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Function complexes for diagrams of simplicial sets.*

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SUMMARY

Let S be the category of simplicial sets, let D be a small category and let S^{D} denote the category of D-diagrams of simplicial sets. Then S^{D} admits a closed simplicial model category structure and the aim of this note is to show that, for every cofibrant diagram $X \in S^{D}$ and every fibrant diagram $Y \in S^{D}$, the homotopy type of the function complex hom(X, Y) can be computed as a homotopy inverse limit involving function complexes in S between the simplicial sets that appear in X and Y.

1. INTRODUCTION

1.1 THE MAIN RESULT. Let S denote the category of simplicial sets and let D be an arbitrary but fixed small category. The results of Quillen [8, Ch. II] then readily imply that the category S^{D} of D-diagrams of simplicial sets (i.e. functors $D \rightarrow S$) admits a closed simplicial model category structure, i.e. the category S^{D} admits notions of weak equivalences, fibrations, cofibrations and function complexes which are related in the usual manner. In particular, if $X \in S^{D}$ is a diagram which is cofibrant with respect to this model category structure and $Y \in S^{D}$ is fibrant, then the function complex hom(X, Y) has "homotopy meaning", i.e. its homotopy type depends only on the weak equivalence classes of X and Y.

The aim of this note now is to show that, for every cofibrant diagram $X \in S^{D}$ and every fibrant diagram $Y \in S^{D}$, the homotopy type of the function complex hom(X, Y) can be computed as a homotopy inverse limit involving function

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complexes in S between the simplicial sets that appear in X and Y. The indexing category for this homotopy inverse limit is the twisted arrow category $a\mathbf{D}$ which has as objects the maps $D_0 \rightarrow D_1$ of **D** and has as maps the commutative diagrams of the form



1.2 REMARK. Of course, diagrams are worth studying in their own right, but the motivation for our work is the fact that a good number of apparently unrelated problems in topology can be directly reduced to questions about the homotopy theory of S^{D} , for appropriate choice of D ([3], [4]). Our main result allows some of these questions about S^{D} to be reduced in turn to questions about ordinary homotopy theory.

1.3 ORGANIZATION OF THE PAPER. After a brief description of the closed simplicial model category structure on S^{D} (in § 2), we state our main result (in § 3) and (in § 4) prove it under the assumption that **D** is a direct category (4.1). Next we discuss (in § 5) the notion of subdivision of a small category and then (in § 6) use this to prove our result in general.

1.4 NOTATION, TERMINOLOGY, ETC. (i) Apart from some familiarity with simplicial sets, the paper requires some knowledge of model categories and homotopy limits as can be found in [8] and [2, Ch. XI and Ch. XII] respectively.

(ii) If **D** is a small category, then we denote by the same symbol its nerve, i.e. [2, Ch. XI, § 2] the simplicial set which has as *n*-simplices the sequence $D_0 \rightarrow ... \rightarrow D_n$ of maps in **D**.

(iii) A map in S will be called a *weak equivalence* if it is a weak homotopy equivalence, i.e. if its geometric realization is a homotopy equivalence. Similarly, two objects $X, Y \in S$ will be called *weakly equivalent* if they can be connected by a finite string of weak equivalences, i.e. if their geometric realizations have the same homotopy type.

(iv) For any two objects $X, Y \in S$, we denote by hom(X, Y) the usual *function complex*, i.e. the simplicial set which has as its *n*-simplices the maps $X \times \Delta[n] \rightarrow Y \in S$.

2. THE MODEL CATEGORY STRUCTURE

We start with a brief discussion of the closed simplicial model category structure on the category S^{D} .

2.1 CATEGORIES OF DIAGRAMS OF SIMPLICIAL SETS. Let **D** be a small category and let **S** denote the category of simplicial sets. Then we denote by S^{D} the

category of **D**-diagrams of simplicial sets, i.e. the category which has as objects the functors $D \rightarrow S$ and as maps the natural transformations between them, and note that ([8, Ch. II, 4] and [2, Ch. XI, § 8]) the category S^D , with weak equivalences, fibrations, cofibrations and function complexes as defined below, is a closed simplicial model category in the sense of Quillen [8, Ch. II].

