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## On the Decidability of Homomorphism Equivalence for Languages\*

K. CULIK II

*Department of Computer Science, University of Waterloo, Waterloo, Ontario, Canada*

AND

ARTO SALOMAA

*Mathematics Department, University of Turku, Turku, Finland*

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We consider decision problems of the following type. Given a language  $L$  and two homomorphisms  $h_1$  and  $h_2$ , one has to determine to what extent  $h_1$  and  $h_2$  agree on  $L$ . For instance, we say that  $h_1$  and  $h_2$  are equivalent on  $L$  if  $h_1(w) = h_2(w)$  holds for each  $w \in L$ . In our main theorem we present an algorithm for deciding whether two given homomorphisms are equivalent on a given context-free language. This result also gives an algorithm for deciding whether the translations defined by two deterministic gsm mappings agree on a given context-free language.

### 1. INTRODUCTION

Although homomorphism is a very simple and, at least from the point of view of mathematics, the most important operation defined for languages, some of the very basic questions concerning homomorphisms have turned out to be very difficult or are still unanswered. The best example of the former is the DOL equivalence problem (cf. [1, 2]) which was open for a long time. This paper investigates problems of the latter type.

The basic setup is as follows. We are given a language  $L$  (belonging to some specified family of languages) over an alphabet  $\Sigma$  and two homomorphisms  $h_1$  and  $h_2$  mapping  $\Sigma^*$  into  $\Sigma_1^*$ , where  $\Sigma_1$  is a possibly different alphabet. We want to know to what extent  $h_1$  and  $h_2$  "agree" on  $L$ . More specifically, we want to know whether or no the equation

$$h_1(w) = h_2(w)$$

holds (i) for some  $w \in L$ , (ii) for infinitely many  $w \in L$ , (iii) for all  $w \in L$ , (iv) for all but finitely many  $w \in L$ . Questions (i)–(iv) give rise to four decision problems for each particular family of languages we are considering. It is easy to see that the HDOL

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(sequence) equivalence problem is simply problem (iii) stated for the family of DOL languages. We feel that solutions to problems of the kind described often give important information concerning the structure of the languages considered.

A brief outline of the contents of this paper follows. After the basic definitions and preliminary results presented in Section 2 we consider in Section 3 the problems (i)–(iv) for regular and context-sensitive languages. Section 4 deals with the same problems for the family of context-free languages. In particular, we show that problem (iii) is decidable for this family. This main result of our paper, we feel, is rather surprising because several related problems are undecidable, as will be pointed out. The results in the final Section 5 concern problems slightly different from (i)–(iv) in that, in Section 5, iterated homomorphisms will be considered. However, they can be viewed as problems similar to (i)–(iv) for DTOL languages.

## 2. PRELIMINARIES

We assume that the reader is familiar with the fundamental theory of formal languages including the basics of  $L$  systems, cf. [4]. However,  $L$  systems will be referred to only in some parts of the paper. For convenience, some of the definitions will be given here.

A *DOL system* is a triple  $G = (\Sigma, h, w)$ , where  $\Sigma$  is an alphabet,  $h$  is a homomorphism on  $\Sigma^*$  and  $w$  is a nonempty word over  $\Sigma$ . The language (resp. sequence) generated by  $G$  is defined by

$$L(G) = \{h^i(w) \mid i \geq 0\} \quad (\text{resp. } S(G) = w, h(w), h^2(w), \dots).$$

An *HDOL system*  $G_1$  consists of a DOL system  $G$  and another homomorphism  $h_1$  mapping  $\Sigma^*$  into  $\Sigma_1^*$ , for some alphabet  $\Sigma_1$ . The language and sequence defined by  $G_1$  are obtained from  $L(G)$  and  $S(G)$  by an application of the homomorphism  $h_1$ .

A *DTOL system* is a tuple

$$G = (\Sigma, h_1, \dots, h_m, w), \quad m \geq 1,$$

where  $(\Sigma, h_i, w)$  is a DOL system for each  $i$ . The language generated by the DTOL system  $G$  consists of all words of the form

$$h_{j_1} h_{j_2} \cdots h_{j_k}(w), \quad k \geq 0, \quad 1 \leq j_i \leq m.$$

The *length* of a word  $w$  is denoted by  $|w|$ . For the empty word  $\lambda$ ,  $|\lambda| = 0$ .

We now introduce the basic notions of this paper.

