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# Can transitive orientation make sandwich problems easier?

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## Abstract

A graph  $G_s = (V, E_s)$  is a sandwich for a pair of graphs  $G_t = (V, E_t)$  and  $G = (V, E)$  if  $E_t \subseteq E_s \subseteq E$ . A sandwich problem asks for the existence of a sandwich graph having an expected property. In a seminal paper, Golumbic et al. [Graph sandwich problems, *J. Algorithms* 19 (1995) 449–473] present many results on sub-families of perfect graphs. We are especially interested in comparability (resp., co-comparability) graphs because these graphs (resp., their complements) admit one or more transitive orientations (each orientation is a partially ordered set or poset). Thus, fixing the orientations of the edges of  $G_t$  and  $G$  restricts the number of possible sandwiches. We study whether adding an orientation can decrease the complexity of the problem. Two different types of problems should be considered depending on the transitivity of the orientation: the poset sandwich problems and the directed sandwich problems. The orientations added to both graphs  $G$  and  $G_s$  are transitive in the first type of problem but arbitrary for the second type.

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*Keywords:* Graph sandwich; Comparability graphs; Partially ordered set

## 1. Introduction

A graph  $G_t = (V, E_t)$  is a *spanning sub-graph* of  $G = (V, E)$  if  $E_t \subseteq E$ . A graph  $G_s = (V, E_s)$  is a *sandwich graph* for the pair  $(G_t, G)$  if  $E_t \subseteq E_s \subseteq E$ . Golumbic et al. [8] introduced the following decision problem:

**Problem 1.** GRAPH SANDWICH PROBLEM FOR PROPERTY  $\Pi$ .*Instance:* Two graphs  $G_t = (V, E_t)$  and  $G = (V, E)$  such that  $E_t \subseteq E$ .*Question:* Does there exist a sandwich graph  $G_s = (V, E_s)$  for the pair  $(G_t, G)$  satisfying property  $\Pi$ ?

In their paper, Golumbic et al. present as an example, the *directed Eulerian sandwich problem* where the input graphs are digraphs. Since a digraph is Eulerian iff the in-degree and the out-degree of any vertex are equal, it is possible to design a polynomial time algorithm to decide the existence of an Eulerian sandwich digraph. Unfortunately, many sandwich problems can be proved to be NP-complete. Graph sandwich problems can be thought of as the generalization

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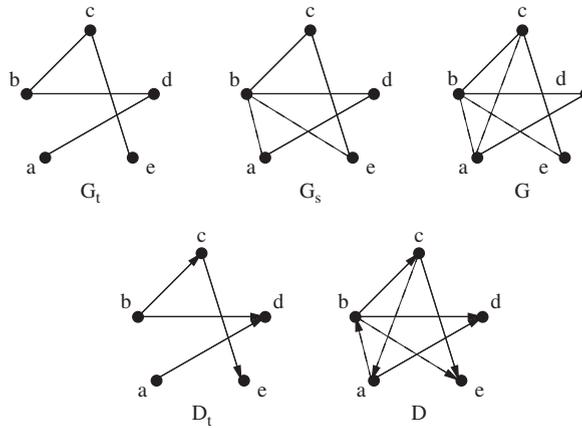


Fig. 1. For the undirected instance  $(G_t, G)$  there exists a sandwich with an Eulerian cycle while for the directed instance  $(D_t, D)$  admits no sandwich with an Eulerian circuit.

of various well-studied graph-theoretic problems. For instance, the case  $E_t = E$  corresponds to the recognition problem while the case  $E = V^2$  is the fill-in problem. In practice, sandwich problems arise in diverse areas such as biology [9,7], communication [13] and algebra [16]. Results concerning graph properties can also be found in [8,2,17,4,11].

A complementary property  $\overline{\Pi}$  can be defined as follows: for any graph  $G$ ,  $G$  satisfies  $\overline{\Pi}$  iff the complement graph  $\overline{G}$  satisfies  $\Pi$ . The following was proved in [8]:

**Proposition 1.1** (Golumbic et al. [8]). *There exists a sandwich graph  $G_s$  satisfying property  $\Pi$  for the instance  $(G_t, G)$  iff there exists a sandwich graph  $H_s$  satisfying property  $\overline{\Pi}$  for the instance  $(\overline{G}, \overline{G_t})$ .*

It follows that the  $\Pi$  sandwich problem is polynomially equivalent to the  $\overline{\Pi}$  sandwich problem. Being a comparability graph is a complementary property. In [8], many results concern sub-families of comparability or co-comparability graphs. They are of special interest since a comparability graph admits one or more transitive orientations (each orientation defines a partially ordered set or poset). Let us defined a *directed property*  $\overrightarrow{\Pi}$  as a property such that if a digraph  $\overrightarrow{G}$  satisfies  $\overrightarrow{\Pi}$ , then the non-oriented graph  $G$  satisfies  $\Pi$ . For example, the directed Eulerian property fits this definition. Similarly, being a poset for a digraph corresponds, in the non-oriented version, to being a comparability graph.

**Proposition 1.2.** *Let  $D_t$  and  $D$  be arbitrary orientations of the graphs  $G_t$  and  $G$ . If there exists a sandwich digraph  $D_s$  satisfying the directed property  $\overrightarrow{\Pi}$  for the instance  $(D_t, D)$ , then there exists a sandwich graph  $G_s$  satisfying property  $\Pi$  for the instance  $(G_t, G)$ .*

Since fixing the orientation of the edges of  $G_t$  and  $G$  restricts the number of possible sandwiches, it is possible that while a sandwich graph exists in the non-directed instance, no sandwich digraph exists in the directed instance. Fig. 1 shows such an example.

A property  $\Pi^1$  is stronger than a property  $\Pi^2$  iff any graph (or poset) that satisfies  $\Pi^2$  also satisfies  $\Pi^1$ . Clearly a directed property  $\overrightarrow{\Pi}$  is a weaker property than  $\Pi$ . Since, as observed in [8], there is no simple relationship between the complexities of the  $\Pi^1$  and  $\Pi^2$  sandwich problems, neither is there between the complexities of the  $\overrightarrow{\Pi}$  and  $\Pi$  sandwich problems a priori.

A property  $\overrightarrow{\Pi}$  defined on posets is a *comparability invariant* iff when a poset  $P$  satisfies  $\overrightarrow{\Pi}$  then any transitive orientation of the comparability graph  $G$  of  $P$  also satisfies  $\overrightarrow{\Pi}$ . For example, being a poset of dimension  $k$  is a comparability invariant [10]. Comparability invariants are directed properties. Even if we restrict to comparability invariants, the phenomenon depicted in Fig. 1 can still occur. To check  $\overrightarrow{\Pi}$ , we only have to test one transitive orientation of the comparability graph. However, there is still no simple complexity relation (i.e., with respect to the Karp reduction,  $\leq_K$ ). There may be no transitive orientation of a given non-oriented sandwich with the expected property (see Fig. 2). Therefore, one must check all possible non-oriented sandwiches, and there can be an exponential number of these.

