## **STATE-OF-THE-ART PAPER**

# Resistance to Clopidogrel: A Review of the Evidence

Thuy Anh Nguyen, MSC, PHARM,\* Jean G. Diodati, MD,†‡|| Chantal Pharand, PHARMD\*‡§ *Montreal, Canada* 

Current available data show that about 4% to 30% of patients treated with conventional doses of clopidogrel do not display adequate antiplatelet response. Clopidogrel resistance is a widely used term that remains to be clearly defined. So far, it has been used to reflect failure of clopidogrel to achieve its antiaggregatory effect. The interpatient variability in clopidogrel response is multifactorial. It can be due to extrinsic or intrinsic mechanisms. Among extrinsic mechanisms are the possibility of clopidogrel underdosing in patients undergoing stenting or with acute coronary syndrome, and drug-drug interactions involving CYP3A4. Intrinsic mechanisms include genetic polymorphisms of the  $P2Y_{12}$  receptor and of the CYP3As, accrued release of adenosine diphosphate, or up-regulation of other platelet activation pathways. Presently, there is no definite demonstration of an association between low responsiveness to clopidogrel and thrombotic events. The optimal level of clopidogrelinduced platelet inhibition, which will correlate quantitatively with clopidogrel's ability to prevent atherothrombotic events is still lacking. Furthermore, because there is no single and validated platelet function assay to measure clopidogrel's antiplatelet effect, it is not justified to routinely look for clopidogrel resistance in the clinical setting. This review discusses currently available evidence surrounding the variability in the antiplatelet response to clopidogrel. (J Am Coll Cardiol 2005;45:1157-64) © 2005 by the American College of Cardiology Foundation

Platelet activation and aggregation play an important role in the pathogenesis of arterial thrombosis leading to acute coronary syndromes (ACS) and in thrombotic complications during and after percutaneous coronary interventions (PCI) (1,2). When used alone, clopidogrel has been proven effective in preventing ischemic events in patients with atherosclerotic vascular disease (3). In combination with aspirin, clopidogrel is the gold standard for the prevention of subacute stent thrombosis (SAT) in patients undergoing PCI and reduces major adverse cardiovascular events in patients with non-ST-segment elevation ACS (4,5). Aspirin-resistant patients may also benefit from clopidogrel due to their increased platelet sensitivity to adenosine diphosphate (ADP) (6). However, clopidogrel's antiplatelet effect is not uniform in all patients (7–11).

The concept of clopidogrel resistance is increasingly evoked in the literature in light of the newly acknowledged variability in the antiplatelet response. However, there is currently no clear and consensual definition of what clopidogrel resistance means. This article discusses the magnitude and the potential causes of low responsiveness to clopidogrel by presenting a comprehensive review of currently available evidence.

## PLATELET AGGREGATION

Adenosine diphosphate plays an important role in platelet activation and aggregation (12,13). Upon damaged or dis-

rupted endothelium, circulating platelets adhere to the vessel wall through interactions with the subendothelium constituents (collagen, von Willebrand factor, and other adhesive proteins such as fibronectin, laminin, and vitronectin) (13,14). After adhesion, these anchored platelets undergo conformational changes through the action of several extrinsic activators such as collagen, thrombin, and epinephrine. Once activated, platelets release the contents of their dense (platelet agonists such as ADP and serotonin) and alpha-granules (fibrinogen, von Willebrand factor, other adhesive proteins, proinflammatory factors, and prothrombotic factors), which trigger platelet-activating intracellular signals in surrounding platelets. Activated platelets also synthesize and release thromboxane  $A_2$  in circulation. Activated and degranulated platelets expose glycoprotein (GP) IIb/IIIa receptors at their surface allowing fibrinogen binding, which forms bridges between adjacent activated platelets causing platelet aggregation. In addition, the release of granule contents amplifies the coagulation and inflammatory processes.

**ADP and its receptor.** Adenosine diphosphate binds to neighboring platelets through two G-protein coupled receptors (P2Y<sub>1</sub> and P2Y<sub>12</sub>) and the cation channel-coupled P2X<sub>1</sub> receptor (Fig. 1) (15). The activation of P2X<sub>1</sub> receptor mediates a rapid transient calcium ion influx in platelets but does not play a major role in platelet aggregation (15). Stimulation of the  $G_q$ -coupled P2Y<sub>1</sub> receptor activates phospholipase C and induces a transient rise in cytosolic calcium resulting in a platelet conformational change and in weak, transient platelet aggregation (16). Activation of the  $G_i$ -coupled P2Y<sub>12</sub> receptor by ADP liberates the  $G_i$  protein subunits  $\alpha_{G_i}$  and  $\beta\gamma$ , which couple to independent signaling

From the \*Pharmacy Department, †Service of Cardiology, and ‡Research Centre, Hôpital du Sacré-Coeur de Montréal, Montreal, Canada; and §Faculty of Pharmacy and ||Faculty of Medicine, Université de Montréal, Montreal, Canada.

Manuscript received December 8, 2004; revised manuscript received January 19, 2005, accepted January 25, 2005.

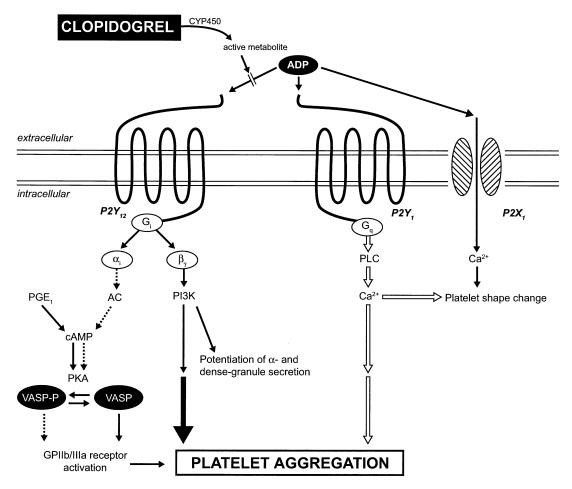
Abbreviations and Acronyms	
ACS	= acute coronary syndromes
ADP	= adenosine diphosphate
cAMP	= cyclic adenosine monophosphate
CYP450	= cytochrome P450
GPIIb/IIIa	= glycoprotein IIb/IIIa
PCI	= percutaneous coronary intervention
PGE <sub>1</sub>	= prostaglandin $E_1$
SAT	= subacute stent thrombosis
VASP	= vasodilator-stimulated phosphoprotein

