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# An epimorphic subgroup arising from Roberts' counterexample

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## Abstract

In 1994, based on Roberts' counterexample to Hilbert's fourteenth problem, A'Campo-Neuen constructed an example of a linear action of a 12-dimensional commutative unipotent group  $H_0$  on a 19-dimensional vector space  $V$  such that the algebra of invariants  $\mathbb{k}[V]^{H_0}$  is not finitely generated. We consider a certain extension  $H$  of  $H_0$  by a one-dimensional torus and prove that  $H$  is epimorphic in  $SL(V)$ . In particular, the homogeneous space  $SL(V)/H$  provides a new example of a homogeneous space with epimorphic stabilizer that admits no projective embeddings with small boundary.

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## 1. Introduction

We work over an algebraically closed field  $\mathbb{k}$  of characteristic zero. Throughout the paper all topological terms relate to the Zarisky topology, all groups are supposed to be algebraic and their subgroups closed.

Let  $G$  be a connected affine algebraic group and  $H$  a subgroup of it. We recall that a *projective embedding with small boundary* of the homogeneous space  $G/H$  is an open  $G$ -equivariant embedding  $\rho: G/H \hookrightarrow X$ , where  $X$  is an irreducible normal projective  $G$ -variety

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and  $\text{codim}_X(X \setminus \rho(G/H)) \geq 2$ . For a given homogeneous space  $G/H$ , the existence of such embedding implies that the algebra  $\mathbb{k}[G/H]$  of regular functions on  $G/H$  consists of constants, that is,  $\mathbb{k}[G/H] = \mathbb{k}$ . A subgroup  $H \subset G$  with  $\mathbb{k}[G/H] = \mathbb{k}$  is said to be *epimorphic*. Various characterizations, properties, and examples of epimorphic subgroups, as well as several conjectures and open problems concerning them, can be found in [3–5], and [7, Section 23 B].

It turns out that not every homogeneous space  $G/H$  with epimorphic  $H$  admits a projective embedding with small boundary. A criterion for this is given by [Theorem 1](#) below. To formulate this theorem, we need to recall some additional notions. A subgroup  $H \subset G$  is said to be *observable* if  $G/H$  is a quasi-affine variety. An observable subgroup  $H \subset G$  is said to be a *Grosshans subgroup* if the algebra  $\mathbb{k}[G/H]$  is finitely generated over  $\mathbb{k}$ .

**Theorem 1.** *Let  $H \subset G$  be an epimorphic subgroup. Then the following conditions are equivalent:*

- (a)  $G/H$  admits a projective embedding with small boundary;
- (b) there is a character  $\chi$  of  $H$  such that  $\text{Ker } \chi$  is a Grosshans subgroup in  $G$ .

A complete proof of this theorem can be found in [2, Theorem 1.1].

Under a certain assumption on  $H$ , a combinatorial classification of all projective embeddings with small boundary for a given homogeneous space  $G/H$  is obtained in [2, Section 3]. Another problem arising in connection with [Theorem 1](#) is to construct examples of epimorphic subgroups  $H \subset G$  such that the corresponding homogeneous spaces  $G/H$  admit no projective embeddings with small boundary. In view of condition (b) of [Theorem 1](#), such examples should be based on examples of observable subgroups  $H_0 \subset G$  such that the algebra  $\mathbb{k}[G/H_0]$  is not finitely generated over  $\mathbb{k}$ . In their turn, examples of this kind are provided by linear counterexamples to Hilbert's fourteenth problem.

We recall that, in general, Hilbert's fourteenth problem asks whether for a subfield  $L$  of the field  $K(x_1, \dots, x_n)$  of rational functions in  $n$  variables over a field  $K$  such that  $L \supset K$  the algebra  $L \cap K[x_1, \dots, x_n]$  is finitely generated over  $K$ . (Here  $K[x_1, \dots, x_n]$  is the algebra of polynomials in  $x_1, \dots, x_n$ .) An important special case of this problem asks whether the algebra  $K[x_1, \dots, x_n]^G$  of invariants of a linear action of a group  $G$  on an  $n$ -dimensional vector space is finitely generated over  $K$ . (This special case is obtained from the general one with  $L$  being the quotient field of  $K[x_1, \dots, x_n]^G$ .) Counterexamples to this special case of Hilbert's fourteenth problem are called linear counterexamples.