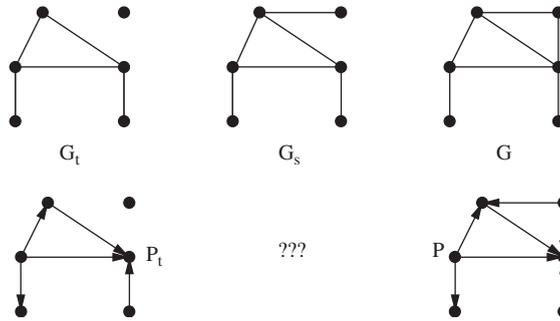


Fig. 2. In the above figure, the expected property for the sandwich is to have the degree sequence (3, 3, 3, 1, 1, 1). Notice that the degree sequence is a comparability invariant. Only one solution is possible in the non-oriented instance while there is no poset sandwich with that degree sequence.

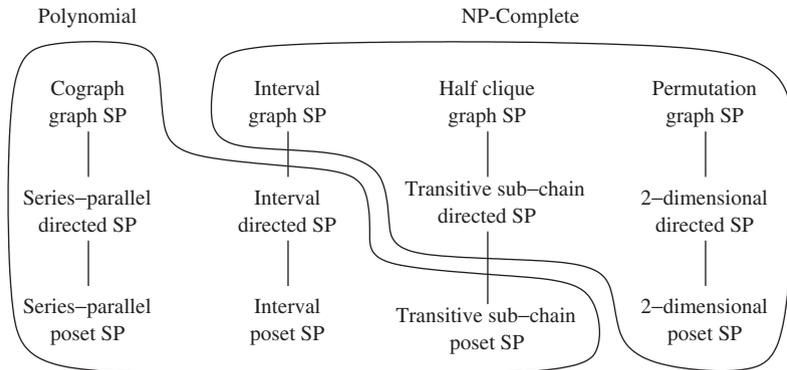


Fig. 3. A map of the results.

In the paper, we will focus on even stronger property than comparability invariant, since for those properties, if there exists a non-oriented sandwich satisfying  $\Pi$ , then there exists a directed sandwich satisfying  $\vec{\Pi}$ . We wonder whether for such properties adding orientations to the edges of  $G_t$  and  $G$  helps to solve the corresponding sandwich digraph problem. For example, the comparability graph sandwich problem has been proved to be NP-complete [8]. But testing the existence of a transitive sandwich digraph  $D_s$  for a pair of digraphs  $(D_t, D)$  can be completed in polynomial time. The algorithm just has to test whether the transitive closure of  $D_t$  is included in  $D$  which is a polynomial problem. We will focus on properties related to comparability graphs or co-comparability graphs (which are equivalent by Proposition 1.1) that can be naturally translated in terms of poset. Two slightly different problems are distinguished by the transitivity of the input digraphs.

**Problem 2.** DIRECTED SANDWICH PROBLEM FOR POSET PROPERTY  $\vec{\Pi}$ .

*Instance:* Two digraphs  $D_t$  and  $D$  such that  $D_t \subseteq D$ .

*Question:* Does there exist a sandwich digraph  $D_s$  for the pair  $(D_t, D)$  satisfying  $\vec{\Pi}$ ?

**Problem 3.** POSET SANDWICH PROBLEM FOR POSET PROPERTY  $\vec{\Pi}$ .

*Instance:* Two posets  $P_t = (V, E_t)$  and  $P = (V, E)$  such that  $E_t \subseteq E$ .

*Question:* Does there exist a sandwich poset  $P_s = (V, E_s)$  for the pair  $(P_t, P)$  satisfying  $\vec{\Pi}$ ?

In the *directed sandwich problem*, digraphs  $D_t$  and  $D$  of the sandwich instance have an arbitrary orientation. If the required property  $\vec{\Pi}$  deals with posets, we can assume that  $D_t$  is transitively oriented as its transitive closure is contained in any transitively oriented sandwich graph. Therefore, the instance will be written  $(P_t, D)$ . Since the poset sandwich problem is a sub-problem of the directed sandwich problem, it follows that:

$$\text{Poset sandwich problem for } \vec{\Pi} \leq_K \text{ Directed sandwich problem for } \vec{\Pi}. \tag{1}$$

This inequality is helpful in complexity proofs: to show that a directed sandwich problem is NP-complete, we only need to show the NP-completeness of the poset sandwich problem, and conversely if the problem is polynomial (Fig. 3).

In this paper, we study poset properties inspired by the original results of [8]. We are interested in finding sandwiches that are series–parallel posets, interval posets or two-dimensional posets. These families correspond to co-graphs, interval graphs and permutation graphs, respectively (all of which are co-comparability graphs). Moreover, these three properties are comparability invariants. We prove the following results:

- the series–parallel poset sandwich problem is polynomial like the co-graph sandwich problem;
- the interval poset sandwich problem is polynomial while the interval graph sandwich problem is NP-complete;
- the two-dimensional directed sandwich problem is NP-complete like the permutation graph sandwich problem.

We also introduce a new problem, namely the *transitive sub-chain problem* that asks for the existence in a digraph of a transitive sub-chain of length at least  $\lfloor |V|/2 \rfloor$ . Reducing 3-SAT to this problem, we prove its NP-completeness. It follows that the associated directed sandwich problem is NP-complete, while the poset sandwich problem remains polynomial. Notice that in terms of non-oriented graphs, the transitive sub-chain problem corresponds to the “half-clique” problem (does there exist a clique containing at least one half of the vertices?). Clearly, the half-clique sandwich problem is NP-complete. Therefore, we show that any possible configuration with respect to (1) exists.

*Notation:* Let  $S_P$  be the set of sources of a poset  $P$ ,  $N_P(x)$  be the neighborhood of vertex  $x$  in  $P$ ,  $P|_A$  be the poset induced by  $P$  on a vertex set  $A$ . The notation  $\text{Succ}^P(x)$ , denoting the set of out-vertices (successors) of  $x$  in  $P$ , is extended to sets:  $\text{Succ}^P(A) = \bigcup_{a \in A} \text{Succ}^P(a)$ .

