



# Square roots of semihyponormal operators have scalar extensions

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## Abstract

In this paper, we study some properties of  $(\sqrt{SH})$ , i.e., square roots of semihyponormal operators. In particular we show that an operator  $T \in (\sqrt{SH})$  has a scalar extension, i.e., is similar to the restriction to an invariant subspace of a (generalized) scalar operator (in the sense of Colojară–Foiş). As a corollary, we obtain that an operator  $T \in (\sqrt{SH})$  has a nontrivial invariant subspace if its spectrum has interior in the plane.

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## 1. Introduction

Let  $\mathcal{H}$  and  $\mathcal{K}$  be separable, complex Hilbert spaces and  $\mathcal{L}(\mathcal{H}, \mathcal{K})$  denote the space of all bounded linear operators from  $\mathcal{H}$  to  $\mathcal{K}$ . If  $\mathcal{H} = \mathcal{K}$ , we write  $\mathcal{L}(\mathcal{H})$  in place of  $\mathcal{L}(\mathcal{H}, \mathcal{K})$ . If  $T \in \mathcal{L}(\mathcal{H})$ , we write  $\sigma(T)$ ,  $\sigma_{ap}(T)$ , and  $\sigma_e(T)$  for the spectrum, the approximate point spectrum, and the essential spectrum of  $T$ , respectively.

An operator  $T$  is called  $p$ -hyponormal,  $0 < p \leq 1$ , if  $(T^*T)^p \geq (TT^*)^p$  where  $T^*$  is the adjoint of  $T$ . If  $p = 1$ ,  $T$  is called hyponormal and if  $p = \frac{1}{2}$ ,  $T$  is called semihyponormal. Semihyponormal operators were introduced by Xia (see [14]). There is a vast literature concerning semihyponormal operators. Let  $(SH)$  denote the class of semihyponormal

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operators. We say that an operator  $T \in \mathcal{L}(\mathcal{H})$  is a *square root of a semihyponormal operator* if  $T^2$  is semihyponormal. We denote this class by  $(\sqrt{SH})$ . It is known that if  $T$  is hyponormal, then  $T^2$  is semihyponormal (see [1]). Hence every hyponormal operator is contained in  $(\sqrt{SH})$ . In Example 3.1, we give an example of a square root of a semihyponormal operator which is not semihyponormal. Therefore, this class gives good reasons for the future study.

In this paper, we study some properties of  $(\sqrt{SH})$ . In particular we show that an operator  $T \in (\sqrt{SH})$  has a scalar extension, i.e., is similar to the restriction to an invariant subspace of a (generalized) scalar operator (in the sense of Colojoară–Foiaş). As a corollary, we obtain that an operator  $T \in (\sqrt{SH})$  has a nontrivial invariant subspace if its spectrum has interior in the plane.

## 2. Preliminaries

An operator  $T \in \mathcal{L}(\mathcal{H})$  is said to satisfy the single valued extension property if for any open set  $U$  in  $\mathbf{C}$ , the function

$$z - T : \mathcal{O}(U, \mathcal{H}) \rightarrow \mathcal{O}(U, \mathcal{H})$$

defined by the obvious pointwise multiplication is one-to-one where  $\mathcal{O}(U, \mathcal{H})$  denote the Fréchet space of  $\mathcal{H}$ -valued analytic functions on  $U$  with respect to uniform topology. If  $T$  has the single valued extension property, then for any  $x \in \mathcal{H}$  there exists a unique maximal open set  $\rho_T(x) (\supset \rho(T)$ , the resolvent set) and a unique  $\mathcal{H}$ -valued analytic function  $f$  defined in  $\rho_T(x)$  such that

$$(z - T)f(z) = x, \quad z \in \rho_T(x).$$

Moreover, if  $F \subset \mathbf{C}$  is a closed set and  $\sigma_T(x) = \mathbf{C} \setminus \rho_T(x)$ , then  $H_T(F) = \{x \in \mathcal{H} : \sigma_T(x) \subset F\}$  is a linear subspace (not necessarily closed) of  $\mathcal{H}$  and obviously  $H_T(F) = H_T(F \cap \sigma(T))$ . An operator  $T \in \mathcal{L}(\mathcal{H})$  is said to satisfy the property  $(\beta)$  if for every open subset  $G$  of  $\mathbf{C}$  and every sequence  $f_n : G \rightarrow \mathcal{H}$  on  $\mathcal{H}$ -valued analytic function such that  $(z - T)f_n(z)$  converges uniformly to 0 in norm on compact subsets of  $G$ ,  $f_n(z)$  converges uniformly to 0 in norm on compact subsets of  $G$ .

