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Editor's comment: It is widely believed that genetic mechanisms leading to increased brain levels of α -synuclein (SNCA) in the brain are associated with an increased risk of developing Parkinson's disease (PD). In this article, Markopoulou and colleagues report on their genetic evaluation of over 1000 well characterized PD patients with results that challenge the notion that increased expression of SNCA is uniformly harmful. Surprisingly, they find that the same genotypes of the SNCA-promoting gene REP-1 that are known to be associated with increased levels of SNCA and an increased risk of developing PD are also associated with better motor and cognitive outcomes in patients in whom the clinical symptoms of the disorder are already present. These findings suggest that α -synuclein's role in the pathogenesis of PD may be more complex than once thought. From a practical perspective these results may introduce important new considerations in our quest to develop effective neuroprotective therapies for patients in different clinical stages of this disorder.

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Does α -synuclein have a dual and opposing effect in preclinical vs. clinical Parkinson's disease?



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ABSTRACT

α-Synuclein gene (SNCA) multiplications cause familial parkinsonism and allele-length polymorphisms within the SNCA dinucleotide repeat REP1 increase the risk for developing Parkinson's disease (PD). Since SNCA multiplications increase SNCA expression, and REP1 genotypes that increase the risk of developing PD show increased SNCA expression in cell-culture systems, animal models, and human blood and brain, PD therapies seek to reduce SNCA expression. We conducted an observational study of 1098 PD cases to test the hypothesis that REP1 genotypes correlated with reduced SNCA expression are associated with better motor and cognitive outcomes. We evaluated the association of REP1 genotypes with survival free of Hoehn and Yahr stages 4 or 5 (motor outcome) and of Modified Telephone Interview for Cognitive Status score <27 or Alzheimer's Disease Dementia Screening Interview score >2 (cognitive outcome). Median disease duration at baseline was 3.3 years and median lag time from baseline to follow-up was 7.8 years. Paradoxically, REP1 genotypes associated with increased risk of developing PD and increased SNCA expression were associated with better motor (HR = 0.87, p = 0.046, covariate-adjusted age-scale analysis; HR = 0.85, p = 0.020, covariate-adjusted time-scale analysis) and cognitive outcomes (HR = 0.90, p = 0.12, covariate-adjusted age-scale analysis; HR = 0.85, p = 0.023, covariate-adjusted timescale analysis). Our findings raise the possibility that SNCA has a dual, opposing, and time-dependent role. This may have implications for the development of therapies that target SNCA expression.

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Abbreviations: AD-8, Alzheimer's Disease Dementia Screening Interview; bp, base pair; H&Y, Hoehn and Yahr; HR, hazard ratio; LD, linkage disequilibrium; MEPD, Molecular Epidemiology of Parkinson's Disease; MMSE, Mini Mental State Examination; PD, Parkinson's disease; SNCA, α-synuclein gene; SNP, single-nucleotide polymorphism; TICS-M, Modified Telephone Interview for Cognitive Status; UPDRS, Unified Parkinson's Disease Rating Scale.

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1. Introduction

 α -Synuclein gene (*SNCA*) multiplications cause familial parkinsonism via an overexpression mechanism [1]. Parkinson's disease (PD) susceptibility is influenced by allele-length polymorphisms in the mixed dinucleotide repeat REP1 (D4S3481, \sim 10 kb upstream of the *SNCA* transcription start site) [2], which are correlated with altered *SNCA* expression in cell cultures [3], transgenic mouse brain [4], and human blood and brain [5,6]. Specifically, longer REP1 allele lengths (263 bp) that correlate with increased *SNCA* expression are associated with increased risk of developing PD and shorter REP1 allele lengths (259 bp) that correlate with reduced *SNCA* expression are associated with reduced risk of developing PD [2]. These genetic findings, together with α -synuclein immunostaining of Lewy bodies [7], provide proof of principle for therapies aiming to reduce *SNCA* expression in PD [8,9].

