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On Jacquet-Langlands isogeny over function fields

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ABSTRACT

We propose a conjectural explicit isogeny from the Jacobians of hyperelliptic Drinfeld modular curves to the Jacobians of hyperelliptic modular curves of \mathcal{D} -elliptic sheaves. The kernel of the isogeny is a subgroup of the cuspidal divisor group constructed by examining the canonical maps from the cuspidal divisor group into the component groups.

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1. Introduction

Let *N* be a square-free integer, divisible by an even number of primes. It is well known that the new part of the modular Jacobian $J_0(N)$ is isogenous to the Jacobian of a Shimura curve; see [33]. The existence of this isogeny can be interpreted as a geometric incarnation of the global Jacquet–Langlands correspondence over \mathbb{Q} between the cusp forms on GL(2) and the multiplicative group of a quaternion algebra [24]. Jacquet–Langlands isogeny has important arithmetic applications, for example, to level lowering [35]. In this paper we are interested in the function field analogue of the Jacquet–Langlands isogeny.

Let \mathbb{F}_q be the finite field with q elements, and let $F = \mathbb{F}_q(T)$ be the field of rational functions on $\mathbb{P}^1_{\mathbb{F}_q}$. The set of places of F will be denoted by |F|. Let $A := \mathbb{F}_q[T]$. This is the subring of F consisting of functions which are regular away from the place generated by 1/T in $\mathbb{F}_q[1/T]$. The place

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generated by 1/T will be denoted by ∞ and called the *place at infinity*; it will play a role similar to the archimedean place for \mathbb{Q} . The places in $|F| - \infty$ are the *finite places*.

Let $v \in |F|$. We denote by F_v , \mathcal{O}_v and \mathbb{F}_v the completion of F at v, the ring of integers in F_v , and the residue field of F_v , respectively. We assume that the valuation $\operatorname{ord}_v : F_v \to \mathbb{Z}$ is normalized by $\operatorname{ord}_v(\pi_v) = 1$, where π_v is a uniformizer of \mathcal{O}_v . The *degree of* v is $\operatorname{deg}(v) = [\mathbb{F}_v : \mathbb{F}_q]$. Let $q_v := q^{\operatorname{deg}(v)} = \#\mathbb{F}_v$. If v is a finite place, then with an abuse of notation we denote the prime ideal of Acorresponding to v by the same letter.

Given a field *K*, we denote by \overline{K} an algebraic closure of *K*.

Let $R \subset |F| - \infty$ be a nonempty finite set of places of even cardinality. Let D be the quaternion algebra over F ramified exactly at the places in R. Let X_F^R be the modular curve of \mathcal{D} -elliptic sheaves (see Section 2.2). This curve is the function field analogue of a Shimura curve parametrizing abelian surfaces with multiplication by a maximal order in an indefinite division quaternion algebra over \mathbb{Q} . Denote the Jacobian of X_F^R by J^R . The role of classical modular curves in this context is played by Drinfeld modular curves. With an abuse of notation, let R also denote the square-free ideal of Awhose support consists of the places in R. Let $X_0(R)_F$ be the Drinfeld modular curve defined in Section 2.1. Let $J_0(R)$ be the Jacobian of $X_0(R)_F$. The same strategy as over \mathbb{Q} shows that J^R is isogenous to the new part of $J_0(R)$ (see Theorem 7.1 and Remark 7.4). The proof relies on Tate's conjecture, so it provides no information about the isogenies $J^R \to J_0(R)^{\text{new}}$ beyond their existence. In this paper we carefully examine the simplest non-trivial case, namely $R = \{x, y\}$ with deg(x) = 1and deg(y) = 2. (When $R = \{x, y\}$ and deg(x) = deg(y) = 1, both X_F^R and $X_0(R)_F$ have genus 0.)

Notation 1.1. Unless indicated otherwise, throughout the paper *x* and *y* will be two fixed finite places of degree 1 and 2, respectively. When $R = \{x, y\}$, we write X_F^{xy} for X_F^R , J^{xy} for J^R , $X_0(xy)_F$ for $X_0(R)_F$, and $J_0(xy)$ for $J_0(R)$.

The genus of X_F^{xy} is q, which is also the genus of $X_0(xy)_F$. Hence $J_0(xy)$ and J^{xy} are q-dimensional Jacobian varieties, which are isogenous over F. We would like to construct an explicit isogeny $J_0(xy) \rightarrow J^{xy}$. A natural place to look for the kernel of an isogeny defined over F is in the cuspidal divisor group C of $J_0(xy)$. To see which subgroup of C could be the kernel, one needs to compute, besides C itself, the component groups of $J_0(xy)$ and J^{xy} , and the canonical specialization maps of C into the component groups of $J_0(xy)$. These calculations constitute the bulk of the paper. Based on these calculations, in Section 7 we propose a conjectural explicit isogeny $J_0(xy) \rightarrow J^{xy}$, and prove that the conjecture is true for q = 2. We note that X_F^{xy} is hyperelliptic, and in fact for odd q these are the only X_F^R which are hyperelliptic [31]. The curve $X_0(xy)_F$ is also hyperelliptic, and for levels which decompose into a product of two prime factors these are the only hyperelliptic Drinfeld modular curves [36]. Hence this paper can also be considered as a study of hyperelliptic modular Jacobians over F which interrelates [31] and [36].

The approach to explicating the Jacquet–Langlands isogeny through the study of component groups and cuspidal divisor groups was initiated in the classical context by Ogg. In [27], Ogg proposed in several cases conjectural explicit isogenies between the modular Jacobians and the Jacobians of Shimura curves (as far as I know, these conjectures are still mostly open, but see [19] and [23] for some advances).

We summarize the main results of the paper.

• The cuspidal divisor group $C \subset J_0(xy)(F)$ is isomorphic to

$$\mathcal{C} \cong \mathbb{Z}/(q+1)\mathbb{Z} \oplus \mathbb{Z}/(q^2+1)\mathbb{Z}.$$

- The component groups of $J_0(xy)$ and J^{xy} at x, y, and ∞ are listed in Table 1. ($J_0(xy)$ and J^{xy} have good reduction away from x, y and ∞ , so the component groups are trivial away from these three places.)
- If we denote the component group of $J_0(xy)$ at * by Φ_* , and the canonical map $\mathcal{C} \to \Phi_*$ by ϕ_* , then there are exact sequences

Tab	le 1

	x	у	∞
$J_0(xy)$	$\mathbb{Z}/(q^2+1)(q+1)\mathbb{Z}$	$\mathbb{Z}/(q+1)\mathbb{Z}$	$\mathbb{Z}/(q^2+1)(q+1)\mathbb{Z}$
J ^{xy}	$\mathbb{Z}/(q+1)\mathbb{Z}$	$\mathbb{Z}/(q^2+1)(q+1)\mathbb{Z}$	$\mathbb{Z}/(q+1)\mathbb{Z}$

 $0 \to \mathbb{Z}/(q+1)\mathbb{Z} \to \mathcal{C} \xrightarrow{\phi_x} \phi_x \to \mathbb{Z}/(q+1)\mathbb{Z} \to 0,$ $0 \to \mathbb{Z}/(q^2+1)\mathbb{Z} \to \mathcal{C} \xrightarrow{\phi_y} \phi_y \to 0,$ $\phi_{\infty} : \mathcal{C} \xrightarrow{\sim} \phi_{\infty} \quad \text{if } q \text{ is even,}$ $0 \to \mathbb{Z}/2\mathbb{Z} \to \mathcal{C} \xrightarrow{\phi_{\infty}} \phi_{\infty} \to \mathbb{Z}/2\mathbb{Z} \to 0 \quad \text{if } q \text{ is odd.}$

• The kernel $C_0 \cong \mathbb{Z}/(q^2 + 1)\mathbb{Z}$ of ϕ_y maps injectively into Φ_x and Φ_∞ .

Conjecture 7.3 then states that there is an isogeny $J_0(xy) \rightarrow J^{xy}$ whose kernel is C_0 . As an evidence for the conjecture, we prove that the quotient abelian variety $J_0(xy)/C_0$ has component groups of the same order as J^{xy} . This is a consequence of a general result (Theorem 4.3), which describes how the component groups of abelian varieties with toric reduction change under isogenies. Finally, we prove Conjecture 7.3 for q = 2 (Theorem 7.12); the proof relies on the fact that $J_0(xy)$ in this case is isogenous to a product of two elliptic curves. Two other interesting consequences of our results are the following. First, we deduce the genus formula for X_F^R proven in [30] by a different argument (Corollary 6.3). Second, assuming q is even and Conjecture 7.3 is true, we are able to tell how the optimal elliptic curve with conductor $xy\infty$ changes in a given F-isogeny class when we change the modular parametrization from $X_0(xy)_F$ to X_F^{xy} (Proposition 7.10).

2. Preliminaries

2.1. Drinfeld modular curves

Let *K* be an *A*-field, i.e., *K* is a field equipped with a homomorphism $\gamma : A \to K$. In particular, *K* contains \mathbb{F}_q as a subfield. The *A*-characteristic of *K* is the ideal ker(γ) $\lhd A$. Let $K\{\tau\}$ be the twisted polynomial ring with commutation rule $\tau s = s^q \tau$, $s \in K$. A rank-2 Drinfeld *A*-module over *K* is a ring homomorphism $\phi : A \to K\{\tau\}$, $a \mapsto \phi_a$ such that $\deg_{\tau} \phi_a = -2 \operatorname{ord}_{\infty}(a)$ and the constant term of ϕ_a is $\gamma(a)$. A homomorphism of two Drinfeld modules $u : \phi \to \psi$ is $u \in K\{\tau\}$ such that $\phi_a u = u\psi_a$ for all *a* in *A*; *u* is an isomorphism if $u \in K^{\times}$. Note that ϕ is uniquely determined by the image of *T*:

$$\phi_T = \gamma(T) + g\tau + \Delta \tau^2,$$

where $g \in K$ and $\Delta \in K^{\times}$. The *j*-invariant of ϕ is $j(\phi) = g^{q+1}/\Delta$. It is easy to check that if *K* is algebraically closed, then $\phi \cong \psi$ if and only if $j(\phi) = j(\psi)$.

Treating τ as the automorphism of *K* given by $k \mapsto k^q$, the field *K* acquires a new *A*-module structure via ϕ . Let $\mathfrak{a} \triangleleft A$ be an ideal. Since *A* is a principal ideal domain, we can choose a generator $a \in A$ of \mathfrak{a} . The *A*-module $\phi[\mathfrak{a}] = \ker \phi_a(\bar{K})$ does not depend on the choice of *a* and is called the \mathfrak{a} -torsion of ϕ . If \mathfrak{a} is coprime to the *A*-characteristic of *K*, then $\phi[\mathfrak{a}] \cong (A/\mathfrak{a})^2$. On the other hand, if $\mathfrak{p} = \ker(\gamma) \neq 0$, then $\phi[\mathfrak{p}] \cong (A/\mathfrak{p})$ or 0; when $\phi[\mathfrak{p}] = 0$, ϕ is called *supersingular*.

Lemma 2.1. Up to isomorphism, there is a unique supersingular rank-2 Drinfeld A-module over \mathbb{F}_x : it is the Drinfeld module with *j*-invariant equal to 0. Up to isomorphism, there is a unique supersingular rank-2 Drinfeld A-module over \mathbb{F}_y , and its *j*-invariant is non-zero.

Proof. This follows from [9, (5.9)] since deg(x) = 1 and deg(y) = 2. \Box

Let End(ϕ) denote the centralizer of $\phi(A)$ in $\bar{K}\{\tau\}$, i.e., the ring of all homomorphisms $\phi \to \phi$ over \bar{K} . The automorphism group Aut(ϕ) is the group of units End(ϕ)[×].

Lemma 2.2. If $j(\phi) \neq 0$, then $\operatorname{Aut}(\phi) \cong \mathbb{F}_q^{\times}$. If $j(\phi) = 0$, then $\operatorname{Aut}(\phi) \cong \mathbb{F}_{q^2}^{\times}$.

Proof. If $u \in \tilde{K}^{\times}$ commutes with $\phi_T = \gamma(T) + g\tau + \Delta \tau^2$, then $u^{q^2-1} = 1$ and $u^{q-1} = 1$ if $g \neq 0$. This implies that $u \in \mathbb{F}_q^{\times}$ if $j(\phi) \neq 0$, and $u \in \mathbb{F}_{q^2}^{\times}$ if $j(\phi) = 0$. On the other hand, we clearly have the inclusions $\mathbb{F}_q^{\times} \subset \operatorname{Aut}(\phi)$ and, if $j(\phi) = 0$, $\mathbb{F}_{q^2}^{\times} \subset \operatorname{Aut}(\phi)$. This finishes the proof. \Box

Lemma 2.3. Let $\mathfrak{p} \triangleleft A$ be a prime ideal and $\mathbb{F}_{\mathfrak{p}} := A/\mathfrak{p}$. Let ϕ be a rank-2 Drinfeld A-module over $\overline{\mathbb{F}}_{\mathfrak{p}}$. Let $\mathfrak{n} \triangleleft A$ be an ideal coprime to \mathfrak{p} . Let $C_{\mathfrak{n}}$ be an A-submodule of $\phi[\mathfrak{n}]$ isomorphic to A/\mathfrak{n} . Denote by $\operatorname{Aut}(\phi, C_{\mathfrak{n}})$ the subgroup of automorphisms of ϕ which map $C_{\mathfrak{n}}$ to itself. Then $\operatorname{Aut}(\phi, C_{\mathfrak{n}}) \cong \mathbb{F}_q^{\times}$ or $\mathbb{F}_{q^2}^{\times}$. The second case is possible only if $j(\phi) = 0$.