A bounded linear operator  $S$  on  $\mathcal{H}$  is called scalar of order  $m$  if it possesses a spectral distribution of order  $m$ , i.e., if there is a continuous unital morphism,

$$\Phi : C_0^m(\mathbf{C}) \rightarrow \mathcal{L}(\mathcal{H})$$

such that  $\Phi(z) = S$ , where  $z$  stands for the identity function on  $\mathbf{C}$  and  $C_0^m(\mathbf{C})$  for the space of compactly supported functions on  $\mathbf{C}$ , continuously differentiable of order  $m$ ,  $0 \leq m \leq \infty$ . An operator is called subscalar if it is similar to the restriction of a scalar operator to an invariant subspace.

Let  $z$  be the coordinate in  $\mathbf{C}$  and let  $d\mu(z)$  denote the planar Lebesgue measure. Fix a separable, complex Hilbert space  $\mathcal{H}$  and a bounded (connected) open subset  $U$  of  $\mathbf{C}$ . We shall denote by  $L^2(U, \mathcal{H})$  the Hilbert space of measurable functions  $f : U \rightarrow \mathcal{H}$ , such that

$$\|f\|_{2,U} = \left\{ \int_U \|f(z)\|^2 d\mu(z) \right\}^{1/2} < \infty.$$

The space of functions  $f \in L^2(U, \mathcal{H})$  which are analytic functions in  $U$  (i.e.,  $\bar{\partial}f = 0$ ) is denoted by

$$A^2(U, \mathcal{H}) = L^2(U, \mathcal{H}) \cap \mathcal{O}(U, \mathcal{H}).$$

$A^2(U, \mathcal{H})$  is called the Bergman space for  $U$ .

We will use the following version of Green’s formula for the plane, also known as the Cauchy–Pompeiu formula. Define  $C^p(\bar{U}, \mathcal{H})$  in the exactly the same way as  $C^p(\bar{U})$  except that the functions in the space are now  $\mathcal{H}$ -valued.

**Cauchy–Pompeiu formula 2.1.** Let  $D$  be an open disc in the plane, let  $z \in D$  and  $f \in C^2(\bar{D}, \mathcal{H})$ . Then

$$f(z) = \frac{1}{2\pi i} \int_{\partial D} \frac{f(\zeta)}{\zeta - z} d\zeta + \bar{\partial}f * \left(-\frac{1}{\pi z}\right)$$

where  $*$  denotes the convolution product.

**Remark 2.2.** The function

$$g(z) = \int_{\partial D} \frac{f(\zeta)}{\zeta - z} d\zeta$$

appearing in Cauchy–Pompeiu formula is analytic in  $D$  and extends continuously to  $\bar{D}$  as can be seen by examining the  $\int_D$  term. So,  $g \in A^2(D, \mathcal{H})$  for  $f \in C^2(\bar{D}, \mathcal{H})$ .

Let us define now a special Sobolev type space. Let  $U$  again a bounded open subset of  $\mathbf{C}$  and  $m$  be a fixed non-negative integer. The vector valued Sobolev space  $W^m(U, \mathcal{H})$  with respect to  $\bar{\partial}$  and order  $m$  will be the space of those functions  $f \in L^2(U, \mathcal{H})$  whose derivatives  $\bar{\partial}f, \dots, \bar{\partial}^m f$  in the sense of distributions still belong to  $L^2(U, \mathcal{H})$ . Endowed with the norm

$$\|f\|_{W^m}^2 = \sum_{i=0}^m \|\bar{\partial}^i f\|_{2,U}^2$$

$W^m(U, \mathcal{H})$  become a Hilbert space contained continuously in  $L^2(U, \mathcal{H})$ .

We next discuss the fact concerning the multiplication operator by  $z$  on  $W^m(U, \mathcal{H})$ . The linear operator  $M$  of multiplication by  $z$  on  $W^m(U, \mathcal{H})$  is continuous and it has a spectral distribution of order  $m$ , defined by the relation

$$\Phi_M : C_0^m(\mathbf{C}) \rightarrow \mathcal{L}(W^m(U, \mathcal{H})), \quad \Phi_M(f) = Mf.$$

Therefore,  $M$  is a scalar operator of order  $m$ .