events and lead to a sustained platelet aggregation (17). The subunit  $\alpha_{Gi}$  decreases the platelet cyclic adenosine monophosphate (cAMP) level through the inhibition of adenylyl cyclase. This decrease in cAMP production leads, in turn, to a reduction in the activation of specific protein kinases, which can no longer phosphorylate the vasodilator-stimulated phosphoprotein (VASP); VASP phosphoryla-

tion is crucial for GP IIb/IIIa receptor inhibition (17). The subunit  $\beta\gamma$  activates the phosphatidylinositol 3-kinase, which is an important signaling molecule for P2Y<sub>12</sub>-mediated platelet-dense granule secretion and GP IIb/IIIa receptor activation (18). Signaling events downstream of the P2Y<sub>12</sub> receptor mediate thromboxane A<sub>2</sub> production,  $\alpha$ -granule release, and subsequent expression of P-selectin on activated platelets (19). The P2Y<sub>12</sub> receptor is also involved in thrombus growth and stability (18). Stimulation of both P2Y<sub>1</sub> and P2Y<sub>12</sub> receptors is required to cause ADP-induced platelet aggregation (15). Interestingly, epinephrine, which represses cAMP levels through its alpha<sub>2</sub>A-adrenergic receptor, can produce certain, but not all, features of P2Y<sub>12</sub> signaling (18).

## MECHANISM OF ACTION AND PHARMACOKINETICS OF CLOPIDOGREL

Clopidogrel, an ADP-receptor antagonist, is a prodrug requiring oxidation by the hepatic cytochrome P450



**Figure 1.** Mechanism of action of clopidogrel. Clopidogrel competitively and irreversibly inhibits the adenosine diphosphate (ADP)  $P2Y_{12}$  receptor; ADP binds to the  $P2Y_1$  receptor to induce change in platelet shape and a weak and transient platelet aggregation. The binding of ADP to its G<sub>i</sub>-coupled  $P2Y_{12}$  receptor liberates the G<sub>i</sub> protein subunits  $\alpha_{G_i}$  and  $\beta_{\gamma}$ . The subunit  $\alpha_{G_i}$  leads to the inhibition of adenylyl cyclase (AC), which, in turn, lowers the cyclic adenosine monophosphate (cAMP) level. This inhibits the cAMP-mediated phosphorylation of vasodilator-stimulated phosphoprotein (VASP) (VASP-P), which is known to be closely related to the inhibition of glyprotein IIb/IIIa receptor activation. The subunit  $\beta_{\gamma}$  activates the phosphatidylinositol 3-kinase (PI3K), which potentiates dense- and  $\alpha$ -granule secretion. Multiple **arrows** within a given pathway indicate that intermediate steps may be involved. **Dotted arrows** indicate inhibition, whereas **solid arrows** represent activation. CYP450 = cytochrome P450; PGE<sub>1</sub> = prostaglandin E<sub>1</sub>; PKA = protein kinase activation; PLC = phospholipase C.

(CYP450) to generate an active metabolite (20,21). Only a small proportion of clopidogrel undergoes metabolism by CYP450; it is mostly hydrolyzed by esterases to an inactive carboxylic acid derivative that accounts for 85% of clopidogrel-related circulating compounds. CYP3A4 and CYP3A5 are the enzymes responsible for the oxidation of the thiophene ring of clopidogrel to 2-oxoclopidogrel, which is further oxidized, resulting in the opening of the thiophene ring and the formation of both a carboxyl and a thiol group (20,21). The latter forms a disulfide bridge with the two extracellular cysteine residues located on the ADP P2Y<sub>12</sub> receptor expressed on the platelet surface and causes an irreversible blockade of ADP binding for the platelet's life span (22).

A standard dose of clopidogrel will achieve incomplete  $P2Y_{12}$  antagonism, which translates into approximately 50% inhibition of ADP-induced platelet aggregation (23). The clopidogrel-induced platelet inhibition is dose- and time-dependent. In healthy subjects, platelet inhibition is dose-related up to a single dose of 400 mg, with no further increase with 600 mg (24). The maximum inhibition obtained with a single 400-mg dose is achieved after 2 to 5 h, whereas a daily dose of 75 mg takes 3 to 7 days to reach the same level of inhibition (25).

In addition to its antiaggregatory effect, clopidogrel attenuates the increase in C-reactive protein and decreases the expression of activated platelet-dependent inflammatory markers, namely CD40 ligand and CD62 P-selectin, which occur in patients undergoing PCI (26,27). The CD40 ligand is a potent stimulus of vascular inflammation, which promotes platelet-leukocyte interactions and induces tissue factor expression. Clopidogrel also reduces the formation of platelet-leukocyte conjugates in patients with non–STsegment elevation ACS (28).

## PREVALENCE AND CONTRIBUTING FACTORS TO CLOPIDOGREL RESISTANCE

Clopidogrel-induced platelet inhibition is patient-specific (7,8). The concept of clopidogrel resistance has emerged in the medical literature to reflect the failure to inhibit platelet function in vitro, although its existence and definition remain to be established. It has been proposed that the term *resistance* encompasses patients for whom clopidogrel does not achieve its pharmacological effect, and *failure of therapy* reflects patients who have recurrent events on therapy (29).

The prevalence of clopidogrel nonresponse in patients is evaluated between 4% and 30% 24 h after administration (7,8,10,30-32). The reported rates vary between studies because of the technique used to measure the extent of platelet aggregation and the presence of factors contributing to greater baseline platelet reactivity. Furthermore, the definition of nonresponders is not standardized.