At this moment, few counterexamples to Hilbert's fourteenth problem are known. The first one, which turns out to be linear, was discovered by Nagata in 1958 [8]. In Nagata's example, a 13-dimensional unipotent group acts on a 32-dimensional vector space. Much later, in 1997, Nagata's counterexample was considerably simplified by Steinberg [11] whose counterexample is now known as Nagata–Steinberg's counterexample. In this example, the dimension of the subgroup is reduced to 6 and that of the vector space to 18. The second counterexample, which is not linear, was constructed in 1990 by Roberts [10] who used an approach completely different from that of Nagata. In 1994, based on Roberts' counterexample, A'Campo-Neuen [1] constructed a linear counterexample involving an action of a 12-dimensional unipotent group on a 19-dimensional vector space. Subsequently, this counterexample was followed by a series of linear counterexamples (see, for instance, [6] and references therein). We note that among linear counterexamples to Hilbert's fourteenth problem the key role is played by counterexamples involving linear actions of unipotent groups.

A natural way of obtaining examples of homogeneous spaces with epimorphic stabilizer that admit no projective embeddings with small boundary is as follows. First, one takes a linear

counterexample to Hilbert’s fourteenth problem involving an action of a unipotent group  $H_0$  on a vector space  $V$  over  $\mathbb{k}$ . Second, one fixes a connected reductive group  $G \subset GL(V)$  containing  $H_0$ . Third, one chooses an appropriate one-dimensional torus  $S \subset G$  normalizing  $H_0$  such that the subgroup  $H = SH_0$  is epimorphic in  $G$ . In this situation, the homogeneous space  $G/H$  admits no projective embeddings with small boundary; see [Proposition 1](#) in [Section 2](#).

The first example of a homogeneous space with epimorphic stabilizer that admits no projective embeddings with small boundary was mentioned in [\[4, 7\(b\)\]](#). In this example,  $G = SL_2 \times \dots \times SL_2$  (16 copies) and  $H$  is obtained by extending the group in Nagata’s counterexample by a one-dimensional torus. An analogous example based on Nagata–Steinberg’s counterexample was considered (with complete proofs) in [\[2, Section 2\]](#). In the present paper, we construct a new example of that kind based on A’Campo-Neuen’s counterexample. The precise formulations and construction are given in [Section 2](#).

In connection with these results the following question may be of interest.

**Question.** *Let a unipotent group  $H_0$  act linearly on a finite-dimensional vector space  $V$  and let  $G$  be a connected reductive subgroup of  $GL(V)$  containing  $H_0$ . Suppose that the algebra  $\mathbb{k}[V]^{H_0}$  is not finitely generated over  $\mathbb{k}$ . Is there a one-dimensional torus  $S \subset G$  normalizing  $H_0$  such that the group  $H = SH_0$  is epimorphic in  $G$ ?*

## 2. Construction of the subgroup

We put  $G = SL_{19}$  and denote by  $V$  the space of the tautological representation of  $G$ . We fix a basis  $e_1, e_2, \dots, e_{19}$  in  $V$ . Further on, for any element of  $G$ , its matrix is considered with respect to this basis.

Let  $\mathbb{G}_a$  be the additive group of  $\mathbb{k}$ .

We consider a subgroup  $H_0 \simeq (\mathbb{G}_a)^{12}$  embedded in  $G$  as follows:

$$\bar{\mu} = (\mu_0, \mu_1, \dots, \mu_{11}) \mapsto h(\bar{\mu}) = \begin{pmatrix} E_4 & 0 \\ M(\bar{\mu}) & E_{15} \end{pmatrix},$$

where  $E_4, E_{15}$  are the identity matrices of order 4, 15, respectively, and

$$M(\bar{\mu}) = \begin{pmatrix} \mu_1 & \mu_0 & 0 & 0 \\ \mu_2 & \mu_1 & 0 & 0 \\ 0 & \mu_2 & 0 & 0 \\ \mu_3 & 0 & \mu_0 & 0 \\ \mu_4 & 0 & \mu_3 & 0 \\ 0 & 0 & \mu_4 & 0 \\ \mu_5 & 0 & 0 & \mu_0 \\ \mu_6 & 0 & 0 & \mu_5 \\ 0 & 0 & 0 & \mu_6 \\ \mu_7 & \mu_0 & 0 & 0 \\ \mu_8 & \mu_7 & 0 & 0 \\ \mu_9 & 0 & \mu_8 & 0 \\ \mu_{10} & 0 & \mu_9 & 0 \\ \mu_{11} & 0 & 0 & \mu_{10} \\ 0 & 0 & 0 & \mu_{11} \end{pmatrix}. \tag{1}$$

The result of A’Campo-Neuen is as follows.

