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The convolution operation on the spectra of algebras of symmetric analytic functions

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ABSTRACT

We show that the spectrum of the algebra of bounded symmetric analytic functions on ℓ_p , $1 \leq p < +\infty$ with the symmetric convolution operation is a commutative semigroup with the cancellation law for which we discuss the existence of inverses. For $p = 1$, a representation of the spectrum in terms of entire functions of exponential type is obtained which allows us to determine the invertible elements.

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0. Introduction and preliminaries

The question of the description of the invariants of a linear transformations group on \mathbb{C}^n which naturally acts on the algebra of polynomials is a typical problem of the classical Invariant Theory. Such invariants form algebras of symmetric polynomials with respect to given groups and have been investigated in the classical cases (see e.g. [1,2]). It is very important for these studies to describe the spectra of the algebras of invariants. The cases when a group (or even a semigroup) of symmetry acts on infinite-dimensional Banach spaces were considered in [3–6]. For the infinite-dimensional case we need to work with a natural completion of the algebra of continuous polynomials, that is, the algebra of analytic functions of bounded type. In this case, we can use some methods and ideas developed in [7,8].

Aron et al. introduced in [7] a convolution operation in the spectrum of the algebra $H_b(X)$ of analytic functions of bounded type defined on a complex Banach space X . This convolution is defined relying on translations on X . Later Aron et al. [8] discussed the commutativity of that convolution and proved that for $X = \ell_p$, it is not commutative.

By a *symmetric* function on ℓ_p we mean a function which is invariant under any reordering of the sequence in ℓ_p . The algebra of symmetric analytic functions of bounded type with the topology of the uniform convergence on bounded sets will be denoted by $\mathcal{H}_{bs}(\ell_p)$. We denote by $\mathcal{M}_{bs}(\ell_p)$ its spectrum, that is the set of all continuous scalar valued homomorphisms.

When dealing with symmetric analytic functions the translation operators are not well defined anymore. This is why in [6] the authors introduced the so-called “intertwining” operators that lead them to define a “symmetric” convolution operation as is described in the next section. We prove that an endomorphism of $\mathcal{H}_{bs}(\ell_p)$ commutes with all intertwining operators if and only if it is a convolution operator. The results in this paper show that, contrary to the non-symmetric case, the symmetric convolution is indeed commutative. Also a representation of $\mathcal{M}_{bs}(\ell_1)$ in terms of entire functions

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of exponential type is obtained. Such representation allows us to determine the invertible elements in $\mathcal{M}_{bs}(\ell_1)$ for such symmetric convolution. Finally we present a description of the elements in the spectrum through certain points in ℓ_1^+ .

In [3] it is proved that, similarly to the classical finite dimensional case, the polynomials

$$F_k(x) = \sum_{i=1}^{\infty} x_i^k, \quad k = [p], [p] + 1 \dots \tag{0.1}$$

form an algebraic basis – named the power series basis – in the algebra of all symmetric polynomials on ℓ_p (here $[p]$ is the smallest integer that is greater than or equal to p). This means that for every symmetric polynomial P of degree $[p] + n - 1, n \geq 1$ there is a polynomial q on \mathbb{C}^n such that $P(x) = q(F_{[p]}(x), \dots, F_{[p]+n-1}(x))$. Actually, q is unique as pointed out in [5].

For background on analytic functions on infinite-dimensional spaces, we refer the reader to [9] or to [10].

1. The symmetric convolution

Remark 1.1. There is no $w \in \ell_p, w \neq 0$, such that $g(x) = f(x + w)$ is symmetric for every symmetric $f \in \mathcal{H}_{bs}(\ell_p)$.

Proof. There is $i_0 \in \mathbb{N}$, such that $|w_n| < \frac{1}{3}$ if $n \geq i_0$. Assume that $f(\cdot + w)$ belongs to $\mathcal{H}_{bs}(\ell_p)$ for every symmetric $f \in \mathcal{H}_{bs}(\ell_p)$. Then for every fixed permutation σ and each element in the basis of $\ell_p, f(e_{\sigma(i)} + w) = g(e_{\sigma(i)}) = g(e_i) = f(e_i + w), \forall f \in \mathcal{H}_{bs}(\ell_p)$. Thus $e_{\sigma(i)} + w$ is a permutation of $e_i + w$, that is, $1 + w_{\sigma(i)} = w_{j_i}$ for some index $j_i \in \mathbb{N}$.

Since σ is a bijection, the set $\{\sigma(i) > i_0\}$ is infinite, so there are infinite terms w_{j_i} with absolute value greater than $\frac{2}{3}$. Impossible. \square

Next we recall some definitions.

Definition 1.2 ([6]). Let $x, y \in \ell_p, x = (x_1, x_2, \dots)$ and $y = (y_1, y_2, \dots)$. We define the intertwining $x \bullet y \in \ell_p$ according to

$$x \bullet y = (x_1, y_1, x_2, y_2, \dots).$$

The mapping $f \mapsto T_y^s(f)$ where $T_y^s(f)(x) = f(x \bullet y)$ will be referred as to the intertwining operator. Observe that $T_x^s \circ T_y^s = T_{x \bullet y}^s = T_y^s \circ T_x^s$: Indeed, $[T_x^s \circ T_y^s](f)(z) = T_x^s[T_y^s(f)](z) = T_y^s(f)(z \bullet x) = f((z \bullet x) \bullet y) = f(z \bullet (x \bullet y))$, since f is symmetric.

The above remark explains why we are led to use the intertwining operators to define the convolution in $\mathcal{M}_{bs}(\ell_p)$.

