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# On the parameterized complexity of the fixed alphabet shortest common supersequence and longest common subsequence problems 

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#### Abstract

We show that the fixed alphabet shortest common supersequence (SCS) and the fixed alphabet longest common subsequence (LCS) problems parameterized in the number of strings are $W$ [1]-hard. Unless $W[1]=$ FPT, this rules out the existence of algorithms with time complexity of $O\left(f(k) n^{\alpha}\right)$ for those problems. Here $n$ is the size of the problem instance, $\alpha$ is constant, $k$ is the number of strings and $f$ is any function of $k$. The fixed alphabet version of the LCS problem is of particular interest considering the importance of sequence comparison (e.g. multiple sequence alignment) in the fixed length alphabet world of DNA and protein sequences.


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## 1. Introduction

The shortest common supersequence (SCS) and the longest common subsequence (LCS) are classical problems in computer science.

Shortest common supersequence (SCS)
Instance: A set of strings $R=r_{1}, r_{2}, \ldots, r_{k}$ over an alphabet $\Sigma$, an integer $\lambda$.

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Question: Does there exist a string $s \in \Sigma^{*}$ of length at most $\lambda$, that is a supersequence ${ }^{1}$ of each string in $R$ ?
Longest common subsequence (LCS)
Instance: A set of strings $R=r_{1}, r_{2}, \ldots, r_{k}$ over an alphabet $\Sigma$, an integer $\lambda$.
Question: Does there exist a string $s \in \Sigma^{*}$ of length at least $\lambda$, that is a subsequence ${ }^{2}$ of each string in $R$ ?

The LCS and (not so much) the SCS problems have been extensively studied over the last 30 years (see [7] and references). They are both known to be NP-complete [8,9]. In particular the case where the number of sequences is 2 has been studied in detail (see [7] and references).

### 1.1. Sequence comparison in bioinformatics

With the recent availability of large amounts of molecular sequence data, the LCS and related problems received much attention due to the importance of sequence comparison problems in bioinformatics.
(from [6]) Sequence comparison is used by biologists for several reasons. Similarity between a set of molecular sequences may indicate significant attributes between organisms the sequences represent. Or, from the similarity and disparity of these sequences, it may be possible to infer phylogenetic relationships amongst the organisms. If the sequences represent homologous genes, the comparison may be used to obtain information on molecular structure or function.

Computationally, multiple sequence comparison problems are viewed as string matching problems.

However, due to the $O\left(n^{k}\right)$ time requirements [1,5,7] of the best known algorithms for these analyses, the number of sequences that can be examined at once is often limited to less than six.

### 1.2. Parameterized complexity

The problems were also studied in the framework of parameterized complexity (see [4] for a survey). The hope was to find algorithms that have running times exponential in only some parameters of the problem. In $[2,3,6]$ several parametrizations of the SCS and LCS problem were analyzed.

Parameterized shortest common supersequence (SCS)
Instance: Alphabet $\Sigma$, set of strings $R=r_{1}, r_{2}, \ldots, r_{k} \in \Sigma^{*}$, integer $\lambda$.
Parameter: $k$ (SCS-1).

[^1]| Parameter | Alphabet Size $\|\Sigma\|$ |  |  |
| :---: | :---: | :---: | :---: |
|  | Unbounded | Parameter | Constant |
| $k[t]$ LCS-1Lard for $t \geq 1$ <br> $[3]$ | LCS-4 <br> W[t]-hard for $t \geq 1$ <br> $[2]$ | FLCS <br> $W[1]$-hard <br> (below) |  |
| $\lambda$ | LCS-2 <br> W[2]-hard <br> $[3]$ | FPT | FPT |
| $k, \lambda$ | LCS-3 <br> W[1]-complete <br> $[3]$ | FPT | FPT |

Fig. 1. The fixed parameter complexity of the LCS problem.

Parameter: $\lambda$ (SCS-2).
Parameter: $k, \lambda$ (SCS-3).
Parameter: $k,|\Sigma|$ (SCS-4).
Question: Does there exist a string $s \in \Sigma^{*}$ of length at most $\lambda$, that is a supersequence of each string in $R$ ?

Parameterized longest common subsequence (LCS)
Instance: Alphabet $\Sigma$, set of strings $R=r_{1}, r_{2}, \ldots, r_{k} \in \Sigma^{*}$, integer $\lambda$.
Parameter: $k$ (LCS-1).
Parameter: $\lambda$ (LCS-2).
Parameter: $k, \lambda$ (LCS-3).
Parameter: $k,|\Sigma|$ (LCS-4).
Question: Does there exist a string $s \in \Sigma^{*}$ of length at least $\lambda$, that is a subsequence of each string in $R$ ?

The complexity of the parameterized variants of the LCS problem ${ }^{3}$ are shown in Fig. 1. Note that all variants become fixed parameter tractable as soon as $\lambda$ and $\Sigma$ are bounded (i.e. they are either a parameter or constant), this is by the trivial algorithm that generates all $|\Sigma|^{\lambda}$ possible subsequence strings and checks them against each $r_{i}$. Similar results are known for the SCS problem (see [6]). One gets another interesting parameterization if the size of the alphabet $\Sigma$ is a fixed constant.

Fixed alphabet shortest common supersequence parameterized in the number of strings (FSCS)
Instance: A fixed size alphabet $\Sigma$, set of strings $R=r_{1}, r_{2}, \ldots, r_{k} \in \Sigma^{*}$, integer $\lambda$.
Parameter: $k$.
Question: Does there exist a string $s \in \sum^{*}$ of length at most $\lambda$ that is a supersequence of each string in $R$ ?

Fixed alphabet longest common subsequence parameterized in the number of strings (FLCS) Instance: A fixed size alphabet $\Sigma$, set of strings $R=r_{1}, r_{2}, \ldots, r_{k} \in \Sigma^{*}$, integer $\lambda$.

[^2]Parameter: $k$.
Question: Does there exist a string $s \in \Sigma^{*}$ of length at least $\lambda$ that is a subsequence of each string in $R$ ?
(from [2]) The most compelling of these problems (LCS-1, LCS-2, LCS-3 and FLCS) is FLCS, since the alphabet for biological sequences is often of fixed constant size, e.g. DNA and protein sequences have alphabets of size 4 and 20, respectively.

Our failure to find a hardness result for FLCS invites hope that it could be fixed-parameter tractable.

We will show that this is unfortunately not the case, namely that
Theorem 1. The fixed alphabet shortest common supersequence problem parameterized in the number of strings is $W[1]$ hard.
and

Theorem 2. The fixed alphabet longest common subsequence problem parameterized in the number of strings is $W[1]$ hard.

We will prove Theorems 1 and 2 by first showing the $W[1]$ completeness for a problem we call Partitioned Clique. Then a parameterized reduction from Partitioned Clique to FSCS is shown; finally we show how this reduction can be changed to get a reduction to FLCS instead.