Assume that  $L$  is a language over the alphabet  $\Sigma$ , and that  $h_1$  and  $h_2$  are homomorphisms on  $\Sigma^*$ . Then we say that

- (i)  $h_1$  and  $h_2$  are *compatible* on  $L$  if, for some  $w \in L$ ,  $h_1(w) = h_2(w)$ ;
- (ii)  $h_1$  and  $h_2$  are *strongly compatible* on  $L$  if, for infinitely many  $w \in L$ ,  $h_1(w) = h_2(w)$ ;

(iii)  $h_1$  and  $h_2$  are *equivalent* on  $L$  if, for all  $w \in L$   $h_1(w) = h_2(w)$ ;

(iv)  $h_1$  and  $h_2$  are *ultimately equivalent* on  $L$  if there is only a finite number of words  $w \in L$  such that  $h_1(w) \neq h_2(w)$ .

As an example, consider the alphabet  $\Sigma = \{a, b, c, d\}$  and homomorphisms  $h_1$  and  $h_2$  defined by

$$\begin{aligned} h_1(a) &= aba, & h_1(b) &= b, & h_1(c) &= dd, & h_1(d) &= ab \\ h_2(a) &= h_2(b) = h_2(c) = ab, & h_2(d) &= cc. \end{aligned}$$

Then  $h_1$  and  $h_2$  are ultimately equivalent (but not equivalent) on the language  $L(G)$ , where  $G$  is the DOL system  $G = (\Sigma, h_1, abc)$ .

Clearly, this implies that  $h_1$  and  $h_2$  are strongly compatible on  $L(G)$ , such an implication being valid with respect to any infinite language.

The four notions introduced above define in a natural way four decision problems with respect to every effectively specified language family. Thus, we may speak of the "homomorphism compatibility problem" for regular languages. If there is no danger of confusion, we may drop the word "homomorphism" when discussing these problems.

It should be emphasized already at this point that the problem of homomorphism equivalence is not the same as the problem of deciding whether or not  $h_1(L) = h_2(L)$  holds for a language  $L$  in the family we are considering. Indeed, the latter problem is undecidable for context-free languages. (This can be shown as follows. Consider arbitrary context-free languages  $L_1$  and  $L_2$ . By providing all letters in the terminal alphabet of  $L_2$  with a bar, we construct the "barred version"  $\bar{L}_2$  of  $L_2$ . We define now

$$L = L_1\bar{L}_2, \quad h_1(a) = h_2(\bar{a}) = \lambda, \quad h_1(\bar{a}) = h_2(a) = a,$$

for all letters  $a$ . Then  $h_1(L) = h_2(L)$  if and only if  $L_1 = L_2$ .) However, in Section 4 we shall prove that the problem of homomorphism equivalence is decidable for context-free languages.

A very important tool in the proofs below will be the notion of balance defined as follows.

Consider two homomorphisms  $h_1$  and  $h_2$  defined on  $\Sigma^*$  and a word  $w \in \Sigma^*$ . Then the *balance* of  $w$  is defined by

$$\beta(w) = |h_1(w)| - |h_2(w)|.$$

(Thus  $\beta(w)$  is an integer depending, apart from  $w$ , also on  $h_1$  and  $h_2$ . However, we write it simply  $\beta(w)$  because the homomorphisms, as well as their ordering, will always be clear from the context.) Note that the balance of  $w$  in [2] was defined as  $|\beta(w)|$  in our notation.

It is an immediate consequence of the definition that

$$\beta(w_1w_2) = \beta(w_1) + \beta(w_2).$$

A repeated application of this equation shows that the balance of a word  $w$  depends only on the Parikh vector of  $w$ .

We say that the pair  $(h_1, h_2)$  has *bounded balance* on a given language  $L$  if there exists a constant  $C$  such that

$$|\beta(w)| \leq C$$

holds for all initial subwords  $w$  of the words in  $L$ .

The property of having bounded balance gives a method of deciding homomorphism equivalence. More specifically, we can state this as follows.

We call a family  $\mathcal{L}$  of languages *smooth* if each of the following conditions (i)–(iii) is satisfied:

- (i)  $\mathcal{L}$  is effectively closed under deterministic *gsm* mappings;
- (ii) The emptiness problem is decidable for languages in  $\mathcal{L}$ ;
- (iii) For each language  $L$  in  $\mathcal{L}$  and each pair of homomorphisms  $(h_1, h_2)$ , whenever  $h_1$  and  $h_2$  are equivalent on  $L$ , then  $(h_1, h_2)$  has bounded balance on  $L$ .

