# Roman domination in graphs 

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Received 4 July 2000; received in revised form 6 May 2002; accepted 30 June 2003


#### Abstract

A Roman dominating function on a graph $G=(V, E)$ is a function $f: V \rightarrow\{0,1,2\}$ satisfying the condition that every vertex $u$ for which $f(u)=0$ is adjacent to at least one vertex $v$ for which $f(v)=2$. The weight of a Roman dominating function is the value $f(V)=\sum_{u \in V} f(u)$. The minimum weight of a Roman dominating function on a graph $G$ is called the Roman domination number of $G$. In this paper, we study the graph theoretic properties of this variant of the domination number of a graph.


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Keywords: Graph theory; Domination; Facilities location

## 1. Introduction

Let $G=(V, E)$ be a graph of order $|V|=n$. For any vertex $v \in V$, the open neighbourhood of $v$ is the set $N(v)=\{u \in V \mid u v \in E\}$ and the closed neighbourhood is the set $N[v]=N(v) \cup\{v\}$. For a set $S \subseteq V$, the open neighbourhood is $N(S)=\bigcup_{v \in S} N(v)$ and the closed neighbourhood is $N[S]=N(S) \cup S$.

Let $v \in S \subseteq V$. Vertex $u$ is called a private neighbour of $v$ with respect to $S$ (denoted by $u$ is an $S$-pn of $v$ ) if $u \in N[v]-N[S-\{v\}]$. An $S$-pn of $v$ is external if it is a vertex of $V-S$. The set $p n(v, S)=N[v]-N[S-\{v\}]$ of all $S$-pn's of $v$ is called the private neighbourhood set of $v$ with respect to $S$. The set $S$ is said to be irredundant if for every $v \in S, p n(v, S) \neq \emptyset$.

[^0]A set $S \subseteq V$ is a dominating set if $N[S]=V$, or equivalently, every vertex in $V-S$ is adjacent to at least one vertex in $S$. The domination number $\gamma(G)$ is the minimum cardinality of a dominating set in $G$, and a dominating set $S$ of minimum cardinality is called a $\gamma$-set of $G$. We note, for later reference, that every minimal dominating set is a maximal irredundant set, but not conversely. For example, two adjacent vertices on the cycle $C_{5}$ of length five form a maximal irredundant set which is not a dominating set.

A set $S$ of vertices is called independent if no two vertices in $S$ are adjacent. The independent domination number $i(G)$ is the minimum cardinality of a set $S$ of vertices which is both independent and dominating, or equivalently, which is a maximal independent set.

A set $S$ of vertices is called a 2-packing if for every pair of vertices $u, v \in S, N[u] \cap$ $N[v]=\emptyset$. The 2-packing number $P_{2}(G)$ of a graph $G$ is the maximum cardinality of a 2 -packing in $G$.

Finally, a set $S$ of vertices is called a vertex cover if for every edge $u v \in E$, either $u \in S$ or $v \in S$.

In this paper, we study a variant of the domination number which is suggested by the recent article in Scientific American by Ian Stewart, entitled "Defend the Roman Empire!" [10]. A Roman dominating function on a graph $G=(V, E)$ is a function $f: V \rightarrow\{0,1,2\}$ satisfying the condition that every vertex $u$ for which $f(u)=0$ is adjacent to at least one vertex $v$ for which $f(v)=2$.

Stated in other words, a Roman dominating function is a colouring of the vertices of a graph with the colours $\{0,1,2\}$ such that every vertex coloured 0 is adjacent to at least one vertex coloured 2. The definition of a Roman dominating function is given implicitly in [10] and [9]. The idea is that colours 1 and 2 represent either one or two Roman legions stationed at a given location (vertex $v$ ). A nearby location (an adjacent vertex $u$ ) is considered to be unsecured if no legions are stationed there (i.e. $f(u)=0$ ). An unsecured location ( $u$ ) can be secured by sending a legion to $u$ from an adjacent location $(v)$. But Emperor Constantine the Great, in the fourth century A.D., decreed that a legion cannot be sent from a location $v$ if doing so leaves that location unsecured (i.e. if $f(v)=1$ ). Thus, two legions must be stationed at a location $(f(v)=2$ ) before one of the legions can be sent to an adjacent location.

The recent book Fundamentals of Domination in Graphs [6] lists, in an appendix, many varieties of dominating sets that have been studied. It appears that none of those listed are the same as Roman dominating sets. Thus, Roman domination appears to be a new variety of both historical and mathematical interest.

## 2. Properties of Roman dominating sets

For a graph $G=(V, E)$, let $f: V \rightarrow\{0,1,2\}$, and let $\left(V_{0}, V_{1}, V_{2}\right)$ be the ordered partition of $V$ induced by $f$, where $V_{i}=\{v \in V \mid f(v)=i\}$ and $\left|V_{i}\right|=n_{i}$, for $i=$ $0,1,2$. Note that there exists a $1-1$ correspondence between the functions $f: V \rightarrow$ $\{0,1,2\}$ and the ordered partitions $\left(V_{0}, V_{1}, V_{2}\right)$ of $V$. Thus, we will write $f=\left(V_{0}, V_{1}, V_{2}\right)$.