Let  $V : W^m(U, \mathcal{H}) \rightarrow \bigoplus_0^m L^2(U, \mathcal{H})$  be the operator defined by

$$V(f) = (f, \bar{\partial}f, \dots, \bar{\partial}^m f).$$

Since

$$\|Vf\|^2 = \|f\|_{W^m}^2 = \sum_{i=0}^m \|\bar{\partial}^i f\|_{2,U}^2,$$

an operator  $V$  is an isometry such that  $VM = (\bigoplus_0^m N_z)V$ , where  $N_z$  is the multiplication operator on  $L^2(U, \mathcal{H})$ . Since  $\bigoplus_0^m N_z$  is normal,  $M$  is a subnormal operator.

### 3. Subscalarity

In this section we show that every square root of a semihyponormal operator has a scalar extension. For this we start with an example of a square root of a semihyponormal operator which is not semihyponormal.

**Example 3.1.** If  $T$  is any semihyponormal operator in  $\mathcal{L}(\mathcal{H})$ , consider the following operator matrix

$$A = \begin{pmatrix} 0 & T \\ 0 & 0 \end{pmatrix}.$$

Then  $A \in (\sqrt{SH})$ , but it is easy to show that  $A$  is not semihyponormal.

The following lemma is elementary.

**Lemma 3.2** [9, Lemma 4.3]. *Let  $T$  be in  $(\sqrt{SH})$ . If  $\{f_n\}$  is a sequence in  $L^2(D, \mathcal{H})$  such that  $\lim_{n \rightarrow \infty} \|(z^2 - T^2)f_n\|_{2,D} = 0$  for all  $z \in D$ , then  $\lim_{n \rightarrow \infty} \|(z^2 - T^2)^* f_n\|_{2,D} = 0$ .*

The following proposition is an essential step to prove our main theorem.

**Proposition 3.3.** *For every bounded disk  $D$  in  $\mathbf{C}$  there is a constant  $C_D$ , such that for an arbitrary operator  $T \in \mathcal{L}(\mathcal{H})$  and  $f \in W^4(D, \mathcal{H})$  we have*

$$\|(I - P)f\|_{2,D} \leq C_D \sum_{i=2}^4 \|(z^2 - T^2)^* \bar{\partial}^i f\|_{2,D},$$

where  $P$  denotes the orthogonal projection of  $L^2(D, \mathcal{H})$  onto the Bergman space  $A^2(D, \mathcal{H})$ .

**Proof.** Let  $s_1$  and  $s_2$  be in  $C^\infty(\bar{D}, \mathcal{H})$  such that  $s_i \equiv 1$  on  $D - D$  for  $i = 1, 2$ . Let  $f_n \in C^\infty(\bar{D}, \mathcal{H})$  be a sequence which approximates  $f$  in the norm  $W^4$ . Then for a fixed  $n$  we have

$$\begin{aligned} \bar{\partial}^2 \left[ f_n - \frac{1}{2}(z^2 - T^2)^* \bar{\partial}^2 f_n \right] &= \bar{\partial}^2 f_n - \frac{1}{2} \sum_{k=0}^2 \binom{2}{k} \bar{\partial}^k (z^2 - T^2)^* \bar{\partial}^{4-k} f_n \\ &= -\frac{1}{2} \sum_{k=0}^1 \binom{2}{k} \bar{\partial}^k (z^2 - T^2)^* \bar{\partial}^{4-k} f_n. \end{aligned} \tag{1}$$

By Cauchy–Pompeiu formula and Eq. (1), we get

$$\begin{aligned} & \bar{\partial} \left[ f_n - \frac{1}{2}(z^2 - T^2)^* \bar{\partial}^2 f_n \right] \\ &= \frac{1}{2\pi i} \int_{\partial D} \frac{\bar{\partial}[f_n(\zeta) - \frac{1}{2}(\zeta^2 - T^2)^* \bar{\partial}^2 f_n(\zeta)]}{\zeta - z} d\zeta \\ &+ \left[ -\frac{1}{2} \sum_{k=0}^1 \binom{2}{k} \bar{\partial}^k (z^2 - T^2)^* \bar{\partial}^{4-k} f_n \right] * \left( -\frac{s_1}{\pi z} \right). \end{aligned} \tag{2}$$