## 2. Series–parallel posets

In certain scheduling problems, tasks are subject to a partial order. Although scheduling problems for an arbitrary partial order are NP-complete, they have efficient algorithms if the partial order is series–parallel [14]; these algorithms use a “divide-and-conquer” approach with the recursive structure of these posets. There is a linear-time algorithm to recognize a series–parallel poset due to Valdes et al. [19].

A series–parallel poset is obtained from the single-vertex poset by the application of two composition rules. The *parallel composition* of posets  $P_1$  and  $P_2$  is the poset  $P_1 + P_2 = (V_1 \cup V_2, <_+)$  such that  $u <_+ v$  if and only if  $u, v \in V_1$  and  $u <_1 v$  or  $u, v \in V_2$  and  $u <_2 v$ . The *series composition* of posets  $P_1$  and  $P_2$  is the poset  $P_1 * P_2 = (V_1 \cup V_2, <_*)$  such that  $u <_* v$  if and only if  $u, v \in V_1$  and  $u <_1 v$  or  $u, v \in V_2$  and  $u <_2 v$  or  $u \in V_1$  and  $v \in V_2$ . Therefore, series–parallel posets are organized in a tree structure (Fig. 4).

### 2.1. Series–parallel poset sandwich problem

The family of comparability graphs of the series–parallel posets is exactly the family of co-graphs [18], for which the sandwich problem has been proved to be polynomial [8]. The co-graph sandwich algorithm can be modified so that it applies to the series–parallel poset sandwich problem. By adding an argument about transitivity to its proof, we can prove that the poset sandwich problem is also polynomial like the co-graph sandwich problem is.

#### Problem 4. SERIES–PARALLEL POSET SANDWICH PROBLEM.

*Instance:* Two posets  $P_t = (V, E_t)$  and  $P = (V, E)$  such that  $E_t \subseteq E$ .

*Question:* Does there exist a series–parallel sandwich poset  $P_s$  for the pair  $(P_t, P)$ ?

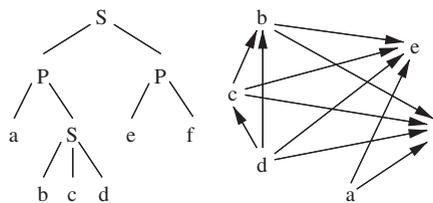


Fig. 4. A series–parallel poset and its canonical composition tree.

Let us briefly describe the principle of this algorithm. Proofs of Lemmas 2.1 and 2.2 are omitted since they can easily be deduced from [8]. Let us denote by  $\bar{P}$  the incomparability graph of the poset  $P$ .

**Lemma 2.1.** *If both  $P_t$  and  $\bar{P}$  are connected, then there is no series–parallel sandwich poset for the instance  $(P_t, P)$ .*

**Lemma 2.2.** *Let  $\{C_1, \dots, C_k\}$  be the connected components of  $P_t$ . If  $P_s^1, \dots, P_s^k$  are, respectively, series–parallel sandwich posets for the instances  $(P_t|_{C_1}, P|_{C_1}) \dots (P_t|_{C_k}, P|_{C_k})$ , then the parallel composition of  $P_s^1, \dots, P_s^k$  is a series–parallel sandwich poset for  $(P_t, P)$ .*

**Lemma 2.3.** *Let  $\{\bar{C}_1, \dots, \bar{C}_k\}$  be the connected components of  $\bar{P}$ . If  $P_s^1, \dots, P_s^k$  are, respectively, series–parallel sandwich posets for the instances  $(P_t|_{\bar{C}_1}, P|_{\bar{C}_1}) \dots (P_t|_{\bar{C}_k}, P|_{\bar{C}_k})$ , then the series composition of  $P_s^1, \dots, P_s^k$  is a series–parallel sandwich poset for  $(P_t, P)$ .*

A similar lemma can be found in [8] for the non-oriented case of co-graphs. The only difference is that we have to confirm that transitivity can be ensured between the connected components  $\{\bar{C}_1, \dots, \bar{C}_k\}$ .

**Proof.** Let  $x_1, x_2, x_3$  be three vertices of different connected components  $\bar{C}_1, \bar{C}_2, \bar{C}_3$  of  $\bar{P}$ . By definition of the connected component, the three possible arcs between these three vertices belong to  $E$ . Since by assumption  $P$  is a poset, these three edges are transitively oriented.

Let us now prove that the arcs between two connected components  $\bar{C}, \bar{C}'$  are oriented in the same direction. Let  $N^+(x)$  and  $N^-(x)$  be, respectively, the out-neighborhood and in-neighborhood of  $x$  in  $\bar{C}'$ . Since  $P$  is transitive all possible arcs exist from  $N^+(x)$  towards  $N^-(x)$ , which contradicts the fact that  $\bar{C}'$  is connected in  $\bar{P}$ .  $\square$

Now it is straightforward to see the algorithm. If both  $P_t$  and  $\bar{P}$  are connected, then there is no series–parallel sandwich poset. Otherwise if  $P_t$  is not connected, recurse on the instances induced by its connected components  $C_1, \dots, C_k$ ; if  $\bar{P}$  is not connected, recurse on the instances induced by the connected components  $\bar{C}_1, \dots, \bar{C}_k$  of  $\bar{P}$ . This algorithm is exactly the polynomial time algorithm given in [8].

**Theorem 2.1.** *The series–parallel poset sandwich problem is polynomial.*

## 2.2. Series–parallel directed sandwich problem

However, the above method does not provide an algorithm for the directed sandwich problem. For this more general problem, we provide a polynomial-time algorithm based on an elimination ordering principle.

**Problem 5.** SERIES–PARALLEL DIRECTED SANDWICH PROBLEM.

*Instance:* A poset  $P_t = (V, E_t)$  and a digraph  $D = (V, E)$  such that  $E_t \subseteq E$ .

*Question:* Does there exist a series–parallel sandwich poset  $P_s$  for the pair  $(P_t, D)$ ?

Let us first introduce a useful proposition. A property  $\pi$  is an *hereditary property* iff for any induced sub-graph (or induced sub-poset), the sub-graph satisfies the property  $\pi$ . Being a tree or a series–parallel poset is an hereditary property.