**Theorem 2** ([1]). *The algebra  $\mathbb{k}[V]^{H_0}$  is not finitely generated over  $\mathbb{k}$ .*

**Remark 1.** In [1] this theorem is proved for any ground field of characteristic zero.

To construct our example, we consider the one-dimensional torus

$$S = \{ \text{diag}(s^{15}, s^{15}, s^{15}, s^{15}, \underbrace{s^{-4}, \dots, s^{-4}}_{15}) \mid s \in \mathbb{k}^\times \} \subset G, \tag{2}$$

where  $\mathbb{k}^\times = \mathbb{k} \setminus \{0\}$  is the multiplicative group of  $\mathbb{k}$ . Clearly,  $S$  normalizes  $H_0$ . We now put  $H = SH_0$ . The main result of this paper is given by the following theorem.

**Theorem 3.** *The subgroup  $H$  is epimorphic in  $G$ .*

This theorem will be proved in Section 3.

**Corollary 1.** *The homogeneous space  $G/H$  admits no projective embeddings with small boundary.*

This corollary follows from [Theorem 3](#) and the following proposition.

**Proposition 1.** *Suppose we are given a linear action of a unipotent group  $H_0$  on a vector space  $V$  over  $\mathbb{k}$  such that the algebra  $\mathbb{k}[V]^{H_0}$  is not finitely generated. Suppose that a connected reductive subgroup  $G \subset \text{GL}(V)$  containing  $H_0$  is fixed and there is a one-dimensional torus  $S \subset G$  normalizing  $H_0$  such that the group  $H = SH_0$  is epimorphic in  $G$ . Then the homogeneous space  $G/H$  admits no projective embeddings with small boundary.*

**Proof.** It suffices to show that condition (b) of [Theorem 1](#) does not hold. This is done by the same argument as in [2, Lemma 2.2].  $\square$

### 3. Proof of [Theorem 3](#)

Before we prove [Theorem 3](#), let us fix some notation.

We recall that  $G = \text{SL}_{19}$ . Let  $B$  (resp.  $U$ ,  $T$ ) be the Borel subgroup (resp. the maximal unipotent subgroup, the maximal torus) in  $G$  consisting of all upper triangular (resp. upper unitriangular, diagonal) matrices contained in  $G$ . Let  $N_G(T)$  denote the normalizer of  $T$  in  $G$ , which consists of all monomial matrices contained in  $G$ . We denote by  $\mathfrak{X}(B)$  the weight lattice of  $B$ . The semigroup of dominant weights of  $B$  is denoted by  $\mathfrak{X}_+(B)$ ,  $\mathfrak{X}_+(B) \subset \mathfrak{X}(B)$ . For  $i = 1, 2, \dots, 18$  we denote by  $\pi_i$  the  $i$ -th fundamental weight of  $B$ , which takes every upper triangular matrix to the product of its first  $i$  diagonal entries.

The simple  $G$ -module with highest weight  $\lambda \in \mathfrak{X}_+(B)$  is denoted by  $V(\lambda)$ , and its highest weight vector with respect to  $B$  is denoted by  $v_\lambda$ . Let  $P_\lambda \subset G$  be the subgroup that stabilizes the line  $\langle v_\lambda \rangle \subset V(\lambda)$ . This subgroup is a parabolic subgroup containing the Borel subgroup  $B$ . We identify the weight lattice  $\mathfrak{X}(P_\lambda)$  of  $P_\lambda$  with a sublattice of  $\mathfrak{X}(B)$  by means of the natural embedding  $B \hookrightarrow P_\lambda$ .