A function $f=\left(V_{0}, V_{1}, V_{2}\right)$ is a Roman dominating function (RDF) if $V_{2} \succ V_{0}$, where $\succ$ means that the set $V_{2}$ dominates the set $V_{0}$, i.e. $V_{0} \subseteq N\left[V_{2}\right]$. The weight of $f$ is $f(V)=\sum_{v \in V} f(v)=2 n_{2}+n_{1}$.

The Roman domination number, denoted $\gamma_{\mathrm{R}}(G)$, equals the minimum weight of an RDF of $G$, and we say that a function $f=\left(V_{0}, V_{1}, V_{2}\right)$ is a $\gamma_{\mathrm{R}}-$ function if it is an RDF and $f(V)=\gamma_{\mathrm{R}}(G)$.

Proposition 1. For any graph $G$,

$$
\gamma(G) \leqslant \gamma_{\mathrm{R}}(G) \leqslant 2 \gamma(G) .
$$

Proof. Let $f=\left(V_{0}, V_{1}, V_{2}\right)$ be a $\gamma_{\mathrm{R}}$-function, and let $S$ be a $\gamma$-set of $G$. Then, $V_{1} \cup$ $V_{2}$ is a dominating set of $G$ and $(\emptyset, \emptyset, S)$ is a Roman dominating function. Hence, $\gamma(G) \leqslant\left|V_{1}\right|+\left|V_{2}\right| \leqslant\left|V_{1}\right|+2\left|V_{2}\right|=\gamma_{\mathrm{R}}(G)$. But $\gamma_{\mathrm{R}}(G) \leqslant 2|S|=2 \gamma(G)$.

Proposition 2. For any graph $G$ of order $n, \gamma(G)=\gamma_{\mathrm{R}}(G)$ if and only if $G=\overline{K_{n}}$.
Proof. It is obvious that if $G=\overline{K_{n}}$ then $\gamma(G)=\gamma_{\mathrm{R}}(G)$.
Let $f=\left(V_{0}, V_{1}, V_{2}\right)$ be a $\gamma_{\mathrm{R}}$-function. The equality $\gamma(G)=\gamma_{\mathrm{R}}(G)$ implies that we have equality in $\gamma(G) \leqslant\left|V_{1}\right|+\left|V_{2}\right|=\left|V_{1}\right|+2\left|V_{2}\right|=\gamma_{\mathrm{R}}(G)$. Hence, $\left|V_{2}\right|=0$, which implies that $V_{0}=\emptyset$. Therefore, $\gamma_{\mathrm{R}}(G)=\left|V_{1}\right|=|V|=n$. This implies that $\gamma(G)=n$, which, in turn, implies that $G=\overline{K_{n}}$.

Proposition 3. Let $f=\left(V_{0}, V_{1}, V_{2}\right)$ be any $\gamma_{\mathrm{R}}-f u n c t i o n$. Then
(a) $G\left[V_{1}\right]$, the subgraph induced by $V_{1}$ has maximum degree 1 .
(b) No edge of $G$ joins $V_{1}$ and $V_{2}$.
(c) Each vertex of $V_{0}$ is adjacent to at most two vertices of $V_{1}$.
(d) $V_{2}$ is a $\gamma$-set of $G\left[V_{0} \cup V_{2}\right]$.
(e) Let $H=G\left[V_{0} \cup V_{2}\right]$. Then each vertex $v \in V_{2}$ has at least two $H$-pn's (i.e. private neighbours relative to $V_{2}$ in the graph $H$ ).
(f) If $v$ is isolated in $G\left[V_{2}\right]$ and has precisely one external $H$-pn, say $w \in V_{0}$, then $N(w) \cap V_{1}=\emptyset$.
(g) Let $k_{1}$ equal the number of non-isolated vertices in $G\left[V_{2}\right]$, let $C=\left\{v \in V_{0}: \mid N(v) \cap\right.$ $\left.V_{2} \mid \geqslant 2\right\}$, and let $|C|=c$. Then $n_{0} \geqslant n_{2}+k_{1}+c$.

Proof. We omit the proofs of (a)-(e); they are clear.
(f) Suppose the contrary, that is, $N(w) \cap V_{1} \neq \emptyset$. Form a new function by changing the function values of $v$ and each $y \in N(w) \cap V_{1}$ to 0 , and the value $f(w)$ to 2 . This is an RDF with smaller weight than $f$, which is a contradiction.
(g) Let $k_{0}$ equal the number of isolated vertices in $G\left[V_{2}\right]$, so that $k_{0}+k_{1}=n_{2}$. By (e), $n_{0} \geqslant k_{0}+2 k_{1}+c=n_{2}+k_{1}+c$, as required.