Proof. The action of \mathbb{F}_q^{\times} obviously stabilizes C_n , hence, using Lemma 2.2, it is enough to show that if $\operatorname{Aut}(\phi, C_n) \neq \mathbb{F}_q^{\times}$, then $\operatorname{Aut}(\phi, C_n) \cong \mathbb{F}_{q^2}^{\times}$. Let $u \in \operatorname{Aut}(\phi, C_n)$ be an element which is not in \mathbb{F}_q . Then $\operatorname{Aut}(\phi) = \mathbb{F}_q[u]^{\times} \cong \mathbb{F}_{q^2}^{\times}$, where $\mathbb{F}_q[u]$ is considered as a finite subring of $\operatorname{End}(\phi)$. It remains to show that $\alpha + u\beta$ stabilizes C_n for any $\alpha, \beta \in \mathbb{F}_q$ not both equal to zero. But this is obvious since α and $u\beta$ stabilize C_n and $C_n \cong A/n$ is cyclic. \Box

One can generalize the notion of Drinfeld modules over an A-field to the notion of Drinfeld modules over an arbitrary A-scheme S [8]. The functor which associates to an A-scheme S the set of isomorphism classes of pairs (ϕ , C_n), where ϕ is a Drinfeld A-module of rank 2 over S and $C_n \cong A/n$ is an A-submodule of $\phi[n]$, possesses a coarse moduli scheme $Y_0(n)$ that is affine, flat and of finite type over A of pure relative dimension 1. There is a canonical compactification $X_0(n)$ of $Y_0(n)$ over Spec(A); see [8, §9] or [41]. The finitely many points $X_0(n)(\bar{F}) - Y_0(n)(\bar{F})$ are called the *cusps* of $X_0(n)_F$.

Denote by \mathbb{C}_{∞} the completion of an algebraic closure of F_{∞} . Let $\Omega = \mathbb{C}_{\infty} - F_{\infty}$ be the *Drinfeld upper half-plane*; Ω has a natural structure of a smooth connected rigid-analytic space over F_{∞} . Denote by $\Gamma_0(\mathfrak{n})$ the *Hecke congruence subgroup* of level \mathfrak{n} :

$$\Gamma_0(\mathfrak{n}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{GL}_2(A) \mid c \in \mathfrak{n} \right\}.$$

The group $\Gamma_0(\mathfrak{n})$ naturally acts on Ω via linear fractional transformations, and the action is *discrete* in the sense of [8, p. 582]. Hence we may construct the quotient $\Gamma_0(\mathfrak{n}) \setminus \Omega$ as a 1-dimensional connected smooth analytic space over F_{∞} .

The following theorem can be deduced from the results in [8]:

Theorem 2.4. $X_0(\mathfrak{n})$ is a proper flat scheme of pure relative dimension 1 over Spec(A), which is smooth away from the support of \mathfrak{n} . There is an isomorphism of rigid-analytic spaces $\Gamma_0(\mathfrak{n}) \setminus \Omega \cong Y_0(\mathfrak{n})_{F_{\infty}}^{\mathfrak{n}}$.

There is a genus formula for $X_0(\mathfrak{n})_F$ which depends on the prime decomposition of \mathfrak{n} ; see [16, Thm. 2.17]. By this formula, the genera of $X_0(x)_F$, $X_0(y)_F$ and $X_0(xy)_F$ are 0, 0 and q, respectively.

2.2. Modular curves of \mathcal{D} -elliptic sheaves

Let *D* be a quaternion algebra over *F*. Let $R \subset |F|$ be the set of places which ramify in *D*, i.e., $D \otimes F_{\nu}$ is a division algebra for $\nu \in R$. It is known that *R* is finite of even cardinality, and, up to isomorphism, this set uniquely determines *D*; see [42]. Assume $R \neq \emptyset$ and $\infty \notin R$. In particular, *D* is

a division algebra. Let $C := \mathbb{P}^1_{\mathbb{F}_q}$. Fix a locally free sheaf \mathcal{D} of \mathcal{O}_C -algebras with stalk at the generic point equal to D and such that $\mathcal{D}_v := \mathcal{D} \otimes_{\mathcal{O}_C} \mathcal{O}_v$ is a maximal order in $D_v := D \otimes_F F_v$.

Let *S* be an \mathbb{F}_q -scheme. Denote by Frob_S its Frobenius endomorphism, which is the identity on the points and the *q*th power map on the functions. Denote by $C \times S$ the fibered product $C \times_{\operatorname{Spec}(\mathbb{F}_q)} S$. Let $z: S \to C$ be a morphism of \mathbb{F}_q -schemes. A *D*-elliptic sheaf over *S*, with pole ∞ and zero *z*, is a sequence $\mathbb{E} = (\mathcal{E}_i, j_i, t_i)_{i \in \mathbb{Z}}$, where each \mathcal{E}_i is a locally free sheaf of $\mathcal{O}_{C \times S}$ -modules of rank 4 equipped with a right action of \mathcal{D} compatible with the \mathcal{O}_C -action, and where

$$j_i: \mathcal{E}_i \to \mathcal{E}_{i+1},$$

$$t_i: {}^{\tau}\mathcal{E}_i := (\mathrm{Id}_C \times \mathrm{Frob}_S)^* \mathcal{E}_i \to \mathcal{E}_{i+1}$$

are injective $\mathcal{O}_{C\times S}$ -linear homomorphisms compatible with the \mathcal{D} -action. The maps j_i and t_i are sheaf modifications at ∞ and z, respectively, which satisfy certain conditions, and it is assumed that for each closed point w of S, the Euler–Poincaré characteristic $\chi(\mathcal{E}_0|_{C\times w})$ is in the interval [0, 2); we refer to $[26, \S2]$ and $[22, \S1]$ for the precise definition. Moreover, to obtain moduli schemes with good properties at the closed points w of S such that $z(w) \in R$ one imposes an extra condition on \mathbb{E} to be "special" [22, p. 1305]. Note that, unlike the original definition in $[26], \infty$ is allowed to be in the image of S; here we refer to $[1, \S4.4]$ for the details. Denote by $\mathcal{E}\ell\ell^{\mathcal{D}}(S)$ the set of isomorphism classes of \mathcal{D} -elliptic sheaves over S. The following theorem can be deduced from some of the main results in [26] and [22]:

Theorem 2.5. The functor $S \mapsto \mathcal{E}\ell\ell^{\mathcal{D}}(S)$ has a coarse moduli scheme X^R , which is proper and flat of pure relative dimension 1 over C and is smooth over $C - R - \infty$.

Remark 2.6. Theorems 2.4 and 2.5 imply that $J_0(R)$ and J^R have good reduction at any place $v \in |F| - R - \infty$; cf. [2, Ch. 9].

3. Cuspidal divisor group

For a field *K*, we represent the elements of $\mathbb{P}^1(K)$ as column vectors $\binom{u}{v}$ where $u, v \in K$ are not both zero and $\binom{u}{v}$ is identified with $\binom{\alpha u}{\alpha v}$ if $\alpha \in K^{\times}$. We assume that $GL_2(K)$ acts on $\mathbb{P}^1(K)$ on the left by

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} au+bv \\ cu+dv \end{pmatrix}.$$

Let $\mathfrak{n} \triangleleft A$ be an ideal. The cusps of $X_0(\mathfrak{n})_F$ are in natural bijection with the orbits of $\Gamma_0(\mathfrak{n})$ acting from the left on $\mathbb{P}^1(F)$.

Lemma 3.1. If n is square-free, then there are 2^s cusps on $X_0(n)_F$, where s is the number of prime divisors of n. All the cusps are F-rational.

Proof. See Proposition 3.3 and Corollary 3.4 in [11]. □

For every $\mathfrak{m}|\mathfrak{n}$ with $(\mathfrak{m}, \mathfrak{n}/\mathfrak{m}) = 1$ there is an *Atkin–Lehner involution* $W_{\mathfrak{m}}$ on $X_0(\mathfrak{n})_F$, cf. [36]. Its action is given by multiplication from the left with any matrix $\binom{ma\ b}{n\ m}$ whose determinant generates \mathfrak{m} , and where $a, b, m, n \in A$, $(n) = \mathfrak{n}$, $(m) = \mathfrak{m}$.

From now on assume n = xy. Recall that we denote by *x* and *y* the prime ideals of *A* corresponding to the places *x* and *y*, respectively. With an abuse of notation, we will denote by *x* also the monic irreducible polynomial in *A* generating the ideal *x*, and similarly for *y*. It should be clear from the

context in which capacity x and y are being used. With this notation, $X_0(xy)_F$ has 4 cusps, which can be represented by

$$[\infty] := \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \qquad [0] := \begin{pmatrix} 0 \\ 1 \end{pmatrix}, \qquad [x] := \begin{pmatrix} 1 \\ x \end{pmatrix}, \qquad [y] := \begin{pmatrix} 1 \\ y \end{pmatrix},$$

cf. [36, p. 333] and [15, p. 196].

There are 3 non-trivial Atkin–Lehner involutions W_x , W_y , W_{xy} which generate a group isomorphic to $(\mathbb{Z}/2\mathbb{Z})^2$: these involutions commute with each other and satisfy

$$W_x W_y = W_{xy}, \qquad W_x^2 = W_y^2 = W_{xy}^2 = 1.$$

By [36, Prop. 9], none of these involutions fixes a cusp. In fact, a simple direct calculation shows that

$$W_{xy}([\infty]) = [0], \qquad W_{xy}([x]) = [y];$$

$$W_{x}([\infty]) = [y], \qquad W_{x}([0]) = [x];$$

$$W_{y}([\infty]) = [x], \qquad W_{y}([0]) = [y].$$
(3.1)

Let $\Delta(z)$, $z \in \Omega$, denote the Drinfeld discriminant function; see [11] or [15] for the definition. This is a holomorphic and nowhere vanishing function on Ω . In fact, $\Delta(z)$ is a type-0 and weight- $(q^2 - 1)$ cusp form for GL₂(A). Its order of vanishing at the cusps of $X_0(\mathfrak{n})_F$ can be calculated using [15]. When $\mathfrak{n} = xy$, [15, (3.10)] implies

$$\operatorname{ord}_{[\infty]}\Delta = 1, \quad \operatorname{ord}_{[0]}\Delta = q_x q_y, \quad \operatorname{ord}_{[x]}\Delta = q_y, \quad \operatorname{ord}_{[y]}\Delta = q_x.$$
 (3.2)

The functions

$$\Delta_x(z) := \Delta(xz), \qquad \Delta_y(z) := \Delta(yz), \qquad \Delta_{xy}(z) := \Delta(xyz)$$

are type-0 and weight- $(q^2 - 1)$ cusp forms for $\Gamma_0(xy)$. Hence the fractions Δ/Δ_x , Δ/Δ_y , Δ/Δ_{xy} define rational functions on $X_0(xy)_{\mathbb{C}_{\infty}}$. We compute the divisors of these functions.

The matrix $W_{xy} = \begin{pmatrix} 0 & 1 \\ xy & 0 \end{pmatrix}$ normalizes $\Gamma_0(xy)$ and interchanges $\Delta(z)$ and $\Delta_{xy}(z)$. Thus by (3.1) and (3.2)

$$\operatorname{ord}_{[\infty]}\Delta_{xy} = q_x q_y, \quad \operatorname{ord}_{[0]}\Delta_{xy} = 1, \quad \operatorname{ord}_{[x]}\Delta_{xy} = q_x, \quad \operatorname{ord}_{[y]}\Delta_{xy} = q_y.$$

A similar argument involving the actions of W_x and W_y gives

$$\operatorname{ord}_{[\infty]} \Delta_x = q_x, \qquad \operatorname{ord}_{[0]} \Delta_x = q_y, \qquad \operatorname{ord}_{[x]} \Delta_x = q_x q_y, \qquad \operatorname{ord}_{[y]} \Delta_x = 1;$$
$$\operatorname{ord}_{[\infty]} \Delta_y = q_y, \qquad \operatorname{ord}_{[0]} \Delta_y = q_x, \qquad \operatorname{ord}_{[x]} \Delta_y = 1, \qquad \operatorname{ord}_{[y]} \Delta_y = q_x q_y.$$

From these calculations we obtain

$$div(\Delta/\Delta_{xy}) = (1 - q_x q_y)[\infty] + (q_x q_y - 1)[0] + (q_y - q_x)[x] + (q_x - q_y)[y]$$

= $(q^3 - 1)([0] - [\infty]) + (q^2 - q)([x] - [y]),$

and similarly,

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$$div(\Delta/\Delta_x) = (q-1)([y] - [\infty]) + (q^3 - q^2)([0] - [x]),$$

$$div(\Delta/\Delta_y) = (q^2 - 1)([x] - [\infty]) + (q^3 - q)([0] - [y]).$$

Next, by [15, p. 200], the largest positive integer k such that Δ/Δ_{xy} has a kth root in the field of modular functions for $\Gamma_0(xy)$ is $(q-1)^2/(q-1) = (q-1)$. We can apply the same argument to Δ/Δ_x as a modular function for $\Gamma_0(x)$ to deduce that Δ/Δ_x has $(q-1)^2/(q-1)$ th root. Similarly, Δ/Δ_y has $(q-1)(q^2-1)/(q-1)$ th root. Therefore, the following relations hold in $\text{Pic}^0(X_0(xy)_F)$:

$$(q^{2} + q + 1)([0] - [\infty]) + q([x] - [y]) = 0,$$

$$([y] - [\infty]) + q^{2}([0] - [x]) = 0,$$

$$([x] - [\infty]) + q([0] - [y]) = 0.$$
(3.3)

There is one more relation between the cuspidal divisors which comes from the fact that $X_0(xy)_F$ is hyperelliptic. By a theorem of Schweizer [36, Thm. 20], $X_0(xy)_F$ is hyperelliptic, and W_{xy} is the hyperelliptic involution. Consider the degree-2 covering

$$\pi: X_0(xy)_F \to X_0(xy)_F / W_{xy} \cong \mathbb{P}_F^1.$$

Denote $P := \pi([\infty]), Q := \pi([x])$. Since $W_{xy}([\infty]) \neq [x], P \neq Q$. There is a function f on \mathbb{P}_F^1 with divisor P - Q. Now

$$div(\pi^* f) = \pi^* (div(f)) = \pi^* (P - Q)$$

= $([\infty] + W_{xy}([\infty])) - ([x] + W_{xy}([x])) = [\infty] + [0] - [x] - [y].$

This gives the relation in $Pic^0(X_0(xy)_F)$

$$[\infty] + [0] - [x] - [y] = 0. \tag{3.4}$$

Fixing $[\infty] \in X_0(xy)(F)$ as an *F*-rational point, we have the Abel–Jacobi map $X_0(xy)_F \to J_0(xy)$ which sends a point $P \in X_0(xy)_F$ to the linear equivalence class of the degree-0 divisor $P - [\infty]$.

