# 13-cis Retinoic Acid Induces Apoptosis and Cell Cycle **Arrest in Human SEB-1 Sebocytes**

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Isotretinoin (13-cis retinoic acid (13-cis RA)) is the most potent inhibitor of sebum production, a key component in the pathophysiology of acne, yet its mechanism of action remains largely unknown. The effects of 13-cis RA, 9-cis retinoic acid (9-cis RA), and all-trans retinoic acid (ATRA) on cell proliferation, apoptosis, and cell cycle proteins were examined in SEB-1 sebocytes and keratinocytes. 13-cis RA causes significant dose-dependent and time-dependent decreases in viable SEB-1 sebocytes. A portion of this decrease can be attributed to cell cycle arrest as evidenced by decreased DNA synthesis, increased p21 protein expression, and decreased cyclin D1. Although not previously demonstrated in sebocytes, we report that 13-cis RA induces apoptosis in SEB-1 sebocytes as shown by increased Annexin V-FITC staining, increased TUNEL staining, and increased cleaved caspase 3 protein. Furthermore, the ability of 13-cis RA to induce apoptosis cannot be recapitulated by 9-cis RA or ATRA, and it is not inhibited by the presence of a retinoid acid receptor (RAR) pan-antagonist AGN 193109. Taken together these data indicate that 13-cis RA causes cell cycle arrest and induces apoptosis in SEB-1 sebocytes by a RAR-independent mechanism, which contributes to its sebosuppressive effect and the resolution of acne.

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#### INTRODUCTION

Isotretinoin (13-cis retinoic acid (13-cis RA)) is the most potent inhibitor of sebum production, a key component in the pathophysiology of acne. It is the only retinoid that dramatically reduces the size and secretion of sebaceous glands (Landthaler et al., 1980; Strauss et al., 1980; Goldstein et al., 1982). Despite the fact that isotretinoin is extremely effective against acne, surprisingly little is known regarding its molecular mechanism of action although advances are being made in this area. This unique retinoid has been shown to competitively inhibit the  $3\alpha$ -hydroxysteriod activity of retinol dehydrogenase leading to decreased androgen synthesis in vitro as well as inhibit the migration of polymorphonuclear leukocytes into the skin supporting its role in the reduction of inflammation that is associated with acne (Wozel et al., 1991; Karlsson et al., 2003).

Numerous studies indicate that 13-cis RA and other retinoids affect cell cycle progression, differentiation, apoptosis,

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Abbreviations: ANOVA, analysis of variance; ATRA, all-trans retinoic acid; 9-cis RA, 9-cis retinoic acid; 13-cis RA, 13-cis retinoic acid; NHEK, normal human epidermal keratinocyte; RAR, retinoid acid receptor; RXR, retinoid X receptor; TIG1, tazarotene-induced gene 1

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and cell survival in a variety of cell types including human breast cancers, oral squamous cell carcinomas, lymphocytes, and murine neurons (Pomponi et al., 1996; Giannini et al., 1997; Toma et al., 1997; Cariati et al., 2000; Crandall et al., 2004; Sakai et al., 2004). Like previous studies in other cell types, 13-cis RA has also been shown to decrease proliferation of sebocytes and inhibit sebocyte differentiation as indicated in histology specimens, primary sebocytes, and SZ95 immortalized human sebocytes (Doran et al., 1980; Jones et al., 1980; Landthaler et al., 1980; Strauss et al., 1980; Ridden et al., 1990; Zouboulis et al., 1991a, b, 1999). Although increased levels of caspase 3 were noted in SZ95 sebocytes 24 hours following treatment with 13-cis RA and inhibition of cell growth was evident at 7 days, other markers failed to indicate that SZ95 sebocytes were undergoing apoptosis (Zouboulis et al., 1993; Wrobel et al., 2003). We hypothesized that 13-cis RA reduces sebocyte cell counts via cell cycle arrest and/or apoptosis and that these effects might not be apparent within a 24-hour treatment period.

In this paper, we report that after 48 and 72 hours of treatment 13-cis RA, but not 9-cis retinoic acid (9-cis RA) or all-trans retinoic acid (ATRA), inhibits growth and induces apoptosis in immortalized human SEB-1 sebocytes but not in HaCaT keratinocytes or normal human epidermal keratinocytes (NHEK). Furthermore, the RAR pan antagonist, AGN 193109, does not inhibit the apoptosis induced by 13-cis RA suggesting an RAR-independent apoptotic mechanism. We hypothesize that the ability of 13-cis RA to induce cell cycle arrest and apoptosis in sebocytes contributes to the overall effect on suppression of sebum and improvement in acne.

#### Results

# 13-cis RA exhibits a more rapid onset of growth inhibition of SEB-1 sebocytes compared to 9-cis RA or ATRA

There is a significant dose-dependent decrease in cell count after 48 and 72 hours of treatment with 13-cis RA (Figure 1a). At 48 hours, 13-cis RA concentrations of 0.1, 0.5, and 1  $\mu$ M decreased cell count by 19, 22, and 30% respectively, when compared to vehicle (P<0.05). After 72 hours cell numbers decreased by 19, 43, and 39% with 13-cis RA concentrations of 0.1  $\mu$ M (P<0.01), 0.5  $\mu$ M (P<0.0001), and 1  $\mu$ M (P<0.05) respectively. No significant differences in cell number were noted at 24 hours of treatment.

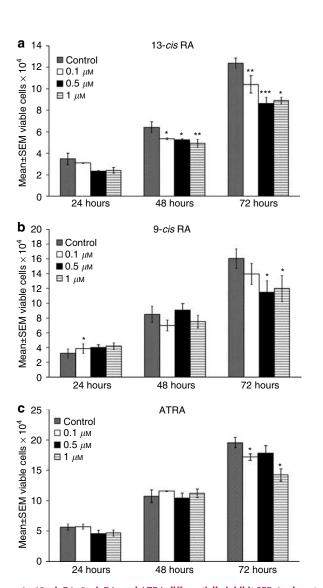


Figure 1. 13-cis RA, 9-cis RA, and ATRA differentially inhibit SEB-1 sebocyte proliferation. (a-c) Time-dependent inhibition of SEB-1 cell proliferation with individual retinoid compounds. SEB-1 cells were cultured in the presence of ethanol vehicle alone (0.01% or less; control) 0.1, 0.5, or 1  $\mu$ M concentrations of 13-cis RA, 9-cis RA, or ATRA for 24, 48, or 72 hours. Attached cells were collected, stained with Trypan blue, and viable cells counted manually by hemocytometer. Data represent mean  $\pm$  SEM, n = 12. Statistical analysis was performed with ANOVA Two Factor with Replication. \*P<0.05, \*\*P<0.01, and \*\*\*P<0.0001.