Definition 1.3 ([6]). Given $f \in \mathcal{H}_{bs}(\ell_p)$ and $\theta \in \mathcal{H}_{bs}(\ell_p)'$, its symmetric convolution $\theta \star f$ is defined by $(\theta \star f)(x) = \theta[T_x^s(f)]$.

As pointed out in [6], it turns out that $\theta \star f \in \mathcal{H}_{bs}(\ell_p)$.

Definition 1.4 ([6]). For any ϕ and θ in $\mathcal{H}_{bs}(\ell_p)'$, its symmetric convolution is defined according to

$$(\phi \star \theta)(f) = \phi(\theta \star f) = \phi(y \mapsto \theta(T_y^s f)).$$

Corollary 1.5 ([6]). If $\phi, \theta \in \mathcal{M}_{bs}(\ell_p)$, then $\phi \star \theta \in \mathcal{M}_{bs}(\ell_p)$.

Theorem 1.6. (a) For every $\varphi, \theta \in \mathcal{M}_{bs}(\ell_p)$ the following holds:

$$(\varphi \star \theta)(F_k) = \varphi(F_k) + \theta(F_k). \tag{1.1}$$

(b) The semigroup $(\mathcal{M}_{bs}(\ell_p), \star)$ is commutative, the evaluation at 0, δ_0 , is its identity and the cancellation law holds.

Proof. Observe that for each element F_k in the algebraic basis of polynomials, $\{F_k\}$, we have

$$(\theta \star F_k)(x) = \theta(T_x^s(F_k)) = \theta(F_k(x) + F_k) = F_k(x) + \theta(F_k).$$

Therefore,

$$(\varphi \star \theta)(F_k) = \varphi(F_k + \theta(F_k)) = \varphi(F_k) + \theta(F_k).$$

To check that the convolution is commutative, that is, $\phi \star \theta = \theta \star \phi$, it suffices to prove it for symmetric polynomials, hence for the basis $\{F_k\}$. Bearing in mind (1.1) and also by exchanging parameters $(\theta \star \varphi)(F_k) = \theta(F_k) + \varphi(F_k) = (\varphi \star \theta)(F_k)$ as we wanted.

It also follows from (1.1) that the cancellation rule is valid for this convolution: If $\varphi \star \theta = \psi \star \theta$, then $\varphi(F_k) + \theta(F_k) = \psi(F_k) + \theta(F_k)$, hence $\varphi(F_k) = \psi(F_k)$, and thus, $\varphi = \psi$. \square

Example 1.7. There exist nontrivial invertible elements in the semigroup $(\mathcal{M}_{bs}(\ell_p), \star)$:

In [5, Example 3.1] it was constructed a continuous homomorphism $\varphi = \Psi_1$ on the uniform algebra $A_{us}(B_{\ell_p})$ such that $\varphi(F_p) = 1$ and $\varphi(F_i) = 0$ for all $i > p$. In a similar way, given $\lambda \in \mathbb{C}$ we can construct a continuous homomorphism Ψ_λ on the uniform algebra $A_{us}(|\lambda|B_{\ell_p})$ such that $\Psi_\lambda(F_p) = \lambda$ and $\Psi_\lambda(F_i) = 0$ for all $i > p$: It suffices to consider for each $n \in \mathbb{N}$, the element $v_n = (\frac{\lambda}{n})^{1/p} (e_1 + \dots + e_n)$ for which $F_p(v_n) = \lambda$, and $\lim_n F_j(v_n) = 0$. Now, the sequence $\{\delta_{v_n}\}$ has an accumulation point Ψ_λ in the spectrum of $A_{us}(|\lambda|B_{\ell_p})$. We use the notation ψ_λ for the restriction of Ψ_λ to the subalgebra $\mathcal{H}_{bs}(\ell_p)$ of $A_{us}(|\lambda|B_{\ell_p})$. It turns out that $\psi_\lambda \star \psi_{-\lambda} = \delta_0$ since for all elements F_j in the algebraic basis, $(\psi_\lambda \star \psi_{-\lambda})(F_j) = \psi_\lambda(F_j) + \psi_{-\lambda}(F_j) = 0 = \delta_0(F_j)$.

Therefore, we obtain a complex line of invertible elements $\{\psi_\lambda : \lambda \in \mathbb{C}\}$.

As in the non-symmetric case [7, Theorem 5.5], the following holds:

Proposition 1.8. Every $\varphi \in \mathcal{M}_{bs}(\ell_p)$ lies in a schlicht complex line through δ_0 .

Proof. For every $z \in \mathbb{C}$, consider the composition operator $L_z: \mathcal{H}_{bs}(\ell_p) \rightarrow \mathcal{H}_{bs}(\ell_p)$ defined according to $L_z(f)((x_n)) := f((zx_n))$, and then, the restriction $L_z^* \in \mathcal{M}_{bs}(\ell_p)$ of its transpose map. Now put $\varphi^z := L_z^*(\varphi) = \varphi \circ L_z$. Observe that $\varphi^z(F_k) = \varphi \circ L_z(F_k) = \varphi((F_k(z \cdot))) = z^k \varphi(F_k)$. Also, $\varphi^0 = \delta_0$.

For each $f \in \mathcal{H}_{bs}(\ell_p)$ the self-map of \mathbb{C} defined according to $z \rightsquigarrow \varphi^z(f)$ is entire by Aron et al. [7, Lemma 5.4(i)]. Therefore, the mapping $z \in \mathbb{C} \rightsquigarrow \varphi^z \in \mathcal{M}_{bs}(\ell_p)$ is analytic.