Definition 3.2. Let $c_0, c_x, c_y \in J_0(xy)(F)$ be the classes of $[0] - [\infty]$, $[x] - [\infty]$, and $[y] - [\infty]$, respectively. These give *F*-rational points on the Jacobian since the cusps are *F*-rational. The *cuspidal divisor group* is the subgroup $C \subset J_0(xy)$ generated by c_0, c_x , and c_y .

From (3.3) and (3.4) we obtain the following relations:

$$(q^{2} + q + 1)c_{0} + qc_{x} - qc_{y} = 0,$$

$$q^{2}c_{0} - q^{2}c_{x} + c_{y} = 0,$$

$$qc_{0} + c_{x} - qc_{y} = 0,$$

$$c_{0} - c_{x} - c_{y} = 0.$$

Lemma 3.3. The cuspidal divisor group C is generated by c_x and c_y , which have orders dividing q + 1 and $q^2 + 1$, respectively.

Proof. Substituting $c_0 = c_x + c_y$ into the first three equations above, we see that C is generated by c_x and c_y subject to relations:

$$(q+1)c_x = 0,$$

$$(q^2+1)c_y = 0. \qquad \Box$$

The following simple lemma, which will be used later on, shows that the factors $(q^2 + 1)$ and (q + 1) appearing in Lemma 3.3 are almost coprime.

Lemma 3.4. Let n be a positive integer. Then

$$gcd(n^2+1, n+1) = \begin{cases} 1, & if n \text{ is even}; \\ 2, & if n \text{ is odd.} \end{cases}$$

Proof. Let $d = \gcd(n^2 + 1, n + 1)$. Then *d* divides $(n^2 + 1) - (n + 1) = n(n - 1)$. Since *n* is coprime to n + 1, *d* must divide n - 1, hence also must divide (n + 1) - (n - 1) = 2. For *n* even, *d* is obviously odd, so d = 1. For *n* odd, n + 1 and $n^2 + 1$ are both even, so d = 2. \Box

4. Néron models and component groups

4.1. Terminology and notation

The notation in this section will be somewhat different from the rest of the paper. Let R be a complete discrete valuation ring, with fraction field K and algebraically closed residue field k.

Let A_K be an abelian variety over K. Denote by A its Néron model over R and denote by A_k^0 the connected component of the identity of the special fiber A_k of A. There is an exact sequence

$$0 \to A_k^0 \to A_k \to \Phi_A \to 0,$$

where Φ_A is a finite (abelian) group called the *component group of* A_K . We say that A_K has *semi-abelian reduction* if A_k^0 is an extension of an abelian variety A'_k by an affine algebraic torus T_A over k (cf. [2, p. 181]):

$$0 \to T_A \to A_k^0 \to A_k' \to 0.$$

We say that A_K has toric reduction if $A_k^0 = T_A$. The character group

$$M_A := \operatorname{Hom}(T_A, \mathbb{G}_{m,k})$$

is a free abelian group contravariantly associated to A.

Let X_K be a smooth, proper, geometrically connected curve over K. We say that X is a *semi-stable* model of X_K over R if (cf. [2, p. 245]):

- (i) X is a proper flat R-scheme.
- (ii) The generic fiber of X is X_K .

(iii) The special fiber X_k is reduced, connected, and has only ordinary double points as singularities.

We will denote the set of irreducible components of X_k by C(X) and the set of singular points of X_k by S(X). Let G(X) be the *dual graph of* X: The set of vertices of G(X) is the set C(X), the set of edges is the set S(X), the end points of an edge x are the two components containing x. Locally at $x \in S(X)$ for the étale topology, X is given by the equation $uv = \pi^{m(x)}$, where π is a uniformizer of R. The integer $m(x) \ge 1$ is well defined, and will be called the *thickness* of x. One obtains from G(X) a graph with length by assigning to each edge $x \in S(X)$ the length m(x).



Fig. 1. \widetilde{X}_k for n = 5 and m = 4.

4.2. Raynaud's theorem

Let X_K be a curve over K with semi-stable model X over R. Let J_K be the Jacobian of X_K , let J be the Néron model of J_K over R, and $\Phi := J_k/J_k^0$. Let $\widetilde{X} \to X$ be the minimal resolution of X. Let $B(\widetilde{X})$ be the free abelian group generated by the elements of $C(\widetilde{X})$. Let $B^0(\widetilde{X})$ be the kernel of the homomorphism

$$B(\widetilde{X}) \to \mathbb{Z}, \quad \sum_{C_i \in C(\widetilde{X})} n_i C_i \mapsto \sum n_i.$$

The elements of $C(\tilde{X})$ are Cartier divisors on \tilde{X} , hence for any two of them, say C and C', we have an intersection number $(C \cdot C')$. The image of the homomorphism

$$\alpha: B(\widetilde{X}) \to B(\widetilde{X}), \quad C \mapsto \sum_{C' \in C(\widetilde{X})} (C \cdot C')C'$$

lies in $B^0(\widetilde{X})$. A theorem of Raynaud [2, Thm. 9.6/1] says that Φ is canonically isomorphic to $B^0(\widetilde{X})/\alpha(B(\widetilde{X}))$.

The homomorphism $\phi : J_K(K) \to \Phi$ obtained from the composition

$$J_K(K) = J(R) \to J_k(k) \to \Phi$$

will be called the *canonical specialization map*. Let $D = \sum_Q n_Q Q$ be a degree-0 divisor on X_K whose support is in the set of *K*-rational points. Let $P \in J_K(K)$ be the linear equivalence class of *D*. The image $\phi(P)$ can be explicitly described as follows. Since *X* and \widetilde{X} are proper, $X(K) = X(R) = \widetilde{X}(R)$. Since \widetilde{X} is regular, each point $Q \in X(K)$ specializes to a unique element c(Q) of $C(\widetilde{X})$. With this notation, $\phi(P)$ is the image of $\sum_Q n_Q c(Q) \in B^0(\widetilde{X})$ in Φ . We apply Raynaud's theorem to compute Φ explicitly for a special type of *X*. Assume that X_k con-

We apply Raynaud's theorem to compute Φ explicitly for a special type of X. Assume that X_k consists of two components Z and Z' crossing transversally at $n \ge 2$ points x_1, \ldots, x_n . Denote $m_i := m(x_i)$. Let $r : \tilde{X} \to X$ denote the resolution morphism; it is a composition of blow-ups at the singular points. It is well known that $r^{-1}(x_i)$ is a chain of $m_i - 1$ projective lines. More precisely, the special fiber \tilde{X}_k consists of Z and Z' but now, instead of intersecting at x_i , these components are joined by a chain E_1, \ldots, E_{m_i-1} of projective lines, where E_i intersect E_{i+1} , E_1 intersects Z at x_i and E_{m_i-1} intersects Z' at x_i . All the singularities are ordinary double points.

Assume $m_1 = m_n = m \ge 1$ and $m_2 = \cdots = m_{n-1} = 1$ if $n \ge 3$.

If m = 1, then $X = \widetilde{X}$, so $B^0(\widetilde{X})$ is freely generated by z := Z - Z'. In this case Raynaud's theorem implies that Φ is isomorphic to $B^0(\widetilde{X})$ modulo the relation nz = 0.

If $m \ge 2$, let E_1, \ldots, E_{m-1} be the chain of projective lines at x_1 and G_1, \ldots, G_{m-1} be the chain of projective lines at x_n , with the convention that Z in \widetilde{X}_k intersects E_1 and G_1 , cf. Fig. 1. The elements z := Z - Z', $e_i := E_i - Z'$, $g_i := G_i - Z'$, $1 \le i \le m-1$ form a \mathbb{Z} -basis of $B^0(\widetilde{X})$. By Raynaud's theorem, Φ is isomorphic to $B^0(\widetilde{X})$ modulo the following relations:

if
$$m = 2$$
,

$$-nz + e_1 + g_1 = 0, \qquad z - 2e_1 = 0, \qquad z - 2g_1 = 0;$$

if m = 3,

$$-nz + e_1 + g_1 = 0,$$
 $z - 2e_1 + e_2 = 0,$ $z - 2g_1 + g_2 = 0,$
 $e_1 - 2e_2 = 0,$ $g_1 - 2g_2 = 0;$

if $m \ge 4$

$$-nz + e_1 + g_1 = 0, \qquad z - 2e_1 + e_2 = 0, \qquad z - 2g_1 + g_2 = 0,$$
$$e_i - 2e_{i+1} + e_{i+2} = 0, \qquad g_i - 2g_{i+1} + g_{i+2} = 0, \qquad 1 \le i \le m - 3,$$
$$e_{m-2} - 2e_{m-1} = 0, \qquad g_{m-2} - 2g_{m-1} = 0.$$

Theorem 4.1. Denote the images of z, e_i , g_i in Φ by the same letters, and let $\langle z \rangle$ be the cyclic subgroup generated by z in Φ . Then for any $n \ge 2$ and $m \ge 1$:

(i) $\Phi \cong \mathbb{Z}/m(m(n-2)+2)\mathbb{Z}$. (ii) If $m \ge 2$, then Φ is generated by e_{m-1} . Explicitly, for $1 \le i \le m-1$,

$$e_i = (m - i)e_{m-1},$$

 $g_i = (i(nm + 1) - (2i - 1)m)e_{m-1},$
 $z = me_{m-1}.$

(iii) $\Phi/\langle z \rangle \cong \mathbb{Z}/m\mathbb{Z}$.

Proof. When m = 1 the claim is obvious, so assume $m \ge 2$. By [2, Prop. 9.6/10], Φ has order

$$\sum_{i=1}^{n} \prod_{j \neq i} m_j = m^2(n-2) + 2m.$$

From the relations

$$e_{m-2} - 2e_{m-1} = 0,$$

 $e_i - 2e_{i+1} + e_{i+2} = 0, \quad 1 \le i \le m - 3,$
 $z - 2e_1 + e_2 = 0$

it follows inductively that $e_i = (m-i)e_{m-1}$ for $1 \le i \le m-1$, and $z = me_{m-1}$. Next, from the relations

$$-nz + e_1 + g_1 = 0$$
 and $z - 2g_1 + g_2 = 0$

we get $g_1 = (nm - m + 1)e_{m-1}$ and $g_2 = (2nm - 3m + 2)e_{m-1}$. Finally, if $m \ge 4$, the relations $g_i - 2g_{i+1} + g_{i+2} = 0$, $1 \le i \le m - 3$, show inductively that

$$g_i = (i(nm+1) - (2i-1)m)e_{m-1}, \quad 1 \le i \le m-1.$$

This proves (i) and (ii), and (iii) is an immediate consequence of (ii). \Box

$$g_{m-1} = \left(m^2(n-2) + 2m - \left(m(n-2) + 1\right)\right)e_{m-1} = -\left(m(n-2) + 1\right)e_{m-1}$$

It is easy to see that m(n-2) + 1 is coprime to the order m(m(n-2) + 2) of Φ . Hence g_{m-1} is also a generator. This is of course not surprising since the relations defining Φ remain the same if we interchange e_i 's and g_i 's.

4.3. Grothendieck's theorem

Grothendieck gave another description of Φ in [20]. This description will be useful for us when studying maps between the component groups induced by isogenies of abelian varieties.

Let A_K be an abelian variety over K with semi-abelian reduction. Denote by \hat{A}_K the dual abelian variety of A_K . As discussed in [20], there is a non-degenerate pairing $u_A : M_A \times M_{\hat{A}} \to \mathbb{Z}$ (called *monodromy pairing*) having nice functorial properties, which induces an exact sequence

$$0 \to M_{\hat{A}} \xrightarrow{u_A} \operatorname{Hom}(M_A, \mathbb{Z}) \to \Phi_A \to 0.$$
(4.1)

Let $H \subset A_K(K)$ be a finite subgroup of order coprime to the characteristic of k. Since $A(R) = A_K(K)$, H extends to a constant étale subgroup-scheme \mathcal{H} of A. The restriction to the special fiber gives a natural injection $\mathcal{H}_k \cong H \hookrightarrow A_k(k)$, cf. [2, Prop. 7.3/3]. Composing this injection with $A_k \to \Phi_A$, we get the canonical homomorphism $\phi : H \to \Phi_A$. Denote $H_0 := \ker(\phi)$ and $H_1 := \operatorname{im}(\phi)$, so that there is a tautological exact sequence

$$0 \to H_0 \to H \xrightarrow{\phi} H_1 \to 0.$$

,

Let B_K be the abelian variety obtained as the quotient of A_K by H. Let $\varphi_K : A_K \to B_K$ denote the isogeny whose kernel is H. By the Néron mapping property, φ_K extends to a morphism $\varphi : A \to B$ of the Néron models. On the special fibers we get a homomorphism $\varphi_k : A_k \to B_k$, which induces an isogeny $\varphi_k^0 : A_k^0 \to B_k^0$ and a homomorphism $\varphi_{\Phi} : \Phi_A \to \Phi_B$. The isogeny φ_k^0 restricts to an isogeny $\varphi_t : T_A \to T_B$, which corresponds to an injective homomorphisms of character groups $\varphi^* : M_B \to M_A$ with finite cokernel.

