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ABSTRACT

We prove the conjecture of A. Postnikov that (A) the number of regions in the inversion hyperplane arrangement associated with a permutation $w \in \mathfrak{S}_n$ is at most the number of elements below w in the Bruhat order, and (B) that equality holds if and only if w avoids the patterns 4231, 35142, 42513 and 351624. Furthermore, assertion (A) is extended to all finite reflection groups.

A byproduct of this result and its proof is a set of inequalities relating Betti numbers of complexified inversion arrangements to Betti numbers of closed Schubert cells. Another consequence is a simple combinatorial interpretation of the chromatic polynomial of the inversion graph of a permutation which avoids the above patterns.

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1. Introduction

We confirm a conjecture of A. Postnikov [12, Conjecture 24.4(1)], relating the interval below a permutation $w \in \mathfrak{S}_n$ in the Bruhat order and a hyperplane arrangement determined by the inversions of w . Definitions of key objects discussed but not defined in this introduction can be found in Section 2.

Fix $n \in \mathbb{N}$ and $w \in \mathfrak{S}_n$. An *inversion* of w is a pair (i, j) such that $1 \leq i < j \leq n$ and $iw > jw$. (Here we write w as a function acting from the right on $[n] = \{1, \dots, n\}$.) We write $\text{INV}(w)$ for the set of inversions of w .

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For $1 \leq i < j \leq n$, set

$$H_{ij} = \{(v_1, \dots, v_n) \in \mathbb{R}^n \mid v_i = v_j\},$$

so H_{ij} is a hyperplane in \mathbb{R}^n . Set

$$\mathcal{A}'_w = \{H_{ij} \mid (i, j) \in \text{INV}(w)\},$$

so \mathcal{A}'_w is a central hyperplane arrangement in \mathbb{R}^n . Let $\text{re}(w)$ be the number of connected components of $\mathbb{R}^n \setminus \bigcup \mathcal{A}'_w$. Let $\text{br}(w)$ be the size of the ideal generated by w in the Bruhat order on \mathfrak{S}_n .

The first part of Postnikov's conjecture is that

(A) for all $n \in \mathbb{N}$ and all $w \in \mathfrak{S}_n$ we have $\text{re}(w) \leq \text{br}(w)$.

In Theorem 3.3 below, we give a generalization of (A) that holds for all finite reflection groups.

Let $m \leq n$, let $p \in \mathfrak{S}_m$ and let $w \in \mathfrak{S}_n$. We say w avoids p if there do not exist $1 \leq i_1 < i_2 < \dots < i_m \leq n$ such that for all $j, k \in [m]$ we have $i_j w < i_k w$ if and only if $jp < kp$. The second part of Postnikov's conjecture is that

(B) for all $n \in \mathbb{N}$ and all $w \in \mathfrak{S}_n$, we have $\text{br}(w) = \text{re}(w)$ if and only if w avoids all of 4231, 35142, 42513 and 351624.

Here we have written the four permutations to be avoided in *one line notation*, that is, we write $w \in \mathfrak{S}_n$ as $1w \dots nw$. As is standard, we call the permutations to be avoided *patterns*. With Theorem 4.1 we show that avoidance of the four given patterns is necessary for the equality of $\text{br}(w)$ and $\text{re}(w)$ and with Corollary 5.7 we show that this avoidance is sufficient, thus proving all of Postnikov's conjecture.

We remark that the avoidance of the four given patterns has arisen in work of Postnikov on total positivity [12], work of Gasharov and Reiner on Schubert varieties in partial flag manifolds [9] and work of Sjöstrand [13] on the Bruhat order. In Section 6, we give yet another characterization of the permutations that avoid these patterns.

The Bruhat order (on any Weyl group) describes the containment relations between the closures of Schubert cells in the associated flag variety (see for example [6,8]). Inequality (A) (along with our proof of it) indicates that there might be some relationship between the cohomology of the closure of the Schubert cell indexed by w and the cohomology of the complexification of the arrangement \mathcal{A}'_w . In Proposition 7.1 we provide three inequalities relating these objects when $w \in \mathfrak{S}_n$ avoids the four patterns mentioned above.

In Section 8, we show how the chromatic polynomial of the inversion graph of $w \in \mathfrak{S}_n$ (or, equivalently, the characteristic polynomial of \mathcal{A}'_w) keeps track of the transposition distance from u to w for $u \leq w$ in Bruhat order. In Section 9 we provide an example to illustrate what our results say about a specific permutation, and in Section 10 we list some open problems.

2. Prerequisites

In this section, we review basic material on hyperplane arrangements and Coxeter groups that we will use in the sequel. For more information on these subjects the reader may consult, for example, [14] and [3], respectively.

A *Coxeter group* is a group W generated by a finite set S of involutions subject only to relations of the form $(ss')^{m(s,s')} = 1$, where $m(s,s') = m(s',s) \geq 2$ if $s \neq s'$. The pair (W, S) is referred to as a *Coxeter system*.

The *length*, denoted $\ell(w)$, of $w \in W$ is the smallest k such that $w = s_1 \dots s_k$ for some $s_1, \dots, s_k \in S$. If $w = s_1 \dots s_k$ and $\ell(w) = k$, then the sequence $s_1 \dots s_k$ is called a *reduced expression* for w .

Every Coxeter group admits a partial order called the *Bruhat order*.

Definition 2.1. Given $u, w \in W$, we say that $u \leq w$ in the Bruhat order if every reduced expression (equivalently, some reduced expression) for w contains a subword representing u . In other words,

$u \leq w$ if whenever $w = s_1 \cdots s_k$ with each $s_i \in S$ and $\ell(w) = k$, there exist $1 \leq i_1 < \cdots < i_j \leq k$ such that $u = s_{i_1} \cdots s_{i_j}$.

Although it is not obvious from Definition 2.1, the Bruhat order is a partial order on W . Observe that the identity element $e \in W$ is the unique minimal element with respect to this order.

Given $u, w \in W$, the definition is typically not very useful for determining whether $u \leq w$. When $W = \mathfrak{S}_n$ is a symmetric group, with S being the set of adjacent transpositions $(i \ i + 1)$, the following nice criterion exists. For a permutation $w \in \mathfrak{S}_n$ and $i, j \in [n]$, let

$$w[i, j] = |\{m \in [i] \mid mw \geq j\}|.$$

Let $P(w) = (a_{ij})$ be the permutation matrix corresponding to $w \in \mathfrak{S}_n$ (so $a_{ij} = 1$ if $iw = j$ and $a_{ij} = 0$ otherwise). Then $w[i, j]$ is simply the number of ones weakly above and weakly to the right of position (i, j) in $P(w)$, that is, the number of pairs (k, l) such that $a_{kl} = 1$, $k \leq i$ and $j \leq l$.

A proof of the next proposition can be found in [3].

Proposition 2.2 (Standard criterion). *Given $u, v \in \mathfrak{S}_n$, we have $u \leq w$ in the Bruhat order if and only if $u[i, j] \leq w[i, j]$ for all $(i, j) \in [n]^2$.*

In fact, it is only necessary to compare $u[i, j]$ and $w[i, j]$ for certain pairs (i, j) ; see Lemma 5.1 below.

Each finite Coxeter group W can be embedded in some $GL_n(\mathbb{R})$ in such a way that the elements of S act as reflections. That is, having fixed such an embedding, for each $s \in S$ there is some hyperplane H_s in \mathbb{R}^n such that s acts on \mathbb{R}^n by reflection through H_s . Thus a reflection in W is defined to be an element conjugate to an element of S . Letting T denote the set of reflections in W , we therefore have $T = \{w^{-1}sw \mid s \in S, w \in W\}$. Every finite subgroup of $GL_n(\mathbb{R})$ generated by reflections is a Coxeter group. A natural geometric representation of a Coxeter group W is an embedding of the type just described in which no point in $\mathbb{R}^n \setminus \{0\}$ is fixed by all of W .

Sometimes we work with the generating set T rather than S . We define the absolute length $\ell'(w)$ as the smallest number of reflections needed to express $w \in W$ as a product. In the case of finite Coxeter groups, i.e. finite reflection groups, a nice formula for the absolute length follows from work of Carter [5, Lemma 2].

Proposition 2.3 (Carter [5]). *Let W be a finite reflection group in a natural geometric representation. Then, the absolute length of $w \in W$ equals the codimension of the space of fixed points of w .*

Next, we recall a convenient interaction between reflections and (not necessarily reduced) expressions. For a proof, the reader may consult [3, Theorem 1.4.3]. By a hat over an element, we understand deletion of that element.