Since $\varphi \neq \delta_0$, the set $\Sigma := \{k \in \mathbb{N} : \varphi(F_k) \neq 0\}$ is non-empty. Let m be the first element of Σ , so that $\varphi(F_m) \neq 0$. Then if $\varphi^z = \varphi^w$, one has $z^m \varphi(F_m) = w^m \varphi(F_m)$, hence $z^m = w^m$. Taking the principal branch of the m th root, the map $\xi \rightsquigarrow \varphi^{\xi^{1/m}}$ is one-to-one. \square

Recall that a linear operator $T: \mathcal{H}_{bs}(\ell_p) \rightarrow \mathcal{H}_{bs}(\ell_p)$ is said to be a *convolution operator* if there is $\theta \in \mathcal{M}_{bs}(\ell_p)$ such that $Tf = \theta \star f$. Let us denote $H_{conv}(\ell_p) := \{T \in L(\mathcal{H}_{bs}(\ell_p)) : T \text{ is a convolution operator}\}$.

Proposition 1.9. A continuous homomorphism $T: \mathcal{H}_{bs}(\ell_p) \rightarrow \mathcal{H}_{bs}(\ell_p)$ is a convolution operator if and only if it commutes with all intertwining operators $T_y^s, y \in \ell_p$.

Proof. Assume there is $\theta \in \mathcal{M}_{bs}(\ell_p)$ such that $Tf = \theta \star f$. Fix $y \in \ell_p$. Then $[T \circ T_y^s](f)(x) = [T(T_y^s(f))](x) = [\theta \star T_y^s(f)](x) = \theta[T_x^s(T_y^s(f))] = \theta[T_{x \bullet y}^s(f)]$. On the other hand, $[T_y^s \circ T](f)(x) = [T_y^s(Tf)](x) = Tf(x \bullet y) = (\theta \star f)(x \bullet y) = \theta[T_{x \bullet y}^s(f)]$.

Conversely, set $\theta = \delta_0 \circ T$. Clearly, $\theta \in \mathcal{M}_{bs}(\ell_p)$. Let us check that $Tf = \theta \star f$: Indeed, $(\theta \star f)(x) = \theta[T_x^s(f)] = [T(T_x^s(f))](0) = [T_x^s(T(f))](0) = Tf(0 \bullet x) = Tf(x)$. \square

Consider the mapping Λ defined by $\Lambda(\theta)(f) = \theta \star f$, that is,

$$\begin{aligned} \Lambda: \mathcal{M}_{bs}(\ell_p) &\rightarrow H_{conv}(\ell_p) \\ \theta &\mapsto f \rightsquigarrow \theta \star f \equiv \Lambda(\theta)(f). \end{aligned}$$

It is, clearly, bijective. Moreover we obtain a representation of the convolution semigroup

Proposition 1.10. The mapping Λ is an isomorphism from $(\mathcal{M}_{bs}(\ell_p), \star)$ into $(H_{conv}(\ell_p), \circ)$ where \circ denotes the usual composition operation.

Proof. First, notice that using the above proposition,

$$\begin{aligned} \Lambda(\varphi \star \theta)(f)(x) &= [(\varphi \star \theta) \star f](x) = (\varphi \star \theta)(T_x^s f) = \varphi(\theta \star T_x^s f) \\ &= \varphi[\Lambda(\theta)(T_x^s f)] = \varphi[(\Lambda(\theta) \circ T_x^s)(f)] = \varphi[(T_x^s \circ \Lambda(\theta))(f)]. \end{aligned}$$

On the other hand,

$$[\Lambda(\varphi) \circ \Lambda(\theta)](f)(x) = \Lambda(\varphi)[\Lambda(\theta)(f)](x) = [\varphi \star \Lambda(\theta)(f)](x) = \varphi[T_x^s(\Lambda(\theta)(f))].$$

Thus the statement follows. \square

As a consequence, the homomorphism θ is invertible in $(\mathcal{M}_{bs}(\ell_p), \star)$, if and only if the convolution operator $\Lambda(\theta)$ is an algebraic isomorphism. Observe also that for $\psi \in \mathcal{M}_{bs}(\ell_p)$, one has

$$\psi \circ \Lambda(\theta) = \psi \star \theta,$$

because $[\psi \circ \Lambda(\theta)](f) = \psi[\Lambda(\theta)(f)] = \psi(\theta \star f) = (\psi \star \theta)(f)$.

Next we address the question of solving the equation $\varphi = \psi \star \theta$ for given $\varphi, \theta \in \mathcal{M}_{bs}(\ell_p)$. We begin with a general lemma.

Lemma 1.11. Let A, B be Fréchet algebras and $T: A \rightarrow B$ an onto homomorphism. Then T maps (closed) maximal ideals onto (closed) maximal ideals.

Proof. Since T is onto, it maps ideals in A onto ideals in B . Let $\mathcal{J} \subset A$ be a maximal ideal. We prove that $T(\mathcal{J})$ is a maximal ideal in B : If \mathcal{I} is another ideal with $T(\mathcal{J}) \subset \mathcal{I} \subset B$, it turns out that for the ideal $T^{-1}(\mathcal{I})$, $\mathcal{J} \subset T^{-1}(T(\mathcal{J})) \subset T^{-1}(\mathcal{I})$, hence either $\mathcal{J} = T^{-1}(\mathcal{I})$, or $A = T^{-1}(\mathcal{I})$. That is, either $T(\mathcal{J}) = \mathcal{I}$, or $B = \mathcal{I}$.