2.2 WEAK EQUIVALENCES IN S^{D} . A map $f: X \to Y \in S^{D}$ is a weak equivalence if, for every object $D \in D$, the map $fD: XD \to YD \in S$ is a weak equivalence (1.4 (iii)). Similarly two objects $X, Y \in S^{D}$ will be called *weakly equivalent* if they can be connected by a finite string of weak equivalences.

2.3 FIBRATIONS IN S^D. A map $f: X \to Y \in S^D$ is a *fibration* if, for every object $D \in \mathbf{D}$, the map $fD: XD \to YD \in S$ is a fibration. In particular, an object $X \in S^D$ is fibrant if, for every object $D \in \mathbf{D}$, the object $XD \in S$ is fibrant (i.e. satisfies the extension condition [7, § 1]).

2.4 COFIBRATIONS IN S^D. A map $f: X \to Y \in S^{D}$ is a cofibration if it has the left lifting property [8, Ch. I, § 5] with respect to the class of trivial fibrations (i.e. fibrations which are weak equivalences).

Call a map $f: X \to Y \in S^{D}$ free if, for every object $D \in D$, the map $fD: XD \to YD \in S$ is a cofibration (i.e. injection) and if there exists a set B of simplices of Y such that

- (i) no simplex of B is in the image of f,
- (ii) B is closed under degeneracy operators, and
- (iii) for every object $D \in \mathbf{D}$ and every simplex $y \in YD$ which is not in the image of fD, there is a unique simplex $b \in B$ and a unique map $d \in \mathbf{D}$, such that (Yd)b = y.

Then it is not difficult to see that the cofibrations of S^D are exactly the free maps and their retracts.

2.5 FUNCTION COMPLEXES IN S^D. These are induced by the simplicial structure of S, i.e. for every two diagrams $X, Y \in S^{D}$, the *function complex* hom(X, Y) is the simplicial set which has as its *n*-simplices the maps $X \times \Delta[n] \rightarrow \rightarrow Y \in S^{D}$.

We end with considering

2.6 NATURALITY WITH RESPECT TO D. A functor $j: D' \rightarrow D$ between two small categories clearly induces (by composition) a functor $j^*: S^D \rightarrow S^{D'}$ which is compatible with the function complexes and which preserves weak equivalences and fibrations. It should be noted however that j^* need not preserve co-fibrations.

3. THE MAIN RESULT

In order to formulate our main result (3.3) we need the notion of

3.1 THE TWISTED ARROW CATEGORY. Let **D** be a small category. Then its *twisted arrow category a***D** is the category which has as objects the maps of D and as maps $(D_0 \rightarrow D_1) \rightarrow (D'_0 \rightarrow D'_1)$ the commutative diagrams (note that the horizontal maps go in *opposite* directions).



Clearly $a\mathbf{D}$ comes with obvious functors $a\mathbf{D} \rightarrow \mathbf{D}$ and $a\mathbf{D} \rightarrow \mathbf{D}^{op}$ obtained by restriction to the range and domain respectively.

Given two diagrams $X, Y \in S^{D}$ one can form an *a***D**-diagram hom_{*a*} $(X, Y) \in S^{aD}$ by putting (1.3 (iv)) $(D_0 \rightarrow D_1) \rightarrow \text{hom}(XD_0, YD_1)$ and note that

3.2 PROPOSITION. For every two objects $X, Y \in S^{D}$ there is an obvious (natural) isomorphism

 $\hom(X, Y) \approx \lim^{a\mathbf{D}} \hom_{a}(X, Y)$

Our main result now is

3.3 THEOREM. Let $X \in S^{D}$ be cofibrant and let $Y \in S^{D}$ be fibrant. Then the obvious [2, Ch. XI] map

$$\hom(X, Y) \approx \lim_{a \to a} \hom_a(X, Y) \to \hom_a^{aD} \hom_a(X, Y)$$

is a weak equivalence.

