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Lyndon words and singular factors of sturmian words

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Abstract

Two different factorizations of the Fibonacci infinite word were given independently in Wen and Wen (1994) and Melançon (1996). In a certain sense, these factorizations reveal a self-similarity property of the Fibonacci word. We first describe the intimate links between these two factorizations. We then propose a generalization to characteristic sturmian words. © 1999 Elsevier Science B.V. All rights reserved.

Résumé

Deux factorisations du mot de Fibonacci ont été données dans deux articles indépendants, Wen and Wen (1994) and Melançon (1996). Ces factorisations décrivent, d'une certaine manière, une propriété d'auto-similarité du mot de Fibonacci. Nous décrivons d'abord les liens étroits entre ces deux factorisations. Puis nous proposons une généralisation aux mots sturmiens caractéristiques. © 1999 Elsevier Science B.V. All rights reserved.

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1. Introduction

The numerous aspects under which the combinatorial properties of the Fibonacci word have been studied are amazing. A huge set of different notions in *algebraic* combinatorics on words² may be illustrated by using this infinite word as an example. The Fibonacci word is a well-known example of a huge family of infinite words called sturmian words. These words have been studied from many different points of view, geometrical, combinatorial, algebraic, etc. (see Remark 3.2). They naturally appear in fields such as number theory, quasicrystals, computational complexity, to name only a few (see [1]). The combinatorial structure of an infinite word is often revealed by

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² This is the title of the forthcoming book by Lothaire.

the study of the set of its factors: that is, the finite words appearing within it. As far as sturmian words are concerned, this is well illustrated by the work of Berstel and de Luca [2].

Wen and Wen [10] have looked at a particular set of factors of the Fibonacci word, they call singular factors. They are the consecutive factors of the Fibonacci word of lengths F_0, F_1, F_2 , etc, where $(F_n)_{n \ge 0}$ is the Fibonacci sequence given by $F_0 = F_1 = 1$ and $F_{n+1} = F_n + F_{n-1}$ $(n \ge 1)$. Our work started from a remark by Jean Berstel linking these singular factors to the Lyndon words appearing in the Lyndon factorization of the Fibonacci word we gave in [6] (see also [8]). Our investigation not only confirmed the remark made by Berstel but also lead us to a full description of the link between the singular factors and the Lyndon factors of the Fibonacci word. Indeed, Proposition 2.10 gives a description of the Lyndon factors in terms of singular factors and, as a consequence, new proofs of [10, Theorems 1 and 2].

A striking fact common both to our work [6] and that in [10] is the discovery of a self-similarity property of the Fibonacci word. Let us briefly describe it here. The W and W factorization in [10, Theorem 1] and the Lyndon factorization in [6, Proposition 11] rely on the computation of a set of *consecutive factors* of the Fibonacci word. In both cases, these factorizations are self-similar ([10, Theorems 2, 2.11]); that is, the Fibonacci word over the original alphabet $\{a, b\}$ (almost) coincides with itself computed over a two-word alphabet selected among the set of singular or Lyndon factors. As far as singular factors are concerned, this is explained by a particularity they have with respect to *conjugation* of words, and to the fact that they are *nonoverlapping* (see [10, Lemma 2, Property 2]).

Many results concerning the Fibonacci word (Section 2) naturally generalize to characteristic sturmian words. The Lyndon factorization of characteristic sturmian words was given in [8]. Again, this factorization is self-similar: Theorem 3.4 shows how to compute a given characteristic sturmian word using a two-word alphabet selected among its Lyndon factors. Moreover, it is possible to define singular factors of a given characteristic sturmian word (Definition 4.1) and give combinatorial properties of these words. In particular, Lemma 4.2 shows that as in the Fibonacci case, general singular factors hold a special place with respect to conjugation. Proposition 4.4 links general singular factors to Lyndon factors of a given characteristic sturmian word. This, combined with the non-overlapping property of the singular factors (Lemma 4.7), leads to a formulation of the self-similarity property in terms of the singular factors, analog to [10, Theorem 2] (Corollary 4.6).

The paper is structured as follows. Section 1 briefly describes the results in [10] concerned with the present work. More precisely, we define the singular factors of the Fibonacci word, list some of their properties and state the two main theorems in [10]. We then recall the Lyndon factorization of the Fibonacci word given in [6] and study the link between singular factors and Lyndon factors of the Fibonacci word. Expressing Lyndon words in terms of the singular factors leads to Theorem 2.11 from which we are able to deduce the two main results in [10] (Section 2.4). We then show self-similarity of the Lyndon factorization in the general case of a characteristic sturmian

word (Theorem 3.4). Lemma 4.2 and Proposition 4.3 in the last section confirm the words introduced in Definition 4.1 as the proper generalization for singular factors of characteristic sturmian words. Corollaries 4.5 and 4.6 reinforce the links with the Lyndon factorization (Theorem 3.4) and propose a generalization of the self-similarity property [10, Theorem 2].

2. Singular factors and the Fibonacci word

This first section introduces basic notations and definitions, and describes two central results in [10]. Throughout the paper, we only consider the two-letter alphabet $A = \{a, b\}$. We totally order A by a < b and extend this order to the set A^* of all words lexicographically. The notations we use are those usual in theoretical computer science (see [5]). We shall make great use of the notation $w\alpha^{-1}$, denoting the word obtained from w by deleting the letter $\alpha \in A$ at the end of w (if possible). Let us start by recalling the definition of the Fibonacci word.

Definition 2.1. Let $f_0 = b$, $f_1 = a$ and define $f_{n+1} = f_n f_{n-1}$, for $n \ge 1$. The words f_n $(n \ge 0)$ are usually called the *finite Fibonacci words*. Hence, e.g., $f_2 = ab$, $f_3 = aba$, $f_4 = abaab$, and so on. The (right) infinite word

is called the (infinite) Fibonacci word.

For more details on the Fibonacci word, the reader is referred to Berstel's recent survey on sturmian words [1].

Remark 2.2. (1) The length of f_n is the *n*th Fibonacci number F_n (where the Fibonacci sequence is defined by $F_0 = F_1 = 1$ and $F_{n+1} = F_n + F_{n-1}$, for $n \ge 1$).

(2) Moreover, for all $n \ge 2$, we have $(|f_n|_a, |f_n|_b) = (F_{n-1}, F_{n-2})$.

(3) For all $n \ge 1$, the word f_{2n} ends with ab and the word f_{2n+1} ends with ba.

Definition 2.3 (Wen and Wen [10, p. 589]). Let $n \ge 2$ and suppose f_n ends with $\alpha\beta$ (where $\alpha, \beta \in A$ and $\alpha \ne \beta$). We define the word w_n by $w_n = \alpha f_n \beta^{-1}$. The word w_n is a factor of the Fibonacci word f and is called the *n*th singular factor of f. We also define $w_0 = a$, $w_1 = b$; it is useful to set $w_{-1} = \varepsilon$ (the empty word).