Let now $\varphi \in M(A)$ and $\mathcal{J} = \text{Ker}(\varphi)$, be a closed maximal ideal. Then $T(\mathcal{J})$ is a maximal ideal in B , so there is a character ψ on B such that $\text{Ker}(\psi) = T(\mathcal{J})$. Then $\text{Ker}(\varphi) \subset \text{Ker}(\psi \circ T)$, because if $\varphi(a) = 0$, that is, $a \in \mathcal{J}$, we have $T(a) \in \text{Ker}(\psi)$. By the maximality, either $\varphi = \psi \circ T$, or $\psi \circ T = 0$, hence $\psi = 0$. In the former case, ψ is also continuous since being T an open mapping, if (b_n) is a null sequence in B , there is a null sequence $(a_n) \subset A$ such that $T(a_n) = b_n$; thus $\lim_n \psi(b_n) = \lim_n \psi \circ T(a_n) = \lim_n \varphi(a_n) = 0$. \square

Remark 1.12. Let A, B be Fréchet algebras and $T: A \rightarrow B$ be an onto homomorphism. If $T(\text{Ker}(\varphi))$ is a proper ideal, then there is a unique $\psi \in M(B)$ such that $\varphi = \psi \circ T$.

Corollary 1.13. Let $\theta \in \mathcal{M}_{bs}(\ell_p)$. Assume that $\Lambda(\theta)$ is onto. If $\Lambda(\theta)(\text{Ker}\varphi)$ is a proper ideal, then the equation $\varphi = \psi \star \theta$ has a unique solution. In case $\Lambda(\theta)(\text{Ker}\varphi) = \mathcal{H}_{bs}(\ell_p)$, then the equation $\varphi = \psi \star \theta$ has no solution.

Proof. The first statement is just an application of the remark, since $\psi \star \theta = \psi \circ \Lambda(\theta) = \varphi$. For the second statement, if some solution ψ exists, then again $\psi \circ \Lambda(\theta) = \psi \star \theta = \varphi$, so $\psi(\mathcal{H}_{bs}(\ell_p)) = (\psi \circ \Lambda(\theta))(\text{Ker}\varphi) = \varphi(\text{Ker}\varphi) = 0$. Therefore, then also $\varphi = 0$. \square

2. A weak polynomial topology on $\mathcal{M}_{bs}(\ell_p)$

Let us denote by w_p the topology in $\mathcal{M}_{bs}(\ell_p)$ generated by the following neighborhood basis:

$$U_{\varepsilon, k_1, \dots, k_n}(\psi) = \{\psi \star \varphi : \varphi \in \mathcal{M}_{bs}(\ell_p) \text{ and } |\varphi(F_{k_j})| < \varepsilon, j = 1, \dots, n\}.$$

It is easy to check that the convolution operation is continuous for the w_p topology, since thanks to (1.1),

$$U_{\varepsilon/2, k_1, \dots, k_n}(\theta) \star U_{\varepsilon/2, k_1, \dots, k_n}(\psi) \subset U_{\varepsilon, k_1, \dots, k_n}(\theta \star \psi).$$

We say that a function $f \in \mathcal{H}_{bs}(\ell_p)$ is *finitely generated* if there are a finite number of the basis functions $\{F_k\}$ and an entire function q such that $f = q(F_1, \dots, F_j)$.

Theorem 2.1. A function $f \in \mathcal{H}_{bs}(\ell_p)$ is w_p -continuous if and only if it is finitely generated.

Proof. Clearly, every finitely generated function is w_p -continuous. Let us denote by V_n the finite dimensional subspace in ℓ_p spanned by the basis vectors $\{e_1, \dots, e_n\}$. First we observe that if there is a positive integer m such that the restriction $f|_{V_n}$ of f to V_n is generated by the restrictions of F_1, \dots, F_m to V_n for every $n \geq m$, then f is finitely generated. Indeed, for given $n \geq k \geq m$ we can write

$$f|_{V_k}(x) = q_1(F_1(x), \dots, F_m(x)) \quad \text{and} \quad f|_{V_n}(x) = q_2(F_1(x), \dots, F_m(x))$$

for some entire functions q_1 and q_2 on \mathbb{C}^m . Since

$$\{(F_1(x), \dots, F_m(x)) : x \in V_k\} = \mathbb{C}^m$$

(see e.g. [5]) and $f|_{V_n}$ is an extension of $f|_{V_k}$ we have $q_1(t_1, \dots, t_n) = q_2(t_1, \dots, t_n)$. Hence $f(x) = q_1(F_1(x), \dots, F_m(x))$ on ℓ_p because $f(x)$ coincides with $q_1(F_1(x), \dots, F_m(x))$ on the dense subset $\bigcup_n V_n$.

Let f be a w_p -continuous function in $\mathcal{H}_{bs}(\ell_p)$. Then f is bounded on a neighborhood $U_{\varepsilon, 1, \dots, m} = \{x \in \ell_p : |F_1(x)| < \varepsilon, \dots, |F_m(x)| < \varepsilon\}$. For a given $n \geq m$ let

$$f|_{V_n}(x) = q(F_1(x), \dots, F_m(x))$$

be the representation of $f|_{V_n}(x)$ for some entire function q on \mathbb{C}^m . Since $\{(F_1(x), \dots, F_m(x)) : x \in V_n\} = \mathbb{C}^m$, $q(t_1, \dots, t_n)$ must be bounded on the set $\{|t_1| < \varepsilon, \dots, |t_m| < \varepsilon\}$. The Liouville Theorem implies $q(t_1, \dots, t_n) = q(t_1, \dots, t_m, 0, \dots, 0)$, that is, $f|_{V_n}$ is generated by F_1, \dots, F_m . Since it is true for every n , f is finitely generated. \square

For example $f(x) = \sum_{n=1}^{\infty} \frac{F_n(x)}{n!}$ is not w_p -continuous.