**Platelet function assays.** Platelet function is measured in vitro, in most instances, by light transmission aggregometry. Although this method is still considered as the gold stan-

dard, it carries some disadvantages such as limited reproducibility and complex sample preparation (33,34). Other techniques are available, but not all are adequate to monitor clopidogrel antiaggregatory effect (34).

Adenosine-diphosphate-induced platelet aggregation is widely used to measure the effect of clopidogrel, but it may not be the most suitable test. Although clopidogrel prevents ADP from promoting platelet aggregation via its  $P2Y_{12}$ receptor, ADP can still induce a transient platelet aggregation via its  $P2Y_1$  receptor (15). Furthermore, the extent of  $P2Y_1$ -dependent platelet aggregation may vary widely among patients taking clopidogrel. A more specific way to assess the antiaggregatory effect of clopidogrel would be to measure the extent of ADP-induced inhibition of adenylyl cyclase, which is mediated uniquely by the  $P2Y_{12}$  receptor (35).

Also, ADP concentrations used to induce platelet aggregation influence the detected rate of nonresponders, which will be increased with higher ADP concentrations (10). Furthermore, use of a low concentration of ADP (e.g., 1  $\mu$ M) can only elicit the primary, and rapidly reversible, phase of platelet aggregation, a phase that is easily inhibited but may not be clinically meaningful (7,30,36).

The time chosen to measure platelet aggregation is also of paramount importance because a 300-mg loading dose of clopidogrel can only elicit its full antiplatelet effect at 24 h in contrast with a 600-mg loading dose, which can achieve its maximum effect after only 4 h (8,37,38). In one study, roughly half of the patients who were considered nonresponders at 24 h postloading of clopidogrel became responders by 30 days (9). This finding may be attributed to the weaning of early post-stenting platelet activation as time accrues after the procedure.

Presently, the validity of the reported rates of clopidogrel nonresponders is undermined by the absence of a consensual and justified platelet aggregation inhibition cutoff value to identify nonresponders to clopidogrel. Authors have used empirically defined cutoff values varying between <10% to <40% to segregate nonresponders from responders (7,8,10,30,31).

These arbitrary cutoff values do not make sense clinically because the optimal level of inhibition of platelet aggregation to prevent cardiovascular events may vary upon the clinical situation. While there is no available data on the clopidogrel P2Y<sub>12</sub> receptor occupancy rate, a binding study indicated that clopidogrel, given as 75 mg/day for 10 days in healthy subjects, reduced approximately by 60% the number of binding sites for ADP (39). The remaining binding sites that were insensitive to clopidogrel may either be located on the P2Y<sub>1</sub> receptors or reflect clopidogrel's incomplete P2Y<sub>12</sub> receptor occupancy. So far, no study has compared clopidogrel receptor occupancy rate between nonresponsive and responsive patients. In a porcine model of stent thrombosis, clopidogrel produced a dose-dependent inhibition of stent thrombosis (40). A dose of clopidogrel producing 40% inhibition of ADP-induced platelet aggregation reduced by 56% the stent clot weight, whereas a higher dose reaching 80% platelet inhibition decreased the clot weight by 86%. One can speculate that, in a high-risk clinical situation, the required level of platelet inhibition should be higher than in a low-risk clinical situation.

Contributing factors to greater baseline platelet reactivity. High pretreatment platelet reactivity and thrombotic burden may contribute to a lower clopidogrel antiplatelet effect as these patients remain the most reactive during the first five days of clopidogrel treatment (8). Similarly, a 450-mg clopidogrel loading dose given  $\geq$ 3 h before PCI resulted in a lower inhibition of platelet aggregation in patients with a higher unstable angina class on presentation as compared with patients having stable angina or lower unstable angina class (19  $\pm$  22% vs. 32  $\pm$  22%; p = 0.0044) (11). Patient's body mass index may be another contributing factor to the variability in platelet response to clopidogrel. Overweight patients (body mass index  $\geq 25$  kg/m<sup>2</sup>), due in part to their propensity to insulin resistance, demonstrated a reduced antiplatelet effect with clopidogrel (31,41). However, this data needs to be confirmed because of the small sample size and nonrandomized design of the study.

A recent study in the context of elective PCI suggested that postclopidogrel platelet reactivity is more related to baseline platelet reactivity than to clopidogrel responsiveness (42). About 16% of patients with moderate-to-high baseline platelet reactivity remained with moderate posttreatment reactivity despite being responsive to clopidogrel.

Considering all the factors that may affect platelet aggregation, it is of paramount importance to measure baseline value and report percent change from baseline rather than using an arbitrary cutoff.

### **CLINICAL RELEVANCE OF CLOPIDOGREL RESISTANCE**

Only two small trials explored the clinical relevance of an inadequate platelet response to clopidogrel (43,44). Their authors suggested that clopidogrel nonresponders may be at higher risk for thrombotic events.

Barragan et al. (43) demonstrated that patients experiencing SAT had a significantly higher platelet reactivity at the time of intervention for stent thrombosis compared with their counterparts who did not have a SAT but were receiving similar antiplatelet pretreatment. This study, however, did not separately analyze patients taking clopidogrel from those taking ticlopidine. Finally, platelet aggregation level was not assessed at baseline for either group. Given the high interindividual variability in platelet reactivity and in laboratory test responses, it is mandatory to compare the results obtained before and after clopidogrel administration. Otherwise, this may lead to inaccurate and paradoxical interpretation of the findings (35).