Proposition 4. Let $f=\left(V_{0}, V_{1}, V_{2}\right)$ be a $\gamma_{R}$-function of an isolate-free graph $G$, such that $n_{1}$ is a minimum. Then
(a) $V_{1}$ is independent, and $V_{0} \cup V_{2}$ is a vertex cover.
(b) $V_{0} \succ V_{1}$.
(c) Each vertex of $V_{0}$ is adjacent to at most one vertex of $V_{1}$, i.e. $V_{1}$ is a 2-packing.
(d) Let $v \in G\left[V_{2}\right]$ have exactly two external $H-p n ' s w_{1}$ and $w_{2}$ in $V_{0}$. Then there do not exist vertices $y_{1}, y_{2} \in V_{1}$ such that $\left(y_{1}, w_{1}, v, w_{2}, y_{2}\right)$ is the vertex sequence of a path $P_{5}$.
(e) $n_{0} \geqslant 3 n / 7$, and this bound is sharp even for trees.

Proof. Again, we omit the proofs of (a)-(c); they are clear.
(d) Suppose the contrary. Form a new function by changing the function values of $\left(y_{1}, w_{1}, v, w_{2}, y_{2}\right)$ from $(1,0,2,0,1)$ to $(0,2,0,0,2)$. The new function is a $\gamma_{R^{-}}$ function with fewer 1 's, i.e. it has a smaller value of $n_{1}$, which is a contradiction.
(e) Define $c$ as in Proposition $3(\mathrm{~g})$. Let $a_{i}, i=1,2, \ldots, \Delta(G)$, be the number of vertices of $V_{2}$ which have exactly $i H$-pn's in $V_{0}$. By Proposition 3(e), (f) and Proposition 4(c), (d), we have

$$
\begin{align*}
& n_{1} \leqslant\left(a_{2}+3 a_{3}+\sum_{j=4}^{\Delta} j a_{j}\right)+c,  \tag{1}\\
& n_{0}=\left(a_{1}+2 a_{2}+3 a_{3}+\sum_{j=4}^{\Delta} j a_{j}\right)+c,  \tag{2}\\
& n_{2}=a_{1}+a_{2}+a_{3}+\sum_{j=4}^{\Delta} a_{j} \tag{3}
\end{align*}
$$

Therefore,

$$
\begin{aligned}
n= & n_{0}+n_{1}+n_{2} \\
\leqslant & n_{0}+\left(a_{2}+3 a_{3}+\sum_{j=4}^{\Delta} j a_{j}\right)+c \\
& +\left(a_{1}+a_{2}+a_{3}+\sum_{j=4}^{\Delta} a_{j}\right), \quad[\text { by (2) and (3)] } \\
= & \left(n_{0}+a_{1}+2 a_{2}+4 a_{3}+\sum_{j=4}^{\Delta}(j+1) a_{j}\right)+c
\end{aligned}
$$

$$
\begin{aligned}
\leqslant & \left(n_{0}+a_{1}+2 a_{2}+\sum_{j=4}^{\Delta}(j+1) a_{j}\right)+c \\
& \left.+\frac{4}{3}\left(n_{0}-a_{1}-2 a_{2}-\sum_{j=4}^{\Delta} j a_{j}-c\right), \quad \text { [eliminating } a_{3} \text { by }(1)\right] \\
= & \frac{7 n_{0}}{3}-\frac{a_{1}+2 a_{2}}{3}-\sum_{j=4}^{4} a_{j}\left(\frac{j}{3}-1\right)-\frac{c}{3} \\
\leqslant & \frac{7 n_{0}}{3} .
\end{aligned}
$$

Hence, $n_{0} \geqslant 3 n / 7$ as required.
The tree $T$ with seven vertices $V=\left\{u, u_{1}, u_{2}, u_{3}, v_{1}, v_{2}, v_{3}\right\}$, where $u$ is adjacent to $u_{1}$, $u_{2}$ and $u_{3}$, and $u_{i}$ is adjacent to $v_{i}, i=1,2,3$, has $\gamma_{\mathrm{R}}(T)=5$, a $\gamma_{\mathrm{R}}$-function defined by $f=\left(V_{0}, V_{1}, V_{2}\right)=\left(\left\{u_{1}, u_{2}, u_{3}\right\},\left\{v_{1}, v_{2}, v_{3}\right\},\{u\}\right)$, and achieves the bound $n_{0}=3 n / 7=3$.

Corollary 1. For any non-trivial connected graph $G$,

$$
\gamma_{\mathrm{R}}(G)=\min \{2 \gamma(G-S)+|S|: S \text { is a 2-packing }\} .
$$

Proof. Let $f=\left(V_{0}, V_{1}, V_{2}\right)$ be a $\gamma_{\mathrm{R}}$-function of a graph $G$. From Proposition 4(a) and (c) we can assume that $V_{1}$ is a 2-packing. It follows from Proposition 3(d) that $V_{2}$ is a $\gamma$-set of the graph $G-S$ obtained from $G$ by deleting all vertices in $V_{1}$. Thus, $\gamma_{\mathrm{R}}(G) \geqslant \min \{2 \gamma(G-S)+|S|: S$ is a 2-packing $\}$.

Conversely, let $V_{1}$ be a 2-packing for which $2 \gamma(G-S)+|S|$ is a minimum, and let $V_{2}$ be a $\gamma$-set of $G-V_{1}$. Then $\left(V-V_{1}-V_{2}, V_{1}, V_{2}\right)$ is an RDF, and $\gamma_{\mathrm{R}}(G) \leqslant 2\left|V_{2}\right|+$ $\left|V_{1}\right|=\min \{2 \gamma(G-S)+|S|: S$ is a 2-packing $\}$.