The effects of 9-cis RA and ATRA on SEB-1 sebocyte counts were noted beginning at 72 hours. Decreases of 39 and 43% were noted with 9-cis RA (0.5 and 1  $\mu$ M, respectively) (P<0.05) (Figure 1b). ATRA (0.1 and 1  $\mu$ M) significantly decreased cell number by 14 and 37%, respectively (P<0.05) (Figure 1c). Overall, each of these three retinoids decreased SEB-1 sebocyte numbers at 72 hours albeit to varying degrees but effects were noted beginning at 48 hours with 13-cis RA.

# 13-cis RA significantly inhibits DNA synthesis in SEB-1 sebocytes

13-cis RA (0.1, 0.5, and 1  $\mu$ M) each significantly decreased thymidine incorporation by approximately 3-fold at 72 hours (P<0.01) (Figure 2a). No significant changes were noted at 24 or 48 hours. A 1.85-fold decrease in  $^3$ H thymidine

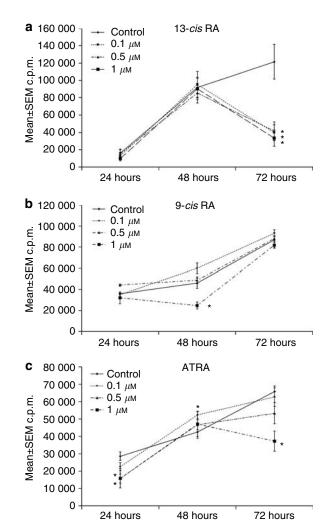


Figure 2. 13-cis RA inhibits DNA synthesis to a greater extent than 9-cis RA or ATRA. (a-c) SEB-1 sebocytes were treated with ethanol vehicle (control) or 0.1, 0.5, 1  $\mu$ M concentrations of 13-cis RA, 9-cis RA, or ATRA for 24, 48, and 72 hours. 1  $\mu$ Ci  $^3$ H thymidine was added to each sample 8 hours before harvesting. Cells were washed and collected for liquid scintillation counting. Data represent mean  $\pm$  SEM,  $n \ge 12$ . Statistical analysis was performed with ANOVA Two Factor with Replication. \*P<0.05 and \*\*P<0.01.

incorporation was noted when cells were treated with  $1 \, \mu \text{M}$ 9-cis RA for 48 hours (Figure 2b). ATRA in concentrations of 0.5 and 1  $\mu$ M decreased thymidine incorporation by approximately 1.8-fold at 24 and 72 hours, respectively (Figure 2c).

# 13-cis RA, but not 9-cis RA or ATRA, increases p21 levels in **SEB-1** sebocytes

To further test the hypothesis that 13-cis RA changes cell cycle progression, expression of p21, a cell cycle inhibitor, was examined by Western blot. 13-cis RA significantly increased p21 expression after 48 and 72 hours of treatment (Figure 3a). Specifically, p21 levels increased on average 2.64-fold and 3.13-fold when cells were treated with 0.1  $\mu$ M 13-cis RA and  $1 \mu M$  13-cis RA, respectively, for 48 hours (P=0.008 and 0.05). After 72 hours of treatment, all concentrations tested increased p21 protein expression. 13-cis RA caused 1.47-, 2.27-, and 3.01-fold increases in p21 with 0.1, 1, and 10 μm 13-cis RA, respectively. No significant differences in p21 expression were noted at 24 hours (data not shown). When SEB-1 sebocytes were treated with 9-cis RA or ATRA in concentrations of 0.1, 0.5, and 1  $\mu$ M, no significant increases in p21 protein were noted at 48 or 72 hours (Figure 3b and c).

## 13-cis RA, but not 9-cis RA or ATRA, decreases cyclin D1 protein in SEB-1 sebocytes

To further explore the possibility that 13-cis RA induces a G1 arrest in SEB-1 sebocytes, cyclin D1 protein was examined by Western blot. Cyclin D family members are expressed and function in controlling the progression from G1 to S phase in the cell cycle (Baldin et al., 1993). Overexpression of cyclin D1 shortens the duration of G1 phase and is rate limiting for phase progression (Quelle et al., 1993). Therefore, cyclin D1 is a likely candidate to confirm the actions of 13-cis RA in inhibiting cell cycle progression by influencing the G1 to S phase transition. In SEB-1 sebocytes, 13-cis RA in concentrations of 0.1, 1, and 10 µM, significantly decrease cyclin D1 protein at 72 hours (Figure 3d). No significant effects of 13-cis RA were noted at 24 or 48 hours (24-hour data not shown). 9-cis RA or ATRA concentrations of 0.1, 0.5, or 1  $\mu$ M did not reduce cyclin D1 protein at 72 hours (data not shown).

# 13-cis RA induces apoptosis in SEB-1 sebocytes but not in HaCaT keratinocytes or NHEK

To determine if the effect of 13-cis RA on apoptosis is celltype specific, time course experiments were conducted in SEB-1 sebocytes, HaCaT keratinocytes, and NHEK. In SEB-1

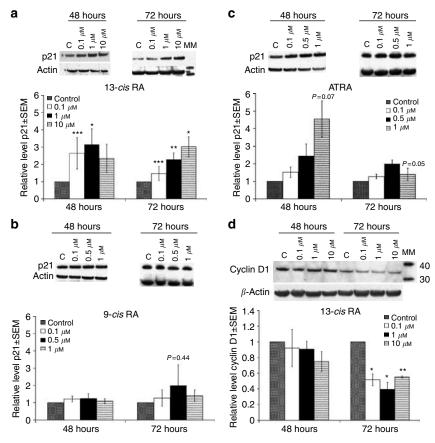


Figure 3. 13-cis RA increases p21 and decreases cyclin D1 proteins. (a) SEB-1 cells were treated with 0.1, 1, and 10 μM 13-cis RA or vehicle. (b and c) Parallel experiments were performed with 0.1 0.5, or 1  $\mu$ M concentrations of 9-cis RA and ATRA. Blots were incubated with primary antibodies to p21 and  $\beta$ -actin for loading control normalization and analyzed by densitometry. (d) SEB-1 cells were treated with 0.1, 1, and 10 μm 13-cis RA or vehicle and blots were incubated with primary antibodies to cyclin D1 and  $\beta$ -actin. Magic Mark XP (MM) indicates band size. Blots are representative of minimum of three Western blots. Graphs represent normalized values relative to vehicle (control) expression of a minimum of three independent Western blots. Mean ± SEM. \*P<0.05 and \*\*P=0.01.

sebocytes, no significant differences in apoptosis were noted in cells treated with 13-cis RA for 2, 4, 6, or 24 hours. A marginal, yet significant, increase in the percentage of cells in early apoptosis was noted in SEB-1 cells treated with 0.1  $\mu$ m 13-cis RA: 2.03–2.49% at 48 hours and from 2.19 to 2.84% at 72 hours (P<0.01 for each time point). Significant increases in the percentage of cells in late apoptosis were noted at 48 and 72 hours with increasing concentrations of 13-cis RA (Figure 4a, late apoptosis shown). Specifically, 0.1  $\mu$ m 13-cis increased the percentage of late apoptosis: 4.06–5.22% at 48 hours and 5.31–8.11% at 72 hours. 13-cis RA at 1  $\mu$ m concentration caused increases from 3.64 to 5.08% and from