Interestingly, Barragan et al. (43) used a flow cytometric assay based on the quantification of the VASP phosphorylation state to evaluate thienopyridine's antiplatelet effect. This assay exploited the rationale that the level of VASP phosphorylation is closely related to the degree of inhibition of ADP-induced GP IIb/IIIa receptor activation and is, therefore, more specific to clopidogrel (Fig. 1). The phosphorylation of VASP is stimulated by the prostaglandin  $E_1$  $(PGE_1)$  via the increase in platelet cAMP level. In contrast, ADP inhibits PGE<sub>1</sub>-stimulated VASP phosphorylation by lowering the cAMP level through its effects on the  $P2Y_{12}$ receptor. The VASP phosphorylation flow cytometry assay is performed by incubating blood samples in vitro with ADP and/or PGE<sub>1</sub> before immunolabeling them with a monoclonal antibody directed against serine 239-phosphorylated VASP. Mean fluorescence intensity corresponding to each experimental condition, resting (PGE1 alone) and activated platelets  $(ADP + PGE_1)$  is determined to establish a ratio that directly correlates with the VASP phosphorylation state and is expressed as a mean percentage of platelet reactivity. Clopidogrel does not alter the basal and PGE<sub>1</sub>-stimulated VASP phosphorylation but strongly attenuates the inhibitory effect of ADP on PGE1-stimulated VASP phosphorylation (17). A fall in VASP phosphorylation after stimulation by ADP indicates incomplete inhibition of the P2Y<sub>12</sub> receptor by clopidogrel.

In the second study, 60 patients with ST-segment elevation myocardial infarction undergoing primary PCI were stratified in quartiles based on their percent inhibition of ADP-induced platelet aggregation at day 6 compared to baseline (44). Patients in the first quartile (n = 15) were considered resistant to clopidogrel (ADP-induced platelet aggregation =  $103 \pm 8\%$ ). Patients in the second quartile through fourth quartile showed an increasing response to clopidogrel (69  $\pm$  3%, 58  $\pm$  7%, and 33  $\pm$  12%, respectively). Six of the 15 patients (40%) in the first quartile developed a recurrent cardiovascular event (ST-segment elevation myocardial infarction, SAT, ACS, and acute peripheral arterial occlusion) through the six-month follow-up period, whereas only 1 patient of 15 (6.7%) in the second quartile experienced an event. No recurrent cardiac event occurred in patients in the third and fourth quartiles. However, the small sample size and the low number of recurrent cardiovascular events made this study underpowered and precluded any strong conclusions.

In brief, before any definitive conclusion can be drawn about the clinical consequences of low antiplatelet response to clopidogrel, these results need to be reproduced in a larger set of patients. Inhibition of platelet aggregation remains to be correlated efficiently with clinical outcomes. For this to occur, the platelet function assay has to be specific to clopidogrel effect and give reproducible results. Of note, the standard dosing regimen of clopidogrel used for coronary stenting was derived mainly from studies involving healthy volunteers and stable patients with coronary artery disease not undergoing stenting (45). The dose of clopidogrel selected in clinical trials was equivalent to ticlopidine 250 mg twice daily in terms of platelet inhibition and bleeding time. However, in the context of PCI or ACS where the thrombotic burden is heightened, the standard

#### Table 1. Potential Mechanisms of Clopidogrel Resistance

Extrinsic mechanisms	
1. Patient non-compliance	
2. Under-dosing or inappropriate dosing of clopidogrel	
3. Drug-drug interactions involving CYP3A4	
Intrinsic mechanisms	
1. Genetic variables	
a. Polymorphisms of P2Y <sub>12</sub> receptor	
b. Polymorphisms of CYP3As	
2. Increase release of ADP	
3. Alternate pathways of platelet activation:	
a. Failure to inhibit catecholamine-mediated platelet activation	
(epinephrine)	
b. Greater extent of P2Y <sub>1</sub> -dependent platelet aggregation	
c. Up-regulation of P2Y <sub>12</sub> -independent pathways (thrombin,	
thromboxane A <sub>2</sub> , collagen)	
ADP = adenosine diphosphate; CYP3As = cytochrome P450 3As.	

dose of clopidogrel may be insufficient and lead to a breakthrough effect.

Importantly, the relative contribution of the antiinflammatory properties of clopidogrel to its clinical benefit has not been weighted against its antiplatelet effect. In patients nonresponsive to clopidogrel, the importance of this antiinflammatory effect has not been assessed.

If faced with a time constraint, one approach to increase the response to clopidogrel may be to give a higher loading dose of clopidogrel such as 600 mg, which will provide a faster and greater inhibition of platelet aggregation than the standard 300-mg loading dose (10,46). Another option would be to use a higher transient maintenance dose during critical, high-risk period (e.g., after PCI). However, despite the improvement in clopidogrel platelet inhibitory effect, the high loading dose does not eliminate interindividual variability in clopidogrel response (46). This calls for a contributing role of other factors beside drug dose to this phenomenon. Whether a high clopidogrel loading dose would be of any value in patients nonresponsive to a standard dosing regimen remains to be established.

## POTENTIAL MECHANISMS OF CLOPIDOGREL RESISTANCE

Several mechanisms of clopidogrel resistance are possible and can be classified into two categories: extrinsic and intrinsic (Table 1).

Extrinsic mechanisms that reflect a reduced bioavailability of clopidogrel may include noncompliance to clopidogrel therapy, underdosing, or inappropriate dosing of clopidogrel, and drug-drug interactions affecting the biotransformation of clopidogrel into its active metabolite (29).

Possible intrinsic mechanisms may include polymorphisms of the  $P2Y_{12}$  receptor gene leading to an increased number of  $P2Y_{12}$  receptors, and polymorphisms of the CYP3As (29). There are also possibilities of increased release of ADP and up-regulation of other platelet activation pathways (such as thrombin, ADP, collagen, thromboxane  $A_2$ , and epinephrine) (29).

**Drug-drug interactions.** Any medication inhibiting or being a substrate of the CYP3A4 can potentially block the conversion of clopidogrel into its active metabolite. Among these medications figure hydroxymethylglutaryl-CoA reductase inhibitors, commonly referred to as statins. Most commercially available statins are lipophilic, with the exception of pravastatin, and must be metabolized, usually hepatically, to hydrophilic derivatives before they can be excreted through the kidney. Lovastatin and simvastatin show moderate affinity, whereas atorvastatin demonstrates a lower affinity to CYP3A4 (47,48). Fluvastatin and rosuvastatin are substrates of CYP2C9 (47).