Proposition 2.4 (Strong exchange property). *Suppose $w = s_1 \cdots s_k$ for some $s_i \in S$. If $t \in T$ has the property that $\ell(tw) < \ell(w)$, then $tw = s_1 \cdots \widehat{s_i} \cdots s_k$ for some $i \in [k]$.*

A real hyperplane arrangement is a set \mathcal{A} of affine hyperplanes in some real vector space $V \cong \mathbb{R}^n$. We will assume that \mathcal{A} is finite. The arrangement \mathcal{A} is called linear if each $H \in \mathcal{A}$ is a linear subspace of \mathbb{R}^n . The intersection lattice of a linear arrangement \mathcal{A} is the set $L_{\mathcal{A}}$ of all subspaces of V that can be obtained by intersecting some elements of \mathcal{A} , ordered by reverse inclusion. (The minimal element V of $L_{\mathcal{A}}$ is obtained by taking the intersection of no elements of \mathcal{A} and will be denoted by $\hat{0}$.)

A crucial property of $L_{\mathcal{A}}$ is that it admits a so-called EL-labelling. The general definition of such labellings is not important to us; see [1] for details. Instead, we focus on the properties of a particular EL-labelling of $L_{\mathcal{A}}$, the standard labelling λ , which we now describe.

Let \triangleleft denote the covering relation of $L_{\mathcal{A}}$. Choose some total ordering of the hyperplanes in \mathcal{A} . To each covering $A \triangleleft B$ we associate the label

$$\lambda(A \triangleleft B) = \min\{H \in \mathcal{A} \mid H \leq B \text{ and } H \not\leq A\}.$$

The complement $V \setminus \bigcup \mathcal{A}$ of the arrangement \mathcal{A} is a disjoint union of contractible connected components called the *regions* of \mathcal{A} . The number of regions can be computed from λ . Given any saturated chain $C = \{A_0 \triangleleft \cdots \triangleleft A_m\}$ in $L_{\mathcal{A}}$, say that C is λ -decreasing if $\lambda(A_{i-1} \triangleleft A_i) > \lambda(A_i \triangleleft A_{i+1})$ for all $i \in [m - 1]$.

Proposition 2.5. (See Björner [1], Zaslavsky [15].) *The number of regions of \mathcal{A} equals the number of λ -decreasing saturated chains that contain $\hat{0}$.*

Proof. It follows from the theory of EL-labellings [1] that the number of chains with the asserted properties is

$$\sum_{A \in L_{\mathcal{A}}} |\mu(\hat{0}, A)|,$$

where μ is the Möbius function of $L_{\mathcal{A}}$. By a result of Zaslavsky [15], this number is precisely the number of regions of \mathcal{A} . \square

Given a finite Coxeter group W we may associate to it the *Coxeter arrangement* \mathcal{A}_W . This is the collection of hyperplanes that are fixed by the various reflections in T when we consider W as a finite reflection group in a standard geometric representation. The isomorphism type of $L_{\mathcal{A}}$ does not depend on the choice of standard representation.

3. From intersection lattices to Bruhat intervals

Let (W, S) be a finite Coxeter system. Fix a reduced expression $s_1 \cdots s_k$ for some $w \in W$. Given $i \in [k]$, define the reflection

$$t_i = s_1 \cdots s_{i-1} s_i s_{i-1} \cdots s_1 \in T.$$

The set $T_w = \{t_i \mid i \in [k]\}$ only depends on w and not on the chosen reduced expression. In fact, $T_w = \{t \in T \mid \ell(tw) < \ell(w)\}$. We call T_w the *inversion set* of w . If $W = \mathfrak{S}_n$ and T is the set of transpositions, then the transposition $(i j)$ lies in T_w if and only if $(i, j) \in \text{INV}(w)$. Being reflections, the various t_i correspond to reflecting hyperplanes H_i in a standard geometric representation of W . Thus, w determines an arrangement of real linear hyperplanes

$$\mathcal{A}_w = \{H_i \mid i \in [k]\}$$

which we call the *inversion arrangement* of w . It is a subarrangement of the Coxeter arrangement \mathcal{A}_W .

Let us order the hyperplanes in \mathcal{A}_w by $H_1 > H_2 > \cdots > H_k$. We denote by λ the standard EL-labelling of the intersection lattice $L_w = L_{\mathcal{A}_w}$ induced by this order. In particular, λ depends on the choice of reduced expression for w .

Let \mathcal{C}^\downarrow be the set of λ -decreasing saturated chains in L_w that include the minimum element $\hat{0}$. By Proposition 2.5, \mathcal{C}^\downarrow is in bijection with the set of regions of \mathcal{A}_w . We will construct an injective map from \mathcal{C}^\downarrow to the Bruhat interval $[e, w]$.

Let $C = \{\hat{0} = X_0 \triangleleft X_1 \triangleleft \cdots \triangleleft X_m\} \subset L_w$ be a saturated chain. Suppose, for each $i \in [m]$, we have $\lambda(X_{i-1} \triangleleft X_i) = H_{j_i}$. Define

$$p(C) = t_{j_1} \cdots t_{j_m} \in W.$$

Proposition 3.1. *If $C \in \mathcal{C}^\downarrow$, then $p(C)w \leq w$ in the Bruhat order. Thus, $C \mapsto p(C)w$ defines a map $\phi : \mathcal{C}^\downarrow \rightarrow [e, w]$.*

Proof. When $C = \{\hat{0} = X_0 \triangleleft X_1 \triangleleft \cdots \triangleleft X_m\} \subset L_w$ is λ -decreasing, we have

$$p(C)w = \prod_{i \in [k] \setminus \{j_1, \dots, j_m\}} s_i.$$

Thus, $p(C)w$ can be represented by an expression which is a subword of the chosen reduced expression for w . \square

A full description of ϕ when $w = (142) \in \mathfrak{S}_4$ appears in Section 9. In order to deduce injectivity of ϕ , we need the following lemma.

Lemma 3.2. *For every saturated chain*

$$C = \{\hat{0} = X_0 \triangleleft X_1 \triangleleft \dots \triangleleft X_m\} \subset L_w,$$

we have $\ell'(p(C)) = m$.

Proof. We proceed by induction on m , the case $m = 0$ being trivial.

By construction, $\ell'(p(C)) \leq m$. Suppose, in order to deduce a contradiction, that the inequality is strict. The inductive hypothesis implies $\ell'(p(C \setminus X_m)) = m - 1$. Since $p(C)$ and $p(C \setminus X_m)$ differ by a reflection, their absolute lengths must be of opposite parity (because absolute length and ordinary length have the same parity, and the corresponding statement for ordinary length is well known). Thus, $\ell'(p(C)) = m - 2$. We may therefore write $p(C) = t'_1 \cdots t'_{m-2}$ for some reflections $t'_i \in T$ through corresponding hyperplanes H'_i .

Recall the notation $\lambda(X_{i-1} \triangleleft X_i) = H_{j_i}$ with corresponding reflection t_{j_i} . Let F denote the fixed point space of $p(C)t_{j_m} = p(C \setminus X_m)$. Then, $X_{m-1} = H_{j_1} \cap \dots \cap H_{j_{m-1}} \subseteq F$. By Proposition 2.3,

$$\text{codim}(F) = \ell'(p(C)t_{j_m}) = m - 1 = \text{codim}(X_{m-1}).$$

Thus, $F = X_{m-1}$. On the other hand, $p(C)t_{j_m} = t'_1 \cdots t'_{m-2}t_{j_m}$. Therefore, $F \supseteq H'_1 \cap \dots \cap H'_{m-2} \cap H_{j_m}$. Now, $\text{codim}(F) = m - 1 \geq \text{codim}(H'_1 \cap \dots \cap H'_{m-2} \cap H_{j_m})$ so that, in fact, $F = H'_1 \cap \dots \cap H'_{m-2} \cap H_{j_m}$. Hence, $H_{j_m} \supseteq X_{m-1}$, which is impossible given the definition of λ . \square

We are now in position to prove the main result of this section.

Theorem 3.3. *The map $\phi : \mathcal{C}^\downarrow \rightarrow [e, w]$ is injective.*

Proof. If C is the saturated chain $\hat{0} = X_0 \triangleleft \dots \triangleleft X_m$ in L_w , then X_m is contained in the fixed point space of $p(C)$ (since $p(C)$ is a product of reflections through hyperplanes, all of which contain X_m). Lemma 3.2 and Proposition 2.3 therefore imply that X_m is the fixed point space of $p(C)$. In particular, if two chains have the same image under p , then their respective maximum elements coincide.