Recently, Ritz et al. [10] reported the association of SNCA REP1 263 bp alleles (correlated with increased expression) with more rapid motor symptoms progression in PD as assessed by the rate of decline of Unified Parkinson's Disease Rating Scale (UPDRS) motor scores over a 5-year interval. They interpreted their findings to support therapies targeting reduction of SNCA expression in PD. Here we present a study of the association of twenty SNCA variants (REP1 and 19 additional haplotype-tagging SNPs) and survival free of motor and cognitive outcomes, for 1098 PD subjects with up to 13 years of follow-up. Since increased SNCA expression is associated with increased risk of developing PD, we hypothesized that REP1 genotypes correlated with reduced SNCA expression (one or two 259 bp alleles) would be associated with greater survival free of developing motor and cognitive outcomes in PD. Surprisingly, the findings of our studies are opposite to this hypothesis and contrast with those of Ritz et al. and suggest a possible time-dependent, dual and opposing effect of SNCA in PD.

2. Materials and methods

2.1. Subjects

Clinical information and biological samples were collected with written informed consent following a protocol approved by the Mayo Clinic IRB (Rochester, MN). Study subjects were 1098 PD cases from the Molecular Epidemiology of Parkinson's Disease study ("MEPD study", NIH 2R01ES10751) referred sequentially to the Department of Neurology of the Mayo Clinic in Rochester, MN, from June 1, 1996 through June 30, 2007. They resided in Minnesota or a neighboring state. All PD cases were examined in a standardized fashion by neurologists specializing in Movement Disorders, and employing a comprehensive protocol for clinical assessment. Cases fulfilled criteria for clinically definite or probable PD [11].

2.2. Molecular analyses

Blood was collected and genomic DNA was obtained. Allele length of SNCA REP1 was assessed using an Applied Biosystems sequencing platform (Genotyping Shared Resources, Mayo Clinic, Rochester, MN) and 19 haplotype-tagging SNCA SNPs were assessed using an Illumina GoldenGate genotyping platform [12].

2.3. Outcome measurements

Motor and cognitive outcome data for 1098 PD cases were collected by telephone interview questionnaires directly with the cases or via proxy when incapacitated or deceased. The direct interview questionnaire included the Telephone Interview of Cognitive Status-Modified (TICS-M) [13] and questions regarding motor milestones such as inability to stand or walk unassisted (and dates). The proxy questionnaires collected the same information, with the exception of screening for dementia using the Alzheimer's Disease Dementia Screening Interview (AD-8) [14] because AD-8 is a brief informant-based measure that reliably differentiates between non-demented and demented individuals and is sensitive to the earliest signs of cognitive change as reported by a proxy informant.

The motor outcome was defined as Hoehn and Yahr (H&Y) stage 4 or 5 and assessed at baseline via clinical assessment and imputed at follow-up via telephone interview. The question asked at the telephone interview was: "Are you able to stand or walk without someone else helping you, or without a cane or walker?" A "no" response corresponded to H&Y stages 4 or 5. A "no" response was followed by the question: "At what age were you no longer able to stand or

walk without assistance?" Both questions were appropriately reworded for proxy interviews

Cognitive outcome was assessed at baseline using the Mini Mental State Examination (MMSE). The cognitive outcome was defined as MMSE <26 [15]. Cognitive outcome at follow-up was assessed via telephone interview using the TICS-M (direct interviews) or the AD-8 (proxy interviews). Cognitive outcome was defined as a TICS-M score $\leq\!27$ or AD-8 score $\geq\!2$. The outcome assessments were identical to those that we reported for a genome-wide association study (GWAS) of motor and cognitive outcomes in PD [16]. That study did not include SNCA-REP1 genotypes and the 19 SNCA haplotype-tagging SNPs that are the focus of this study, and that study included only a subset (n=443) of the cases included in this study (n=1098).