4. PROOF OF THEOREM 3.3 FOR DIRECT CATEGORIES

In this section we prove theorem 3.3 for

4.1 DIRECT CATEGORIES. A small category **D** will be called *direct* if, for every object $D \in \mathbf{D}$, the (nerve of the) over category $\mathbf{D} \downarrow D$ [6, p. 47] is finitedimensional (A simplicial set if finite-dimensional if all simplices of a sufficiently high dimension are degenerate. If X is a finite-dimensional simplicial set, dim X denotes the largest dimension in which a non-degenerate simplex of X occurs). For every integer $n \ge 0$ we then denote

(i) by $\mathbf{D}^n \in \mathbf{D}$ the full subcategory spanned by the objects $D \in \mathbf{D}$ such that (1.3 (ii)) dim $(\mathbf{D} \downarrow D) \le n$,

(ii) by $j_n: \mathbf{D}^n \to \mathbf{D}$ the inclusion functor, and

(iii) by $\mathbf{D}_n \subset \mathbf{D}$ the (discrete) subcategory consisting of the objects $D \in \mathbf{D}$ such that dim $(\mathbf{D} \downarrow D) = n$.

4.2 SOME PROPERTIES OF DIAGRAMS OVER DIRECT CATEGORIES. Let **D** be a direct category. Then it is not difficult to verify:

(i) An object $X \in S^{D}$ is cofibrant iff, for every integer $n \ge 0$ and every object $D \in \mathbf{D}_n$, the induced map

$$\lim_{\to} \mathbf{D}^{n-1\downarrow D} j * X \to \lim_{\to} \mathbf{D}^{n\downarrow D} j * X = XD \in \mathbf{S}$$

(where *j* denotes the obvious forgetful functors) is a cofibration.

(ii) An object $X \in S^{D}$ is cofibrant iff the induced objects $j_{n}^{*}X \in S^{D^{n}}$ are so for all $n \ge 0$.

(iii) If $X \in S^{D}$ is cofibrant, then the obvious [2, Ch. XII] map

 $\operatorname{holim}^{\mathbf{D}} X \to \lim_{\overrightarrow{}} X X$

is a weak equivalence.

Now we are ready for a

4.3 PROOF OF THEOREM 3.3 FOR DIRECT CATEGORIES. Let \mathbf{D} be a direct category. Then the desired result follows readily from the fact that

(i) the restrictions

$$\hom(j_{n+1}^*X, j_{n+1}^*Y) \to \hom(j_n^*X, j_n^*Y) \quad n \ge 0$$

are fibrations and

 $\hom(X, Y) \approx \lim^n \hom(j_n^*X, j_n^*Y)$

(ii) the restrictions

 $\operatorname{holim}^{a\mathbf{D}^{n+1}}\operatorname{hom}_a(j_{n+1}^*X, j_{n+1}^*Y) \to \operatorname{holim}^{a\mathbf{D}^n}\operatorname{hom}_a(j_n^*X, j_n^*Y) \quad n \ge 0$

are fibrations, and

$$\operatorname{holim}^{a\mathbf{D}} \operatorname{hom}_{a}(X, Y) \approx \lim^{n} \operatorname{holim}^{a\mathbf{D}^{n}} \operatorname{hom}_{a}(j_{n}^{*}X, j_{n}^{*}Y)$$

(iii) the obvious maps

$$\hom(j_n^*X, j_n^*Y) \to \operatorname{holim}^{a\mathbf{D}^n} \operatorname{hom}_a(j_n^*X, j_n^*Y) \quad n \ge 0$$

are weak equivalences.

Statements (i) and (ii) are easy to verify, as is statement (iii) for n=0. To prove (iii) in general note the existence of the pull back diagram

in which the vertical maps are fibrations, and the homotopy pull back diagram

$$\operatorname{holim}_{a}^{a\mathbf{D}^{n+1}}\operatorname{hom}_{a}(j_{n+1}^{*}X, j_{n+1}^{*}Y) \to \prod_{D \in \mathbf{D}_{n+1}}\operatorname{holim}_{b}(\mathbf{D}^{n+1} \downarrow D)^{\operatorname{op}}\operatorname{hom}(j^{*}X, YD)$$

$$\downarrow$$

$$\operatorname{holim}_{a}^{a\mathbf{D}^{n}}\operatorname{hom}_{a}(j_{n}^{*}X, j_{n}^{*}Y) \to \prod_{D \in \mathbf{D}_{n+1}}\operatorname{holim}_{b}(\mathbf{D}^{n} \downarrow D)^{\operatorname{op}}\operatorname{hom}(j^{*}X, YD)$$