Every dominant weight  $\lambda$  of  $B$  has the form  $\lambda = a_1\pi_1 + a_2\pi_2 + \dots + a_{18}\pi_{18}$  for some non-negative integers  $a_1, a_2, \dots, a_{18}$ . If  $a_i > 0$  for some  $i \in \{1, 2, \dots, 18\}$  then  $P_\lambda$  stabilizes the line  $\langle v_{\pi_i} \rangle \subset V(\pi_i)$ . At that,  $P_\lambda$  acts on  $v_{\pi_i}$  by multiplication by the weight  $\pi_i$ . This weight takes every matrix  $A \in P_\lambda$  to the minor corresponding to the first  $i$  and last  $i$  rows and columns of  $A$ . (The lower left  $(19 - i) \times i$  block of  $A$  consists of zero entries.)

In this section, we identify elements  $s \in \mathbb{k}^\times$  and their images in  $S$ , see (2).

We now proceed to prove [Theorem 3](#). By [7, Lemma 23.5] it suffices to show that there are no proper observable subgroups of  $G$  containing  $H$ . In view of [7, Lemma 7.7] the proof will be completed if we check the following two conditions:

- (1) for every non-trivial simple  $G$ -module  $\tilde{V}(\lambda)$  and every Borel subgroup  $\tilde{B} \subset G$  the highest weight vector of  $\tilde{V}(\lambda)$  with respect to  $\tilde{B}$  is not invariant under  $H$ ;
- (2) there are no proper reductive subgroups of  $G$  containing  $H$ .

Condition (1) follows from [Lemma 1](#). Condition (2) will be checked using [Lemma 2](#). We now turn to formulate and prove the lemmas.

**Lemma 1.** *Let  $\tilde{B} \subset G$  be an arbitrary Borel subgroup and  $V(\lambda)$ ,  $\lambda \neq 0$ , an arbitrary simple  $G$ -module with highest weight vector  $\tilde{v}_\lambda$  with respect to  $\tilde{B}$ . Then there is an element  $h \in H$  such that  $h \cdot \tilde{v}_\lambda \neq \tilde{v}_\lambda$ .*

**Proof.** Assume that  $h \cdot \tilde{v}_\lambda = \tilde{v}_\lambda$  for all  $h \in H$ . Since  $\lambda \neq 0$ , we have  $\lambda = a_1\pi_{i_1} + a_2\pi_{i_2} + \dots + a_m\pi_{i_m}$ , where  $1 \leq m \leq 18$ ,  $1 \leq i_1 < i_2 < \dots < i_m \leq 18$ , and  $a_i > 0$  for all  $i = 1, \dots, m$ . The subsequent argument is divided into several steps.

*Step 1.* Since all Borel subgroups in  $G$  are conjugated, there exists an element  $g_0 \in G$  such that  $\tilde{B} = g_0 B g_0^{-1}$ . Then  $\tilde{v}_\lambda = \alpha g_0 \cdot v_\lambda$  for some  $\alpha \neq 0$ , whence

$$g_0^{-1} h g_0 \cdot v_\lambda = v_\lambda \tag{3}$$

for all  $h \in H$ . Consider the Bruhat decomposition of  $g_0$ :

$$g_0 = u\sigma b, \tag{4}$$

where  $u \in U$ ,  $\sigma \in N_G(T)$ ,  $b \in B$  are some fixed elements. We may assume that  $\sigma = \varepsilon\sigma_0$ , where  $\sigma_0$  is a permutation matrix,  $\varepsilon = 1$  for  $\det \sigma_0 = 1$ , and  $\varepsilon = e^{\pi\sqrt{-1}/19}$  for  $\det \sigma_0 = -1$ . We now substitute expression (4) for  $g_0$  in (3). Since  $b$  multiplies  $v_\lambda$  by a scalar, we have

$$\sigma^{-1} u^{-1} h u \sigma \cdot v_\lambda = v_\lambda \tag{5}$$

for all  $h \in H$ . Let  $\tau: G \rightarrow G$  be the map given by the formula  $\tau(g) = \sigma^{-1} u^{-1} g u \sigma$ . Taking into account (5) we obtain  $\tau(H) \subset P_\lambda$ .

*Step 2.* Let  $\nu$  be the permutation of the set  $\{1, 2, \dots, 19\}$  that corresponds to  $\sigma$ . Then  $\sigma(e_j) = \varepsilon e_{\nu(j)}$  for  $j = 1, \dots, 19$ . For each pair of matrices  $g = (g_{ij}) \in G$ ,  $\bar{g} = \sigma^{-1} g \sigma$  we have  $\bar{g}_{ij} = g_{\nu(i), \nu(j)}$  for  $i, j = \{1, \dots, 19\}$ . In particular,  $\bar{g}_{jj} = g_{\nu(j), \nu(j)}$  for  $j = 1, \dots, 19$ . We note that under the map  $g \mapsto \bar{g}$  entries of  $g$  lying in the same row (resp. column) are transformed into elements of  $\bar{g}$  that also lie in the same row (resp. column).