Theorem 4.3. Assume A_K has toric reduction. There is an exact sequence

$$0 \to H_1 \to \Phi_A \xrightarrow{\varphi_{\Phi}} \Phi_B \to H_0 \to 0.$$

Proof. The kernel of φ_k is $\mathcal{H}_k \cong H$. It is clear that $\ker(\varphi_{\Phi}) = H_1$. Let $\hat{\varphi}_K : \hat{B}_K \to \hat{A}_K$ be the isogeny dual to φ_K . Using (4.1), one obtains a commutative diagram with exact rows (cf. [34, p. 8]):

From this diagram we get the exact sequence

$$0 \to \ker(\varphi_{\Phi}) \to M_{\hat{B}}/\hat{\varphi}^*(M_{\hat{A}}) \to \operatorname{Ext}^1_{\mathbb{Z}}(M_A/\varphi^*(M_B), \mathbb{Z}) \to \operatorname{coker}(\varphi_{\Phi}) \to 0.$$

Using the exact sequence $0 \to \mathbb{Z} \to \mathbb{Q} \to \mathbb{Q}/\mathbb{Z} \to 0$, it is easy to show that

$$\operatorname{Ext}^{1}_{\mathbb{Z}}(M_{A}/\varphi^{*}(M_{B}),\mathbb{Z}) \cong \operatorname{Hom}(M_{A}/\varphi^{*}(M_{B}),\mathbb{Q}/\mathbb{Z}) =: (M_{A}/\varphi^{*}(M_{B}))^{\vee},$$

so there is an exact sequence of abelian groups

$$0 \to \ker(\varphi_{\Phi}) \to M_{\hat{B}}/\hat{\varphi}^*(M_{\hat{A}}) \to \left(M_A/\varphi^*(M_B)\right)^{\vee} \to \operatorname{coker}(\varphi_{\Phi}) \to 0.$$
(4.2)

So far we have not used the assumption that A_K has toric reduction. Under this assumption, B_K also has toric reduction, and H_0 is the kernel of $\varphi_t : T_A \to T_B$. Hence $(M_A/\varphi^*(M_B))^{\vee} \cong H_0$. Next, [5, Thm. 8.6] implies that $M_{\hat{B}}/\hat{\varphi}^*(M_{\hat{A}}) \cong H_1$. Thus, we can rewrite (4.2) as

$$0 \rightarrow \ker(\varphi_{\Phi}) \rightarrow H_1 \rightarrow H_0 \rightarrow \operatorname{coker}(\varphi_{\Phi}) \rightarrow 0.$$

Since $\ker(\varphi_{\Phi}) = H_1$, this implies that $\operatorname{coker}(\varphi_{\Phi}) \cong H_0$. \Box

5. Component groups of $J_0(xy)$

5.1. Component groups at x and y

We return to the notation in Section 3. As we mentioned in Section 2.1, $X_0(xy)$ is smooth over A[1/xy].

Proposition 5.1.

- (i) $X_0(xy)_{F_x}$ has a semi-stable model over \mathcal{O}_x such that $X_0(xy)_{\mathbb{F}_x}$ consists of two irreducible components both isomorphic to $X_0(y)_{\mathbb{F}_x} \cong \mathbb{P}^1_{\mathbb{F}_q}$ intersecting transversally in q + 1 points. Two of these singular points have thickness q + 1, and the other q - 1 points have thickness 1.
- (ii) $X_0(xy)_{F_y}$ has a semi-stable model over \mathcal{O}_y such that $X_0(xy)_{\mathbb{F}_y}$ consists of two irreducible components both isomorphic to $X_0(x)_{\mathbb{F}_y} \cong \mathbb{P}^1_{\mathbb{F}_{q^2}}$ intersecting transversally in q + 1 points. All these singular points have thickness 1.

Proof. The fact that $X_0(xy)_F$ has a model over \mathcal{O}_x and \mathcal{O}_y with special fibers of the stated form follows from the same argument as in the case of $X_0(v)_F$ over \mathcal{O}_v ($v \in |F| - \infty$) discussed in [11, §5]. We only clarify why the number of singular points and their thickness are as stated.

(i) The special fiber $X_0(xy)_{\mathbb{F}_x}$ consists of two copies of $X_0(y)_{\mathbb{F}_x}$. The set of points $Y_0(y)(\overline{\mathbb{F}}_x)$ is in bijection with the isomorphism classes of pairs (ϕ, C_y) , where ϕ is a rank-2 Drinfeld *A*-module over $\overline{\mathbb{F}}_x$ and $C_y \cong A/y$ is a cyclic subgroup of ϕ . The two copies of $X_0(y)_{\mathbb{F}_x}$ intersect exactly at the points corresponding to (ϕ, C_y) with ϕ supersingular; more precisely, (ϕ, C_y) on the first copy is identified with $(\phi^{(x)}, C_y^{(x)})$ on the second copy where $\phi^{(x)}$ is the image of ϕ under the Frobenius isogeny and $C_y^{(x)}$ is subgroup of $\phi^{(x)}$ which is the image of C_y , cf. [11].

Now, by Lemma 2.1, up to an isomorphism over $\overline{\mathbb{F}}_x$, there is a unique supersingular Drinfeld module ϕ in characteristic x and $j(\phi) = 0$. It is easy to see that ϕ has $q_y + 1 = q^2 + 1$ cyclic subgroups isomorphic to A/y, so the set $S = \{(\phi, C_y) \mid C_y \subset \phi[y]\}$ has cardinality $q^2 + 1$. By Lemma 2.2, $\operatorname{Aut}(\phi) \cong \mathbb{F}_{q^2}^{\times}$. This group naturally acts S, and the orbits are in bijection with the singular points of $X_0(xy)_{\mathbb{F}_x}$. Since the genus of $X_0(xy)_F$ is q, the arithmetic genus of $X_0(xy)_{\mathbb{F}_x}$ is also q due to the flatness of $X_0(xy) \to \operatorname{Spec}(A)$; see [21, Cor. III.9.10]. Using the fact that the genus of $X_0(y)_F$ is zero, a simple calculation shows that the number of singular points of $X_0(xy)_{\mathbb{F}_x}$ is q + 1, cf. [21, p. 298]. Next, by Lemma 2.3, the stabilizer in $\operatorname{Aut}(\phi)$ of (ϕ, C_y) is either \mathbb{F}_q^{\times} or $\mathbb{F}_{a^2}^{\times}$. Let s be the number of pairs (ϕ, C_y) with stabilizer \mathbb{F}_q^{\times} . Let *t* be the number of orbits of pairs with stabilizers \mathbb{F}_q^{\times} ; each such orbit consists of $\#(\mathbb{F}_{q^2}^{\times}/\mathbb{F}_q^{\times}) = q + 1$ pairs (ϕ, C_y) . Hence we have

$$(q+1)t + s = q^2 + 1$$
 and $t + s = q + 1$.

This implies that t = q - 1 and s = 2. Finally, as is explained in [11], the thickness of the singular point corresponding to an isomorphism class of (ϕ, C_y) is equal to $\#(\operatorname{Aut}(\phi, C_y)/\mathbb{F}_q^{\times})$.

(ii) Similar to the previous case, $X_0(xy)_{\mathbb{F}_y}$ consists of two copies of $X_0(x)_{\mathbb{F}_y} \cong \mathbb{P}_{\mathbb{F}_{q^2}}^1$. The two copies of $X_0(x)_{\mathbb{F}_y}$ intersect exactly at the points corresponding to the isomorphism classes of pairs (ϕ, C_x) with ϕ supersingular. Again by Lemma 2.1, up to an isomorphism over $\overline{\mathbb{F}}_y$, there is a unique supersingular ϕ and $j(\phi) \neq 0$. Hence, by Lemma 2.3, Aut $(\phi, C_x) \cong \mathbb{F}_q^{\times}$ for any C_x . There are $q_x + 1 = q + 1$ cyclic subgroups in ϕ isomorphic to A/x. The rest of the argument is the same as in the previous case. \Box

Theorem 5.2. Let Φ_v denote the group of connected components of $J_0(xy)$ at $v \in |F|$. Let Z and Z' be the irreducible components in Proposition 5.1 with the convention that the reduction of $[\infty]$ lies on Z'. Let z = Z - Z'.

(i) $\Phi_x \cong \mathbb{Z}/(q^2+1)(q+1)\mathbb{Z}$.

(ii) $\Phi_{\nu} \cong \mathbb{Z}/(q+1)\mathbb{Z}$.

(iii) Under the canonical specialization map $\phi_{x} : C \to \Phi_{x}$ we have

$$\phi_{\chi}(c_{\chi}) = 0$$
 and $\phi_{\chi}(c_{\chi}) = z$.

In particular, $q^2 + 1$ divides the order of c_y . (iv) Under the canonical specialization map $\phi_y : C \to \Phi_y$ we have

$$\phi_{\nu}(c_{\nu}) = z$$
 and $\phi_{\nu}(c_{\nu}) = 0$.

In particular, q + 1 divides the order of c_x .

Proof. (i) and (ii) follow from Theorem 4.1 and Proposition 5.1.

(iii) The cusps reduce to distinct points in the smooth locus of $X_0(xy)_{\mathbb{F}_x}$, cf. [41]. Since by Theorem 4.1 we know that *z* has order $q^2 + 1$ in the component group Φ_x , it is enough to show that the reductions of [y] and $[\infty]$ lie on distinct components *Z* and *Z'* in $X_0(xy)_{\mathbb{F}_x}$, but the reductions of [x] and $[\infty]$ lie on the same component. The involution W_x interchanges the two components $X_0(y)_{\mathbb{F}_x}$, cf. [11, (5.3)]. Since $W_x([\infty]) = [y]$, the reductions of $[\infty]$ and [y] lie on distinct components. On the other hand, W_y acts on $X_0(xy)_{\mathbb{F}_y}$ by acting on each component $X_0(y)_{\mathbb{F}_x}$ separately, without interchanging them. Since $W_y([\infty]) = [x]$, the reductions of $[\infty]$ and [x] lie on the same component.

(iv) The argument is similar to (iii). Here W_y interchanges the two components $X_0(x)_{\mathbb{F}_y}$ of $X_0(xy)_{\mathbb{F}_y}$ and W_x maps the components to themselves. Hence $[\infty]$ and [y] lie on one component and [0] and [x] on the other component. \Box

Theorem 5.3. The cuspidal divisor group

$$\mathcal{C} \cong \mathbb{Z}/(q+1)\mathbb{Z} \oplus \mathbb{Z}/(q^2+1)\mathbb{Z}$$

is the direct sum of the cyclic subgroups generated by c_x and c_y , which have orders (q + 1) and $(q^2 + 1)$, respectively. (Note that C is cyclic if q is even, but it is not cyclic if q is odd.)

Proof. By Lemma 3.3 and Theorem 5.2, C is generated by c_x and c_y , which have orders (q + 1) and $(q^2 + 1)$, respectively. If the subgroup of C generated by c_x non-trivially intersects with the subgroup generated by c_y , then, by Lemma 3.4, q must be odd and $\frac{q+1}{2}c_x = \frac{q^2+1}{2}c_y$. Applying ϕ_y to both sides of this equality, we get $\frac{q+1}{2}z = 0$, which is a contradiction since z generates $\Phi_y \cong \mathbb{Z}/(q+1)\mathbb{Z}$. \Box

Remark 5.4. The divisor class c_0 has order $(q + 1)(q^2 + 1)$ (resp. $(q + 1)(q^2 + 1)/2$) if q is even (resp. odd).

5.2. Component group at ∞

To obtain a model of $X_0(xy)_{F_{\infty}}$ over \mathcal{O}_{∞} , instead of relying on the moduli interpretation of $X_0(xy)$, one has to use the existence of analytic uniformization for this curve; see [28, §4.2]. As far as the structure of the special fiber $X_0(xy)_{F_{\infty}}$ is concerned, it is more natural to compute the dual graph of $X_0(xy)_{F_{\infty}}$ directly using the quotient $\Gamma_0(xy) \setminus \mathcal{T}$ of the Bruhat–Tits tree \mathcal{T} of PGL₂(F_{∞}). For the definition of \mathcal{T} , and more generally for the basic theory of trees and groups acting on trees, we refer to [40].

The quotient graph $\Gamma_0(xy) \setminus \mathcal{T}$ was first computed by Gekeler [10, (5.2)]. For our purposes we will need to know the relative position of the cusps on $\Gamma_0(xy) \setminus \mathcal{T}$ and also the stabilizers of the edges. To obtain this more detailed information, and for the general sake of completeness, we recompute $\Gamma_0(xy) \setminus \mathcal{T}$ in this subsection using the method in [16].

Denote

$$G_0 = \mathrm{GL}_2(\mathbb{F}_q)$$

and

$$G_i = \left\{ \begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \in \operatorname{GL}_2(A) \mid \operatorname{deg}(b) \leqslant i \right\}, \quad i \ge 1.$$

As is explained in [16], $\Gamma_0(xy) \setminus \mathcal{T}$ can be constructed in "layers", where the vertices of the *i*th layer (in [16] called *type-i vertices*) are the orbits

$$X_i := G_i \setminus \mathbb{P}^1(A/xy)$$

and the edges connecting type-*i* vertices to type-(i + 1) vertices, called *type-i* edges, are the orbits

$$Y_i := (G_i \cap G_{i+1}) \setminus \mathbb{P}^1(A/xy).$$

There are obvious maps $Y_i \to X_i$, $Y_i \to X_{i+1}$ and $X_i \to X_{i+1}$ which are used to define the adjacencies of vertices in X_i and X_{i+1} ; see [16, 1.7]. The graph $\Gamma_0(xy) \setminus \mathcal{T}$ is isomorphic to the graph with set of vertices $\bigsqcup_{i>0} X_i$ and set of edges $\bigsqcup_{i>0} Y_i$ with the adjacencies defined by these maps.

Note that $\mathbb{P}^1(A/xy) = \mathbb{P}^1(\mathbb{F}_x) \times \mathbb{P}^1(\mathbb{F}_y)$. We will represent the elements of $\mathbb{P}^1(A/xy)$ as couples [P; Q] where $P \in \mathbb{P}^1(\mathbb{F}_x)$ and $Q \in \mathbb{P}^1(\mathbb{F}_y)$. With this notation, G_i acts diagonally on [P; Q] via its images in $GL_2(\mathbb{F}_x)$ and $GL_2(\mathbb{F}_y)$, respectively.