Hence, we have $w_2 = aa$, $w_3 = bab$, $w_4 = aabaa$, $w_5 = babaabab$, and so forth.

Remark 2.4. We collect here some remarks from [10].

(1) The length of w_n is the *n*th Fibonacci number F_n . Let us verify that w_n is indeed a factor of f. As is known, any conjugate of a factor of f is also a factor of f. Hence, the word $af_{2n}f_{2n+1}a^{-1}$ is a factor of f, since it is conjugated to f_{2n+2} . Thus, w_{2n} and w_{2n+1} are factors of f since they are consecutive factors of $af_{2n}f_{2n+1}a^{-1} = (af_{2n}b^{-1})(bf_{2n+1}a^{-1})$.

(2) Note that, for all $n \ge 0$, we have

$$(|w_n|_a, |w_n|_b) = \begin{cases} (|f_n|_a + 1, |f_n|_b - 1) & \text{if } n \text{ is even,} \\ (|f_n|_a - 1, |f_n|_b + 1) & \text{if } n \text{ is odd.} \end{cases}$$

- (3) As a consequence, w_n is not conjugated to f_n . In [10], it is shown that w_n is the only factor of f of length F_n that is not conjugated to f_n (see Lemma 4.2).
- (4) Observe that the words w_{2n} (resp. w_{2n+1}) always end with aa (resp. b).

We are now able to formulate [10]'s first fundamental result:

Theorem 2.5 (Wen and Wen [10, Theorem 1]). We have

$$f=\prod_{j=0}^{\infty}w_j.$$

That is,

 $f = (a)(b)(aa)(bab)(aabaa)(babaabab)\cdots$

The set of factors of the Fibonacci word has received great attention from a large number of authors (again, see [1]; see also [2]). From this point of view, the next fundamental result of [10] is the following:

Theorem 2.6 (Wen and Wen [10, Theorem 2]). Two occurrences of the singular factor w_m ($m \ge 0$) never overlap. Denote these occurrences by $w_m^{(1)}, w_m^{(2)}, w_m^{(3)}$, and so forth (from left to right). Then we have

$$f = \left(\prod_{j=0}^{m-1} w_j\right) (w_m^{(1)} z_1 w_m^{(2)} z_2 w_m^{(3)} z_3 \cdots),$$

where $z_k \in \{w_{m+1}, w_{m-1}\}$, for all $k \ge 1$, and $z_1 z_2 z_3 \cdots$ is the Fibonacci word over the alphabet $\{w_{m+1}, w_{m-1}\}$.

For example, with m = 2, we have $w_m = aa$, $w_{m+1} = bab$ and $w_{m-1} = b$. Thus,

$$f = (a \ b)(\underline{aa} \ bab \ \underline{aa} \ b \ \underline{aa} \ bab \ \underline{aa} \ bab \ \underline{aa} \ bab \ \underline{aa} \ \cdots).$$

Note that the theorem is true also for m = 0 (recall that $w_{-1} = \varepsilon$). The word $z_1 z_2 z_3 \cdots$ is then equal to the Fibonacci word over the "alphabet" $\{b, \varepsilon\}$.

2.1. Lyndon factorization

This section introduces Lyndon words and links Theorems 2.5 and 2.6 to results in [8]. Lyndon words are words strictly smaller than their proper right factors. Although these may be defined over an arbitrary alphabet, we shall restrict ourselves to the



Fig. 1. The Lyndon tree associated with $\ell = aababb$.

two letter alphabet $A = \{a, b\}$. We denote by L the set of Lyndon words (over A). For instance, letters are Lyndon words. The words ab, abb, aab, aabb, etc, are Lyndon words. More generally, given $u, v \in L$, we have $uv \in L \Leftrightarrow u < v$ [4, Proposition 1.3]. Hence, e.g., *aababb* is a Lyndon word. For more details concerning Lyndon words, the reader is referred to [5, Ch. 5].

Any Lyndon word ℓ of length at least two is a product of two Lyndon words u, v with u < v. For example, we have aababb = (a)(ababb), but also aababb = (aab)(abb) = (aabab)(b). The standard factorization of ℓ is obtained by taking v of maximal length. We usually denote the standard factorization of ℓ by $\ell = \ell' \ell''$. Hence, e.g., (aababb)' = a and (aababb)'' = ababb. The Lyndon tree associated with the Lyndon word ℓ is the (planar rooted binary) tree obtained by computing, recursively down to letters, the standard factorization of ℓ' and ℓ'' , and that of $(\ell')'$, and $(\ell')''$ and so on. Fig. 1 shows the Lyndon tree associated with $\ell = aababb$. Note that each Lyndon tree is complete, that is, every interior vertex has both a right and left son. We will only deal with complete planar rooted binary trees, having their leaves labelled by letters of A, which will simply be called trees from now on.

The fundamental result concerning Lyndon words is the factorization theorem:

Theorem 2.7 (Chen et al. [3], see also Lothaire [5]). Any non-empty word is a unique product of non-increasing Lyndon words. That is, given any non-empty word $w \in A^*$, there exist $\ell_1, \ldots, \ell_n \in L$ $(n \ge 1)$, with $\ell_1 \ge \cdots \ge \ell_n$ such that $w = \ell_1, \ldots, \ell_n$.

Theorem 2.7 extends to right infinite words. We shall not detail this extension here, but refer the reader to [9] (see also [7]). The next proposition describes the Lyndon factorization of the Fibonacci word.

Proposition 2.8 (Melançon [8, Proposition 3.2]). Let $\varphi: A^* \to A^*$ be the morphism defined by $a \mapsto aab$ and $b \mapsto ab$. Define words by $\ell_0 = ab$ and $\ell_{n+1} = \varphi(\ell_n)$, for $n \ge 0$. Then $(\ell_n)_{n\ge 0}$ is a sequence of decreasing Lyndon words and we have

$$f = \prod_{n=0}^{\infty} \ell_n. \tag{1}$$



Fig. 2. The tree structure of ℓ_n is preserved by φ .

Thus, we have $f = (ab)(aabab)(aabaababaabab)\cdots$.

Remark 2.9. (1) The length of ℓ_n is F_{2n+2} . This is easily verified by using the morphism φ and by noting that $|\varphi(w)|_a = 2|w|_a + |w|_b$ and $|\varphi(w)|_b = |w|_a + |w|_b$.

(2) Berstel had pointed out that the Lyndon words $\ell_0, \ell_1, \ell_2, \ldots$ were concatenation of two consecutive singular factors, i.e. $\ell_0 = ab = (a)(b) = w_0w_1$, $\ell_1 = aabab = (aa)(bab) = w_2w_3$, etc. This is in accordance with the fact that $|\ell_n| = F_{2n+2} = F_{2n+1} + F_{2n} = |w_{2n+1}| + |w_{2n}|$. Now, the word ℓ_n is also equal to $af_{2n}f_{2n+1}a^{-1}$, as may be directly verified. Hence, Berstel's claim is correct since $af_{2n}f_{2n+1}a^{-1} = (af_{2n}b^{-1})(bf_{2n+1}a^{-1})$, as noted in Remark 2.4(1).