*Step 3.* Suppose  $s \in S$ . Then  $u^{-1} s u$  is an upper triangular matrix whose diagonal entries are  $s^{15}$ ,  $s^{15}$ ,  $s^{15}$ ,  $s^{15}$ ,  $s^{-4}$ ,  $\dots$ ,  $s^{-4}$ . Further, the diagonal entries of the matrix  $\tau(s) = \sigma^{-1} u^{-1} s u \sigma$  are again  $s^{15}$ ,  $s^{15}$ ,  $s^{15}$ ,  $s^{15}$ ,  $s^{-4}$ ,  $\dots$ ,  $s^{-4}$ , perhaps in another order. At that, for every  $i = 1, \dots, 18$  the determinant of the upper left  $i \times i$  block of  $\tau(s)$  is equal to the product of all diagonal entries of this block. Therefore, for every  $j = 1, \dots, m$  we have  $\pi_{i_j}(\tau(s)) = s^{b_j}$  for some  $b_j \in \mathbb{Z}$ . Moreover,  $b_j = 15k_j - 4l_j$ , where

$$k_j = \#\{k \in \{1, 2, \dots, i_j\} \mid \nu(k) \in \{1, 2, 3, 4\}\}$$

and

$$l_j = \#\{k \in \{1, 2, \dots, i_j\} \mid \nu(k) \notin \{1, 2, 3, 4\}\}.$$

Clearly,  $0 \leq k_j \leq 4$ ,  $0 \leq l_j \leq 15$ , and  $k_j + l_j = i_j$ . The last equality implies that  $(k_j, l_j) \notin \{(0, 0), (4, 15)\}$ , whence  $b_j \neq 0$  for all  $j = 1, \dots, m$ . Further, the condition  $\tau(s) \cdot v_\lambda = v_\lambda$  implies that  $\lambda(\tau(s)) = 1$  for all  $s \in S$  and  $a_1b_1 + a_2b_2 + \dots + a_mb_m = 0$ . We conclude that there exists  $j_0 \in \{1, \dots, m\}$  with  $b_{j_0} > 0$ . Put  $i^* = i_{j_0}$ ,  $k^* = k_{j_0}$ , and  $l^* = l_{j_0}$ . Since  $b_{j_0} > 0$ , we have  $l^* < 15k^*/4$ , in particular,  $k^* > 0$ . Obviously, for every matrix in  $\tau(H)$  its lower left  $(19 - i^*) \times i^*$  block consists of zero entries.

*Step 4.* Suppose that  $u = \begin{pmatrix} P & R \\ 0 & Q \end{pmatrix}$  and  $u^{-1} = \begin{pmatrix} P^{-1} & R' \\ 0 & Q^{-1} \end{pmatrix}$ , where  $P$  and  $Q$  are upper unitriangular matrices of order 4 and 15, respectively,  $R$  and  $R'$  are  $4 \times 15$  matrices,  $R' = -P^{-1}RQ^{-1}$ . Let  $h = h(\bar{\mu}) \in H_0$  be an arbitrary element. Recall that  $h = \begin{pmatrix} E_4 & 0 \\ M(\bar{\mu}) & E_{15} \end{pmatrix}$  for some  $\bar{\mu} \in \mathbb{k}^{12}$ , where  $M(\bar{\mu})$  is the matrix in (1). Then

$$u^{-1}hu = \begin{pmatrix} E_4 + R'M(\bar{\mu})P & P^{-1}R + R'M(\bar{\mu})R + R'Q \\ Q^{-1}M(\bar{\mu})P & E_{15} + Q^{-1}M(\bar{\mu})R \end{pmatrix}.$$