**Proposition 2.2.** *Let  $\pi$  be an hereditary property. If there exists a  $\pi$ -sandwich  $G_s$  for the instance  $(G_t, G)$  on vertex set  $V$ , then for any subset  $V' \subset V$ , the induced sub-sandwich  $G_s|_{V'}$  is a  $\pi$ -sandwich for the induced instance  $(G_t|_{V'}, G|_{V'})$ .*

**Proof.** First of all,  $G_s|_{V'}$  is a sandwich for  $(G_t|_{V'}, G|_{V'})$ . Since  $\pi$  is hereditary  $G_s|_{V'}$  also verifies property  $\pi$ .  $\square$

**Lemma 2.4.** *If there is a series–parallel sandwich poset  $P_s$  for the instance  $(P_t, D)$  where  $P_t$  is connected, then there exists a non-empty set of vertices  $\mathcal{A} \subsetneq V$  such that*

$$\forall x \in \mathcal{A}, \quad V \setminus \mathcal{A} \subseteq \text{Succ}^D(x) \quad \text{and} \quad \text{Succ}^{P_t}(V \setminus \mathcal{A}) \cap \mathcal{A} = \emptyset.$$

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SP-Alg( $V, E_t, E$ ): outputs a set  $E_s$  of edges
1.  If  $V$  is a singleton vertex set Then
2.      Return  $E_s = \emptyset$ 
3.  For each connected component  $C$  of  $P_t$  Do
4.      Look for a set  $\mathcal{A} \subseteq V$  such that  $\forall x \in \mathcal{A}, C \setminus \mathcal{A} \subseteq \text{Succ}^{D|C}(x)$ 
      and  $\text{Succ}^{P_s}(C \setminus \mathcal{A}) \cap \mathcal{A} = \emptyset$ 
5.      If no such  $\mathcal{A}$  exists Then EXIT
6.      Else
7.          Let  $E_{\mathcal{A}}^s = \text{SP-Alg}(\mathcal{A}, E_t \cap \mathcal{A}^2, E \cap \mathcal{A}^2)$ 
8.          Let  $E_{C \setminus \mathcal{A}}^s = \text{SP-Alg}(\mathcal{A}, E_t \cap (C \setminus \mathcal{A})^2, E \cap (C \setminus \mathcal{A})^2)$ 
9.           $E_C = E_{\mathcal{A}}^s \cup E_{C \setminus \mathcal{A}}^s \cup \mathcal{A} \times (V \setminus \mathcal{A})$ 
10.     End of for
11.     Return  $E_s = \bigcup_C E_C$ 
    
