

# Reflective Integral Lattices

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A lattice  $L$  with a positive definite quadratic form is called reflective if the unique largest subgroup generated by reflections of the orthogonal group  $O(L)$  has no fixed vector. Equivalently, the “root system”  $R(L)$  has maximal rank. The root system of a lattice is defined in Section 1; the roots are not necessarily of length 1 or 2. In Section 2, the structure of reflective lattices is worked out. They are described and classified by pairs  $(R, \mathcal{L})$ , where  $R$  is a “scaled root system” and the “code”  $\mathcal{L}$  is a subgroup of the “reduced discriminant group”  $\bar{T}(R)$ . The crucial point is that  $\bar{T}(R)$  only depends on the combinatorial equivalence class of the root system  $R$ . In Section 3, we give a precise description of the full root system of a reflective lattice if one starts with a sub-root-system of combinatorial type  $nA_1$  or  $mA_2$ . In Section 4, our techniques are applied to a complete and explicit description of all reflective lattices in dimensions  $\leq 6$ . © 1996 Academic Press, Inc.

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## 1. INTRODUCTION

We consider positive definite, integral quadratic lattices  $L$ . That is,  $L$  is a free  $\mathbf{Z}$ -module of finite rank,  $L \cong \mathbf{Z}^n$ , together with a positive definite symmetric bilinear form. The value of the form at vectors  $x, y \in V := \mathbf{Q}L$  is denoted by  $(x, y) \in \mathbf{Q}$ . “Integral” means that  $(x, y) \in \mathbf{Z}$  for all  $x, y \in L$ .

For  $v \in V$ , the reflection

$$s_v: x \mapsto x - \frac{2(v, x)}{(v, v)}v$$

is an isometry of the quadratic vector space  $V$ .

Recall that a vector  $v \in L$  is called *primitive* if  $v/m \notin L$  for all integers  $m > 1$ .

DEFINITION 1.1. A vector  $v \in L$  is called a *root* of  $L$  if it is primitive and if  $s_v$  maps  $L$  into itself. A lattice is called *reflective* if its roots generate a sublattice of full rank.

The following easy observation is the starting point of this paper.

PROPOSITION 1.2. *The set of all roots of  $L$*

$$R(L) := \{v \in L \mid v \text{ primitive, } s_v \in O(L)\}$$

*is a root system in the usual sense of Lie algebra theory.*

*Proof.* We refer to [Bou68, Chap. VI] for the definition of a root system. If  $v, v' \in R(L)$ , then  $s_v v' \in R(L)$  since  $s_{s_v v'} = s_v s_{v'} s_v$ . By the above reflection formula, a primitive vector  $v \in L$  is a root if and only if  $(v, L) \subseteq \mathbf{Z}(v, v)/2$ . In particular, the “crystallographic condition,”  $2(v, v')/(v, v) \in \mathbf{Z}$  for any two  $v, v' \in R(L)$ , holds. ■

We shall consider  $R(L)$  as a root system  $R$  together with a specified quadratic form invariant under the Weyl group  $W(R) = \langle s_v \mid v \in R \rangle$ , and the notion of isomorphism of root systems is that of an isometric bijection. Thus,  $R(L)$  is even a finer invariant than an ordinary root system; we shall sometimes speak of a *scaled root system*.

We observe that  $v$  is a root if and only if  $v$  is an element of  $(v, v)/2$  times the *dual lattice*  $L^\# = \{y \in V \mid (L, y) \subseteq \mathbf{Z}\}$ . In particular,  $(v, v)/2$  divides the exponent of the finite group  $T(L) := L^\# / L$ , the so-called *discriminant group* of  $L$ . If  $L$  is self-dual (unimodular), then the roots are precisely the vectors of norm  $(v, v) = 1$  or  $2$ . In general, all divisors of twice the exponent of  $T(L)$  may occur as norms of roots (think of lattices with an orthogonal basis).

As examples, consider the two quadratic forms given by the following matrices (the indices at the vectors indicate the value  $(v, v)$ ):

$$L_1 \cong \begin{pmatrix} 2 & 0 & 1 \\ 0 & 2 & 1 \\ 1 & 1 & 3 \end{pmatrix}, \quad \det L_1 = 8,$$

$$\begin{aligned} R(L_1) &= \{ \pm(1, 0, 0)_2, \pm(0, 1, 0)_2, (\pm 1, \pm 1, 0)_4, \pm(1, 1, -2)_8 \} \\ &\cong C_2 \perp {}^4A_1 \end{aligned}$$

$$L_2 \cong \begin{pmatrix} 2 & 1 & 1 \\ 1 & 3 & 0 \\ 1 & 0 & 3 \end{pmatrix}, \quad \det L_2 = 12$$

$$R(L_2) = \{ \pm(1, 0, 0)_2, \pm(1, -1, -1)_4, \pm(0, 1, -1)_6 \} \cong A_1 \perp {}^2A_1 \perp {}^3A_1.$$

Here  $\perp$  denotes the orthogonal sum of two root systems which is defined in the obvious way (as a set, it is the disjoint union). The notation  $A_l$ ,  $C_l$ , etc., for irreducible root systems is the usual one; the additional left upper index denotes scaling of the quadratic form (cf. Section 2 below). The lattices  $L_1$  and  $L_2$  are reflective.

In this paper, we shall show that reflective lattices  $L$  are classified by pairs  $(R, \mathcal{L})$ , where  $R$  is a scaled root system, and  $\mathcal{L}$ , the so-called *glue code* of  $L$ , is a subgroup of a group  $\bar{T}(R)$ , the *reduced discriminant group* of  $R$ . The reduced discriminant group  $\bar{T}(R)$  is by definition a subgroup of the discriminant group  $T(R)$  of the root lattice generated by  $R$ . The important fact is that  $\bar{T}(R)$  only depends on the combinatorial equivalence class of  $R$ . We then prove a couple of results about decomposability, and about the full root system  $R(L)$  in a situation where one starts only with a sub-root-system  $R \subset R(L)$ . In the concluding Section 4, we shall see that these general results will lead without too much additional effort to the classification of all reflective lattices in dimensions  $\leq 6$ .

The notion of reflective lattices had been introduced by Vinberg [Vin72] in the context of arithmetic groups acting on hyperbolic space, and generated by reflections in hyperplanes. In the course of investigating such groups, one is naturally led to define "reflective" lattices of signature  $(m, 1)$ , for some  $m$ . A basic lemma of Vinberg relates the reflectivity of such an indefinite lattice to the reflectivity of its positive definite sublattices. See [Vin72, Vin85, SW92] for details.

So far, positive definite reflective lattices have been investigated as objects in their own right only in the unimodular case [Ven80, Ker94], and recently in the 2-elementary case [SV94]. Kervaire in [Ker94] treats the dimension 32, where the determination of all admissible codes (for the

various different root systems) is already very elaborate. The present paper shows, among other things, that unimodularity is inessential as far as the codes are concerned. Thus, concrete classifications along the lines of [Ker94] could be extended to other genera of not too large dimension and determinant.

The use of the scaled root system as a refined invariant of an arbitrary lattice is exemplified in the tables of [SH94].

## 2. GENERAL RESULTS ON THE STRUCTURE OF REFLECTIVE LATTICES

Let  $R$  be a scaled root system (in a rational vector space) and  $R = \langle R \rangle$  the  $\mathbf{Z}$ -lattice generated by  $R$ . Since  $R$  is supposed to carry an integral quadratic form (invariant under the Weyl group  $W(L)$ ), we can consider its *discriminant group*

$$T(R) := R^\# / R.$$

Let  $L$  be a reflective lattice with  $R(L) \supseteq R$  and of the same rank as  $R$ . Since  $L$  is integral, it is contained in  $R^\#$ , and is obviously determined up to isomorphism by the pair  $(R, \mathcal{L})$ , where  $\mathcal{L} := L/R \subseteq T(R)$ . Here an isomorphism of pairs  $(R, \mathcal{L})$  and  $(R', \mathcal{L}')$  is an isometry  $R \rightarrow R'$  such that the induced map  $T(R) \rightarrow T(R')$  maps  $\mathcal{L}$  to  $\mathcal{L}'$ . To any reflective lattice there is naturally associated the pair  $(R(L), L/\langle R(L) \rangle)$  with  $R = R(L)$  the unique maximal choice. We call  $L/\langle R(L) \rangle$  the *glue code* or simply the *code* of  $L$ . More generally, if  $R_0 \subset R(L)$  is a sub-root-system of full rank, then  $L/\langle R_0 \rangle \subseteq T(R_0)$  is the *code of  $L$  over  $R_0$* . Thus, the classification of reflective lattices is equivalent to the classification of certain pairs  $(R, \mathcal{L})$ . Here  $R$  is a priori an arbitrary scaled root system. For instance, for the combinatorial type  $A_1 \perp \cdots \perp A_1 = nA_1$  ( $n$ -factors) we have arbitrary parameters  $\alpha_1, \dots, \alpha_n$  for the norms of the roots. Extending the standard terminology  $A, B, C, D, E, F, G$  for the usual root systems or Dynkin diagrams, we shall denote the irreducible scaled root systems as follows ( $\alpha$  is any positive integer):

|   |                                 |
|---|---------------------------------|
| ${}^\alpha A_n, {}^\alpha D_n, {}^\alpha E_n$ | roots of length $2\alpha$       |
| ${}^\alpha B_n$                               | short roots of length $\alpha$  |
| ${}^\alpha C_n$                               | short roots of length $2\alpha$ |
| ${}^\alpha G_2$                               | short roots of length $2\alpha$ |
| ${}^\alpha F_4$                               | short roots of length $2\alpha$ |

By  ${}^\alpha X_n$  as above, we really mean the set of vectors of the corresponding root system; the lattice generated by these vectors will be denoted by  $X_n = \langle {}^\alpha X_n \rangle$ . With the exception of  $A_1 = {}^2B_1$  and  $C_2 = {}^2B_2$ , the normalization of the quadratic form is such that  ${}^\alpha X_n$  is integral if and only if  $\alpha \in \mathbf{N}$ . A root system is called *normalized* if  $\alpha = 1$  for all its irreducible components  ${}^\alpha X$ .

The usual notion of isomorphism of two root systems  $R$  and  $R'$  is called *combinatorial equivalence* here: there exists a bijection  $\varphi: R \rightarrow R'$  such that  $(\varphi u, \varphi v)/(\varphi v, \varphi v) = (u, v)/(v, v)$  for all  $u, v \in R$ . (This can be defined without referring to the scalar product.) Except for the ambiguity in rank 1 and 2 mentioned above, every scaled root system is combinatorially equivalent to a unique normalized root system; we can thus identify combinatorial types of root systems with normalized root systems.

The description of reflective lattices by pairs  $(R, \mathcal{L})$  can be considered as a special case (with additional structure, of course) of the following well known general principle.

Let  $M$  be an integral lattice. Then the integral over-lattices  $L \supseteq M$  of the same rank are in one-to-one correspondence with the subgroups  $\mathcal{L} \subseteq T(M)$  of the discriminant group of  $M$  which are totally isotropic, i.e.,  $\mathcal{L} \subseteq \mathcal{L}^\perp$ , with respect to the *discriminant bilinear form*  $T(M) \times T(M) \rightarrow \mathbf{Q}/\mathbf{Z}$ . Since some of our results also apply to non-reflective lattices (in the sense that they deal with only a part of the root system), we occasionally use the above terminology in the following more general way: Let  $R_0 \subseteq R(L)$  be a sub-root-system, possibly with  $\text{rank } R_0 < \text{rank } R(L)$  or  $\text{rank } R(L) < n$ . Then  $\mathcal{L} := L/(\langle R_0 \rangle \perp \langle R_0^\perp \cap L \rangle) \subseteq T(R_0) \oplus T(R_0^\perp \cap L)$  is still called the (*glue*) *code of  $L$  over  $R_0$* .

We recall that any positive definite lattice  $L$  is the orthogonal sum of uniquely determined indecomposable sublattices  $L_i$ . It is readily seen that each  $L_i$  is reflective if  $L$  is. See the end of this section for more details. Therefore, it is sufficient to classify indecomposable reflective lattices. We shall see that this assumption leads to great simplifications. In particular, large classes of root systems are eliminated.