Proposition 2.2. The topology w_p is Hausdorff.

Proof. If $\varphi \neq \psi$, then there is a number k such that

$$|\varphi(F_k) - \psi(F_k)| = \rho > 0.$$

Let $\varepsilon = \rho/3$. Then for every θ_1 and θ_2 in $U_{\varepsilon, k}(0)$,

$$|(\varphi \star \theta_1)(F_k) - (\varphi \star \theta_2)(F_k)| = |(\varphi(F_k) - \psi(F_k)) - (\theta_2(F_k) - \theta_1(F_k))| \geq \rho/3. \quad \square$$

Proposition 2.3. *On bounded sets of $\mathcal{M}_{bs}(\ell_p)$ the topology w_p is finer than the weak-star topology $w(\mathcal{M}_{bs}(\ell_p), \mathcal{H}_{bs}(\ell_p))$.*

Proof. Since $(\mathcal{M}_{bs}(\ell_p), w_p)$ is a first-countable space, it suffices to verify that for a bounded sequence $(\varphi_i)_i$ which is w_p convergent to some ψ , we have $\lim_i \varphi_i(f) = \psi(f)$ for each $f \in \mathcal{H}_{bs}(\ell_p)$: Indeed, by the Banach–Steinhaus theorem, it is enough to see that $\lim_i \varphi_i(P) = \psi(P)$ for each symmetric polynomial P . Being $\{F_k\}$ an algebraic basis for the symmetric polynomials, this will follow once we check that $\lim_i \varphi_i(F_k) = \psi(F_k)$ for each F_k . To see this, notice that given $\varepsilon > 0$, $\varphi_i \in U_{\varepsilon, k}$ for i large enough, that is, there is θ_i such that $\varphi_i = \psi \star \theta_i$ with $|\theta_i(F_k)| < \varepsilon$. Then, $|\varphi_i(F_k) - \psi(F_k)| = |\theta_i(F_k)| < \varepsilon$ for i large enough. \square

Proposition 2.4. *If $(\mathcal{M}_{bs}(\ell_p), \star)$ is a group, then w_p coincides with the weakest topology on $\mathcal{M}_{bs}(\ell_p)$ such that for every polynomial $P \in \mathcal{H}_{bs}(\ell_p)$ the Gelfand extension \widehat{P} is continuous on $\mathcal{M}_{bs}(\ell_p)$.*

Proof. The sets $F_k^{-1}(B(F_k(\psi), \varepsilon))$ generate the weakest topology such that all \widehat{P} are continuous. Let $\theta \in \mathcal{M}_{bs}(\ell_p)$ be such that $|F_k(\theta) - F_k(\psi)| < \varepsilon$. Set $\varphi = \theta \star \psi^{-1}$. Then $|F_k(\varphi)| = |F_k(\theta) - F_k(\psi)| < \varepsilon$ and $\theta = \psi \star \varphi$. \square

3. Representations of the convolution semigroup $(\mathcal{M}_{bs}(\ell_1), \star)$

In this section we consider the case $\mathcal{H}_{bs}(\ell_1)$. This algebra admits besides the power series basis $\{F_n\}$, another natural basis that is useful for us: It is given by the sequence $\{G_n\}$ defined by $G_0 = 1$, and

$$G_n(x) = \sum_{k_1 < \dots < k_n} x_{k_1} \cdots x_{k_n},$$

and we refer to it as the *basis of elementary symmetric polynomials*.

Lemma 3.1. *We have that $\|G_n\| = 1/n!$*

Proof. To calculate the norm, it is enough to deal with vectors in the unit ball of ℓ_1 whose components are non-negative. And we may restrict ourselves to calculate it on L_m the linear span of $\{e_1, \dots, e_m\}$ for $m \geq n$. We do the calculation in an inductive way over m .

Since $G_n|_{L_m}$ is homogeneous, its norm is achieved at points of norm 1. If $m = n$, then G_n is the product $x_1 \cdots x_n$. By using the Lagrange multipliers rule, we deduce that the maximum is attained at points with equal coordinates, that is at $\frac{1}{n}(e_1 + \dots + e_n)$. Thus $|G_n(\frac{1}{n}, \dots, \frac{1}{n}, 0, \dots)| = 1/n^n \leq \frac{1}{n!}$.

Now for $m > n$, and $x \in L_m$, we have $G_n(x) = \sum_{k_1 < \dots < k_n \leq m} x_{k_1} \cdots x_{k_n}$. Again the Lagrange multipliers rule leads to either some of the coordinates vanish or they are all equal, hence they have the same value $\frac{1}{m}$. In the first case, we are led back to some the previous inductive steps, with L_k with $k < m$, so the aimed inequality holds. While in the second one, we have $G_n(\frac{1}{m}, \dots, \frac{1}{m}, 0, \dots) = \binom{m}{n} \frac{1}{m^n} \leq \frac{1}{n!}$.