The following lower bound for the Roman domination number of any graph is proved in [3].

Proposition 5. For any graph $G$ of order $n$ and maximum degree $\Delta$,

$$
\frac{2 n}{\Delta+1} \leqslant \gamma_{\mathrm{R}}(G)
$$

We conclude this section with an upper bound on $\gamma_{\mathrm{R}}(G)$ using a probabilistic method similar to that used by Alon and Spencer in [1].

Proposition 6. For a graph $G$ on $n$ vertices,

$$
\gamma_{\mathrm{R}}(G) \leqslant n \frac{2+\ln ((1+\delta(G)) / 2)}{1+\delta(G)} .
$$

Proof. Given a graph $G$, select a set of vertices $A$, where each vertex is selected independently with probability $p$ (with $p$ to be defined later). The expected size of $A$ is $n p$. Let $B=V-N[A]$, the vertices not dominated by $A$. Clearly $f=(V-(A \cup B), B, A)$ is an $\operatorname{RDF}$ for $G$.

We now compute the expected size of $B$. The probability that $v$ is in $B$ is equal to the probability that $v$ is not in $A$ and that no vertex in $A$ is the neighbour of $v$. This probability is $(1-p)^{1+\operatorname{deg}(v)}$. Since $\mathrm{e}^{-x} \geqslant 1-x$ for any $x \geqslant 0$, and $\operatorname{deg}(v) \geqslant \delta(G)$, we can conclude that $\operatorname{Pr}(v \in B) \leqslant \mathrm{e}^{-p(1+\delta(G))}$. Thus, the expected size of $B$ is at most $n \mathrm{e}^{-p(1+\delta(G))}$, and the expected weight of $f$, denoted $E[f(V)]$, is at most $2 n p+n \mathrm{e}^{-p(1+\delta(G))}$. The upper bound for $E[f(V)]$ is minimized when $p=\ln ((1+\delta(G)) / 2) /(1+\delta(G))$ (this is easily shown using calculus), and substituting this value in for $p$ gives:

$$
E[f(V)] \leqslant n \frac{2+\ln ((1+\delta(G)) / 2)}{1+\delta(G)}
$$

Since the expected weight of $f(V)$ is at most $n(2+\ln ((1+\delta(G)) / 2)) /(1+\delta(G))$, there must be some RDF with at most this weight. It turns out that this bound is sharp, being achieved when $G$ is the disjoint union of $n / 2$ copies of $K_{2}$.

## 3. Specific values of Roman domination numbers

In this section, we illustrate the Roman domination number by presenting the value of $\gamma_{R}(G)$ for several classes of graphs. Some proofs are straightforward and are omitted.

The following two classes of graphs achieve the lower bound of Proposition 5.
Proposition 7. For the classes of paths $P_{n}$ and cycles $C_{n}$,

$$
\gamma_{\mathrm{R}}\left(P_{n}\right)=\gamma_{\mathrm{R}}\left(C_{n}\right)=\left\lceil\frac{2 n}{3}\right\rceil .
$$

For the class of complete multipartite graphs $K_{m_{1}, \ldots, m_{n}}$ there are three cases to consider.

Proposition 8. Let $G=K_{m_{1}, \ldots, m_{n}}$ be the complete $n$-partite graph with $m_{1} \leqslant m_{2} \leqslant \cdots$ $\leqslant m_{n}$.
(a) If $m_{1} \geqslant 3$ then $\gamma_{R}(G)=4$.
(b) If $m_{1}=2$ then $\gamma_{R}(G)=3$.
(c) If $m_{1}=1$ then $\gamma_{\mathrm{R}}(G)=2$.

Proposition 9. If $G$ is a graph of order $n$ which contains a vertex of degree $n-1$, then $\gamma(G)=1$ and $\gamma_{\mathrm{R}}(G)=2$.

For arbitrary graphs $G$ and $H$, we define the Cartesian product of $G$ and $H$ to be the graph $G \square H$ with vertices $\{(u, v) \mid u \in G, v \in H\}$. Two vertices $\left(u_{1}, v_{1}\right)$ and ( $u_{2}, v_{2}$ ) are adjacent in $G \square H$ if and only if one of the following is true: $u_{1}=u_{2}$ and $v_{1}$


Fig. 1. The constructions for $G_{2, n}, 1 \leqslant n \leqslant 6$. Filled-in circles denote vertices in $V_{2}$, empty circles denote vertices in $V_{1}$.
is adjacent to $v_{2}$ in $H$; or $v_{1}=v_{2}$ and $u_{1}$ is adjacent to $u_{2}$ in $G$. If $G=P_{m}$ and $H=P_{n}$, then the Cartesian product $G \square H$ is called the $m \times n$ grid graph and is denoted $G_{m, n}$.

Proposition 10. For the $2 \times n$ grid graph $G_{2, n}, \gamma_{\mathrm{R}}\left(G_{2, n}\right)=n+1$.
Proof. We only show, by construction (cf. Fig. 1), that $\gamma_{\mathrm{R}}\left(G_{2, n}\right) \leqslant n+1$. The reverse inequality is straightforward.