Currently available atorvastatin is an active acid form and a weak substrate for CYP3A4. However, this acid form is rapidly converted to its lactone form by numerous enzymatic processes and has a significantly higher binding affinity for CYP3A4 than the acid form (49). The interaction between atorvastatin and clopidogrel was first revealed using genetically engineered microsomes of human CYP3A4 and CYP3A5 showing atorvastatin lactone greatly inhibited (90%) CYP3A4-dependent clopidogrel metabolism, more so than the atorvastatin acid form (20).

Lau et al. (50) reiterated the relevancy of this interaction by demonstrating that atorvastatin, at a dose of 40 mg, produced a dose-dependent and statistically significant reduction in the antiplatelet effect of clopidogrel, which remained significant six to eight days after stent implantation. In contrast, pravastatin, a non-CYP3A4-metabolized statin, did not influence the level of clopidogrel-induced platelet inhibition in patients undergoing successful PCI. Although interesting, the Lau et al. (50) results have been questioned because a nonstandard platelet function assay, the point-of-care MICROS cell counter (ABX Diagnostics, Irvine, California) and the Plateletworks test platform (Helena Laboratories, Beaumont, Texas), were used.

Others confirmed the interaction, involving not only atorvastatin but simvastatin as well (51). They also revealed that the magnitude of the interaction on clopidogrelinduced platelet inhibition decreased over time (29% reduction in platelet inhibition with combination therapy 5 h after the clopidogrel loading vs. 16% by 48 h).

Since then, other investigators have refuted the relevancy of this interaction (52–58). Retrospective analyses of data from the Plavix Reduction of New Thrombus Occurrence (PRONTO) trial, the Clopidogrel for the Reduction of Events During Observation (CREDO), and the Maximal Individual Therapy of Acute Myocardial Infarction PLUS (MITRA PLUS) registry did not find that concomitant use of statins compromised the antiplatelet effect of clopidogrel assessed both by platelet function and clinical end points (52–54). Due to their retrospective post-hoc designs, statin administration in these trials was not randomized, and the doses tested were not known (52–54).

Four prospective studies, of which three were randomized, also evaluated the possible interaction between statins and clopidogrel (55–58). Two studies found that CYP3A4metabolized statins did not attenuate the antiplatelet effect of clopidogrel when given as a 600-mg loading dose (55,58). In another study, neither atorvastatin nor pravastatin significantly affected the clopidogrel-induced platelet inhibition after five weeks of concomitant treatment (56). However, statin doses used were low and not representative of the current practice (55,56). In the Interaction of Atorvastatin and Clopidogrel Study (INTERACTION), the investigators performed serial measurements of 19 platelet characteristics using conventional aggregometry, rapid analyzers, and flow cytometry to demonstrate that no significant differences in the antiplatelet effect were seen between coadministration of atorvastatin or other statins and clopidogrel 4 and 24 h after loading with clopidogrel (57).

The divergent results observed in these studies may be attributed to several factors: variability in the method used to assess platelet function, small sample size (50-58), comparisons of nonequivalent statin doses (55), pooling of simvastatin and lovastatin data against atorvastatin (54,57), lack of baseline assessment of platelet aggregation before statin, and clopidogrel administration (58). These methodological limitations thus affect the interpretability of the results.

In summary, although the interaction between CYP3A4metabolized statins and clopidogrel is possible, there is insufficient convincing data to judge the clinical consequences of this interaction at the present time. For now, clinicians should rely on landmark clinical trials that still promote the concomitant use of statins and clopidogrel in patients at high risk of coronary events (59).

Genetic implications. It is estimated that genetics can account for 20% to 95% of variability in drug disposition and efficacy (60).

**POLYMORPHISMS OF THE P2Y**<sub>12</sub> **RECEPTOR.** Until recently, all the mutations described for the P2Y<sub>12</sub> gene resulted in congenital bleeding disorders (18). Platelets of patients carrying these polymorphisms retain the capacity to change conformation when exposed to ADP, but undergo only a slight, rapidly reversible aggregation response. In most cases, the defects in the P2Y<sub>12</sub> gene is characterized by decreased ADP binding to platelets caused by one or two base pair deletions (each in a different location) that disrupt receptor synthesis (18). In one case, the ADP-mediated aggregation and repression of PGE<sub>1</sub>-stimulated cAMP levels by ADP is defective despite normal ADP binding to its P2Y<sub>12</sub> receptor due to two missense mutations located in regions that are important for the signal transduction through the P2Y<sub>12</sub> receptor (61).

Lately, Fontana et al. (62) found sequence variations in the  $P2Y_{12}$  gene in 98 healthy volunteers taking no medication in the previous 10 days that might explain the interindividual variability in ADP-induced platelet aggregation. Three single-nucleotide polymorphisms and a singlenucleotide insertion polymorphism in the  $P2Y_{12}$  gene were discovered. H1 was defined as the major haplotype (e.g., carrying none of the four polymorphisms) and H2 as the minor haplotype (e.g., carrying all four polymorphisms). Of the 98 subjects, 74 carried no H2 allele (H1/H1), 21 showed one H2 allele (H1/H2), and 3 had two H2 alleles (H2/H2), resulting in frequencies of 86% and 14% for haplotypes H1 and H2, respectively. The H2 haplotype was associated with higher maximal platelet aggregation in response to ADP 2  $\mu$ M, with median values of 34.7% in H1/H1 subjects, 67.9% in H1/H2 subjects, and 82.4% in H2/H2 subjects (p = 0.0071). The authors also showed that, among 184 patients with known peripheral artery disease, 30% of them had at least one H2 allele, compared with 21% of control subjects (odds ratio, 1.6; 95% confidence interval, 1.1 to 2.5; p = 0.02) (63). They did not mention whether patients with peripheral artery disease enrolled in the study were receiving clopidogrel or not. As stated by the authors, this small study is at risk for false-positive findings.