(We assume a certain fixed finite representation method for languages in  $\mathcal{L}$ .)

An obvious modification of the proof of Theorem 2.1 in [1] gives now the following

**THEOREM 2.1.** *The problem of homomorphism equivalence is decidable for any smooth family  $\mathcal{L}$ .*

As an example, consider the family of regular languages. That it is smooth follows directly from the proof of Theorem 5 in [2]. This can be established also by the following argument. Consider a regular language  $L$  and two homomorphisms  $h_1$  and  $h_2$  equivalent on  $L$ . We consider the minimal finite deterministic automaton accepting  $L$ . Any word  $w$  causing a loop in the automaton (i.e., mapping some state into itself) must satisfy

$$\beta(w) = 0.$$

(Otherwise, we would have  $\beta(w_1 w^n w_2) \neq 0$  and  $w_1 w^n w_2 \in L$ , for some words  $w_1$  and  $w_2$  and some sufficiently large number  $n$ . Hence, we would have

$$h_1(w_1 w^n w_2) \neq h_2(w_1 w^n w_2),$$

a contradiction.) Thus, an upper bound for the balance of initial subwords of the words in  $L$  can be computed by considering such words  $w$  only which cause a transition from the initial state to one of the final states without loops. Clearly, the number of such words  $w$  is finite.

On the other hand, the family of context-free languages is not smooth. A simple example showing this is provided by the language

$$L = \{a^n b^n \mid n \geq 1\}$$

and homomorphisms  $h_1$  and  $h_2$  defined by

$$h_1(a) = h_2(b) = aa, \quad h_2(a) = h_1(b) = a.$$

Clearly,  $h_1$  and  $h_2$  are equivalent on  $L$  but the balance on initial subwords  $a^n$  is unbounded.

We will show in Section 4 that, in spite of the fact that the family is not smooth, the homomorphism equivalence problem is still decidable for the family of context-free languages. The argument will show that situations (like the one in the example above) caused by the Pumping Lemma are, in fact, the only ones where the balance may grow unbounded.

We note, finally, that the family of DOL languages does not satisfy condition (iii) given in the definition of smoothness. (This is really the essential condition. The other two conditions can be modified in various ways without affecting the validity of Theorem 2.1.) However, it is an open problem whether or not homomorphism equivalence is decidable for the family of DOL languages. As regards this problem, it is easy to verify the following reduction result.

**THEOREM 2.2.** *Homomorphism equivalence is decidable for the family of DOL languages if and only if sequence equivalence is decidable for HDOL systems.*

### 3. DECIDABILITY RESULTS FOR REGULAR AND CONTEXT-SENSITIVE LANGUAGES

The following two sections establish the decidability status of the four decision problems, mentioned in Section 2, for the language families in the Chomsky hierarchy. We consider the hierarchy up to deterministic context-sensitive languages only because already at this level all problems become undecidable. As corollaries we obtain also some related results, for instance, concerning the equivalence of two deterministic gsm mappings on a given language  $L$ .

Intuitively, decision problems concerning homomorphism compatibility are more difficult than those concerning homomorphism equivalence. Also deciding ultimate equivalence is harder than deciding equivalence. The results below show that this is indeed the case. From the undecidability of the Post correspondence problem it follows immediately the following

**THEOREM 3.1.** *The problems of homomorphism compatibility and strong compatibility are undecidable for the family of regular languages.*

The following result is easy to establish using the standard techniques.

**THEOREM 3.2.** *The problems of homomorphism equivalence and ultimate equivalence are undecidable for the family of deterministic context-sensitive languages.*

**THEOREM 3.3.** *The problems of homomorphism equivalence and ultimate equivalence are decidable for the family of regular languages.*

*Proof.* The statement concerning equivalence follows by Theorem 2.1. The decidability of ultimate equivalence is shown by the argument presented in Section 2 to show the smoothness of the family of regular languages. In fact, if  $h_1$  and  $h_2$  are ultimately equivalent on a regular language  $L$  then  $\beta(w) = 0$  for all words  $w$  causing a loop in the automaton accepting  $L$ . Rhus,  $h_1$  and  $h_2$  are ultimately equivalent on  $L$  if and only if they are equivalent on the regular language  $L_1$  obtained from  $L$  by removing all words of a length smaller than the number of states in the automaton. ■

We conclude this section by a result showing how the decidability of homomorphic equivalence implies the decidability of deterministic gsm equivalence. More specifically, we say that two deterministic gsm's  $M_1$  and  $M_2$  are *equivalent* on a language  $L$  if  $M_1(w) = M_2(w)$  holds for all  $w \in L$ . Given a family  $\mathcal{L}$  of languages, we can in a natural way speak about the deterministic gsm equivalence problem for  $\mathcal{L}$ .