```

Fig. 5. A polynomial time algorithm for the series–parallel directed sandwich problem.

**Proof.** Suppose there exists  $P_s$ , a series–parallel sandwich poset for the instance  $(P_t, D)$ . Observe that such a set  $\mathcal{A}$  must contain the source  $S_{P_s}$  of  $P_s$ . Since  $P_t$  is connected,  $P_s$  is also connected. Thus, it is the result of a series composition and its co-comparability graph  $\overline{G}_s$  is not connected. Notice that  $S_{P_s}$  is contained in a connected component of  $\overline{G}_s$  (since  $S_{P_s}$  is an antichain of  $P_s$ ). Let  $\mathcal{A}$  be that component.  $\mathcal{A}$  is in series composition with  $V \setminus \mathcal{A}$ . Since  $\mathcal{A}$  contains the sources of  $P_s$ , the arcs between  $\mathcal{A}$  and  $V \setminus \mathcal{A}$  are oriented towards  $V \setminus \mathcal{A}$ . It follows that  $V \setminus \mathcal{A} \subseteq \text{Succ}^D(x)$ ,  $\forall x \in \mathcal{A}$ .

Moreover, since  $P_s$  is a poset, there is no arc from  $V \setminus \mathcal{A}$  towards  $\mathcal{A}$ . The inclusion  $E_t \subseteq E_s$  implies that  $\text{Succ}^{P_t}(V \setminus \mathcal{A}) \cap \mathcal{A} = \emptyset$ .  $\square$

**Lemma 2.5.** Let  $\{C_1, \dots, C_k\}$  be the set of connected components of  $P_t$ . The following statements are equivalent:

- (1) There is a series–parallel sandwich poset for the instance  $(P_t, D)$ .
- (2) For any  $i, 1 \leq i \leq k$ , there exist:
  - a non-empty set  $\mathcal{A}_i$  such that  $\forall x \in \mathcal{A}_i, C_i \setminus \mathcal{A}_i \subseteq \text{Succ}^{D|C_i}(x)$  and  $\text{Succ}^{P_t}(C_i \setminus \mathcal{A}_i) \cap \mathcal{A}_i = \emptyset$ ;
  - a series–parallel sandwich poset  $P_{\mathcal{A}_i}^s$  for the instance  $(P_t|_{\mathcal{A}_i}, D|_{\mathcal{A}_i})$ ;
  - a series–parallel sandwich poset  $P_{C_i \setminus \mathcal{A}_i}^s$  for the instance  $(P_t|_{C_i \setminus \mathcal{A}_i}, D|_{C_i \setminus \mathcal{A}_i})$ .

**Proof.** Suppose  $P_s$  is a series–parallel sandwich poset for the instance  $(P_t, D)$ . By Lemma 2.4, whenever  $1 \leq i \leq k$ , there exists a non-empty set  $\mathcal{A}_i$ . By definition,  $\mathcal{A}_i$  can be composed in series with  $C_i \setminus \mathcal{A}_i$  in both  $D|_{C_i}$  and  $P_s|_{C_i}$ . Since being a series–parallel poset is a hereditary property, any induced subset of  $P_s$  is also a series–parallel poset. It follows that  $P_s|_{C_i \setminus \mathcal{A}_i}$  and  $P_s|_{\mathcal{A}_i}$  are series–parallel sandwiches for the instances  $(P_t|_{C_i \setminus \mathcal{A}_i}, D|_{C_i \setminus \mathcal{A}_i})$  and  $(P_t|_{\mathcal{A}_i}, D|_{\mathcal{A}_i})$ , respectively.

Assume the converse. Since for any  $i, 1 \leq i \leq k, C_i \setminus \mathcal{A}_i \subseteq \text{Succ}^{D|C_i}(x)$  and  $\text{Succ}^{P_t}(C_i \setminus \mathcal{A}_i) \cap \mathcal{A}_i = \emptyset, P_{C_i \setminus \mathcal{A}_i}^s$  and  $P_{\mathcal{A}_i}^s$  can be composed in series to form a series–parallel poset  $P_{C_i}^s$  for the instance  $(P_t|_{C_i}, D_{C_i})$ . It follows that a series–parallel sandwich poset for the whole instance  $(P_t, D)$  is obtained by the parallel composition of the  $P_{C_i}^s$ 's.  $\square$

Assuming that we are able to compute a set  $\mathcal{A}$  as described in Lemma 2.4, we are now able to design algorithm based on Lemma 2.5 for solving the series–parallel directed sandwich problem.

When solving the problem recursively in Fig. 5, Proposition 2.2 guarantees that we can find a set  $\mathcal{A} \subsetneq V$  such that

$$\forall x \in \mathcal{A}, \quad V \setminus \mathcal{A} \subseteq \text{Succ}^D(x) \quad \text{and} \quad \text{Succ}^{P_t}(V \setminus \mathcal{A}) \cap \mathcal{A} = \emptyset. \tag{2}$$

To describe how to compute such a set, we shall use three simple facts.

**Fact 2.6.** Let  $x$  and  $y$  be distinct vertices. If  $x \in \mathcal{A}$  and  $y \notin \text{Succ}^D(x)$ , then  $y \in \mathcal{A}$ .

**Proof.** This directly follows from the definition of  $\mathcal{A}$ .  $\square$

**Fact 2.7.** Let  $x$  and  $y$  be distinct vertices. If  $x \in \mathcal{A}$  and  $x \in \text{Succ}^{P_t}(y)$ , then  $y \in \mathcal{A}$ .

**Proof.** If  $x \in \mathcal{A}$  and  $y \notin \mathcal{A}$ , then both arcs  $xy$  and  $yx$  would belong to  $E_s$ :  $yx$  because  $x \in \text{Succ}^{P_t}(y)$  and  $xy$  because  $\mathcal{A}$  is composed in series with  $V \setminus \mathcal{A}$  in  $P_s$ . This is a contradiction, since no pair of symmetric arcs can belong to a poset.  $\square$

**Fact 2.8.**  $\mathcal{A} \cap S_{P_t} \neq \emptyset$ .

**Proof.** If  $A \cap S_{P_t} = \emptyset$ , then  $\forall x \in \mathcal{A}, x \notin S_{P_t}$  and there exists  $y \in V \setminus \mathcal{A}$  such that  $yx \in P_t$  (as there are no cycle in  $P_t$ ), this contradicts the definition of  $\mathcal{A}$ .  $\square$

Assume for some source  $x \in S_{P_t}$ , the following algorithm returns a strict subset  $\mathcal{A}$  of  $V$ . If  $\mathcal{A}$  does not respect (2), then either there exists  $y \in V \setminus \mathcal{A}$  such that  $y \notin \text{Succ}^D(x)$  and it contradicts Fact 2.6, or there exists  $y \in V \setminus \mathcal{A}$  such that for an  $x \in \mathcal{A}, x \in \text{Succ}^{P_t}(y)$  and it contradicts Fact 2.7. Then we just have to test Facts 2.6 and 2.7 to prove that  $\mathcal{A}$  satisfies (1). It can therefore be used at line 4 of the algorithm depicted in Fig. 5 to recurse.

**SP-Rec**( $x \in S_{P_t}, V, P_t, D$ ): outputs a subset  $\mathcal{A}$  of vertices

1.  $\mathcal{A} = \{x\}$
2. **While** there exists  $y \notin \mathcal{A}$  and  $z \in \mathcal{A}$  such that either  $yz \in E_t$  or  $zy \notin E$  Add  $y$  to  $\mathcal{A}$
3. **Return**  $\mathcal{A}$