(3) As a consequence, Eq. (1) reproves Theorem 2.5 ([10, Theorem 1]).

(4) Note that, from the definition of the words ℓ_n , we find: $w_{2n+2} = \varphi(w_{2n})b^{-1}$ and $w_{2n+3} = b\varphi(w_{2n+1})$ $(n \ge 0)$.

(5) The morphism φ preserves the standard factorization of the words ℓ_n . More precisely, we have $\ell'_{n+1} = \varphi(\ell'_n)$ and $\ell''_{n+1} = \varphi(\ell''_n)$. This property has a geometrical interpretation: to obtain the Lyndon tree of ℓ_{n+1} one only needs to replace in that of ℓ_n the leaves labelled by a by the Lyndon subtree (a, (a, b)) and those labelled by b by the Lyndon subtree (a, b) see Fig. 2.

2.2. L-R operators

Remark 2.9(2), proving $\ell_n = w_{2n}w_{2n+1}$, may be refined. For this, we need to define operators L and R corresponding to paths in a tree. The idea we describe here is intuitively clear and is best described with pictures (see the figures), although we do need to translate it with proper notations. Let it be understood that L and R act on a given tree and let x be an interior vertex of that tree. Then, we denote by L.x (resp. R.x) the left (resp. right) son of x.

We will use sequences of operators L and R always acting from the root of Lyndon trees. We will denote both the Lyndon word and the tree associated with that word by ℓ . For example, Fig. 3 illustrates the effect of the operator RLR over the Lyndon tree associated with the Lyndon word *aabaabab*. Note that any sequence of L-R operators



Fig. 3. Operators R and L acting on trees.



Fig. 4. The decomposition induced by an R-L operator.

is of the form $R^{a_0}L^{a_1}\cdots R^{a_{2n-2}}L^{a_{2n-1}}$ (with $a_0, a_{2n-1} \ge 0$ and $a_i > 0$ for all $1 \le i \le 2n-2$) and acts from the right; that is,

$$R^{a_0}L^{a_1}\cdots R^{a_{2n-2}}L^{a_{2n-1}}.\ell=\cdots(\underbrace{R\cdots(R}_{a_{2n-2}}(\underbrace{L\cdots(L}_{a_{2n-1}}.\ell)\cdots))\cdots)$$

For any vertex x of a tree, there is a unique path going from the root down to x described by a unique sequence of operators $R^{a_0}L^{a_1}\cdots R^{a_{2n-2}}L^{a_{2n-1}}$. Suppose that x is an interior vertex of the tree associated with ℓ ; then, the L-R path going from the root down to x determines a unique decomposition of ℓ as a product $\ell = uv$, with $u, v \in A^*$ non-empty. We write $R^{a_0}L^{a_1}\cdots R^{a_{2n-2}}L^{a_{2n-1}}$. $\ell = (u, v)$. The decomposition illustrated in Fig. 4 is precisely (RLR).aabaabab = (aabaa, bab). Note that, with this convention, the identity operator gives the decomposition (ℓ', ℓ'') cutting the Lyndon tree ℓ at its root.

Proposition 2.10. We have: $(RL)^n . \ell_n = (w_{2n}, w_{2n+1})$ and $(RL)^{n-1}R.\ell'_n = (w_{2n}, w_{2n-1})$, for all $n \ge 1$.

The two statements are proved similarly; so we shall only prove the first one. Moreover, the proof is best understood using pictures; see the figures. We proceed by induction. Suppose $(RL)^n . \ell_n = (w_{2n}, w_{2n+1})$ and that, moreover, the left and right sons of the vertex $(RL)^n . \ell_n$ are leaves (Fig. 5).



Fig. 5. $(RL)^n \cdot \ell_n = (w_{2n}, w_{2n+1})$.



Fig. 6. $(RL)^n \ell_{n+1} = (RL)^n \varphi(\ell_n) = (\varphi(w_{2n-1}), \varphi(w_{2n})).$

The tree associated with ℓ_{n+1} is obtained from that associated with ℓ_n by replacing the leaves labelled by *a*'s with (a, (a, b)) and those labelled by *b*'s with (a, b) (cf. Remark 2.9(5)). Hence, the factorization induced by the operator $(RL)^n$ on ℓ_{n+1} is $(RL)^n \cdot \ell_{n+1} = (\varphi(w_{2n}), \varphi(w_{2n+1}))$ (Fig. 6). Now, recall from Remark 2.4 that w_{2n} ends with *aa*; thus, the left subtree attached to the vertex $(RL)^n \ell_{n+1}$ is (a, (a, b)).

Since $w_{2n+2} = \varphi(w_{2n})b^{-1}$ and $w_{2n+3} = b\varphi(w_{2n+1})$ (cf. Remark 2.9(4)), we see that the decomposition (w_{2n+2}, w_{2n+3}) is obtained by going down this left subtree following the path *RL*. Thus $(w_{2n+2}, w_{2n+3}) = RL (RL)^n \cdot \ell_{n+1} = (RL)^{n+1} \cdot \ell_{n+1}$ (Fig. 7).

2.3. Self-similarity

In this section, we exhibit a self-similarity property of factorization (1) which leads, as a corollary, to a new proof of Theorem 2.6 [10, Theorem 2].



Theorem 2.11. *We have* 1.

$$f = \left(\prod_{j=0}^{n-1} \ell_j\right) \varphi^n(f).$$
⁽²⁾

Moreover, $\varphi^n(f)$ is equal to the Fibonacci word over the alphabet $\{\ell'_n, \ell''_n\}$. 2. Furthermore, $\varphi^n(f)$ is also equal to the Fibonacci word over the alphabet $\{\ell_n, \ell'_n\}$.

For example, with n = 1, we have $\ell'_1 = aab$ and $\ell''_1 = ab$. And Eq. (2) reads

Proof of Theorem 2.11. An easy induction shows that, for any $n \ge 0$, $\varphi^n(a) = \ell'_n$ and $\varphi^n(b) = \ell''_n$, from which we find $\varphi^n(ab) = \ell_n$. Let $m \ge n$; then $\ell'_m = \varphi^n(\varphi^{m-n}(a))$ and $\ell''_m = \varphi^n(\varphi^{m-n}(b))$, so $\ell_m = \varphi^n(\ell_{m-n})$; this shows $\prod_{m \ge n} \ell_m = \varphi^n(f)$. This proves part 1. Part 2 follows from the fact that the morphism $a \mapsto ab$, $b \mapsto a$ leaves f invariant. To see this observe that the sequence $(f_n)_{n\ge 1}$ is obtained using the same recurrence $f_{n+1} = f_n f_{n-1}$ using as initial terms $f_1 = a$, $f_2 = ab$. That is, f is equal to the Fibonacci word over the alphabet $\{ab, a\}$.