2.4. Statistical analyses

Statistical analyses evaluated the association of each genetic variant with motor and cognitive impairment, with the primary outcome being time-to-event (motor or cognitive outcomes). Cox proportional hazards regression models were used to assess the association of outcomes with genotypes. For cases with no evidence of motor or cognitive outcomes, time-to-censoring was defined as the time between the baseline clinical assessment and the telephone interview, or time between baseline and death if the individual was deceased. For cases with evidence of motor or cognitive outcomes, time-to-event was defined based on the age of onset of motor or cognitive outcomes as determined by direct or proxy telephone interviews. Subjects with H&Y stage 4/5 or with MMSE < 26 at baseline, were excluded from the respective motor or cognitive outcomes survival analyses. To limit survival bias, age at enrollment was considered as time 0 (or baseline). Analyses were performed both using the age-scale and the time-on-study scale. While the time-on-study scale is often used in analyses of disease progression, analysis on the age scale is more appropriate when studying outcomes associated with age in an aging population [18]. Log-rank tests were used to determine significance and associations between outcomes and genetic variables using hazard ratios (HR) with 95% confidence intervals. Kaplan-Meier plots were used to visualize the overall survival function, and stratified by genetic variables.

Prior to testing the effects of SNCA variants on motor and cognitive impairment outcomes, analyses were performed to identify relevant covariates associated with the outcomes. Relevant covariates were then included in the Cox proportional hazards models that were used to assess the association of outcomes with genotypes. The age-scale analysis of the motor impairment outcome included sex, disease duration at baseline, and L-DOPA treatment at baseline as covariates, while the cognitive impairment analysis included sex, disease duration at baseline, and education as covariates. The age-scale analyses of motor and cognitive outcomes were also performed without covariate adjustment. Similarly, the analyses on the timeon-study scale were performed without and with covariate adjustment (the same covariates as above with the addition of age at enrollment as a covariate in analyses of both motor and cognitive outcomes).

The primary analysis included the association of outcomes with SNCA REP1 genotypes using the REP1 score as described previously [17]. The REP1 score ranged from 0 (lowest PD risk, lowest SNCA expression) to 4 (highest PD risk, highest SNCA expression). Secondary analyses investigated the association of motor and cognitive outcomes with 19 haplotype-tagging SNCA SNPs defined by the minor allele count (0, 1, or 2 copies of the minor frequency allele); and with REP1 genotypes re-defined by minor allele counts (0, 1, or 2 copies of the 259 bp allele; or 0, 1, or 2 copies of the 263 bp allele). As SNCA REP1 was the genetic polymorphism of interest, primary analyses were not corrected for multiple comparisons. The Bonferroni correction for multiple comparisons was applied to the secondary analyses (20 tests for each outcome). Results with uncorrected p-values <0.05 in the primary analyses or Bonferroni-corrected p-values <0.05 in the secondary analyses were considered significant.

The statistical packages SAS® (version 9.2; SAS Institute Inc., Cary, NC) and R (version 2.14; R Development Core Team (2011). R: A language and environment for statistical computing. R Foundation for Statistical Computing, Vienna, Austria. ISBN 3-900051-07-0, URL http://www.R-project.org/) were used for all analyses.

3. Results

3.1. Demographic and clinical characteristics

1098 MEPD cases were included in this study (Supplemental Table 1). 85 cases were lost to follow-up, and 91 PD cases (or proxies) refused follow-up interviews. Thus, the overall participation rate among those contacted was 91.0%. At follow-up, 467 direct interviews, 180 proxy interviews for incapacitated subjects, and 275 proxy interviews for deceased subjects were performed. From the 922 participating cases 604 were men (65.5%) and 318 women (34.5%) primarily of Caucasian race and European ancestry. Median

disease duration at baseline was 3.3 years. 652 of the 922 participating cases (70.7%) had been treated with levodopa at baseline. 62 cases (6.7%) that had reached H&Y stages 4/5 at baseline were removed from the motor outcomes analyses. 59 cases (6.4%) that had reached MMSE $\,<\!26$ at baseline were removed from the cognitive analyses.