Moreover, $\|G_n\| \geq \lim_m \binom{m}{n} \frac{1}{m^n} = \frac{1}{n!}$. This completes the proof. \square

Let $\mathbb{C}\{t\}$ be the space of all power series over \mathbb{C} . We denote by \mathcal{F} and \mathcal{G} the following maps from $\mathcal{M}_{bs}(\ell_1)$ into $\mathbb{C}\{t\}$

$$\mathcal{F}(\varphi) = \sum_{n=1}^{\infty} t^{n-1} \varphi(F_n) \quad \text{and} \quad \mathcal{G}(\varphi) = \sum_{n=0}^{\infty} t^n \varphi(G_n).$$

Let us recall that every element $\varphi \in \mathcal{M}_{bs}(\ell_1)$ has a radius-function

$$R(\varphi) = \limsup_{n \rightarrow \infty} \|\varphi_n\|^{\frac{1}{n}} < \infty,$$

where φ_n is the restriction of φ to the subspace of n -homogeneous polynomials [6].

Proposition 3.2. *The mapping $\varphi \in \mathcal{M}_{bs}(\ell_1) \xrightarrow{\mathcal{G}} \mathcal{G}(\varphi) \in \mathcal{H}(\mathbb{C})$ is one-to-one and ranges into the subspace of entire functions on \mathbb{C} of exponential type. The type of $\mathcal{G}(\varphi)$ is less than or equal to $R(\varphi)$.*

Proof. Using Lemma 3.1,

$$\limsup_{n \rightarrow \infty} \sqrt[n]{n! |\varphi_n(G_n)|} \leq \limsup_{n \rightarrow \infty} \sqrt[n]{n! \|\varphi_n\| \|G_n\|} = \limsup_{n \rightarrow \infty} \sqrt[n]{\|\varphi_n\|} = R(\varphi) < \infty,$$

hence $\mathcal{G}(\varphi)$ is entire and of exponential type less than or equal to $R(\varphi)$. That \mathcal{G} is one-to-one follows from the fact $\{G_n\}$ is a basis. \square

Theorem 3.3. *The following identities hold:*

- (1) $\mathcal{F}(\varphi \star \theta) = \mathcal{F}(\varphi) + \mathcal{F}(\theta)$.
- (2) $\mathcal{G}(\varphi \star \theta) = \mathcal{G}(\varphi)\mathcal{G}(\theta)$.

Proof. The first statement is a trivial corollary of the properties of the convolution. To prove the second we observe that

$$G_n(x \bullet y) = \sum_{k=0}^n G_k(x)G_{n-k}(y).$$

Thus

$$(\theta \star G_n)(x) = \theta(T_x^s(G_n)) = \theta\left(\sum_{k=0}^n G_k(x)G_{n-k}\right) = \sum_{k=0}^n G_k(x)\theta(G_{n-k}).$$

Therefore,

$$(\varphi \star \theta)(G_n) = \varphi\left(\sum_{k=0}^n G_k(x)\theta(G_{n-k})\right) = \sum_{k=0}^n \varphi(G_k)\theta(G_{n-k}).$$

Hence, being the series absolutely convergent,

$$\begin{aligned} \mathcal{G}(\varphi)\mathcal{G}(\theta) &= \sum_{k=0}^{\infty} t^k \varphi(G_k) \sum_{m=0}^{\infty} t^m \theta(G_m) = \sum_{n=0}^{\infty} \sum_{k+m=n} t^n \varphi(G_k)\theta(G_m) \\ &= \sum_{n=0}^{\infty} t^n \sum_{k+m=n} \varphi(G_k)\theta(G_m) = \sum_{n=0}^{\infty} t^n (\varphi \star \theta)(G_n) = \mathcal{G}(\varphi \star \theta). \quad \square \end{aligned}$$

Example 3.4. Let ψ_λ be as defined in Example 1.7. We know that $\mathcal{F}(\psi_\lambda) = \lambda$. To find $\mathcal{G}(\psi_\lambda)$ note that

$$G_k(v_n) = \left(\frac{\lambda}{n}\right)^k \binom{n}{k}, \quad \text{hence } \varphi(G_k) = \lim_n G_k(v_n) = \frac{\lambda^k}{k!}$$

and so

$$\mathcal{G}(\psi_\lambda)(t) = \lim_{n \rightarrow \infty} \sum_{k=0}^n (\lambda t)^k \psi_\lambda(G_n) = \lim_{n \rightarrow \infty} \sum_{k=0}^n \frac{(\lambda t)^k}{k!} = e^{\lambda t}.$$

According to well-known Newton's formula we can write for $x \in \ell_1$,

$$nG_n(x) = F_1(x)G_{n-1}(x) - F_2(x)G_{n-2}(x) + \cdots + (-1)^{n+1}F_n(x). \quad (3.1)$$

Moreover, if ξ is a complex homomorphism (not necessarily continuous) on the space of symmetric polynomials $\mathcal{P}_s(\ell_1)$, then

$$n\xi(G_n) = \xi(F_1)\xi(G_{n-1}) - \xi(F_2)\xi(G_{n-2}) + \cdots + (-1)^{n+1}\xi(F_n). \quad (3.2)$$

Next we point out the limitations of the construction's technique described in 1.7.

Remark 3.5. Let ξ be a complex homomorphism on $\mathcal{P}_s(\ell_1)$ such that $\xi(F_m) = c \neq 0$ for some $m \geq 2$ and $\xi(F_n) = 0$ for $n \neq m$. Then ξ is not continuous.