## 2. $W[1]$ completeness for Partitioned Clique

The Clique and the Partitioned Clique problem are defined as follows.
Clique
Instance: A simple graph $G=(V, E)$, and integer $k$.
Parameter: $k$.
Question: Is there a subset $V^{\prime} \subseteq V$ of cardinality $k$ such that $\forall u, v \in V^{\prime},(u, v) \in E$ ?
Partitioned Clique (pClique)
Instance: A simple graph $G=(V, E)$, an integer $k$, a partition
$\left\{U_{1}, \ldots, U_{k}\right\}$ of $V$ into $k$ sets of equal size. ${ }^{4}$
Parameter: $k$.
Question: Is there a subset $V^{\prime} \subseteq V$ of cardinality $k$ such that $\forall u, v \in V^{\prime},(u, v) \in E$ and $\forall i \in\{1, \ldots, k\}:\left|V^{\prime} \cap U_{i}\right|=1$ ?

[^3]Theorem 3. Partitioned Clique is $W[1]$ complete.
We first show $W[1]$-hardness of pClique reducing to it the $W[1]$-complete problem Clique (see e.g. [4]). Then we show that pClique is in $W$ [1] by a reduction in the other direction (for the following we actually only need $W[1]$ hardness, we will prove completeness anyway because it is easy).

Theorem 4. Partitioned Clique is $W[1]$ hard.

Proof. Given an instance $\left(G=\left(V=\left\{v_{1}, \ldots, v_{n}\right\}, E\right), k\right)$ of the Clique problem we construct an instance $\left(G^{\prime}=\left(V^{\prime}, E^{\prime}\right), k,\left\{U_{1}^{\prime}, \ldots, U_{k}^{\prime}\right\}\right)$ for the pClique problem as follows. Every set $U_{j}^{\prime}=$ $\left\{u_{1}^{j}, \ldots, u_{n}^{j}\right\}$ consists of $n=|V|$ vertices, we will say that a vertex $u_{i}^{j} \in U_{j}^{\prime}$ corresponds to vertex $v_{i} \in V$. There is an edge $\left(u_{x}^{i}, u_{y}^{j}\right) \in E^{\prime}$ iff $\left(v_{x}, v_{y}\right) \in E$. To see that this a correct reduction we must show that

$$
(G=(V, E), k) \in \text { Clique } \Leftrightarrow\left(G^{\prime}=\left(V^{\prime}, E^{\prime}\right), k,\left\{U_{1}, \ldots, U_{k}\right\}\right) \in \text { pClique }
$$

$\Rightarrow$ If $G$ has a Clique $C$ of size $k$, we can assign every vertex from $C$ to the corresponding vertex in a different set $U_{i}^{\prime}$. By the construction, these vertices form a pClique in $G^{\prime}$.
$\Leftarrow$ If we are given a pClique $C^{\prime}$, then all vertices in $C^{\prime}$ correspond to a different vertices in $G$ (two vertices that correspond to the same vertex in $G$ are not adjacent in $G^{\prime}$ ), and those vertices build a Clique in $G$ by the construction.

Theorem 5. Partitioned Clique is in $W[1]$.

Proof. Given an instance $\left(G^{\prime}, V^{\prime},\left\{U_{1}^{\prime}, \ldots, U_{k}^{\prime}\right\}\right.$ ) for pClique, construct an instance $(G, V)$ for Clique by removing all edges from $G^{\prime}$ between vertices that are in the same set $U_{i}^{\prime}$. This does not change the size of pClique. Now every Clique of $G$ is also a pClique in $G^{\prime}$.

## 3. $W[1]$ hardness for fixed alphabet shortest common supersequence

In this section we show a reduction from an instance of pClique to an instance of FSCS.

### 3.1. Notation and definitions

We reduce from the instance

$$
\begin{equation*}
\mathscr{G}=\left(G=(V, E), k,\left\{U_{1}, \ldots, U_{k}\right\}\right) \tag{1}
\end{equation*}
$$

of pClique to the instance

$$
\begin{equation*}
\mathscr{S}=\left(S=\left\{s_{1}, \ldots, s_{k}, s_{t}\right\}, \lambda\right) \tag{2}
\end{equation*}
$$

of FSCS.
The fixed size alphabet $\Sigma$ for FSCS is the binary alphabet $\{0,1\}$. We define $n$ and $m$ as

$$
\begin{align*}
& n=|V|,  \tag{3}\\
& m=\left|U_{i}\right|=\frac{n}{k} \tag{4}
\end{align*}
$$

We denote the vertices $V$ by $\left\{v_{1}, v_{2}, \ldots, v_{n}\right\}$. W.l.o.g. we assume that the set $U_{1}$ contains the first $m$ vertices $\left\{v_{1}, \ldots, v_{m}\right\}, U_{2}$ the next $m$ vertices $\left\{v_{m+1}, \ldots, v_{2 m}\right\}$ and so on. We will also write $v_{j}^{i}$ for $v_{(i-1) m+j}$, the $j$ th vertex in the set $U_{i}$ and $v^{i}$ to denote any vertex in $U_{i}$. We write $q[i]$ for the $i$ th character in a string $q$ and $q[i \ldots j]$ for the substring ${ }^{5}$ of $q$ starting at the $i$ th and ending at the $j$ th character.

Definition 1 (Alignment, optimal alignment). Let $s$ be a supersequence for a set of strings $S$. An alignment of $S$ for $s$ is a map $\phi:(q \in\{S \cup s\}, i \in \mathbb{N}) \rightarrow j \in \mathbb{N}$. It assigns to the $i$ 'th character in a string $q \in\{S \cup s\}^{6}$ an index $j=\phi(q, i): 1 \leqslant j \leqslant|s|$ such that $q[i]=s[j]$ and $\forall i: \phi(q, i)<\phi(q, i+1)$. We say that two characters $q[i]$ and $q^{\prime}\left[i^{\prime}\right]$ from two different strings $\left\{q, q^{\prime}\right\} \in\{S \cup s\}$ align if $\phi(q, i)=\phi\left(q^{\prime}, i^{\prime}\right)$. We say that an alignment is optimal if every supersequence for $S$ has length at least $|s|$.

Definition 2 (Map, overlap). Given an alignment $\phi$ of $S$ for $s$, we say that a substring $q^{\prime}$ of $q \in\{S \cup s\}$ maps on a substring $r^{\prime}$ of $r \in\{S \cup s\}$ if $\forall i \exists j: \phi\left(q^{\prime}[i]\right)=\phi\left(r^{\prime}[j]\right)$. Note that if $q^{\prime}$ maps on $r^{\prime}, q^{\prime}$ must be a subsequence of $r^{\prime}$ and that every $q^{\prime}$ maps on $s$. We say that $q^{\prime}$ overlaps with $r^{\prime}$ if $\exists i \exists j: \phi\left(q^{\prime}[i]\right)=\phi\left(r^{\prime}[j]\right)$.

We give a small example for the above definitions. Let $s=010011$ and $S=\{a=1011$, $b=101, c=001\}$. Let $\phi$ be the alignment of $S$ for $s$ shown below $(\phi(a, 1)=2, \phi(a, 2)=4, \ldots)$.

| $s$ | $=$ | 0 | 1 | 0 | 0 | 1 | 1 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $a$ | $=$ |  | 1 |  | 0 | 1 | 1 |
| $b$ | $=$ |  | 1 |  | 0 | 1 |  |
| $c$ | $=$ |  |  | 0 | 0 | 1 |  |

Here $b$ maps on $a$. $c$ overlaps with, but does not map on $a$. The substring $c[2 \ldots 3]$ of $c$ maps on $a$. $b[2]$ aligns with $c[2]$.