Set

$$g_{1,n}(z) = \frac{1}{2\pi i} \int_{\partial D} \frac{\bar{\partial}[f_n(\zeta) - \frac{1}{2}(\zeta^2 - T^2)^* \bar{\partial}^2 f_n(\zeta)]}{\zeta - z} d\zeta.$$

Then  $g_{1,n} \in A^2(D, \mathcal{H})$  by Remark 2.2. Thus

$$\begin{aligned} & \bar{\partial} \left[ f_n - \frac{1}{2}(z^2 - T^2)^* \bar{\partial}^2 f_n \right] \\ &= g_{1,n} - \left[ -\frac{1}{2} \sum_{k=0}^1 \binom{2}{k} \bar{\partial}^k (z^2 - T^2)^* \bar{\partial}^{4-k} f_n \right] * \left( -\frac{s_1}{\pi z} \right). \end{aligned} \tag{3}$$

Again we apply the Cauchy–Pompeiu formula. Then from Eq. (3), we obtain

$$\begin{aligned} f_n - \frac{1}{2}(z^2 - T^2)^* \bar{\partial}^2 f_n &= \frac{1}{2\pi i} \int_{\partial D} \frac{f_n(\zeta) - \frac{1}{2}(\zeta^2 - T^2)^* \bar{\partial}^2 f_n(\zeta)}{\zeta - z} d\zeta \\ &+ \bar{\partial} \left[ f_n - \frac{1}{2}(z^2 - T^2)^* \bar{\partial}^2 f_n \right] * \left( -\frac{s_2}{\pi z} \right). \end{aligned} \tag{4}$$

Set

$$g_{2,n}(z) = \frac{1}{2\pi i} \int_{\partial D} \frac{f_n(\zeta) - \frac{1}{2}(\zeta^2 - T^2)^* \bar{\partial}^2 f_n(\zeta)}{\zeta - z} d\zeta.$$

Again,  $g_{2,n} \in A^2(D, \mathcal{H})$  by Remark 2.2. Thus from Eq. (4) we get

$$f_n - \frac{1}{2}(z^2 - T^2)^* \bar{\partial}^2 f_n = g_{2,n} + \bar{\partial} \left[ f_n - \frac{1}{2}(z^2 - T^2)^* \bar{\partial}^2 f_n \right] * \left( -\frac{s_2}{\pi z} \right) \tag{5}$$

Hence from Eqs. (3) and (5) we obtain

$$\begin{aligned} & f_n - \frac{1}{2}(z^2 - T^2)^* \bar{\partial}^2 f_n \\ &= g_{2,n} + \left[ g_{1,n} + \left\{ -\frac{1}{2} \sum_{k=0}^1 \binom{2}{k} \bar{\partial}^k (z^2 - T^2)^* \bar{\partial}^{4-k} f_n \right\} * \left( -\frac{s_1}{\pi z} \right) \right] * \left( -\frac{s_2}{\pi z} \right) \end{aligned}$$

$$\begin{aligned}
 &= g_{2,n} + g_{1,n} * \left( -\frac{s_2}{\pi z} \right) - \left[ \frac{1}{2} \sum_{k=0}^1 \binom{2}{k} \bar{\partial}^k (z^2 - T^2)^* \bar{\partial}^{4-k} f_n \right] \\
 &\quad * \left( -\frac{s_1}{\pi z} \right) * \left( -\frac{s_2}{\pi z} \right). \tag{6}
 \end{aligned}$$

Since

$$\begin{aligned}
 &\bar{\partial}^k (z^2 - T^2)^* \bar{\partial}^{4-k} f_n * \left( -\frac{s_1}{\pi z} \right) \\
 &= \bar{\partial}^{k-1} (z^2 - T^2)^* \bar{\partial}^{4-k} f_n - \bar{\partial}^{k-1} (z^2 - T^2)^* \bar{\partial}^{4-k+1} f_n * \left( -\frac{s_1}{\pi z} \right),
 \end{aligned}$$

from Eq. (6) we have

$$\begin{aligned}
 &f_n - \frac{1}{2} (z^2 - T^2)^* \bar{\partial}^2 f_n \\
 &= g_{2,n} + g_{1,n} * \left( -\frac{s_2}{\pi z} \right) + \frac{1}{2} (z^2 - T^2)^* \bar{\partial}^4 f_n * \left( -\frac{s_1}{\pi z} \right) * \left( -\frac{s_2}{\pi z} \right) \\
 &\quad - (z^2 - T^2)^* \bar{\partial}^3 f_n * \left( -\frac{s_2}{\pi z} \right).
 \end{aligned}$$