We consider the  $15 \times 4$  matrix  $D = D(h) = Q^{-1}M(\bar{\mu})P$ . Note that for every entry  $d_{pq}$  of  $D$  we have  $d_{pq} = m_{pq} + \sum c_{ij}m_{ij}$  where the sum is taken over all pairs  $(i, j)$  with  $i \geq p$ ,  $j \leq q$ , and  $(i, j) \neq (p, q)$ , the coefficients  $c_{ij}$  being uniquely determined by the matrix  $u$ . Now, using the latter observation and the explicit form (1) of the matrix  $M(\bar{\mu})$ , we can successively choose elements  $\mu_{11}, \mu_{10}, \mu_9, \mu_8, \mu_7, \mu_0, \mu_6, \mu_5, \mu_4, \mu_3, \mu_2, \mu_1 \in \mathbb{k}$  in such a way that, for the corresponding element  $h_0 \in H_0$ , the submatrix  $D(h_0)$  of  $u^{-1}h_0u$  has the form

$$D(h_0) = \begin{pmatrix} * & \diamond & \diamond & \diamond \\ * & * & \diamond & \diamond \\ \diamond & * & \diamond & \diamond \\ * & \diamond & \diamond & \diamond \\ * & \diamond & * & \diamond \\ \diamond & \diamond & * & \diamond \\ * & \diamond & \diamond & \diamond \\ * & \diamond & \diamond & * \\ \diamond & \diamond & \diamond & * \\ * & * & \diamond & \diamond \\ * & * & \diamond & \diamond \\ * & \diamond & * & \diamond \\ * & \diamond & * & \diamond \\ * & \diamond & \diamond & * \\ \diamond & \diamond & \diamond & * \end{pmatrix}, \tag{6}$$

where the asterisks stand for non-zero entries and the diamonds stand for the entries that are irrelevant for us.

*Step 5.* We now turn to the element  $h_0 \in H_0$  and the corresponding matrix  $D(h_0)$  found at the previous step. For  $n = 1, 2, 3, 4$  we define numbers  $Z(n)$  as follows. We consider all  $15 \times n$  submatrices of  $D(h_0)$ . For each of them, we count the number of non-zero rows. At last, we put  $Z(n)$  to be the minimal among the obtained values. Using the explicit form (6) of  $D(h_0)$ , we find that  $Z(1) \geq 4$ ,  $Z(2) \geq 8$ ,  $Z(3) \geq 12$ ,  $Z(4) = 15$ .

*Step 6.* For  $j = 1, \dots, k^*$  we define (pairwise distinct) numbers  $n_1, \dots, n_{k^*} \in \{1, \dots, i^*\}$  by the condition  $v(n_j) = j$ . The column  $j$  of the matrix  $u^{-1}h_0u$  is obtained by applying the permutation  $v$  to the column  $n_j$  of the matrix  $\tau(h_0) = \sigma^{-1}(u^{-1}h_0u)\sigma$  ( $j = 1, \dots, k^*$ ). Therefore, none of

the elements of  $D(h_0)$  is such that its image under the transformation  $u^{-1}h_0u \mapsto \sigma^{-1}(u^{-1}h_0u)\sigma$  is contained in one of the rows  $n_1, \dots, n_{k^*}$ . Since the lower left  $(19 - i^*) \times i^*$  block of  $\tau(h_0)$  is zero (Step 3), it follows that there is a  $15 \times k^*$  submatrix of  $D(h_0)$  whose number of non-zero rows is at most  $i^* - k^* = l^* < 15k^*/4$ .

Step 7. Comparing the results of the previous step with the definition of the numbers  $Z(n)$  (Step 5) we get the following inequality:

$$Z(k^*) < 15k^*/4. \tag{7}$$

Making use of the estimations of  $Z(n)$  obtained at Step 5, we find that none of the possible values  $k^* = 1, 2, 3, 4$  satisfies (7). This contradiction completes the proof of the lemma.  $\square$

Thus condition (1) is checked.

**Lemma 2.** *Suppose that  $F \subset G$  is a reductive subgroup containing  $H$ . Then  $F$  acts irreducibly on  $V$ .*

**Proof.** Since  $F$  is reductive, its action on  $V$  is completely reducible. Therefore it suffices to show that  $V$  contains no proper subspaces invariant under  $F$ .

Let  $V_1 \subset V$  be the subspace spanned by the vectors  $e_1, e_2, e_3, e_4$  and  $V_2 \subset V$  be the subspace spanned by the vectors  $e_5, e_6, \dots, e_{19}$ . Clearly,  $V = V_1 \oplus V_2$ ,  $\dim V_1 = 4$ , and  $\dim V_2 = 15$ . We note that both of the subspaces  $V_1, V_2$  are invariant under the action of  $S$ .