2.4. A new proof of Theorem 2.6

Recall that $\ell_n = w_{2n}w_{2n+1}$ and that, by Proposition 2.10, we have $\ell'_n = w_{2n}w_{2n-1}$; so $\ell''_n = w_{2n-2}w_{2n-1}$ since $\ell''_n = \ell_{n-1}$ (use Remark 2.9(5)). Denote by $f_{\{x,y\}}$ the Fibonacci word over the alphabet $\{x, y\}$. Thus in case 1 of Theorem 2.11, Eq. (2) reads

$$f = \left(\prod_{j=0}^{n-1} \ell_j\right) f_{\{\ell'_n, \ell''_n\}} = \left(\prod_{j=0}^{n-1} w_{2j} w_{2j+1}\right) f_{\{w_{2n} w_{2n-1}, w_{2n-2} w_{2n-1}\}}.$$

Note that this is also equal to

$$f = \left(\prod_{j=0}^{2n-2} w_j\right) w_{2n-1} f_{\{w_{2n}w_{2n-1}, w_{2n-2}w_{2n-1}\}}$$

Thus, $f_{\{w_{2n}w_{2n-1},w_{2n-2}w_{2n-1}\}}$ is obtained by first forming the Fibonacci word over the alphabet $\{w_{2n}, w_{2n-2}\}$ and then inserting the word w_{2n-1} before each occurrence of w_{2n} or w_{2n-2} . This is precisely what says Theorem 2.6, for m = 2n - 1 odd.

In case 2 of Theorem 2.11, Eq. (2) reads

$$f = \left(\prod_{j=0}^{n-1} \ell_j\right) f_{\{\ell_n,\ell_n'\}} = \left(\prod_{j=0}^{2n-1} w_j\right) f_{\{w_{2n}w_{2n+1},w_{2n}w_{2n-1}\}} w_{2n-1}$$

provides a proof for m = 2n even.

3. Characteristic sturmian words

The Fibonacci word is a famous and important example of a general family of infinite words called *sturmian words*. Consequently, it is natural to look for a generalization of results in Sections 2 and 2.1.

Definition 3.1. Let $(c_n)_{n\geq 0}$ be a sequence of integers satisfying $c_0 \geq 0$ and $c_n > 0$, for n > 0. Define $s_0 = b$, $s_1 = a$ and $s_{n+1} = s_n^{c_n-1}s_{n-1}$. Then $s = \lim_{n \to \infty} s_n$ is a well-defined infinite word.

The sequence $(c_n)_{n\geq 0}$ is called the directive sequence of s. Moreover, s is a characteristic sturmian word.

Remark 3.2. The Fibonacci word is a special case of a sturmian word having $c_n = 1$, for all $n \ge 0$. General sturmian words may be defined geometrically: let $y = \alpha x + \beta$ be a line, with $\alpha > 0$ irrational. Consider the grid formed by the lines y = p, x = q where p, q are integers satisfying $p, q \ge 0$. Denote by a's and b's the horizontal and vertical crossings of the line $y = \alpha x + \beta$ on this grid (since α is irrational the line crosses the grid in at most one point with integer coordinates). This infinite word thus obtained is the sturmian word associated with the line $y = \alpha x + \beta$. One may show that two sturmian words associated to lines having equal slopes have the same set of factors. Hence, as far as factors of sturmian words are concerned, it is sufficient to study those having $\beta = 0$. In that case, if α has its simple continued fraction equal to $[c_0, c_1, \ldots]$ then the word s in Definition 3.1 is the sturmian word associated to the line $y = \alpha x$.

Remark 3.3. Observe that $c_0 = 0$ implies $s_2 = s_0$; consequently, the sturmian word associated with the sequence $(c_n)_{n \ge 0}$ with $c_0 = 0$ is obtained from the sturmian word associated to the sequence $(c'_n)_{n \ge 0}$ with $c'_n = c_{n+1}$ by exchanging all letters *a* and *b*. From now on, we shall only consider sequences satisfying $c_0 > 0$.

In [8], we gave the Lyndon factorization of any general characteristic sturmian word s; more precisely, we proved

$$s = \prod_{n=0}^{\infty} \left[(as_{2n+1}a^{-1})^{c_{2n}-1} as_{2n}s_{2n+1}a^{-1} \right]^{c_{2n+1}},$$

where $((as_{2n+1}a^{-1})^{c_{2n}-1}as_{2n}s_{2n+1}a^{-1})_{n\geq 0}$ is a sequence of strictly decreasing Lyndon words. We write

$$\ell_n = (as_{2n+1}a^{-1})^{c_{2n}-1}as_{2n}s_{2n+1}a^{-1}$$
 and $u_n = as_{2n}s_{2n+1}a^{-1}$.

For instance, we have $\ell_0 = a^{c_0}b$, $\ell_1 = (a(a^{c_0}b)^{c_1})^{c_2}(a^{c_0}b)$, and so forth. The word u_n is a Lyndon word. Moreover, we have $u'_n = as_{2n+1}a^{-1}$, so that $\ell_n = (u'_n)^{c_{2n}-1}u_n$. This a key fact when proving that $(\ell_n)_{n\geq 0}$ is a sequence of decreasing Lyndon words (see [8]).

We shall make use of two formulas borrowed from [8, Eqs. (5) and (6)]; they are recurrence relations that describe the tree structure of u_n and u'_n , hence of ℓ_n $(n \ge 1)$. They are:

$$u_{n+1} = (as_{2n+1}a^{-1})[(as_{2n+1}a^{-1})^{c_{2n}-1}as_{2n}s_{2n+1}a^{-1}]^{c_{2n+1}+1}$$

$$= (\cdots ((u'_{n}, \underbrace{\ell_{n}}_{c_{2n+1}+1}), \underbrace{\ell_{2n+1}}_{c_{2n+1}+1}), \qquad (3)$$

$$u_{n+1} = (as_{2n+1}a^{-1})[(as_{2n+1}a^{-1})^{c_{2n}} \cdot as_{2n}s_{2n+1}a^{-1}]^{c_{2n+1}}$$

$$= (\cdots ((u'_{n}, \underline{\ell_{n}}), \underline{\ell_{n}}), \cdots \cdot \underline{\ell_{n}}).$$
(4)

Moreover, we have $\ell'_n = u'_n = as_{2n+1}a^{-1}$ and

$$\ell_n = (\underbrace{u'_n, (\cdots, u'_n, u_n) \cdots}_{c_{2n}-1}).$$
⁽⁵⁾

We may formulate a self-similarity property analog to Theorem 2.11.