Now suppose $\phi(C) = \phi(D)$, i.e. $p(C) = p(D)$, for some $C, D \in \mathcal{C}^\downarrow$. We shall show that $C = D$. Write $C = \{\hat{0} = X_0 \triangleleft \dots \triangleleft X_m\}$ and $D = \{\hat{0} = Y_0 \triangleleft \dots \triangleleft Y_{m'}\}$. We have shown that $m = m'$ and $X_m = Y_m$. Since both C and D are λ -decreasing, the construction of λ implies $\lambda(X_{m-1} \triangleleft X_m) = \lambda(Y_{m-1} \triangleleft Y_m) = H$, where H is the smallest hyperplane below $X_m = Y_m$ in L_w . With t denoting the reflection corresponding to H , we thus have $p(C \setminus X_m) = p(D \setminus Y_m) = p(C)t = p(D)t$. Our theorem is proved by induction on m . \square

Let us explain how the first part of Postnikov’s conjecture, statement (A) in the Introduction, follows from Theorem 3.3. The symmetric group \mathfrak{S}_n acts on \mathbb{R}^n by permuting coordinates. Under this action, the transposition $(i j)$ acts by a reflection in the hyperplane given by $x_i = x_j$. However, this is not quite a natural geometric representation of \mathfrak{S}_n because the entire line given by $x_1 = \dots = x_n$ is fixed by all elements. To rectify the situation we may study the restriction of the action to the subspace $V^{(n-1)} \subset \mathbb{R}^n$ that consists of the points in \mathbb{R}^n whose coordinates sum to zero. Thus, \mathcal{A}_w is a hyperplane arrangement in $V^{(n-1)}$.

Recalling our convention that uw means “first u , then w ” for $u, w \in \mathfrak{S}_n$ we see that $(i j) \in T_w$ if and only if (i, j) is an inversion of w in the ordinary sense. Thus, for $w \in \mathfrak{S}_n$,

$$\mathcal{A}_w = \{H \cap V^{(n-1)} \mid H \in \mathcal{A}'_w\}.$$

In the language of [14], \mathcal{A}_w is the essentialization of \mathcal{A}'_w . The regions in the complements of \mathcal{A}_w and \mathcal{A}'_w are in an obvious bijective correspondence and statement (A) follows.

Although we do not know when ϕ is surjective for an arbitrary finite reflection group, for symmetric groups we have the following result, whose proof is contained in the next two sections.

Theorem 3.4. *If $w \in \mathfrak{S}_n$, the map ϕ is surjective (and hence bijective) if and only if w avoids the patterns 4231, 35142, 42513 and 351624.*

4. A necessity criterion for surjectivity in symmetric groups

We now confine our attention to the type A case when $W = \mathfrak{S}_n$ is a symmetric group. Depending on what is most convenient, either one-line notation or cycle notation is used to represent a permutation $w \in \mathfrak{S}_n$. In this setting, as we have seen, T becomes the set of transpositions in \mathfrak{S}_n and $T_w = \{(i\ j) \mid i < j \text{ and } iw > jw\}$ can be identified with $\text{INV}(w)$.

Theorem 4.1. *Suppose W is a symmetric group. If $\phi : \mathcal{C}^\downarrow \rightarrow [e, w]$ is surjective, then w avoids the patterns 4231, 35142, 42513 and 351624.*

Proof. It follows from Lemma 3.2 that if $u \leq w$ is in the image of ϕ , then uw^{-1} can be written as a product of $\ell'(uw^{-1})$ inversions of w . Below we construct, for w containing each of the four given patterns, elements $u \leq w$ that fail to satisfy this property.

Case 4231. Suppose w contains the pattern 4231 in positions n_1, n_2, n_3 , and n_4 , meaning that $n_1w > n_3w > n_2w > n_4w$. Then, let $u = (n_1\ n_4)(n_2\ n_3)w$. Invoking the standard criterion, Proposition 2.2, it suffices to check $(14)(23)4231 = 1324 < 4231$ in order to conclude $u < w$. Now, $uw^{-1} = (n_1\ n_4)(n_2\ n_3)$ has absolute length 2. However, uw^{-1} cannot be written as a product of two inversions of w , because $(n_2\ n_3)$ is not an inversion.

Case 35142. Now assume w contains 35142 in positions n_1, \dots, n_5 . Define $u = (n_1\ n_3\ n_4)(n_2\ n_5)w$. Again we have $u \leq w$; this time since $(134)(25)35142 = 12435 < 35142$. We have $uw^{-1} = (n_1\ n_3\ n_4)(n_2\ n_5)$ which is of absolute length 3. Neither $(n_1\ n_4)$ nor $(n_3\ n_4)$ is an inversion of w , so u cannot be written as a product of three members of T_w .

Case 42513. Next, suppose w contains 42513 in n_1 through n_5 . Then, we let $u = (n_2\ n_5\ n_3)(n_1\ n_4)w$ and argue as in the previous cases.

Case 351624. Finally, if w contains 351624 in positions n_1 through n_6 , we may use $u = (n_1\ n_3\ n_6\ n_4) \cdot (n_2\ n_5)w$ and argue as before. \square

5. Pattern avoidance implies $\text{br}(w) = \text{re}(w)$

Let $\hat{\mathfrak{S}}_n \subseteq \mathfrak{S}_n$ denote the set of permutations that avoid the four patterns 4231, 35142, 42513, 351624.

In this section we will represent permutations $\pi \in \mathfrak{S}_n$ by rook diagrams. These are n by n square boards with a rook in entry (i, j) , i.e. row i and column j , if $i\pi = j$. If x is a rook, we will write x_i for its row number and x_j for its column number.

The inversion graph of π , denoted by G_π , is a simple undirected graph with the rooks as vertices and an edge between two rooks if they form an inversion of π , i.e. if one of them is south-west of the other one. Let $\text{ao}(\pi) = \text{ao}(G_\pi)$ denote the number of acyclic orientations of G_π . It is well known that $\text{ao}(\pi)$ equals the number of regions $\text{re}(\pi)$ of the hyperplane arrangement \mathcal{A}'_π ; see [14].

Following Postnikov [12], we will call a permutation π chromobruhatic if $\text{br}(\pi) = \text{ao}(\pi)$. (A motivation for this appellation is given in Section 8.) Our goal in this section is to prove that all $\pi \in \hat{\mathfrak{S}}_n$ are chromobruhatic. This will be accomplished as follows: First we show that if π (or its inverse) has something called a reduction pair, which is a pair of rooks with certain properties, then there is a recurrence relation for $\text{br}(\pi)$ in terms of $\text{br}(\rho)$ for some permutations $\rho \in \hat{\mathfrak{S}}_n \cup \hat{\mathfrak{S}}_{n-1}$ that are “simpler” than π in a sense that will be made precise later. It turns out that the very same recurrence relation also works for expressing $\text{ao}(\pi)$ in terms of a few $\text{ao}(\rho)$. Finally, we show that every $\pi \in \hat{\mathfrak{S}}_n$ except the identity permutation has a reduction pair, and hence $\text{br}(\pi) = \text{ao}(\pi)$ by induction.

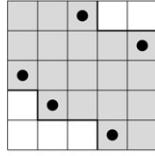


Fig. 1. The shaded region constitutes the right hull of the permutation 35124.

We will need two useful lemmas about the Bruhat order on the symmetric group. The first is a well-known variant of Proposition 2.2 (see e.g. [9]). A square that has a rook strictly to the left in the same row and strictly below it in the same column is called a *bubble*.

Lemma 5.1. *Let $\pi, \sigma \in \mathfrak{S}_n$. Then $\sigma \leq \pi$ in the Bruhat order if and only if $\sigma[i, j] \leq \pi[i, j]$ for every bubble (i, j) of π .*

If π avoids the forbidden patterns, there is an even simpler criterion. Define the *right hull* of π , denoted by $H_R(\pi)$, as the set of squares in the rook diagram of π that have at least one rook weakly south-west of them and at least one rook weakly north-east of them. Fig. 1 shows an example. The following lemma is due to Sjöstrand [13].

Lemma 5.2. *Let $\pi \in \hat{\mathfrak{S}}_n$ and $\sigma \in \mathfrak{S}_n$. Then $\sigma \leq \pi$ in the Bruhat order if and only if all rooks of σ lie in the right hull of π .*

For a permutation $\pi \in \mathfrak{S}_n$, the rook diagram of the inverse permutation π^{-1} is obtained by transposing the rook diagram of π . Define $\pi^\circ = \pi_0 \pi \pi_0$, where $\pi_0 = n(n-1) \cdots 1$ denotes the maximum element (in the Bruhat order) of \mathfrak{S}_n . Note that the rook diagram of π° is obtained by a 180 degree rotation of the rook diagram of π .