The classification of (indecomposable) reflective lattices in a fixed dimension  $n$  can roughly be subdivided into the following steps.

*Step I.* Determine an appropriate set of combinatorial root systems  $R_0$  of rank  $n$  such that each root system of an indecomposable reflective lattice of dimension  $n$  contains one of the  $R_0$ 's.

One could consider all minimal root systems in dimension  $n$  (see Proposition 2.6). We shall see later in Propositions 2.8 and 2.10 how indecomposability reduces the list. On the other hand, it is not adequate to really restrict oneself to minimal root systems, as will become clear later.

*Step II.* For each root system  $R_0$  from step I, now considered as a scaled root system  ${}^\alpha X \perp {}^\beta Y \dots$  for arbitrary  $\alpha, \beta, \dots$ , determine all glue codes  $\mathcal{L}_0 \subseteq T(R_0)$  such that the following holds:

- (i)  $\mathcal{L}_0 \subseteq T(R_0)$  is invariant under  $W(R)$ .
- (ii) The vectors  $v \in R_0$  remain primitive in the inverse image  $L = L(R_0, \mathcal{L}_0)$  of  $\mathcal{L}_0$  in  $\mathbf{Q}R_0$ .
- (iii)  $L$  is integral.
- (iv)  $L$  is indecomposable.

Conditions (i) and (ii) are equivalent to the desired inclusion  $R_0 \subseteq R(L)$ . If one does not want to mention  $L$  explicitly, one can reformulate (iii) by requiring that  $\mathcal{L}$  should be totally isotropic with respect to the discriminant bilinear form on  $T(R_0)$ . This amounts to certain congruence conditions on the scales  $\alpha, \beta \dots$ .

*Step III.* For each pair  $(R_0, \mathcal{L}_0)$  coming from Step II, calculate the full root system  $R(L)$  of the lattice  $L = L(R_0, \mathcal{L}_0)$ .

Clearly, the outcome of Step III will essentially depend on the scales  $\alpha, \beta \dots$ . For instance, if these are all distinct, then there will be only few possibilities for additional reflections, because these still have to permute the roots of some fixed length. Recall that we eventually only want to list pairs  $(R, \mathcal{L})$  where  $R$  is the full root system of  $L(R, \mathcal{L})$ ; Step III gives the necessary restrictions on the scales.

*Step IV.* Select one representative in each isomorphism class of pairs  $(R, \mathcal{L})$  (or lattices  $L$ ) obtained in Step III.

For a fixed  $R = {}^\alpha X \perp {}^\beta Y \dots$ , Step IV amounts to choosing one representative in each orbit of the  $\mathcal{L}$ 's under the orthogonal group  $O(R)$ . It is easily achieved in an ad hoc way in all dimensions for which the procedure will be fully carried out. In practice, most of the work will already be done in Step III where we shall of course suppress codes which are equivalent to others with respect to automorphisms of  $R$ . It depends on the choice of root systems we start from in Step I to what extent the same lattice might be obtained from different sub-root-systems.

We now prove a series of general propositions which allow us to carry out explicitly Steps I, II, and III of the above procedure in small dimensions.

Before formulating the main general result—related to Step II—which we prove about the reflective lattices, we have to introduce the reduced discriminant group of  $R$ . If  $M$  is any lattice and  $\alpha \in \mathbf{Q}$ , then  $({}^\alpha M)^\# = (1/\alpha)M^\#$  (as abelian groups). Thus, if  $\alpha \in \mathbf{N}$ , then  $M^\# / M$  is a subgroup of  $({}^\alpha M)^\# / {}^\alpha M$ . In particular, we see that  $T(X) \subseteq T({}^\alpha X)$  for any root

system  $X$  and any  $\alpha \in \mathbf{N}$ . Now let  $R$  be an arbitrary scaled root system. Write  $R = {}^\alpha X \perp {}^\beta Y \perp \dots$ , where each of  $X, Y, \dots$  is irreducible, normalized, and  $\neq B_1, B_2$  if  $\alpha, \beta, \dots$  is even. Thus  $R$  is uniquely determined. The desired subgroup  $\bar{T}(R) \subseteq T(R)$  will be defined component-wise:  $\bar{T}(R) := \bar{T}({}^\alpha X) \oplus \bar{T}({}^\beta Y) \oplus \dots$ . If  $X$  is not of type  $B$  or  $C$ , we simply set  $\bar{T}({}^\alpha X) := T(X)$ . For a component  ${}^\beta B_l$  or  ${}^\gamma C_l$ , we shall single out a still smaller subgroup as follows. We use the following explicit description of the root systems  ${}^\alpha B_l$  and  ${}^\alpha C_l$  and their lattices:

$${}^\alpha B_l = \oplus \mathbf{Z}e_i, (e_i, e_j) = \alpha \delta_{ij}$$

roots:  $\pm e_i$  of norm  $\alpha$ ,  $\pm e_i \pm e_j$  of norm  $2\alpha$ ;

$${}^\alpha C_l = \{ \sum x_i e_i \in {}^\alpha B_l \mid \sum x_i \equiv 0(2) \}$$

roots:  $\pm e_i \pm e_j$  of norm  $2\alpha$ ,  $\pm 2e_i$  of norm  $4\alpha$ .

Now we set  $\bar{T}({}^\beta B_l) = 0$  if  $\beta$  is odd, and

$$\bar{T}(R) = \mathbf{F}_2 \bar{g} \cong \mathbf{F}_2,$$

where

$$g = \frac{1}{2}(e_1 + \dots + e_l) \quad \text{if } R = {}^{2\alpha} B_l$$

$$g = e_1 \quad \text{if } R = {}^\alpha C_l.$$

Notice that  $\bar{T}$  is independent of the choice of coordinates.

**DEFINITION 2.1.** Let  $R$  be an arbitrary scaled root system. The subgroup  $\bar{T}(R)$  of  $T(R)$  is called the *reduced discriminant group* of  $R$ .

**THEOREM 2.2.** Let  $L$  be any lattice and  $R$  a root system contained in  $L$ . Let  $\mathcal{L} = L / (\langle R \rangle \perp (R^\perp \cap L))$  be the glue code of  $L$  over  $R$ , and  $\text{pr } \mathcal{L} \subset T(R)$  its projection onto the factor  $R$ . Then the elements of  $R$  are actually roots of  $L$  if and only if  $\mathcal{L}$  is contained in the reduced discriminant group  $\bar{T}(R)$ , and furthermore  $\mathcal{L}$  contains no elements of the form  $e/2$ , where  $e$  is a basis vector of an  $A_1$ -component.

The last condition says that, if  $R_0 = n_0 A_1$  is the product of all copies  $A_1$  in  $X \perp Y \perp \dots$ , then  $\mathcal{L} \cap T(R_0) \subseteq \mathbf{F}_2^{n_0}$  has minimal weight  $\geq 2$ .

**COROLLARY 2.3.** Reflective lattices  $L$  are classified by pairs  $(R, \mathcal{L})$ , where  $R$  is a scaled root system and  $\mathcal{L} \subseteq \bar{T}(R)$  a certain subgroup of the reduced discriminant group.

**COROLLARY 2.4.** To a reflective lattice whose components are of type  $B$  or  $C$  we can canonically associate a binary code of length  $m$ , where  $m$  is the number of components of  $R(L)$ .

*Proof of Theorem 2.2.* We can treat the components of  $R$  separately and may thus assume that  $R = {}^\alpha X$  is irreducible. First consider the case where  $X$  is of type A, D, or E. If  $R \subseteq R(L)$ , then for any root  $a \in X$  we have

$$2(a, L)/(a, a) = (a, L)/\alpha = (a, \text{pr}_X(L))/\alpha \in \mathbf{Z}.$$

That is,  $\text{pr}_X(L) \subseteq \alpha \langle {}^\alpha X \rangle^\# = \langle X \rangle^\#$ , as desired.

Conversely, assume that  $\mathcal{L} \subseteq \bar{T}(R)$ . Then the first part of the proof shows that even  $s_a(u) \equiv u \pmod{\langle R \rangle}$  for all  $u \in L$ , i.e.,  $s_a$  fixes  $\mathcal{L}$  pointwise. (This is well known: the Weyl group acts trivially on the weight lattice modulo the root lattice, and for types A, D, E, the weight lattice is the dual of the root lattice.) In particular,  $s_a \in O(L)$ . For all irreducible diagrams  $A_l, D_l, E_l$ , except  $A_1$ , it is immediate from the explicit bases that  $a/m \notin \langle R \rangle^\#$  for all  $a \in R, m \in \mathbf{N}, m > 1$ . That is, the roots remain automatically primitive in any  $L$  given by  $\mathcal{L} \subseteq T(R)$ .

The case  $R = {}^\alpha B_l, \alpha$  odd is settled by Proposition 2.7 below.

Now we treat the case where  $R = {}^{2\alpha} B_l$  or  ${}^\alpha C_l$ , and we first assume that  $R \subseteq R(L)$ . By the previous case, applied to  $l^\alpha A_1 \subset {}^{2\alpha} B_l$ , respectively  ${}^\alpha D_l \subset {}^\alpha C_l$ , we know that the corresponding projection of  $\mathcal{L}$  is contained in  $T(lA_1) = \oplus \mathbf{F}_2 e_i / 2$ , respectively  $T(D_l) = T(C_l) = \langle \bar{e}_1, \bar{g} \rangle \cong \mathbf{F}_2 \times \mathbf{F}_2$ , where  $g = \frac{1}{2}(e_1 + \cdots + e_l)$ .

Consider first the case  $R = {}^{2\alpha} B_l$ , and assume that  $L$  contains a vector of the form

$$x = \frac{e_1}{2} + x', x' \in \langle e_1, e_2 \rangle^\perp.$$

Let  $\sigma$  be the reflection in  $(e_1 - e_2)^\perp$ , then  $\sigma e_1 = e_2$  and  $\sigma x' = x'$ , and thus  $x - \sigma x = \frac{1}{2}(e_1 - e_2) \in L$ . But  $e_1 - e_2 \in {}^{2\alpha} B_l \subset R(L)$  is primitive, a contradiction.

Now consider the case  $R = {}^\alpha C_l$ , and assume that  $L$  contains a vector of the form

$$x = \frac{1}{2}(\pm e_1 + e_2 + \cdots + e_l) + x', x' \in R^\perp.$$

Using the reflection  $\tau$  in  $e_1^\perp$ , we see that  $x - \tau x = e_1 \in L$ . But then  $2e_1 \in R \subset R(L)$  is not primitive, a contradiction.

Conversely, it is easily seen that all elements of  ${}^{2\alpha} B_l$  respectively  ${}^\alpha C_l$  really are roots of  $L$  if  $\text{pr } \mathcal{L} \subseteq \bar{T}(R)$ . ■

One important consequence of 2.2 is that it naturally leads to a notion of combinatorial equivalence of reflective lattices which refers to both the root system and the code, but it is considerably weaker than isometry. To that end, observe that any combinatorial equivalence  $\varphi: R \rightarrow R'$  between



two scaled root systems induces an isomorphism, defined component-wise, of the associated reduced discriminant groups  $\bar{T}(R)$  and  $\bar{T}(R')$ .

DEFINITION 2.5. Two reflective lattices  $L$  and  $L'$ , given by root systems  $R = R(L)$  and  $R' = R(L')$  and glue codes  $\mathcal{L} \subset \bar{T}(R)$  and  $\mathcal{L}' \subset \bar{T}(R')$  are called *combinatorially equivalent* if there exists a combinatorial equivalence from  $R$  onto  $R'$  such that the associated isomorphism from  $\bar{T}(R)$  onto  $\bar{T}(R')$  maps  $\mathcal{L}$  onto  $\mathcal{L}'$ .

For Step I of the above general procedure, the following result is useful.