Thus, H2 haplotype carriers may have a greater predisposition to atherosclerotic diseases and may not respond as well to clopidogrel. It was hypothesized that an increase in the number of receptors on the platelet surface would be the most plausible explanation for the association between the H2 haplotype and the increased platelet responsiveness to ADP.

**CYP3AS POLYMORPHISMS.** Recently, Lau et al. (64) reiterated the contribution of CYP3A4 activity to the phenomenon of clopidogrel resistance. A significant inverse correlation was observed between platelet aggregation and CYP3A4 activity (r = -0.6; p = 0.003) as measured by the erythromycin breath test in healthy volunteers. The investigators also demonstrated that by enhancing the CYP3A4 activity with rifampin in 10 healthy volunteers, 3 initial nonresponders (platelet inhibition <10%) and one low responder (platelet inhibition between 10% to 29%) to clopidogrel exhibited enhanced platelet inhibition that then met the definition for a clopidogrel responder (platelet inhibition  $\geq$ 30%).

Because CYP3A4 and CYP3A5 have overlapping substrate specificity, it is difficult to segregate the relative contributions of the two enzymes to CYP3A-mediated metabolism (65); CYP3As expression and activity vary among individuals even in the absence of drug-mediated inhibition or induction. It is estimated that most of this variability is caused by individual genetic makeup (66). This interindividual variability in CYP3As function may have a profound effect in the efficacy of clopidogrel but has not been assessed in any study. Currently, more than 30 single-nucleotide polymorphisms of CYP3A4 have been identified (65). These coding variants may contribute to, but are not likely to be, the major cause of interindividual differences in CYP3A-dependent metabolism, because of the low allele frequencies and limited alterations in enzyme expression or catalytic function. So far, over 10 singlenucleotide polymorphisms have been identified in the CYP3A5 gene (67); CYP3A5\*3 is the most common and

functionally important variant across all ethnic population studied. This variant confers low CYP3A5 protein expression (67).

## CONCLUSIONS

Current available data show that about 4% to 30% of patients treated with conventional doses of clopidogrel do not display adequate antiplatelet response. Clopidogrel resistance is a term widely used but not clearly defined. So far, it has been used to reflect failure of clopidogrel to achieve its platelet inhibition effect. Preliminary results seem to indicate that low antiplatelet effect of clopidogrel may lead to higher risk of developing cardiovascular events. However, the optimal level of clopidogrel-induced platelet inhibition that will correlate quantitatively with clopidogrel's ability to prevent atherothrombotic events is still lacking.

The interpatient variability in clopidogrel response is multifactorial. It can be due to extrinsic or intrinsic mechanisms such as drug-drug interactions involving CYP3A4, or genetic polymorphisms of the  $P2Y_{12}$  receptor and CYP3As.

In the literature, the terms of *clopidogrel resistance*, *nonresponse*, and *low response to clopidogrel* are used synonymously, which may confuse readers. Because the response to clopidogrel has been mostly evaluated by platelet function tests, these terms can be considered as interchangeable as they reflect failure of clopidogrel to achieve its expected antiplatelet effect. For clarity, we propose using the term clopidogrel resistance.

Presently, it is impossible to predict which patient will be resistant to clopidogrel. Furthermore, because there is currently no single and validated platelet function assay to measure clopidogrel antiplatelet effect, it is not justified to routinely look for clopidogrel resistance in the clinical setting. Although, no proven therapy is currently available to overcome low responsiveness to clopidogrel, recent clinical data favor use of an increased loading dose of clopidogrel in patients undergoing PCI. Factors that may modulate individual response to clopidogrel should be better evaluated in larger controlled clinical trials. This may also help tailor therapy with future antiplatelet alternatives to aspirin and clopidogrel.

Reprint requests and correspondence: Dr. Chantal Pharand, Research Centre, Hôpital du Sacré-Coeur de Montréal, 5400, Gouin Boulevard West, Montreal, Quebec, Canada H4J 1C5. E-mail: chantal.pharand@umontreal.ca.

#### REFERENCES

- 1. Selwyn AP. Prothrombotic and antithrombotic pathways in acute coronary syndromes. Am J Cardiol 2003;91:3H–11H.
- Mak KH, Belli G, Ellis SG, Moliterno DJ. Subacute stent thrombosis: evolving issues and current concepts. J Am Coll Cardiol 1996;27:494– 503.
- CAPRIE Steering Committee. A randomised, blinded, trial of clopidogrel versus aspirin in patients at risk of ischaemic events (CAPRIE). Lancet 1996;348:1329–39.