Observation 5.3. *The operations of transposition and rotation of the rook diagram of a permutation have the following properties:*

(a) *They are automorphisms of the Bruhat order, i.e.*

$$\sigma \leq \tau \Leftrightarrow \sigma^{-1} \leq \tau^{-1} \Leftrightarrow \sigma^\circ \leq \tau^\circ \Leftrightarrow (\sigma^\circ)^{-1} \leq (\tau^\circ)^{-1}.$$

(b) *They induce isomorphisms of inversion graphs, so*

$$G_\sigma \cong G_{\sigma^{-1}} \cong G_{\sigma^\circ} \cong G_{(\sigma^\circ)^{-1}}.$$

(c) *The set of the four forbidden patterns is closed under transposition and rotation, so*

$$\sigma \in \hat{\mathfrak{S}}_n \Leftrightarrow \sigma^{-1} \in \hat{\mathfrak{S}}_n \Leftrightarrow \sigma^\circ \in \hat{\mathfrak{S}}_n \Leftrightarrow (\sigma^\circ)^{-1} \in \hat{\mathfrak{S}}_n.$$

From (a) and (b) it follows that $\sigma, \sigma^{-1}, \sigma^\circ$ and $(\sigma^\circ)^{-1}$ are either all chromobruhatic or all non-chromobruhatic.

If x is a rook in the diagram of π then the image of x under any composition of transpositions and rotations is a rook in the diagram of the resulting permutation. In what follows, we sometimes discuss properties that the image rook (also called x) has in the resulting diagram, while still thinking of x as lying in its original position in the diagram of π .

Definition 5.4. Let $\pi \in \mathfrak{S}_n$ and let x, y be a pair of rooks that is a descent, i.e. $y_i = x_i - 1$ and $x_j < y_j$. Then, x, y is a *light reduction pair* if we have the situation in Fig. 2(a), i.e.

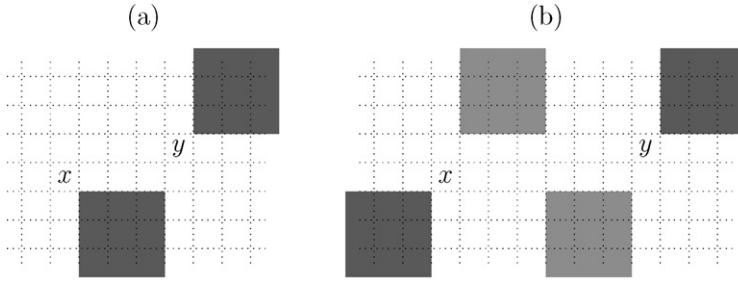


Fig. 2. (a) A light reduction pair. (b) A heavy reduction pair. The shaded areas are empty. The size of the lighter shaded areas depends on the underlying permutation.

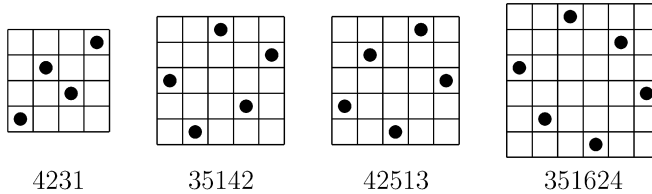


Fig. 3. The four forbidden patterns.

- there is no rook a with $a_i < y_i$ and $a_j > y_j$, and
- there is no rook a with $a_i > x_i$ and $a_j < x_j$.

The pair x, y is called a *heavy reduction pair* if we have the situation in Fig. 2(b), i.e.

- there is no rook a with $a_i > x_i$ and $a_j < x_j$,
- there is no rook a with $a_i < y_i$ and $a_j > y_j$, and
- there is no pair of rooks a, b such that $a_i < y_i$ and $b_i > x_i$ and $x_j < a_j < b_j < y_j$ (or, equivalently, there is some $x_j \leq j < y_j$ such that the regions $[1, y_i - 1] \times [x_j + 1, j]$ and $[x_i + 1, n] \times [j + 1, y_j - 1]$ are both empty).

Lemma 5.5. Let $\pi \in \hat{\mathfrak{S}}_n$ and assume that

- all $\rho \in \hat{\mathfrak{S}}_n$ below π in Bruhat order are chromobruhatic, and
- all $\rho \in \hat{\mathfrak{S}}_{n-1}$ are chromobruhatic.

Assume also that at least one of π, π^{-1}, π° and $(\pi^\circ)^{-1}$ has a reduction pair.

Then, π is chromobruhatic.

Proof. If one of π, π^{-1}, π° and $(\pi^\circ)^{-1}$ has a light reduction pair, then by Observation 5.3, π, π^{-1}, π° and $(\pi^\circ)^{-1}$ all satisfy conditions (a) and (b), so we may assume that π has a light reduction pair x, y . On the other hand, if none of π, π^{-1}, π° and $(\pi^\circ)^{-1}$ has a light reduction pair, then (by the assumption in the lemma) one of them has a heavy reduction pair x, y and we may assume that it is π .

In either case, replace x by a rook x' immediately above it, and replace y by a rook y' immediately below it. The resulting permutation ρ is below π in the Bruhat order. Note that $\rho \in \hat{\mathfrak{S}}_n$ —a forbidden pattern in ρ must include both of x' and y' but an inspection of the forbidden patterns in Fig. 3 and the reduction pair situations in Fig. 2 reveals that this is impossible. (Since x', y' is an ascent in ρ , i.e. $y'_i = x'_i + 1$ and $y'_j > x'_j$, it suffices to check for each ascent in each of the forbidden patterns

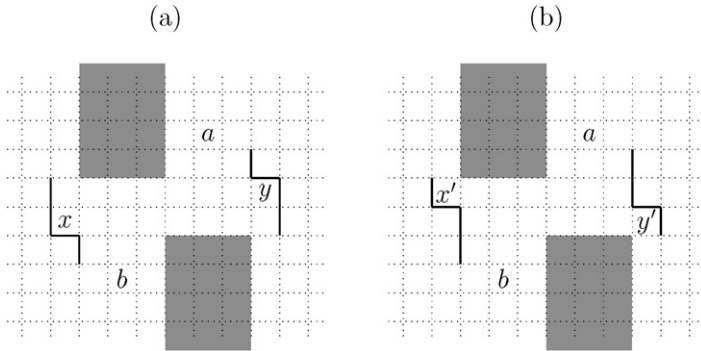


Fig. 4. (a) The heavy reduction pair x, y in π . The shaded areas are empty and the thick lines show segments of the border of the right hull of π . (b) The right hull of ρ is the same as that of π , except for the two squares of x and y .

that flipping it into a descent makes no reduction pair.) Thus, by the assumption in the lemma we conclude that ρ is chromobruhatic.

Case 1. x, y is a light reduction pair in π . What permutations are below π but not below ρ in the Bruhat order? Note that ρ has the same bubbles as π , plus an additional bubble immediately above y' , i.e. at the position of y . Now, Lemma 5.1 yields that the only permutations below π that are not below ρ are the ones with a rook at the position of y . These are in one-to-one correspondence with the permutations weakly below the permutation $\pi - y \in \mathfrak{S}_{n-1}$ that we obtain by deleting y from π together with its row and column. Thus, we have

$$\text{br}(\pi) = \text{br}(\rho) + \text{br}(\pi - y). \tag{1}$$

Now consider the inversion graphs of π, ρ and $\pi - y$. It is not hard to show that G_ρ is isomorphic to the graph $G_\pi \setminus \{x, y\}$ obtained by deletion of the edge $\{x, y\}$. Since all neighbors of y' are also neighbors of x' in G_ρ , the graph $G_{\pi-y} = G_{\rho-y'}$ is isomorphic to the graph $G_\pi / \{x, y\}$ obtained by contraction of the edge $\{x, y\}$. For any edge e in any simple graph G , the number of acyclic orientations satisfies the recurrence relation $\text{ao}(G) = \text{ao}(G \setminus e) + \text{ao}(G/e)$; this can either be shown directly or via the connection with the regions of the corresponding graphic hyperplane arrangement and the deletion–retraction formula for its characteristic polynomial [14]. Thus, in our case we get

$$\text{ao}(\pi) = \text{ao}(\rho) + \text{ao}(\pi - y). \tag{2}$$

The right-hand sides of Eqs. (1) and (2) are equal since ρ and $\pi - y$ are chromobruhatic. We conclude that $\text{br}(\pi) = \text{ao}(\pi)$ so that π also is chromobruhatic.