The group G_0 acting on $\mathbb{P}^{\hat{1}}(A/xy)$ has 3 orbits, whose representatives are

$$\left[\begin{pmatrix} 1 \\ 0 \end{pmatrix}; \begin{pmatrix} 1 \\ 0 \end{pmatrix} \right], \qquad \left[\begin{pmatrix} 1 \\ 0 \end{pmatrix}; \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right], \qquad \left[\begin{pmatrix} 1 \\ 0 \end{pmatrix}; \begin{pmatrix} x \\ 1 \end{pmatrix} \right],$$

where in the last element we write *x* for the image in \mathbb{F}_y of the monic generator of *x* under the canonical homomorphism $A \to A/y$. The orbit of $[\binom{1}{0}; \binom{1}{0}]$ has length q + 1, the orbit of $[\binom{1}{0}; \binom{0}{1}]$ has length q(q + 1), and the orbit of $[\binom{1}{0}; \binom{x}{1}]$ has length $q(q^2 - 1)$, cf. [16, Prop. 2.10]. Next, note



Fig. 2. $\Gamma_0(xy) \setminus \mathcal{T}$.

that $G_0 \cap G_1$ is the subgroup *B* of the upper-triangular matrices in $GL_2(\mathbb{F}_q)$. The G_0 -orbit of $[\binom{1}{0}; \binom{1}{0}]$ splits into two *B*-orbits with representatives:

$$\left[\begin{pmatrix} 1 \\ 0 \end{pmatrix}; \begin{pmatrix} 1 \\ 0 \end{pmatrix} \right] \text{ and } \left[\begin{pmatrix} 0 \\ 1 \end{pmatrix}; \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right].$$
(5.1)

The lengths of these *B*-orbits are 1 and *q*, respectively. The G_0 -orbit of $\begin{bmatrix} 1 \\ 0 \end{bmatrix}$; $\begin{pmatrix} 0 \\ 1 \end{bmatrix}$ splits into three *B*-orbits with representatives:

$$\left[\begin{pmatrix} 1 \\ 0 \end{pmatrix}; \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right], \quad \left[\begin{pmatrix} 0 \\ 1 \end{pmatrix}; \begin{pmatrix} 1 \\ 0 \end{pmatrix} \right], \quad \left[\begin{pmatrix} 1 \\ 1 \end{pmatrix}; \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right]. \tag{5.2}$$

The lengths of these *B*-orbits are q, q, q(q-1), respectively. Finally, the G_0 -orbit of $[\binom{1}{0}; \binom{x}{1}]$ splits into (q+1) *B*-orbits each of length q(q-1). The previous statements can be deduced from Proposition 2.11 in [16]. It turns out that the elements of $\mathbb{P}^1(\mathbb{F}_x) \times \mathbb{P}^1(\mathbb{F}_y)$ listed in (5.1) and (5.2) combined form a complete set of G_1 -orbit representatives. For $i \ge 1$, the set of G_i -orbit representatives obviously contains a complete set of G_{i+1} -orbit representatives. A small calculation shows that

$$\left[\begin{pmatrix} 1 \\ 0 \end{pmatrix}; \begin{pmatrix} 1 \\ 0 \end{pmatrix} \right], \quad \left[\begin{pmatrix} 0 \\ 1 \end{pmatrix}; \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right], \quad \left[\begin{pmatrix} 1 \\ 0 \end{pmatrix}; \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right], \quad \left[\begin{pmatrix} 0 \\ 1 \end{pmatrix}; \begin{pmatrix} 1 \\ 0 \end{pmatrix} \right]$$
(5.3)

is a complete set of G_i -orbit representatives for any $i \ge 2$. Moreover, the elements $[\binom{1}{1}; \binom{0}{1}]$ and $[\binom{0}{1}; \binom{0}{1}]$ are in the same G_2 -orbit. We recognize the elements in (5.3) as the cusps $[\infty]$, [0], [x], [y], respectively. Overall, the structure of $\Gamma_0(xy) \setminus \mathcal{T}$ is described by the diagram in Fig. 2. In the diagram

the broken line -- indicates that there are (q-1) distinct edges joining the corresponding vertices, and an arrow \rightarrow indicates an infinite half-line.

Now we compute the stabilizers of the edges. Let *e* be an edge in $\Gamma_0(xy) \setminus \mathcal{T}$ of type *i*. Let

$$O(e) = (G_i \cap G_{i+1})[P; Q]$$

be its corresponding orbit in $(G_i \cap G_{i+1}) \setminus \mathbb{P}^1(A/xy)$. Then for a preimage \tilde{e} of e in \mathcal{T} we have

$$#Stab_{\Gamma_0(xy)}(\tilde{e}) = #Stab_{G_i \cap G_{i+1}}([P; Q]) = \frac{\#(G_i \cap G_{i+1})}{\#O(e)}.$$

Using this observation, we conclude from our previous discussion that the edges connecting $\begin{bmatrix} 1 \\ 0 \end{bmatrix}$; $\binom{1}{1} \end{bmatrix} \in X_0$ to any vertex in X_1 have preimages whose stabilizers have order #B/q(q-1) = q-1. The preimages of the edges connecting $\begin{bmatrix} 1 \\ 0 \end{bmatrix}$; $\binom{0}{1} \end{bmatrix} \in X_0$ to $\begin{bmatrix} 1 \\ 1 \end{bmatrix}$; $\binom{0}{1} \end{bmatrix} \in X_1$ and $\begin{bmatrix} 1 \\ 0 \end{bmatrix}$; $\binom{0}{1} \end{bmatrix} \in X_1$ have stabilizers of orders q-1 and $(q-1)^2$, respectively. (Note that if a stabilizer has order (q-1) then it is equal to the center $Z(\Gamma_0(xy)) \cong \mathbb{F}_q^{\times}$ of $\Gamma_0(xy)$, as the center is a subgroup of any stabilizer.) The valency of a vertex v in a graph without loops is the number of distinct edges having v as an endpoint. (A loop is an edge whose endpoints are the same.) Consider the vertex $v = \begin{bmatrix} 1 \\ 1 \end{bmatrix}$; $\binom{0}{1} \end{bmatrix} \in X_1$. Its valency is (q+1). Let \tilde{v} be a preimage of v in \mathcal{T} . Since the valency of \tilde{v} is also q+1. Stab $_{\Gamma_0(xy)}(\tilde{v})$ acts trivially on all edges having \tilde{v} as an endpoint. Hence the stabilizer of any such edge is equal to Stab $_{\Gamma_0(xy)}(\tilde{v})$. We already determined that the stabilizer of a preimage of the edge connecting v to a type-0 vertex is \mathbb{F}_q^{\times} . Finally, consider the vertex $w = [\binom{0}{1}; \binom{0}{1}] \in X_1$. Its valency is 3. Let S, S_1 , S_2 , S_3 be the orders of stabilizers in $\Gamma_0(xy)$ of a preimage \tilde{w} of w in \mathcal{T} , and the edges connecting w to $[\binom{1}{0}; \binom{1}{0}] \in X_0$, $[\binom{1}{0}; \binom{1}{0}] \in X_2$, respectively. From our discussion of the lengths of orbits of type-0 edges, we have $S_1 = (q-1)^2$ and $S_2 = (q-1)$. Obviously, S_i 's divide S. On the other hand, counting the lengths of orbits of Stab $_{\Gamma_0(xy)}(\tilde{w})$ acting on the set of (non-oriented) edges in \mathcal{T} having \tilde{w} as an endpoint, we get

$$q + 1 = \frac{S}{S_1} + \frac{S}{S_2} + \frac{S}{S_3} = \frac{S}{(q-1)^2} + \frac{S}{(q-1)} + \frac{S}{S_3}.$$

This implies $S = S_3 = (q-1)^2$. To summarize, in Fig. 2 a wavy line \sim indicates that a preimage of the corresponding edge in \mathcal{T} has a stabilizer in $\Gamma_0(xy)$ of order $(q-1)^2$. The edges connecting $[\binom{1}{0}; \binom{x}{1}]$ or $[\binom{1}{1}; \binom{0}{1}]$ to any other vertex have preimages in \mathcal{T} whose stabilizers in $\Gamma_0(xy)$ are isomorphic to \mathbb{F}_q^{\times} .

Now from [28, §4.2] one deduces the following. The quotient graph $\Gamma_0(xy) \setminus \mathcal{T}$, without the infinite half-lines, is the dual graph of the special fiber of a semi-stable model of $X_0(xy)_{F_{\infty}}$ over Spec (\mathcal{O}_{∞}) . The special fiber $X_0(xy)_{F_{\infty}}$ has 6 irreducible components Z, Z', E, E', G, G', all isomorphic to $\mathbb{P}^1_{\mathbb{F}_q}$, such that Z and Z' intersect in q-1 points, E intersects Z and E', E' intersects Z' and E, G intersects Z and G', G' intersects Z' and G. Moreover, all intersection points are ordinary double singularities. By [28, Prop. 4.3], the thickness of the singular point corresponding to an edge $e \in \Gamma_0(xy) \setminus \mathcal{T}$ is

$$#(\operatorname{Stab}_{\Gamma_0(xy)}(\tilde{e})/\mathbb{F}_a^{\times}),$$

hence all intersection points on *Z* or *Z'* have thickness 1, but the intersection points of *E* and *E'*, and of *G* and *G'* have thickness (q - 1), cf. Fig. 3. From the structure of $\Gamma_0(xy) \setminus \mathcal{T}$, one also concludes that the reductions of the cusps are smooth points in $X_0(xy)_{\mathbb{F}_{\infty}}$. Moreover, $[\infty]$, [0], [x], [y] reduce to points on *E*, *E'*, *G*, *G'* respectively.



Fig. 3. $X_0(xy)_{\mathbb{F}_\infty}$ for q = 3.

Blowing up $X_0(xy)_{\mathcal{O}_{\infty}}$ at the intersection points of E, E', and G, G', (q-2)-times each, we obtain the minimal regular model of $X_0(xy)_F$ over Spec(\mathcal{O}_{∞}). This is a curve of the type discussed in Section 4.2 with m = n = (q + 1), and we enumerate its irreducible components so that $E_1 = E$, $E_q = E'$, $G_1 = G$, $G_q = G'$.

Theorem 5.5. Let $\phi_{\infty} : C \to \Phi_{\infty}$ denote the canonical specialization map.

(i) $\Phi_{\infty} \cong \mathbb{Z}/(q^2 + 1)(q + 1)\mathbb{Z}$. (ii) $\phi_{\infty}(c_x) = (q^2 + 1)e_q$ and $\phi_{\infty}(c_y) = -q(q + 1)e_q = (q^3 + 1)e_q$. (iii) If q is even, then $\phi_{\infty} : C \xrightarrow{\sim} \Phi_{\infty}$ is an isomorphism. (iv) If q is odd, then there is an exact sequence

$$0 \to \mathbb{Z}/2\mathbb{Z} \to \mathcal{C} \xrightarrow{\phi_{\infty}} \phi_{\infty} \to \mathbb{Z}/2\mathbb{Z} \to 0.$$

Proof. Part (i) is an immediate consequence of the preceding discussion and Theorem 4.1. We have determined the reductions of the cusps at ∞ , so using Theorem 4.1, we get

$$\phi_{\infty}(c_x) = g_1 - e_1 = (q^2 + q + 1)e_q - qe_q = (q^2 + 1)e_q$$

and

$$\phi_{\infty}(c_y) = g_q - e_1 = -q^2 e_q - q e_q = -q(q+1)e_q$$

which proves (ii). Since $gcd(q^2 + 1, q(q + 1)) = 1$ (resp. 2) if q is even (resp. odd), cf. Lemma 3.4, the subgroup of Φ_{∞} generated by $\phi_{\infty}(c_x)$ and $\phi_{\infty}(c_y)$ is $\langle e_q \rangle$ (resp. $\langle 2e_q \rangle$) if q is even (resp. odd). On the other hand, we know that e_q generates Φ_{∞} . Therefore, if q is even, then ϕ_{∞} is surjective, and if q is odd, then the cokernel of ϕ_{∞} is isomorphic to $\mathbb{Z}/2\mathbb{Z}$. The claims (iii) and (iv) now follow from Theorem 5.3. \Box

Remark 5.6. We note that (iii) and a slightly weaker version of (iv) in Theorem 5.5 can be deduced from Theorem 5.3 and a result of Gekeler [14]. In fact, in [14, p. 366] it is proven that for an arbitrary n the kernel of the canonical homomorphism from the cuspidal divisor group of $X_0(\mathfrak{n})_F$ to Φ_∞ is a quotient of $(\mathbb{Z}/(q-1)\mathbb{Z})^{c-1}$, where *c* is the number of cusps of $X_0(\mathfrak{n})_F$. In our case, this result says that ker (ϕ_∞) is a quotient of $(\mathbb{Z}/(q-1)\mathbb{Z})^3$. Now suppose *q* is even. Then $\mathcal{C} \cong \mathbb{Z}/(q^2+1)(q+1)\mathbb{Z}$. Since for even *q*, $\gcd(q-1, (q^2+1)(q+1)) = 1$, ϕ_∞ must be injective. But by (i), $\#\Phi_\infty = (q^2+1)(q+1) = \#\mathcal{C}$, so ϕ_∞ is also surjective. When q = 2, the fact that $\#\Phi_\infty = 15$ and ϕ_∞ is an isomorphism is already contained in [14, (5.3.1)].

Now suppose *q* is odd. Then $C \cong \mathbb{Z}/(q^2 + 1)\mathbb{Z} \oplus \mathbb{Z}/(q + 1)\mathbb{Z}$. Since

$$gcd(q-1, q+1) = gcd(q-1, q^2+1) = 2,$$

 $\ker(\phi_{\infty}) \subset (\mathbb{Z}/2\mathbb{Z})^2$. Since Φ_{∞} is cyclic but C is not, $\ker(\phi_{\infty})$ is not trivial, hence it is either $\mathbb{Z}/2\mathbb{Z}$ or $(\mathbb{Z}/2\mathbb{Z})^2$. (Theorem 5.5 implies that the second possibility does not occur.)