PROPOSITION 2.6. Every root system contains a sub-root-system of the same dimension having only components  $A_l$ ,  $l \geq 1$  (up to scaling). More precisely, the irreducible root systems  $R$  contain the following sub-root-systems  $R_0$ :

| R                     | $R_0$   |
|-----------------------|---|
| $B_n$                 | $nA_1$  |
| $C_n$                 | $nA_1$  |
| $D_n, n \text{ even}$ | $nA_1$  |
| $D_n, n \text{ odd}$  | $(n - 3)A_1A_3$                                       |
| $F_4$                 | $4A_1, 2A_2$  |
| $E_6$                 | $A_1A_5, 3A_2$  |
| $E_7$                 | $7A_1, A_1^2A_3, A_2A_5, A_7$                         |
| $E_8$                 | $8A_1, 2A_1^2A_3, A_1A_2A_5, A_1A_7, 4A_2, 2A_4, A_8$ |

These sub-root-systems exhaust all minimal sub-root-systems of full rank.

This result is certainly well known, or can be considered as a standard exercise. The main step is contained in [Bou68, Chap. VI, Sect. 4, Exer. 4], where the maximal sub-root-systems are determined. The minimal ones are then found simply by descending as far as possible without decreasing the rank.

In Proposition 2.6, only the combinatorial types of the  $R_0$  are listed. For the root systems having roots of different lengths, the scaling of the  $R_0$ 's is as follows:

| R     | $R_0$  |
|-------|--|
| $B_n$ | $kB_1(n - k)A_1, 0 \leq k \leq n, n - k \equiv 0(2)$ |
| $C_n$ | $kA_1(n - k)^2A_1, 0 \leq k \leq n, k \equiv 0(2)$   |
| $F_4$ | $2A_1^2A_1, A_2^2A_2$                                |
| $G_2$ | $A_1^3A_1, A_2$                                      |

We now turn to condition (iv) of Step II, that is, to decomposability of lattices. First of all, we notice that indecomposable lattices contain only

roots of even norm:

**PROPOSITION 2.7.** *Let  $v$  be a root in a lattice  $L$  such that  $(v, v)$  is odd. Then  $v$  splits off:  $L = \mathbf{Z}v \perp (v^\perp \cap L)$ .*

*Proof.* Since  $v$  is a root,  $2(v, x)/(v, v) \in \mathbf{Z}$  for all  $x \in L$ , necessarily  $(v, x)/(v, v) \in \mathbf{Z}$ . Thus

$$x = \left( x - \frac{(v, x)}{(v, v)}v \right) + \frac{(v, x)}{(v, v)}v \in (v^\perp \cap L) + \mathbf{Z}v,$$

as desired. ■

The next two propositions which are immediate corollaries of Theorem 2.2 reduce very efficiently the number of (minimal) root systems which remain to be considered under assumption (iv).

**PROPOSITION 2.8.** *Let  $R$  be any root system, assume that  $R = R_1 \perp R_2$  with  $|\bar{T}(R_1)|$  and  $|\bar{T}(R_2)|$  co-prime. Then any reflective lattice  $L$  with  $R \subseteq R(L)$  of full rank is decomposable as  $L = L \cap \mathbf{Q}R_1 \perp L \cap \mathbf{Q}R_2$ .*

*Proof.* Obviously  $\mathcal{L} = \mathcal{L} \cap \bar{T}(R_1) \perp \mathcal{L} \cap \bar{T}(R_2)$ , where as usual  $\mathcal{L} = L/\langle R \rangle \subseteq \bar{T}(R)$  is the code of  $L$  over  $R$ . ■

The next proposition is a little technical to state but equally easy to prove; it allows to exclude quite frequently factors  $A_3 = D_3$  from the list of root systems to be considered.

**PROPOSITION 2.9.** *Let  $L, R, R_1, R_2$  be as in Proposition 2.8, assume that  $R_1 = {}^\alpha D_m$  with odd  $m$ , and that the exponent of  $\bar{T}(R_2)$  is not divisible by 4. Then  $R(L) \supseteq {}^{2\alpha} B_m \perp R_2$  or  ${}^\alpha C_m \perp R_2$ .*

*Proof.* We want to show that  $R(L) \cap R_1$  is strictly larger than  $D_m$ . Denote by  $\text{pr}_1, \text{pr}_2$  the projections of  $\bar{T}(R)$  onto  $\bar{T}(R_1) \cong \mathbf{Z}/4\mathbf{Z}$ , resp.  $\bar{T}(R_2)$ . Take  $D_m$  in its standard form  $\{(z_1, z_2, \dots, z_m) \in \mathbf{Z}^m \mid \sum x_i \equiv 0(2)\}$ . Then  $T(D_m)$  is generated by (the class of)  $u := (\frac{1}{2}, \frac{1}{2}, \dots, \frac{1}{2})$ . First of all, notice that, if  $2u \in L$ , then  $e_1, e_2, \dots, e_m \in L$ , and these vectors are additional roots:

$$\frac{2(e_1, x)}{(e_1, e_1)} = 2(e_1, x) \in \mathbf{Z} \text{ for all } x \in L.$$

Now assume that  $2u \notin L$ . Since  $T(D_m) = \langle u \rangle$  is cyclic, this means that  $\mathcal{L} \cap T(D_m) = \{0\}$ , that is, the restriction of  $\text{pr}_2$  to  $\mathcal{L}$  is injective. By the assumption on  $\bar{T}(R_2)$ , this implies that the exponent of  $\mathcal{L}$  is not divisible by 4, and thus  $\text{pr}_1(\mathcal{L}) \subseteq \langle 2u \rangle$ . This means that the projection of  $L$  to  $\mathbf{Q}D_m$  is contained in  $\mathbf{Z}^m$ . It follows that  $2e_1, 2e_2, \dots, 2e_m$  (which are primitive by

our assumption  $2u \notin L$ ) are roots:

$$\frac{2(2e_i, x)}{(2e_i, 2e_i)} = (e_i, x) \in \mathbf{Z} \text{ for all } x \in L. \quad \blacksquare$$

Observe that Proposition 2.9 is applicable whenever  $2T(R_2) = 0$ , in particular in the case  $R_2 = \emptyset$ ,  $m = \dim L$ . This means that  $D_m$  with  $m$  odd never occurs as the root system of a reflective lattice.

**PROPOSITION 2.10.** *Let  $L$  be an arbitrary lattice,  $X$  a component of its root system,  $X \cong {}^\alpha G_2, {}^\alpha F_4$  or  ${}^\alpha E_8$ . Then  $L$  is decomposable as  $L = (L \cap \mathbf{Q}X) \perp (L \cap \mathbf{Q}X^\perp)$ . Furthermore,  $L \cap \mathbf{Q}X \cong {}^\alpha A_2, {}^\alpha D_4, {}^\alpha E_8$ , respectively.*

*Proof.* Let us consider the case  $F_4$ . We use the above standard coordinates for  $R_1 = {}^\alpha F_4 \subseteq \mathbf{Q}^4$ . Write  $V = \mathbf{Q}L = \mathbf{Q}^4 \perp V'$  and consider an arbitrary lattice vector  $v = (x_1, x_2, x_3, x_4, v')$ . By assumption,  $2e_i, i = 1, \dots, 4$  and  $u := e_1 + e_2 + e_3 + e_4$  are roots of  $L$ . Thus  $2(v, 2e_i)/4\alpha = x_i \in \mathbf{Z}$  and  $2(v, u)/4\alpha = (x_1 + x_2 + x_3 + x_4)/2 \in \mathbf{Z}$ . This shows that  $(x_1, x_2, x_3, x_4) \in D_4$ , as claimed.

The case  $G_2$  is similar. The case  $E_8$  is trivial, since  ${}^\alpha E_8$  is  $\alpha$ -modular.  $\blacksquare$

We close this section by giving some more details on Step II, condition (iv). We state two propositions which make the reduction to the indecomposable case explicit and precise.

**PROPOSITION 2.11.** *Let  $L$  be any lattice, and  $L = L_1 \perp \dots \perp L_s$  its decomposition into indecomposables,  $L_i = \mathbf{Z}e_i$  for  $i = 1, \dots, r$  and  $\dim L_i \geq 2$  for  $i = r + 1, \dots, s$ . Then*

$$R(L) = \left\{ \pm e_i \pm e_j \mid 1 \leq i < j \leq r \text{ such that } (e_i, e_i) = (e_j, e_j) \right\} \cup \bigcup_{i=r+1}^s R(L_i).$$

*Proof.* If  $v$  is any root, the reflection  $s_v$  permutes the  $L_i$ . Since it fixes a hyperplane, it must leave invariant all  $L_i$  of dimension at least two, and can interchange at most two, necessarily isometric, one-dimensional components. Furthermore, if  $M$  is any  $s$ -invariant sublattice, then it is readily seen that  $v \in M$  or  $v \in M^\perp$ . The result follows immediately.  $\blacksquare$

**PROPOSITION 2.12.** *Let a reflective lattice  $L$  be given by a root system  $R$  and a code  $\mathcal{L} \subseteq \bar{T}(R)$ . Exclude the trivial case that  $L$  is an orthogonal sum of one-dimensional lattices (and thus  $\mathcal{L} = \mathbf{0}$ ). If  $L$  is decomposable, then the code  $\mathcal{L}$  is decomposable along an appropriate decomposition of  $R$ . That is,*

one can decompose  $R = R_1 \perp R_2$  non-trivially such that  $\mathcal{L} = (\mathcal{L} \cap \bar{T}(R_1)) \perp (\mathcal{L} \cap \bar{T}(R_2))$ .

*Proof.* Decompose  $L$  as in 2.11, set  $L_0 = \sum_{i=1}^r \mathbf{Z}e_i$ ,  $V_0 = \mathbf{Q}L_0$ . Using this proposition, write  $R = R_0 \perp R_{r+1} \perp \cdots \perp R_s$ , where  $R_0 = R \cap V_0$  and  $R_i = R(L_i)$ ,  $i = r + 1, \dots, s$ . Then it is clear that

$$\begin{aligned} \mathcal{L} &= L/R = L_0/R_0 \perp L_{r+1}/R_{r+1} \perp \cdots \perp L_s/R_s \\ &= \{0\} \perp (\mathcal{L} \cap T(R_{r+1})) \perp \cdots \perp (\mathcal{L} \cap T(R_s)). \quad \blacksquare \end{aligned}$$

Notice that the case  $\mathcal{L} \cap \bar{T}(R_i) = 0$  is allowed in Proposition 2.12 (and obviously must be allowed).

### 3. REFLECTIVE LATTICES WITH COMPONENTS $B_l, C_l,$ OR $A_2$

In this section, we prove some results concerning Step III of the general classification procedure outlined in Section 2. In certain cases, we determine the full root system  $R(L) \supseteq R_0$  of a reflective lattice  $L$  given by some root system  $R_0$  and a code  $\mathcal{L}_0 \subseteq T(R_0)$ . The particularly important cases (for a full classification in small dimensions)  $R_0 = nA_1$  and  $mA_2$  are treated in detail in Theorems 3.1 and 3.2; the last result, Proposition 3.3, deals with components of type B or C. For the explicit enumeration of all reflective lattices of some specified dimension, the resulting conditions on  $\mathcal{L}_0$  and on the scales  $\alpha, \beta, \dots$  for the equality  $R_0 = R(L)$  are the most important consequences of this section.

In the following theorem, vectors  $c \in \mathbf{F}_2^n$  ("codewords") are written  $110\dots 0$  etc. The corresponding coset in  $\frac{1}{2}L_0/L_0$ , where  $L_0 = \mathbf{Z}^n$ , is denoted by  $L_0(c) = \{\frac{1}{2}(x_1, \dots, x_n) \mid x_i \in \mathbf{Z}, (x_1, \dots, x_n) \equiv c \pmod{2}\}$ .