Case 2. x, y is a heavy reduction pair in π , and none of π, π^{-1}, π° and $(\pi^\circ)^{-1}$ has a light reduction pair. Since y, x is not a light reduction pair in π° , there exists a rook a in the region $A = [1, y_i - 1] \times [x_j + 1, y_j - 1]$. Analogously, since x, y is not a light reduction pair in π , there exists a rook b in the region $B = [x_i + 1, n] \times [x_j + 1, y_j - 1]$. As can be seen in Fig. 4, the right hulls of π and ρ are the same except for the two squares containing x and y , which belong to $H_R(\pi)$ but not to $H_R(\rho)$.

By Lemma 5.2 and inclusion–exclusion, we get

$$\text{br}(\pi) = \text{br}(\rho) + \text{br}(\pi - x) + \text{br}(\pi - y) - \text{br}(\pi - x - y) \tag{3}$$

where $\pi - x - y \in \mathfrak{S}_{n-2}$ is the permutation whose rook diagram is obtained by deleting both of x and y together with their rows and columns.

Now, for any permutation σ , let $\chi_\sigma(t) = \chi_{G_\sigma}(t)$ denote the chromatic polynomial of the inversion graph G_σ (so for each positive integer n , $\chi_{G_\sigma}(n)$ is the number of vertex colorings with at most n colors such that neighboring vertices get distinct colors). The following argument is based on an idea by Postnikov. It is well known that $\text{ao}(G) = (-1)^n \chi_G(-1)$ for any graph G with n vertices [14]. Since $G_\rho = G_\pi \setminus \{x, y\}$, the difference $\chi_\rho(t) - \chi_\pi(t)$ is the number of t -colorings of G_ρ where x' and y' have the same color.

Let \mathcal{C} be any t -coloring of $G_{\pi-x-y}$ using, say, α different colors for the vertices in A and β different colors for those in B . Since the subgraph of G_π induced by $A \cup B$ is a complete bipartite graph, the coloring \mathcal{C} must use $\alpha + \beta$ different colors for the vertices in $A \cup B$. We can extend \mathcal{C} to a coloring of $G_{\pi-y}$ by coloring the vertex x with any of the $t - \alpha$ colors that are not used for the vertices in A . Analogously, we can extend \mathcal{C} to a coloring of $G_{\pi-x}$ by coloring the vertex y with any of the $t - \beta$ colors that are not used in B . Finally, we can extend \mathcal{C} to a coloring of G_ρ where x' and y' have the same color, by choosing this color among the $t - \alpha - \beta$ colors that are not used for the vertices in $A \cup B$. Summing over all t -colorings \mathcal{C} of $G_{\pi-x-y}$ yields

$$\begin{aligned} \chi_\rho(t) - \chi_\pi(t) &= \sum_{\mathcal{C}} (t - \alpha - \beta) = \sum_{\mathcal{C}} (t - \beta) + \sum_{\mathcal{C}} (t - \alpha) - \sum_{\mathcal{C}} t \\ &= \chi_{\pi-x}(t) + \chi_{\pi-y}(t) - t\chi_{\pi-x-y}(t). \end{aligned}$$

Using that $\text{ao}(G) = (-1)^n \chi_G(-1)$ for a graph G with n vertices, we finally obtain

$$\text{ao}(\pi) = \text{ao}(\rho) + \text{ao}(\pi - x) + \text{ao}(\pi - y) - \text{ao}(\pi - x - y). \tag{4}$$

The right-hand sides of Eqs. (3) and (4) are equal by the assumption in the lemma. Thus, $\text{br}(\pi) = \text{ao}(\pi)$ and we conclude that π is chromobruhatic. \square

Let $\pi \in \mathfrak{S}_n$ be any nonidentity permutation. Then there is a pair of rooks x, y that is the first descent of π , i.e. $x_i = \min\{i : i\pi < (i - 1)\pi\}$ and $y_i = x_i - 1$. Analogously, let \bar{x}, \bar{y} be the first descent of π^{-1} , i.e. $\bar{x}_j = \min\{j : j\pi^{-1} < (j - 1)\pi^{-1}\}$ and $\bar{y}_j = \bar{x}_j - 1$.

Proposition 5.6. *For any nonidentity $\pi \in \widehat{\mathfrak{S}}_n$, either x, y is a reduction pair in π or \bar{x}, \bar{y} is a reduction pair in π^{-1} , or both.*

Proof. We suppose neither of x, y and \bar{x}, \bar{y} is a reduction pair, and our goal is to find a forbidden pattern.

If $\pi(1) = 1$ it suffices to look at the rook configuration on the smaller board $[2, n] \times [2, n]$ since the pairs x, y and \bar{x}, \bar{y} on that board are not reduction pairs either. Thus, we may assume that $\pi(1) > 1$.

Let z be the rook in row 1 and let \bar{z} be the rook in column 1. From our assumption that x, y is not a light reduction pair in π , and the fact that the rooks x, y represent the first descent in π , it follows that there is a rook a in the region $A = [x_i + 1, n] \times [x_j + 1, y_j - 1]$. If x is in column 1, our assumption that x, y is not a heavy reduction pair implies that $y \neq z$ and that there is a rook b in the region $B = [x_i + 1, n] \times [z_j + 1, y_j - 1]$, because z is the leftmost rook in the rows above y . Analogously, since \bar{x}, \bar{y} is not a reduction pair in π^{-1} , there is a rook $\bar{a} \in \bar{A} = [\bar{x}_i + 1, \bar{y}_i - 1] \times [\bar{x}_j + 1, n]$, and if \bar{x} is in the first row, then $\bar{y} \neq \bar{z}$ and there is a rook $\bar{b} \in \bar{B} = [\bar{z}_i + 1, \bar{y}_i - 1] \times [\bar{x}_j + 1, n]$.

By the construction of x , all rooks in rows above x are weakly to the right of z , so \bar{z} is weakly below x . Analogously, z is weakly to the right of \bar{x} . This implies that either \bar{x} is weakly below x , or $\bar{x} = z$, and analogously, either x is weakly to the right of \bar{x} , or $x = \bar{z}$.

Case 1. $x \neq \bar{z}$ and $\bar{x} \neq z$ as in Fig. 5. If a is above \bar{y} , then the rooks y, x, a, \bar{y} form the forbidden pattern 4231. Analogously, if \bar{a} is to the left of y , then the rooks $y, \bar{x}, \bar{a}, \bar{y}$ form the forbidden pattern 4231. Finally, if a is below \bar{y} and \bar{a} is to the right of y , then the rooks $y, x, \bar{a}, \bar{y}, a$ form the forbidden pattern 42513.

Case 2. $x = \bar{z}$ but $\bar{x} \neq z$ as in Fig. 6. As before, if \bar{a} is to the left of y , then the rooks $y, \bar{x}, \bar{a}, \bar{y}$ form the forbidden pattern 4231. If b is above \bar{y} , then z, y, x, b, \bar{y} form the pattern 35142. Finally, if \bar{a} is to the right of y and b is below \bar{y} , then $y, \bar{x}, \bar{a}, \bar{y}, b$ form the pattern 42513.

Case 3. $x \neq \bar{z}$ but $\bar{x} = z$. This is just the “transpose” of Case 2.

Case 4. $x = \bar{z}$ and $\bar{x} = z$ as in Fig. 7. If there is a rook $\tilde{b} \in B \cap \bar{B}$, then $\bar{x}, y, x, \tilde{b}, \bar{y}$ form the pattern 35142. But if b is below \bar{y} and \tilde{b} is to the right of y , then $z, y, \bar{z}, \tilde{b}, \bar{y}, b$ form the last forbidden pattern 351624. \square

Combining Lemma 5.5 and Proposition 5.6, yields the following two corollaries via induction.

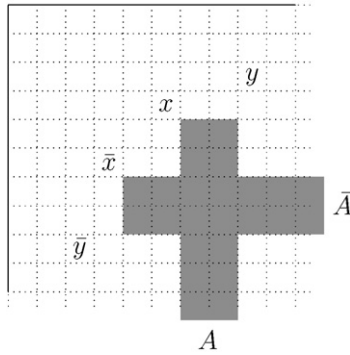


Fig. 5. The situation of Case 1. It is possible that $x = \bar{x}$.