Then use 4.2 and the natural isomorphism [2, p. 234]

holim hom $(-, -) \approx \text{hom}(\text{holim} -, -)$

The fact that the second diagram above is a homotopy pull back diagram is not completely obvious. It can be proved by showing that there is a pull back diagram

$$\operatorname{holim}^{a\mathbf{D}^{n+1}}\operatorname{hom}_{a}(j_{n+1}^{*}X, j_{n+1}^{*}Y) \to \prod_{D \in \mathbf{D}_{n+1}}\operatorname{holim}^{a(\mathbf{D}^{n+1}\downarrow D)}\operatorname{hom}_{a}(j^{*}X, \overline{YD})$$

$$\downarrow$$

$$\operatorname{holim}^{a\mathbf{D}^{n}}\operatorname{hom}_{a}(j_{n}^{*}X, j_{n}^{*}Y) \to \prod_{D \in \mathbf{D}_{n+1}}\operatorname{holim}^{a(\mathbf{D}^{n}\downarrow D)}\operatorname{hom}_{a}(j^{*}X, \overline{YD})$$

in which the vertical maps are fibrations (\overline{YD} denotes the constant functor with value YD). The desired result then follows from the fact that the functors called hom_a($j * X, \overline{YD}$) above factor through the natural (3.1) left confinal [2, Ch. XI] functors

$$a(\mathbf{D}^{n+1} \downarrow D) \rightarrow (\mathbf{D}^{n+1} \downarrow D)^{\mathrm{op}} \text{ and } a(\mathbf{D}^n \downarrow D) \rightarrow (\mathbf{D}^n \downarrow D)^{\mathrm{op}}.$$

5. THE SUBDIVISION OF A CATEGORY

To complete the proof of theorem 3.3 (in § 6) we need the "subdivision of a category" ([1], [5]) which will be discussed below. An easy way of describing it is by first considering the somewhat larger

5.1 DIVISION OF A CATEGORY. For every $n \ge 0$, let **n** denote the category which has the integers 0, ..., n as objects and which has exactly one map $i \rightarrow j$ whenever $i \le j$. The *division* d**D** of a small category **D** then is defined as the category which has as objects the functors $\mathbf{n} \rightarrow \mathbf{D}$ ($n \ge 0$) and which has as maps

$$(J_1:\mathbf{n}_1\to\mathbf{D})\to(J_2:\mathbf{n}_2\to\mathbf{D})$$

the commutative diagrams of the form



5.2 SUBDIVISION OF A CATEGORY. The subdivision sdD of a small category D is the category obtained from the division dD by turning all the "degeneracy maps" (i.e. diagrams as in 5.1 in which the top map is onto) into identity maps. The subdivision comes with a functor $p: sdD \rightarrow D$ given by the formula $(J: \mathbf{n} \rightarrow \mathbf{D}) \rightarrow J(0)$.

Actually we will need (see 5.4) the opposite category of the subdivision which we will denote by $\overline{sd}\mathbf{D}$ and the corresponding functor $q: \overline{sd}\mathbf{D} \to \mathbf{D}$ given by the formula $(J: \mathbf{n} \to \mathbf{D}) \to J(n)$.

A straightforward calculation yields the following

5.3 OTHER DESCRIPTION OF THE SUBDIVISION. One can also describe the subdivision $sd\mathbf{D}$ as the category which has as objects the "non-degenerate" functors $\mathbf{n} \rightarrow \mathbf{D}$ ($n \ge 0$) (i.e. the functors which send none of the maps $r \rightarrow r + 1 \in \mathbf{n}$ ($0 \le r < n$) into an identity map of **D**) and which has the following maps. Given two "non-degenerate" functors $I_1 : \mathbf{n}_1 \rightarrow \mathbf{D}$ and $I_2 : \mathbf{n}_2 \rightarrow \mathbf{D}$, consider all "iterated face maps" between them, i.e. all commutative diagrams of the form



in which f is 1-1. The maps $I_1 \rightarrow I_2 \in sd\mathbf{D}$ then are the equivalence classes of such "iterated face maps", where two such maps f and g are *equivalent* iff, for every integer r with $0 \le r \le n_2$, the image under I_1 of the map

 $\min(f(r), g(r)) \rightarrow \max(f(r), g(r)) \in \mathbf{n}_1$

is an identity map in **D**.