[^4]
### 3.2. The FSCS instance

We define the following strings from which we will construct our instance for FSCS ( $\prod_{j=1}^{n} a_{j}$ denotes the concatenation of the strings $a_{1} \ldots a_{n}$ )

$$
\begin{align*}
& \mathscr{I}=1^{7 n^{3}},  \tag{5}\\
& \mathcal{O}=0^{7 n^{3}},  \tag{6}\\
& \mathscr{E}(u \in V, v \in V)= \begin{cases}\mathscr{I} \mathscr{I} & \text { if }(u=v) \text { or }\left(u \in U_{i}, v \in U_{j}\right) \in E: i \neq j, \\
\mathscr{I} 0 \mathscr{I} & \text { otherwise, }\end{cases}  \tag{7}\\
& \mathscr{V}(u \in V)=\prod_{j=1}^{n} \mathscr{E}\left(u, v_{j}\right),  \tag{8}\\
& \mathscr{B}_{i}=\mathscr{V}\left(v_{1}^{i}\right) \prod_{j=2}^{m} \mathscr{O} \mathscr{V}\left(v_{j}^{i}\right),  \tag{9}\\
& \mathscr{T}_{\mathscr{I} 0 \mathscr{I}}=(\mathscr{I} 0 \mathscr{I})^{n},  \tag{10}\\
& \mathscr{T}_{\mathscr{I} \mathscr{I}}=(\mathscr{I} \mathscr{I})^{n},  \tag{11}\\
& \mathscr{T}=\left(\mathscr{T}_{\mathscr{I} 0 \mathscr{I}} \mathcal{O}\right)^{m-1} \mathscr{T}_{\mathscr{I} \mathscr{I}} . \tag{12}
\end{align*}
$$

The Instance $\mathscr{S}=\left\{s_{1}, \ldots, s_{k}, s_{t}, \lambda\right\}$ for FSCS is ${ }^{7}$

$$
\begin{aligned}
& s_{i}=\left(\mathscr{B}_{i} \mathcal{O}\right)^{2 n+2 n^{2}} \mathscr{B}_{i}, \\
& s_{t}=(\mathscr{T} \mathcal{O})^{1+2 n+2 n^{2}}\left(\mathscr{T}_{\mathscr{O} 0 \mathscr{A}} \mathcal{O}\right)^{m-2} \mathscr{T}_{\mathscr{O} 0 \mathscr{A}} .
\end{aligned}
$$

### 3.3. Outline of the proof

Let $s_{\text {opt }}$ be a shortest common supersequence for $S .^{8}$ In order to prove our main Theorem 1 we will prove the following Lemmas:

Lemma 1. If $\mathscr{G} \in \mathrm{pClique}^{9}$ then there is a string $s_{\lambda}$ of length $\lambda$ that is a supersequence of all strings in $S$ (or equivalently $\mathscr{S} \in \mathrm{FSCS}$ ). ${ }^{10}$

Lemma 2. If $\mathscr{G} \notin \mathrm{pClique}$ then $\left|s_{\mathrm{opt}}\right|>\lambda$ (or equivalently $\mathscr{S} \notin \mathrm{FSCS}$ ).

[^5]We will first prove Lemma 1 by showing how to construct a sequence $s_{\lambda}$ of length $\lambda$ that is a supersequence of all strings in $S$ under the assumption that $\mathscr{G} \in$ pClique. $s_{\lambda}$ is similar to $s_{t}$, only the $1+2 n+2 n^{2}$ occurrences of a $\mathscr{T}_{\mathscr{I}}$ substring in $s_{t}$ are replaced by a substring $\mathscr{M}$ in $s_{\lambda}$. This $\mathscr{M}$ differs from $\mathscr{T}_{\mathscr{I}}$ by $n-k$ additional 0 's. We then have $\left|s_{\lambda}\right|=\left|s_{t}\right|+\left(1+2 n+2 n^{2}\right)(n-k)=\lambda$. To prove Lemma 2 we first show that in an optimal alignment at least $\left(1+2 n^{2}\right)$ occurrences of a $\mathscr{T}_{\mathscr{I}}$ substring in $s_{t}$ map, for all $i: 1 \leqslant i \leqslant k$, to a $\mathscr{V}\left(v^{i}\right): v^{i} \in U_{i}$ substring from $s_{i}$, we will call these $\mathscr{T}_{\mathscr{I}}$ 's nice. Because we cannot choose those $v^{i}$ such that they form a pClique, we show that, for every nice $\mathscr{T}_{\mathscr{I}}$, we have at least $n-k+10$ 's in $s_{\text {opt }}$ that do not align with a character in $s_{t}$. This gives a lower bound $\left|s_{t}\right|+\left(1+2 n^{2}\right)(n-k+1)>\lambda$ for $\left|s_{\text {opt }}\right|$ which is bigger than $\lambda$.

### 3.4. Indices

In this section we will prove a simple claim (Claim 3) that will be useful for the following sections.

For a string $\mathscr{P}$ of the form ${ }^{11}$

$$
\begin{equation*}
\mathscr{P}=\prod_{j=1}^{n}(\mathscr{I} X \mathscr{I})_{j}:(\mathscr{I} X \mathscr{I})_{j} \in\{\mathscr{I} \mathscr{I}, \mathscr{I} 0 \mathscr{I}\} \tag{13}
\end{equation*}
$$

we will say that $\mathscr{P}$ has a 0 at index $j$ if $(\mathscr{I} X \mathscr{I})_{j}=\mathscr{I} 0 \mathscr{I}$. Let $C=\left\{v^{1}, v^{2}, \ldots, v^{k}\right\}: v^{i} \in U_{i}$ and let $J_{C}=\left\{j_{1}, j_{2}, \ldots, j_{k}\right\}$ be the indices of the vertices in $C$ (this is to say $v^{i}=v_{j i}$ ). Let $J_{C}^{0}$ be the indices where no string $\mathscr{V}\left(v^{i}\right): v^{i} \in C$ has a $0 .{ }^{12}$

Claim 1. $J_{C}^{0} \subseteq J_{C}$.
Proof. Let $j \notin J_{C}$, we will show that then $j \notin J_{C}^{0}$. For some $i, v_{j}$ is in the same set $U_{i}$ as $v^{i} \in C . v^{i} \neq v_{j}$ because the index $j_{i}$ is in $J_{C}$ but $j$ is not. Now $\mathscr{E}\left(v^{i}, v_{j}\right)=\mathscr{I} 0 \mathscr{I}(7,8)$ and so $\mathscr{V}\left(v^{i}\right)$ has a 0 at index $j$.

Claim 2. $C$ is a pClique if and only if $J_{C} \equiv J_{C}^{0}$.
Proof. Case 1: Assume $C$ is a pClique. For any $v^{i} \in C$ and any $j \in J_{C}$ we either have $v^{i}=v_{j}$ or there is an edge between $v^{i}$ and $v_{j}$. In both cases $\mathscr{E}\left(v^{i}, v_{j}\right)=\mathscr{I} \mathscr{I}$ so $\mathscr{V}\left(v^{i}\right)$ has no 0 at index $j(7,8)$. We have shown that $J_{C} \subseteq J_{C}^{0}$ and with $J_{C}^{0} \subseteq J_{C}$ from the previous claim we have $J_{C} \equiv J_{C}^{0}$.