Theorem 3.4. We have

$$s = \left(\prod_{j=0}^{n-1} \ell_j^{c_{2j+1}}\right) \times \bar{s},$$

where \bar{s} is the sturmian word with directive sequence $(d_m)_{m\geq 0}$ over the alphabet $\{u'_n, u''_n\}$, with $d_m = c_{m+2n}$. Moreover, the word \bar{s} is also equal to the Sturmian word with directive sequence $(d'_m)_{m\geq 0}$ with $d'_0 = d_0 - 1$ and $d'_m = d_m$ $(m\geq 1)$ over the alphabet $\{u'_n, u_n\}$.

Again, $\{u'_n, u''_n\}$ and $\{u'_n, u_n\}$ may be considered as alphabets (codes) (cf. the proof of Theorem. 2.11). Denote by t_1 the sturmian word over $\{a, b\}$ with directive sequence

 $(d_m)_{m\geq 0}$. Denote by $(\ell_m^{(1)})_{m\geq 0}$ the Lyndon words in the Lyndon factorisation of t_1 and consider the morphism γ sending $a\mapsto u'_n$ and $b\mapsto u''_n$. We claim that $\ell_{m+2n} = \gamma(\ell_m^{(1)})$. This is easily shown by induction using Eqs. (12)–(14) and proves the first statement. Similarly, consider the sturmian word t_2 with directive sequence $(d'_m)_{m\geq 0}$ and denote by $(\ell_m^{(2)})_{m\geq 0}$ the Lyndon words in the Lyndon factorization of t_2 . Again, an induction shows that $\ell_{m+2n} = \theta(\ell_m^{(2)})$ where θ is the morphism sending $a\mapsto u'_n$ and $b\mapsto u_n$. This proves the second statement.

4. General singular factors

This section introduces general singular factors (of a given characteristic sturmian word) and contains results generalizing those in [10].

Definition 4.1. Suppose the sequence $(c_n)_{n\geq 0}$ is given. Let $n\geq 2$ and suppose s_n ends with $\alpha\beta$ (where $\alpha, \beta \in A$ and $\alpha \neq \beta$). We define the word w_n by $w_n = \alpha s_n \beta^{-1}$. We also define $w_0 = a$, $w_1 = b$.

Hence, e.g., $w_2 = a^{c_0+1}$, $w_3 = b(a^{c_0}b)^{c_1}$, and so on (since $s_2 = s_1^{c_0}s_0 = a^{c_0}b$, $s_3 = s_2^{c_1}s_1 = (a^{c_0}b)^{c_1}a$, etc.). Let us first verify that w_n is indeed a factor of s. Again, any conjugate of the word s_n is a factor of s. So, the fact that w_{2n} and w_{2n+1} are factors of s follows from $as_{2n}s_{2n+1}a^{-1} = (as_{2n}b^{-1})(bs_{2n+1}a^{-1})$. Observe also that $u_n = w_{2n}w_{2n+1}$.

4.1. Properties of general singular factors

Section 4.1 contains results that generalize those given in [10, Lemma 2, Property 2] for the Fibonacci word and confirms the words w_n as the proper generalization of *singular factors* of the word *s* (associated with $(c_n)_{n\geq 0}$). At the time of writing we were not able to determine if the authors of [10] had already proposed a generalization of their work, and if so, whether their methods compare to the ones we expose in this subsection. Denote by q_n the length of the word s_n . That is, we have $q_0 = q_1 = 1$ and $q_{n+1} = c_{n-1}q_n + q_{n-1}$. Denote by $\mathscr{C}_k(u)$ the conjugate of order *k* of the word *u*. That is, if $u = u_0v_0$ with $|u_0| = k$, then $\mathscr{C}_k(u) = v_0u_0$. Note that indices are taken mod |u|, so we may allow negative indices and write, for instance, $\mathscr{C}_{-1}(u) = u_0z$ if $u = zu_0$, with $z \in A$. Observe also that $\mathscr{C}_{-1}(u^p) = \mathscr{C}_{-1}(u)^p$.

Lemma 4.2. (1) The factor w_n is not a proper conjugate of s_n .

(2) The set of factors of length q_n of $s_{n-1}s_n$ is equal to $\{\mathscr{C}_k(s_n) \mid 0 \leq k \leq q_{n-1} - 2\} \cup \{w_n\}$.

The first statement is clear since

$$(|w|_a, |w|_b) = \begin{cases} (|s_n|_a - 1, |s_n|_b + 1) & \text{if } n \text{ is odd,} \\ (|s_n|_a + 1, |s_n|_b - 1) & \text{if } n \text{ is even} \end{cases}$$

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Suppose *n* is given and that s_{n+1} ends with $\alpha\beta$ ($\alpha, \beta \in A, \alpha \neq \beta$). We claim

$$s_{n+1}\alpha^{-1}\beta^{-1}\alpha\beta = s_n^{c_{n-1}-1}s_{n-1}s_n,$$

$$s_ns_{n+1}\alpha^{-1}\beta^{-1}\alpha\beta = s_{n+1}s_n.$$
(6)

Proceed by induction. First, we have

$$s_{n+1}\alpha^{-1}\beta^{-1}\alpha\beta = s_n^{c_{n-1}}s_{n-1}\alpha^{-1}\beta^{-1}\alpha\beta$$

= $s_n^{c_{n-1}-1}(s_{n-1}^{c_{n-2}}s_{n-2})s_{n-1}\alpha^{-1}\beta^{-1}\alpha\beta$
= $s_n^{c_{n-1}-1}s_{n-1}^{c_{n-2}}s_{n-1}s_{n-2}$
(we use the induction and apply second equality (6))

$$= s_n^{c_{n-1}-1} s_{n-1} s_{n-1}^{c_{n-2}} s_{n-2}$$
$$= s_n^{c_{n-1}-1} s_{n-1} s_n.$$

Second, we use the equality just proved,

$$s_n s_{n+1} \alpha^{-1} \beta^{-1} \alpha \beta = s_n (s_n^{c_{n-1}-1} s_{n-1} s_n) = s_{n+1} s_n$$

We may now prove point 2. Suppose s_n ends with $\alpha\beta$; applying Eq. (6) we find $s_{n-1}s_n\alpha^{-1}\beta^{-1}\alpha\beta = s_ns_{n-1}$. Thus, the first factors (of length q_n) of $s_{n-1}s_n$ are the conjugates $\mathscr{C}_k(s_n)$ with $1 \le k \le q_{n-1} - 2$. The next factor is just $\beta s_n \alpha^{-1} = w_n$. The last one is $\mathscr{C}_{q_n}(s_n) = \mathscr{C}_0(s_n) = s_n$.