**THEOREM 3.1.** *Let  $R_0 = \alpha_1 A_1 \alpha_2 A_1 \cdots \alpha_n A_1$ ,  $n \geq 3$ , and  $\mathcal{L}_0 \subseteq \mathbf{F}_2^n = \bar{T}(R_0)$ . The additional roots of the lattice  $L := L(R_0, \mathcal{L}_0)$  are as follows, up to permutation of the coordinates.*

I. A vector  $v \in L_0 \setminus R_0 = \mathbf{Z}^n \setminus \{\pm e_i\}$  is a root if and only if

$$\begin{aligned} v &= (\pm 1, \pm 1, 0, \dots, 0) & \alpha_1 &= \alpha_2 \\ & & 110 \cdots 0 &\in \mathcal{L}_0^\perp \setminus \mathcal{L}_0 \end{aligned}$$

Let  $c \in \mathcal{L}_0 \setminus \{0\}$ . A vector  $v$  in the coset  $L_0(c)$  is a root if and only if one of the following cases II to V holds:

II.

$$c = 110 \cdots 0 \qquad \alpha_1 = \alpha_2$$

$$v = \left(\pm \frac{1}{2}, \pm \frac{1}{2}, \mathbf{0}, \dots, \mathbf{0}\right)_{\alpha_1} \qquad 110 \cdots 0 \in \mathcal{L}_0$$

III.

$$c = 110 \cdots 0 \qquad \alpha_1 = \alpha_2 = 2\alpha_3$$

$$v = \left(\pm \frac{1}{2}, \pm \frac{1}{2}, \pm 1, \mathbf{0}, \dots, \mathbf{0}\right)_{2\alpha_1} \qquad 110 \cdots 0 \in \mathcal{L}_0, 1110 \cdots 0 \in \mathcal{L}_0^\perp$$

IV.

$$c = 1110 \cdots 0 \qquad \alpha_1 = 2\alpha_2 = 2\alpha_3$$

$$v = \left(\pm \frac{1}{2}, \pm \frac{1}{2}, \pm \frac{1}{2}, \mathbf{0}, \dots, \mathbf{0}\right)_{\alpha_1} \qquad 1110 \cdots 0 \in \mathcal{L}_0, 0110 \cdots 0 \in \mathcal{L}_0^\perp,$$

or  $n = 3$

V.

$$c = 11110 \cdots 0 \qquad \alpha_1 = \alpha_2 = \alpha_3 = \alpha_4$$

$$v = \left(\pm \frac{1}{2}, \pm \frac{1}{2}, \pm \frac{1}{2}, \pm \frac{1}{2}, \mathbf{0}, \dots, \mathbf{0}\right)_{2\alpha_1} \qquad 11110 \cdots 0 \in \mathcal{L}_0 \cap \mathcal{L}_0^\perp$$

*Proof.* Let  $v = (x_1, x_2, \dots, x_n)$  be an additional root, assume that  $x_1, \dots, x_k \neq 0, x_{k+1} = \dots = x_n = 0$ . then  $e_1, \dots, e_k$  together with  $v$  generate an irreducible root system. In particular, there are at most two different values for the lengths of these roots. Assume that

$$\alpha_1 = \dots = \alpha_l = 2\beta, \alpha_{l+1} = \dots = \alpha_k = \beta$$

for some  $\beta$  and an index  $l \leq k$  (possibly  $l = 0$ ).

*First Case.*  $(v, v) = 2\beta$ , i.e.,  $v$  is a “short root.” Since  $(v, v)/2\beta + 2(x_1^2 + \dots + x_l^2) + x_{l+1}^2 + \dots + x_k^2 = 1$  and  $x_i \in \mathbf{Z} \cdot \frac{1}{2}$  for all  $i$ , one of the following must hold:

- (a)  $k = l = 2 \qquad v = \left(\pm \frac{1}{2}, \pm \frac{1}{2}, \pm 1, \mathbf{0}, \dots, \mathbf{0}\right)$
- (b)  $k = 3, l = 1 \qquad v = \left(\pm \frac{1}{2}, \pm \frac{1}{2}, \pm \frac{1}{2}, \mathbf{0}, \dots, \mathbf{0}\right)$
- (c)  $k = 4, l = 0 \qquad v = \left(\pm \frac{1}{2}, \pm \frac{1}{2}, \pm \frac{1}{2}, \pm \frac{1}{2}, \mathbf{0}, \dots, \mathbf{0}\right).$

The condition that this  $v$  actually be a root is that  $2(v, w)/(v, v) \in \mathbf{Z}$  for all  $w \in L$ , i.e.,  $4(v_1w_1 + \dots + v_lw_l) + 2(v_{l+1}w_{l+1} + \dots + v_kw_k) \in \mathbf{Z}$ . Of course this just means  $w(v_{l+1}w_{l+1} + \dots + v_kw_k) \in \mathbf{Z}$ . This condition de-

depends only on  $v_i \bmod \mathbf{Z}$ , i.e., on the image of  $v$  in  $\mathcal{L}$ . It amounts to the condition as given in Case II (no condition), Case IV, or Case V of the theorem, respectively.

*Second Case.*  $(v, v) = 4\beta$ , i.e.,  $v$  is a "long root." Then  $2(x_1^2 + \cdots + x_l^2) + x_{l+1}^2 + \cdots + x_k^2 = 2$ , and thus

$$(d) \quad k = 2, l = 0 \quad v = (\pm 1, \pm 1, 0, \dots, 0)$$

$$(e) \quad k = 3, l = 2 \quad v = (\pm \frac{1}{2}, \pm \frac{1}{2}, \pm 1, 0, \dots, 0).$$

The condition for  $v$  being a root now is  $2(v_1 w_1 + \cdots + v_l w_l) + (v_{l+1} w_{l+1} + \cdots + v_k w_k) \in \mathbf{Z}$ . This again depends only on  $v \bmod L$  and is what we required in Case I, resp. Case III. The additional condition  $110 \cdots 0 \notin \mathcal{L}_0$  in Case I expresses that  $(\frac{1}{2}, \frac{1}{2}, 0, \dots, 0) \notin L$ , i.e., that  $v$  as in (d) is actually primitive. ■

The new roots of type III or IV in Theorem 3.1 never arise separately, but always in connection with new roots of type II or I, respectively. More precisely, we can say the following.

*Remark.* Suppose that the minimal weight of  $\mathcal{L}_0$  is at least 2.

(a) Under the assumption of Theorem 3.1, III, the root system  $R(L)$  contains  ${}^{\alpha_3}B_3$  as a sub-system.

(b) Under the assumption of Theorem 3.1, IV, the root system  $R(L)$  contains  ${}^{\alpha_2}C_3$  as a sub-system.

To see this, we simply observe that  $L \cap (\mathbf{Q}e_1 + \mathbf{Q}e_2 + \mathbf{Q}e_3)$  contains the following roots:

Case III:

2 old short roots  $\pm e_3$ , 4 new short roots of type II,

4 old long roots  $\pm e_1, \pm e_2$ , 8 new long roots of type III.

Case IV:

4 old short roots  $\pm e_2, \pm e_3$ , 8 new short roots of type IV,

2 old long roots  $\pm e_1$ , 4 new long roots of type I (indices 2, 3).

The condition  $0110 \cdots 0 \notin \mathcal{L}_0$  of I is fulfilled, since  $10 \cdots 0 \notin \mathcal{L}_0$ , by assumption.

We now come to the root system  $mA_2$  (with arbitrary scaling). By  $\{e_i, f_i\}$  we denote a root basis for the  $i$ th factor; the  $i$ th factor of the reduced discriminant group  $\bar{T}$  is generated by the residue class  $\bar{g}_i$  of  $g_i := (e_i - f_i)/3 \in A_2^\#$ ; the  $\bar{g}_i$  define an isomorphism  $\bar{T} \cong \mathbf{F}_3^m$ . For  $c \in \mathbf{F}_3^m$ , the

notation  $L_0(c) \subset L_0^\#$ , where  $L_0 = mA_2$ , is analogous to the previous case  $L_0 = nA_1$ .

**THEOREM 3.2.** *Let  $R_0 = \alpha_1 A_2^{\alpha_2} A_2 \cdots \alpha_m A_2$ ,  $m \geq 2$ , and  $\mathcal{L}_0 \subseteq \mathbf{F}_3^m = \overline{T}(R_0)$ . The additional roots of the lattice  $L := L(R_0, \mathcal{L}_0)$  are as follows, up to permutation of the factors  $A_2$ .*

**I.** *A vector  $v \in L_0 \setminus R_0$  is a root if and only if  $v \in \{\pm(e_1 - f_1), \pm(2e_1 + f_1), \pm(e_1 + 2f_2)\}$  (i.e.,  $v$  is a long root in one of the  $A_2$ -factors), and  $\mathcal{L}_0 \subseteq \{0\} \times \mathbf{F}_3^{m-1}$ . In this case,  $L$  is decomposable as  $L = L \cap (\mathbf{Q}e_1 + \mathbf{Q}f_1) \perp L \cap (\mathbf{Q}e_2 + \cdots + \mathbf{Q}f_m)$ .*

*Let  $c \in \mathcal{L}_0 \setminus \{0\}$ . The coset  $L_0(c)$  contains new roots if and only if one of the following cases II to IV holds, up to sign factors:*

**II.**  $c = 10 \dots 0$ ,  $\alpha_1 \equiv 0 \pmod{3}$ , new roots  $g_1, -e_1 + g_1, g_2 + f_1$ . In this case,  $L$  is decomposable as in Case I.

**III.**  $c = 110 \dots 0$ ,  $\alpha_1 = 2\alpha_2$ , 9 + 9 new roots of norms  $\alpha_1$  and  $2\alpha_2$ . In this case,  $R(L) \cap (\mathbf{Q}e_1 + \mathbf{Q}f_1 + \mathbf{Q}e_2 + \mathbf{Q}f_2) = {}^{\alpha_2}F_4$  and  $L$  is decomposable as  $L = L \cap (\mathbf{Q}e_1 + \mathbf{Q}f_1 + \mathbf{Q}e_2 + \mathbf{Q}f_2) \perp L \cap (\mathbf{Q}e_3 + \cdots + \mathbf{Q}f_m)$ .

**IV.**  $c = 1110 \dots 0$ ,  $\alpha_1 = \alpha_2 = \alpha_3$ ,  $u_1 + u_2 + u_3 \equiv 0 \pmod{3}$  for all  $u \in \mathcal{L}_0$ , 27 new roots of norm  $2\alpha_1$ . In this case,  $R(L) \cap (\mathbf{Q}e_1 + \cdots + \mathbf{Q}f_3) \cong {}^{\alpha_1}E_6$ .

We do not give a detailed proof here, which is similar to the proof of Theorem 3.1, but simpler because of the fact that  $G_2$ ,  $F_4$ ,  $E_6$ , and  $E_8$  are the only irreducible over-root-system with the same rank of a root system consisting only of scaled copies of  $A_2$ .

The decomposability in Cases I to III of the last theorem follows from Proposition 2.10.

The next proposition refers to the description of a reflective lattice with  $m$  components  $R_i$  of type  $B_l$  or  $C_l$  by a binary code of length  $m$ , as given in Corollary 2.4. In that corollary, it was allowed that  $R_1 \perp R_2 \perp \cdots \perp R_m$  is only a sub-root-system of  $R(L)$ . In analogy with Theorem 3.2 we study now the question of the full root system as depending on the scales of the  $R_i$  and  $\mathcal{L}$ . An over-root-system of  ${}^\alpha B_l$ ,  $l \geq 3$ , can only be a  ${}^\beta B_m$ ,  $m > l$ , with  $\beta = \alpha$ ; similarly for  ${}^\alpha C_l$ . The only over-root-system of the same rank could be the appropriate  ${}^\beta F_4$  ( $\beta = \alpha/2$  or  $\beta = \alpha$ ) which was treated in Proposition 2.10. Therefore, the following proposition, if necessary applied several times, covers all cases of enlarging components of type B or C. As in Theorem 2.2, we allow non-reflective lattices and further components of arbitrary type in the root system.

We wish to emphasize that the important case of one component being one-dimensional is included in the following proposition. We look at this situation more closely before formulating the general result. First of all, we

extend the definition of the root system  $C_l$ ,  $l \geq 2$  to the case  $l = 1$  by setting  $C_1 = {}^2A_1 = {}^4B_1$  (one pair of roots of norm 4). This definition is suggested by the standard representation of  $C_l$  (see the discussion preceding Definition 2.1), and we shall now see that it fits perfectly well to describe all sub-root-systems of  $C_n$ ,  $n \geq 3$ , of combinatorial type  $A_1C_{n-1}$ . Indeed, one sees immediately that, in standard coordinates, the only choice up to automorphism for such a sub-root-system is  $\{\pm 2e_1\} \perp \{x = (x_1, \dots, x_n) \in C_n \mid x_1 = 0\} \cong {}^2A_1C_{n-1} = C_1C_{n-1}$ . Similarly, for  $B_n$ ,  $n \geq 3$ , the only choice is  $B_1B_{n-1}$ . Therefore, the following proposition really covers all cases of gluing some  ${}^\alpha B_1$  with some  ${}^\beta B_l$  or  ${}^\gamma C_l$ ,  $l \geq 2$ .