Case 2: Assume $C$ is not a pClique. Then there are two nonadjacent vertices $\left\{v^{h}, v^{i}\right\} \in C$. Now $\mathscr{E}\left(v^{h}, v^{i}\right)=\mathscr{I} 0 \mathscr{I}(7,8)$ and $\mathscr{V}\left(v^{h}\right)$ has a 0 at index $j_{i}$. So $j_{i} \notin J_{C}^{0}$, but $j_{i} \in J_{C}$ from which $J_{C} \not \equiv J_{C}^{0}$ follows.

Claim 3. If $C$ is a pClique $\left|J_{C}^{0}\right|=k$ otherwise $\left|J_{C}^{0}\right|<k$.

[^6]

Fig. 2. An instance $\left(G, k=3,\left\{U_{1}=\left\{v_{1}, v_{2}, v_{3}\right\}, U_{2}=\left\{v_{4}, v_{5}, v_{6}\right\}, U_{3}=\left\{v_{7}, v_{8}, v_{9}\right\}\right\}\right)$ for pClique. The vertices $\left\{v_{2}, v_{6}, v_{7}\right\}$ form a pClique of size 3 .

Proof. The claim trivially follows from the two claims above.

### 3.5. Proof of Lemma 1

For this section we will assume that $\mathscr{G} \in$ pClique. Let $C=\left\{v^{1}, v^{2}, \ldots, v^{k}\right\}: v^{i} \in U_{i}$ be a pClique. In Fig. 2 we have an example where $C=\left\{v_{2}, v_{6}, v_{7}\right\}$. Let $J_{C}=\left\{j_{1}, j_{2}, \ldots, j_{k}\right\}$ be the indices of the vertices in $C$ (for our example $J_{C}=\{2,6,7\}$ ). Let $s_{\lambda}$ be the string $s_{t}$ with the only distinction that all $1+2 n+2 n^{2}$ occurrences of a $\mathscr{T}_{\mathscr{I}}$ substring are replaced by the substring $\mathscr{M}$ (defined below).

$$
\mathscr{M}=\prod_{j=1}^{n} \begin{cases}\mathscr{I} \mathscr{I} & \text { if } j \in J_{C}  \tag{14}\\ \mathscr{I} 0 \mathscr{I} & \text { otherwise } .\end{cases}
$$

Claim 4. For all $v^{i} \in C, \mathscr{M}$ is a supersequence of $\mathscr{V}\left(v^{i}\right)$.
Proof. $\mathscr{M}$ and $\mathscr{V}\left(v^{i}\right)$ are both of type (13). $\mathscr{M}$ has a 0 at all indices except $J_{C}$, but at the indices $J_{C}$ also no $\mathscr{V}\left(v^{i}\right)$ has a 0 (Claim 3). The claim now follows from the observation that $\mathscr{I} 0 \mathscr{I}$ is a supersequence of $\mathscr{I} \mathscr{I}$.

Claim 5. $s_{\lambda}$ is a supersequence of all strings in $S=\left\{s_{1}, \ldots, s_{k}, s_{t}\right\}$.
Proof. Because $\mathscr{M}$ is a supersequence of $\mathscr{T}_{\mathscr{I}}, s_{\lambda}$ is a supersequence of $s_{t}$. We now must show that for all $i: 1 \leqslant i \leqslant k, s_{\lambda}$ is a supersequence of $s_{i}$. We can map $s_{i}$ on $s_{\lambda}{ }^{13}$ such that (see example below)

1. Every $\mathscr{V}\left(v^{i}\right)$ substring in $s_{i}$ maps on a $\mathscr{M}$ substring in $s_{t}$ (see Claim 4).
2. Every $\mathscr{V}(v): v \neq v^{i}$ substring in $s_{i}$ maps on a $\mathscr{T}_{\mathscr{G} 0 \mathscr{I}}$ substring in $s_{t}$. (Note that for every vertex $v \in V, \mathscr{T}_{\mathscr{I} 0 \mathscr{\mathscr { F }}}$ is a supersequence of $\mathscr{V}(v)$.)
3. Every $\mathcal{O}$ substring in $s_{i}$ maps on a $\mathcal{O}$ substring in $s_{\lambda}$.
[^7]The reader may convince himself that by the definition of $s_{\lambda}$ and $s_{i}$ this can always be done. An example is given below.

In Fig. 2 an instance for the pClique problem is given. An alignment of $S$ for $s_{\lambda}$ appears as follows: ${ }^{14}$

$$
\begin{aligned}
& s_{1}=\quad \mathscr{V}\left(v_{1}\right) \quad \mathcal{O}\left(\begin{array}{llllllllll} 
& \mathscr{V}\left(v_{2}\right) & \mathcal{O} & \mathscr{V}\left(v_{3}\right) & \mathcal{O} & \mathscr{V}\left(v_{1}\right) & \mathcal{O})^{2 n^{2}+2 n} & \mathscr{V}\left(v_{2}\right) & \mathcal{O} & \mathscr{V}\left(v_{3}\right)
\end{array}\right. \\
& s_{2}=\mathscr{V}\left(v_{4}\right) \quad \mathcal{O} \quad \mathscr{V}\left(v_{5}\right) \quad \mathcal{O}\left(\begin{array}{llllllll}
\mathscr{V}\left(v_{6}\right) & \mathcal{O} & \mathscr{V}\left(v_{4}\right) & \mathcal{O} & \mathscr{V}\left(v_{5}\right) & \mathcal{O})^{2 n^{2}+2 n} & \mathscr{V}\left(v_{6}\right)
\end{array}\right. \\
& s_{3}=\quad\left(\begin{array}{llllllllllll}
\mathscr{V}\left(v_{7}\right) & \mathcal{O} & \mathscr{V}\left(v_{8}\right) & \mathcal{O} & \mathscr{V}\left(v_{9}\right) & \mathcal{O})^{2 n^{2}+2 n} & \mathscr{V}\left(v_{7}\right) & \mathcal{O} & \mathscr{V}\left(v_{8}\right) & \mathcal{O} & \mathscr{V}\left(v_{9}\right)
\end{array}\right.
\end{aligned}
$$

The alignment of $\mathscr{T}_{\mathscr{I}}$, the $\mathscr{V}\left(v^{i}\right)$ 's and $\mathscr{M}$ appears as follows:

$$
\begin{array}{llllllllllll}
\mathscr{T} \mathscr{I} & =\mathscr{I} & \mathscr{I} & \mathscr{I} \mathscr{I} & \mathscr{I} & \mathscr{I} & \mathscr{I} & \mathscr{I} & \mathscr{I} & \mathscr{I} & \mathscr{I} \mathscr{I} & \mathscr{I} \\
\mathscr{I} & \mathscr{I} & \mathscr{I} & \mathscr{I} \\
\mathscr{V}\left(v_{2}\right) & =\mathscr{I} 0 \mathscr{I} & \mathscr{I} \mathscr{I} & \mathscr{I} 0 \mathscr{I} & \mathscr{I} \mathscr{I} & \mathscr{I} 0 \mathscr{I} & \mathscr{I} \mathscr{I} & \mathscr{I} & \mathscr{I} 0 \mathscr{I} & \mathscr{I} 0 \mathscr{I} \\
\mathscr{V}\left(v_{6}\right) & =\mathscr{I} 0 \mathscr{I} & \mathscr{I} \mathscr{I} & \mathscr{I} 0 \mathscr{I} & \mathscr{I} 0 \mathscr{I} & \mathscr{I} 0 \mathscr{I} & \mathscr{I I} & \mathscr{I} \mathscr{I} & \mathscr{I} 0 \mathscr{I} & \mathscr{I} 0 \mathscr{I} \\
\mathscr{V}\left(v_{7}\right) & =\mathscr{I} 0 \mathscr{I} & \mathscr{I} \mathscr{I} & \mathscr{I} 0 \mathscr{I} & \mathscr{I} 0 \mathscr{I} & \mathscr{I} \mathscr{I} & \mathscr{I} \mathscr{I} & \mathscr{I I} & \mathscr{I} 0 \mathscr{I} & \mathscr{I} 0 \mathscr{I} \\
\mathscr{M} & =\mathscr{I} 0 \mathscr{I} & \mathscr{I I} & \mathscr{I} 0 \mathscr{I} & \mathscr{I} 0 \mathscr{I} & \mathscr{I} 0 \mathscr{I} & \mathscr{I} \mathscr{I} & \mathscr{I} \mathscr{I} & \mathscr{I} 0 \mathscr{I} & \mathscr{I} 0 \mathscr{I}
\end{array}
$$

Proof of Lemma 1. Lemma 1 follows from Claim 5, we only have to check if $s_{\lambda}$ has indeed length $\leqslant \lambda . s_{\lambda}$ differs from $s_{t}$ by $n-k$ additional 0 's in every of the $1+2 n+2 n^{2} \mathscr{T}_{\mathscr{I}}$ substrings from $s_{t}{ }^{15}$ and so has length $\left|s_{t}\right|+\left(1+2 n+2 n^{2}\right)(n-k)=\lambda$.

### 3.6. Proof of Lemma 2

In this section we prove that if $\mathscr{G}$ does not have a pClique of size $k$, then the shortest common supersequence $s_{\text {opt }}$ of the instance FSCS constructed from $\mathscr{G}$ must have length larger than $\lambda$.

All claims in this section relate to an optimal alignment. To save on notation, in the sequel we will write
$\mathscr{T}_{\mathscr{I} X \mathscr{I}}$ for either a $\mathscr{T}_{\mathscr{I}}$ or a $\mathscr{T}_{\mathscr{I} 0 \mathscr{I}}$ substring from $s_{t}$, $\mathscr{V}_{i}$ for a $\mathscr{V}\left(v^{i}\right)$ substring from $s_{i}$.

Observation 1. By replacing every $\mathscr{T}_{\mathscr{I}}$ with $\mathscr{T}_{\mathscr{I} 0 \mathscr{I}}$ in $s_{t}$, we get a string $s_{\text {up }}$ that is a supersequence for every string in $S$. So $\left|s_{\text {up }}\right|$ is an upper bound for $\left|s_{\text {opt }}\right|$. We will need the following inequalities: $\left|s_{\text {up }}\right|=\left|s_{t}\right|+\left(1+2 n+2 n^{2}\right) n<\left|s_{t}\right|+7 n^{3}-n=\left|s_{t}\right|+|\mathcal{O}|-n<\left|s_{t}\right|+|\mathscr{I}|$

[^8]Observation 2. $s_{\text {opt }}$ has less than $|\mathscr{I}|$ more 1's than $s_{t}$, otherwise $\left|s_{\text {opt }}\right| \geqslant\left|s_{t}\right|+|\mathscr{I}|>\left|s_{\text {up }}\right| .^{16}$
Observation 3. $s_{\text {opt }}$ has less than $|\mathcal{O}|-n$ more 0's than $s_{t}$, otherwise $\left|s_{\text {opt }}\right| \geqslant\left|s_{t}\right|+|\mathcal{O}|-n>\left|s_{\text {up }}\right|$.
Claim 6. $\forall i$ : No $\mathscr{T}_{\mathscr{I X I}}$ may overlap with two different $\mathscr{V}_{i}$ 's.
Proof. Note that two $\mathscr{V}_{i}$ 's are separated by at least one $\mathcal{O}$ block and $\mathscr{T}_{\mathscr{I X Y}}$ has either any or $n 0$ 's. If a $\mathscr{T}_{\mathscr{I} X \mathscr{F}}$ is aligned to two different $\mathscr{V}_{i}$ 's at most $n 0$ 's from $\mathcal{O}$ can align with a 0 from $s_{t}$, and we have $\left|s_{\text {opt }}\right| \geqslant\left|s_{t}\right|+|\mathcal{O}|-n$ contradicting Observation 3.

Claim 7. $\forall i$ : Every $\mathscr{V}_{i}$ overlaps with at least one $\mathscr{T}_{\mathscr{I} X \mathscr{I}}$.
Proof. If not, the $2|\mathscr{I}|$ 's from that $\mathscr{V}_{i}$ are not aligned to any 1 from $s_{t}$ (all 1 's in $s_{t}$ are in the $\mathscr{T}_{\mathscr{I} X \mathscr{I}}$ 's). Then $s_{\text {opt }}$ has at least $2|\mathscr{I}|$ more 1's than $s_{t}$ contradicting Observation 2.

Claim 8. $\forall i$ : At most $m-1 \mathscr{V}_{i}$ 's overlap with more than one $\mathscr{T}_{\mathscr{I} X \mathscr{I}}$.
Proof. Suppose more than $m-1 \mathscr{V}_{i}$ 's do overlap with more than one $\mathscr{T}_{\mathscr{I} X \mathscr{I}}$, with Claim 7 and the observation that there are only $m-1$ more $\mathscr{T}_{\mathscr{F} X}$ 's than there are $\mathscr{V}_{i}$ 's we must have that at least one $\mathscr{T}_{\mathscr{I} X \mathscr{I}}$ overlaps with two different $\mathscr{V}_{i}$ 's contradicting Claim 6.

Definition 3 (Nice $\mathscr{T}_{\mathscr{I} X \mathscr{F}}$, good $\mathscr{V}_{i}$ ). We call a $\mathscr{V}_{i} \operatorname{good}$ if it overlaps with exactly one $\mathscr{T}_{\mathscr{F} X \mathscr{\mathscr { F }}}$.
We call a $\mathscr{T}_{\mathscr{I} X}$ nice, if, for all $i$ : $1 \leqslant i \leqslant k$, it overlaps with exactly one $\mathscr{V}_{i}$, and this $\mathscr{V}_{i}$ is good (overlaps with no other $\mathscr{T}_{\mathscr{I} X \mathscr{I}}$ ).