Before continuing on with properties of the words w_n , we need to introduce words v_n , $n \ge 0$, defined by

$$v_n = \alpha s_{n+1}^{c_n - 1} s_n \beta^{-1}, \tag{7}$$

where α and β have appropriate values according to the parity of *n*. That is, v_n differs from w_{n+2} by a factor s_{n+1} . It is useful to set $v_{-1} = \varepsilon$ (cf. Corollary 4.6). Observe that

$$v_n = \mathscr{C}_{-1}(s_{n+1})^{c_n - 1} w_n \tag{8}$$

(so $v_n = w_n$ if $c_n = 1$). Similarly, note also that

$$w_{n+1} = \mathscr{C}_{-1}(s_n)^{c_{n-1}} w_{n-1}.$$
(9)

Proposition 4.3. (1) For all $n \ge 0$, the words v_n and w_n are palindromes and we have

$$v_n = (w_n v_{n-1})^{c_n - 1} w_n = w_n (v_{n-1} w_n)^{c_n - 1} \quad (n \ge 1),$$
(10)

$$w_n = (w_{n-2}v_{n-3})^{c_{n-2}}w_{n-2} = w_{n-2}(v_{n-3}w_{n-2})^{c_{n-2}} \quad (n \ge 3).$$
(11)

Moreover, for all $n \ge 2$, $w_n = v_{n-2}w_{n-1}\beta^{-1}\alpha = \alpha\beta^{-1}w_{n-1}v_{n-2}$, where $\alpha = a$ if n is even and $\alpha = b$ if n is odd.

(2) The words v_n, w_n start and end with a^{c_0+1} if n is even, and with b if n is odd $(n \ge 2)$.

As a consequence, for any $n \ge 2$, no proper conjugate of v_n or w_n is a factor of s. Moreover, the words v_n^2 and w_n^2 are not factors of s.

(3) For all $n \ge 0$, the word w_n is not a factor of the word w_{n+1} .

(4) The word w_n is not the product of two non-empty palindromes. As a consequence, it is primitive.

(5) We have
$$\begin{cases} C_{q_{n-1}-1}(s_n) = \mathscr{C}_{-1}(s_{n-1})^{c_{n-2}-1}w_{n-2}w_{n-1}, \\ C_{q_n-1}(s_n) = w_{n-1}\mathscr{C}_{-1}(s_{n-1})^{c_{n-2}-1}w_{n-2}. \end{cases}$$

Consequently,

the word w_{n-2} is a factor of $\mathscr{C}_k(s_n)$ if and only if $0 \le k \le c_{n-2}q_{n-1} - 1$; the word w_{n-1} is a factor of $\mathscr{C}_k(s_n)$ if and only if $q_{n-1} - 1 \le k \le q_n - 1$.

(6) We have $w_n = \alpha \cdot (\prod_{k=0}^{n-2} v_k) = (\prod_{k=0}^{n-2} v_{n-2-k}) \cdot \alpha$, where $\alpha = a$ if n is even and $\alpha = b$ if n is odd $(n \ge 2)$.

1. We claim that for any $p \ge 0$, $\mathscr{C}_{-1}(s_{n-1})^p w_{n-2}$ is a palindrome and prove it by induction on *n*. The claim is trivially true for n=2 since $w_0 = s_1 = a$. We have

$$\mathscr{C}_{-1}(s_n)^p w_{n-1} = (\alpha s_n \alpha^{-1})^p w_{n-1} = (\alpha s_{n-1}^{c_{n-2}} s_{n-2} \alpha^{-1})^p w_{n-1}$$
$$= [w_{n-1}((\alpha s_{n-1} \alpha^{-1})^{c_{n-2}-1} w_{n-2})]^p w_{n-1}.$$

So, the claim is proved and the fact that v_n and w_n are palindromes follows from Eqs. (8) and (9). Finally, for Eq. (10), observe that by virtue of Eq. (8) it suffices to show $\mathscr{C}_{-1}(s_{n+1}) = w_n v_{n-1}$. This follows from

$$\mathscr{C}_{-1}(s_{n+1}) = \alpha s_n \beta^{-1} (\beta s_n^{c_{n-1}-1} s_{n-1} \alpha^{-1}).$$

Eq. (11) is proved similarly. As for the last equality, we use Eq. (7) together with the preceding result to get

$$w_n = \alpha s_n \beta^{-1} = \alpha (s_n \beta^{-1} \alpha^{-1} \beta \alpha) \alpha^{-1} \beta^{-1} \alpha$$

= $(\alpha (s_{n-1}^{c_{n-2}-1} s_{n-2} \beta^{-1}) (\beta s_{n-1} \alpha^{-1}) \beta^{-1} \alpha$
= $v_{n-2} w_{n-1} \beta^{-1} \alpha$.

The last equality follows from the fact that w_n is a palindrome.

2. Recall that $c_0 > 0$ (cf. Remark 3.3): It is easy to observe that s_n starts with a^{c_0} , for $n \ge 2$, and that it ends with b for n even, and with a for n odd. Hence, the first statement follows from the definitions for w_n and v_n and from the fact that they are palindromes. The other statements are immediate since a^{c_0+2} and bb are not factors of s, since they are not factors of s_n , for any $n \ge 0$.

3. First observe that w_n is not a factor of s_{n+1} . Indeed, this follows from Lemma 4.2 (1) since any factor of $s_{n+1} = s_n^{c_{n-1}} s_{n-1}$ is conjugated to s_n . Suppose that s_{n+1} ends with β . Then w_n is not a factor of $s_{n+1}\beta^{-1}$. Now, since $w_n = \beta s_n \alpha^{-1}$ and $w_{n+1} = \alpha s_{n+1}\beta^{-1}$ (with $\alpha, \beta \in \{a, b\}$ and $\alpha \neq \beta$), we find that w_n cannot be a factor of w_{n+1} .

4. Suppose that $w_n = uv$ with u, v palindromes. Since w_n is a palindrome, we would have $w_n = vu$ contradicting Lemma 4.2(1). If w_n is not primitive, then there exists an

integer $p \ge 2$ and a non-empty word u such that $w_n = u^p$. Then, by point 1, u must be a palindrome; but this contradict point 2 (with $v = u^{p-1}$).

5. The equalities are easily derived from

$$s_n = s_{n-1}^{c_{n-2}} s_{n-2}$$

= $(s_{n-1}\alpha^{-1})(\mathscr{C}_{-1}(s_{n-1})^{c_{n-2}-1})(\alpha s_{n-2}\beta^{-1})\beta$

The last part of the statement then follows from points 2 and 3.

6. First note that $s_n = s_1^{c_0} s_0 s_2^{c_2-1} s_1 \cdots s_{n-1}^{c_{n-2}-1} s_{n-2}$. So,

$$w_n = \alpha s_n \beta^{-1}$$

= $\alpha (\alpha s_1^{c_0 - 1} s_0 \beta^{-1}) \alpha (\beta s_2^{c_0 - 1} s_1 \alpha^{-1}) \cdots (\alpha s_{n-1}^{c_{n-2} - 1} s_{n-2} \beta^{-1})$

(with appropriate values for α and β according to the parity of *n*). So the identity follows from definition (7) of the words v_n . The second identity follows from point 1.