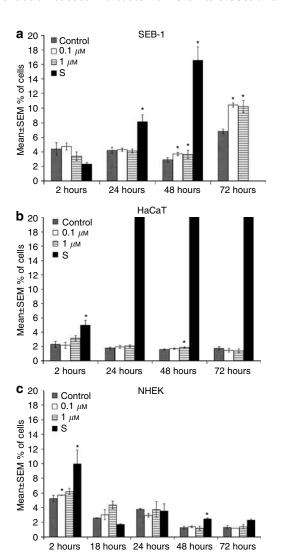


Figure 4. 13-cis RA induces late apoptosis in SEB-1 sebocytes but not in HaCaT keratinocytes or NHEK. (a) SEB-1 cells were treated with vehicle (negative control), 13-cis RA (0.1 or 1  $\mu$ M), or staurosporine (S) (positive control) for indicated times. (b) HaCaT cells were treated with vehicle, 13-cis RA (0.1 or 1  $\mu$ M), or staurosporine (S) for the indicated times. (c) NHEK cells were treated with vehicle, 13-cis RA (0.1 or 1  $\mu$ M), or staurosporine (S) for indicated times. In all experiments, cells were prepared according to manufacturer's protocol for Annexin V-FITC / PI staining (BD ApoAlert, BD Biosciences). Data were analyzed with Cell Quest Software and represent mean  $\pm$  SEM,  $n \ge$  12. Statistical analysis was performed with ANOVA Two Factor with Replication. \*P<0.01 and \*\*P<0.00001.

7.57 to 12.18% at 48 and 72 hours, respectively. Nanomolar concentrations of 13-*cis* RA did not induce apoptosis at any of the time points examined (data not shown).

In HaCaT keratinocytes, no significant differences in the percentages of cells in early and late apoptosis or necrosis were noted in cells treated with 0.1 μM 13-cis RA at all time points examined. 13-cis RA (1  $\mu$ M) significantly increased the percentage of cells in early and late apoptosis at 24 and 48 hours, respectively. Yet these increases were very minor, with the total percentage of HaCaT cells in apoptosis with 13-cis RA being <2% of the cells (Figure 4b, late apoptosis shown). In experiments with NHEK cells, no significant differences in the percentages of cells in early or late apoptosis or necrosis were noted in cells treated with 13-cis RA with the exception of an increase from 5.25 to 6.2% in late apoptosis at 2 hours in cells treated with 1 μM 13-cis RA (Figure 4c, late apoptosis shown). Apoptosis was significantly induced by staurosporine in SEB-1 sebocytes, HaCaT keratinocytes and NHEK. No significant differences were noted between standard medium and ethanol controls in any cell type at any time point during these studies indicating that the concentrations of ethanol used in these experiments did not induce apoptosis.

# 13-cis RA specifically increases levels of cleaved caspase 3 in SEB-1 sebocytes

SEB-1 sebocytes were treated with 13-cis RA and four independent Western blots were run to detect cleaved caspase 3. No cleaved caspase 3 was noted at 24 hours in negative control lanes or in cells treated with 13-cis RA. 13-cis RA significantly increased cleaved caspase 3 levels at 48 and 72 hours in SEB-1 sebocytes (Figure 5a). Specifically, 0.1  $\mu$ m 13-cis RA and 1  $\mu$ m 13-cis RA increased expression of cleaved caspase 3 on average 3.58-fold (P<0.01) and 3.33-fold (P<0.01), respectively, at 48 hours. Small fold increases were noted at 72 hours that were not statistically significant. Although the magnitude of the increase in cleaved caspase 3 is greatest with 10  $\mu$ m 13-cis RA at 48 hours, these results were not statistically significant; due to the variability induced by the very limited survival of cells at this higher concentration.

To determine if the induction of apoptosis is a specific to 13-cis RA, SEB-1 sebocytes were also treated with 0.1, 0.5, or 1  $\mu$ M concentrations of 9-cis RA and ATRA. Again, no cleaved caspase 3 was detected at 24 hours in negative controls or with any concentration of either retinoid. Furthermore, unlike the case with 13-cis RA, no significant increases in cleaved caspase 3 were noted with either 9-cis RA or ATRA at 48 and 72 hours (Figure 5b and c).

For additional confirmation that the apoptotic effect of 13-cis RA is specific to SEB-1 sebocytes, Western blots for cleaved caspase 3 were performed on NHEK. No cleaved caspase 3 could be detected at any time point examined in NHEK cells treated with 13-cis RA. However, cleaved caspase 3 was detected when NHEK were treated with 1  $\mu$ M staurosporine indicating that these cells are capable of undergoing apoptosis (Figure 6). In summary, 13-cis RA specifically induces apoptosis in a dose-dependent manner in

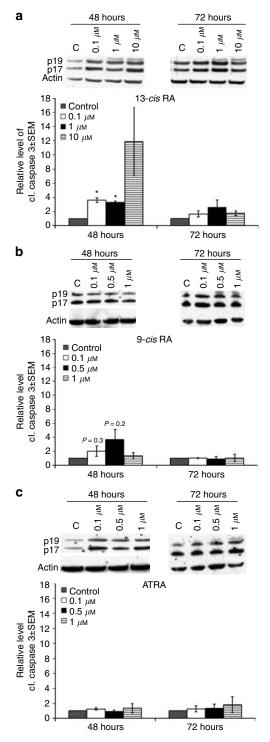


Figure 5. 13-cis RA increases cleaved caspase 3 protein in SEB-1 sebocytes. (a) SEB-1 sebocytes were treated with vehicle, 0.1, 1, or  $10 \,\mu\text{M}$  13-cis RA. (b) and c) Parallel experiments were performed with 0.1, 0.5, or 1  $\mu$ M concentrations of 9-cis RA or ATRA. Blots were incubated with primary antibodies to cleaved caspase 3 (1:1,000) and actin (1:1,000) for loading control normalization and analyzed by densitometry. p17 and p19 are cleaved caspase 3 fragments. Blots are representative of a minimum of four independent experiments. Graph represents normalized values relative to vehicle (control) expression for four independent Western blots. Data represent mean  $\pm$  SEM \*P<0.01.

SEB-1 sebocytes but not in NHEK. Furthermore, 9-cis RA or ATRA does not induce apoptosis in SEB-1 sebocytes.