Set  $g_n = g_{2,n} + g_{1,n} * (-s_2/\pi z)$ . Since  $g_{1,n} * (-s_2/\pi z)$  is analytic,  $g_n \in A^2(D, \mathcal{H})$ . Hence

$$\begin{aligned}
 f_n - g_n &= \frac{1}{2} (z^2 - T^2)^* \bar{\partial}^2 f_n - (z^2 - T^2)^* \bar{\partial}^3 f_n * \left( -\frac{s_2}{\pi z} \right) \\
 &\quad + \frac{1}{2} (z^2 - T^2)^* \bar{\partial}^4 f_n * \left( -\frac{s_1}{\pi z} \right) * \left( -\frac{s_2}{\pi z} \right).
 \end{aligned}$$

Taking the norm, we get

$$\begin{aligned}
 \|f_n - g_n\|_{2,D} &\leq \frac{1}{2} \|(z^2 - T^2)^* \bar{\partial}^2 f_n\|_{2,D} + \|(z^2 - T^2)^* \bar{\partial}^3 f_n\|_{2,D} \left\| -\frac{s_2}{\pi z} \right\|_{2,D} \\
 &\quad + \frac{1}{2} \|(z^2 - T^2)^* \bar{\partial}^4 f_n\|_{2,D} \left\| \left( -\frac{s_1}{\pi z} \right) * \left( -\frac{s_2}{\pi z} \right) \right\|_{2,D}.
 \end{aligned}$$

Set  $C_D = \max\{1/2, \|-s_2/\pi z\|_{2,D}, 1/2\|(-s_1/\pi z) * (-s_2/\pi z)\|_{2,D}\}$ . Then

$$\begin{aligned}
 \|f - g\|_{2,D} &\leq \|f - f_n\|_{2,D} + \|f_n - g_n\|_{2,D} \\
 &\leq \|f - f_n\|_{2,D} + C_D \sum_{i=2}^4 \|(z^2 - T^2)^* \bar{\partial}^i f\|_{2,D}.
 \end{aligned}$$

By passing to the limit we conclude

$$\|f - Pf\|_{2,D} \leq C_D \sum_{i=2}^4 \|(z^2 - T^2)^* \bar{\partial}^i f\|_{2,D}.$$

So we complete our proof.  $\square$

**Lemma 3.4.** *Let  $T$  be in  $(\sqrt{SH})$ . Then for a bounded disk  $D$  which contains  $\sigma(T)$ , the operator  $V : \mathcal{H} \rightarrow H(D)$  defined by*

$$Vh = \widetilde{1 \otimes h} \quad (= 1 \otimes h + \overline{(z - T)W^4(D, \mathcal{H})})$$

*is one-to-one and has closed range, where  $H(D) = W^4(D, \mathcal{H})/\overline{(z - T)W^4(D, \mathcal{H})}$  and  $1 \otimes h$  denotes the constant function sending any  $z \in D$  to  $h$ .*

**Proof.** Let  $h_i \in \mathcal{H}$  and  $f_i \in W^4(D, \mathcal{H})$  be sequences such that

$$\lim_{i \rightarrow \infty} \|(z - T)f_i + 1 \otimes h_i\|_{W^4} = 0. \tag{7}$$