4.2. Self-similarity revisited

This section links Lyndon factors u_n and ℓ_n to singular factors w_n of a given sturmian word s, and states corollaries generalizing results in [10] (and in Section 2.2).

Proposition 4.4. For all $n \ge 1$, we have

$$R^{c_0}L^{c_1}\cdots R^{c_{2n-2}}L^{c_{2n-1}} \cdot u_n = (w_{2n}, w_{2n+1}),$$
(12)

$$R^{c_0}L^{c_1}\cdots R^{c_{2n-2}}L^{c_{2n-1}-1} \cdot u'_n = (w_{2n}, v_{2n-1}),$$
(13)

$$R^{c_0}L^{c_1}\cdots L^{c_{2n-1}}R^{c_{2n-1}} \cdot \ell_n = (v_{2n}, w_{2n+1}).$$
⁽¹⁴⁾

We shall make use of Eqs. (3)-(5) introduced earlier. We proceed by induction. Eq. (3) leads to

$$R^{c_0}L^{c_1}\cdots R^{c_{2n}}L^{c_{2n+1}} \cdot u_{n+1} = (u'_n x_0, y_0 \ell_n^{c_{2n+1}})$$

with $(x_0, y_0) = R^{c_0} L^{c_1} \cdots L^{c_{2n-1}} R^{c_{2n-1}} . \ell_n$. Consequently, the result follows by induction together with the identities $u'_n v_{2n} = (w_{2n} v_{2n-1}) v_{2n} = w_{2n+2}$ and $w_{2n+1} \ell_n^{c_{2n+1}} = w_{2n+1} (v_{2n} w_{2n+1})^{c_{2n+1}} = w_{2n+3}$ (use Proposition 4.3.1).

Similarly, Eq. (4) gives $R^{c_0}L^{c_1}\cdots R^{c_{2n+1}-1} \cdot u'_{n+1} = (u'_nx_0, y_0\ell_n^{c_{2n+1}-1})$ with $(x_0, y_0) = R^{c_0}L^{c_1}\cdots R^{c_{2n-1}} \cdot \ell_n$. Hence, induction together with Proposition 4.3.1 applied to $u'_nv_{2n} = w_{2n}v_{2n-1}v_{2n} = w_{2n+2}$ and $w_{2n+1}(v_{2n}w_{2n+1})^{c_{2n+1}-1} = v_{2n+1}$ gives the result.

Finally, using Eq. (5) we find

$$R^{c_0}L^{c_1}\cdots L^{c_{2n+1}}R^{c_{2n+2}-1} \cdot \ell_{n+1} = (u'_{n+1}^{c_{2n+2}-1}x_0, y_0)$$

with $(x_0, y_0) = R^{c_0} L^{c_1} \cdots R^{c_{2n+1}} . u_{n+1}$. The result follows by induction using the identity $v_{2n+2} = u_{n+1}^{c_{2n+2}-1} w_{2n+2}$.

As a corollary, Eq. (14) leads to an identity generalizing that in Thmeorem 2.5.

Corollary 4.5. We have

$$s = \prod_{j=0}^{\infty} (v_{2j} w_{2j+1})^{c_{2j+1}} = \prod_{j=0}^{\infty} v_j.$$

The first equality is clear. The second one follows from Proposition 4.3.1. Indeed, we have $(v_{2j}w_{2j+1})^{c_{2j+1}} = v_{2j}w_{2j+1}(v_{2j}w_{2j+1})^{c_{2j+1}-1} = v_{2j}v_{2j+1}$.

The next corollary generalizes the identity given in [10, Theorem 2] (Theorem 2.6), although we still have to prove the *nonoverlapping property* for the words w_n . Let $(d_m)_{m\geq 0}$ and $(d'_m)_{m\geq 0}$ be as in Theorem 3.4.

Corollary 4.6. The sturmian word s may be written as 1.

$$s = \prod_{j=0}^{n-1} (v_{2j} w_{2j+1})^{c_{2j+1}} (z_1 \, \bar{w}_{2n-1}^{(1)} \, z_2 \, \bar{w}_{2n-1}^{(2)} \, z_3 \, \cdots),$$

where $z_1 z_2 z_3 \cdots$ is the sturmian word with directive sequence $(d_m)_{m \ge 0}$ over the alphabet $\{w_{2n}, v_{2n-2}\}$ and $\bar{w}_{2n-1}^{(i)} = v_{2n-1}$ if $z_i = w_{2n}$ and $\bar{w}_{2n-1}^{(i)} = w_{2n-1}$ if $z_i = v_{2n-2}$, for all $i \ge 1$;

2. or,

$$s = \prod_{j=0}^{n-1} (v_{2j} w_{2j+1})^{c_{2j+1}} (\bar{w}_{2n}^{(0)} z_1 \bar{w}_{2n}^{(1)} z_2 \bar{w}_{2n}^{(2)} z_3 \cdots)$$

where $z_1 z_2 z_3 \cdots$ is the sturmian word with directive sequence $(d'_m)_{m \ge 0}$ over the alphabet $\{v_{2n-1}, w_{2n+1}\}$ and $\bar{w}_{2n}^{(i)} = w_{2n}$ for all $i \ge 0$.

Moreover, given $n \ge 0$, any two occurences of w_n in s are separated either by v_{n-1} or by w_{n+1} . Consequently, these above expansions may be obtained by locating the non-ovelapping occurences of w_n in s.

Let us first look at an example, with n = 1, to illustrate case 2 of the corollary. We have $w_2 = a^{c_0+1}$, $v_1 = b(a^{c_0}b)^{c_1-1}$ and $w_3 = b(a^{c_0}b)^{c_1}$. We compute s_5 as an approximation for s:

$$s = (((a^{c_0}b)^{c_1} a)^{c_2} a^{c_0}b)^{c_3} (a^{c_0}b)^{c_1} a \cdots$$

Writing this as

$$(a^{c_0} b)^{c_1} \cdot [(\underline{a^{c_0+1}} b(a^{c_0} b)^{c_1-1})^{c_2-1} \underline{a^{c_0+1}} b(a^{c_0} b)^{c_1}]^{c_3} a \cdots$$

= $(v_0 w_1)^{c_1} \cdot [(w_2 v_1)^{d'_0} w_2 w_3]^{d'_1} a \cdots$

we get the beginning of the expansion predicted by Corollary 4.6.