Notation 5.7. Let C_0 be the subgroup of C generated by c_{γ} .

Corollary 5.8. The cyclic group C_0 has order $q^2 + 1$. Under the canonical specializations C_0 maps injectively into Φ_x and Φ_∞ , and C_0 is the kernel of ϕ_y .

Proof. The claims easily follow from Theorems 5.2, 5.3 and 5.5. □

6. Component groups of J^{xy}

6.1. A class number formula

Let *H* be a quaternion algebra over *F*. Let $\operatorname{Ram} \subset |F|$ be the set of places where *H* ramifies. Assume $\infty \in \operatorname{Ram}$. Denote $\mathcal{R} = \operatorname{Ram} - \infty$. Note that $\mathcal{R} \neq \emptyset$ since #Ram is even.

Let Θ be a hereditary A-order in H. Let I_1, \ldots, I_h be the isomorphism classes of left Θ -ideals. It is known that $h(\Theta) := h$, called the *class number* of Θ , is finite. For $i = 1, \ldots, h$ we denote by Θ_i the right order of the respective I_i . (For the definitions see [42].) Denote

$$M(\Theta) = \sum_{i=1}^{h} (\Theta_i^{\times} : \mathbb{F}_q^{\times})^{-1}.$$

It is not hard to show that each Θ_i^{\times} is isomorphic to either \mathbb{F}_q^{\times} or $\mathbb{F}_{q^2}^{\times}$; see [7, p. 383]. Let $U(\Theta)$ be the number of right orders Θ_i such that $\Theta_i^{\times} \cong \mathbb{F}_{q^2}^{\times}$. In particular,

$$h(\Theta) = M(\Theta) + U(\Theta) \left(1 - \frac{1}{q+1}\right).$$

Definition 6.1. For a subset *S* of |F|, let

 $Odd(S) = \begin{cases} 1, & \text{if all places in } S \text{ have odd degrees}; \\ 0, & \text{otherwise.} \end{cases}$

Let $S \subset |F| - \infty$ be a finite (possibly empty) set of places such that $\mathcal{R} \cap S = \emptyset$. Let $\mathfrak{n} \triangleleft A$ be the square-free ideal whose support is S. Let Θ be an Eichler A-order of level \mathfrak{n} . (When $S = \emptyset$, Θ is a maximal A-order in H.) The formulae that follow are special cases of (1), (4) and (6) in [7]:

$$M^{\mathcal{S}}(H) := M(\Theta) = \frac{1}{q^2 - 1} \prod_{\nu \in \mathcal{R}} (q_{\nu} - 1) \prod_{w \in \mathcal{S}} (q_w + 1),$$
$$U^{\mathcal{S}}(H) := U(\Theta) = 2^{\#\mathcal{R} + \#\mathcal{S} - 1} \operatorname{Odd}(\mathcal{R}) \prod_{w \in \mathcal{S}} (1 - \operatorname{Odd}(w)).$$

Denote

$$h^{\mathcal{S}}(H) = M^{\mathcal{S}}(H) + U^{\mathcal{S}}(H)\frac{q}{q+1}.$$

6.2. Component groups at x and y

Let *D* and *R* be as in Section 2.2. Recall that we assume $\infty \notin R$. Fix a place $w \in R$. Let D^w be the quaternion algebra over *F* which is ramified at $(R - w) \cup \infty$. Fix a maximal *A*-order \mathfrak{D} in D^w , and denote

$$A^{w} = A[w^{-1}];$$

$$\mathfrak{D}^{w} = \mathfrak{D} \otimes_{A} A^{w};$$

$$\Gamma^{w} = \{ \gamma \in (\mathfrak{D}^{w})^{\times} \mid \operatorname{ord}_{w} (\operatorname{Nr}(\gamma)) \in 2\mathbb{Z} \};$$

here w^{-1} denotes the inverse of a generator of the ideal in *A* corresponding to *w*, and Nr denotes the reduced norm on D^w .

By fixing an isomorphism $D^w \otimes_F F_w \cong \mathbb{M}_2(F_w)$, one can consider Γ^w as a subgroup of $\mathrm{GL}_2(F_w)$ whose image in $\mathrm{PGL}_2(F_w)$ is discrete and cocompact. Hence Γ^w acts on the Bruhat–Tits tree \mathcal{T}^w of $\mathrm{PGL}_2(F_w)$. It is not hard to show that Γ^w acts without inversions, so the quotient graph $\Gamma^w \setminus \mathcal{T}^w$ is a finite graph without loops. We make $\Gamma^w \setminus \mathcal{T}^w$ into a graph with lengths by assigning to each edge e of $\Gamma^w \setminus \mathcal{T}^w$ the length $\#(\mathrm{Stab}_{\Gamma^w}(\tilde{e})/\mathbb{F}_q^\times)$, where \tilde{e} is a preimage of e in \mathcal{T}^w . The graph with lengths $\Gamma^w \setminus \mathcal{T}^w$ does not depend on the choice of isomorphism $D^w \otimes_F F_w \cong \mathbb{M}_2(F_w)$, since such isomorphisms differ by conjugation.

As follows from the analogue of Cherednik–Drinfeld uniformization for $X_{F_w}^R$, proven in this context by Hausberger [22], $X_{F_w}^R$ is a twisted Mumford curve: Denote by $\mathcal{O}_w^{(2)}$ the quadratic unramified extension of \mathcal{O}_w and denote by $\mathbb{F}_w^{(2)}$ the residue field of $\mathcal{O}_w^{(2)}$. Then X_F^R has a semi-stable model $X_{\mathcal{O}_w^{(2)}}^R$ over $\mathcal{O}_w^{(2)}$ such that the irreducible components of $X_{\mathbb{F}_w^{(2)}}^R$ are projective lines without self-intersections, and the dual graph $G(X_{\mathcal{O}_w^{(2)}}^R)$, as a graph with lengths, is isomorphic to $\Gamma^w \setminus \mathcal{T}^w$.

On the other hand, as is done in [25] for the quaternion algebras over \mathbb{Q} , the structure of $\Gamma^w \setminus \mathcal{T}^w$ can be related to the arithmetic to D^w : The number of vertices of $\Gamma^w \setminus \mathcal{T}^w$ is $2h^{\emptyset}(D^w)$, the number of edges is $h^w(D^w)$, each edge has length 1 or q + 1, and the number of edges of length q + 1 is $U^w(D^w)$ (the notation here is as in Section 6.1). Hence, using the formulae in Section 6.1, we get the following:

Proposition 6.2. X_F^R has a semi-stable model $X_{\mathcal{O}_w^{(2)}}^R$ over $\mathcal{O}_w^{(2)}$ such that $X_{\mathbb{F}_w^{(2)}}^R$ is a union of projective lines without self-intersections. The number of vertices of the dual graph $G(X_{\mathcal{O}_w^{(2)}}^R)$ is

$$\frac{2}{q^2-1}\prod_{\nu\in R-w}(q_{\nu}-1)+2^{\#R-1}\operatorname{Odd}(R-w)\frac{q}{q+1};$$

the number of edges is

$$\frac{(q_w+1)}{q^2-1} \prod_{v \in R-w} (q_v-1) + 2^{\#R-1} \operatorname{Odd}(R-w) (1 - \operatorname{Odd}(w)) \frac{q}{q+1}.$$

The edges of $G(X^{\mathbb{R}}_{\mathcal{O}^{(2)}_{u}})$ have length 1 or q + 1. The number of edges of length q + 1 is

$$2^{\#R-1}$$
 Odd $(R-w)(1 - Odd(w))$.

This proposition has an interesting corollary:

Corollary 6.3. Let g(R) be the genus of X_F^R . Then

$$g(R) = 1 + \frac{1}{q^2 - 1} \prod_{\nu \in R} (q_{\nu} - 1) - \frac{q}{q + 1} 2^{\#R - 1} \operatorname{Odd}(R).$$



Proof. Let h_1 be the dimension of the first simplicial homology group of $G(X_{\mathcal{O}_w^{(2)}}^R)$ with \mathbb{Q} -coefficients. Let V, E be the number of vertices and edges of this graph, respectively. By Euler's formula, $h_1 = E - V + 1$. Proposition 6.2 gives formulae for V and E from which it is easy to see that h_1 is given by the above expression. Since the irreducible components of $X_{\mathbb{F}_w^{(2)}}^R$ are projective lines, it is not hard to show that h_1 is the arithmetic genus of $X_{\mathbb{F}_w^{(2)}}^R$; cf. [21, p. 298]. On the other hand, $X_{\mathcal{O}_w^{(2)}}^R$ is flat over $\mathcal{O}_w^{(2)}$, so the genus g(R) of its generic fiber is equal to the arithmetic genus of the special fiber; see [21, p. 263]. (Note that the special role of w in the formulae for V and E disappears in g(R), as expected. This formula for g(R) was obtained in [30] by a different argument.)

Theorem 6.4. Let Φ'_{v} denote the group of connected components of J^{xy} at $v \in |F|$.

(i) $\Phi'_{\chi} \cong \mathbb{Z}/(q+1)\mathbb{Z};$ (ii) $\Phi'_{\chi} \cong \mathbb{Z}/(q^2+1)(q+1)\mathbb{Z}.$

Proof. In general, the information supplied by Proposition 6.2 is not sufficient for determining the graph $G(X_{\mathcal{O}_{W}^{(2)}}^{R})$ uniquely. Nevertheless, in the case when $R = \{x, y\}$ Proposition 6.2 does uniquely determine $G(X_{\mathcal{O}_{W}^{(2)}}^{R})$: $G(X_{\mathcal{O}_{X}^{(2)}}^{xy})$ is a graph without loops, which has 2 vertices, q + 1 edges, and all edges have length 1. Similarly, $G(X_{\mathcal{O}_{Y}^{(2)}}^{xy})$ is a graph without loops, which has 2 vertices, q + 1 edges, two of the edges have length q + 1 and all others have length 1. Hence, in both cases, the dual graph is the graph with two vertices and q + 1 edges connecting them, cf. Fig. 4.

Now Theorem 4.1 can be used to conclude that the component groups are as stated. \Box

6.3. Component group at ∞

Here we again rely on the existence of analytic uniformization. Let Λ be a maximal A-order in D. Let

$$\Gamma^{\infty} := \Lambda^{\times}.$$

Since *D* splits at ∞ , by fixing an isomorphism $D \otimes F_{\infty} \cong \mathbb{M}_2(F_{\infty})$, we get an embedding $\Gamma^{\infty} \hookrightarrow$ GL₂(F_{∞}). The group Γ^{∞} is a discrete, cocompact subgroup of GL₂(F_{∞}), well defined up to conjugation. Let \mathcal{T}^{∞} be the Bruhat–Tits tree of PGL₂(F_{∞}). The group Γ^{∞} acts on \mathcal{T}^{∞} without inversions, so the quotient $\Gamma^{\infty} \setminus \mathcal{T}^{\infty}$ is a finite graph without loops which we make into a graph with lengths by assigning to an edge *e* of $\Gamma^{\infty} \setminus \mathcal{T}^{\infty}$ the length #(Stab_{Γ^{∞}}(\tilde{e})/ \mathbb{F}_q^{\times}), where \tilde{e} is a preimage of *e* in \mathcal{T}^{∞} . By a theorem of Blum and Stuhler [1, Thm. 4.4.11],

$$(X_{F_{\infty}}^{R})^{\mathrm{an}}\cong\Gamma^{\infty}\setminus\Omega.$$

From this one deduces that X_F^R has a semi-stable model $X_{\mathcal{O}_{\infty}}^R$ over \mathcal{O}_{∞} such that the dual graph of $X_{\mathcal{O}_{\infty}}^R$, as a graph with lengths, is isomorphic to $\Gamma^{\infty} \setminus \mathcal{T}^{\infty}$, cf. [25]. The structure of $\Gamma^{\infty} \setminus \mathcal{T}^{\infty}$ can be related to the arithmetic of D; see [32].

Proposition 6.5. X_F^R has a semi-stable model $X_{\mathcal{O}_{\infty}}^R$ over \mathcal{O}_{∞} such that the special fiber $X_{\mathbb{F}_{\infty}}^R$ is a union of projective lines without self-intersections. The number of vertices of the dual graph $G(X_{\mathcal{O}_{\infty}}^R)$ is

$$\frac{2}{q-1}(g(R)-1) + \frac{q}{q-1}2^{\#R-1} \operatorname{Odd}(R);$$

the number of edges is

$$\frac{q+1}{q-1}(g(R)-1) + \frac{q}{q-1}2^{\#R-1} \operatorname{Odd}(R).$$

All edges have length 1.

Proof. See Proposition 5.2 and Theorem 5.5 in [32]. □

Theorem 6.6. $\Phi'_{\infty} \cong \mathbb{Z}/(q+1)\mathbb{Z}$.

Proof. Applying Proposition 6.5 in the case $R = \{x, y\}$, one easily concludes that X_F^{xy} has a semi-stable model over \mathcal{O}_{∞} whose dual graph looks like Fig. 4: it has 2 vertices, q + 1 edges, and all edges have length 1. The structure of Φ'_{∞} now follows from Theorem 4.1. \Box

7. Jacquet-Langlands isogeny

Let *D* and *R* be as in Section 2.2. Let $X := X_F^R$, $X' := X_0(R)_F$, $J := J^R$, $J' := J_0(R)$. Fix a separable closure F^{sep} of *F* and let $G_F := \text{Gal}(F^{\text{sep}}/F)$. Let *p* be the characteristic of *F* and fix a prime $\ell \neq p$. Denote by $V_{\ell}(J)$ the Tate vector space of *J*; this is a \mathbb{Q}_{ℓ} -vector space of dimension 2g(R) naturally equipped with a continuous action of G_F . Let $V_{\ell}(J)^*$ be the linear dual of $V_{\ell}(J)$.

Theorem 7.1. There is a surjective homomorphism $J' \rightarrow J$ defined over *F*.