# 13-cis RA, but not 9-cis or ATRA, increases TUNEL staining in **SEB-1** sebocytes

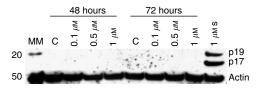
To further test the hypothesis that 13-cis RA induces apoptosis and to confirm the results from the Annexin V-FITC experiments in SEB-1 sebocytes, we examined the effects of 13-cis RA on SEB-1 cells by TUNEL assay. 13-cis RA (0.1 and  $1 \,\mu\text{M}$ ) increased the percentage of TUNEL-positive cells by 3.5- and 5.67-fold, respectively ( $P \le 0.01$ ) at 48 hours, while each concentration of 13-cis RA increased the percentage of TUNEL-positive cells by approximately 13-fold at 72 hours  $(P \le 0.01)$  (Figure 7b). No differences were noted at 24 hours (data not shown). To compare the actions of 13-cis RA to its isomerization products, SEB-1 sebocytes were also treated with the same concentrations of 9-cis RA and ATRA and no significant increases in TUNEL-stained cells were noted at any time point examined (Figure 7a and b). Both 9-cis RA and ATRA had 1–3% TUNEL-positive cells at time points examined. Fenretinide treatment of SEB-1 sebocytes significantly increased the percentage of TUNEL-positive cells in a dose-dependent fashion at 48 and 72 hours (ranging from 15 to 85% positive cells) (Figure 7a and b). No significant increase in the percentage of TUNEL-positive cells was noted with retinoid X receptor (RXR) pan agonist, CD 3254 at 48 hours. However, 50 nm CD 3254 significantly increased TUNEL-positive cells from 3 to 48% at 72 hours (P<0.01) (data not shown).

# Apoptosis induction by 13-cis RA in SEB-1 sebocytes is not blocked by RAR antagonist AGN 193109

To determine if the effects of 13-cis RA on apoptosis are mediated by RA receptors, SEB-1 sebocytes were treated with  $1 \,\mu\text{M}$  13-cis RA in the presence of  $10 \,\mu\text{M}$  AGN 193109, an RAR pan antagonist, and the TUNEL assay was performed. 13-cis RA alone significantly increased the percentage of cells in apoptosis over vehicle control by approximately 5-fold at 48 and 72 hours (P<0.05). These increases were not inhibited in the presence of AGN 193109 at 48 and 72 hours (Figure 7c and d) (48-hour data not shown). To verify the activity of AGN 193109 within our cells at the time points examined in the TUNEL assay, we performed quantitative PCR for RAR responsive gene, tazarotene-induced gene 1 (TIG1). RAR activation induces the expression of TIG1 (Nagpal et al., 1996). In the presence of  $1 \mu M$  13-cis RA alone, TIG1 expression was approximately 13- and 17-fold higher than controls at 48 and 72 hours, respectively. With the addition of AGN 193109, TIG1 gene expression dramatically decreases at 48 and 72 hours and is lower than vehicle-treated controls (Figure 7e).

### 13-cis RA is isomerized to ATRA over time in SEB-1 sebocytes

To study the kinetics of 13-cis RA uptake in SEB-1 sebocytes and its possible isomerization to ATRA or 9-cis RA, SEB-1 sebocytes were treated with 13-cis RA and subjected to HPLC analysis. 13-cis RA remains relatively stable in standard culture medium for approximately 24 hours (Figure 8a). The



**Figure 6. 13-cis RA does not increase cleaved caspase 3 in NHEK.** NHEK were treated with vehicle, 0.1, 0.5, or 1  $\mu$ m concentrations of 13-cis RA or 1  $\mu$ m staurosporine (positive control). Blots were incubated with primary antibodies to cleaved caspase 3 (1:1,000) and  $\beta$ -actin (1:1,000) for loading control normalization and analyzed by densitometry. Data are a representative blot.

concentration of 13-cis RA in standard culture medium alone is similar to the concentration in medium from SEB-1 sebocyte-containing plates (Figure 8a and b). The concentrations of 13-cis RA within SEB-1 sebocytes increases to a maximum of 350 ng/ml at 12 hours, at which point the concentration declines for the duration of the experiment (Figure 8c). The concentration of ATRA in the medium alone and from plates containing SEB-1 sebocytes was much lower than 13-cis RA concentrations at the corresponding time points. The concentration of ATRA within SEB-1 sebocytes begins to rise at 12 hours and continues through the remaining time periods. 9-cis RA concentrations are minimal at best in medium alone and medium from SEB-1 plates during the time course. Within SEB-1 sebocytes, 9-cis RA concentrations range from 1.4 ng/ml at 0 hour to a maximum of 12 ng/ml at 72 hours, magnitudes lower than either 13-cis RA or ATRA at the same time periods (Figure 8).

#### **DISCUSSION**

Determining the actions of isotretinoin on the sebaceous gland is essential in advancing our understanding of the molecular mechanism of action of this drug and in our search for safer therapeutic alternatives. Several studies indicate that the effects of retinoids on cell proliferation, cell cycle, and apoptosis are retinoid specific or cell-type specific. For example, growth inhibition with 13-cis RA has been reported in human breast cancer cell lines, primary glioblastoma cells, Epstein-Barr virus-immortalized B lymphocytes, and oral squamous cell carcinoma cell lines (Pomponi et al., 1996; Giannini et al., 1997; Toma et al., 1997; Bouterfa et al., 2000). In some cases the effects noted with 13-cis RA or 9-cis RA were not duplicated by ATRA (Bouterfa et al., 2000). Most studies in other cell types suggest that retinoids cause a block in the G1/S phase of the cell cycle triggering decreased S phase and increased the percentage of cells in G0/G1 (Giannini et al., 1997; Toma et al., 1997; Crandall et al., 2004). It is also well established that retinoids induce apoptosis in numerous cell types, both normal cells and tumor cell lines, although not previously demonstrated in sebocytes. For example, in doses comparable to those given for the treatment of acne in humans, 13-cis RA reduces the survival and genesis of murine hippocampal neurons in vivo (Crandall et al., 2004; Sakai et al., 2004). ATRA has been shown to induce apoptosis in primary and metastatic melanoma cells (Zhang and Rosdahl, 2004) as well as inducing growth arrest followed by apoptosis in orbital

fibroblasts from patients with Graves' disease (Pasquali *et al.*, 2003). In *OCI/AML-2* retinoid-sensitive cell line subclones derived from leukemia cells, 9-*cis* RA inhibited cell growth and induced apoptosis to a greater extent than 13-*cis* RA or ATRA (Koistinen *et al.*, 2002). These studies demonstrate that the actions of retinoids are unique and specific to the model used.