Let the vertices of $G_{2, n}$ be denoted $v_{1,1}, \ldots, v_{1, n}, v_{2,1}, \ldots, v_{2, n}$ and define the RDF $g$ as follows: for each $i$ such that $2+4 i \leqslant n$, let $g\left(v_{2,2+4 i}\right)=2$, and for each $j$ such that $4 j \leqslant n$, let $g\left(v_{1,4 j}\right)=2$. Let $g\left(v_{1,1}\right)=1$, and if $n \equiv 1(\bmod 4)$, let $g\left(v_{2, n}\right)=1$, and if $n \equiv 3(\bmod 4)$, let $g\left(v_{1, n}\right)=1$. For all of the remaining vertices $u$, let $g(u)=0$. It is easily seen that $g$ is an RDF and that $g(V)=n+1$.

One final class of graphs is of some interest. For even $n$, we let $(n / 2) K_{2}$ denote the graph consisting of $n / 2$ copies of the complete graph $K_{2}$ on two vertices.

Proposition 11. If $G$ is any isolate-free graph of order $n$, then $\gamma_{\mathrm{R}}(G)=n$ if and only if $n$ is even and $G=(n / 2) K_{2}$.

Proof. If $G=(n / 2) K_{2}$, then each edge contributes at least two to $\gamma_{\mathrm{R}}(G)$, and hence $n \leqslant \gamma_{\mathrm{R}}(G) \leqslant n$.

Assume therefore that $\gamma_{\mathrm{R}}(G)=n$. If $G$ has two incident edges $u v$ and $v w$, then $f=\left(V_{0}, V_{1}, V_{2}\right)$, where $V_{0}=\{u, w\}, V_{1}=V-\{u, v, w\}$ and $V_{2}=\{v\}$, defines a Roman dominating function. Hence, $\gamma_{R}(G) \leqslant\left|V_{1}\right|+2\left|V_{2}\right|=n-1$, which is a contradiction. Hence, no two edges of $G$ are incident, and since $G$ is isolate-free, the conclusion follows.

## 4. Graphs with $\gamma_{\mathrm{R}}(G) \leqslant \gamma(G)+2$

From Proposition 1, we know that:

$$
\gamma(G) \leqslant \gamma_{\mathrm{R}}(G) \leqslant 2 \gamma(G) .
$$

But from Proposition 2 we know that this lower bound is achieved only when $G=\overline{K_{n}}$. Thus, if $G$ is a connected graph then $\gamma_{\mathrm{R}}(G) \geqslant \gamma(G)+1$. The connected graphs $G$ with $\gamma_{\mathrm{R}}$-functions of weight $\gamma(G)+1$ and $\gamma(G)+2$ have a very specific structure, which will be shown in the following propositions.

Proposition 12. If $G$ is a connected graph of order $n$, then $\gamma_{\mathrm{R}}(G)=\gamma(G)+1$ if and only if there is a vertex $v \in V$ of degree $n-\gamma(G)$.

Proof. $\Leftarrow$ : Assume $G$ has a vertex $v$ of degree $n-\gamma(G)$. If $V_{2}=\{v\}, V_{1}=V-N[v]$, and $V_{0}=V-V_{1}-V_{2}$, then $V_{1} \cup V_{2}$ is a $\gamma$-set of $G$ and $f=\left(V_{0}, V_{1}, V_{2}\right)$ is an RDF with $f(V)=\gamma(G)+1$. Since $\gamma_{\mathrm{R}}(G) \geqslant \gamma(G)+1$ for connected graphs, $f$ is a $\gamma_{\mathrm{R}}$-function for $G$.
$\Rightarrow$ : In order for a Roman dominating function $f=\left(V_{0}, V_{1}, V_{2}\right)$ to have weight $\gamma(G)+$ 1, either (1) $\left|V_{1}\right|=\gamma(G)+1$ and $\left|V_{2}\right|=0$ or (2) $\left|V_{1}\right|=\gamma(G)-1$ and $\left|V_{2}\right|=1$. Any other arrangement of weight $\gamma(G)+1$ would have $\left|V_{1}\right|+\left|V_{2}\right|<\gamma(G)$.

In case (1), since $\left|V_{2}\right|=0$, then $V_{1}=V$. By a theorem of Ore [6, p. 41], $\gamma(G) \leqslant n / 2$ for a connected graph $G$ on $n$ vertices. Thus, $n=\gamma(G)+1 \leqslant n / 2+1$, which implies that $n \leqslant 2$. It is easily verified that $\gamma_{\mathrm{R}}\left(P_{2}\right)=2=\gamma\left(P_{2}\right)+1$, and $P_{2}$ has a vertex of degree 1 .

In case (2), let $f=\left(V_{0}, V_{1}, V_{2}\right)$ be a $\gamma_{\mathrm{R}}$-function for $G$ of weight $\gamma(G)+1$, with $\left|V_{1}\right|=\gamma(G)-1$ and $\left|V_{2}\right|=1$. Let $V_{2}=\{v\}$. Since no edge of $G$ joins $V_{1}$ and $\{v\}$, and $\{v\} \succ V_{0}, \operatorname{deg}(v)=\left|V_{0}\right|=n-\left|V_{1}\right|-\left|V_{2}\right|=n-\gamma(G)$.