- Bhatt DL, Bertrand ME, Berger PB, et al. Meta-analysis of randomized and registry comparisons of ticlopidine with clopidogrel after stenting. J Am Coll Cardiol 2002;39:9–14.
- Yusuf S, Zhao F, Mehta SR, Chrolavicius S, Tognoni G, Fox KK. Effects of clopidogrel in addition to aspirin in patients with acute coronary syndromes without ST-segment elevation. N Engl J Med 2001;345:494–502.
- Macchi L, Christiaens L, Brabant S, et al. Resistance to aspirin in vitro is associated with increased platelet sensitivity to adenosine diphosphate. Thromb Res 2002;107:45–9.
- Jaremo P, Lindahl TL, Fransson SG, Richter A. Individual variations of platelet inhibition after loading doses of clopidogrel. J Intern Med 2002;252:233–8.
- Gurbel PA, Bliden KP, Hiatt BL, O'Connor CM. Clopidogrel for coronary stenting: response variability, drug resistance, and the effect of pretreatment platelet reactivity. Circulation 2003;107:2908–13.
- Gurbel PA, Bliden KP. Durability of platelet inhibition by clopidogrel. Am J Cardiol 2003;91:1123–5.
- Muller I, Besta F, Schulz C, Massberg S, Schonig A, Gawaz M. Prevalence of clopidogrel non-responders among patients with stable angina pectoris scheduled for elective coronary stent placement. Thromb Haemost 2003;89:783–7.
- Soffer D, Moussa I, Harjai KJ, et al. Impact of angina class on inhibition of platelet aggregation following clopidogrel loading in patients undergoing coronary intervention: do we need more aggressive dosing regimens in unstable angina? Catheter Cardiovasc Interv 2003;59:21-5.
- Woulfe D, Yang J, Brass L. ADP and platelets: the end of the beginning. J Clin Invest 2001;107:1503–5.
- Stein B, Fuster V, Israel DH, et al. Platelet inhibitor agents in cardiovascular disease: an update. J Am Coll Cardiol 1989;14:813–36.
- 14. Schafer AI. Antiplatelet therapy. Am J Med 1996;101:199-209.
- Kunapuli SP, Dorsam RT, Kim S, Quinton TM. Platelet purinergic receptors. Curr Opin Pharmacol 2003;3:175–80.
- Jin J, Daniel JL, Kunapuli SP. Molecular basis for ADP-induced platelet activation. II. The P2Y1 receptor mediates ADP-induced intracellular calcium mobilization and shape change in platelets. J Biol Chem 1998;273:2030–4.
- Geiger J, Brich J, Honig-Liedl P, et al. Specific impairment of human platelet P2Y(AC) ADP receptor-mediated signaling by the antiplatelet drug clopidogrel. Arterioscler Thromb Vasc Biol 1999;19:2007–11.
- Conley PB, Delaney SM. Scientific and therapeutic insights into the role of the platelet P2Y12 receptor in thrombosis. Curr Opin Hematol 2003;10:333–8.
- Dorsam RT, Kunapuli SP. Central role of the P2Y12 receptor in platelet activation. J Clin Invest 2004;113:340–5.
- Clarke TA, Waskell LA. The metabolism of clopidogrel is catalyzed by human cytochrome P450 3A and is inhibited by atorvastatin. Drug Metab Dispos 2003;31:53–9.
- Pereillo JM, Maftouh M, Andrieu A, et al. Structure and stereochemistry of the active metabolite of clopidogrel. Drug Metab Dispos 2002;30:1288–95.
- Ding Z, Kim S, Dorsam RT, Jin J, Kunapuli SP. Inactivation of the human P2Y12 receptor by thiol reagents requires interaction with both extracellular cysteine residues, Cys17 and Cys270. Blood 2003;101: 3908–14.
- Thebault JJ, Kieffer G, Lowe GD, Nimmo WS, Cariou R. Repeateddose pharmacodynamics of clopidogrel in healthy subjects. Semin Thromb Hemost 1999;25 Suppl 2:9–14.
- 24. Thebault JJ, Kieffer G, Cariou R. Single-dose pharmacodynamics of clopidogrel. Semin Thromb Hemost 1999;25 Suppl 2:3-8.
- Savcic M, Hauert J, Bachmann F, Wyld PJ, Geudelin B, Cariou R. Clopidogrel loading dose regimens: kinetic profile of pharmacodynamic response in healthy subjects. Semin Thromb Hemost 1999;25 Suppl 2:15–9.
- Quinn MJ, Bhatt DL, Zidar F, et al. Effect of clopidogrel pretreatment on inflammatory marker expression in patients undergoing percutaneous coronary intervention. Am J Cardiol 2004;93:679-84.
- Vivekananthan DP, Bhatt DL, Chew DP, et al. Effect of clopidogrel pretreatment on periprocedural rise in C-reactive protein after percutaneous coronary intervention. Am J Cardiol 2004;94:358–60.
- Xiao Z, Theroux P. Clopidogrel inhibits platelet-leukocyte interactions and thrombin receptor agonist peptide-induced platelet activa-

tion in patients with an acute coronary syndrome. J Am Coll Cardiol 2004;43:1982–8.

- 29. Wiviott SD, Antman EM. Clopidogrel resistance: a new chapter in a fast-moving story. Circulation 2004;109:3064-7.
- Mobley JE, Bresee SJ, Wortham DC, Craft RM, Snider CC, Carroll RC. Frequency of nonresponse antiplatelet activity of clopidogrel during pretreatment for cardiac catheterization. Am J Cardiol 2004; 93:456-8.
- Angiolillo DJ, Fernandez-Ortiz A, Bernardo E, et al. Platelet aggregation according to body mass index in patients undergoing coronary stenting: should clopidogrel loading-dose be weight adjusted? J Invasive Cardiol 2004;16:169–74.
- Serebruany VL, Steinhubl SR, Berger PB, Malinin AI, Bhatt DL, Topol EJ. Variability in platelet responsiveness to clopidogrel among 544 individuals. J Am Coll Cardiol 2005;45:246–51.
- Nicholson NS, Panzer-Knodle SG, Haas NF, et al. Assessment of platelet function assays. Am Heart J 1998;135:S170-8.
- Michelson AD. Platelet function testing in cardiovascular diseases. Circulation 2004;110:e489-93.
- Cattaneo M. Aspirin and clopidogrel: efficacy, safety, and the issue of drug resistance. Arterioscler Thromb Vasc Biol 2004;24:1980–7.
- Rand ML, Leung R, Packham MA. Platelet function assays. Transfus Apheresis Sci 2003;28:307–17.
- Seyfarth HJ, Koksch M, Roethig G, et al. Effect of 300- and 450-mg clopidogrel loading doses on membrane and soluble P-selectin in patients undergoing coronary stent implantation. Am Heart J 2002; 143:118–23.
- Muller I, Seyfarth M, Rudiger S, et al. Effect of a high loading dose of clopidogrel on platelet function in patients undergoing coronary stent placement. Heart 2001;85:92–3.
- Mills DC, Puri R, Hu CJ, et al. Clopidogrel inhibits the binding of ADP analogues to the receptor mediating inhibition of platelet adenylate cyclase. Arterioscler Thromb 1992;12:430-6.
- Makkar RÅ, Eigler NL, Kaul S, et al. Effects of clopidogrel, aspirin and combined therapy in a porcine ex vivo model of high-shear induced stent thrombosis. Eur Heart J 1998;19:1538–46.
- Lepantalo A, Virtanen KS, Heikkila J, Wartiovaara U, Lassila R. Limited early antiplatelet effect of 300 mg clopidogrel in patients with aspirin therapy undergoing percutaneous coronary interventions. Eur Heart J 2004;25:476–83.
- Samara WM, Bliden KP, Tantry US, Gurbel PA. The difference between clopidogrel responsiveness and posttreatment platelet reactivity. Thromb Res 2005;115:89–94.
- 43. Barragan P, Bouvier JL, Roquebert PO, et al. Resistance to thienopyridines: clinical detection of coronary stent thrombosis by monitoring of vasodilator-stimulated phosphoprotein phosphorylation. Catheter Cardiovasc Interv 2003;59:295–302.
- 44. Matetzky S, Shenkman B, Guetta V, et al. Clopidogrel resistance is associated with increased risk of recurrent atherothrombotic events in patients with acute myocardial infarction. Circulation 2004;109: 3171–5.
- 45. Boneu B, Destelle G. Platelet anti-aggregating activity and tolerance of clopidogrel in atherosclerotic patients. Thromb Haemost 1996;76: 939-43.
- Angiolillo DJ, Fernandez-Ortiz A, Bernardo E, et al. High clopidogrel loading dose during coronary stenting: effects on drug response and interindividual variability. Eur Heart J 2004;25:1903–10.
- Transon C, Leemann T, Dayer P. In vitro comparative inhibition profiles of major human drug metabolising cytochrome P450 isozymes (CYP2C9, CYP2D6 and CYP3A4) by HMG-CoA reductase inhibitors. Eur J Clin Pharmacol 1996;50:209–15.
- Williams D, Feely J. Pharmacokinetic-pharmacodynamic drug interactions with HMG-CoA reductase inhibitors. Clin Pharmacokinet 2002;41:343–70.