**THEOREM 3.4.** *Assume that  $\mathcal{L}$  is a family of languages with the following properties:*

- (i)  $\mathcal{L}$  is effectively closed under deterministic gsm mappings;
- (ii) the emptiness problem for  $\mathcal{L}$  is decidable;
- (iii) the homomorphism equivalence problem for  $\mathcal{L}$  is decidable.

*Then the deterministic gsm equivalence problem is decidable for  $\mathcal{L}$ .*

*Proof.* Consider an arbitrary  $L \in \mathcal{L}$ ,  $L \subseteq \Sigma^*$  and two deterministic gsm's  $M_1$  and  $M_2$  with input alphabet  $\Sigma$  and output alphabet  $\Delta$ . Let  $R_i = \text{dom } M_i$ ,  $i = 1, 2$ , and  $R_3$  is the symmetric difference of  $R_1$  and  $R_2$ . Clearly,  $R_i$  is regular,  $i = 1, 2, 3$ . Now,  $M_1$  and  $M_2$  are equivalent on  $L$  iff they are equivalent on  $L' = L \cap R_1 \cap R_2$  and  $L \cap R_3 = \emptyset$ . Since intersection of  $L$  with a regular set can be expressed as the result of a deterministic gsm mapping applied to  $L$  we have  $L' \in \mathcal{L}$  and  $L \cap R_3 \in \mathcal{L}$ . Since the emptiness problem for  $\mathcal{L}$  is decidable, we can check whether  $L \cap R_3 = \emptyset$ , if so we proceed to check the equivalence of  $M_1$  and  $M_2$  on  $L'$ . We remind that  $L' \in \mathcal{L}$  and  $M_1, M_2$  are defined ( $M_i(w) \neq \emptyset, i = 1, 2$ ) for each  $w \in L'$ .

Now, we provide the output letters of  $M_2$  with primes, yielding the alphabet  $\Delta'$ , and assume without loss of generality that  $\Sigma, \Delta$  and  $\Delta'$  are pairwise disjoint. We then replace  $M_1$  by the deterministic gsm  $M'_1$  obtained from  $M_1$  as follows. Each instruction  $(s_1, a; w, s_2)$  is replaced by  $(s_1, a; aw, s_2)$ . (The instruction  $(s_1, a; w, s_2)$  means: in the state  $s_1$  when scanning the input letter  $a$ , go to the state  $s_2$  and output the word  $w$ .) Thus, the input alphabet of  $M'_1$  is  $\Sigma$ , output alphabet being  $\Sigma \cup \Delta$ . Finally, we replace  $M_2$  by the deterministic gsm  $M'_2$  obtained from  $M_2$  as follows. For each state  $s$  of  $M_2$  and each letter  $a$  of  $\Delta$ , the instruction  $(s, a; a, s)$  is added. Thus, the input alphabet of  $M'_2$  is  $\Sigma \cup \Delta$ , output alphabet being  $\Delta \cup \Delta'$ .

Consider now the language  $L_1 = M'_2(M'_1(L'))$  over the alphabet  $\Delta \cup \Delta'$ . By the assumption,  $L_1$  is in the family  $\mathcal{L}$  and can be effectively constructed. Define two homomorphisms  $h_1$  and  $h_2$  by

$$h_1(a) = h_2(a') = a, \quad h_1(a') = h_2(a) = \lambda \quad \text{for } a \in \Delta.$$

Then  $M_1$  and  $M_2$  are equivalent on  $L'$  if and only if  $h_1$  and  $h_2$  are equivalent on  $L_1$ . ■

The following result is an immediate consequence of Theorems 3.3 and 3.4. It can be obtained also directly using the fact that the equivalence of deterministic gsm's is decidable.

**THEOREM 3.5.** *The deterministic gsm equivalence problem is decidable for the family of regular languages.*

Applying Theorem 3.5 to the language  $\Sigma^*$  we get another proof of the fact that the equivalence of deterministic gsm's is decidable.