**Theorem 2.3.** The series–parallel directed sandwich problem is polynomial.

**Proof.** The validity of the algorithm described in Fig. 5 follows from Lemmas 2.4, 2.5, Proposition 2.2 and Facts 2.6–2.8. The number of recursive calls in 5 is at most  $n = |V|$ . A brute force analysis shows that testing the existence of a set  $\mathcal{A}$  satisfying (2) requires  $\mathcal{O}(n^3)$  per source of  $P_t$ . It follows that testing the existence of a series–parallel sandwich is polynomial.  $\square$

### 3. Interval posets

A poset  $P$  is an interval poset iff a real interval  $I_v = [a_v, b_v]$  can be assigned to each element  $v$  in  $P$ , such that  $v \leq w$  if and only if  $b_v \leq a_w$ .

**Problem 6.** INTERVAL DIRECTED SANDWICH PROBLEM.

*Instance:* A poset  $P_t = (V, E_t)$  and a digraph  $D = (V, E)$  such that  $E_t \subseteq E$ .

*Question:* Does there exist an interval sandwich poset  $P_s$  for the pair  $(P_t, D)$ ?

We prove the interval directed sandwich problem is polynomial, in contrast to the undirected case: the interval graph sandwich problem is NP-complete [8]. This complexity gap is probably due to the fact that an interval graph is a co-comparability graph that can have many transitive orientations. Thus, fixing an arbitrary transitive orientation of the interval graph drastically simplifies the problem. The same phenomenon occurs for the recognition problem: although both interval graph and interval poset recognition problems have linear time complexity, the interval graph recognition is much harder [1,3,12]. The interval poset recognition algorithm [15] is based on the following characterization by Fishburn [5].

**Theorem 3.1** (Fishburn [5]). A poset  $P$  is an interval poset if and only if the set of successors  $\{\text{Succ}^P(v) = \{u \in V, v \leq u\}\}_{v \in V}$  is linearly ordered by inclusion.

From the above characterization, we can deduce that a non-connected interval poset contains at most one connected component of more than one vertex. In other words, a non-connected interval poset consists in a connected interval poset plus some isolated vertices. This yields to another well-known characterization of interval posets in term of forbidden sub-poset: a poset is an interval poset iff it does not contain a  $2 + 2$  as induced poset (see Fig. 6).

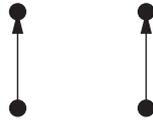


Fig. 6. The 2+2 poset.

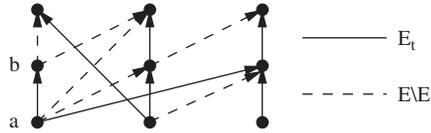


Fig. 7. In this instance  $\mathcal{A} = \{a\}$ . To simplify the drawing, we omit the transitive arcs of  $E_t$ . However,  $E$  is not necessarily transitive.

Lemma 3.1 is quite similar to Lemma 2.4, except that a smaller part of the vertices is this time successor of the set  $\mathcal{A}$ . This result is the basis of our algorithm and can be seen as a generalization of the recognition algorithm of [15].

**Lemma 3.1.** *If there is an interval sandwich poset  $P_s$  for the instance  $(P_t, D)$ , then there exists a set  $\mathcal{A} \subseteq S_{P_t}$  such that  $V \setminus S_{P_t} \subseteq \text{Succ}^D(x), \forall x \in \mathcal{A}$ .*

**Proof.** Let  $P_s$  be an interval sandwich poset for the instance  $(P_t, D)$ . From Theorem 3.1  $P_s$  has its sets of successors linearly ordered by inclusion. Therefore, there exists a set  $\mathcal{A}$  of  $P_s$  sources such that every non-source vertex of  $P_s$  is a successor of any vertex  $x \in \mathcal{A}$ :  $\text{Succ}^{P_s}(x) = V \setminus S_{P_s}$ . Since any source of  $P_s$  is a source of  $P_t$ ,  $\mathcal{A} \subseteq S_{P_t}$ . And since  $\forall x \in \mathcal{A}, \text{Succ}^{P_s}(x) \subseteq \text{Succ}^D(x)$ , it follows that  $V \setminus S_{P_t} \subseteq \text{Succ}^D(x), \forall x \in \mathcal{A}$ .  $\square$

Let us define the following set of vertices:

$$\mathcal{A} = \{x \in V \mid \text{Succ}^D(x) \supseteq V \setminus S_{P_t}\}.$$

An example of set  $\mathcal{A}$  in a directed sandwich instance is given in Fig. 7.

**Lemma 3.2.** *The following statements are equivalent:*

- (1) *There is an interval sandwich poset for the instance  $(P_t, D)$ .*
- (2) *There exists a non-empty set  $\mathcal{A}$  and an interval sandwich poset for the instance  $(P_t, D)|_{V \setminus \mathcal{A}}$ .*

**Proof.** First assume  $P_s$  is an interval sandwich poset for the instance  $(P_t, D)$ . From Lemma 3.1,  $\mathcal{A}$  is not empty. Since being an interval poset is an hereditary property, the poset  $P_s|_{V \setminus \mathcal{A}}$  is an interval poset. Moreover, any arc of  $P_t|_{V \setminus \mathcal{A}}$  is an arc of  $P_s|_{V \setminus \mathcal{A}}$ . It follows that  $P_s|_{V \setminus \mathcal{A}}$  is an interval sandwich poset for  $(P_t, D)|_{V \setminus \mathcal{A}}$ .

Conversely, assume  $\tilde{P}_s$  is an interval sandwich poset for the instance  $(P_t, D)|_{V \setminus \mathcal{A}}$  and  $\mathcal{A} \neq \emptyset$ . By Theorem 3.1, the vertices of  $V \setminus \mathcal{A}$  are linearly ordered by inclusion of successors. Among the set  $S_{\tilde{P}_s}$  of sources of  $\tilde{P}_s$ , we can distinguish  $S_{\tilde{P}_s} \setminus S_{P_t}$  and  $S_{\tilde{P}_s} \cap S_{P_t}$ . Notice that any arc  $xy$ , with  $x \in \mathcal{A}$  and  $y \in V \setminus S_{P_t}$  belongs to  $E$  (remark that  $(V \setminus \mathcal{A}) \setminus S_{P_t} = V \setminus S_{P_t}$  since  $\mathcal{A} \subseteq S_{P_t}$ ). Therefore, adding those arcs to  $\tilde{E}_s$  defines a sandwich  $P_s = (V, E_s)$ . Since for any  $x \in \mathcal{A}$ , we have  $\text{Succ}^{P_s}(x) = V \setminus S_{P_t}$ , for any  $y \in V \setminus \mathcal{A}$ , we have  $\text{Succ}^{P_s}(y) \subset \text{Succ}^{P_s}(x)$ . Since the set of successors in  $P_s$  is linearly ordered by inclusion,  $P_s$  is an interval order.  $\square$

**Corollary 3.2.** *If there exists an interval poset sandwich for the instance  $(P_t, D)$ , then there exists one, say  $P_s$ , such that  $S_{P_s} = S_{P_t}$ .*

**Proof.** The proof follows from the construction described in the proof of Lemma 3.2. Notice that when constructing  $P_s$  from  $\tilde{P}_s$ , we never add arcs towards sources of  $P_t$ . Applying this argument recursively completes the proof.  $\square$