**PROPOSITION 3.3 (Fusion of Components B or C).** *Let  $L$  be a lattice with  $R(L) \supseteq R_1 \perp R_2$  where  $R_1$  and  $R_2$  are of type B or C. Let  $\mathcal{L} = L / \langle R_1 \perp R_2 \rangle \perp (L \cap \langle R_1 \perp R_2 \rangle^\perp)$  its glue code over  $R_1 \perp R_2$ . Denote by  $\bar{g}_i$ ,  $i = 1, 2$ , the generator of  $\bar{T}(R_i) \cong \mathbf{F}_2$ .*

(a) *Let  $R_1 = {}^\alpha B_l$ ,  $R_2 = {}^\alpha B_m$ ,  $m \geq 2$ . Then  $R(L) \supseteq {}^\alpha B_{l+m}$  if and only if  $\bar{g}_1 + \bar{g}_2 \in \mathcal{L}^\perp$ .*

(b) *Let  $R_1 = {}^\alpha C_l$ ,  $R_2 = {}^\alpha C_m$ ,  $m \geq 2$ . Then  $R(L) \supseteq {}^\alpha C_{l+m}$  if and only if  $\bar{g}_1 + \bar{g}_2 \in \mathcal{L}$ .*

*Proof.* (a) We use the standard basis vector  $e_1, \dots, e_l$  for  $R_1 \cong B_l$  and  $e_{l+1}, \dots, e_{l+m}$  for  $R_2$ , so that

$$g_1 = \frac{1}{2} \sum_{i=1}^l e_i, \quad g_2 = \frac{1}{2} \sum_{i=l+1}^{l+m} e_i.$$

First observe that  ${}^\alpha B_{l+m} \subseteq R(L)$  if and only if  $e_1 + e_{l+1} \in R(L)$ . Let  $U = (R_1 \perp R_2)^\perp$ , and denote by  $\sigma$  the reflection in  $(e_1 - e_{l+1})^\perp$ . Let  $v = x_1 g_1 + x_2 g_2 + u$ ,  $x_i \in \{0, 1\}$ ,  $u \in U$  be a typical glue vector of  $L$  over  $R_1 \perp R_2$ . If  $g_1 + g_2 \in \mathcal{L}^\perp$ , then  $x_1$  and  $x_2$  are simultaneously 0 or 1, and clearly  $\sigma v = v$ . Thus  $\sigma \in O(L)$ . Since  $\frac{1}{2}(e_1 - e_{l+1})$  is not in  $L$  (this uses  $m \geq 2$  and  $L \subseteq \langle R_1 \perp R_2, g_1, g_2 \rangle + U$ ), the vector  $e_1 - e_{l+1}$  is actually primitive and thus a root. Now assume that  $g_1 + g_2 \notin \mathcal{L}^\perp$ , but still  $e_1 - e_{l+1} \in R(L)$  and thus  $\sigma L = L$ . There exists a  $v$  as above with  $x_1 = 1$ ,  $x_2 = 0$ . Then  $v - \sigma v = \frac{1}{2}(e_1 - e_{l+1}) \in L$ , but we already observed that this is not the case.

(b) We use the same notation  $e_1, \dots, e_{l+m}, U, \sigma$  as in part a) and recall from the proof of Theorem 2.2 that  $L \subseteq \mathbf{Z}e_1 + \dots + \mathbf{Z}e_{l+m} + U$ . Observe that  ${}^\alpha C_{l+m} \subseteq R(L)$  if and only if  $e_1 - e_{l+1} \in R(L)$ . If  $\bar{g}_1 + \bar{g}_2 \in \mathcal{L}$ , then  $e_1 - e_{l+1} \in L$ . Furthermore, this vector is clearly a root, since it is contained in  $\alpha L^\#$ . Conversely, if  $e_1 - e_{l+1} \in R(L)$ , then  $\bar{g}_1 + \bar{g}_2 = \bar{e}_1 - \bar{e}_2 \in \mathcal{L}$  by definition. ■



#### 4. THE CLASSIFICATION OF ALL REFLECTIVE LATTICES IN DIMENSIONS $\leq 6$

In this concluding section, we apply the previous general techniques to obtain the complete classification of all reflective lattices in dimensions  $\leq 6$ . In dimensions 5 and 6, we list all combinatorial types, but we shall refrain from writing down the restrictions on the scales of the irreducible components. This is no essential restriction, in view of Theorems 3.1 and 3.2 and Proposition 3.3. By Propositions 2.11 and 2.12, we can and shall assume that the lattices to be considered are indecomposable. Under this assumption, the next main tool is Proposition 2.8, which drastically reduces the list of root systems to be considered. The precise result concerning this is the following:

**LEMMA 4.1.** *Let  $L$  be an indecomposable reflective lattice of dimension  $\leq 6$ . Then the combinatorial type of its root system is one of the following:*

$A_1; 2A_1, C_2, G_2; 3A_1, A_1C_2, B_3, C_3;$

$4A_1, 2A_1C_2, A_1B_3, A_1C_3, 2A_2, 2C_2, A_4, F_4;$

$5A_1, 3A_1C_2, 2A_1B_3, 2A_1C_3, A_12C_2, A_1B_4, A_1C_4, C_2B_3, C_2C_3, A_5, B_5, C_5;$

$6A_1, 4A_1C_2, 3A_1B_3, 3A_1C_3, 2A_12C_2, 2A_1B_4, 2A_1C_4, 2A_1D_4, A_1C_2B_3,$

$A_1C_2C_3,$

$A_1A_5, A_1B_5, A_1C_5, 3A_2, 3C_2, C_2B_4, C_2C_4, C_2D_4, 2B_3, B_3C_3, 2C_3, 2D_3,$

$A_6, B_6, C_6, D_6, E_6.$

*Proof.* One starts by systematically enumerating, for a fixed dimension  $n$ , all decompositions  $n = n_1 + n_2 + \dots + n_r$  into dimensions of  $r$  components, with  $n_1 \leq n_2 \leq \dots \leq n_r$ , in lexicographic order. For instance, for  $n = 5$  we have (writing 3.1 instead of  $1 + 1 + 1$  etc.)  $5.1, 3.1 + 2, 1 + 2.2, 1 + 4, 2 + 3, 5$ . For each such "dimension vector", one writes down all possible combinatorial root systems  $X_1X_2 \dots X_r$ , where  $X_i \in \{A_{n_i}, B_{n_i}, \dots\}$ , e.g.,  $5A_1, 3A_1A_2, 3A_1C_2, 3A_1G_2, A_12A_2, \dots$ . In this list, all root systems satisfying the assumptions of 2.4 or 2.6 (and thus giving rise to decomposable lattices) are suppressed. In particular,  $A_2$  must not be combined with  $A_1, B_l, C_l$ , and  $G_2$  and  $F_4$  must stand alone. All remaining occurrences of  $A_3 = D_3$  and  $D_5$  except for  $2D_3$  are ruled out by using Proposition 2.8. (To exclude, for instance,  $D_3C_3$ , take  $\bar{R}_3 = 3A_1 \subset C_3$  in that proposition.)

The preceding arguments already lead to the list given in the lemma except that the following root systems have to be ruled out still:

$B_4, C_4, D_4$ : Let  $L$  be a four-dimensional lattice with  $R(L) \supseteq {}^\alpha D_l$  for some  $\alpha$ . By 2.2,  $L \subseteq {}^\alpha(D_4^\#)$  (dual of the unscaled  $D_4$ -lattice). If  $L = {}^\alpha D_4$ ,

then  $R(L) = {}^\alpha F_4$ . If  $L = {}^\alpha(D_4^\#)$ , then  $L \cong {}^{\alpha/2}D_4$  and thus  $R(L) \cong {}^{\alpha/2}F_4$ . If  $L$  is of index 2 over  $D_4$ , then for each of the three cases,  $L$  is isometric to  ${}^\alpha I_4$  (unit lattice) and thus decomposable. (This is of course a special feature of  $D_n$ ,  $n = 4$ .)

$C_2D_4$ , more generally  $B_lD_4, C_lD_4$  for any  $l$ : In view of Theorem 2.2, we have  $[L: \langle R(L) \rangle] = 2$ . Again using the special symmetry of  $D_4$ , we may assume that the  $D_4$ -component of the unique glue vector of  $L$  equals  $e_1/2$  (where as usual  $D_4 \subset \mathbf{Z}e_1 + \dots + \mathbf{Z}e_4$ ,  $(e_i, e_i) = 1$ ). But then clearly  $s_{e_2}$  is an additional reflection (in fact, all  $e_i$  are in  $L$  and are additional roots). ■

For the explicit construction of reflective lattices, we have to know what the appropriate codes look like. In dimensions less than 8, we only have to deal with binary codes plus some other, trivial cases. Every code can be decomposed uniquely into indecomposable ones, as introduced in Proposition 2.12. Therefore, we list only those. Observe that an indecomposable code of length at least 2 satisfies  $\min \mathcal{L} \geq 2$  and  $\min \mathcal{L}^\perp \geq 2$ . In the next lemma, we list them up to length 6 and in particular introduce the notation to be referred to later.  $\mathcal{L}_{n,k}$  denotes a  $k$ -dimensional subspace of  $\mathbf{F}_2^n$ . For  $k = 1$  or  $k = n - 1$ , the only possibilities are

$$\mathcal{L}_{n,1} := \langle 11 \cdots 1 \rangle$$

$$\mathcal{L}_{n,n-1} := \mathcal{L}_1^\perp = \langle 110 \cdots 0, 0110 \cdots 0, \dots, 0 \cdots 011 \rangle$$

Later, when determining the types of lattices, (i.e., codes and combinatorial root systems) we have to take into account the symmetries of the codes. Therefore, we also list the orbits of the permutation group of each code on the positions 1 to  $n$ .

LEMMA 4.2. *The indecomposable binary codes  $\mathcal{L}$  of length  $n$  with  $2 \leq n \leq 6$  are, up to isomorphism, the following:*

$$\mathcal{L}_{2,1}; \quad \mathcal{L}_{3,1}, \mathcal{L}_{3,2};$$

$$\mathcal{L}_{4,1}$$

$$\mathcal{L}_{4,2} = \langle 1110, 1101 \rangle \quad \text{orbits } \{1, 2\}, \{3, 4\}$$

$$\mathcal{L}_{4,3};$$

$$\mathcal{L}_{5,1},$$

$$\mathcal{L}_{5,2a} = \langle 11000, 01111 \rangle \quad \text{orbits } \{1, 2\}, \{3, 4, 5\}$$

$$\mathcal{L}_{5,2b} = \langle 11100, 10011 \rangle \quad \text{orbits } \{1\}, \{2, 3, 4, 5\}$$

$$\mathcal{L}_{5,3a} = \mathcal{L}_{5,2a}^\perp = \langle 00011, 00110, 11100 \rangle$$

$$\mathcal{L}_{5,3b} = \mathcal{L}_{5,2b}^\perp = \langle 00011, 01100, 11010 \rangle$$

$$\mathcal{L}_{5,4};$$

$$\mathcal{L}_{6,1}$$

$$\begin{aligned}
\mathcal{L}_{6,2a} &= \langle 110000, 011111 \rangle && \text{orbits } \{1, 2\}\{3, 4, 5, 6\} \\
\mathcal{L}_{6,2b} &= \langle 111000, 100111 \rangle && \text{orbits } \{1\}\{2, 3\}\{4, 5, 6\} \\
\mathcal{L}_{6,2c} &= \langle 111100, 110011 \rangle && \text{transitive,} \\
\mathcal{L}_{6,3a} &= \langle 001100, 000011, 110101 \rangle && \text{orbits } \{1, 2\}\{3, 4, 5, 6\} \\
\mathcal{L}_{6,3b} &= \langle 110000, 011000, 001111 \rangle && \text{orbits } \{1, 2, 3\}\{4, 5, 6\} \\
\mathcal{L}_{6,3c} &= \langle 001100, 111000, 010011 \rangle && \text{orbits } \{1\}\{2\}\{3, 4\}\{5, 6\} \\
\mathcal{L}_{6,3d} &= \langle 110000, 011100, 010011 \rangle && \text{orbits } \{1, 2\}\{3, 4, 5, 6\} \\
\mathcal{L}_{6,3e} &= \langle 100011, 010101, 001110 \rangle && \text{transitive} \\
\mathcal{L}_{6,4a} &= \mathcal{L}_{6,2a}^\perp \\
\mathcal{L}_{6,4b} &= \mathcal{L}_{6,2b}^\perp \\
\mathcal{L}_{6,4c} &= \mathcal{L}_{6,2c}^\perp \\
\mathcal{L}_{6,4d} &= \mathcal{L}_{6,2d}^\perp \\
\mathcal{L}_{6,4e} &= \mathcal{L}_{6,2e}^\perp \\
\mathcal{L}_{6,5} & \cdot
\end{aligned}$$

*Proof.* One first proves the general formula

$$\sum_{x \in \mathcal{L}} \|x\| = |\mathcal{L}| \cdot \frac{n}{2},$$

where  $\|x\|$  denotes the Hamming weight of  $x \in \mathbf{F}_2^n$ . This formula readily follows from the Mac-Williams identity and the fact that the coefficient of  $X^1$  in the weight distribution of  $\mathcal{L}^\perp$  is zero, by assumption. The equivalent fact that for each position  $i$  between 1 and  $n$ , there exists at least one codeword with a 1 in that position, is used without explicit mentioning in the following discussion. We give a full proof only in the case  $n = 6$ . The cases where  $n \leq 5$  are comparatively trivial.