The exact mechanism of action of 13-cis RA in the treatment of acne remains largely unknown. 13-cis RA has little to no ability to bind to cellular retinol-binding proteins or the RA nuclear receptors (RARs and RXRs) (Levin et al., 1992; Allenby et al., 1993; Fogh et al., 1993). It has been suggested 13-cis RA may, in fact, act as a pro-drug that is isomerized intracellularly to ATRA, an agonist for RAR nuclear receptors, and 9-cis RA, which is a non-specific agonist for both RAR and RXR nuclear receptors (Allenby et al., 1993; Ott et al., 1996). Studies of immortalized human sebocyte line SZ95 showed that 13-cis RA is preferentially metabolized to ATRA, which can bind to and activate RAR, which leads to the overall inhibition of sebocyte proliferation (Tsukada et al., 2000). Our studies confirm that 13-cis RA is primarily metabolized to ATRA in SEB-1 sebocytes beginning at 24 hours. It is well established, however, that 13-cis RA is superior to either 9-cis RA or ATRA for sebosuppression (Geiger et al., 1996; Hommel et al., 1996; Ott et al., 1996). Alternatively, 13-cis RA may act in a receptor independent manner by influencing cellular signaling pathways by direct protein interactions as demonstrated with other retinoids or by enzyme inhibition (Zorn and Sauro, 1995; Hoyos et al., 2000; Imam et al., 2001; Karlsson et al., 2003).

Previous studies have examined the actions of 13-*cis* RA, 9-*cis* RA, and ATRA on cultured human sebocytes, SZ95 immortalized sebocytes, and rat preputial cells (Zouboulis *et al.*, 1991a, 1993; Tsukada *et al.*, 2000; Wrobel *et al.*, 2003). 13-*cis* RA at concentrations greater than 10<sup>-7</sup> M and ATRA (10<sup>-5</sup> to 10<sup>-6</sup> M) significantly decreased human sebocyte proliferation after 7 or 14 days (Zouboulis *et al.*, 1991a, 1993). Studies of immortalized human sebocytes SZ95, showed that 13-*cis* RA, 9-*cis* RA, and ATRA at concentrations of 10<sup>-7</sup> M significantly reduced proliferation by approximately 50% after 9 days (Tsukada *et al.*, 2000). In primary rat preputial cells, ATRA and other RAR-selective agonists significantly decreased cell numbers after 9 days (Kim *et al.*, 2000).

Processes such as cell cycle arrest or apoptosis may explain the histological data in human skin biopsies that demonstrates a drastic decrease in the size, shape, and lipid content of sebaceous glands after 16 weeks of isotretinoin (Goldstein *et al.*, 1982) Since proliferation studies in SZ95 sebocytes suggested that the effects of 13-*cis* RA and other retinoids may be noted after 7–9 days, we designed experiments to look at the effects of 13-*cis* RA, 9-*cis* RA, and ATRA on proliferation, cell cycle progression, and apoptosis in SEB-1 sebocytes at time points later than 24 hours but prior to 7 days. Our proliferation studies show that 13-*cis* RA causes a dose-dependent decrease in cell count after 48 and 72 hours whereas 9-*cis* RA and ATRA show significant decreases beginning at 72 hours. We would expect

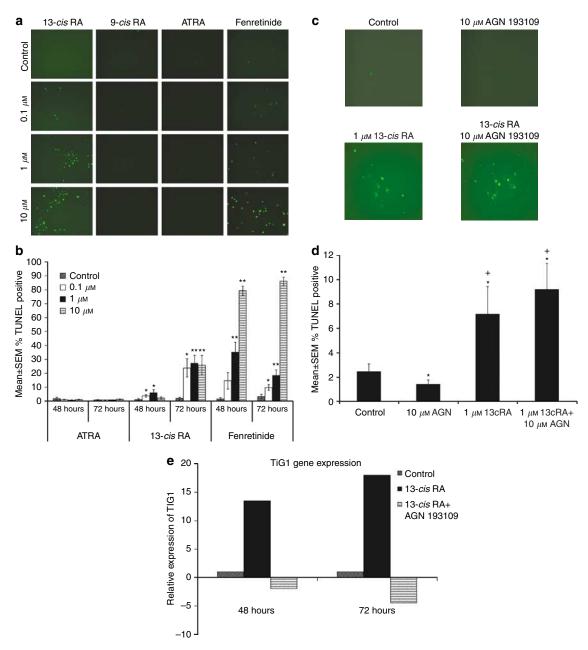


Figure 7. The increase in TUNEL staining with 13-cis RA is not inhibited in the presence of RAR pan antagonist AGN 193109. (a) Representative images of control, 0.1, 1, and 10  $\mu$ m 13-cis RA, 9-cis RA, ATRA, and fenretinide treatments at 72 hours (48 hours data not shown).(b) Quantification of the percentage of TUNEL-positive-stained cells per treatment at 48 and 72 hours (9-cis RA not shown). Data represent mean ± SEM, n = 6-12. Statistical analyses were performed with ANOVA Two Factor with Replication. \*P≤0.01 and \*\*P<0.001. (c) Representative images of negative control, 1 μм 13-cis RA, AGN 193109, and 13-cis RA combined with 10 AGN 193109 at 72 hours (48 hours data not shown). (d) Quantification of the percentage of TUNEL-positive cells at 72 hours. Data represent mean  $\pm$  SEM, n=12. Statistical analyses were performed with ANOVA Two Factor with Replication. \* $P \le 0.05$  when compared to control; + not statistically different. (e) Quantitative PCR verification of RAR antagonist AGN 193109 activity in SEB-1 sebocytes. Bars represent the efficiency corrected normalized average fold change of TIG1 under the experimental conditions as determined by REST-XL software, n=4.

that if our experiments were extended, the magnitude of this decrease would be greater as previously reported in SZ95 sebocytes after 9 days (Tsukada et al., 2000). Overall, 13-cis RA at the concentrations tested in our study acts sooner in inhibiting proliferation than either 9-cis RA or ATRA. These data are supported by studies demonstrating an approximate 3-fold decrease in <sup>3</sup>H thymidine incorporation in SEB-1

sebocytes that were treated with 13-cis RA for 72 hours. This decrease is nearly 2-fold greater than the decreases produced by 9-cis RA or ATRA. This experiment suggests that 13-cis RA is more potent at growth inhibition than either 9-cis RA or ATRA in SEB-1 sebocytes.

Further supporting the hypothesis that 13-cis RA causes a block in the G1/S phase as demonstrated in other cell types,

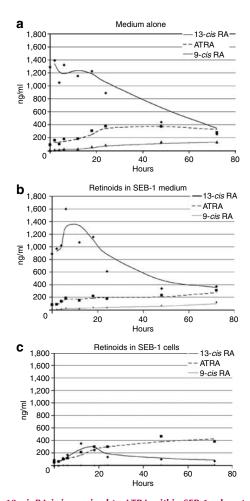


Figure 8. 13-cis RA is isomerized to ATRA within SEB-1 sebocytes. HPLC analysis of (a) SEB-1 medium alone, (b) medium removed from SEB-1 sebocyte-containing plates, and (c) SEB-1 sebocytes after  $5~\mu M$  13-cis RA treatment for the indicated times. Points are the average of duplicate samples.

we show that 13-cis RA increases p21 protein and decreases cyclin D1 protein at 48 and 72 hours. Cyclin D1 protein expression decreases by approximately 50% at 72 hours, which coincides with our <sup>3</sup>H thymidine studies where 13-cis RA had the most striking effect at 72 hours. Furthermore, cyclin D1 was not decreased with 9-cis RA or ATRA at 72 hours, which is also consistent with our <sup>3</sup>H thymidine studies. No significant increases in p21 protein were noted with 9-cis RA or ATRA although increasing trends were noted. Taken together, these experiment show that in SEB-1 sebocytes, 13-cis RA is much more effective than 9-cis RA or ATRA in both decreasing the proportion of cells synthesizing DNA and inducing a G1/S phase cell cycle block by increasing p21 and decreasing cyclin D1 expression.