The median lag time from baseline to follow-up was 7.8 years (range 3.3—13 years). 44 cases with a TICS-M score ≤27 were interviewed directly and repeated interviews (proxy for incapacitated subjects) were conducted in order to obtain valid dates and other information for the survival analyses. Information regarding levodopa therapy at follow-up or cumulative dose exposures was not available.

3.2. Molecular analysis

Twenty SNCA variants were genotyped and their positions are shown in a Linkage Disequilibrium (LD) map for SNCA (Supplemental Fig. 1).

3.3. REP1 and motor outcomes

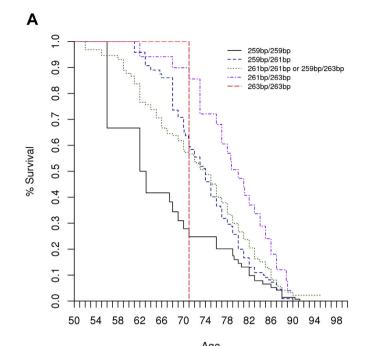
Our initial hypothesis was that PD cases with lower REP1 scores, associated with reduced PD risk and correlated with reduced SNCA expression, would have reduced risk of developing motor and/or cognitive impairment and thus longer survival free of these outcomes. However, we observed the opposite association: PD cases with higher REP1 scores had reduced risk of developing motor impairment and longer survival free of the outcome. This result was statistically significant both in the unadjusted and the covariateadjusted analyses on the time-on-study scale as well as the unadjusted and covariate-adjusted analyses performed on the age-scale (adjusted analysis on age-scale: HR = 0.87, 95% CI 0.75-1.00, p = 0.046; see Supplemental Table 2). Under the covariate-adjusted age-scale model, having a lower REP1 score conferred a nearly twofold greater risk for developing the motor outcome than a higher REP1 score (HR = 1.78, 95% CI 1.01-3.15, REP1 score of 0 vs. REP1 score of 4). Fig. 1 shows the Kaplan-Meier plot for survival free of developing the motor outcome by the REP1 score. Genotype and allele frequencies are shown in Table 1.

3.4. REP1 and cognitive outcomes

Consistent with our findings for motor outcomes, we observed an opposite-than-expected association for cognitive outcomes. PD cases with higher REP1 scores had reduced risk of developing cognitive impairment and longer survival free of the outcomes. While this result was statistically significant in the unadjusted and covariate-adjusted analysis on the time scale (adjusted analysis on time-scale: HR = 0.85, 95% CI 0.75–0.98, p = 0.023), it just failed to achieve significance (p = 0.058) in the unadjusted analysis on the age-scale, and was not significant in the covariate-adjusted analysis on the age-scale (p = 0.12). Under the covariate-adjusted age-scale model, having a low REP1 score conferred a 1.5 times greater risk for developing the cognitive outcome than having a high REP1 score (HR = 1.53, 95% CI 0.90-2.60, REP1 score of 0 vs. REP1 score of 4). Results of all analyses are shown in Supplemental Table 3. Fig. 2 shows the Kaplan-Meier plots for survival free of the cognitive outcome by REP1 score. Genotype and allele frequencies are shown in Table 2.

3.5. SNPs and motor and cognitive outcomes

The association results for all genotyped SNCA variants (19 SNPs, REP1) and developing the motor and cognitive outcomes are