Proof. Using formula (3.2) we can see that

$$\xi(G_{km}) = (-1)^{m+1} \frac{\xi(F_m)\xi(G_{(k-1)m})}{km}$$

and $\xi(G_n) = 0$ if $n \neq km$ for some $k \in \mathbb{N}$. By induction we have

$$\xi(G_{km}) = \frac{((-1)^{m+1}c/m)^k}{k!}$$

and so

$$\mathcal{G}(\xi)(t) = 1 + \sum_{k=1}^{\infty} \frac{((-1)^{m+1}c/m)^k}{k!} t^{km} = 1 + \sum_{k=1}^{\infty} \frac{((-1)^{m+1} \frac{ct^m}{m})^k}{k!} = e^{((-1)^{m+1} \frac{ct^m}{m})}.$$

Hence $\mathcal{G}(\xi)(t) = e^{-\frac{(-ct)^m}{m}} = e^{-\frac{(-c)^m}{m}t^m}$. Since $m \geq 2$, $\mathcal{G}(\xi)$ is not of exponential type. So if ξ were continuous, it could be extended to an element in $\mathcal{M}_{bs}(\ell_1)$, leading to a contradiction with Proposition 3.2. \square

According to the Hadamard Factorization Theorem (see [11, p. 27]) the function of exponential type $\mathcal{G}(\varphi)(t)$ is of the form

$$\mathcal{G}(\varphi)(t) = e^{\lambda t} \prod_{k=1}^{\infty} \left(1 - \frac{t}{a_k}\right) e^{t/a_k}, \tag{3.3}$$

where $\{a_k\}$ are the zeros of $\mathcal{G}(\varphi)(t)$. If $\sum |a_k|^{-1} < \infty$, then this representation can be reduced to

$$\mathcal{G}(\varphi)(t) = e^{\lambda t} \prod_{k=1}^{\infty} \left(1 - \frac{t}{a_k}\right). \tag{3.4}$$

Recall how ψ_λ was defined in Example 1.7.

Proposition 3.6. *If $\varphi \in (\mathcal{M}_{bs}(\ell_1), \star)$ is invertible, then $\varphi = \psi_\lambda$ for some λ . In particular, the semigroup $(\mathcal{M}_{bs}(\ell_1), \star)$ is not a group.*

Proof. If φ is invertible then $\mathcal{G}(\varphi)(t)$ is an invertible entire function of exponential type and so has no zeros. By Hadamard’s factorization (3.3) we have that $\mathcal{G}(\varphi)(t) = e^{\lambda t}$ for some complex number λ . Hence $\varphi = \psi_\lambda$ by Proposition 3.2.

The evaluation $\delta_{(1,0,\dots,0,\dots)}$ does not coincide with any ψ_λ since, for instance, $\psi_\lambda(F_2) = 0 \neq 1 = \delta_{(1,0,\dots,0,\dots)}(F_2)$. \square

Another consequence of our analysis is the following remark.

Corollary 3.7. *Let Φ be a homomorphism of $\mathcal{P}_s(\ell_1)$ to itself such that $\Phi(F_k) = -F_k$ for every k . Then Φ is discontinuous.*

Proof. If Φ is continuous it may be extended to a continuous homomorphism $\tilde{\Phi}$ of $\mathcal{H}_{bs}(\ell_1)$. Then for $x = (1, 0, \dots, 0, \dots)$, we have $\delta_x \star (\delta_x \circ \tilde{\Phi}) = \delta_0$. However, this is impossible since δ_x is not invertible. \square

We close this section by analyzing further the relationship established by the mapping \mathcal{G} .

It is known from Combinatorics (see e.g. [12, pp. 3,4]) that

$$\mathcal{G}(\delta_x)(t) = \prod_{k=1}^{\infty} (1 + x_k t) \quad \text{and} \quad \mathcal{F}(\delta_x)(t) = \sum_{k=1}^{\infty} \frac{x_k}{1 - x_k t} \tag{3.5}$$

for every $x \in c_{00}$. Formula (3.5) for $\mathcal{G}(\delta_x)$ is true for every $x \in \ell_1$: Indeed, for fixed t , both the infinite product and $\mathcal{G}(\delta_x)(t)$ are analytic functions on ℓ_1 .

Taking into account formula (3.5) we can see that the zeros of $\mathcal{G}(\delta_x)(t)$ are $a_k = -1/x_k$ for $x_k \neq 0$. Conversely, if $f(t)$ is an entire function of exponential type which is equal to the right hand side of (3.4) with $\sum |a_k|^{-1} < \infty$, then for $\varphi \in \mathcal{M}_{bs}(\ell_1)$ given by $\varphi = \psi_\lambda \star \delta_x$, where $x \in \ell_1$, $x_k = -1/a_k$ and ψ_λ as defined in Example 1.7, it turns out that $\mathcal{G}(\varphi)(t) = f(t)$. So we just have to examine entire functions of exponential type with Hadamard canonical product

$$f(t) = \prod_{k=1}^{\infty} \left(1 - \frac{t}{a_k}\right) e^{t/a_k} \tag{3.6}$$

with $\sum |a_k|^{-1} = \infty$. Note first that the growth order of $f(t)$ is not greater than 1. According to Borel’s theorem [11, p. 30] the series

$$\sum_{k=1}^{\infty} \frac{1}{|a_k|^{1+d}}$$

converges for every $d > 0$. Let

$$\Delta_f = \limsup_{n \rightarrow \infty} \frac{n}{|a_n|}, \quad \eta_f = \limsup_{r \rightarrow \infty} \left| \sum_{|a_n| < r} \frac{1}{a_n} \right|$$

and $\gamma_f = \max(\Delta_f, \eta_f)$. Due to Lindelöf’s theorem [11, p. 33] the type σ_f of f and γ_f simultaneously are equal either to zero, or to infinity, or to positive numbers. Hence $f(t)$ of the form (3.6) is a function of exponential type if and only if $\sum |a_k|^{-1-d}$ converges for every $d > 0$ and γ_f is finite.