Claim 9. There are at least $\left(1+2 n^{2}\right)$ nice $\mathscr{T}_{\mathscr{I} \text { ' }}$ s.
Proof. For any $i$ we have at most $(m-1) \mathscr{V}_{i}$ 's that overlap with more than one $\mathscr{T}_{\mathscr{I} X \mathscr{I}}(\mathrm{Claim} 8)$, all other $\mathscr{V}_{i}$ 's overlap with exactly one $\mathscr{T}_{\mathscr{I} X \mathscr{I}}$ (Claim 7). With this and the observation that there are $m-1$ more $\mathscr{T}_{\mathscr{I} X \mathscr{I}}$ 's than there are $\mathscr{V}_{i}$ 's, we see that at most $2(m-1) \mathscr{T}_{\mathscr{F} X \mathscr{\mathscr { F }}}$ 's can fail to overlap with exactly one good $\mathscr{V}_{i}$. If we sum over all $i$ 's $(1 \leqslant i \leqslant k)$ we get that at most $2(m-1) k$ $\mathscr{T}_{\mathscr{I} X}$ 's can fail to overlap with exactly one good $\mathscr{V}_{i}$ for all $i$, or equivalently, can fail to be nice. Because the $\mathscr{T}_{\mathscr{I} \text { 's }}$ are a subset of the $\mathscr{T}_{\mathscr{I} X \mathscr{I}}$ 's, we also have that at most $2(m-1) k<2 n \mathscr{T}_{\mathscr{F} \text { ' }}$ 's are not nice, and so at least $\left(1+2 n+2 n^{2}\right)-2 n \mathscr{T}_{\mathscr{I}}$ 's are nice.

Let $\mathscr{A}=\left\{\mathscr{T}_{\mathscr{I} \mathscr{I}}^{\prime}, \mathscr{V}_{1}^{\prime}, \ldots, \mathscr{V}_{k}^{\prime}\right\}$ where $\mathscr{T}_{\mathscr{I} \text { I }}^{\prime}$ is nice and it overlaps with $\mathscr{V}_{i}^{\prime}$ for all $i$.
Claim 10. $\forall i, j$ : A0 from $\mathscr{V}_{i}^{\prime}$ never aligns with a 0 from $\mathscr{V}_{j}^{\prime}$ if the two 0 's do not have the same index.

[^9]Proof. Assume we have a 0 in $\mathscr{V}_{i}^{\prime}$ and a 0 in $\mathscr{V}_{j}^{\prime}$ with different indices that align. This implies that at least $2|\mathscr{I}|$ 1's from $\mathscr{V}_{i}^{\prime}$ cannot align with 1's from $\mathscr{V}_{j}^{\prime}$ and so $q$ has at least $2|\mathscr{I}|$ more 1's than $\left|\mathscr{T}_{\mathscr{I}}\right|$. This is best seen by a small example. Assume we align a 0 from $\mathscr{V}\left(v_{2}\right)$ at index 3 with a 0 from $\mathscr{V}\left(v_{9}\right)$ at index 2.

$$
\begin{array}{llllllllllll}
\mathscr{V}\left(v_{2}\right) & = & \mathscr{I} 0 \mathscr{I} & \mathscr{I} \mathscr{I} & \mathscr{I} \mathbf{0} \mathscr{I} & \mathscr{I} \mathscr{I} & \mathscr{I} 0 \mathscr{I} & \mathscr{I} \mathscr{I} & \mathscr{I} & \mathscr{I} & \mathscr{I} 0 \mathscr{I} & \mathscr{I} 0 \mathscr{I} \\
\mathscr{V}\left(v_{9}\right) & = & \mathscr{I} \mathscr{I} & \mathscr{I} \mathbf{0} \mathscr{I} & \mathscr{I} \mathscr{I} & \mathscr{I} 0 \mathscr{I} & \mathscr{I} 0 \mathscr{I} & \mathscr{I} 0 \mathscr{I} & \mathscr{I} 0 \mathscr{I} & \mathscr{I} 0 \mathscr{I} & \mathscr{I} \mathscr{I}
\end{array}
$$

Then any supersequence $q$ of $\mathscr{V}\left(v_{2}\right)$ and $\mathscr{V}\left(v_{9}\right)$ must have $2|\mathscr{I}|$ more 1 's than $\left|\mathscr{T}_{\mathscr{I} \mathscr{I}}\right|$ e.g.

$$
\begin{array}{llllllllllll}
q & =\mathscr{I} 0 \mathscr{I} & \mathscr{I} \mathscr{I} & \mathscr{I} \mathscr{I} & \mathscr{I} \mathscr{I} & \mathscr{I} 0 \mathscr{I} & \mathscr{I} 0 \mathscr{I} & \mathscr{I} 0 \mathscr{I} & \mathscr{I} 0 \mathscr{I} & \mathscr{I} 0 \mathscr{I} & \mathscr{I} \\
T_{\mathscr{I} I} & =\mathscr{I} & \mathscr{I} & \mathscr{I} \mathscr{I} & \mathscr{I} & \mathscr{I} & \mathscr{I} \mathscr{I} & \mathscr{I} & \mathscr{I} & \mathscr{I} \mathscr{I} & \mathscr{I} & \mathscr{I} \\
\mathscr{I} & \mathscr{I} & \mathscr{I} & \mathscr{I} &
\end{array}
$$

Now $\mathscr{V}_{i}^{\prime}$ and $\mathscr{V}_{j}^{\prime}$ may not overlap with any $\mathscr{T}_{\mathscr{I} X \mathscr{I}}$ other than $\mathscr{T}_{\mathscr{I}}^{\prime}$ (because $\mathscr{T}_{\mathscr{I} \mathscr{I}}^{\prime}$ is nice), but 1 's in $s_{t}$ appear only in $\mathscr{T}_{\mathscr{I} X \mathscr{F}}$ 's, so we get at least $2|\mathscr{I}|$ more 1 's in $s_{\text {opt }}$ than in $s_{t}$ contradicting Observation 2.

Claim 11. A 0 from a $\mathscr{V}_{i}^{\prime} \in \mathscr{A}$ does not align with a 0 that is not from a string in $\mathscr{A}$.
Proof. When a 0 from $\mathscr{V}_{i}^{\prime}$ aligns with a 0 from $s_{j}$ that is not in $\mathscr{V}_{j}^{\prime}$, this implies that there are at least $|\mathscr{I}|$ more 1's in $s_{\text {opt }}$ than in $s_{t}$ (by an argument similar to that in the previous claim) contradicting Observation 2.

Proof of Lemma 2. Let $s_{\mathrm{opt}}$ be a shortest common supersequence of $S$, where $S$ is constructed from an instance $\left(G, k,\left\{U_{1}, \ldots, U_{k}\right\}\right)$ which has no pClique of size $k$. Let $\mathscr{T}_{\mathscr{I} I}^{\prime}$ be any nice $\mathscr{T}_{\mathscr{I}}$ that overlaps with $\left\{\mathscr{V}^{\prime}\left(v^{1}\right), \ldots, \mathscr{V}^{\prime}\left(v^{k}\right)\right\}: v^{i} \in U_{i}$. A 0 from a $\mathscr{V}^{\prime}\left(v^{i}\right)$ may align only with 0 's from $\mathscr{V}^{\prime}\left(v^{j}\right)$ with the same index (Claims 10 and 11). Because $\left\{v^{1}, \ldots, v^{k}\right\}$ cannot be a pClique, we have fewer than $k$ indices where no $\mathscr{V}^{\prime}\left(v^{i}\right)$ has a 0 (Claim 3), and so $s_{\text {opt }}$ has at least $n-k+1$ more 0 's than $s_{t}$. This is the case for all of the at least $1+2 n^{2}$ nice $\mathscr{T}_{\mathscr{I} \mathcal{I}}$ 's (Claim 9). We now get a lower bound for $s_{\text {opt }}$ that is bigger than $\lambda$.

$$
\left|s_{\text {opt }}\right|>\left|s_{t}\right|+\left(1+2 n^{2}\right)(n-k+1)>\left|s_{t}\right|+\left(1+2 n+2 n^{2}\right)(n-k)=\lambda .
$$

Proof of Theorem 1. Theorem 1 follows from Lemmas 1 and 2. Note that the reduction is a parameterized many-one reduction as required for the result (see [4] for any details).