Proof. Let $\mathbb{A} = \prod_{\nu \in |F|}' F_{\nu}$ denote the Adele ring of F and let $\mathbb{A}^{\infty} = \prod_{\nu \in |F|-\infty}' F_{\nu}$, so $\mathbb{A} = \mathbb{A}^{\infty} \times F_{\infty}$. Fix a uniformizer π_{∞} at ∞ . Let $\mathcal{A}(D^{\times}(F) \setminus D^{\times}(\mathbb{A})/\pi_{\infty}^{\mathbb{Z}})$ be the space of $\overline{\mathbb{Q}}_{\ell}$ -valued locally constant functions on $D^{\times}(\mathbb{A})/\pi_{\infty}^{\mathbb{Z}}$ which are invariant under the action of $D^{\times}(F)$ on the left. This space is equipped with the right regular representation of $D^{\times}(\mathbb{A})/\pi_{\infty}^{\mathbb{Z}}$. Since D is a division algebra, the coset space $D^{\times}(F) \setminus D^{\times}(\mathbb{A})/\pi_{\infty}^{\mathbb{Z}}$ is compact and decomposes as a sum of irreducible admissible representations Π with finite multiplicities $m(\Pi) > 0$, cf. [26, §13]:

$$\mathcal{A}_D := \mathcal{A}\big(D^{\times}(F) \setminus D^{\times}(\mathbb{A})/\pi_{\infty}^{\mathbb{Z}}\big) = \bigoplus_{\Pi} m(\Pi) \cdot \Pi.$$
(7.1)

Moreover, as follows from the Jacquet–Langlands correspondence and the multiplicity-one theorem for automorphic cuspidal representations of $GL_2(\mathbb{A})$, the multiplicities $m(\Pi)$ are all equal to 1; see [18, Thm. 10.10]. The representations appearing in the sum (7.1) are called *automorphic*. Each automorphic representation Π decomposes as a restricted tensor product $\Pi = \bigotimes_{v \in |F|} \Pi_v$ of admissible irreducible representations of $D^{\times}(F_v)$. We denote $\Pi^{\infty} = \bigotimes_{v \neq \infty} \Pi_v$, so $\Pi = \Pi^{\infty} \otimes \Pi_{\infty}$. If Π is finite dimensional, then it is of the form $\Pi = \chi \circ Nr$, where χ is a Hecke character of \mathbb{A}^{\times} and Nr is the reduced norm on D^{\times} , cf. [26, Lem. 14.8]. If Π is infinite dimensional, then Π_v is infinite dimensional for every $v \notin R$.

Let ψ_{ν} be a character of F_{ν}^{\times} . Denote by $\text{Sp}_{\nu} \otimes \psi_{\nu}$ the unique irreducible quotient of the induced representation

$$\mathrm{Ind}_{B}^{\mathrm{GL}_{2}}(|\cdot|_{\nu}^{-\frac{1}{2}}\psi_{\nu}\oplus|\cdot|_{\nu}^{\frac{1}{2}}\psi_{\nu}),$$

where *B* is the subgroup of upper-triangular matrices in GL₂. The representation $Sp_v \otimes \psi_v$ is called the *special representation* of $GL_2(F_v)$ twisted by ψ_v . If $\psi_v = 1$, then we simply write Sp_v .

For $v \in R$, let \mathcal{D}_v be the maximal order in $D(F_v)$. Let

$$\mathcal{K} := \prod_{\nu \in R} \mathcal{D}_{\nu}^{\times} \times \prod_{\nu \in |F| - R - \infty} \operatorname{GL}_{2}(\mathcal{O}_{\nu}) \subset D^{\times}(\mathbb{A}^{\infty}).$$

Taking the \mathcal{K} -invariants in Theorems 14.9 and 14.12 in [26], we get an isomorphism of G_F -modules

$$V_{\ell}(J)^* \otimes_{\mathbb{Q}_{\ell}} \overline{\mathbb{Q}}_{\ell} = H^1_{\text{\acute{e}t}} (X \otimes_F F^{\text{sep}}, \overline{\mathbb{Q}}_{\ell}) = \bigoplus_{\substack{\Pi \in \mathcal{A}_D \\ \Pi_{\infty} \cong \text{Sp}_{\infty}}} (\Pi^{\infty})^{\mathcal{K}} \otimes \sigma(\Pi),$$
(7.2)

where $\sigma(\Pi)$ is a 2-dimensional irreducible representation of G_F over $\overline{\mathbb{Q}}_{\ell}$ with the following property: If $(\Pi^{\infty})^{\mathcal{K}} \neq 0$, then for all $\nu \in |F| - R - \infty$, $\sigma(\Pi)$ is unramified at ν and there is an equality of *L*-functions

$$L\left(s-\frac{1}{2},\Pi_{\nu}\right)=L\left(s,\sigma(\Pi)_{\nu}\right);$$

here $\sigma(\Pi)_{\nu}$ denotes the restriction of $\sigma(\Pi)$ to a decomposition group at ν . This uniquely determines $\sigma(\Pi)$ by the Chebotarev density theorem [39, Ch. I, pp. 8–11]. Next, we claim that the dimension of $(\Pi^{\infty})^{\mathcal{K}}$ is at most one. Indeed, if $\nu \in |F| - R - \infty$, then $\Pi_{\nu}^{\operatorname{GL}(\mathcal{O}_{\nu})}$ is at most one-dimensional by [3, Thm. 4.6.2]. On the other hand, note that $\mathcal{D}_{\nu}^{\times}$ is normal in $D^{\times}(F_{\nu})$ and $D^{\times}(F_{\nu})/\mathcal{D}_{\nu}^{\times} \cong \mathbb{Z}$ for $\nu \in R$. Hence $\Pi_{\nu}^{\mathcal{D}_{\nu}^{\times}} \neq 0$ implies $\Pi_{\nu} = \psi_{\nu} \circ \operatorname{Nr}$ for some unramified character of F_{ν}^{\times} (ψ_{ν} is unramified because the reduced norm maps $\mathcal{D}_{\nu}^{\times}$ surjectively onto $\mathcal{O}_{\nu}^{\times}$).

Let \mathcal{I}_{ν} be the Iwahori subgroup of $GL_2(\mathcal{O}_{\nu})$, i.e., the subgroup of matrices which maps to $B(\mathbb{F}_{\nu})$ under the reduction map $GL_2(\mathcal{O}_{\nu}) \to GL_2(\mathbb{F}_{\nu})$. Let

$$\mathcal{I} = \prod_{\nu \in R} \mathcal{I}_{\nu} \times \prod_{\nu \in |F| - R - \infty} \operatorname{GL}_2(\mathcal{O}_{\nu}) \subset \operatorname{GL}_2(\mathbb{A}^{\infty}).$$

Let $\mathcal{A}_0 := \mathcal{A}_0(\operatorname{GL}_2(F) \setminus \operatorname{GL}_2(\mathbb{A}))$ be the space of $\overline{\mathbb{Q}}_\ell$ -valued cusp forms on $\operatorname{GL}_2(\mathbb{A})$; see [17, §4] or [3, §3.3] for the definition. Taking the \mathcal{I} -invariants in Theorem 2 of [8], we get an isomorphism of G_F -modules

$$V_{\ell}(J')^* \otimes_{\mathbb{Q}_{\ell}} \overline{\mathbb{Q}}_{\ell} = H^1_{\text{\'et}}(X' \otimes_F F^{\text{sep}}, \overline{\mathbb{Q}}_{\ell}) = \bigoplus_{\substack{\Pi \in \mathcal{A}_0 \\ \Pi_{\infty} \cong \text{Sp}_{\infty}}} (\Pi^{\infty})^{\mathcal{I}} \otimes \rho(\Pi),$$
(7.3)

where $\rho(\Pi)$ is 2-dimensional irreducible representation of G_F over $\overline{\mathbb{Q}}_\ell$ with the following property: If $(\Pi^{\infty})^{\mathcal{I}} \neq 0$, then for all $\nu \in |F| - R - \infty$, $\rho(\Pi)$ is unramified at ν and

$$L\left(s-\frac{1}{2},\Pi_{\nu}\right)=L\left(s,\rho(\Pi)_{\nu}\right).$$

In this case, $(\Pi^{\infty})^{\mathcal{I}}$ is finite dimensional, but its dimension might be larger than one (due to the existence of old forms).

The global Jacquet–Langlands correspondence [24, Ch. III] associates to each infinite dimensional automorphic representation Π of $D^{\times}(\mathbb{A})$ a cuspidal representation $\Pi' = JL(\Pi)$ of $GL_2(\mathbb{A})$ with the following properties:

(1) if $v \notin R$ then $\Pi_v \cong \Pi'_v$;

(2) if $v \in R$ and $\Pi_v \cong \psi_v \circ Nr$ for a character ψ of F_v^{\times} , then

$$\Pi'_{\nu} \cong \operatorname{Sp}_{\nu} \otimes \psi_{\nu}.$$

As we observed above, for $\Pi \in \mathcal{A}_D$ such that $(\Pi^{\infty})^{\mathcal{K}} \neq 0$, the characters ψ_{ν} at the places in R are unramified. Thus, for $v \in R$, Π'_v is a twist of Sp_v by an unramified character. On the other hand, the representations of the form $Sp_{\nu} \otimes \psi_{\nu}$, with ψ_{ν} unramified, can be characterized by the property that they have a unique 1-dimensional \mathcal{I}_{ν} -fixed subspace; see [4]. Hence if $(\Pi^{\infty})^{\mathcal{K}} \neq 0$, then $((\Pi')^{\infty})^{\mathcal{I}} \neq 0.$

Now using (7.2) and (7.3), one concludes that $V_{\ell}(I)$ is isomorphic with a quotient of $V_{\ell}(I')$ as a G_F -module. On the other hand, by a theorem of Zarhin (for p > 2) and Mori (for p = 2)

$$\operatorname{Hom}_{F}(J', J) \otimes \mathbb{Q}_{\ell} \cong \operatorname{Hom}_{G_{F}}(V_{\ell}(J'), V_{\ell}(J)).$$

$$(7.4)$$

Thus, there is a surjective homomorphism $I' \rightarrow I$ defined over *F*. \Box

Corollary 7.2. $J_0(xy)$ and J^{xy} are isogenous over F.

Proof. Since dim $(I^{xy}) = q = \dim(I_0(xy))$, the claim follows from Theorem 7.1. \Box

Conjecture 7.3. There exists an isogeny $I_0(xy) \rightarrow I^{xy}$ whose kernel is C_0 .

As an initial evidence for the conjecture, note that $I_0(xy)/\mathcal{C}_0$ has component groups at x, y, ∞ of the same order as those of I^{xy} . This follows from Theorem 4.3, Corollary 5.8, and Table 1 in the introduction. We will show below that Conjecture 7.3 is true for q = 2.

Remark 7.4. The statement of Theorem 7.1 can be refined. The abelian variety *J* has toric reduction at every $v \in R$, so it is isogenous to an abelian subvariety of I' having the same reduction property. The new subvariety of J', J'^{new} , defined as in the case of classical modular Jacobians (cf. [35], [13, p. 248]), is the abelian subvariety of I' of maximal dimension having toric reduction at every $v \in R$. Hence I is isogenous to a subvariety of $I^{(\text{new})}$. By computing the dimension of $I^{(\text{new})}$, one concludes that J and J'^{new} are isogenous over F.

Remark 7.5. There is just one other case, besides the case which is the focus of this paper, when *I* and J' are actually isogenous. As one easily shows by comparing the genera of modular curves X^{R} and $X_0(R)$, the genera of these curves are equal if and only if $R = \{x, y\}$ and $\{\deg(x), \deg(y)\} =$ $\{1, 1\}, \{1, 2\}, \{2, 2\}$. Assume deg(x) = deg(y) = 2. Then the genus of both X^{xy} and $X_0(xy)$ is q^2 , but neither of these curves is hyperelliptic. The curve $X_0(xy)$ again has 4 cusps which can be represented as in Section 3. Calculations similar to those we have carried out in earlier sections lead to the following result:

- (1) The cuspidal divisor group C is generated by c_0 and c_x . The order of c_0 is $q^2 + 1$. The order of c_x is divisible by $q^2 + 1$ and divides $q^4 1$. The order of c_y is divisible by $q^2 + 1$ and divides $q^4 1$.
- (2) $\Phi_x \cong \Phi'_x \cong \mathbb{Z}/(q^2+1)\mathbb{Z}.$ (3) $\Phi_y \cong \Phi'_y \cong \mathbb{Z}/(q^2+1)\mathbb{Z}.$
- (4) The canonical map $\phi_x : \mathcal{C} \to \Phi_x$ is surjective, and

$$\phi_{\chi}(c_0) = z, \qquad \phi_{\chi}(c_{\chi}) = 0, \qquad \phi_{\chi}(c_{\chi}) = z.$$

(5) The canonical map $\phi_{y} : \mathcal{C} \to \Phi_{y}$ is surjective, and

$$\phi_x(c_0) = z, \qquad \phi_y(c_x) = z, \qquad \phi_y(c_y) = 0.$$

The fact that $X_0(xy)$ is not hyperelliptic complicates the calculation of C: just the relations between the cuspidal divisors arising from the Drinfeld discriminant function are not sufficient for pinning down the orders of c_x and c_y , cf. (3.3). Next, the calculations required for determining Φ_{∞} , Φ'_{∞} , and ϕ_{∞} appear to be much more complicated than those in Sections 5.2 and 6.3. Nevertheless, based on the facts that we are able to prove, and in analogy with the case $\deg(x) = 1$, $\deg(y) = 2$, we make the following prediction: The cuspidal divisor group $C \cong (\mathbb{Z}/(q^2 + 1)\mathbb{Z})^2$ is the direct sum of the cyclic subgroups generated by c_x and c_y both of which have order $q^2 + 1$, and there is an isogeny $J_0(xy) \to J^{xy}$ whose kernel is C.