Then by the definition of the norm of Sobolev space, Eq. (7) implies

$$\lim_{i \rightarrow \infty} \|(z - T)\bar{\partial}^j f_i\|_{2,D} = 0 \tag{8}$$

for  $j = 1, 2, 3, 4$ . From Eq. (8), we get

$$\lim_{i \rightarrow \infty} \|(z^2 - T^2)\bar{\partial}^j f_i\|_{2,D} = 0$$

for  $j = 1, 2, 3, 4$ . Since  $T^2$  is semihyponormal, by Lemma 3.2

$$\lim_{i \rightarrow \infty} \|(z^2 - T^2)^* \bar{\partial}^j f_i\|_{2,D} = 0 \tag{9}$$

for  $j = 1, 2, 3, 4$ . Then by Proposition 3.3, we have

$$\lim_{i \rightarrow \infty} \|(I - P)f_i\|_{2,D} = 0 \tag{10}$$

where  $P$  denotes the orthogonal projection of  $L^2(D, \mathcal{H})$  onto  $A^2(D, \mathcal{H})$ . By (7) and (10), we have

$$\lim_{i \rightarrow \infty} \|(z - T)Pf_i + 1 \otimes h_i\|_{2,D} = 0.$$

Let  $\Gamma$  be a curve in  $D$  surrounding  $\sigma(T)$ . Then for  $z \in \Gamma$

$$\lim_{i \rightarrow \infty} \|Pf_i(z) + (z - T)^{-1}(1 \otimes h_i)\| = 0$$

uniformly. Hence, by Riesz–Dunford functional calculus,

$$\lim_{i \rightarrow \infty} \left\| \frac{1}{2\pi i} \int_{\Gamma} Pf_i(z) dz + h_i \right\| = 0.$$

But since  $\int_{\Gamma} Pf_i(z) dz = 0$  by Cauchy’s theorem,  $\lim_{i \rightarrow \infty} h_i = 0$ .  $\square$

Now we are ready to prove our main theorem.

**Theorem 3.5.** *An operator  $T \in (\sqrt{SH})$  is subscalar of order 4.*

**Proof.** Consider an arbitrary bounded open disk  $D$  in  $\mathbf{C}$  which contains  $\sigma(T)$  and the quotient space

$$H(D) = W^4(D, \mathcal{H})/\overline{(z - T)W^4(D, \mathcal{H})}$$

endowed with the Hilbert space norm. The class of a vector  $f$  or an operator  $A$  on  $H(D)$  will be denoted by  $\tilde{f}$ , respectively  $\tilde{A}$ . Let  $M (= M_z)$  be the multiplication operator by  $z$  on  $W^4(D, \mathcal{H})$ . Then  $M$  is a scalar operator of order 4 and its spectral distribution is

$$\Phi : C_0^4(\mathbf{C}) \rightarrow \mathcal{L}(W^4(D, \mathcal{H})), \quad \Phi(f) = M_f,$$

where  $M_f$  is the multiplication operator with  $\tilde{f}$ . Since  $M$  commutes with  $z - T$ ,  $\tilde{M}$  on  $H(D)$  is still a scalar operator of order 4, with  $\tilde{\Phi}$  as a spectral distribution.

Let  $V$  be the operator

$$Vh = \widetilde{1 \otimes h} \quad (= 1 \otimes h + \overline{(z - T)W^4(D, \mathcal{H})}),$$

from  $\mathcal{H}$  into  $H(D)$ , denoting by  $1 \otimes h$  the constant function  $h$ . Then  $VT = \tilde{M}V$ . Since  $V$  is one-to-one and has closed range by Lemma 3.4,  $T$  is subscalar of order 4.  $\square$

Recall that if  $U$  is a non-empty open set in  $\mathbf{C}$  and if  $\Omega \subset U$  has the property that

$$\sup_{\lambda \in \Omega} |f(\lambda)| = \sup_{\beta \in U} |f(\beta)|$$

for every function  $f$  in  $H^\infty(U)$  (i.e. for all  $f$  bounded and analytic on  $U$ ), then  $\Omega$  is said to be dominating for  $U$ .

**Corollary 3.6.** *Let  $T$  be in  $(\sqrt{SH})$ . If  $\sigma(T)$  has the property that there exists some non-empty open set  $U$  such that  $\sigma(T) \cap U$  is dominating for  $U$ , then  $T$  has a nontrivial invariant subspace.*

**Proof.** This follows from Theorem 3.5 and [5].  $\square$

The following corollary shows that, exactly as for subnormal operators, the spectrum  $\sigma(T)$  is obtained from  $\sigma(\tilde{M})$  by filling some bounded connected components of  $\mathbf{C} \setminus \sigma(\tilde{M})$ .