First consider the case  $\dim \mathcal{L} = 2$ . We use the notation  $0^{11}2^{m_2}3^{m_3} \dots$  for the weight distribution, where  $m_i$  is the number of codewords of weight  $i$ . If  $m_2 = m_3 = 0$ , then clearly  $\mathcal{L} \cong \mathcal{L}_{6,2c}$ . If  $m_2 = 0$  and  $m_3 > 0$ , then  $m_3 = 1$ , for otherwise  $\mathcal{L}$  would be generated without loss of generality by 111000 and 000111 and thus decomposable. Clearly,  $\mathcal{L} \cong \mathcal{L}_{6,2b}$ . Similarly, if  $m_2 > 0$ , then  $m_2 = 1$ , and  $\mathcal{L} \cong \mathcal{L}_{6,2a}$ .

Now consider the case  $\dim \mathcal{L} = 3$ . The minimum weight of  $\mathcal{L}$  cannot be 4, since in that case the sum of the weights would be at least  $7 \cdot 4 = 28$ . Let the minimum weight be equal to 3. Then  $\mathcal{L}$  contains four odd and three non-zero even codewords, and the weight distribution necessarily is

$0^13^44^3$ . The sum of any two codewords of weight 3 is of weight 4. This easily leads to the code  $\mathcal{L}_{6,3e}$ .

Now assume that the minimum weight is 2, and first consider the case  $m_2 = 1$ . The code cannot be even, since it would then have a total weight of at least  $2 + 6 \cdot 4 = 26$ . Now it follows that  $m_3 \geq 3$ , for otherwise the total weight would again be at least  $2 + 2 \cdot 3 + 2 \cdot 4 + 2 \cdot 5 = 26$ . We see that  $\mathcal{L}$  is generated, up to permutation, by 110000 and two codewords  $y_1, y_2$  of weight 3. Because of indecomposability, one of them has one 1 in common with the first generator; without loss of generality  $y_1 = 011100$ . It is now obvious that there are essentially two choices for  $y_2$ , namely 010011 which gives  $\mathcal{L}_{6,3d}$ , and 000111 which leads to  $\mathcal{L}_{6,3c}$  after permuting the positions (13) and (24). We finally proceed to the case where  $m_2 > 1$ . Then the subspace  $\mathcal{L}'$  of  $\mathcal{L}$  generated by the words of weight 2 must be 2-dimensional, for otherwise  $\mathcal{L} \cong \langle 110000, 001100, 000011 \rangle$  would be decomposable. If  $\mathcal{L}' = \langle 110000, 001100 \rangle$ , then the third generator must be equal to 101011 modulo  $\mathcal{L}'$ , for any other possibility would lead to a decomposable code. So we have  $\mathcal{L}_{6,3a}$ , up to permutation. If  $\mathcal{L}' = \langle 110000, 011000 \rangle$ , the same argument leads to the generator 001111 and the code  $\mathcal{L}_{6,3b}$ . ■

As a last preparatory step before the explicit listing of all reflective lattices in dimensions  $\leq 6$ , we now record what the reflective lattices with irreducible root system look like.

**LEMMA 4.3.** (a) For  $l \in \mathbf{N}$ ,  $l \geq 3$ ,  $l \neq 4$ , and  $\alpha \in 2\mathbf{N}$  such that  $l\alpha \in 4\mathbf{N}$ , there is a unique indecomposable reflective lattice with root system  ${}^\alpha B_l$ . This is the lattice  $B_l + \mathbf{Z}g = ({}^\alpha D_n)^\#$ , where the glue vector  $g$  is as in Definition 2.1.

(b) For  $l \in \mathbf{N}$ ,  $l \geq 2$ ,  $l \neq 4$  and  $\alpha \in \mathbf{N}$ , there is a unique indecomposable reflective lattice with root system  ${}^\alpha C_l$ , namely  ${}^\alpha C_l = {}^\alpha D_l$ .

For other pairs  $l, \alpha$ , with  $l \geq 3$  resp.  $l \geq 2$ , such a lattice does not exist.

*Proof.* (a) If  $L$  is indecomposable with root system  ${}^\alpha B_l$ , then  $\alpha$  must be even, by Proposition 2.7, and the glue code must be non-trivial, since  ${}^\alpha B_l$  itself is an orthogonal sum of 1-dimensional lattices. By Theorem 2.2,  $L = {}^\alpha B_l + \mathbf{Z}g$  as claimed. Integrality is equivalent to  $(g, g) = l\alpha/4 \in \mathbf{Z}$ . For  $l \neq 4$ , the root system  ${}^\alpha B_l$  is maximal in its dimension, and therefore the full root system  $R(L)$  must be equal to  ${}^\alpha B_l$ . For  $l = 4$ , we have  $L \cong {}^{\alpha/2} D_4$  and  $R(L) \cong {}^{\alpha/2} F_4$ .

(b) If  $L$  has root system  ${}^\alpha C_l$ , then necessarily  $\mathcal{L} = 0$ , by Theorem 2.2. (For otherwise,  $e_i \in L$ ,  $i = 1, \dots, l$  in standard coordinates, and the long roots would not be primitive.) The case  $l = 4$  is excluded like in part a). ■

We briefly look at the other irreducible root systems where the result is too obvious to be formulated as a separate lemma. We already observed,

after Proposition 2.9, that  ${}^\alpha D_n$  with odd  $n$  never occurs as a root system of a reflective lattice. On the other hand, if  $n$  is even and  $n > 4, n \neq 8$  there is a unique (up to automorphism) such lattice, namely  $\widetilde{{}^\alpha D_n} = {}^\alpha D_n + \mathbf{Z}g$ , where  $g$  is one of the long glue vectors, for instance  $g = (e_1 + e_2 + \dots + e_n)/2$  in standard coordinates. Here,  $\alpha$  must be even if  $n \equiv 2(4)$ .

The root systems  $A_2$  and  $A_3 \cong D_3$  do not occur ( $A_2$  enlarges to  $G_2$ ). The discriminant group of  $A_n$  is cyclic, of order  $n + 1$ , thus for any divisor  $k$  of  $n + 1$ , the root lattice  $A_n$  has a unique over-lattice  $A_n[k]$ , contained in  $A_n^\#$  and of index  $k$  over  $A_n$ . These lattices are well known from the literature, e.g., on perfect forms. Because of its uniqueness,  $A_n[k]$  is preserved by all automorphisms of  $A_n$ , in particular by the reflections. Furthermore, it is readily seen that the roots of  $A_n$  remain primitive in  $A_n[k]$ . Thus we have  $A_n \subseteq R(A_n[k])$ . For  $n \geq 4$ , we have equality except for the cases  $A_7[2] \cong E_7, A_8[3] \cong E_8$ , since  $A_n, n \geq 4, n \neq 7, 8$  is a maximal root system in its dimension. We do not reproduce the well known explicit shape of the glue vectors which shows that  ${}^\alpha A_n[k]$  is integral if and only if  $\alpha k(n + 1 - k)/(n + 1) \in \mathbf{N}$ . Summing up, we see that the combinatorial types of reflective lattices with root system  $A_n, n \geq 4$  are exactly represented by the  $A_n[k], (n, k) \neq (7, 2), \neq (8, 3)$ .

For  $E_6, E_7$ , the discriminant group is  $Z_3, Z_2$  respectively, and the combinatorial types are represented by  $E_6, E_6^\#, E_7, E_7^\#$ . Finally, for  $G_2, F_4$ , or  $E_8$ , the glue code of a lattice with this root system must be trivial (otherwise, the roots of  $G_2$  and  $F_4$  would not stay primitive in the larger lattice; cf. also the proof of Proposition 2.10).

Since 2-dimensional lattices have a unique reduced Gram matrix, it seems appropriate to list also the reflective ones in this form. This is done in part (ii) of the following proposition, whereas part (i) uses the setup of this paper. The result is anyway obvious and only stated for the sake of completeness.

PROPOSITION 4.4. (i) *The 2-dimensional reflective lattices, given in terms of their root system R and code  $\mathcal{L}$ , are precisely the following:*

|     | R                         | $\mathcal{L}$       | restrictions                                     | determinant   |
|-----|---------------------------|---------------------|--|---------------|
| (a) | ${}^\alpha A_1^\beta A_1$ | $\mathcal{L}_{2,1}$ | $\alpha < \beta$<br>$\alpha + \beta \equiv 0(2)$ | $\alpha\beta$ |
| (b) | ${}^\alpha G_2$           | 0                   | no   | $3\alpha^2$   |
| (c) | ${}^\alpha B_1^\beta B_1$ | 0                   | $\alpha < \beta$                                 | $\alpha\beta$ |
| (d) | ${}^\alpha B_2$           | 0                   | no   |               |

(ii) *Let L be given by a reduced Gram matrix  $\begin{pmatrix} a & b \\ b & c \end{pmatrix}$ , that is  $0 \leq 2b \leq a \leq c$ . Then L is reflective if and only if  $O(L) \neq \{\pm 1\}$  if and only if  $2b = a$  or*

$a = c$  or  $b = 0$ . The root system of  $L$  is

- (a)  ${}^\alpha A_1 {}^\beta A_1$  with  $\alpha = a/2, \beta = 2c - a/2$ , if  $2b = a < c$   
with  $\alpha = a - b, \beta = a + b$ , if  $0 < 2b < a = c$
- (b)  ${}^\beta G_2$  if  $2b = a = c$
- (c)  ${}^a B_1 {}^c B_1$  if  $b = 0, a < c$
- (d)  ${}^a B_2$  if  $b = 0, a = c$

The lattice is decomposable precisely in the cases (a) and (b), the code is non-zero in case (a) and zero otherwise.