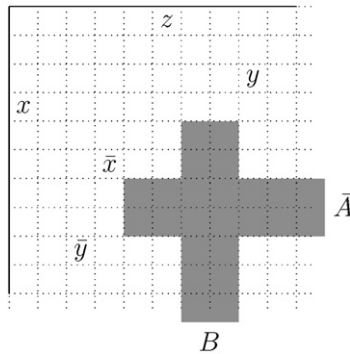


Fig. 6. The situation of Case 2. (If we transpose the diagram and let each letter change places with its barred variant, we obtain the situation of Case 3.)

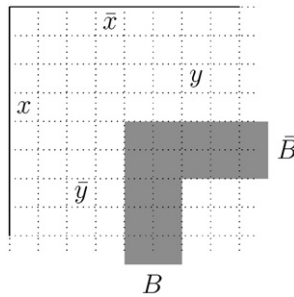


Fig. 7. The situation of Case 4.

Corollary 5.7. A permutation is chromobruhatic if it avoids the patterns 4231, 35142, 42513 and 351624.

Recall that the right and left weak orders on \mathfrak{S}_n are defined by $u \leq_R w \Leftrightarrow \text{INV}(u) \subseteq \text{INV}(w)$ and $u \leq_L w \Leftrightarrow \text{INV}(u^{-1}) \subseteq \text{INV}(w^{-1})$. The two-sided weak order is the transitive closure of the union of the right and left weak orders.

Corollary 5.8. Every chromobruhatic permutation is connected to the identity permutation via a saturated chain of chromobruhatic permutations in the two-sided weak order.

6. Another characterization of permutations that avoid the four patterns

In this section we demonstrate a feature of the injection $\phi : \mathcal{C}^\downarrow \rightarrow [e, w]$ that we call the “going-down property.” As a consequence, yet another characterization of permutations that avoid 4231, 35142, 42513 and 351624 is deduced. It implies, in particular, that avoidance of these patterns is a combinatorial property of the principal ideal a permutation generates in the Bruhat order.

Lemma 6.1. *Let (W, S) be any finitely generated Coxeter system. Suppose $s_1 \cdots s_k$ is a reduced expression for $w \in W$. Define $t_i = s_1 \cdots s_i \cdots s_1 \in T_w$. Assume there exist $1 \leq i_1 < \cdots < i_m \leq k$ such that $t_{i_1} \cdots t_{i_m} w = u$ and that the string (i_m, \dots, i_1) is lexicographically maximal with this property (for fixed m and u). Then, $w > t_{i_m} w > t_{i_{m-1}} t_{i_m} w > \cdots > t_{i_1} \cdots t_{i_m} w = u$.*

Proof. In order to arrive at a contradiction, let us assume $t_{i_j} \cdots t_{i_m} w > t_{i_{j+1}} \cdots t_{i_m} w = b$. The strong exchange property (Proposition 2.4) implies that an expression for b can be obtained from $s_1 \cdots \widehat{s_{i_j}} \cdots \widehat{s_{i_m}} \cdots s_k$ by deleting a letter s_x .

If $x < i_j$, then $t_{i_j} = t_x$ and $w = t_{i_j}^2 w = s_1 \cdots \widehat{s_x} \cdots \widehat{s_{i_j}} \cdots s_k$, contradicting the fact that our original expression for w is reduced.

Now suppose $x > i_j$; say $i_j \leq i_l < x < i_{l+1}$ (where we have defined $i_{m+1} = k + 1$). Hence, $u = t_{i_1} \cdots t_{i_{j-1}} t_{i_{j+1}} \cdots t_{i_l} t_x t_{i_{l+1}} \cdots t_{i_m} w$. This, however, contradicts the maximality of (i_1, \dots, i_m) . \square

Proposition 6.2 (Going-down property of ϕ). *Choose*

$$C = \{\widehat{0} = X_0 \triangleleft X_1 \triangleleft \cdots \triangleleft X_m\} \in \mathcal{C}^\downarrow.$$

Assume $\lambda(X_{i-1} \triangleleft X_i) = H_{j_i}$ with corresponding reflection t_{j_i} . Then, $t_{j_i} \cdots t_{j_m} w < t_{j_{i+1}} \cdots t_{j_m} w$ for all i .

Proof. Applying Lemma 6.1, it suffices to show that (j_m, \dots, j_1) is lexicographically maximal in the set

$$\{(p_m, \dots, p_1) \in [k]^m \mid p_m > \cdots > p_1 \text{ and } t_{p_1} \cdots t_{p_m} = t_{j_1} \cdots t_{j_m}\}.$$

Let us deduce a contradiction by assuming that (j'_m, \dots, j'_1) is a lexicographically larger sequence in this set. Suppose i is the largest index for which $j_i \neq j'_i$. We have $H_{j'_i} \supseteq X_i$, because, by Proposition 2.3 and Lemma 3.2, X_i is the fixed point space of $t_{j_1} \cdots t_{j_i} = t_{j'_1} \cdots t_{j'_i}$ which is an element of absolute length i . Observing that $j'_i > j_i$, i.e. $H_{j_i} > H_{j'_i}$, the construction of λ implies $\lambda(X_{\alpha-1} \triangleleft X_\alpha) \leq H_{j'_i}$ for some $\alpha \in [i]$. However, this contradicts the fact that $\lambda(X_{\alpha-1} \triangleleft X_\alpha) \geq H_{j_i}$ for all such α . \square

Given $u \leq w \in W$, let $al(u, w)$ denote the directed distance from u to w in the directed graph (the Bruhat graph [7]) on W whose edges are given by $x \rightarrow tx$ whenever $t \in T$ and $\ell(x) < \ell(tx)$. Observe that $al(u, w) \geq \ell'(uw^{-1})$ in general.

Theorem 6.3. *Let $w \in \mathfrak{S}_n$. The following assertions are equivalent:*

- w avoids 4231, 35142, 42513 and 351624;
- $\ell'(uw^{-1}) = al(u, w)$ for all $u < w$.

Proof. If w avoids the given patterns, ϕ is surjective. Proposition 6.2 then shows that for any $u < w$ there is a directed path of length $\ell'(uw^{-1})$ from u to w in the Bruhat graph.

For the converse implication, suppose w contains at least one of the patterns. By the proof of Theorem 4.1, there exists some $u < w$ such that uw^{-1} cannot be written as a product of $\ell'(uw^{-1})$ inversions of w . On the other hand, whenever there is a directed path from u to w of length p , then uw^{-1} can be written as a product of p inversions of w (this follows from the strong exchange property). Hence, $al(u, w) > \ell'(uw^{-1})$. \square

Corollary 6.4. *Suppose $w_1 \in \mathfrak{S}_n$ avoids 4231, 35142, 42513 and 351624 whereas $w_2 \in \mathfrak{S}_n$ does not. Then, $[e, w_1] \not\cong [e, w_2]$ as posets.*

Proof. Let $u \leq w \in W$. Denote by $BG(u, w)$ the subgraph of the Bruhat graph on W induced by the elements in the Bruhat interval $[u, w]$. It is known [7, Proposition 3.3] that the isomorphism type of $[u, w]$ determines the isomorphism type of $BG(u, w)$.

Now suppose $w \in \mathfrak{S}_n$ contains one of the four patterns. In the proof of Theorem 4.1, we produced elements $u < w$ such that uw^{-1} cannot be written as a product of $\ell'(uw^{-1})$ inversions of w . A closer examination of these elements reveals that, for each such u , there is a transposition t such that $tu < u$ and $\ell'(tuw^{-1}) = a\ell(tu, w) = \ell'(uw^{-1}) - 1$.¹ Thus, $BG(e, w)$ contains an undirected path from u to w of length $\ell'(uw^{-1})$. Therefore, it is possible to determine from the combinatorial type of $[e, w]$ that it contains an element u with $a\ell(u, w) > \ell'(uw^{-1})$. \square

7. Inequality of Betti numbers

In this section we use the bijection ϕ to derive, for $w \in \hat{\mathfrak{S}}_n$, inequalities relating the ranks of the cohomology groups of the complexified hyperplane arrangement $\mathcal{A}_w^{\mathbb{C}}$ and the closure of the cell corresponding to w in the Bruhat decomposition of the flag manifold.

Let B be a Borel subgroup of $G = GL_n(\mathbb{C})$. The Schubert cells (or Bruhat cells) BwB/B ($w \in S_n$) determine a CW decomposition of the complex flag manifold G/B . The closure of each such cell admits a CW decomposition into cells indexed by permutations in the Bruhat interval $[e, w]$, that is, $\overline{BwB/B} = \bigcup_{\pi \leq w} B\pi B/B$. All Schubert cells are even-dimensional. It follows that $\sum_i \beta^{2i}(\overline{BwB/B})q^i = \sum_{\pi \leq w} q^{\ell(\pi)}$. That is, $\beta^{2i}(\overline{BwB/B})$ counts the number of elements $u \in [e, w]$ with $\ell(u) = i$. This is well known, see for instance [4,8,9].