## 4. $W[1]$ hardness for fixed alphabet longest common subsequence

The reduction from pClique to FLCS is very similar to the reduction we constructed for FSCS. We will only sketch it.

The definitions of alignment, map, overlap and nice can be redefined for an alignment of a subsequence in a natural way.

For any string $\mathscr{X}$, let $\overline{\mathscr{X}}$ denote the string we get when we replace all occurrences of $\mathscr{I} \mathscr{I}$ in $\mathscr{X}$ by $\mathscr{I} 0 \mathscr{I}$ and vice versa.

The instance $\mathscr{L}=\left(L=\left\{l_{1}, \ldots, l_{k}, l_{t}\right\}, \gamma\right)$ for FLCS is

$$
\begin{aligned}
& l_{i}=\left(\overline{\mathscr{B}}_{i} \mathcal{O}\right)^{2 n+2 n^{2}} \overline{\mathscr{B}}_{i} \\
& l_{t}=\mathscr{T}_{\mathscr{O} 0 \mathscr{I}}(\mathcal{O} \overline{\mathscr{T}})^{2 n+2 n^{2}}, \\
& \gamma=\left|l_{t}\right|-\left(1+2 n+2 n^{2}\right)(n-k) .
\end{aligned}
$$

Lemma 3. If $\mathscr{G} \in \mathrm{pClique}$ then there is a string $l_{\gamma}$ of length $\gamma$ that is a subsequence of all strings in $L$ (or equivalently $\mathscr{L} \in \mathrm{FSCS}$ ).

Proof (sketch). The proof is very similar to the proof of the Lemma 1. Let $l_{\gamma}$ be the string $l_{t}$ where all $\left(1+2 n+2 n^{2}\right)$ occurrences of a $\mathscr{T}_{\mathscr{F} 0 \mathscr{I}}$ substring are replaced by the substring $\overline{\mathscr{M}}$ (see 14). $l_{\gamma}$ is a subsequence of all strings in $L$ (proof omitted but similar to proof of Claim 5). For the example from Section 3.5 an alignment appears as follows:

$$
\begin{aligned}
& l_{t}=\quad\left(\mathscr{T}_{\mathscr{f 0 \mathscr { I }}} \mathcal{O} \mathscr{T}_{\mathscr{I J}} \cup \mathscr{T}_{\mathscr{I f}} \mathcal{O}\right)^{2 n^{2}+2 n} \quad \mathscr{T}_{\mathscr{f 0 \mathscr { I }}} \\
& l_{1}=\quad \overline{\mathscr{V}\left(v_{1}\right)} \mathcal{O}\left(\overline{\mathscr{V}\left(v_{2}\right)} \cup \overline{\mathscr{V}\left(v_{3}\right)} \cup \overline{\mathcal{V}\left(v_{1}\right)} \mathcal{O}\right)^{2 n^{2}+2 n} \quad \overline{\mathscr{V}\left(v_{2}\right)} \quad \mathcal{O} \quad \overline{\mathscr{V}\left(v_{3}\right)} \\
& l_{2}=\overline{\mathscr{V}\left(v_{4}\right)} \text { O } \overline{\mathscr{V}\left(v_{5}\right)} \quad \mathcal{O} \quad\left(\overline{\mathscr{V}\left(v_{6}\right)} \mathcal{O} \overline{\mathscr{V}\left(v_{4}\right)} \cup \overline{\mathscr{V}\left(v_{5}\right)} \mathcal{O}\right)^{2 n^{2}+2 n} \quad \overline{\mathscr{V}\left(v_{6}\right)} \\
& l_{3}=\quad\left(\overline{\mathscr{V}\left(v_{7}\right)} \mathcal{O} \overline{\mathscr{V}\left(v_{8}\right)} \mathcal{O} \overline{\mathscr{V}\left(v_{9}\right)} \mathcal{O}\right)^{2 n^{2}+2 n} \overline{\mathscr{V}\left(v_{7}\right)} \text { O } \overline{\mathscr{V}\left(v_{8}\right)} \quad \mathcal{O} \quad \overline{\mathscr{V}\left(v_{9}\right)} \\
& l_{\lambda}= \\
& \left(\overline{\mathbb{M}} \cup \mathscr{T}_{\mathscr{I}} \mathcal{O} \mathscr{T}_{\mathscr{I} \mathcal{I}} \mathcal{O}\right)^{2 n^{2}+2 n} \\
& \bar{M}
\end{aligned}
$$

$\overline{\mathscr{M}}$ has length $\left|\mathscr{T}_{\mathscr{I} 0 \mathscr{I}}\right|-(n-k)$, and so $l_{\gamma}$ is a subsequence of $L$ of length $\gamma$.
Let $C$ be defined as in Section 3.4. Let $\overline{J_{C}^{0}}$ be the indices where all strings $\overline{\mathscr{V}\left(v^{i}\right)}: v^{i} \in C$ have a 0 . We have $J_{C}^{0} \equiv \overline{J_{C}^{0}}{ }^{17}$ because by the definition the string $\overline{\mathscr{V}\left(v^{i}\right)}$ has a 0 at an index $j$ if and only if $\mathscr{V}\left(v^{i}\right)$ has no 0 at index $j$. We can now restate Claim 3 by replacing $J_{C}^{0}$ with $\overline{J_{C}^{0}}$.

Claim 12. If $C$ is a pClique $\left|\overline{J_{C}^{0}}\right|=k$ otherwise $\left|\overline{J_{C}^{0}}\right|<k$.
The proofs of Claims 13-18 below are omitted because they are similar to the proofs of Claims $6-11 .{ }^{18}$ Note that Claims 13-15 are almost identical to the Claims 6-8, only the roles of $\overline{\mathscr{V}}{ }_{i}$ (resp. $\mathscr{V}_{i}$ ) and $\mathscr{T}_{\mathscr{I} X}$ are interchanged. Claims 17 and 18 are identical to Claims 10 and 11 , only the $\mathscr{V}^{\prime}$ 's are replaced by $\overline{\mathscr{V}}$,s. Claim 16 is identical to Claim 9 , only $\mathscr{T}_{\mathscr{I} \mathscr{I}}$ is replaced by $\mathscr{T}_{\mathscr{I} 0 \mathscr{F}}$.

Claim 13. $\forall i$ : No $\overline{\mathscr{V}}_{i}$ may overlap with two different $\mathscr{T}_{\mathscr{I} X \mathscr{I}}$ 's.