```

Interval-Alg( $V, E_t, E$ ): outputs a set  $E_s$  of edges
1.  If  $E_t = \emptyset$  Then Return  $E_s = \emptyset$ 
2.  Else
3.      Look for a set  $\mathcal{A}$  among  $P_t$  sources such that  $\forall x \in \mathcal{A}, V \setminus S_{P_t} \subseteq Succ^D(x)$ 
4.      If no such  $\mathcal{A}$  exists Then STOP the algorithm
5.      Else
6.          Let  $\tilde{E}_t$  and  $\tilde{E}$  be the edge sets of  $P_t|_{V \setminus \mathcal{A}}$  and  $D|_{V \setminus \mathcal{A}}$ 
7.           $E_s = \mathcal{A} \times (V \setminus S_{P_t}) \cup \mathbf{Interval-Alg}(V, \tilde{E}_t, \tilde{E})$ 
8.      Return  $E_s$ 
9.  End of if
    
```

Fig. 8. A polynomial time algorithm for the interval directed sandwich problem.

**Theorem 3.3.** *The interval directed sandwich problem is polynomial.*

**Proof.** The correctness of the algorithm depicted in Fig. 8 is implied by Lemmas 3.1 and 3.2. Testing for the existence of the set  $\mathcal{A}$  can clearly be done in  $\mathcal{O}(n^2)$  where  $n = |V|$ . Since there are at most  $n$  recursive calls, the whole complexity is polynomial.  $\square$

**Corollary 3.4.** *The interval poset sandwich problem is polynomial.*

**4. The transitive sub-chain problem**

In a directed graph  $D = (V, E)$ , a *transitive sub-chain* is a chain  $[v_1, \dots, v_k]$  such that any arcs  $v_i, v_j$  (with  $1 \leq i < j \leq k$ ) belongs to  $E$ . This section introduces the transitive sub-chain problem that asks for the existence of a transitive sub-chain containing at least half of the vertices. Using a reduction from 3-SAT inspired from [6], we first prove that this problem is NP-complete. Then we show that the corresponding poset sandwich problem is polynomial while the directed sandwich problem is NP-complete.

**Problem 7.** TRANSITIVE SUB-CHAIN PROBLEM.

*Instance:* A digraph  $D = (V, E)$ .

*Question:* Does  $D$  contains a transitive sub-chain of length at least  $\lfloor |V|/2 \rfloor$ ?

**Theorem 4.1.** *The transitive sub-chain problem is NP-complete.*

**Proof.** We reduce 3-SAT to the transitive sub-chain problem. Let  $\mathcal{I}$  be an instance of  $k$  clauses  $c_i = (x_i^1 \vee x_i^2 \vee x_i^3)$  ( $1 \leq i \leq k$ ). We transform  $\mathcal{I}$  into a digraph  $D = (V, E)$  with  $V = \{c_0\} \cup_{1 \leq i \leq k} \{c_i, x_i^1, x_i^2, x_i^3\}$ . The three literals of a clause  $c_i$  are independent. For any  $1 \leq i \leq k$  and any  $1 \leq j \leq 3$ ,  $x_i^j c_i$  is an arc of  $E$ . Moreover for  $1 \leq i < j \leq k$  and  $1 \leq h, h' \leq 3$ ,  $x_i^h x_j^{h'}$  belongs to  $E$  iff  $x_i^h \neq \bar{x}_j^{h'}$ . Finally for any  $v \neq c_0$ , we add the arcs  $c_0 v$ . Fig. 9 gives an example. Clearly  $D$  is polynomial time constructible.

We now prove that a 3-SAT instance  $\mathcal{I}$  is satisfiable iff the associated digraph contains a transitive chain of length equal to  $\lfloor |V|/2 \rfloor$ .

Assume the instance  $\mathcal{I}$  is satisfiable. Then at least one literal, denoted by  $l_i$ , per clause has been satisfied and for any  $i \neq j$ ,  $l_i \neq \bar{l}_j$ . Then there exists a chain in  $D$  from  $c_0$  to  $c_k$  through the  $l_i$ 's such that the subgraph induced by the vertices of the chain is transitive. Such a chain contains  $2k + 1$  vertices; therefore, its length is  $2k$ , which is equal to  $\lfloor |V|/2 \rfloor$  since  $|V| = 4k + 1$ .

Conversely, if a transitive chain of length  $\lfloor |V|/2 \rfloor$  exists in  $D$ , then it has to contain any  $c_i$  ( $0 \leq i \leq k$ ) plus one literal  $l_i$  per clause. The transitivity ensures that for no  $i \neq j$ , we have  $l_i = \bar{l}_j$ . It therefore defines an assignment that satisfies the instance.  $\square$

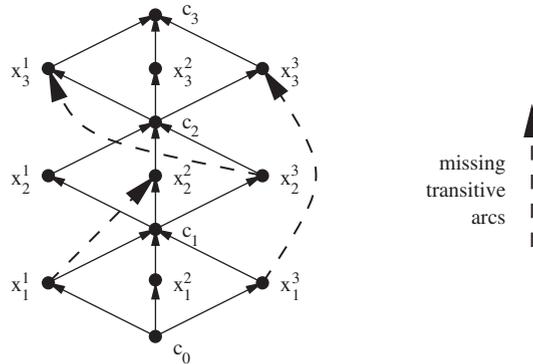


Fig. 9. The digraph associated to the 3-SAT instance  $(x_1^1 \vee x_1^2 \vee x_1^3) \wedge (x_2^1 \vee x_2^2 \vee x_2^3) \wedge (x_3^1 \vee x_3^2 \vee x_3^3)$  where  $x_1^1 = \bar{x}_2^2$ ,  $x_1^3 = \bar{x}_3^3$  and  $x_2^3 = \bar{x}_3^1$ . The transitive arcs are omitted and dotted arcs do not belong to the digraphs.

#### 4.1. Transitive sub-chain poset sandwich problem

In a poset any chain is transitive. The height  $h(P)$  of a poset  $P$  is the length of the longest chain of  $P$ . It turns out the transitive sub-chain problem for poset is polynomially equivalent to the computation of the height, which is polynomial (it can be done using a DFS).

**Problem 8.** TRANSITIVE SUB-CHAIN POSET SANDWICH PROBLEM.

*Instance:* A poset  $P_t = (V, E_t)$  and a poset  $P = (V, E)$  such that  $E_t \subseteq E$ .

*Question:* Does there exist a poset  $P_s = (V, E_s)$  such that  $E_t \subseteq E_s \subseteq E$  and  $h(P_s) \geq \lfloor |V|/2 \rfloor$ ?

It is well known that the height of a poset is a monotone increasing function of the set of edges. The following lemma states that property.

**Lemma 4.1.** Let  $Q = (V, E)$  and  $Q' = (V, E')$  be two posets such that  $E \subseteq E'$ . Then  $h(Q) \leq h(Q')$ .

**Theorem 4.2.** The transitive sub-chain poset sandwich problem is polynomial.

**Proof.** Lemma 4.1 shows that computing  $h(P)$  suffices to test the existence of a sandwich poset  $P_s$  such that  $h(P_s) \geq \lfloor |V|/2 \rfloor$ .  $\square$

#### 4.2. Transitive sub-chain directed sandwich problem

**Problem 9.** TRANSITIVE SUB-CHAIN DIRECTED SANDWICH PROBLEM.

*Instance:* A poset  $P_t = (V, E_t)$  and a digraph  $D = (V, E)$  such that  $E_t \subseteq E$ .

*Question:* Does there exist a poset  $P_s = (V, E_s)$  such that  $E_t \subseteq E_s \subseteq E$  and  $height(P_s) \geq \lfloor n/2 \rfloor$ ?

As for the transitive sub-chain problem, 3-SAT reduces to the above problem. Setting  $P_t = (V, \emptyset)$  and  $D$  as defined in Theorem 4.1 is enough to prove its NP-completeness.