The linear equations determining the hyperplanes in an arrangement \mathcal{A} in \mathbb{R}^n also define hyperplanes in \mathbb{C}^n . These complex hyperplanes yield the *complexified arrangement* $\mathcal{A}^{\mathbb{C}}$.

For the complexified hyperplane arrangement $\mathcal{A}_w^{\mathbb{C}}$ we have the Orlik–Solomon formula for the Betti numbers of the complement of a complex hyperplane arrangement,

$$\beta^i(\mathbb{C}^n \setminus \bigcup \mathcal{A}_w^{\mathbb{C}}) = \sum_{x \in L_w: \text{rank}(x)=i} |\mu(\hat{0}, x)|.$$

See [2] for background on subspace arrangements. As noted above, the theory for lexicographic shellability of posets [1] says that $|\mu(\hat{0}, x)|$ is the number of descending saturated chains in the EL-labeling λ starting at $\hat{0}$ and ending at x .

Proposition 7.1. *For any permutation $w \in \mathfrak{S}_n$ that avoids the patterns 4231, 35142, 42513, and 351624, we have for $r \geq 0$ that*

- (1) $\sum_{i=0}^r \beta^{2(\ell(w)-i)}(\overline{BwB/B}) \leq \sum_{i=0}^r \beta^i(\mathbb{C}^n \setminus \mathcal{A}_w^{\mathbb{C}}),$
- (2) $\sum_{j=0}^r \beta^{2(\ell(w)-2j)}(\overline{BwB/B}) \leq \sum_{j=0}^r \beta^{2j}(\mathbb{C}^n \setminus \mathcal{A}_w^{\mathbb{C}})$ and
- (3) $\sum_{j=0}^r \beta^{2(\ell(w)-2j-1)}(\overline{BwB/B}) \leq \sum_{j=0}^r \beta^{2j+1}(\mathbb{C}^n \setminus \mathcal{A}_w^{\mathbb{C}}).$

When r is maximal, that is, when the sum is taken over all non-zero Betti numbers, we have equality. This occurs when $r = \ell(w)$, $r = \lfloor \ell(w)/2 \rfloor$ and $r = \lfloor (\ell(w) - 1)/2 \rfloor$, respectively.

Proof. We use the notation introduced in Section 3. Let $s_1 \dots s_k$ be a reduced expression for w . The right-hand side in (1) counts chains $C \in \mathcal{C}^\downarrow$ of length at most r . Each such chain of length i gives

¹ For example, if the pattern 42513 occurs in positions n_1, \dots, n_5 , we have $uw^{-1} = (n_2 n_5 n_3)(n_1 n_4)$. Observe that $n_2 u = n_5 w$ and $n_3 u = n_2 w$. Hence, $t = (n_2 n_3)$ with $(n_2, n_3) \in \text{INV}(u)$. Now, $tuw^{-1} = (n_3 n_5)(n_1 n_4)$, $\ell'(tuw^{-1}) = 2$ and $tu \rightarrow (n_3 n_5)tu \rightarrow (n_1 n_4)(n_3 n_5)tu = w$ is a directed path in $BG(e, w)$ of length 2. The remaining three cases are similar.

a word $p(C)$ of length i in the alphabet t_1, \dots, t_k . By Lemma 3.2 we have $\ell'(p(C)) = i$ and thus $\ell(\phi(C)) \leq \ell(w) - i$. By Theorem 3.3 ϕ is injective and the inequality follows.

Since multiplication by a transposition t_j always changes the length of $w \in S_n$ by an odd number, the other two inequalities follow.

The map ϕ is by Theorem 3.4 a bijection between chains with descending labels and elements in the Bruhat interval $[e, w]$ which gives equality of the number of plausible words in the t_j s and s_j s, respectively. \square

Note that these inequalities are not true in general for permutations not avoiding the four patterns. In fact, if $w \notin \hat{\mathfrak{S}}_n$ and $r = \ell(w)$ we know by Theorem 4.1 that the inequality (1) does not hold.

8. Chromatic polynomials and smooth permutations

Recall the directed distance $al(u, w)$ defined prior to Theorem 6.3. In this section we will use the injective map ϕ from Proposition 3.1 to show that the chromatic polynomial $\chi_{G_w}(t)$ of the inversion graph G_w of $w \in \hat{\mathfrak{S}}_n$ keeps track of the transposition distance $al(u, w)$ of elements $u \in [e, w]$. We follow Postnikov and sometimes call a permutation *chromobruhatic* if it avoids the four forbidden patterns.

Theorem 8.1. *For any permutation $w \in \mathfrak{S}_n$, the polynomial identity*

$$\sum_{u \in [e, w]} q^{al(u, w)} = (-q)^n \chi_{G_w}(-q^{-1}),$$

holds if and only if w avoids the patterns 4231, 35142, 42513 and 351624.

Proof. It is well known (see e.g. [14]) that

$$\chi_{G_w}(t) = \sum_{X \in L_w} \mu(X) t^{\dim X} = \sum_{X \in L_w} (-1)^{\text{codim } X} |\mu(X)| t^{\dim X}.$$

Lemma 3.2 implies that if $u = \phi(\hat{0} = X_0 \triangleleft X_1 \triangleleft \dots \triangleleft X_m)$ then $\ell'(uw^{-1}) = m = \text{codim}(X_m)$. If w avoids the four patterns we have by Theorem 6.3 that $\ell'(uw^{-1}) = al(u, w)$ and thus

$$\sum_{X \in L_w} (-1)^{\text{codim } X} |\mu(X)| t^{\dim X} = \sum_{u \in [e, w]} (-1)^{al(u, w)} t^{n - al(u, w)},$$

since ϕ is bijective. If w does contain one of the four patterns, Theorem 4.1 implies that equality does not hold when substituting $t = -1$.

Finally, make the substitution $t = -q^{-1}$. \square

A well-known criterion, due to Lakshmibai and Sandhya [10], says that for a permutation $w \in \mathfrak{S}_n$, the Schubert variety BwB/B is smooth if and only if w avoids the patterns 3412 and 4231. Let us say that such a permutation itself is *smooth*. Note that every smooth permutation is chromobruhatic.

Given $w \in \mathfrak{S}_n$ and regions r and r' of $\mathbb{R}^{n-1} \setminus \mathcal{A}'_w$, let $d(r, r')$ denote the number of hyperplanes of \mathcal{A}'_w that separate r and r' . Let r_0 be the region that contains the point $(1, \dots, n)$, and define $R_w(q) = \sum_r q^{d(r_0, r)}$, where the sum is taken over all regions of \mathcal{A}'_w .

Recently, Oh, Postnikov, and Yoo [11] showed that the Poincaré polynomial $\sum_{u \in [e, w]} q^{\ell(u)}$ equals $R_w(q)$ if and only if w is smooth. They also link this polynomial to the chromatic polynomial $\chi_{G_w}(t)$, and they are able to compute the latter, which is very useful for us.

An index $r \in \{1, \dots, n\}$ is a *record position* of a permutation $w \in \mathfrak{S}_n$ if $rw > \max\{1w, \dots, (r-1)w\}$. For $i = 1, \dots, n$, let r_i and r'_i be the record positions of w such that $r_i \leq i < r'_i$ and there are no other record positions between r_i and r'_i . (Set $r'_i = +\infty$ if there are no record positions greater than i .) Let

$$e_i = \#\{j \mid r_i \leq j < i, jw > iw\} + \#\{k \mid r'_i \leq k \leq n, kw < iw\}.$$

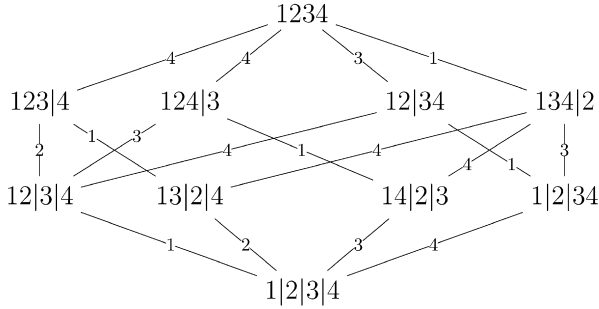


Fig. 8. The Hasse diagram of L_W .