#### 4. HOMOMORPHISM EQUIVALENCE FOR CONTEXT-FREE LANGUAGES

We have already settled the decidability status of our four problems for the language families in the Chomsky hierarchy, with the exception of the homomorphism equivalence and ultimate equivalence problems for the family of context-free languages. Both problems will be shown decidable in this section. The following theorem is our main result.

**THEOREM 4.1.** *The problem of homomorphism equivalence is decidable for the family of context-free languages.*

To establish Theorem 4.1, it suffices to prove the following

**THEOREM 4.2.** *For each context-free language  $L$  over the alphabet  $\Sigma$  and all homomorphisms  $h_1$  and  $h_2$  defined on  $\Sigma^*$ , we can effectively construct a context-free language  $L'$  over the alphabet  $\Sigma'$  and two homomorphisms  $h'_1$  and  $h'_2$  on  $\Sigma'^*$  such that*

- (i) *If  $h_1$  and  $h_2$  are equivalent on  $L$  then so are  $h'_1$  and  $h'_2$  on  $L'$  and, furthermore, the pair  $(h'_1, h'_2)$  has bounded balance on  $L'$ ;*
- (ii) *If  $h'_1$  and  $h'_2$  are equivalent on  $L'$ , then so are  $h_1$  and  $h_2$  on  $L$ .*

Indeed, the fact that Theorem 4.1 is a consequence of Theorem 4.2 is seen by exactly the same argument as in the proof of Theorem 2.1 in [1]: We run concurrently two semi-algorithms, one for nonequivalence and the other for equivalence. The former is obvious. The latter consists of checking for  $k = 0, 1, 2, \dots$ , whether or not  $h'_1$  and  $h'_2$  are equivalent on  $L'$  with balance bounded by  $k$ . This can be done by deciding the emptiness of the context-free language  $M_k(L')$ , where  $M_k$  is a deterministic gsm with a "buffer" of length  $k$  in its finite control.

We now begin the proof of Theorem 4.2. Without loss of generality, we assume that  $L$  is an infinite language, generated by a reduced context-free grammar  $G$ , where every nonterminal generates an infinite language and there are no productions of the form  $A \rightarrow B$  with  $A$  and  $B$  nonterminals.

The following observation will be used throughout the proof without explicit mentioning. When analyzing  $G$ , if we meet a situation showing that  $h_1(w) \neq h_2(w)$  for some  $w \in L$ , we may stop the construction immediately (and choose  $L = L'$ ). Thus, we may assume that such situations do not arise during our construction.

The following simple lemma is of basic importance.

LEMMA 4.3. *Assume that  $B \Rightarrow^* vBx$  is a derivation according to  $G$ , where  $v$  and  $x$  are terminal words. Then  $\beta(vx) = 0$ , or else  $h_1$  and  $h_2$  are not equivalent on  $L$ .*

Lemma 4.3 is established as follows. For some  $u, w, y$ , all words

$$P_n = uw^nwx^ny$$

are in  $L$ . Thus,  $\beta(vx) \neq 0$  implies that  $\beta(P_n) \neq 0$ , for all sufficiently large  $n$ . ■

By Lemma 4.3 and the observation preceding it, we assume that in all situations encountered in our process we actually have  $\beta(vx) = 0$ .

LEMMA 4.4. *For every nonterminal  $B$  of  $G$ ,  $\beta(w)$  is constant for all terminal words  $w$  such that  $B \Rightarrow^* w$  (or else  $h_1$  and  $h_2$  are not equivalent on  $L$ ). This constant, say  $\beta(B)$ , can be computed from any terminal word generated from  $B$ .*

The proof of Lemma 4.4 is obvious. Also the following lemma is easily established by the "shifting" argument used in [1]. (In fact, the situation here is much simpler than the one considered in the proof of Theorem 3.2 in [1].)

LEMMA 4.5. *Assume that  $B \Rightarrow^* vBx$  and  $B(v) \neq 0$ . Denote by  $L_B$  the language generated by  $B$ . Then there is a word  $p$  (referred to as a period of  $B$ ) and words  $q, q', r$  and  $r'$  such that*

$$h_1(L_B) \subseteq qp^*r, \quad h_2(L_B) \subseteq q'p'^*r',$$

and either  $q = \epsilon$  or  $q' = \epsilon$  (or else  $h_1$  and  $h_2$  are not equivalent on  $L$ ).