**Theorem 4.3.** The transitive sub-chain directed sandwich problem is NP-complete.

### 5. Two-dimensional posets

Let  $L$  and  $P$  be, respectively, a total order and a poset on the same vertex set. If  $x <_P y$  implies  $x <_L y$ , then  $L$  is a linear extension of  $P$ . The dimension of a poset  $P$  is the minimum number  $k$  of linear extensions such that  $x <_P y$  if and only if  $x <_{L_i} y$  for any  $i, 1 \leq i \leq k$ . The comparability graphs of two-dimensional posets are the permutation graphs.

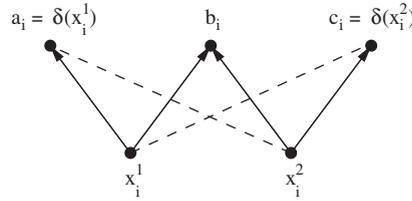


Fig. 10. The gadget associated to a triple  $T_i = (a_i, b_i, c_i)$ . We denote  $a_i = \delta(x_i^1)$  and  $c_i = \delta(x_i^2)$ .

The permutation graph sandwich problem is known to be NP-complete [8]. Unlike the interval case where the directed problem is polynomial while the non-oriented problem is NP-complete, this section shows that for two-dimensional posets, the poset sandwich problem is also NP-complete. In this case, fixing the orientation of the edges does not help.

**Problem 10.** TWO-DIMENSIONAL POSET SANDWICH PROBLEM.

*Instance:*  $P_t = (V, E_t)$  and  $P = (V, E)$  two posets on the same ground vertex set such that  $E_t \subseteq E$ .

*Question:* Does there exist a sandwich poset  $P_s = (V, E_s)$  of dimension 2?

**Theorem 5.1.** *The two-dimensional poset sandwich problem is NP-complete.*

**Proof.** We reduce to the same BETWEENNESS problem that was used in [8] for permutation sandwich graphs. Since we are dealing with posets, we need some additional arguments.

**Problem 11.** BETWEENNESS PROBLEM.

*Instance:* A ground set  $S$  and a set  $\mathcal{T} = \{T_1, \dots, T_k\}$  of triples of  $S$ .

*Question:* Does there exist a linear ordering  $\lambda$  such that for any triple  $T_i = (a_i, b_i, c_i)$ , either  $a_i <_\lambda b_i <_\lambda c_i$  or  $c_i <_\lambda b_i <_\lambda a_i$ ?

Let  $\mathcal{T} = \{T_1, \dots, T_k\}$  a set of triples on ground set  $S$  be an instance of BETWEENNESS. We associate a 5-vertex gadget to any triple  $T_i = (a_i, b_i, c_i)$  (see Fig. 10):  $\{a_i, b_i, c_i, x_i^1, x_i^2\}$  where  $a_i = \delta(x_i^2)$  and  $c_i = \delta(x_i^1)$ . To any instance of BETWEENNESS we associate a pair of posets  $P_t = (V, E_t)$  and  $P = (V, E)$  with  $E_t \subseteq E$ , based on the gadget of Fig. 10 as follows:

$$V = S \cup X \quad \text{where } X = \{x_i^1 \mid 1 \leq i \leq k\} \cup \{x_i^2 \mid 1 \leq i \leq k\},$$

$$E_t = \bigcup_{1 \leq i \leq k} \{x_i^1 a_i, x_i^1 b_i, x_i^2 b_i, x_i^2 c_i\} \quad \text{and} \quad E = X \times S \setminus \{uv \mid v = \delta(u)\}.$$

Clearly,  $P_t$  and  $P$  are polynomial time constructible. First, suppose there is a two-dimensional sandwich poset  $P_s$  for  $(P_t, P)$ . We write  $u < v$  if  $uv$  is an arc of  $P_s$ , and  $u \parallel v$  if  $u$  and  $v$  are incomparable. Let  $L_s = (L_1, L_2)$  be a realizer of  $P_s$ . Then  $P_s$  is a sub-order of the planar lattice  $L = L_1 \times L_2$  since product-dimension and intersection-dimension are equal. We can define an ordering  $\lambda$  on  $L$  by

$$u <_\lambda v \quad \text{if and only if} \quad L_1(u) > L_1(v) \quad \text{and} \quad L_2(u) < L_2(v).$$

Since  $S$  is an antichain in  $P_s$ , the restriction of  $\lambda$  to  $S$  is a linear ordering (as any two elements  $i, j$  of  $S$  satisfy by definition either  $L_1(j) > L_1(i)$  and  $L_2(j) < L_2(i)$ , or  $L_1(i) > L_1(j)$  and  $L_2(i) < L_2(j)$ ). Let us consider a triple  $T_i = (a_i, b_i, c_i)$ . Without loss of generality, we can assume that  $a_i <_\lambda c_i$ . Since  $x_i^1 < a_i$  and  $x_i^1 \parallel c_i$ , then  $x_i^1 <_\lambda c_i$ . Indeed if we suppose that  $x_i^1 \parallel_\lambda c_i$ , this means that  $x_i^1$  and  $c_i$  are comparable in  $L_1 \cap L_2$ , which is a contradiction. And if we suppose  $x_i^1 >_\lambda c_i$ , then by transitivity of  $\lambda$  we have  $a_i <_\lambda x_i^1$ , and since  $c_i <_\lambda a_i$ , by transitivity  $c_i <_\lambda x_i^1$ , which is also a contradiction. Since  $x_i^1 < b_i$  and  $b_i \parallel c_i$ , it follows that  $b_i <_\lambda c_i$ . Similarly, considering  $x_i^2$  we can prove that  $a_i <_\lambda b_i$ . Therefore,  $b_i$  is between  $a_i$  and  $c_i$  in  $\lambda$ . Thus, a solution to the two-dimensional poset sandwich problem  $(P_t, P)$  implies a solution to the BETWEENNESS problem on  $S$  with triples  $\mathcal{T}$ .

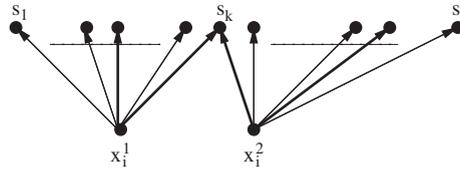


Fig. 11. Completion of  $P_t$  into a two-dimensional sandwich poset:  $x_i^1 \in X_k^1$  implies that any arc  $xs_j$  with  $j \leq k$  belongs to  $E_s$ ;  $x_i^2 \in X_k^2$  implies that any arc  $xs_j$  with  $k \leq j$  belongs to  $E_s$ . The arcs drawn in bold belong to  $E_t$ .

For the converse, let  $\lambda$  be a linear ordering on  $S$  that solves the BETWEENNESS problem for the triples  $\mathcal{T}$ . By reversing some of the triples, we can assume that  $a_i <_\lambda b_i <_\lambda c_i$  for every  $i$ . We shall define a two-dimensional poset  $P_s$  that is a sandwich for the pair  $(P_t, P)$ .

Let  $S = \{s_k \mid 1 \leq k \leq n\}$ , where  $s_k <_\lambda s_l$  if and only if  $k < l$ . For  $2 \leq m \leq n - 1$ , let  $X_m^1 = \{x_i^1 \mid b_i = s_m\}$  and define  $X_m^2$  similarly. The poset  $P_s$  has the edges  $xs_k$ , where either  $x \in X_m^1$  with  $m \geq k$ , or  $x \in X_m^2$  with  $m \leq k$  (Fig. 11).

Consider the following two listings of  $V$ .

$$L_1 : X_2^1, X_3^1, \dots, X_{n-1}^1, s_1, X_2^2, s_2, X_3^2, s_3, \dots, s_{n-2}, X_{n-1}^2, s_{n-1}, s_n,$$

$$L_2 : X_{n-1}^2, \dots, X_3^2, X_2^2, s_n, X_{n-1}^1, s_{n-1}, \dots, s_4, X_3^1, s_3, X_2^1, s_2, s_1.$$

Note that the listing used for each set  $X_m^j$  in  $L_1$  is reversed in  $L_2$ .

If  $L_1$  and  $L_2$  are considered to be linear orders, then their intersection is  $P_s$ , proving that  $P_s$  is two-dimensional.  $\square$

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