**Corollary 3.7.** *Let  $T$  be in  $(\sqrt{SH})$ . With the same notation of the proof of Theorem 3.5,*

$$\partial\sigma(T) \subset \sigma(\tilde{M}) \subset \sigma(T).$$

**Proof.** Since

$$\sigma(\tilde{M}) \subset \sigma(M|_{W^4(D, \mathcal{H})}) \subset \overline{D},$$

we conclude  $\sigma(\tilde{M}) \subset \sigma(T)$ . Since  $\partial\sigma(T) \subset \sigma_{ap}(T)$  and  $\sigma_{ap}(T) \subset \sigma_{ap}(\tilde{M})$ , we complete the proof.  $\square$

**Corollary 3.8.** *Let  $T$  be in  $(\sqrt{SH})$  and let  $f$  be a function analytic in a neighborhood of  $\sigma(T)$ . Then  $f(T)$  is subscalar.*

**Proof.** With the same notation of the proof of Theorem 3.5,  $Vf(T) = f(\tilde{M})V$ , where  $f \rightarrow f(T)$  is the functional calculus morphism. The result follows from the fact that  $f(\tilde{M})$  is scalar.  $\square$



Recall that an  $X \in \mathcal{L}(\mathcal{H}, \mathcal{K})$  is called a quasi-affinity if it has trivial kernel and dense range. An operator  $A \in \mathcal{L}(\mathcal{H})$  is said to be a quasi-affine transform of an operator  $T \in \mathcal{L}(\mathcal{K})$  there exists a quasi-affinity  $X \in \mathcal{L}(\mathcal{H}, \mathcal{K})$  such that  $XA = TX$ . Furthermore, operators  $A$  and  $T$  are said to be quasisimilar if there are quasi-affinities  $X$  and  $Y$  such that  $XA = TX$  and  $AY = YT$ .

**Lemma 3.9.** *If  $T \in (\sqrt{SH})$  is quasinilpotent, then it is nilpotent.*

**Proof.** Since  $\sigma(T) = \{0\}$ , from Corollary 3.7  $\tilde{M}$  is quasinilpotent. Then by [3],  $\tilde{M}$  is nilpotent. Since  $V$  is one-to-one and  $VT = \tilde{M}V$ ,  $T$  is nilpotent.  $\square$

**Theorem 3.10.** *Let  $T$  be in  $(\sqrt{SH})$ . If  $A$  is a quasi-affine transform of  $T$  and  $\sigma(A) = \{0\}$ , then  $A$  is subscalar.*

**Proof.** Assume there exists a one-to-one  $X$  with dense range such that  $XA = TX$ . Then since  $T$  is subscalar by Theorem 3.5 it follows from [8] that  $\sigma(T) \subset \sigma(A)$ . Hence  $T$  is quasinilpotent. By Lemma 3.9,  $T$  is nilpotent, say  $T^n = 0$ . Then  $XA^n = 0$ . Since  $X$  is one-to-one,  $A$  is a nilpotent operator of order  $n$ . Therefore,  $A$  is a subscalar operator by [7].  $\square$

Recall that an operator  $T \in \mathcal{L}(\mathcal{H})$  is said to be *power regular* if  $\lim_{n \rightarrow \infty} \|T^n x\|^{1/n}$  exists for every  $x \in \mathcal{H}$  (see [2]).

**Theorem 3.11.** *If  $T$  is in  $(\sqrt{SH})$ , it is power regular.*

**Proof.** It is known from Theorem 3.5 that every square root of a semihyponormal operator is the restriction of a scalar operator to one of its invariant subspace. Since a scalar operator is power regular and the restriction of power regular operators to their invariant subspaces clearly remains power regular, every square root of a semihyponormal operator is power regular.  $\square$

**Theorem 3.12.** *If  $T$  is in  $(\sqrt{SH})$ , it satisfies the property  $(\beta)$ . Hence it satisfies the single valued extension property.*

**Proof.** Since every scalar operator satisfies the property  $(\beta)$  and the property  $(\beta)$  is transmitted from an operator to its restriction to closed invariant subspaces, it follows from Theorem 3.5 that every square root of a semihyponormal operator satisfies the property  $(\beta)$ . Hence it satisfies the single valued extension property.  $\square$

**Corollary 3.13.** *Let  $A$  and  $T$  be in  $(\sqrt{SH})$ . If they are quasisimilar, then  $\sigma(A) = \sigma(T)$  and  $\sigma_e(A) = \sigma_e(T)$ .*

