^n \leq \left\lfloor \frac{3d - 3}{2} \right\rfloor,$$

for some integer $n \geq 1$. It is easy to see that this already proves that at least one third of all algebras $A = K[x, y, z]/(x^d, y^d, z^d)$ fail to have the WLP in a given $\text{char}(K) = p$.

We propose the following conjecture in characteristic 2.

Conjecture 3.9. The algebra $K[x, y, z]/(x^d, y^d, z^d)$ has the WLP in $\text{char}(K) = 2$ if and only if $d = \left\lfloor \frac{2^n + 1}{3} \right\rfloor$ for some positive integer n .

Notice, for instance, that $d = \left\lfloor \frac{2^n + 1}{3} \right\rfloor$ is odd for all $n \geq 1$, and therefore it follows from our conjecture that $K[x, y, z]/(x^d, y^d, z^d)$ has the WLP for all d even. It would be very interesting to find a combinatorial proof of our conjecture, especially in the light of the connection we present in the next section between the WLP and the enumeration of plane partitions.

4. The connection with plane partitions

A plane partition of a positive integer n is a finite two-dimensional array $A = (a_{i,j})$ of positive integers, non-increasing from left to right and top to bottom, that add up to n . That is, $a_{i,j} \geq a_{i,j+1} \geq a_{i+1,j} \geq 1$ for all i and j , and $\sum_{i,j} a_{i,j} = n$. (For details on this fascinating topic, see for instance [1].)

We say that a plane partition $A = (a_{i,j})$ is contained inside an $a \times b \times c$ box, if $1 \leq i \leq a$, $1 \leq j \leq b$, and $a_{i,j} \leq c$ for all i and j . MacMahon determined the number of plane partitions contained inside an $a \times b \times c$ box (see [14,15,20]; for the first combinatorial proof of this result, see [13]). In fact, surprisingly, he proved that the number is $M(a, b, c)$, the very same $M(a, b, c)$ we met in the previous section when determining the WLP for our monomial complete intersections.

Remark 4.1. A similar relationship, in that case involving certain classes of monomial almost complete intersections, has been discovered (independently but earlier) also by Cook and Nagel [6]. (Their paper actually mentions other combinatorial objects, lozenges, which are known to be in bijection with plane partitions; see [7,8].)

Since $M\left(\frac{\alpha + \beta - \gamma}{2}, \frac{\alpha - \beta + \gamma}{2}, \frac{-\alpha + \beta + \gamma}{2}\right)$ enumerates, by MacMahon's result, the plane partitions contained inside an $\frac{\alpha + \beta - \gamma}{2} \times \frac{\alpha - \beta + \gamma}{2} \times \frac{-\alpha + \beta + \gamma}{2}$ box, from Theorem 3.2 we have

Theorem 4.2. For any given positive integers a, b, c , the number of plane partitions contained inside an $a \times b \times c$ box is divisible by a rational prime p if and only if the algebra $K[x, y, z]/(x^{a+b}, y^{a+c}, z^{b+c})$ fails to have the WLP when $\text{char}(K) = p$.

Proof. This is immediate from Theorem 3.2. \square

The case $a = 1$ corresponds to that of integer partitions (among the several possible choices, for an introduction, a survey of the main results and techniques, or the philosophy behind this remarkably broad field, see [1,2,20,24]). Thus, from Theorem 4.2 we immediately have

Corollary 4.3. For any given positive integers b and c , the number of integer partitions, $M(1, b, c) = \binom{b+c}{b}$, contained inside a $b \times c$ rectangle is divisible by a rational prime p if and only if the algebra $K[x, y, z]/(x^{b+1}, y^{c+1}, z^{b+c})$ fails to have the WLP when $\text{char}(K) = p$.

It seems reasonable to believe that such a nice connection between combinatorial commutative algebra and partition theory must have some deep combinatorial explanation. However, this is unclear to us at the moment. Notice that our bijection is entirely different from the more natural one given by associating, to each monomial artinian ideal I in three

variables, the plane partition whose solid Young diagram is the staircase diagram of (the order ideal of monomials outside of) I (see [19] for details).

We wonder how powerful the connection between monomial complete intersections and plane partitions given by Theorem 4.2 could be for either field. One of our algebraic techniques used in studying the WLP allows us to move a first step in this direction, by providing a highly non-trivial result on the function enumerating plane partitions. Namely, we are able to deduce some explicit information on the possible primes occurring in the integer factorization of the number of plane partitions contained inside an arbitrary box. We have

Theorem 4.4.

(1) Fix three positive integers $a \leq b \leq c - 1$. Then, for any prime number p such that

$$b + c \leq p^n \leq a + b + c - 1$$

for some integer $n \geq 1$, we have

$$p \mid M(a, b, c).$$

(2) Fix two positive integers $a \leq b$. Then, for any prime number p such that

$$2b \leq p^n \leq a + 2b - 2$$

for some integer $n \geq 1$, we have

$$p \mid M(a, b, b).$$

Proof. (1) Set $\alpha = a + b + 1$, $\beta = a + c$ and $\gamma = b + c$. The result easily follows from Theorem 3.2(2) (considering $\mathcal{H}(1)$), and Proposition 3.7.

(2) Now set $\alpha = a + b$, $\beta = a + c$ and $\gamma = b + c$. The result follows from Theorem 3.2(1), and Proposition 3.7. \square

Example 4.5. Let $a = b = 50$. Then Theorem 4.4 gives that the number, $M(50, 50, 50)$, of plane partitions contained inside a $50 \times 50 \times 50$ box is divisible by any rational prime p such that $100 \leq p^n \leq 148$ for some $n \geq 1$. These values of p are

2, 5, 11, 101, 103, 107, 109, 113, 127, 131, 137, 139.

Note added on August 11, 2010. After our work was submitted, Brenner and Kaid wrote paper [4] providing, by means of a nice geometric argument, a more explicit characterization, in the special case $\alpha = \beta = \gamma = d$, of the primes p of our Theorem 3.2 for which the algebra $A = K[x, y, z]/(x^\alpha, y^\beta, z^\gamma)$ has the WLP in characteristic p . In particular, Brenner and Kaid were able to solve (positively) our Conjecture 3.9. It remains an open and very interesting problem to find a combinatorial proof of Conjecture 3.9. We are grateful to Holger Brenner for sending us a copy of [4].

Also, Chen (Berkeley), Guo (Duke), Jin (Minnesota) and Liu (Princeton), four REU students working in Summer 2010 at the University of Minnesota under the direction of Vic Reiner and Dennis Stanton, found, among other interesting things, a beautiful combinatorial explanation for our connection WLP-plane partitions, which was only proved algebraically in this paper. We are grateful to Vic Reiner for sending us an early copy of their work [5].

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