Suppose that  $W \subset V$  is a subspace invariant under  $F$  and choose an arbitrary vector  $w \in W$ . Then  $w = v_1 + v_2$  for some vectors  $v_1 \in V_1$  and  $v_2 \in V_2$ . Acting on  $w$  by the element  $(\sqrt{-1}, \sqrt{-1}, \sqrt{-1}, \sqrt{-1}, 1, 1, \dots, 1) \in S \subset H \subset F$ , we obtain the vector  $w' = \sqrt{-1}v_1 + v_2$  that also lies in  $W$ . It follows that both vectors  $v_1, v_2$  lie in  $W$ . Therefore  $W$  is the direct sum of its projections  $W_1$  and  $W_2$  to the subspaces  $V_1$  and  $V_2$ , respectively. Clearly,  $W_1 = W \cap V_1$  and  $W_2 = W \cap V_2$ .

Let  $w \in W_1$  be an arbitrary element. Then for every  $h \in H_0$  we have  $h \cdot w = w + v(w, h)$ , where  $v(w, h) \in W_2$ . Regard the set  $H_0$  as a vector space. We define the map  $\varphi_w : H_0 \rightarrow W_2$  by  $\varphi_w(h) = v(w, h)$ . In other words, for  $w = a_1e_1 + a_2e_2 + a_3e_3 + a_4e_4$  and  $\bar{\mu} \in \mathbb{k}^{12}$  we have

$$\varphi_w(h(\bar{\mu})) = M(\bar{\mu}) \begin{pmatrix} a_1 \\ a_2 \\ a_3 \\ a_4 \end{pmatrix}. \tag{8}$$

Evidently,  $\varphi_w$  is a linear map, therefore its image is a subspace in  $W_2$ . Besides,

$$\dim \text{Ker } \varphi_w + \dim \text{Im } \varphi_w = \dim H_0 = 12. \tag{9}$$

To find  $\dim \text{Ker } \varphi_w$  (and thereby  $\dim \text{Im } \varphi_w$ ), it is sufficient to solve the linear system  $\varphi_w(h(\bar{\mu})) = 0$  in variables  $\bar{\mu}$ . It is not hard to see that the dimension of the solution space of this system depends only on the arrangement of non-zero coordinates of  $w$ . The values of  $\dim \text{Im } \varphi_w$  for different types of  $w \in V_1$  are presented in Table 1. In the first row of Table 1  $w$ 's are written as column vectors, the asterisk denotes a non-zero coordinate.

We now assume that  $V = W \oplus W'$  for some proper subspaces  $W, W' \subset V$  invariant under  $F$ . Put  $W_1 = W \cap V_1, W_2 = W \cap V_2, W'_1 = W' \cap V_1$ , and  $W'_2 = W' \cap V_2$ . It follows from the above that  $V_1 = W_1 \oplus W'_1$  and  $V_2 = W_2 \oplus W'_2$ . Without loss of generality we may assume that  $\dim W_1 \geq \dim W'_1$ . Further we consider three possible cases.

Table 1

The values of  $\dim \operatorname{Im} \varphi_w$  for different types of  $w \in V_1$ .

	0	*	0	0	0	*	*	*	0	0	0	*	*	*	0	*
$w$	0	0	*	0	0	*	0	0	*	*	0	*	*	0	*	*
	0	0	0	*	0	0	*	0	*	0	*	*	0	*	*	*
	0	0	0	0	*	0	0	*	0	*	*	0	*	*	*	*
$\dim \operatorname{Im} \varphi_w$	0	11	4	5	5	12	12	12	8	8	9	12	12	12	12	12

*Case 1.*  $\dim W_1 = 2, \dim W'_1 = 2$ . Then there are two vectors  $w_1 \in W_1, w'_1 \in W'_1$  such that each of them has at least two non-zero coordinates. From Table 1 we find that  $\dim \operatorname{Im} \varphi_{w_1} \geq 8$  and  $\dim \operatorname{Im} \varphi_{w'_1} \geq 8$ . On the other hand,  $\operatorname{Im} \varphi_{w_1}, \operatorname{Im} \varphi_{w'_1} \subset V_2$  and  $\dim V_2 = 15$ , whence the space  $\operatorname{Im} \varphi_{w_1} \cap \operatorname{Im} \varphi_{w'_1}$  has positive dimension. This is impossible because  $\operatorname{Im} \varphi_{w_1} \subset W_2, \operatorname{Im} \varphi_{w'_1} \subset W'_2$ , and  $W_2 \cap W'_2 = \{0\}$ .