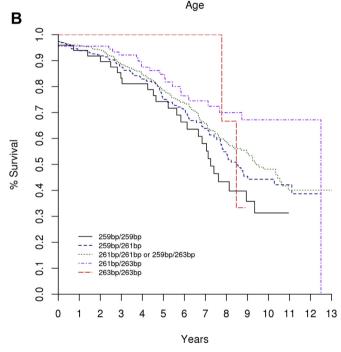


Fig. 1. Kaplan Meier plot for *SNCA* REP1 and survival free of motor impairment (H&Y stages 4 or 5). The genotype 259 bp/259 bp corresponds to REP1 score = 0, and 259 bp/261 bp to REP1 score = 1, and 261 bp/261 bp or 259 bp/263 bp to REP1 score = 2, and 261 bp/263 bp to REP1 score = 3, and 263 bp/263 bp to REP1 score = 4. A corresponds to the covariate unadjusted, age-scale analysis (HR = 0.87, 95% CI 0.75- 0.99, p=0.042); and B corresponds to the covariate unadjusted, time-on-study analysis (HR = 0.81, 95% CI 0.70-0.93, p=0.0035).

included in Supplemental Tables 2 and 3. None of the SNPs were significantly associated with developing motor or cognitive impairment after Bonferroni correction for multiple testing, including those tagging the 3' LD block.

4. Discussion

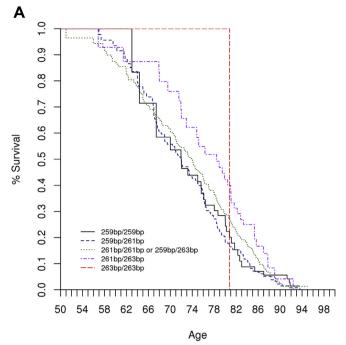
In contrast to our original hypothesis our results reveal an unexpected association of the SNCA REP1 genotypes correlated with

Table 1 REP1 genotype frequencies for MEPD Motor outcomes (N = 854).

<u> </u>	<u> </u>
REP1 genotypes	Genotype frequency n (%)
257/261	2 (0.2%)
257/263	1 (0.1%)
259/259	49 (5.7%)
259/261	257 (30.1%)
259/263	25 (2.9%)
259/265	1 (0.1%)
261/261	422 (49.4%)
261/263	90 (10.5%)
261/265	1 (0.1%)
263/263	6 (0.7%)
REP1 score	Genotype frequency n (%)
0	49 (5.8%)
1	257 (30.3%)
2	447 (52.7%)
3	90 (10.6%)
4	6 (0.7%)
REP1 259 bp	Genotype frequency n (%)
0	522 (61.1%)
1	283 (33.1%)
2	49 (5.7%)
REP1 263 bp	Genotype frequency n (%)
0	732 (85.7%)
1	116 (13.6%)
2	6 (0.7%)
REP1 261 bp	Genotype frequency n (%)
0	82 (9.6%)
1	350 (41.0%)
2	422 (49.4%)

reduced SNCA expression with the development of worse motor and cognitive outcomes in a large and well-characterized cohort of PD cases. Our results are inconsistent with findings from SNCA multiplication mutations in familial parkinsonism, where increased SNCA genomic dosage and SNCA expression were associated with earlier age at onset, faster progression and increased motor and cognitive severity [1]. However, our results are consistent with clinical and genetic information that two of the authors (KM, BAC) newly obtained from a previously reported Greek family with PD [19]. In affected kindred members, the SNCA c.157G>A (p.A53T) mutation is in phase with the REP1 259-bp allele (Supplemental Fig. 2). All affected individuals with the 259-bp REP1 allele had a severe phenotype with poor motor and cognitive outcomes (median duration to H&Y stages 4/5 was 7 years and to dementia was 7 years), while a recombinant lacking the 259-bp REP1 allele remains asymptomatic past the mean age of onset for their generation. This is consistent with the hypothesis that the co-localization of the causal gene mutation with the 259-bp REP1 allele is associated with variable expressivity (worse outcomes) in this family.