This second description of sdD immediately implies

5.4 PROPOSITION. The category sdD is direct.

We also need the following properties of the functor $q : sd\mathbf{D} \rightarrow \mathbf{D}$, of which the first two are readily verified.

5.5 PROPOSITION. For every object $D \in \mathbf{D}$, the subcategory $q^{-1}D \subset \overline{sd}\mathbf{D}$ has an initial object and hence (its nerve) is contractible.

5.6 PROPOSITION. For every object $D \in \mathbf{D}$, the inclusion functor $q^{-1}D \rightarrow q \downarrow D$ has a left adjoint which is also a left inverse.

5.7 PROPOSITION. The functor $aq : asd \mathbf{D} \rightarrow a\mathbf{D}$ is left cofinal [2, Ch. XI].

PROOF OF 5.7. It is not difficult to see from the definition that aq is left cofinal iff, for every pair of objects $D_0, D_1 \in \mathbf{D}$, the natural map from (the nerve of)

 $a(D_0 \downarrow q \downarrow D_1)$ to the discrete set hom_D (D_0, D_1) is a weak equivalence, where $D_0 \downarrow q \downarrow D_1$ is the category which fits into the obvious pull back diagram



As, for any small category C, the functor (3.1) $aC \rightarrow C$ is left cofinal and hence a weak equivalence, it suffices to show that $D_0 \downarrow q \downarrow D_1$ is weakly equivalent to hom_D (D_0, D_1) . But this in turn follows easily from propositions 5.5 and 5.6.

6. COMPLETION OF THE PROOF OF THEOREM 3.3

Let $q_*: \mathbf{S}^{\overline{sd}\mathbf{D}} \to \mathbf{S}^{\mathbf{D}}$ be the left adjoint of the functor $q^*: \mathbf{S}^{\mathbf{D}} \to \mathbf{S}^{\overline{sd}\mathbf{D}}$ [6, Ch. X, § 3]. The fact that (2.6) q^* preserves fibrations and weak equivalences then readily implies that q_* preserves cofibrations and the desired result now follows by standard model category arguments from the two propositions below.

6.1 PROPOSITION. Let $U \in S^{\overline{sd}D}$ be cofibrant and such that the adjunction map $i: U \rightarrow q * q_*U \in S^{\overline{sd}D}$ is a weak equivalence and let $Y \in S^D$ be fibrant. Then there is an obvious commutative diagram

in which

(i) the maps on the left are isomorphisms,

(ii) the bottom map is a weak equivalence, and

(iii) the maps on the right are weak equivalences.

PROOF. Part (i) is easy and part (ii) follows from 5.4. The lower map on the right is a weak equivalence in view of the homotopy invariance of homotopy inverse limits [2, p. 304] and the upper map on the right is so in view of the cofinality theorem for homotopy inverse limits [2, p. 317].

6.2 PROPOSITION. Let $U \rightarrow q^* V \in S^{\overline{sdD}}$ be a weak equivalence such that U is cofibrant. Then its adjoint $q_*U \rightarrow V \in S^{D}$ is also a weak equivalence.

PROOF. For every object $D \in \mathbf{D}$, consider the commutative diagram

in which

- (i) *j* denotes the forgetful functors,
- (ii) U_0 denotes the image under U of the initial object of $q^{-1}D$.

(iii) U_0 denotes the "constant" $q^{-1}D$ -diagram which send all of $q^{-1}D$ to U_0 and its identity map and in which the maps are the obvious ones. As $q^{-1}D$ is contractible (5.5), the map on the left is a weak equivalence and, in view of the homotopy invariance of homotopy direct limits [2, p. 325], so is the top map. As $q^{-1}D$ is direct and $j^*U \in S^{q^{-1}D}$ is cofibrant (4.2 (ii)), the vertical map on the right is also a weak equivalence (4.2 (iii)) and the desired result is now immediate.

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