Table 1

C	$p(C)$	$p(C)w$
$\hat{0}$	e	$s_1 s_2 s_3 s_2 = 4132$
$\hat{0} \triangleleft 12 3 4$	t_1	$s_2 s_3 s_2 = 1432$
$\hat{0} \triangleleft 12 3 4 \triangleleft 123 4$	$t_1 t_2$	$s_3 s_2 = 1342$
$\hat{0} \triangleleft 12 3 4 \triangleleft 123 4 \triangleleft 1234$	$t_1 t_2 t_4$	$s_3 = 1243$
$\hat{0} \triangleleft 12 3 4 \triangleleft 124 3$	$t_1 t_3$	$e = 1234$
$\hat{0} \triangleleft 12 3 4 \triangleleft 124 3 \triangleleft 1234$	$t_1 t_3 t_4$	$s_2 = 1324$
$\hat{0} \triangleleft 12 3 4 \triangleleft 12 34$	$t_1 t_4$	$s_2 s_3 = 1423$
$\hat{0} \triangleleft 13 2 4$	t_2	$s_1 s_3 s_2 = 3142$
$\hat{0} \triangleleft 13 2 4 \triangleleft 134 2$	$t_2 t_4$	$s_1 s_3 = 2143$
$\hat{0} \triangleleft 14 2 3$	t_3	$s_1 = 2134$
$\hat{0} \triangleleft 14 2 3 \triangleleft 134 2$	$t_3 t_4$	$s_1 s_2 = 3124$
$\hat{0} \triangleleft 1 2 34$	t_4	$s_1 s_2 s_3 = 4123$

Theorem 8.2 (Oh, Postnikov, Yoo). For any smooth permutation $w \in \mathfrak{S}_n$, the chromatic polynomial of the inversion graph of w is given by $\chi_{G_w}(t) = (t - e_1)(t - e_2) \cdots (t - e_n)$.

Combining this with Theorem 8.1 allows us to compute the transposition distance generating function $\sum_{u \in [e, w]} q^{at(u, w)}$ for any smooth permutation $w \in \mathfrak{S}_n$.

9. Example: The permutation $w = 4132$

Consider the symmetric group $W = \mathfrak{S}_4$ generated by the adjacent transpositions $S = \{s_1 = (12), s_2 = (23), s_3 = (34)\}$, and let $w = 4132 = s_1 s_2 s_3 s_2$ so that $t_1 = s_1 = (12)$, $t_2 = s_1 s_2 s_1 = (13)$, $t_3 = s_1 s_2 s_3 s_2 s_1 = (14)$, and $t_4 = s_3 = (34)$. The intersection lattice L_W is isomorphic to the lattice of partitions of the set $\{1, 2, 3, 4\}$ ordered by refinement. (For instance, the partition $13|24$ corresponds to the set $\{(x_1, x_2, x_3, x_4) \in \mathbb{R}^4 \mid x_1 = x_3 \text{ and } x_2 = x_4\} \in L_W$.) With this notation, the lattice L_W is depicted in Fig. 8. The coverings are labelled by indices; for instance, since $\lambda(12|3|4 \triangleleft 12|34) = H_4$, that edge is labelled by 4. After finding the decreasing chains $C \in \mathcal{C}^\downarrow$, we obtain Table 1.

Fig. 9 shows the Bruhat graph of the interval $[e, w]$ with labelled fat edges forming paths that encode the decreasing chains C . By Theorem 3.3, the fat edges form a tree, and by Proposition 6.2, the fat paths go down from w . By Corollary 5.7, the fat tree spans all of $[e, w]$.

Assume a chain $C = \{\hat{0} = X_0 \triangleleft \cdots \triangleleft X_m\} \in \mathcal{C}^\downarrow$, is such that the smallest hyperplane H_k does not contain X_m . Then the chain $C_2 = \{X_0 \triangleleft \cdots \triangleleft X_m \triangleleft (X_m \cap H_k)\} \in \mathcal{C}^\downarrow$. This implies that $p(C_2) = p(C)t_k$ and we may then add t_k from the right to any word of descending labels $p(C)$. Hence the tree of descending words consists of two isomorphic (as edge labelled graphs) copies connected by an edge labelled t_k .

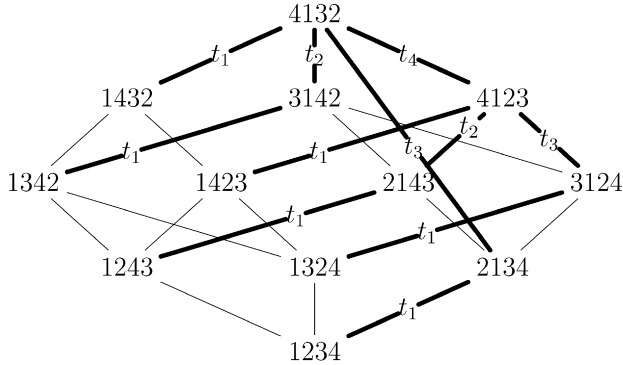


Fig. 9. Bruhat graph of the interval $[e, w]$.

Finally, let us relate Theorem 8.1 to our example. In the figure above, we see that $\sum_{u \in [e, w]} q^{a\ell(u, w)} = 1 + 4q + 5q^2 + 2q^3$, and by Theorem 8.2, $\chi_{G_w}(t) = (t - 1)(t - 0)(t - 1)(t - 2)$. The reader may check that $\sum_{u \in [e, w]} q^{a\ell(u, w)} = (-q)^n \chi_{G_w}(-q^{-1})$ as stated in Theorem 8.1.

10. Open problems

In this last section, we present some ideas for future research. Some of the open problems are intentionally left vague, while others are more precise.

In Theorem 3.3, we showed that the map $\phi : C^\downarrow \rightarrow [e, w]$ is injective for any finite Coxeter group, but it is not surjective in general. When the forbidden patterns are avoided, we use an inductive counting argument showing that the finite sets C^\downarrow and $[e, w]$ have the same cardinality—then surjectivity of ϕ follows from injectivity.

Open problem 10.1. Is there a direct proof of the surjectivity of ϕ or, if not, is there another bijection $C^\downarrow \leftrightarrow [e, w]$ whose bijectivity can be proved directly.

Open problem 10.2. When ϕ is not surjective, what is its image?

Considering Betti numbers, see Section 7, one can deduce that the number of elements of even length not lying in the image of ϕ equals the number of such elements of odd length. In particular, evenly many elements of $[e, w]$ do not lie in the image of ϕ .

Open problem 10.3. Find a criterion for the surjectivity of ϕ in an arbitrary finite reflection group.

Conceivably, it could be true in general that surjectivity of ϕ is characterized by the property that $\ell'(uw^{-1}) = a\ell(u, w)$ for all $u < w$, as suggested by Theorem 6.3. We have made no attempts to prove or disprove this.

As noted in the introduction, our work (following Postnikov) marks the third appearance of the four patterns 4231, 35142, 42513, and 351624 in the study of flag manifolds and Bruhat order. The first time was in 2002 when Gasharov and Reiner [9] studied the cohomology of smooth Schubert varieties in partial flag manifolds. In their paper, they find a simple presentation for the integral cohomology ring, and it turns out that this presentation holds for a larger class of subvarieties of partial flag manifold, namely the ones defined by inclusions. They characterize these varieties by the same pattern avoidance condition that appears in our work.

More recently, Sjöstrand [13] used the pattern condition to characterize permutations whose right hull covers exactly the lower Bruhat interval below the permutation; see Lemma 5.2.

As is discussed in [13] there seems to be no direct connection between the “right hull” result and the “defined by inclusions” result. Though we use Sjöstrand’s result in the proof of Lemma 5.5, we have not found any simple reason why the same pattern condition turns up again.

Open problem 10.4. Is there a simple reason why the same pattern condition turns up in three different contexts: Gasharov and Reiner’s “defined by inclusions,” Sjöstrand’s “right hull,” and Postnikov’s (now proved) conjecture?

Open problem 10.5. Does the poset structure of the Bruhat interval determine the intersection lattice uniquely? In other words, for any two finite Coxeter systems (W, S) and (W', S') and elements $w \in W$, $w' \in W'$, does $[e, w] \cong [e', w']$ imply $L_w \cong L_{w'}$?

It is not hard to check that the assertion is true for $\ell(w) \leq 4$.

Finally, it would be interesting to know whether our results could be extended to general Bruhat intervals, i.e. $[u, w]$ with $u \neq e$.

Open problem 10.6. Given a (finite) Coxeter system (W, S) and $u, w \in W$ with $u \leq w$ in Bruhat order, is there a hyperplane arrangement $\mathcal{A}_{u,w}$, naturally associated with u and w , which has as many regions as there are elements in $[u, w]$ (at least for u, w in some interesting subset of W)?

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