*Case 2.*  $\dim W_1 = 3, \dim W'_1 = 1$ . Then there are a vector  $w_1 \in W_1$  with at least three non-zero coordinates and a non-zero vector  $w'_1 \in W'_1$ . From Table 1 we find that  $\dim \operatorname{Im} \varphi_{w_1} \geq 12$  and  $\dim \operatorname{Im} \varphi_{w'_1} \geq 4$ . By the same reason as in Case 1, the space  $\operatorname{Im} \varphi_{w_1} \cap \operatorname{Im} \varphi_{w'_1}$  has positive dimension, a contradiction.

*Case 3.*  $\dim W_1 = 4, \dim W'_1 = 0$ . It is easy to see that the space  $\operatorname{Im} \varphi_{e_1}$  contains the vectors  $e_5, e_6, e_8, e_9, e_{11}, e_{12}, e_{14}, e_{15}, e_{16}, e_{17}, e_{18}$ , the space  $\operatorname{Im} \varphi_{e_2}$  contains the vector  $e_7$ , the space  $\operatorname{Im} \varphi_{e_3}$  contains the vector  $e_{10}$ , and the space  $\operatorname{Im} \varphi_{e_4}$  contains the vectors  $e_{13}, e_{19}$ . Thus all the basis vectors of  $V$  lie in  $W$ , whence  $W = V$  and  $W' = 0$ , a contradiction.

In all the cases we have come to a contradiction, so the proof of the lemma is completed.  $\square$

We now show that a reductive subgroup  $F \subset G$  containing  $H$  coincides with  $G$ . First, we note that there are no non-trivial bilinear forms on  $V$  preserved by  $F$  because this holds even for  $S$ . Next, by Lemma 2 the  $F$ -module  $V$  is simple. Therefore the center of  $F$  is finite and  $F$  is semisimple. Moreover,  $F$  is simple since otherwise the dimension of  $V$  would be a composite number, which is not the case (we have  $\dim V = 19$ ). Obviously, the rank of  $F$  is at least two. Further,  $F$  contains the unipotent subgroup  $H_0$  of dimension 12, whence  $\dim F \geq 2 + 2 \cdot 12 = 26$ .

Assume that  $F \neq G$ . Since there are no non-trivial bilinear forms on  $V$  preserved by  $F$ , it follows that  $F$  can only be of type  $\operatorname{SL}_k, \operatorname{Spin}_{4l+2}$ , or  $E_6$  (see [9, Section 4.3]). Further we consider these three cases separately. (In all the cases below, our arguments rely on well-known facts from representation theory of semisimple algebraic groups.)

- (1)  $F$  is of type  $\operatorname{SL}_k$ . Clearly,  $k \leq 18$ . Since  $\dim F \geq 26$ , we have  $k \geq 6$ . Every simple  $\operatorname{SL}_k$ -module  $W$  with  $\dim W > k + 1$  has actually dimension at least  $k(k - 1)/2$ , which is more than 19 for  $k \geq 7$ . It remains to consider the case  $k = 6$ . Every simple  $\operatorname{SL}_6$ -module  $W$  with  $\dim W > 15$  has actually dimension at least 20.
- (2)  $F$  is of type  $\operatorname{Spin}_{4l+2}$ . Clearly,  $l \leq 4$ . Since  $\dim F \geq 26$ , we have  $l \geq 2$ . Every simple  $\operatorname{Spin}_{18}$ -module  $W$  with  $\dim W > 18$  has actually dimension at least 153. Every simple  $\operatorname{Spin}_{14}$ -module  $W$  with  $\dim W > 14$  has actually dimension at least 64. Every simple  $\operatorname{Spin}_{10}$ -module  $W$  with  $\dim W > 16$  has actually dimension at least 45.
- (3)  $F$  is of type  $E_6$ . Every non-trivial simple  $E_6$ -module has dimension at least 27.

In all the cases we have obtained that  $V$  is not a simple  $F$ -module. This contradiction implies that  $F = G = \operatorname{SL}_{19}$ .

Thus, we have checked condition (2). Theorem 3 is proved.



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