Our results are also inconsistent with findings reported by Ritz et al. [10], where REP1 alleles associated with increased PD risk and correlated with increased SNCA expression were associated with the development of worse motor outcomes. There are several possible explanations for these conflicting results. First, the difference may be due to sample size. Our sample at study enrollment was 3-fold larger than that of Ritz et al. (1098 vs. 363 PD cases), and at follow-up was nearly 4-fold larger (854 vs. 233 PD cases). Small and underpowered samples have greater false-positive rates and may provide erroneous or exaggerated estimates of the direction or size of effect. Second, the population characteristics were different. Our study population was racially and ethnically homogeneous with subjects of Caucasian and European descent, whereas in the Ritz study ~19% of the subjects were non-Caucasian. The frequencies and effects of gene variants vary by race and ethnicity, and population stratification can further erode statistical power or bias towards false-positive associations. Third, we defined motor



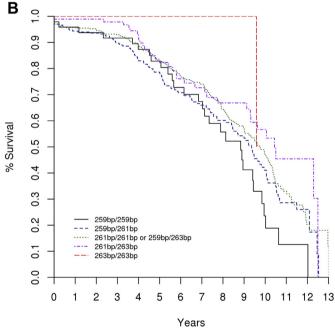


Fig. 2. Kaplan Meier plot for *SNCA* REP1 and survival free of cognitive impairment (TICS-M score \leq 27 or AD-8 score \geq 2). The genotype 259 bp/259 bp corresponds to REP1 score = 0, and 259 bp/261 bp to REP1 score = 1, and 261 bp/261 bp or 259 bp/263 bp to REP1 score = 3, and 263 bp/263 bp to REP1 score = 3, and 263 bp/263 bp to REP1 score = 4. A corresponds to the covariate unadjusted, age-scale analysis (HR = 0.88, 95% CI 0.78−1.00, p = 0.058); and B corresponds to the covariate unadjusted, time-on-study analysis (HR = 0.81, 95% CI 0.71−0.92, p = 0.0017).

outcome differently. Our outcome was reaching H&Y stages 4/5; inability to stand or walk unassisted represents a major milestone in PD progression and is therefore likely to be recalled reliably by patients or their proxies. Ritz et al. used the rate of decline in motor severity measured by the UPDRS part III scale. The UPDRS is subject to treatment effects and inter-rater variability, and change in the UPDRS score by five points may not result in a meaningful change in H&Y stage. Our findings were internally consistent for the development of both motor and cognitive outcomes, whereas the

Table 2 REP1 genotype frequencies in cognitive outcomes (N = 858).

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REP1 genotypes	Genotype frequencies n (%)
257/261	2 (0.2%)
257/263	1 (0.1%)
259/259	48 (5.6%)
259/261	265 (30.9%)
259/263	25 (2.9%)
259/265	1 (0.1%)
261/261	416 (48.5%)
261/263	94 (11.0%)
261/265	1 (0.1%)
263/263	5 (0.6%)
REP1 score	Genotype frequency n (%)
0	48 (5.6%)
1	265 (31.1%)
2	441 (51.7%)
3	94 (11.0%)
4	5 (0.6%)
REP1 259 bp	Genotype frequency n (%)
0	519 (60.5%)
1	291 (33.9%)
2	48 (5.6%)
REP1 263 bp	Genotype frequency n (%)
0	733 (85.4%)
1	120 (14.0%)
2	5 (0.6%)
REP1 261 bp	Genotype frequency n (%)
0	80 (9.3%)
1	362 (42.2%)
2	416 (48.5%)

Ritz study did not assess cognitive measures. Fourth, our follow-up duration was substantially longer, nearly fifteen years vs. five years of Ritz et al. It is conceivable that we are observing differential effects of REP1-allele genotypes at different disease stages.

While a cross-sectional study of *SNCA* polymorphisms and the development of motor outcomes in a cohort of multiplex families with PD has been reported, it was not representative of sporadic PD [20]. Association studies of *SNCA* polymorphisms and cognitive outcomes in sporadic PD were small, considered only a few variants, and were mostly cross-sectional [21–23].