*Proof.* Part (i) is obvious after the previous general discussion. For part (ii), one verifies that the following vectors are roots, where  $e_1, e_2$  are basis vectors with the above Gram matrix:

$$\begin{array}{ll} e_1 - e_2, e_1 + e_2 & \text{of norms } 2(a - b) \text{ resp. } 2(a + b) \\ & \text{if } b = 0 \\ e_1, -e_1 + 2e_2 & \text{of norms } a \text{ resp. } 4c - a \\ & \text{if } 2b = a \quad \blacksquare \end{array}$$

**THEOREM 4.5.** *The 3-dimensional, indecomposable, reflective lattices, given in terms of their root system  $R$  and glue code  $\mathcal{L}$  are precisely the following:*

|     | $R$  | $\mathcal{L}$       | restrictions   | determinant           |
|-----|--|---------------------|--|-----------------------|
| (a) | ${}^\alpha A_1 {}^\beta A_1 {}^\gamma A_1$ | $\mathcal{L}_{3,1}$ | $\alpha < \beta < \gamma$<br>$\alpha + \beta + \gamma \equiv 0(2)$           | $2\alpha\beta\gamma$  |
| (b) | ${}^\alpha A_1 {}^\beta A_1 {}^\gamma A_1$ | $\mathcal{L}_{3,2}$ | $\alpha < \beta < \gamma$<br>$\alpha \equiv \beta \equiv \gamma \equiv 0(2)$ | $\alpha\beta\gamma/2$ |
| (c) | ${}^\alpha A_1 {}^\beta C_2$               | $\mathcal{L}_{2,1}$ | $\alpha \neq \beta, 2\beta$<br>$\alpha \equiv 0(2)$                          | $2\alpha\beta^2$      |
| (d) | ${}^\alpha B_3$                            | $\neq 0$            | $\alpha \equiv 0(4)$   | $\alpha^3/4$          |
| (e) | ${}^\alpha C_3$                            | $0$                 | no   | $4\alpha^3$           |

*Proof.* By Lemma 4.1, the root system  $R(L)$  contains a sub-root-system  $R_0 = {}^\alpha A_1 {}^\beta A_1 {}^\gamma A_1$ . The two possible codes  $\mathcal{L} \subset \bar{T}(R_0) = \mathbf{F}_2^3$  are  $\mathcal{L}_{3,1} = \langle 111 \rangle$  and  $\mathcal{L}_{3,2} = \langle 110, 011 \rangle$ . We now discuss the full root system of  $L = L(R_0, \mathcal{L})$ , using Theorem 3.1. If  $\alpha, \beta, \gamma$  are pairwise distinct, then none of the Cases I to V of that theorem applies, and thus  $R(L) = R_0$ . This gives the cases (a) and (b) of Theorem 4.5. The stated congruence conditions express the integrality of the glue vectors and thus of the whole lattice. If

at least two of  $\alpha, \beta, \gamma$  are equal, then there are additional roots (at least) of type I or type II of Theorem 3.1 in the cases  $\mathcal{L} = \mathcal{L}_{3,1}, \mathcal{L}_{3,2}$ , respectively. Thus, the full root system is one of  ${}^\alpha A_1^\beta C_2$ ,  ${}^\alpha B_3$ , or  ${}^\alpha C_3$ . In the last two cases, there exists a unique reflective lattice according to 4.3. In the case  ${}^\alpha A_1^\beta C_2$ , the uniqueness comes from Theorem 2.2 (the glue vector necessarily equals  $e_1/2 + g = e_1/2 + e_2$  according to Definition 2.1). We finally have to check that the stated congruence conditions on  $\alpha$  and  $\beta$  are the correct ones. After the discussion preceding Proposition 3.3, the only possibilities of enlarging  ${}^\alpha A_1^\beta C_2$  are  ${}^\alpha A_1^\beta C_2 = {}^\beta C_1^\beta C_2$ , i.e.,  $\alpha = 2\beta$ , or  ${}^\alpha A_1^\beta C_2 = {}^{2\beta} B_1^{2\beta} B_2$ , i.e.,  $\alpha = \beta$ . In either of these cases, the root system does enlarge, since the condition of Proposition 3.3 is clearly satisfied. The glue vector has norm  $\alpha/2 + \beta$ , and thus  $\alpha \equiv 0(2)$ . ■

We remark that the conditions for  ${}^\alpha A_1^\beta C_2$  could also have been obtained from the remark following Theorem 3.1, using  $2{}^\beta A_1 \subset {}^\beta C_2$ .

**COROLLARY 4.6.** *A 3-dimensional reflective lattice of determinant not divisible by 4 is decomposable.*

This is obtained from Theorem 4.5 simply by inspection of the congruence conditions and determinant, in each case (a) to (e).

**THEOREM 4.7.** *The 4-dimensional, indecomposable, reflective lattices, given in terms of their root system R and glue code  $\mathcal{L}$  are precisely the following:*

|     | R   | $\mathcal{L}$       | restrictions  | determinant                 |
|-----|---|---------------------|---|-----------------------------|
| (a) | ${}^\alpha A_1^\beta A_1^\gamma A_1^\delta A_1$ | $\mathcal{L}_{4,1}$ | $\alpha < \beta < \gamma < \delta$<br>$\alpha + \beta + \gamma + \delta \equiv 0(2)$                | $4\alpha\beta\gamma\delta$  |
| (b) | ${}^\alpha A_1^\beta A_1^\gamma A_1^\delta A_1$ | $\mathcal{L}_{4,2}$ | $\alpha < \beta, \gamma < \delta$<br>$\alpha + \beta \equiv 0(2), \gamma \equiv \delta \equiv 0(2)$ | $\alpha\beta\gamma\delta$   |
| (c) | ${}^\alpha A_1^\beta A_1^\gamma A_1^\delta A_1$ | $\mathcal{L}_{4,3}$ | $\alpha < \beta < \gamma < \delta$<br>$\alpha \equiv \beta \equiv \gamma \equiv \delta \equiv 0(2)$ | $\alpha\beta\gamma\delta/4$ |
| (d) | ${}^\alpha A_1^\beta A_1^\gamma C_2$            | $\mathcal{L}_{3,1}$ | $\alpha < \beta, \alpha \neq \gamma \neq \beta$   | $4\alpha\beta\gamma^2$      |
| (e) | ${}^\alpha A_1^\beta A_1^\gamma C_2$            | $\mathcal{L}_{3,2}$ | $\alpha < \beta, \alpha \neq 2\gamma \neq \beta$<br>$\alpha \equiv \beta \equiv 0(2)$               | $\alpha\beta\gamma^2$       |
| (f) | ${}^\alpha A_1^\beta B_3$                       | $\mathcal{L}_{2,1}$ | $2\alpha \neq \beta, \beta \equiv 0(2)$   | $\alpha\beta^3/2$           |
| (g) | ${}^\alpha A_1^\beta C_3$                       | $\mathcal{L}_{2,1}$ | $\alpha \neq 2\beta, \alpha \equiv 0(2)$  | $4\alpha\beta^3$            |
| (h) | ${}^\alpha A_2^\beta A_2$                       | $\mathcal{L}_{2,1}$ | $\alpha < \beta, 2\alpha \neq \beta$<br>$\alpha + \beta \equiv 0(3)$                                | $\alpha^2\beta^2$           |
| (i) | ${}^\alpha C_2^\beta C_2$                       | $\mathcal{L}_{2,1}$ | $\alpha < \beta$  | $4\alpha^2\beta^2$          |
| (j) | ${}^\alpha A_4$                                 | 0                   | no  | $5\alpha^4$                 |
| (k) | ${}^\alpha A_4$                                 | $\neq 0$            | $\alpha \equiv 0(5)$  | $\alpha^4/5$                |
| (l) | ${}^\alpha F_4$                                 | 0                   | $\alpha \equiv 0(2)$ .  | $4\alpha^4$                 |

*Proof.* Like in the previous case of dimension 3, most of the work has already been done before, essentially in the main Theorem 2.2 and in

Lemma 4.1, where the occurring root systems are listed. We now work out the congruence conditions on the scales  $\alpha, \beta, \dots$ . We omit the trivial discussion of those conditions which refer to the integrality of  $L$  (e.g.,  $\alpha, \beta, \gamma, \delta$  all must be even in case (b)). We begin with the root system  ${}^\alpha A_1 {}^\beta A_1 {}^\gamma A_1 {}^\delta A_1$ . If the code  $\mathcal{L}$  is  $\mathcal{L}_{4,1}$  or  $\mathcal{L}_{4,3}$ , then any equality between two of the scales leads to additional roots of type I or II of Theorem 3.1. In view of the symmetry properties of the codes, we may normalize the scales by the condition  $\alpha < \beta < \gamma < \delta$ , as stated in the theorem. For  $\mathcal{L} = \mathcal{L}_{4,2}$ , the codewords of weight 2 are  $0011 \in \mathcal{L}$  and  $1100 \in \mathcal{L}^\perp$ . Therefore, we have to require  $\alpha \neq \beta, \gamma \neq \delta$ , without loss  $\alpha < \beta, \gamma < \delta$ , to avoid additional roots of type I, respectively II.

For the root system  ${}^\alpha A_1 {}^\beta A_1 {}^\gamma C_2$ , we have to consider two codes  $\mathcal{L}_{3,1}$  and  $\mathcal{L}_{3,2}$ . The condition  $\alpha \neq \beta$ , without loss  $\alpha < \beta$  is necessary and sufficient to avoid additional roots of type I respectively type II. The second condition is that  ${}^\alpha A_1 {}^\gamma C_2 = {}^{2\alpha} B_1 {}^{2\gamma} B_2 = {}^{\alpha/2} C_1 {}^\gamma C_2$  (or analogously  ${}^\beta A_1 {}^\gamma C_2$ ) must enlarge to neither  ${}^{2\gamma} B_3$  nor  ${}^\gamma C_3$ . According to 3.3, the first case is possible only for  $\mathcal{L}_{3,1}$  and is excluded by requiring  $\alpha \neq \gamma$ , and the second is possible only for  $\mathcal{L}_{3,2}$  and is excluded by the condition  $\alpha \neq 2\gamma$ . The root systems  ${}^\alpha A_1 {}^\beta B_3 = {}^{2\alpha} B_1 {}^\beta B_3, {}^\alpha A_1 {}^\beta C_3 = {}^{\alpha/2} C_1 {}^\beta C_3$  and  ${}^\alpha C_2 {}^\beta C_2$  are treated by exactly the same argument.

For the root system  ${}^\alpha A_2 {}^\beta A_2$ , the reduced discriminant group equals  $\bar{T} = \mathbf{Z}_3 \times \mathbf{Z}_3$ , and in view of indecomposability we have  $0 \neq \mathcal{L} \neq \bar{T}$ . Thus,  $|\mathcal{L}| = 3$ , and  $\mathcal{L}$  is diagonally embedded and in fact unique up to automorphisms of the root system. (By slight abuse of notation, we have denoted it by  $\mathcal{L}_{2,1}$  again.) According to Theorem 3.2, the only possible new roots are of type III of that theorem, and are excluded by  $\beta \neq 2\alpha$  (already assuming  $\beta > \alpha$ ). The cases  ${}^\alpha A_4$  and  ${}^\alpha F_4$  are obvious. ■

*Remark.* Originally, in [Bla90], the classification in dimension 4 had been obtained by considering only the minimal root systems  $4A_1, 2A_2, A_4$ , making extensive use of Theorem 3.1. This approach is more straightforward, since it does not use the reduced discriminant group and Theorem 2.2 for components of type B or C. The disadvantage is that root systems like  $2A_1 C_2$  or  $A_1 B_3$  are then obtained several times, starting from differently scaled subsystems  $4A_1$  (cf. Proposition 2.6). Bringing these lattices into some normal form and suppressing isometric ones amounts to almost proving the “only if”-part of Theorem 2.2 in the case B or C.