**Proof.** Since  $A$  and  $T$  satisfy the property by Theorem 3.12, the proof follows from [12].  $\square$

**Corollary 3.14.** *If  $T$  be in  $(\sqrt{SH})$ , then  $H_T(F) = \{x \in \mathcal{H}: \sigma_T(x) \subset F\}$  is a closed subspace for every closed set  $F$  in  $\mathbb{C}$  and*

$$\sigma(T|_{H_T(F)}) \subset \sigma(T) \cap F.$$

**Proof.** The first statement follows from Theorem 3.12 and [11, Lemma 5.2]. The second statement follows from Theorem 3.12 and [3, Proposition 3.8].  $\square$

**Corollary 3.15.** *If  $T_1$  and  $T_2$  are in  $(\sqrt{SH})$  and  $A \in \mathcal{L}(\mathcal{H}, \mathcal{K})$  satisfies  $AT_1 = T_2A$ , then  $AH_{T_1}(F) \subset H_{T_2}(F)$  for every closed set  $F \subset \mathbb{C}$ .*

**Proof.** It is known that  $T_1$  and  $T_2$  satisfy the single valued extension property from Theorem 3.12. If  $x \in H_{T_1}(F)$ , then  $\sigma_{T_1}(x) \subset F$ . Thus  $F^c \subset \rho_{T_1}(x)$ . Hence there exists an analytic  $\mathcal{H}$ -valued function  $f$  defined on  $F^c$  such that

$$(z - T_1)f(z) \equiv x, \quad z \in F^c.$$

Therefore,

$$(z - T_2)Af(z) = A(z - T_1)f(z) \equiv Ax, \quad z \in F^c.$$

Since  $Af: F^c \rightarrow \mathcal{K}$  is analytic,  $F^c \subset \rho_{T_2}(Ax)$ . Thus  $Ax \in H_{T_2}(F)$ .  $\square$

Recall that an operator  $T \in \mathcal{L}(\mathcal{H})$  is called *quasitriangular* if  $T$  can be written as a sum  $T = T_0 + K$  where  $T_0$  is a triangular operator and  $K$  is a compact operator in  $\mathcal{L}(\mathcal{H})$ . Moreover,  $T$  is called *biquasitriangular* if both  $T$  and  $T^*$  are quasitriangular.

**Corollary 3.16.** *Let  $T$  be in  $(\sqrt{SH})$ . If  $T$  has no nontrivial invariant subspace, then  $T$  is biquasitriangular.*

**Proof.** If  $T$  has no nontrivial invariant subspace, then  $\sigma_p(T^*) = \phi$ . Hence  $T^*$  satisfies the single valued extension property. Since  $T$  also satisfies the single valued extension property by Theorem 3.12,  $T$  is biquasitriangular from [10, Theorem 2.3.21].  $\square$

**Theorem 3.17.** *Let  $T \in (\sqrt{SH})$ . Then there exists a positive integer  $I$  such that for all positive integers  $i \geq I$ ,  $T^{2i}$  has a nontrivial invariant subspace.*

**Proof.** Since  $T^2$  is semihyponormal, the result follows from [6].  $\square$

The following theorem explains the structure of some square roots of semihyponormal operators.

**Theorem 3.18.** *Let  $T$  be in  $(\sqrt{SH})$ . If  $T$  is compact or  $m(\sigma(T)) = 0$  where  $m$  is the planar Lebesgue measure, then*

$$T = A \oplus \begin{pmatrix} B & C \\ 0 & -B \end{pmatrix},$$

where  $A$  and  $B$  are normal and  $C$  is a positive one-to-one operator commuting with  $B$ .

**Proof.** If  $T$  is compact, then  $T^2$  is compact and semihyponormal. By [4],  $T^2$  is normal. If  $m(\sigma(T)) = 0$  where  $m$  is the planar Lebesgue measure, then  $T^2$  is normal by [4]. Since  $T^2$  is normal in any cases, by [13, Theorem 1]

$$T = A \oplus \begin{pmatrix} B & C \\ 0 & -B \end{pmatrix},$$

where  $A$  and  $B$  are normal and  $C$  is a positive one-to-one operator commuting with  $B$ .  $\square$

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