Studies in SZ95 sebocytes did not demonstrate apoptosis in cells treated up to 24 hours with 13-cis RA ( $10^{-5}$  to  $10^{-8}$  M) and assayed by DNA fragmentation and lactate dehydrogenase release (Wrobel *et al.*, 2003). At 24 hours, no changes in apoptosis were noted when SZ95 sebocytes were treated with  $10^{-7}$  M 13-cis RA as assessed by annexin V staining, cell

death assays, or FACS analysis and reverse transcriptase-PCR for the apoptotic proteins, bcl-2 and bax. Interestingly, in SZ95 sebocytes, 13-cis RA increased levels of caspase 3 as detected by FACS analysis at 24 hours. Accordingly, in our studies of SEB-1 sebocytes, no increase in apoptosis was noted 24 hours after treatment with 13-cis RA as assayed by Annexin V-FITC FACS. However, increases in early and late apoptosis were noted at 48 and 72 hours with concentrations of 13-cis RA similar to those used in SZ95 sebocytes, although the magnitude of the number of cells in apoptosis is small compared to the positive control, staurosporine. In contrast, the magnitude of the changes in apoptosis induced by 13-cis RA was much greater in the TUNEL assay. By extending the treatment times in our assay we were able to detect the induction of apoptosis by 13-cis RA, which was verified by increased expression of cleaved caspase 3. Furthermore, the increase in apoptosis was limited to 13-cis RA as no significant increases in apoptosis were noted when SEB-1 sebocytes were treated with 9-cis RA or ATRA.

The effects of 13-cis RA on apoptosis and growth inhibition may or may not be mediated by retinoid receptors. It is possible that the effects of 13-cis RA on apoptosis and growth inhibition may be mediated by other isomerization products such as 4-oxo-isotretinoin or 4-hydroxy-isotretinoin (Orfanos and Zouboulis, 1998). The 4-oxo metabolites of retinoids have been shown to be functionally active in human keratinocytes and fibroblasts by their ability to induce changes in gene expression (Baron et al., 2005). Our data show that RAR pan antagonist AGN 193109 sufficiently blocks RAR activation in the presence of 13-cis RA as measured by a significant decrease in TIG1 gene expression, yet does not block apoptosis induced by 13-cis RA in SEB-1 sebocytes, thus supporting the hypothesis that apoptosis induction by 13-cis RA is independent of RAR activation. Alternatively, apoptosis may be mediated through RXR nuclear receptor activation (Zhao et al., 2004). Using the RXR pan agonist CD 3254 (50 nm), a significant increase in the percentage of TUNEL-positive SEB-1 sebocytes was noted at 72 hours. Although our HPLC data indicate very low levels of 9-cis RA (a maximum of 12 ng/ml at 72 hours), RXR activation by 9-cis RA is possible (Allenby et al., 1993) or 13cis RA may be metabolized to another as yet unidentified metabolite that is capable of RXR activation.

Alternatively, 13-cis RA may have effects that are independent of retinoid receptors. Interestingly, we showed that fenretinide, a retinoid known to induce apoptosis primarily by RAR- and RXR-independent means is able to induce significant apoptosis in our SEB-1 sebocytes. In fact, the degree of apoptosis induced by fenretinide at 48 hours is very similar to that observed with 13-cis RA treatment at 72 hours. Fenretinide induces apoptosis by elevating reactive oxygen species and increases in activation of ceramide and caspases (Wu et al., 2001). In addition, a retinoid-related molecule, AGN 193198 induces apoptosis without activation of the classical retinoid receptors (Keedwell et al., 2004; Balasubramanian et al., 2005). It may be possible that 13-cis RA acts similarly to fenretinide or AGN 193198 via

receptor-independent mechanisms; although additional experiments are required to test this hypothesis.

Since the actions of retinoids differ in various cell types and the effects of 13-cis RA are most profound on sebaceous glands in vivo, it is possible that the induction of apoptosis and cell cycle arrest may be specific to sebocytes since 13-cis RA failed to induce apoptosis in HaCaT keratinocytes or NHEK. It is possible that with higher concentrations of 13-cis RA or longer treatment times that apoptosis may be induced in keratinocytes. Although there is no evidence in the literature of 13-cis RA-induced apoptosis in keratinocytes, ATRA, and tazarotene, an RAR $\beta/\gamma$ -selective agonist, have been shown to induce apoptosis in HaCaTs (Louafi et al., 2003; Papoutsaki et al., 2004). Taken together, these experiments support the hypothesis that 13-cis RA specifically induces apoptosis in SEB-1 sebocytes and not keratino-

In conclusion, our data indicate that 13-cis RA inhibits growth and induces apoptosis in SEB-1 sebocytes and not keratinocytes at concentrations that are therapeutically achievable in human plasma (Rollman and Vahlquist, 1986; Adamson, 1994; Almond-Roesler et al., 1998). Previous studies in human sebocytes and immortalized sebocytes have also documented growth inhibition with 13-cis RA, however, we have extended these studies to show that this growth inhibition is most likely due to influencing the G1/S phase of the cell cycle as evidenced by decreased DNA synthesis, increased p21 protein, and decreased cyclin D1 protein. In addition, we report for the first time, that 13-cis RA also induces apoptosis in SEB-1 sebaceous cells. The ability of 13-cis RA to induce apoptosis is specific to sebocytes, not keratinocytes, and is distinct from effects observed with 9-cis RA and ATRA that may account, in part, for the superior efficacy of 13-cis RA in reducing sebum production. Furthermore, the induction of apoptosis by 13-cis RA does not appear to involve RAR nuclear receptors. Elucidating the cellular processes that are affected by 13-cis RA in sebocytes is a step toward understanding the overall molecular mechanism of action of this drug, which may lead to the identification of alternative strategies for the treatment of acne.

#### **MATERIALS AND METHODS**

#### Cell culture

The SEB-1 human sebocyte cell line was generated by transfection of secondary sebocytes by SV40 Large T antigen as previously described (Thiboutot et al., 2003). SEB-1 cells were cultured and maintained in standard culture medium containing: 5.5 mm low glucose DMEM 3:1 Ham's F12, 2.5% fetal bovine serum, hydrocortisone  $0.4 \,\mu\text{g/ml}$ , adenine  $1.8 \times 10^{-4} \,\text{M}$ , insulin  $10 \,\text{ng/ml}$ , epidermal growth factor 3 ng/ml, cholera toxin  $1.2 \times 10^{-10} \text{ M}$ , and antibiotics.