Using Lemma 4.5, we shall classify nonterminals of  $G$  as "periodic" or "nonperiodic." Lemma 4.5 shows that in recursive situations  $B \Rightarrow^* vBx$  we can have  $\beta(v) \neq 0$  (or  $\beta(x) \neq 0$ ; cf. Lemma 4.3) only in connection with a periodic nonterminal  $B$ . In our algorithm we will test nonterminals for periodicity in all simple derivation loops. Nonterminals found to be periodic and consistent with the assumption that  $h_1$  and  $h_2$  are equivalent on  $L$  are finally replaced by their periods, yielding the language  $L'$  of Theorem 4.2.

To describe the algorithm more formally, we introduce some terminology. Consider the given context free grammar  $G = (N, \Sigma, P, S)$ . We say that the derivation

$$B \Rightarrow^+ uBv$$

where  $u, v \in \Sigma^*$  is a *simple recurrence situation* (SRS for short) for nonterminal  $B$  if in the derivation tree corresponding to this derivation there are no more than three occurrences of the same nonterminal on any path from the root to a leaf.

Thus, if  $B \rightarrow aBaBB$  is a production of  $G$  it would induce many but still a finite number of SRS for  $B$ .



With each nonterminal  $B$  we associate two languages, the language  $L_B = \{w \in \Sigma^* \mid B \Rightarrow^* w\}$  and the finite language  $F_B$  consisting of terminal words derived from  $B$  without loops, i.e., no path in the derivation tree contains two occurrences of the same nonterminal.

Now, we execute the following algorithm, when we encounter STOP we have "non-equivalence."

*Step 1.* For each  $B$ , verify that for all  $w$  in  $F_B$   $\beta(w)$  is constant, if not STOP.

*Step 2.* For each  $B \in N$  consider all the SRS's  $B \Rightarrow^+ uBv$ .

If for any one of them  $\beta(uv) \neq 0$ , then STOP.

If there is a SRS with  $\beta(u) < 0$  let  $r_B = q'_B = \lambda$ , let  $q_B$  be the shortest word of  $h_1(L_B)$  (which is unique), let  $r'_B$  be the shortest word of  $h_2(L_B)$  (which is unique), let  $p_B$  be the shortest period of  $h_1(v)$ , and let  $p'_B$  be the shortest period of  $h_2(u)$ ; otherwise if there is a SRS with  $\beta(u) > 0$  let  $r'_B = q_B = \lambda$ , let  $r_B$  be the shortest word in  $h_1(L_B)$  and let  $q'_B$  be the shortest word in  $h_2(L_B)$ , let  $P_B$  be the shortest period of  $h_1(u)$  and  $p'_B$  the shortest period of  $h_2(v)$ . In both the above cases we say that  $B$  is properly periodic. For each such  $B$  verify that  $h_1(L_B) \subseteq q_B p_B^* r_B$  and  $h_2(L_B) \subseteq q'_B p_B'^* r'_B$  where  $p'_B$  is a circular shift of  $p_B$ . If the verification fails, then STOP, otherwise add new terminals  $a_B, b_B$  and  $c_B$  to  $\Sigma$  and extend homomorphisms  $h_1, h_2$  to  $h'_1, h'_2$  by:  $h'_1(b_B) = q_B, h'_2(b_B) = q'_B, h'_1(a_B) = p_B, h'_2(a_B) = p'_B, h'_1(c_B) = r_B$  and  $h'_2(c_B) = r'_B$ . If for some  $B$  in  $N$  for all SRS  $B \Rightarrow^+ uBv$  we have  $\beta(u) = 0$ , then there is no transformation related to this  $B$ .

*Step 3.* Let  $N_p = \{A \in N \mid A \text{ is properly periodic}\}$  and let  $\Sigma_p = \{a_B, b_B, c_B \mid B \in N_p\}, \bar{N} = \{\bar{B} \mid B \in N_p\}$ , are new symbols. We construct context free grammar  $G' = (N \cup \bar{N}, \Sigma \cup \Sigma_p, P', S)$  where  $P' = P \cap (N - N_p) \times (N \cup T)^* \cup \{B \rightarrow b_B \bar{B}, \bar{B} \rightarrow a_B \bar{B}, \bar{B} \rightarrow c_B \mid B \in N_p\}$  and choose  $L' = L(G')$ .