**LEMMA 4.8.** *Let  $k, l$  be odd,  $\geq 3$  and  $\alpha, \beta \in \mathbf{N}$  such that  $\alpha \neq \beta$  and  $\alpha k + \beta l \equiv 0(4)$ . Then there is a unique reflective lattice with root system  ${}^\alpha D_k {}^\beta D_l$ .*

*Proof.* The proof is similar to the proof of Proposition 2.9. If  $u$  is the canonical glue vector of  $D_k$  used in that proof, and  $u'$  the corresponding vector for  $D_l$ , then our desired code  $\mathcal{L}$  necessarily equals  $\langle \overline{u + u'} \rangle$ , up to



automorphisms of  $D_k D_l$ . It is readily checked that the corresponding lattice is indeed not preserved by the reflections in the basis vectors. Using the assumption  $\alpha \neq \beta$ , it is now clear that the root system  ${}^\alpha D_k {}^\beta D_l$  does not enlarge. The condition  $\alpha k + \beta l \equiv 0(4)$  expresses that  $(u + u', u + u') \in \mathbf{Z}$ . ■

**LEMMA 4.9.** *Consider a root system of the form  ${}^\alpha D_4 {}^\beta R_1 {}^\gamma R_2$ , where  $R_1, R_2 \in \{{}^2 B_l, l \geq 1\} \cup \{C_l, l \geq 2\}$ . Assume that  $\alpha$  is even, and that the coefficient  $\delta$  of every component  ${}^\delta B_l$  with odd  $l$  is divisible by 4. Exclude the case  $R_1 = R_2 = A_1, \alpha = \beta = \gamma$ . Up to isomorphism, there is a unique reflective lattice with this root system.*

*Proof.* Let  ${}^\alpha D_4 \subseteq \mathbf{Z}e_1 + \mathbf{Z}e_2 + \mathbf{Z}e_3 + \mathbf{Z}e_4$ , where  $(e_i, e_j) = \alpha \delta_{ij}$  as usual, and  $u = \frac{1}{2}(e_1 + \dots + e_4)$ . Denote by  $g_1, g_2$  the glue vectors of  $R_1, R_2$  according to Proposition 3.3. We claim that

$$\mathcal{L} = \langle \bar{e}_1 + \bar{g}_1, \bar{u} + \bar{g}_2 \rangle \cong \mathbf{Z}_2 \times \mathbf{Z}_2$$

is the essentially unique solution for the desired code  $\mathcal{L} \subseteq T(D_4) \perp \bar{T}(R_1) \perp \bar{T}(R_2)$ . The projection  $\text{pr } \mathcal{L}$  of  $\mathcal{L}$  onto  $T(D_4)$  is all of  $T(D_4)$ , for otherwise it would without loss of generality be contained in  $\langle \bar{e}_1 \rangle$ , and  $D_4$  would enlarge to  $B_4$  or  $C_4$  (see earlier proofs). On the other hand, the intersection of  $\mathcal{L}$  with  $T(D_4)$  must be zero (otherwise, without loss  $\bar{e}_1 \in \mathcal{L}$ , and  $R(L)$  enlarges again). So the projection of  $L$  onto  $\bar{T}(R_1) \perp \bar{T}(R_2) \cong \mathbf{Z}_2 \times \mathbf{Z}_2$  is injective as well, and  $\mathcal{L}$  must consist of 4 elements, projecting isomorphically onto both  $T(D_4)$  and  $\bar{T}(R_1) \perp \bar{T}(R_2)$ . Now recall that the glue vectors  $e_1, u$  and  $u - e_1$  can be permuted by automorphisms of  $D_4$ . The uniqueness follows immediately from this symmetry property. In the excluded case, the root system enlarges to  ${}^\alpha D_6$ . One verifies that it does not enlarge in all other cases. ■

For simplicity, the following two theorems deal with combinatorial types only, and not with the scaling of the irreducible components. Therefore, the proof will immediately follow from the list of root systems in Lemma 4.1, the list of codes in Lemma 4.2, of course using Theorem 2.2 and Corollary 2.4.

**THEOREM 4.10.** *There exist precisely 26 combinatorial equivalence classes of indecomposable reflective lattices in dimension 5. They are given by the following root systems and codes:*

$$5A_1: \mathcal{L}_{5,1}, \mathcal{L}_{5,2a}, \mathcal{L}_{5,2b}, \mathcal{L}_{5,3a}, \mathcal{L}_{5,3b}, \mathcal{L}_{5,4};$$

$$3A_1 C_2: \mathcal{L}_{4,1}, \mathcal{L}_{4,2}, \mathcal{L}_{4,3}, \quad C_2 3A_1: \mathcal{L}_{4,2};$$

$$A_1 2C_2: \mathcal{L}_{3,1}, \mathcal{L}_{3,2}, \quad 2A_1 B_3: \mathcal{L}_{3,1}, \mathcal{L}_{3,2}, \quad 2A_1 C_3: \mathcal{L}_{3,1}, \mathcal{L}_{3,2};$$

$$A_1 B_4; \quad A_1 C_4; \quad C_2 B_3; \quad C_2 C_3;$$

$$B_5; \quad C_5; \quad A_5: \text{four codes.}$$

**THEOREM 4.11.** *There exist precisely 67 combinatorial equivalence classes of indecomposable reflective lattices in dimension 6. They are given by the following root systems and codes:*

$6A_1$ : 15 codes  $\mathcal{L}_{6,1}, \dots, \mathcal{L}_{6,5}$  (see 4.2);

$4A_1C_2$ : 6 codes  $\mathcal{L}_{5,1}, \dots, \mathcal{L}_{5,4}$ ,  $C_24A_1$ :  $\mathcal{L}_{5,2a}, \mathcal{L}_{5,2b}, \mathcal{L}_{5,3a}, \mathcal{L}_{5,3b}$ ;

$3A_1B_3$ :  $\mathcal{L}_{4,1}, \mathcal{L}_{4,2}, \mathcal{L}_{4,3}$ ,  $B_33A_1$ :  $\mathcal{L}_{4,2}$ ;

$3A_1C_3$ :  $\mathcal{L}_{4,1}, \mathcal{L}_{4,2}, \mathcal{L}_{4,3}$ ,  $C_33A_1$ :  $\mathcal{L}_{4,2}$ ;

$2A_12C_2$ :  $\mathcal{L}_{4,1}, \mathcal{L}_{4,2}, \mathcal{L}_{4,3}$ ,  $A_1C_2A_1C_2$ :  $\mathcal{L}_{4,2}$ ,  $2C_22A_1$ :  $\mathcal{L}_{4,2}$ ;

$2A_1B_4$ :  $\mathcal{L}_{3,1}, \mathcal{L}_{3,2}$ ,  $2A_1C_4$ :  $\mathcal{L}_{3,1}, \mathcal{L}_{3,2}$ ;  $2A_1D_4$ ;

$A_1C_2B_3$ :  $\mathcal{L}_{3,1}, \mathcal{L}_{3,2}$ ,  $A_1C_2C_3$ :  $\mathcal{L}_{3,1}, \mathcal{L}_{3,2}$ ;  $3C_2$ :  $\mathcal{L}_{3,1}, \mathcal{L}_{3,2}$ ;

$3A_2$ : 2 codes;

$A_1A_5$ : 2 codes;  $A_1B_5$ ;  $A_1C_5$ ;  $C_2B_4$ ;  $C_2C_4$ ;

$2B_3$ ;  $B_3C_3$ ;  $2C_3$ ;  $2D_3$ ;

$A_6$ : 2 codes;  $B_6$ ;  $C_6$ ;  $D_6$ ;  $E_6$ : 2 codes.

*Proof of Theorems 4.10 and 4.11.* For a root system with only one type of irreducible components, the combinatorial equivalence classes of reflective lattices with this root system are clearly in one-to-one correspondence with the (isomorphism classes of) the relevant codes. This remark, Corollary 2.4, and Lemma 4.2 give the result for  $5A_1$  and  $6A_1$ . For a root system of the shape  $(m-1)XY$ , where  $X$  and  $Y$  are distinct and of type  $B$  or  $C$  (including  $A_1$ ), two reflective lattices given by binary codes  $\mathcal{L}$  and  $\mathcal{L}'$  of length  $m$ , are combinatorially equivalent if and only if there exists an isomorphism of  $\mathcal{L}$  onto  $\mathcal{L}'$  preserving the  $m$ th coordinate (where the component  $Y$  occurs). In other words, if we fix  $\mathcal{L}$  and allow root systems equivalent to  $R$ , i.e., obtained by permutation of the irreducible components, then the combinatorial equivalence class is in one-to-one correspondence with the orbit under the automorphism group of  $\mathcal{L}$  of the position  $i \in \{1, \dots, m\}$  where the component  $Y$  is located. This remark settles the cases with root systems  $3A_1C_2$ ,  $3A_1B_3$ ,  $3A_1C_3$  ( $m=4$ ) and  $4A_1C_2$  ( $m=5$ ), in view of the last column of 4.2. For the root system  $2A_12C_2$ , an analogous argument applies: the automorphism group of  $\mathcal{L}_{4,2}$  has 3 orbits on 2-element subsets of  $\{1, 2, 3, 4\}$ , represented by  $\{1, 2\}$ ,  $\{1, 3\}$ ,  $\{3, 4\}$ . For the codes  $\mathcal{L}_{m,1}$  and  $\mathcal{L}_{m,m-1}$ , in particular for  $m \leq 3$ , the combinatorial equivalence class of the lattice only depends on the class of its root system, since the automorphism group of  $\mathcal{L}$  is the full symmetric group.

The possible irreducible root system  $A_5, B_5, C_5, A_6, B_6, C_6, D_6, E_6$  have been treated in Lemma 4.3 and the remarks following that lemma. For the case  $2D_3$ , we refer to Lemma 4.8, and for  $2A_1D_4$  to Lemma 4.9. We have now treated all root systems of Lemma 4.1 except for the following two:

$A_1A_5$ : Here one necessarily has  $\mathcal{L} = \langle g_1 + 3g_2 \rangle$  or  $\mathcal{L} = \langle g_1 + 3g_2, 2g_2 \rangle$ , where  $g_1, g_2$  are generators of  $T(A_1), T(A_5) \cong Z_6$  respectively. To see this, observe that, for any glue code  $\mathcal{L} \subseteq \prod_{i=1}^r \bar{T}(R_i)$  of an indecomposable reflective lattice, where the  $R_i$  are irreducible root systems, one has  $\mathcal{L} \cap \bar{T}(R_i) \neq 0, \neq \bar{T}(R_i)$ . (Otherwise,  $\mathcal{L}$  and thus the lattice is decomposable.)

$3A_2$ : Here  $\mathcal{L} \subseteq T(3A_2) \cong Z_3^3$  must be indecomposable as before, and may be modified by automorphisms of the root system, i.e., permutations and multiplication by  $\pm 1$  independently in each component. (This is the usual notion of equivalence of ternary codes, and applies to root systems  $mA_2$  for arbitrary  $m$ .) It is readily seen that  $\mathcal{L} = \langle 111 \rangle$  and  $\mathcal{L} = \langle 110, 011 \rangle$  are essentially the only possibilities. ■

Computational and geometric aspects of reflective lattices will be pursued in subsequent work. In this paper, we only include one result about the determination of a root basis, and thus the isomorphism type of the root system, of an arbitrary lattice  $L$ . Our method is a variation of well known ideas. We assume that the set  $R$  of all roots has already been determined, and we choose a half-space  $\{x \in V | f(x) > 0\}$ , where  $f: V \rightarrow \mathbf{Q}$  is a linear form with  $f(v) \neq 0$  for all roots  $v \in R$ . As usual, we consider the set  $R^+ = \{v \in R | f(v) > 0\}$  of positive roots. We (re)order the elements of  $R^+ = \{v_1, v_2, v_3, \dots, v_i, \dots\}$  in such a way that  $\varphi(v_1) \leq \varphi(v_2) \leq \dots \leq \varphi(v_i) \leq \varphi(v_{i+1}) \leq \dots$ , where  $\varphi(v) := f(v)^2 / (v, v)$ . Now, the indices  $i_2, i_2, \dots, i_r$  are determined as follows:  $i_1 = 1$ , and  $i_{m+1}$  is the smallest  $i$  greater than  $i_m$  such that  $(v_{i_j}, v_i) \leq 0$  for all  $j = 1, \dots, m$ . If no such  $i$  exists any more, then  $m = r$ , and the algorithm stops. The vectors  $v_{i_1}, \dots, v_{i_r}$  are clearly linearly independent, since they lie in a common half-space and have pairwise nonpositive scalar product. More is true:

**PROPOSITION 4.12.** *The vectors  $v_{i_1}, v_{i_2}, \dots, v_{i_r}$  determined by the above procedure form a root basis of  $R$  (namely, the unique root basis contained in  $R^+$ ).*

For the proof, one considers a hypothetical vector  $v \in R^+$  which is not a non-negative linear combination of  $v_{i_1}, \dots, v_{i_r}$ , and with minimal  $\varphi$ -value. Let  $j$  be the smallest index with  $(v, v_{i_j}) > 0$ ; this exists. One verifies that the vector  $v' = s_j v = v - cv_{i_j}$ , where  $s_j$  is the reflection with respect to  $v_{i_j}$  and  $c > 0$ , is still in  $R^+$ . Clearly  $\varphi(v') < \varphi(v)$ , and therefore  $v'$  and consequently also  $v$  is a non-negative linear combination of the  $v_{i_i}$ .

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