Corollary 3.8. *If a sequence $(x_n) \notin \ell_p$ for some $p > 1$, then there is no $\varphi \in \mathcal{M}_{bs}(\ell_1)$ such that*

$$\varphi(F_k) = \sum_{n=1}^{\infty} x_n^k$$

for all k .

Let $x = (x_1, \dots, x_n, \dots)$ be a sequence of complex numbers such that $x \in \ell_{1+d}$ for every $d > 0$,

$$\limsup_{n \rightarrow \infty} n|x_n| < \infty, \quad \limsup_{r \rightarrow 1} \left| \sum_{\frac{1}{|x_n|} < r} x_n \right| < \infty \tag{3.7}$$

and $\lambda \in \mathbb{C}$. Let us denote by $\delta_{(x,\lambda)}$ a homomorphism on the algebra of symmetric polynomials $\mathcal{P}_s(\ell_1)$ of the form

$$\delta_{(x,\lambda)}(F_1) = \lambda, \quad \delta_{(x,\lambda)}(F_k) = \sum_{n=1}^{\infty} x_n^k, \quad k > 1.$$

Proposition 3.9. *Let $\varphi \in \mathcal{M}_{bs}(\ell_1)$. Then the restriction of φ to $\mathcal{P}_s(\ell_1)$ coincides with $\delta_{(x,\lambda)}$ for some $\lambda \in \mathbb{C}$ and x satisfying (3.7).*

Proof. Consider the exponential type function $\mathcal{G}(\varphi)$ given by (3.3) and the corresponding sequence $x = (\frac{-1}{a_n})$.

If $x \in \ell_1$, then according to (3.4), $\varphi = \psi_\lambda \star \delta_x$. If $x \notin \ell_1$, then $\mathcal{G}(\varphi)(t) = e^{\lambda t} \prod_{n=1}^{\infty} (1 + tx_n) e^{-tx_n}$ and, on the other hand, $\mathcal{G}(\varphi)(t) = \sum_{n=0}^{\infty} \varphi(G_n)t^n$.

We have

$$\begin{aligned} \left(e^{\lambda t} \prod_{n=1}^{\infty} (1 + tx_n) e^{-tx_n} \right)'_t &= \lambda e^{\lambda t} \prod_{n=1}^{\infty} (1 + tx_n) e^{-tx_n} \\ &\quad + e^{\lambda t} \left(-tx_1^2 e^{-tx_1} \prod_{n \neq 1} (1 + tx_n) e^{-tx_n} - tx_2^2 e^{-tx_2} \prod_{n \neq 2} (1 + tx_n) e^{-tx_n} - \dots \right) \\ &= \lambda e^{\lambda t} \prod_{n=1}^{\infty} (1 + tx_n) e^{-tx_n} - te^{\lambda t} \sum_{k=1}^{\infty} x_k^2 e^{-tx_k} \prod_{n \neq k} (1 + tx_n) e^{-tx_n} \end{aligned}$$

and

$$\left(e^{\lambda t} \prod_{n=1}^{\infty} (1 + tx_n) e^{-tx_n} \right)' \Big|_{t=0} = \lambda.$$

So by the uniqueness of the Taylor coefficients, $\varphi(G_1) = \varphi(F_1) = \lambda$.

Now

$$\begin{aligned} \left(e^{\lambda t} \prod_{n=1}^{\infty} (1 + tx_n) e^{-tx_n} \right)''_t &= \left(\lambda e^{\lambda t} \prod_{n=1}^{\infty} (1 + tx_n) e^{-tx_n} \right)'_t - \left(te^{\lambda t} \sum_{k=1}^{\infty} x_k^2 e^{-tx_k} \prod_{n \neq k} (1 + tx_n) e^{-tx_n} \right)'_t \\ &= \lambda^2 e^{\lambda t} \prod_{n=1}^{\infty} (1 + tx_n) e^{-tx_n} - \lambda te^{\lambda t} \sum_{k=1}^{\infty} x_k^2 e^{-tx_k} \prod_{n \neq k} (1 + tx_n) e^{-tx_n} \\ &\quad - e^{\lambda t} \sum_{k=1}^{\infty} x_k^2 e^{-tx_k} \prod_{n \neq k} (1 + tx_n) e^{-tx_n} - t \left(e^{\lambda t} \sum_{k=1}^{\infty} x_k^2 e^{-tx_k} \prod_{n \neq k} (1 + tx_n) e^{-tx_n} \right)'_t \end{aligned}$$

and

$$\left(e^{\lambda t} \prod_{n=1}^{\infty} (1 + tx_n) e^{-tx_n} \right)'' \Big|_{t=0} = \lambda^2 - \sum_{k=1}^{\infty} x_k^2.$$

Then

$$\varphi(G_2) = \frac{\lambda^2 - F_2(x)}{2} = \frac{(\varphi(F_1))^2 - F_2(x)}{2}.$$

On the other hand,

$$\varphi(G_2) = \frac{\varphi(F_1^2) - \varphi(F_2)}{2}$$

and we have

$$\varphi(F_2) = F_2(x).$$

Now using induction we obtain the required result. \square

Question 3.10. Does the map \mathcal{G} act onto the space of entire functions of exponential type?

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