If  $h'_1$  and  $h'_2$  are equivalent on  $L'$  then the pair  $(h'_1, h'_2)$  has bounded balance on  $L'$ . This follows because we have eliminated all situations where the balance might grow unbounded; the balance is different from zero only in the finitely many situations essentially corresponding to derivations without loops. In more detail, if there are no properly periodic nonterminals, then there exists constants  $n_1, n_2 > 0$  so that every string  $u$  in  $L'$  can be reduced to a string  $v$  in  $L', |v| \leq n_1$ , by omitting substrings of balance zero and length no more than  $n_2$ . Therefore the balance  $\beta(w)$  of every prefix  $w$  of  $L'$  is clearly bounded by  $C_1 + C_2$ , where  $C_i$  is the bound on the balance of the prefixes of the finite language  $\{x \in \Sigma^* \mid |x| \leq n_i\}$ , for  $i = 1, 2$ .

It follows from the notion of a properly periodic nonterminal and Lemma 4.5 that  $h_1$  and  $h_2$  are equivalent on  $L$  if and only if  $h'_1$  and  $h'_2$  are equivalent on  $L'$ . ■

We omit the proof of the following theorem. It is essentially the same as the proof above, the basic observation being that the discussions concerning properly periodic nonterminals remain unaltered. Thus, the finite number of exceptions to the equation  $h_1(w) = h_2(w), w \in L$ , must occur for words  $w$  whose derivation does not involve properly periodic nonterminals. The only difference is now that we have to check the finiteness (instead of the emptiness) of a language obtained by applying a deterministic gsm to a context-free language.

THEOREM 4.6. *The problem of homomorphism ultimate equivalence is decidable for the family of context-free languages.*

The following table summarizes our results.

	regular	context-free	deterministic context- sensitive
Compatibility	undecidable	undecidable	undecidable
Strong compatibility	undecidable	undecidable	undecidable
Equivalence	decidable	decidable	undecidable
Ultimate equivalence	decidable	decidable	undecidable

Theorem 3.4 now yields immediately the following strengthening of Theorem 3.5.

THEOREM 4.7. *The deterministic gsm equivalence problem is decidable for the family of context-free languages.*

Since deterministic gsm mappings can be viewed as translations, we have here a decidability result concerning the equivalence of such translations of context-free languages.

## 5. ITERATED HOMOMORPHISMS

In this final section, the problems considered will be slightly different from those discussed above.

Consider two finite languages

$$F = \{w_1, \dots, w_m\}, \quad F' = \{w'_1, \dots, w'_m\}$$

of the same cardinality  $m$  and over the same alphabet  $\Sigma$ , and two  $n$ -tuples of homomorphisms

$$(h_1, \dots, h_n), \quad \text{and} \quad (h'_1, \dots, h'_n)$$

defined on  $\Sigma^*$ . Thus, each element of  $F$  together with the  $n$ -tuple  $(h_1, \dots, h_n)$  defines a DTOL system. The whole set  $F$  together with this  $n$ -tuple defines a so-called DTOL system with finitely many axioms or, shortly, FDTOL system. Call the two FDTOL systems obtained in this fashion  $G$  and  $G'$ .

We call  $G$  and  $G'$  *compatible*, *strongly compatible*, *(sequence) equivalent*, and *ultimately equivalent* if the equation

$$h_{i_1} h_{i_2} \cdots h_{i_k}(w_j) = h'_{i_1} h'_{i_2} \cdots h'_{i_k}(w'_j)$$

holds for one choice of the sequence  $i_1 i_2 \dots i_k$  and number  $j$ , for infinitely many such choices, for all choices of the sequence  $i_1 i_2 \dots i_k$  and number  $j$ , and for all but finitely many choices, respectively. When we in this section speak of compatibility and equivalence problems, we mean problems in this setup.

Note that, for  $m = n = 1$ , the equivalence and ultimate equivalence problem defined above coincide with the equivalence and ultimate equivalence problem for DOL sequences. For  $m = 1$  and general  $n$ , the equivalence problem may be viewed as the equivalence problem for DTOL sequences. In this case the equivalence problem is also related to the homomorphism equivalence problem (in the sense of the previous sections of this paper) for the family of DTOL languages.

Our starting point above is two finite languages. We could also start, for instance, from two regular languages with a 1-to-1 correspondence between their words.