- Jacobsen W, Kuhn B, Soldner A, et al. Lactonization is the critical first step in the disposition of the 3-hydroxy-3-methylglutaryl-CoA reductase inhibitor atorvastatin. Drug Metab Dispos 2000;28:1369–78.
- Lau WC, Waskell LA, Watkins PB, et al. Atorvastatin reduces the ability of clopidogrel to inhibit platelet aggregation: a new drug-drug interaction. Circulation 2003;107:32–7.
- Neubauer H, Gunesdogan B, Hanefeld C, Spiecker M, Mugge A. Lipophilic statins interfere with the inhibitory effects of clopidogrel on platelet function—a flow cytometry study. Eur Heart J 2003;24: 1744–9.
- Serebruany VL, Malinin AI, Callahan KP, Gurbel PA, Steinhubl SR. Statins do not affect platelet inhibition with clopidogrel during coronary stenting. Atherosclerosis 2001;159:239–41.
- Saw J, Steinhubl SR, Berger PB, et al. Lack of adverse clopidogrelatorvastatin clinical interaction from secondary analysis of a randomized, placebo-controlled clopidogrel trial. Circulation 2003;108: 921–4.
- Wienbergen H, Gitt AK, Schiele R, et al. Comparison of clinical benefits of clopidogrel therapy in patients with acute coronary syndromes taking atorvastatin versus other statin therapies. Am J Cardiol 2003;92:285–8.
- Muller I, Besta F, Schulz C, Li Z, Massberg S, Gawaz M. Effects of statins on platelet inhibition by a high loading dose of clopidogrel. Circulation 2003;108:2195–7.
- 56. Mitsios JV, Papathanasiou AI, Rodis FI, Elisaf M, Goudevenos JA, Tselepis AD. Atorvastatin does not affect the antiplatelet potency of clopidogrel when it is administered concomitantly for 5 weeks in patients with acute coronary syndromes. Circulation 2004;109: 1335-8.
- Serebruany VL, Midei MG, Malinin AI, et al. Absence of interaction between atorvastatin or other statins and clopidogrel: results from the interaction study. Arch Intern Med 2004;164:2051–7.
- 58. Gorchakova O, von Beckerath N, Gawaz M, et al. Antiplatelet effects of a 600 mg loading dose of clopidogrel are not attenuated in patients receiving atorvastatin or simvastatin for at least 4 weeks prior to coronary artery stenting. Eur Heart J 2004;25:1898–902.
- Cannon CP, Braunwald E, McCabe CH, et al. Intensive versus moderate lipid lowering with statins after acute coronary syndromes. N Engl J Med 2004;350:1495–504.
- 60. Evans WE, McLeod HL. Pharmacogenomics—drug disposition, drug targets, and side effects. N Engl J Med 2003;348:538–49.
- Cattaneo M, Zighetti ML, Lombardi R, et al. Molecular bases of defective signal transduction in the platelet P2Y12 receptor of a patient with congenital bleeding. Proc Natl Acad Sci USA 2003; 100:1978-83.
- 62. Fontana P, Dupont A, Gandrille S, et al. Adenosine diphosphateinduced platelet aggregation is associated with P2Y12 gene sequence variations in healthy subjects. Circulation 2003;108:989–95.
- Fontana P, Gaussem P, Aiach M, Fiessinger JN, Emmerich J, Reny JL. P2Y12 H2 haplotype is associated with peripheral arterial disease: a case-control study. Circulation 2003;108:2971–3.
- Lau WC, Gurbel PA, Watkins PB, et al. Contribution of hepatic cytochrome P450 3A4 metabolic activity to the phenomenon of clopidogrel resistance. Circulation 2004;109:166–71.
- Lamba JK, Lin YS, Schuetz EG, Thummel KE. Genetic contribution to variable human CYP3A-mediated metabolism. Adv Drug Deliv Rev 2002;54:1271–94.
- Wojnowski L. Genetics of the variable expression of CYP3A in humans. Ther Drug Monit 2004;26:192–9.
- Xie HG, Wood AJ, Kim RB, Stein CM, Wilkinson GR. Genetic variability in CYP3A5 and its possible consequences. Pharmacogenomics 2004;5:243–72.