HaCaT keratinocytes were cultured and maintained in 5.5 mm low glucose DMEM, 5% fetal bovine serum, and antibiotics and served as a control cell line in Annexin V-FITC FACS apoptosis assays. NHEK-neonatal (pooled) (NHEK-neo, Clonetics Keratinocyte System, Cambrex Bioscience, Walkersville, MD) were cultured in keratinocyte growth medium-2 (Cambrex Bioscience, Walkersville,

MD). NHEK-neo cells served as control cells in Annexin V-FITC FACS apoptosis assays and Western blots for cleaved caspase 3.

#### Effects of retinoids on SEB-1 proliferation

Retinoid compounds were purchased through SIGMA (St Louis, MO): 13-cis RA (R 3255), 9-cis RA (R 4653) and ATRA (R 2625). Stock solutions of retinoids were handled under dimmed yellow light, dissolved in 100% ethanol at a concentration of 10 mm, and stored under  $N_2$  gas at  $-20^{\circ}$ C until use. The RAR pan antagonist AGN 193109 was obtained from Allergan (gift, Dr Rosh Chandraratna) and dissolved in DMSO at a concentration of 10 mm, and stored at -70°C until use. Treatments were made from retinoid stocks diluted to the appropriate concentration in standard culture mediums solutions under dimmed yellow light. Staurosporine (S 5921, Sigma, St Louis, MO) was solubilized in 100% ethanol at a concentration of 10 mm, stored at -20°C, and diluted to desired final concentration in appropriate cell culture medium for a positive control for apoptosis.

SEB-1 sebocytes (passages 20–23) were seeded at  $4 \times 10^4$  cells per 35-mm plate and grown until approximately 40% confluent. Plates were each treated with 0.1, 0.5, 1  $\mu$ M concentrations of 13-cis RA, 9-cis RA, ATRA, or ethanol vehicle alone (0.01% or less) in triplicate for 24, 48, and 72 hours. Cells were detached using trypsin (0.05%), collected, and diluted in standard culture medium for manual cell counts using a hemocytometer. Cell viability was assessed using Trypan blue dye exclusion. Each proliferation assay was performed three independent times. Analysis of variance (ANOVA) Two Factor with Replication was used for analysis. Results were considered significant if P < 0.05.

# <sup>3</sup>H thymidine incorporation assay

SEB-1 sebocytes (passages 21–26) were at  $2.5 \times 10^4$  cells per well in 12-well plates and grown until 30-40% confluent. Wells were rinsed with phosphate-buffered saline (PBS) prior to the addition of 0.1, 0.5, or 1 µM concentrations of 13-cis RA, 9-cis RA, ATRA, or ethanol vehicle alone (0.01% or less) in triplicate wells in standard culture medium.  ${}^{3}H$  thymidine (1  $\mu$ Ci/well) was added a minimum of 8 hours prior to the end of the treatment period. At the end of the treatment period, medium was removed and cells were rinsed twice with PBS, detached using trypsin (0.05%), and collected for liquid scintillation counting. Each assay was performed a minimum of three independent times. Statistical significance was determined with ANOVA Two Factor with Replication. Results were considered significant if P < 0.05.

### Western blot analysis for p21, cyclin D1, and cleaved caspase 3

To confirm results from cell proliferation and apoptosis assays, protein levels of p21, cyclin D1, and cleaved caspase 3 were examined using Western blot in our various cell lines. p21, a cyclindependent kinase inhibitor, blocks progression through the G1/S phase of the cell cycle. Cyclin D1 is specifically required for progression into S phase. Caspase 3, the key executioner caspase, is synthesized in the cell as a pro-caspase, which then becomes cleaved and activated when cells undergo apoptosis. Primary antibodies for p21 Waf/Cip1 (DCS60), cyclin D1 (DCS6), cleaved caspase 3 (Asp175), and  $\beta$ -actin and as well as secondary anti-rabbit IgG horseradish peroxidase antibody were obtained from Cell Signaling Technology (Beverly, MA). Actin primary antibody and anti-mouse horseradish peroxidase-linked secondary antibody were obtained from Santa Cruz Biotechnology INC (Santa Cruz, CA).

SEB-1 sebocytes (passages 20–26) were grown in 100-mm plates in standard culture medium until 50-75% confluent. Plates were rinsed with PBS and then treated with: 13-cis RA (0.1, 1, and  $10 \mu$ M); 9-cis RA (0.1, 0.5, and 1  $\mu$ M); ATRA (0.1, 0.5, and 1  $\mu$ M); ethanol vehicle (0.01% or less) as a negative control; or  $1 \mu M$  staurosporine dissolved in ethanol as a positive control. Cells were treated for 24, 48, or 72 hours. NHEK cells (passage 3) were grown in 100-mm plates in standard culture medium until approximately 50-75% confluent. Plates were rinsed with PBS and then treated with: 13-cis RA (0.1, 0.5, and 1  $\mu$ M); ethanol vehicle (0.01% or less); or 1  $\mu$ M staurosporine for 2, 4, 6, 18, 24, 48, or 72 hours. Total cell protein lysates from adherent and floating cells of SEB-1 sebocytes, or NHEK were collected, flash frozen in liquid nitrogen, and stored at -80°C until needed. Protein concentration of each sample was determined by the BCA Protein Assay (Pierce, Rockford, IL). Equal amounts of protein were run on NuPage 10% or 4-12% Bis-Tris Gels with MES Running Buffer (Invitrogen Life Technologies, Carlsbad, CA). Gels were transferred to polyvinylidene difluoride membrane, blocked for 1 hour at room temperature in 5% non-fat dry milk, and incubated with a 1:1,000 dilution of Cleaved Caspase 3 (Asp 175) (5A1) rabbit monoclonal antibody, 1:1,000 dilution of cyclin D1, or a 1:8,000-15,000 dilution of p21 Waf1/Cip1 (DCS60) mouse monoclonal antibody. Secondary anti-rabbit IgG horseradish peroxidaselinked antibody and anti-mouse horseradish peroxidase-linked antibody were used to detect primary antibodies. Supersignal West Pico Chemiluminescent Substrate (Cat no. 34077, Pierce, Rockford, IL) was used for protein detection. Blots were stripped with Restore Western Blot Stripping Buffer (Pierce, Rockford IL) and re-probed with  $\beta$ -actin (no. 4967 Cell Signaling Technologies) or actin (H300 cat no. sc10731) for a loading control. Films of blots were analyzed and quantified by densitometry with QuantityOne Software (Bio-Rad, Hercules, CA) after background subtraction. Western blots were repeated a minimum of three times. Data was analyzed using a Student's *t*-test and results were considered significant if P < 0.05.