[^10]Claim 14. $\forall i$ : Every $\mathscr{T}_{\mathscr{I} X \mathscr{I}}$ overlaps with at least one $\overline{\sqrt[V]{~}_{i}}$.
Claim 15. $\forall i$ : At most $m-1 \mathscr{T}_{\mathscr{I X} \mathscr{\mathscr { G }}}$ 's overlap with more than one $\overline{\mathscr{V}_{i}}$.
Claim 16. There are at least $\left(1+2 n^{2}\right)$ nice $\mathscr{T}_{\mathscr{I} 0 \mathscr{I}}$ 's.
Let $\mathscr{A}=\left\{\mathscr{T}_{\mathscr{I} 0 \mathscr{I}}^{\prime}, \overline{\mathscr{V}}_{1}^{\prime}, \ldots, \overline{\mathscr{V}}_{k}^{\prime}\right\}$ where $\mathscr{T}_{\mathscr{I} 0 \mathscr{I}}^{\prime}$ is nice and it overlaps with $\overline{\mathscr{V}}_{i}^{\prime}$ for all $i$.
Claim 17. $\forall i, j$ : A 0 from $\overline{\mathscr{V}}_{i}^{\prime}$ never aligns with a 0 from $\overline{\mathscr{V}}_{j}^{\prime}$ if the two 0 's do not have the same index.
Claim 18. A from $a \overline{\mathscr{V}}_{i}^{\prime} \in \mathscr{A}$ does not align with a 0 that is not from a string in $\mathscr{A}$.
Lemma 4. If $\mathscr{G} \notin \mathrm{pClique}$ then $\left|l_{\mathrm{opt}}\right|<\gamma^{19}$ (or equivalently $\mathscr{L} \notin \mathrm{FSCS}$ ).
Proof. The proof is very similar to the proof of Lemma 2. Let $l_{\text {opt }}$ be a longest common subsequence of $L$, where $L$ is constructed from an Instance $\left(G, k,\left\{U_{1}, \ldots, U_{k}\right\}\right)$ which has no pClique of size $k$. Let $\mathscr{T}_{\mathscr{I} 0 \mathscr{I}}^{\prime}$ be any nice $\mathscr{T}_{\mathscr{I} 0 \mathscr{I}}$ that overlaps with $\left\{\overline{\mathscr{V}}^{\prime}\left(v^{1}\right), \ldots, \overline{\mathscr{V}}^{\prime}\left(v^{k}\right)\right\}: v^{i} \in U_{i}$. A 0 from a $\overline{\mathscr{V}}^{\prime}\left(v^{i}\right)$ may align only with 0 's from $\overline{\mathscr{V}}^{\prime}\left(v^{j}\right)$ with the same index (Claims 17 and 18). Because $\left\{v^{1}, \ldots, v^{k}\right\}$ cannot be a pClique, we have fewer than $k$ indices where all $\mathscr{V}^{\prime}\left(v^{i}\right)$ have a 0 (Claim 12), and so $l_{\text {opt }}$ has at least $n-k+1$ fewer 0 's than $l_{t}$. This is the case for all of the at least $1+2 n^{2}$ nice $\mathscr{T}_{\mathscr{I} 0 \mathscr{\mathscr { F }}}$ 's (Claim 16). We now get an upper bound for $l_{\text {opt }}$ that is smaller than $\gamma$

$$
\left|l_{\mathrm{opt}}\right|<\left|l_{t}\right|-\left(1+2 n^{2}\right)(n-k+1)<\left|l_{t}\right|-\left(1+2 n+2 n^{2}\right)(n-k)=\gamma
$$

Proof of Theorem 2. Theorem 2 follows from Lemmas 3 and 4. Note that the reduction is a parameterized many-one reduction as required for the result (see [4] for any details).

## 5. Conclusion

We have shown that the fixed alphabet shortest common supersequence (SCS) and the fixed alphabet longest common subsequence (LCS) problems parameterized in the number of strings are $W[1]$-hard.

Unless an unlikely collapse in the parameterized hierarchy occurs, this rules out the existence of exact algorithms with running time $f(k) n^{O(1)}$ (i.e., exponential only in $k$ ) for those problems. This does not mean that there are no algorithms with much better asymptotic time-complexity than the known $O\left(n^{k}\right)$ algorithms based on dynamic programming, e.g. algorithms with running time $n^{\sqrt{k}}$ are not deemed impossible by our results.

The exact classification of the FSCS and FLCS problems within the parameterized hierarchy is left open. We have evidence ${ }^{20}$ that leads us to the conjecture that an exact classification of these

[^11]problems (and many others) within the parameterized hierarchy is not possible, because they seem to be not even in $W[P]$, the highest (reasonable) class these problems could be complete for.

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[^1]:    ${ }^{1}$ A string $a$ is a supersequence of a string $b$ if we can delete some characters in $a$ such that the remaining string is equal to $b$, e.g. " 1234 " is a supersequence of " 13 ".
    ${ }^{2}$ A string $a$ is a subsequence of a string $b$ if $b$ is a supersequence of $a$, e.g. " 13 " is a subsequence of " 1234 ".

[^2]:    ${ }^{3}$ FLCS is named LCS-5 in [3].

[^3]:    ${ }^{4}$ Note that $|V|$ must be a multiple of $k$.

[^4]:    ${ }^{5}$ A string $a$ is a substring of a string $b$ if $b=u a v$ for some strings $u$ and $v$, e.g. " 34 " is substring of " 1234 ".
    ${ }^{6}$ If $q=s$ we simply have $\phi(s, i)=i$, the definition of $\phi$ for $s$ is redundant, we do it as to avoid a special treatment for $s$ in the definition of "align" and "map" below.

[^5]:    ${ }_{8}^{7}$ A concrete example will be given in Section 3.5.
    ${ }^{8}$ More precisely, let $s_{\text {opt }}$ be any string that is a supersequence of all strings in $S$ and has minimal possible length.
    ${ }^{9} \mathscr{G} \in$ pClique means that $G$ has a pClique of size $k$ and $\mathscr{S} \in \mathrm{FSCS}$ means that $S$ has a shortest common supersequence of length at most $\lambda$.
    ${ }^{10} s_{\lambda}$ is not necessarily a shortest supersequence for $S$.

[^6]:    ${ }^{11}$ e.g. $\mathscr{V}_{i}, \mathscr{T}_{\mathscr{I}}$ and $\mathscr{T}_{\mathscr{I} 0 \mathscr{I}}$ are of this form.
    ${ }^{12}$ Or more formally $\forall\left(j \in J_{C}^{0}\right) \forall\left(v^{i} \in C\right): \mathscr{V}\left(v^{i}\right)$ has no 0 at index $j$.

[^7]:    ${ }^{13}$ If we can map $s_{i}$ on $s_{\lambda}$ then $s_{\lambda}$ must be a supersequence of $s_{i}$.

[^8]:    ${ }^{14}$ The alignment is not unique.
    ${ }^{15} \mathrm{~A} 0$ at all $n$ indices except at the $k$ indices $J_{C}$.

[^9]:    ${ }^{16}$ This follows from the simple observation that if $s_{\text {opt }}$ has $|\mathscr{I}|$ more 1's than $s_{t}$, at least $|\mathscr{I}|$ 1's from $s_{\text {opt }}$ cannot align with a character in $s_{t}$.

[^10]:    ${ }^{17} J_{C}^{0}$ is defined in Section 3.4.
    ${ }^{18}$ In the sequel we will only need Claims $16-18$, Claims $13-15$ are only stated as to ease the reproduction of the full proof.

[^11]:    ${ }^{19} l_{\text {opt }}$ is any string that is subsequence of all strings in $L$ and has maximal possible length.
    ${ }^{20}$ Notes can be obtained from the author.