Definition 7.6. It is known that every elliptic curve E over F with conductor $\mathfrak{n}_E = \mathfrak{n} \cdot \infty$, $\mathfrak{n} \triangleleft A$, and split multiplicative reduction at ∞ is isogenous to a subvariety of $J_0(\mathfrak{n})$; see [17]. This follows from (7.3), (7.4), and the fact [6, p. 577] that the representation $\rho_E : G_F \to \operatorname{Aut}(V_\ell(E)^*)$ is automorphic (i.e., $\rho_E = \rho(\Pi)$ for some $\Pi \in \mathcal{A}_0$). The multiplicity-one theorem can be used to show that in the F-isogeny class of E there exists a unique curve E' which is isomorphic to a one-dimensional abelian subvariety of $J_0(\mathfrak{n})$, thus maps "optimally" into $J_0(\mathfrak{n})$. We call E' the $J_0(\mathfrak{n})$ -optimal curve. Theorem 7.1 and Remark 7.4 imply that E with square-free conductor $R \cdot \infty$ and split multiplicative reduction at ∞ is also isogenous to a subvariety of J^R . Moreover, in the F-isogeny class of E there is a unique elliptic curve E'' which is isomorphic to a one-dimensional abelian subvariety of J^R . We call E'' the J^R -optimal curve.

Notation 7.7. Let E be an elliptic curve over F given by a Weierstrass equation

E:
$$Y^2 + a_1XY + a_3Y = X^3 + a_2X^2 + a_4X + a_6$$
.

Let $E^{(p)}$ be the elliptic curve given by the equation

$$E^{(p)}: Y^{2} + a_{1}^{p}XY + a_{3}^{p}Y = X^{3} + a_{2}^{p}X^{2} + a_{4}^{p}X + a_{6}^{p}.$$

There is a Frobenius morphism $\operatorname{Frob}_p : E \to E^{(p)}$ which maps a point (x_0, y_0) on E to the point (x_0^p, y_0^p) on $E^{(p)}$. It is clear that the *j*-invariants of these elliptic curves are related by the equation $j(E^{(p)}) = j(E)^p$. If E has semi-stable reduction at $v \in |F|$, then $\Phi_{E,v} \cong \mathbb{Z}/n\mathbb{Z}$, where $\Phi_{E,v}$ denotes the component group of E at v and $n = -\operatorname{ord}_v(j(E)) \ge 1$. In this case, $\Phi_{E^{(p)},v} \cong \mathbb{Z}/pn\mathbb{Z}$.

Definition 7.8. An elliptic curve E over F with j-invariant $j(E) \notin \mathbb{F}_q$ is said to be *Frobenius minimal* if it is not isomorphic to $\widetilde{E}^{(p)}$ for some other elliptic curve \widetilde{E} over F. It is easy to check that this is equivalent to $j(E) \notin F^p$, cf. [38].

For *q* even, Schweizer has completely classified the elliptic curves over *F* having conductor of degree 4 in terms of explicit Weierstrass equations; see [37]. We are particularly interested in those curves which have conductor $xy\infty$ and split multiplicative reduction at ∞ .

Theorem 7.9. Assume $q = 2^s$. Elliptic curves over F with conductor $xy\infty$ exist only if there exists an \mathbb{F}_q -automorphism of F that transforms the conductor into $(T + 1)(T^2 + T + 1)\infty$. In particular, s must be odd.

If s is odd, then there exists two isogeny classes of elliptic curves over F with conductor $(T + 1)(T^2 + T + 1)\infty$ and split multiplicative reduction at ∞ . The Frobenius minimal curves in each isogeny class are listed in Tables 2 and 3; the last three columns in the tables give the orders of the component groups $\Phi_{E,v}$ of the corresponding curve E at $v = x, y, \infty$.

Proof. Theorem 4.1 in [37]. □

Table 2			
Isogeny	class	I.	

	Equation	x	у	∞
E ₁	$Y^2 + TXY + Y = X^3 + T^3 + 1$	3	3	3
E'_1	$Y^2 + TXY + Y = X^3 + T^2(T^3 + 1)$	9	1	1
E_1''	$Y^2 + TXY + Y = X^3$	1	1	9

Table 3

Isogeny class II.

	Equation	x	у	∞
E ₂	$Y^2 + TXY + Y = X^3 + X^2 + T$	5	1	5
E'_2	$Y^2 + TXY + Y = X^3 + X^2 + T^5 + T^2 + T$	1	5	1

Next, [37, Prop. 3.5] describes explicitly the isogenies between the curves in classes I and II: There is an isomorphism of étale group-schemes over F

$$E_1[3] \cong H_1 \oplus H_2$$
,

where $H_1 \cong \mathbb{Z}/3\mathbb{Z}$ and $H_2 \cong \mu_3$. The subgroup-scheme H_1 is generated by (T + 1, 1) and H_2 is generated by $(T^2, sT^3 + s^2)$, where *s* is a third root of unity. Then $E_1/H_1 \cong E'_1$ and $E_1/H_2 \cong E''_1$. (It is well known that an elliptic curve over *F* with conductor of degree 4 has rank 0, so in fact $E_1(F) = H_1 \cong \mathbb{Z}/3\mathbb{Z}$.) Similarly, the subgroup-scheme H_3 of E_2 generated by (1, 1) is isomorphic to $\mathbb{Z}/5\mathbb{Z}$, $E_2/H_3 \cong E'_2$, and $E_2(F) = H_3 \cong \mathbb{Z}/5\mathbb{Z}$.

Proposition 7.10. Assume $q = 2^s$ and s is odd.

- (i) E_1 and E_2 are the $J_0(xy)$ -optimal curves in the isogeny classes I and II.
- (ii) E'_2 is the J^{xy} -optimal curve in the isogeny class II.
- (iii) If Conjecture 7.3 is true, then E_1 is the J^{xy} -optimal curve in the isogeny class I.

Proof. (i) There is a method due to Gekeler and Reversat [12, Cor. 3.19] which can be used to determine $\#\Phi_{E,\infty}$ of the $J_0(\mathfrak{n})$ -optimal curve in a given isogeny class. This method is based on the study of the action of Hecke algebra on $H_1(\Gamma_0(\mathfrak{n}) \setminus \mathcal{T}, \mathbb{Z})$. For deg(\mathfrak{n}) = 3 the Gekeler–Reversat method can be further refined [38, Cor. 1.2]. Applying this method for $\mathfrak{n} = xy$, one obtains $\#\Phi_{E,\infty} = 3$ (resp. $\#\Phi_{E,\infty} = 5$) for the $J_0(xy)$ -optimal elliptic curve E in the isogeny class I (resp. II). Since there is a unique curve with this property in each isogeny class, we conclude that E_1 and E_2 are the $J_0(xy)$ -optimal elliptic curves. (For q = 2, this is already contained in [12, Ex. 4.4].)

(ii) Assume q is arbitrary. Let E be an elliptic curve over F which embeds into J^{xy} . Since J^{xy} has split toric reduction at ∞ , [29, Cor. 2.4] implies that the kernel of the natural homomorphism

$$\Phi_{E,\infty} \to \Phi'_{\infty} \cong \mathbb{Z}/(q+1)\mathbb{Z}$$

is a subgroup of $\mathbb{Z}/(q_{\infty}-1)\mathbb{Z}$. Hence $\#\Phi_{E,\infty}$ divides (q^2-1) . First, this implies that $\#\Phi_{E,\infty}$ is coprime to p, so E must be Frobenius minimal in its isogeny class. Second, if $q = 2^s$ and s is odd, then 5 does not divide $(q^2 - 1)$, so E_2 is not J^{xy} -optimal. This leaves E'_2 as the only possible J^{xy} -optimal curve in the isogeny class II.

(iii) Let *E* be the J^{xy} -optimal curve in the isogeny class I. By the discussion in (ii), this curve is one of the curves in Table 2. Suppose there is an isogeny $\varphi : J_0(xy) \to J^{xy}$ whose kernel is C_0 . Restricting φ to $E_1 \hookrightarrow J_0(xy)$, we get an isogeny $\varphi' : E_1 \to E$ defined over *F* whose kernel is a subgroup of $C_0 \cong \mathbb{Z}/(q^2 + 1)\mathbb{Z}$. Note that 3 does not divide $q^2 + 1$. On the other hand, any isogeny from E_1 to E'_1 or E''_1 must have kernel whose order is divisible by 3. This implies that φ' has trivial kernel, so $E = E_1$. \Box **Remark 7.11.** In the notation of the proof of Proposition 7.10, consider the restriction of φ to $E_2 \hookrightarrow J_0(xy)$. By part (ii) of the proposition, there results an isogeny $\varphi'' : E_2 \to E'_2$ whose kernel is a subgroup of $\mathbb{Z}/(q^2 + 1)\mathbb{Z}$. Since 5 divides $q^2 + 1$ when *s* is odd, part (ii) of Proposition 7.10 is compatible with Conjecture 7.3.

Theorem 7.12. *Conjecture* 7.3 *is true for* q = 2*.*

Proof. Assume q = 2. By Proposition 7.10, E_1 and E_2 are the $J_0(xy)$ -optimal curves. Since the genus of $X_0(xy)$ is 2, it is hyperelliptic (this is true for general q by Schweizer's theorem which we used in Section 3). The genus being 2 also implies that a quotient of $X_0(xy)$ by an involution has genus 0 or 1. The Atkin–Lehner involutions form a subgroup in Aut($X_0(xy)$) isomorphic to $(\mathbb{Z}/2\mathbb{Z})^2$. Since the hyperelliptic involution is unique, each E_1 and E_2 can be obtained as a quotient of $X_0(xy)$ under the action of an Atkin–Lehner involution. Thus, there are degree-2 morphisms $\pi_i : X_0(xy) \rightarrow E_i$, i = 1, 2. In fact, one obtains the closed immersions $\pi_i^* : E_i \rightarrow J_0(xy)$ from these morphisms by Picard functoriality. Let $\widehat{\pi_i^*} : J_0(xy) \rightarrow E_i$ be the dual morphism. It is easy to show that the composition $\widehat{\pi_i^*} \circ \pi_i^* : E_i \rightarrow E_i$ is the isogeny given by multiplication by $2 = \deg(\pi_i)$. This implies that E_1 and E_2 intersect in $J_0(xy)$ in their common subgroup-scheme of 2-division points $S := \pi_1^*(E_1)[2] = \pi_2^*(E_2)[2]$, so

$$J_0(xy)(F) = H_1 \oplus H_3 \cong \mathbb{Z}/3\mathbb{Z} \oplus \mathbb{Z}/5\mathbb{Z} = \mathcal{C}.$$

Let $\psi : J_0(xy) \to E_1 \times E_2$ be the isogeny with kernel *S*. Note that *S* is characterized by the non-split exact sequence of group-schemes over *F*:

$$0 \rightarrow \mu_2 \rightarrow S \rightarrow \mathbb{Z}/2\mathbb{Z} \rightarrow 0.$$

By Proposition 7.10, E'_2 is the J^{xy} -optimal elliptic curve in the isogeny class II. Let E be the J^{xy} -optimal elliptic curves in class I. From the proof of Proposition 7.10, we know that E is Frobenius minimal, so it is one of the curves listed in Table 2. There are also Atkin–Lehner involutions acting on X^{xy} and they form a subgroup in Aut (X^{xy}) isomorphic to $(\mathbb{Z}/2\mathbb{Z})^2$; see [31]. Now exactly the same argument as above implies that E and E'_2 intersect in J^{xy} along their common subgroup-scheme of 2-division points $S' \cong S$. Let $v : J^{xy} \to E \times E'_2$ be the isogeny with kernel S'. Let $\hat{v} : E \times E'_2 \to J^{xy}$ be the dual isogeny.

The following argument is motivated by [19]. Consider the composition

$$\phi: J_0(xy) \xrightarrow{\psi} E_1 \times E_2 \xrightarrow{\phi_1 \times \phi_2} E \times E'_2 \xrightarrow{\hat{\nu}} J^{xy},$$

where ϕ_1 is either the identity morphism or has kernel H_1 , H_2 , and ϕ_2 has kernel H_3 . Since $\phi_1 \times \phi_2$ has odd degree, this morphism maps the kernel of $\hat{\psi}$ to the kernel of \hat{v} . Indeed, both are the "diagonal" subgroups isomorphic to *S* in the corresponding group-schemes $(E_1 \times E_2)[2]$ and $(E \times E'_2)[2]$. More precisely, $\mathcal{H} := \ker(\hat{\psi})$ is uniquely characterized as the subgroup-scheme of $\mathcal{G} := (E_1 \times E_2)[2]$ having the following properties: \mathcal{H}^0 is the image of the diagonal morphism $\mu_2 \to \mu_2 \times \mu_2 = \mathcal{G}^0$ and the image of \mathcal{H} in \mathcal{G}^{et} under the natural morphism $\mathcal{G} \to \mathcal{G}^{\text{et}}$ is the image of the diagonal morphism $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$. A similar description applies to $\ker(\hat{v}) \subset (E \times E'_2)[2]$. Thus, there is an isogeny $\phi' : J_0(xy) \to J^{xy}$ such that $\phi = \phi'[2]$ and $\ker(\phi') \cong \ker(\phi_1 \times \phi_2)$. We conclude that J^{xy} is isomorphic to the quotient of $J_0(xy)$ by one of the following subgroups

$$H_3, \quad H_1 \oplus H_3, \quad H_2 \oplus H_3.$$

Now note that H_1 and H_3 under the specialization map ϕ_{∞} inject into ϕ_{∞} , but H_2 maps to 0 (indeed, $H_2 \cong \mu_3$ has non-trivial action by $\text{Gal}(\overline{\mathbb{F}}_{\infty}/\mathbb{F}_{\infty})$ whereas ϕ_{∞} is constant). Hence Theorem 4.3 implies that the quotients of $J_0(xy)$ by the subgroups listed above have component groups at ∞ of orders 3,

1, 9, respectively. Since $\Phi'_{\infty} \cong \mathbb{Z}/3\mathbb{Z}$, we see that J^{xy} is the quotient of $J_0(xy)$ by H_3 which is \mathcal{C}_0 in this case. \Box

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