Proof of Corollary 4.6. Statements 1 and 2 in the corollary are proved as in Section 2.4, by rewriting the identity in Theorem 3.4 using Eqs. (12)-(14) of Proposition 4.4. Indeed, we have by virtue of Theorem 3.4

$$s = \left(\prod_{j=0}^{n-1} \ell_j^{c_{2j+1}}\right) \times \bar{s}_{\{u'_n, u''_n\}},$$

where $\bar{s}_{\{u'_n, u''_n\}}$ denotes the sturmian word associated with $(d_m)_{m \ge 0}$ over the alphabet $\{u'_n, u''_n\}$. Observe that $u''_n = \ell_{n-1}$ (cf. Eq. (5)). Hence, according to Proposition 4.4, this is equal to

$$\left(\prod_{j=0}^{n-1} (v_{2j}w_{2j+1})^{c_{2j+1}}\right) \times \bar{s}_{\{w_{2n}v_{2n-1}, v_{2n-2}w_{2n-1}\}}.$$

That is, $\bar{s}_{\{w_{2n}v_{2n-1}, v_{2n-2}w_{2n-1}\}}$ is obtained by first forming the sturmian word associated with $(d_m)_{m \ge 0}$ over the alphabet $\{w_{2n}, v_{2n-2}\}$ and then insert v_{2n-1} (resp. w_{2n-1}) after each occurence of w_{2n} (resp. v_{2n-2}). This is precisely what says part 1 of the corollary. Using part 2 of Theorem. 3.4 gives a proof for the second statement.

Hence, we may concentrate on the last statement concerning the non-overlapping property of the word w_n , which follows from the next lemma. We will say that a word *u* overlaps the product xy if xy = x'uy' where x', y' non-empty are such that |x'| < |x| and |y'| < |y| (where x, y, x', y' are words).

Lemma 4.7. (1) The word w_n is not a factor of the word v_{n-1}

(2) The word w_n does not overlap neither $w_n v_{n-1}$, nor $v_{n-1} w_n$.

(3) The word w_n does not overlap neither $w_n w_{n+1}$, nor $w_{n+1} w_n$.

(4) The product $\prod_{k \ge n} (v_{2k}w_{2k+1})^{c_{2k+1}}$ may be uniquely expressed in terms of v_{2n-1} , w_{2n} and w_{2n+1} only. Moreover, this expression is completely determined by locating the occurences of w_{2n} in $(v_{2k}w_{2k+1})^{c_{2k+1}}$.

1. Suppose on the contrary that w_n is a factor of v_{n-1} . Then, it is a factor of $\alpha(\prod_{k=0}^{n-2} v_k)v_{n-1}$. But this last expression is equal to w_{n+1} , by Proposition 4.3(6) (with appropriate value for α); so we get a contradiction since w_n is not a factor of w_{n+1} , by Proposition 4.3(3).

2. We have $w_n v_{n-1} = \alpha(\prod_{k=0}^{n-2} v_k)v_{n-1}$ and $v_{n-1}w_n = v_{n-1}(\prod_{k=0}^{n-2} v_{n-2-k})\alpha$, by Proposition. 4.3(6). If w_n were to overlap $w_n v_{n-1}$ or $v_{n-1}w_n$ then it would be a factor of $(\prod_{k=0}^{n-2} v_k)v_{n-1}$ or $v_{n-1}(\prod_{k=0}^{n-2} v_{n-2-k})$ hence of w_{n+1} . But, again, this contradicts Proposition 4.3(3).

3. Write

$$w_n w_{n+1} = \left(\alpha \prod_{k=0}^{n-2} v_k\right) \left(\prod_{k=0}^{n-1} v_{n-1-k}\beta\right) = \alpha \left(\prod_{k=0}^{n-2} v_k\right) v_{n-1} \left(\prod_{k=0}^{n-2} v_{n-2-k}\right) \beta$$

(with appropriate values for α, β where $\alpha \neq \beta$). Observe that $|v_{n-1}| = q_{n+1} - q_n = (c_{n-1} - 1)q_n + q_{n-1}$. Then, either $c_{n-1} \ge 2$ and then $|v_{n-1}| > |w_n|$ or $c_{n-1} = 1$ and $v_{n-1} = w_{n-1}$. Suppose first that $v_{n-1} = w_{n-1}$; then we cannot have $w_n = xv_{n-1}y$ since, by Proposition 4.3, w_{n-1} is not a factor of w_n . Suppose now that $|v_{n-1}| > |w_n|$. Then, if w_n were to overlap $w_n w_{n+1}$ it would have to be a factor of $(\prod_{k=0}^{n-2} v_k)v_{n-1}$ or $v_{n-1}(\prod_{k=0}^{n-2} v_{n-2-k})$, hence of w_{n+1} . So we may conclude as in case 2. We only need to exchange α and β to obtain a proof for $w_{n+1}w_n$.

4. We first prove the existence and unicity of the expansion for any factor $(v_{2k}w_{2k+1})^{c_{2k+1}}$. We proceed by induction together with Eqs. (10) and (11) of Proposition 4.3(1) to show, in addition, that v_{2k-1} , v_{2k} , w_{2k} and w_{2k+1} may be expressed in terms of v_{2n-1} , w_{2n} and w_{2n+1} only, and that, moreover,

- v_{2k} and w_{2k} start and end with w_{2n} ,
- v_{2k-1} and w_{2k+1} start and end with w_{2n+1} .

For k = n we have

$$(v_{2n}w_{2n+1})^{c_{2n+1}} = [(w_{2n}v_{2n-1})^{c_{2n}-1}w_{2n}w_{2n+1}]^{c_{2n+1}}$$

Recall that by virtue of Proposition 4.3(2), each occurence of w_{2n} in the expansion is necessarily followed by either v_{2n-1} or w_{2n+1} . The unicity of the expansion follows from points 1–3. Indeed, since $|v_{2n-1}| = q_{2n+1} - q_{2n} \neq q_{2n+1} = |w_{2n+1}|$, any other expansion would provide a situation where either w_{2n} is a factor of v_{2n-1} , or else overlaps one of $w_{2n}v_{2n-1}$, $v_{2n-1}w_{2n}$, $w_{2n}w_{2n+1}$ or $w_{2n+1}w_{2n}$. We use Proposition 4.3(1) and compute, for $k \ge n$:

$$(v_{2k+2}w_{2k+3})^{c_{2k+3}} = [(w_{2k+2}v_{2k+1})^{c_{2k+2}-1}w_{2k+2}(w_{2k+1}v_{2k})^{c_{2k+1}}w_{2k+1}]^{c_{2k+3}}.$$

Combine this with Eqs. (11) and (10) applied to w_{2k+2} and v_{2k+1} . This shows the existence of the predicted expansion. Unicity again follows from points 1-3. The fact that the expansion is unique for each factor $(v_{2k}w_{2k+1})^{c_{2k+1}}$ implies, by virtue of points 1-3 again, that it is unique also for the infinite product $\prod_{k \ge n} (v_{2k}w_{2k+1})^{c_{2k+1}}$. Hence part 4 of the lemma is established, which ends the proof of Corollary 4.6.

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