We next characterize the class of trees $T$ for which $\gamma_{\mathrm{R}}(T)=\gamma(G)+1$. For a positive integer $t$, a wounded spider is a star $K_{1, t}$ with at most $t-1$ of its edges subdivided. Similarly, for an integer $t \geqslant 2$, a healthy spider is a star $K_{1, t}$ with all of its edges subdivided. In a wounded spider, a vertex of degree $t$ will be called the head vertex, and the vertices that are distance two from the head vertex will be the foot vertices. The head and foot vertices are well defined except when the wounded spider is the path on two or four vertices. For $P_{2}$, we will consider both vertices to be head vertices, and in the case of $P_{4}$, we will consider both endvertices as foot vertices and both interior vertices as head vertices.

Proposition 13. If $T$ is a tree on two or more vertices, then $\gamma_{\mathrm{R}}(T)=\gamma(T)+1$ if and only if $T$ is a wounded spider.

Proof. $\Leftarrow$ : Let $T$ be a wounded spider and let $v$ be the head vertex. Let $S=\{w: d(v, w)$ $=2\}$ be the set of foot vertices. Clearly, $\{v\} \cup S$ forms a $\gamma$-set for $T$. Also, if $V_{0}=V-$ $S-\{v\}, V_{1}=S$, and $V_{2}=\{v\}$, then $f=\left(V_{0}, V_{1}, V_{2}\right)$ is an RDF with $f(V)=\gamma(T)+1$. Therefore, $f$ is a $\gamma_{\mathrm{R}}$-function.
$\Rightarrow$ : Let $f=\left(V_{0}, V_{1}, V_{2}\right)$ be a $\gamma_{\mathrm{R}}$-function for $T$ of weight $\gamma(T)+1$. As in the proof of Proposition 12, either $T=P_{2}$, or $\left|V_{1}\right|=\gamma(G)-1$, and $\left|V_{2}\right|=1$. Let $V_{2}=\{v\}$. Then $|N(v)|=\left|V_{0}\right|=n-\gamma(T)$. Since $\left|V_{1}\right|$ is minimized in $f$, by Proposition 4(c), each vertex of $V_{0}$ is adjacent to at most one vertex of $V_{1}$. Conversely, since $T$ is connected, $V_{1}$ is independent, and $V_{1}$ and $V_{2}$ have no edges between them, every member of $V_{1}$ must be joined to a member of $V_{0}$. Furthermore, not every vertex in $V_{0}$ can be adjacent to a member of $V_{1}$, that is, $T$ cannot be a healthy spider. If this was the case, then $V_{0}$ forms a $\gamma$-set for $T$ and $\operatorname{deg}(v)=\left|V_{0}\right|<\left|V_{0}\right|+1=\left|V_{1}\right|+\left|V_{2}\right|=\left|V_{0}\right|+\left|V_{1}\right|+\left|V_{2}\right|-\left|V_{0}\right|$ $=n-\gamma(T)$, which is a contradiction. Hence, $T$ is a wounded spider.

We next characterize the class of graphs for which $\gamma_{\mathrm{R}}(G)=\gamma(G)+2$.

Proposition 14. If $G$ is a connected graph of order $n$, then $\gamma_{\mathrm{R}}(G)=\gamma(G)+2$ if and only if:
(a) $G$ does not have a vertex of degree $n-\gamma(G)$.
(b) either $G$ has a vertex of degree $n-\gamma(G)-1$ or $G$ has two vertices $v$ and $w$ such that $|N[v] \cup N[w]|=n-\gamma(G)+2$.

Proof. $\Leftarrow$ : By (a), we know that $\gamma_{\mathrm{R}}(G)>\gamma(G)+1$. If $G$ has a vertex $v$ of degree $n-\gamma(G)-1$, and we define $V_{0}=N(v), V_{1}=V-N[v]$, and $V_{2}=\{v\}$, then $f=\left(V_{0}, V_{1}, V_{2}\right)$ is an RDF with $f(V)=\gamma(G)+2$, and hence is a $\gamma_{\mathrm{R}}$-function.

If there are two vertices $v$ and $w$ such that $|N[v] \cup N[w]|=n-\gamma(G)+2$, and we define $V_{0}=N[v] \cup N[w]-\{v, w\}, V_{1}=V-(N[v] \cup N[w])$, and $V_{2}=\{v, w\}$, then $f=\left(V_{0}, V_{1}, V_{2}\right)$ is an RDF with $f(V)=\gamma(G)+2$, and hence is a $\gamma_{\mathrm{R}}$-function.
$\Rightarrow$ : In order for an RDF $f=\left(V_{0}, V_{1}, V_{2}\right)$ to have weight $\gamma(G)+2$, either (1) $\left|V_{1}\right|=$ $\gamma(G)+2$ and $\left|V_{2}\right|=0$, (2) $\left|V_{1}\right|=\gamma(G)$ and $\left|V_{2}\right|=1$, or (3) $\left|V_{1}\right|=\gamma(G)-2$ and $\left|V_{2}\right|=2$. In order for such an $f$ to be a $\gamma_{\mathrm{R}}$-function, there can be no other Roman dominating function of weight $\gamma(G)+1$, which implies that $G$ has no vertex of degree $n-\gamma(G)$.