Our genetic association study has several strengths: First, we used an observational study design to evaluate the effects of SNCA genotypes on the development of motor and cognitive outcomes in PD. While there have been experimental studies of therapies targeting SNCA expression in model systems [8,9], observational studies of SNCA effects on the development of motor and cognitive outcomes to assess the long term benefits of therapeutic interventions are lacking. Second, all MEPD study cases were recruited using strict enrollment and diagnostic criteria, resided in a defined geographic region, and examined at baseline by Movement Disorders specialists using a standardized and comprehensive clinical assessment protocol. Third, our follow-up telephone interview assessments included validated measures allowing us to determine outcomes directly or by proxy for 84% of the subjects. Fourth, follow-up interval was long (up to 13 years) and the study had sufficient power to detect clinically meaningful effects. Fifth, genotypes were determined for REP1 but also for 19 SNCA SNPs.

Our study also has several weaknesses. First, a sampling bias cannot be excluded as our PD cohort was referral-based. Attempts to limit sampling bias included recruiting cases prospectively from a defined geographic region and by not excluding or enriching for familial PD cases. The frequency of known PARK mutations was very low [24,25]. Second, to limit survival bias we evaluated outcomes in PD cases with variable disease duration at baseline and included disease duration at baseline as an adjustment variable in the statistical models. Third, the measurements at baseline and

follow-up employed different methods and the validity of self or proxy reported assessments and imputed H&Y stages are unclear. Fourth, treatment effects cannot be excluded since our PD cohort was not randomized to treatment. L-DOPA therapy at baseline was included as an adjustment variable in some of the models, but cumulative dose exposures to dopaminergic therapy could not be ascertained. Fifth, statistical power may be greater for repeated quantitative measures than for singly performed dichotomous measures and survival analyses.

Both a neurotoxic and a neuroprotective role for SNCA expression have been proposed in cell culture and animal models [26]. In addition, both up-regulation and down-regulation of SNCA expression in PD and control brains have been reported [6,27-29]. It is conceivable that SNCA expression variability may reflect a dynamic state of SNCA regulation over the disease course. SNCA REP1 genotypes associated with reduced expression may function in a neuroprotective manner reducing the risk to develop PD, whereas well after PD symptom-onset these genotypes may exacerbate disease progression. One speculative mechanism is that after disease onset, as α-synuclein and its proteolytic fragments are sequestered in aggregates (e.g., Lewy bodies), relatively reduced expression fails to support the production of sufficient pools of normal α -synuclein, which in turn contributes to further disease progression. Individuals with short REP1 alleles may be more atrisk for this condition following disease onset. A dual and opposing effect of gene/protein function in neurological disease is not limited to $SNCA/\alpha$ -synuclein. β -Amyloid, which plays a critical role in the pathogenesis of Alzheimer's disease, has a beneficial effect in animal models of multiple sclerosis [30.31]. The apolipoprotein E gene ε 2 variant (APOE ε 2) is associated with a reduced risk for Alzheimer's disease, but with an increased amyloid neuropathology burden in Alzheimer's disease patients older than 90 years; and while APOE ε2 reduces the risk for Alzheimer's disease, it increases the risk for cerebral amyloid angiopathy and associated hemorrhages (which are prevalent in Alzheimer's disease patients) [32]. Further, APOE $\varepsilon 4$ alleles have differential effects on amyloid load and glucose metabolism in the frontal and occipital cortices of Alzheimer's disease patients [33].

Taken together, these observations point to a paradigm for neurodegeneration in which a critical gene's function depends on its spatial and temporal context, potentially resulting in dual and opposing effects. Our findings suggest that therapies aiming at SNCA reduction may worsen later outcomes. These effects may not be detected by Phase 1 clinical trials that select small samples of early PD cases and employ short follow-up periods. Observational studies using large and representative PD cohorts with long follow-up periods may evaluate this critical issue.

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Appendix A. Supplementary data

Supplementary data related to this article can be found at http://dx.doi.org/10.1016/j.parkreldis.2014.02.021.

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