#### Annexin V-FITC/propidium iodide FACS apoptosis assay

To determine if 13-cis RA induces apoptosis in SEB-1 sebocytes and the time course of this effect, the annexin V-FITC FACS assay was chosen (Martin et al., 1995). Apoptosis assays were performed in SEB-1 sebocytes, HaCaT keratinocytes, and NHEK that were treated with 13-cis RA. SEB-1 sebocytes (passages 22-26) and HaCaT keratinocytes (passages 23–29) were seeded at  $8 \times 10^4$  cells per 35-mm plate in their standard culture mediums and allowed to grow for 3 days, feeding once before treatment. Treatments consisted of: standard culture medium or ethanol vehicle (0.01% or less) as negative controls, 1 µM staurosporine as a positive control, and 13-cis RA at a final concentrations of 0.1 or 1  $\mu$ M in SEB-1 sebocytes and HaCaT keratinocytes for the initial studies. For follow-up studies examining a possible 13-cis RA dose-response, SEB-1 sebocytes were subjected to treatments of: 0.1, 1, 10 nm, 0.1, 1, and 10  $\mu$ m and previously mentioned controls. All samples were run in triplicate and treatments were carried out for 2, 4, 6, 24, 48, and 72 hours. In parallel experiments, NHEK cells (passage 3) were grown in keratinocyte growth medium-2 until 70% confluent. Treatments consisted of ethanol vehicle, 1 µM staurosporine, or 13-cis RA (0.1, 0.5, and 1  $\mu$ M). Samples were run in triplicate and assayed at 2, 4, 6,

18, 24, 48, or 72 hours. Each sample was prepared according to BD ApoAlert Annexin V Protocol (Cat no. K2025-1, BD Biosciences Clontech, Palo Alto, CA). Ten thousand events were collected per sample using flow cytometry and debris was excluded by scatter gating. Single Annexin V-FITC and propidium iodide-stained samples as well as no-dye-negative control samples determined quadrants for data analysis. Data analysis was by Cell Quest software (Becton Dickinson, Canada) and percentage of cells in early apoptosis, late apoptosis, necrosis, and viable (unaffected) quadrants were calculated and compared by ANOVA Two Factor with Replication. Assay was performed three independent times. Results were considered significant if *P*<0.05.

#### **TUNEL staining**

SEB-1 sebocytes (passages 22-28) were cultured in 12-well plates in standard medium until approximately 30-40% confluent. Wells were rinsed with PBS and were treated in triplicate with ethanol (0.01% or less) as a vehicle control, 13-cis RA, 9-cis RA, or ATRA each in concentrations of 0.1, 1, or  $10 \,\mu\text{M}$ . Retinoids were diluted in standard culture medium and treatments were carried out for 24, 48, or 72 hours. In parallel experiments, SEB-1 sebocytes (passages 22-24) were cultured in 12-well plates in standard medium until approximately 30-40% confluent. Wells were rinsed with PBS and were treated in triplicate with: ethanol (0.01% or less), DMSO (0.01% or less), or ethanol and DMSO together as vehicle controls,  $1 \mu$ M 13-cis RA alone,  $10 \mu$ M AGN 193109 alone, or  $1 \mu$ M 13-cis RA with 10 μM AGN 193109. Additional experiments were performed with fenretinide, a synthetic retinoid known to induce apoptosis and act via a retinoid receptor-independent mechanism (Wu et al., 2001). Fenretinide (4-hydroxyphenyl-retinamide) was handled under dimmed yellow light and dissolved in 100% ethanol to create a 10 mm stock solution stored at  $-20^{\circ}$ C (H 7779 Sigma, St Louis, MO). SEB-1 sebocytes were treated in triplicate with 0.1, 1, and 10  $\mu$ M concentrations. Furthermore, experiments were performed with the RXR pan agonist CD 3254 (Galderma R&D, Sophia Antipolis, France). CD 3254 was handled under normal light conditions and dissolved in DMSO to create a 10 mm stock solution stored at -20°C. SEB-1 sebocytes were treated in triplicate with 1 and 50 nm concentrations. Compounds were diluted in standard culture medium and applied for 48 or 72 hours. Each well was considered one sample. Samples were prepared by manufacturer's instructions for in situ Cell Death Detection Kit (Roche Applied Science, Indianapolis, IN). Additional assay controls included negative controls of labeling solution only and DNase I-treated wells as positive controls. Results were analyzed and quantified by counting positive staining cells/total cells in three representative fields per well for each of the treatments carried out in triplicate. Each assay was performed three independent times; fenretinide and CD 3254 experiments were repeated twice. Data analysis was performed using ANOVA Two Factor with Replication and considered significant if P < 0.05.

#### **Quantitative PCR**

To verify RAR antagonist activity in TUNEL experiments, quantitative PCR was used to document downregulation of the RAR target gene, tazarotene-induced gene 1 (*TIG1*; retinoic acid receptor responder 1). SEB-1 sebocytes were handled, maintained, and treated with 13-cis RA and RAR antagonist AGN 193109 under experimental

conditions that were identical to those used in the TUNEL assays. Total RNA was isolated and quantitative PCR performed as previously described (Trivedi et al., 2006) Primer - probe sets for TATA-binding protein (TBP; reference gene), and retinoic acid receptor responder 1 (TIG1), were purchased from Applied Biosystems (Foster City, CA) "no template" and "no amplification" controls were included. The Relative Expression Software Tool (REST-XL) was used for data analysis.

#### **HPLC**

13-cis RA is reported to isomerize mainly to ATRA in other cell types including SZ95 sebocytes (Tsukada et al., 2000). To eliminate the possibility of an alternative pattern of isomerization and to study the kinetics of 13-cis RA uptake into SEB-1 sebocytes, we utilized liquid-liquid extraction, reversed phase HPLC with UV detection. SEB-1 sebocytes (passage 22) were grown to 80% confluence in 100mm plates. For "medium only" controls, SEB-1 medium alone was placed in 100-mm plates. 5 µm 13-cis RA was applied to SEB-1 sebocytes and "medium only" control plates in duplicate for 0, 2, 4, 6, 12, 18, 24, 48, and 72 hours. Experimental samples included medium collected from "medium only" control plates, medium from SEB-1 sebocyte plates, and SEB-1 sebocyte cell pellet. Sample preparation was by liquid-liquid extraction with ethyl acetate. Ethyl acetate was evaporated and the residue was re-dissolved in a mixture of acetonitrile and purified water (80/20, vol/vol) before injection. Internal standard (acitretin), 13-cis RA, 9-cis RA, and ATRA standards and quality controls solutions were made and analyzed to generate calibration curve. Samples were injected into Agilent 1100 Series HPLC system (Agilent Technologies, Palo Alto, CA) using Nucleosil<sup>®</sup> 100-5 C18  $(250 \times 4 \text{ mm}^2)$  HPLC columns (Macherey-Nagel Inc., Düren, Germany). Samples were eluted in a gradient solution composed of purified water and acetonitrile containing 0.2% acetic acid. Retinoid compounds were detected by UV detection at 350 nm.

#### **CONFLICT OF INTEREST**

The authors state no conflict of interest.

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