In case (1), if $\left|V_{2}\right|=0$, then $V_{1}=V$. Again using Ore's theorem [6, p. 41], $n=\gamma(G)+2 \leqslant n / 2+2$, which implies that $n \leqslant 4$. A simple analysis of the connected graphs on four or fewer vertices shows that $\gamma_{\mathrm{R}}(G)=\gamma(G)+1$ for all such graphs.

In case (2), let $f=\left(V_{0}, V_{1}, V_{2}\right)$ be a $\gamma_{\mathrm{R}}$-function for $G$ of weight $\gamma(G)+2$ with $\left|V_{1}\right|=\gamma(G)$ and $\left|V_{2}\right|=1$. Let $V_{2}=\{v\}$. Since no edge of $G$ joins $V_{1}$ and $v$, and $v \succ V_{0}$, it follows that $\operatorname{deg}(v)=\left|V_{0}\right|=n-\left|V_{1}\right|-\left|V_{2}\right|=n-\gamma(G)-1$.

In case (3), let $f=\left(V_{0}, V_{1}, V_{2}\right)$ be a $\gamma_{\mathrm{R}}$-function for $G$ of weight $\gamma(G)+2$ with $\left|V_{1}\right|=\gamma(G)-2$ and $\left|V_{2}\right|=2$. Let $V_{2}=\{v, w\}$. Since no edge joins $V_{1}$ to $v$ or $w$ and $\{v, w\} \succ V_{0}$, it follows that $|N[v] \cup N[w]|=n-\left|V_{1}\right|=n-(\gamma(G)-2)=n-\gamma(G)+2$.

Corollary 2. If $G$ is a connected graph and $\gamma_{\mathrm{R}}(G)=\gamma(G)+2$, then $2 \leqslant \operatorname{rad}(G) \leqslant 4$ and $3 \leqslant \operatorname{diam}(G) \leqslant 8$.

It is possible to use Proposition 11 to obtain a characterization of trees for which $\gamma_{\mathrm{R}}(T)=\gamma(T)+2$. Once again, spiders play a major role. This classification is rather technical and we do not give the details.

Proposition 15. If $T$ is a tree of order $n \geqslant 2$, then $\gamma_{\mathrm{R}}(T)=\gamma(T)+2$ if and only if either (i) $T$ is a healthy spider or (ii) $T$ is a pair of wounded spiders $T_{1}$ and $T_{2}$, with a single edge joining $v \in V\left(T_{1}\right)$ and $w \in V\left(T_{2}\right)$, subject to the following conditions:
(1) if either tree is a $P_{2}$, then neither vertex in $P_{2}$ are joined to the head vertex of the other tree.
(2) $v$ and $w$ are not both foot vertices.

## 5. Graphs for which $\gamma_{\mathrm{R}}(G)=2 \gamma(G)$

From Proposition 1 we know that for any graph $G, \gamma_{\mathrm{R}}(G) \leqslant 2 \gamma(G)$. We will say that a graph $G$ is a Roman graph if $\gamma_{\mathrm{R}}(G)=2 \gamma(G)$. In this section, we seek to find a characterization of Roman graphs.

Proposition 9 gives us our first class of Roman graphs, i.e. graphs of the form $G=K_{1}+H$, where $\gamma(G)=1$ and $\gamma_{\mathrm{R}}(G)=2$. Equivalently, any graph $G$ of order $n$ having a vertex of degree $n-1$ is a Roman graph.
Proposition 7 identifies all Roman paths and cycles, i.e. $P_{3 k}, C_{3 k}, P_{3 k+2}$, and $C_{3 k+2}$.
Proposition 8 identifies which complete bipartite graphs are Roman, i.e. $K_{m, n}$ where $\min \{m, n\} \neq 2$, in which case either $\gamma(G)=1$ and $\gamma_{\mathrm{R}}(G)=2$, or $\gamma(G)=2$ and $\gamma_{\mathrm{R}}(G)=4$.

Two simple characterizations of Roman graphs are as follows.
Proposition 16. A graph $G$ is Roman if and only if it has a $\gamma_{\mathrm{R}}-$ function $f=\left(V_{0}, V_{1}, V_{2}\right)$ with $n_{1}=\left|V_{1}\right|=0$.