We shall prove that the compatibility and strong compatibility problems are undecidable, whereas the status of the equivalence and ultimate equivalence problems remains open; we only give some reduction results for these problems. We strongly suspect that the equivalence problem is decidable, at least if the homomorphisms are assumed to be nonerasing. One reason for this is that the techniques showing undecidability (such as those in [5]) all seem to fail because one is not able to keep track of the “matching” of the two sets of homomorphisms. Note also that the equivalence problem for DTOL languages is known to be undecidable but, the equivalence problem for DTOL sequences remains open. In the case of DOL systems, we can reduce either one of these problem to the other; cf. [3]. This reduction does not work for DTOL systems.

**THEOREM 5.1.** *The compatibility and strong compatibility problems for FDTOL systems are undecidable, even when restricted to the case where  $m = 1$  (DTOL systems) and  $n = 2$  (two tables).*

*Proof.* Consider an arbitrary instance  $PCP(\alpha_1, \dots, \alpha_k), (\beta_1, \dots, \beta_k)$  of the Post Correspondence Problem. We choose

$$w_1 = A, \quad w'_1 = B$$

and define two pairs of homomorphisms as follows:

$$\begin{aligned} h_1(A) &= A_1, & h'_1(B) &= B_1, \\ h_1(A_i) &= A_{i+1}, & h'_1(B_i) &= B_{i+1}, & 1 \leq i \leq k-1, \\ h_1(A'_i) &= A'_{i+1}, & h'_1(B'_i) &= B'_{i+1}, & 1 \leq i \leq k-1, \\ h_1(A_k) &= A_k, & h'_1(B_k) &= B_k, \\ h_1(A'_k) &= \lambda, & h'_1(B'_k) &= \lambda, \\ h_2(A_i) &= h_2(A'_i) = \alpha_i A'_1, & h_2(B_i) &= h_2(B'_i) = \beta_i B'_1, \end{aligned}$$

for  $1 \leq i \leq k$ . For all other letters  $x$ ,

$$h_1(x) = h'_1(x) = h_2(x) = h'_2(x) = x.$$

(It is assumed that the alphabet of *PCP* does not contain any of the *A*'s and *B*'s introduced above.) Then *PCP* has a solution (resp. infinitely many solutions) if and only if the systems  $(w_1, h_1, h_2)$  and  $(w'_1, h'_1, h'_2)$  are compatible (resp. strongly compatible). ■

**THEOREM 5.2.** *The sequence equivalence problem for FDTOL systems is decidable if and only if it is decidable for systems with  $m = 1$  (DTOL systems) and  $n = 2$  (two tables).*

*Proof.* The "only if" part is obvious. To prove the "if" part, we note first that assuming  $m = 1$  does not restrict generality. If we have an algorithm for the case, where both of the systems have two homomorphisms, we can extend this algorithm to the general case by introducing "idle steps" as follows. Suppose we want to have an algorithm for deciding the equivalence of the systems

$$(w_1, h_1, h_2, h_3, h_4), (w_1, h'_1, h'_2, h'_3, h'_4).$$

We then define two systems

$$(w_1, g_1, g_2), (w_1, g'_1, g'_2)$$

as follows. For each letter  $a$  of the original alphabet, we introduce two new letters  $a'$  and  $a''$  and define

$$\begin{aligned} g_1(a) &= g'_1(a) = a', & g_2(a) &= g'_2(a) = a'', \\ g_1(a') &= h_1(a), & g_1(a'') &= h_2(a), & g_2(a') &= h_3(a), \\ g_2(a'') &= h_4(a), & g'_1(a') &= h'_1(a), & g'_1(a'') &= h'_2(a), \\ g'_2(a') &= h'_3(a), & g'_2(a'') &= h'_4(a). \end{aligned}$$

Clearly, the original systems are equivalent if and only if the new ones are. An obvious inductive argument now generalizes the result to the case of arbitrary many homomorphisms. ■

The following result is easy to verify.

**THEOREM 5.3.** *The decidability of the (sequence) equivalence problem for FDTOL systems implies the decidability of the HDOL sequence equivalence problem.*

## 6. OPEN PROBLEMS

The most interesting open problem in the discussions above is the decidability of the equivalence problem introduced in Section 5. We conjecture the problem to be decidable, at least if the homomorphisms are assumed to be nonerasing.

An interesting problem area is to study to what extent Theorem 4.7 remains valid for other language families. It might be still valid for EOL, ETOL, or even indexed languages.

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