Proof. Let $G$ be a Roman graph and let $f=\left(V_{0}, V_{1}, V_{2}\right)$ be a $\gamma_{\mathrm{R}}$-function of $G$. From Proposition 3(d) we know that $V_{2} \succ V_{0}$ and $V_{1} \cup V_{2} \succ V$, and hence

$$
\gamma(G) \leqslant\left|V_{1} \cup V_{2}\right|=\left|V_{1}\right|+\left|V_{2}\right| \leqslant\left|V_{1}\right|+2\left|V_{2}\right|=\gamma_{\mathrm{R}}(G)
$$

But since $G$ is Roman, we know that

$$
2 \gamma(G)=2\left|V_{1}\right|+2\left|V_{2}\right|=\gamma_{\mathrm{R}}(G)=\left|V_{1}\right|+2\left|V_{2}\right| .
$$

Hence, $n_{1}=\left|V_{1}\right|=0$.
Conversely, let $f=\left(V_{0}, V_{1}, V_{2}\right)$ be a $\gamma_{\mathrm{R}}$-function of $G$ with $n_{1}=\left|V_{1}\right|=0$. Therefore, $\gamma_{\mathrm{R}}(G)=2\left|V_{2}\right|$, and since by definition $V_{1} \cup V_{2} \succ V$, it follows that $V_{2}$ is a dominating set of $G$. But by Proposition 3(d), we know that $V_{2}$ is a $\gamma$-set of $G\left[V_{0} \cup V_{2}\right]$, i.e. $\left|V_{2}\right|=\gamma(G)$ and $\gamma_{\mathrm{R}}(G)=2 \gamma(G)$, i.e. $G$ is a Roman graph.

Proposition 17. A graph $G$ is Roman if and only if $\gamma(G) \leqslant \gamma(G-S)+|S| / 2$, for every 2-packing $S \subseteq V$.

Proof. From Corollary 1, we know that $\gamma_{\mathrm{R}}(G)$ is the minimum value of $2 \gamma(G-S)+|S|$, over all 2-packings $S \subset V$. Thus, if $\gamma_{\mathrm{R}}(G)=2 \gamma(G)$, then $2 \gamma(G-S)+|S| \geqslant \gamma(G)$, or $\gamma(G) \leqslant \gamma(G-S)+|S| / 2$, for every 2-packing $S$.

Conversely, if $\gamma(G) \leqslant \gamma(G-S)+|S| / 2$, for every 2-packing $S$, then $2 \gamma(G) \leqslant$ $\gamma(G-S)+|S|$, for every 2-packing $S$. This implies that $2 \gamma(G) \leqslant \gamma_{\mathrm{R}}(G)$. But from Proposition 1 we know that $\gamma_{\mathrm{R}}(G) \leqslant \gamma(G)$. Therefore, $\gamma_{\mathrm{R}}(G)=2 \gamma(G)$.

We conclude this section by noting that a constructive characterization of Roman trees has recently been given by Henning [7].

## 6. Open problems

Among the many questions raised by this research, the following are of particular interest to the authors.

1. Can you find other classes of Roman graphs?
2. Can Propositions 12 and 14 be generalized to produce a characterization of graphs for which $\gamma_{\mathrm{R}}(G)=\gamma(G)+k$ ?
3. Can you determine the Roman domination number of the grid graph $G_{m, n}$, for any positive integers $m$ and $n$ ? Various values of Roman domination in grid graphs have been determined by Dreyer [4] in his Ph.D. Thesis, and bounds are obtained in [3].
4. What are the algorithmic, complexity and approximation properties of Roman domination? For example, the authors have constructed a linear algorithm for computing the Roman domination number of any tree. Furthermore, McRae [8] has constructed proofs which show that the decision problem RDF, corresponding to the value of a Roman dominating function, is NP-complete, even when restricted to (i) chordal, (ii) bipartite, (iii) split, or (iv) planar graphs. It was also suggested by one of the referees that the inequalities, $\gamma(G) \leqslant \gamma_{\mathrm{R}}(G) \leqslant 2 \gamma(G)$, imply immediately that there is a $2 \log n$ approximation algorithm for the Roman domination number, while a $c \log n$ approximation algorithm does not exist for any $c<1$ unless $P=\mathrm{NP}$. The algorithmic complexity of Roman domination will be the subject of a subsequent paper [2].
5. Can you construct a polynomial algorithm for computing the value $\gamma_{R}(G)$ for any interval graph $G$ ?
6. What can you say about the minimum and maximum values of $n_{0}, n_{1}$ and $n_{2}$ for a $\gamma_{\mathrm{R}}$-function $f=\left(V_{0}, V_{1}, V_{2}\right)$ of a graph $G$ ? For example, Proposition 4(e) says that you can always guarantee there is a $\gamma_{\mathrm{R}}$-function with $n_{0} \geqslant 3 n / 7$.
7. What are the properties of independent Roman dominating functions? A Roman domination function $f=\left(V_{0}, V_{1}, V_{2}\right)$ is called independent if the set $V_{1} \cup V_{2}$ is an independent set. McRae [8] has also shown that the decision problem IRDF corresponding to independent Roman dominating functions is NP-complete, even when restricted to bipartite graphs. It is interesting, however, to note that Farber [5] has shown that independent dominating set is polynomial when restricted to chordal graphs. This raises the interesting question of whether IRDF is polynomial for chordal graphs.

## Acknowledgements

This paper was completed while Dr. Cockayne was visiting the Department of Mathematics, Applied Mathematics and Astronomy of the University of South Africa during January, 2000. He also gratefully acknowledges research support from the Canadian Natural Sciences and Engineering Research Council (NSERC). The authors gratefully acknowledge the three referees of this paper for many excellent suggestions for improving and condensing this paper.

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