The quark–antiquark asymmetry of the strange sea of the nucleon

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Abstract

The strange sea of the proton is generally assumed to have quark–antiquark symmetry. However, it has been known for some time that non-perturbative processes involving the meson cloud of the proton may break this symmetry. Recently this has been of interest as it affects the analysis of the so-called ‘NuTeV anomaly’, and could explain the large discrepancy between the NuTeV measurement of $\sin^2 \theta_W$ and the currently accepted value. In this Letter we re-examine strange–anti-strange asymmetry using the meson cloud model. We calculate contributions to the strange sea arising from fluctuations in the proton wavefunction to states containing either Lambda or Sigma hyperons together with either Kaons or pseudovector $K^*$ mesons. We find that we should not ignore fluctuations involving $K^*$ mesons in this picture. The strange sea asymmetry is found to be small, and is unlikely to affect the analysis of the Llewellyn–Smith cross section ratios or the Paschos–Wolfenstein relationship.


Keywords: Meson cloud; Parton distributions; Strangeness

1. Introduction

There has been interest for some time in the question of whether non-perturbative processes can lead to a difference between the strange and the anti-strange quark distribution functions of the proton. This possibility was first pointed out by Signal and Thomas [1], and has been subsequently investigated by other authors [2–4]. Recently there has been fresh interest in this topic prompted by the measurement of $\sin^2 \theta_W$ by the NuTeV Collaboration [5]. The large difference between the NuTeV result $\sin^2 \theta_W|_{\text{NuTeV}} = 0.2277 \pm 0.0013(\text{stat}) \pm 0.0009(\text{syst})$ and the accepted value $\sin^2 \theta_W = 0.2228 \pm 0.0004$ [6] of around three standard deviations could arise, or be partly explained by, a positive value of the second moment of the strange–anti-strange distributions

$$\langle x(s - \bar{s}) \rangle = \int_0^1 dx \ x [s(x) - \bar{s}(x)],$$

as has been pointed out by Davidson and co-workers [7].

As yet there is no direct experimental evidence for any asymmetry in the strange sea, however, Barone, Pascaud and Zomer [8] found that, when performing a global fit of unpolarized parton distributions, allowing
$\bar{s}(x) \neq s(x)$ gave a small improvement to the fit. Their best fit result gave the second moment of the asymmetry as $(\langle s - \bar{s} \rangle) = 0.002 \pm 0.0028$ at $Q^2 = 20 \text{ GeV}^2$. NuTeV have also looked for an asymmetry, and found a small negative value for the second moment with a large uncertainty [9]. However, the functional form of the distributions used for this fit was not constrained to give the first moment to be zero (i.e., zero net strangeness).

The mechanism for breaking the quark–anti-quark symmetry of the strange sea comes from the kaon cloud that accompanies the proton. As shown by Sullivan [10] in the case of the pion cloud, there is a contribution to the parton distributions of the proton from amplitudes where the virtual photon is scattered from the meson. In this case the scaling contribution to the parton distribution of the proton can be written as a convolution of the parton distribution of the meson with a fluctuation function that describes the momentum probability distribution of the meson. In a similar vein there is a contribution to the parton distribution from amplitudes where the virtual photon is scattered from the recoil baryon, and the meson is a spectator. Contributions to the strange sea can come from fluctuations such as $p(uud) \rightarrow \Lambda(ud) + K^+ (u\bar{s})$. In this case we see that the contribution to the anti-strange distribution, which we denote $\delta\bar{s}$, comes from the anti-strange quark in the kaon, whereas the contribution to the strange distribution $\delta s$ comes from the strange quark in the Lambda baryon. While the valence parton distributions of the kaon and Lambda have not been determined by experiment, they can be expected to differ from one another considerably, as the $\bar{s}$ in the kaon carries a larger fraction of the 4-momentum of its parent hadron than that carried by the $s$ quark in the $\Lambda$. This is certainly the case in comparing the parton distributions of the pion with those of the proton, where the pion valence distributions are harder than the proton valence distributions [11]. While the convolution of the meson or baryon valence distribution with the appropriate fluctuation function can be expected to decrease the difference between them, this difference will lead to a difference between the quark and anti-quark distributions in the strange sea of the proton.

In this Letter we re-examine the asymmetry between the strange and anti-strange distributions. We work within the context of the meson cloud model (MCM) [12], which describes proton $\rightarrow$ meson + baryon fluctuations using an effective Lagrangian, with amplitudes calculated using time-ordered perturbation theory. We consider fluctuations to $\Lambda K^*$ and $\Sigma K^*$ in addition to the $\Lambda K$ and $\Sigma K$ fluctuations of the proton. In the non-strange sector, fluctuations involving pseudovector mesons have been seen to have significant effects on sea distributions [13, 14], so we include these fluctuations here to observe whether they make any contribution to the asymmetry of the strange sea.

2. Strangeness in the meson cloud model

In the meson cloud model (MCM) the nucleon can be viewed as a bare nucleon plus some meson–baryon Fock states which result from the fluctuation $N \rightarrow BM$. The wavefunction of the nucleon can be written as [14],

$$|N\rangle_{\text{physical}} = Z|N\rangle_{\text{bare}} + \sum_{BM} \sum_{\lambda, \lambda'} \int dy d^2 k_\perp \phi^BM(y, k^2_\perp) \times |B^\lambda(y, k_\perp); M^{\lambda'}(1 - y, -k_\perp)|,$$

where $Z$ is the wave function renormalization constant, $\phi^BM(y, k^2_\perp)$ is the wave function of the Fock state containing a baryon (B) with longitudinal momentum fraction $y$, transverse momentum $k_\perp$, and helicity $\lambda$, and a meson (M) with momentum fraction $1 - y$, transverse momentum $-k_\perp$, and helicity $\lambda'$. The model assumes that the lifetime of a virtual baryon–meson Fock state is much longer than the interaction time in the deep inelastic process, thus the quark and anti-quark in the virtual meson–baryon Fock states can contribute to the parton distributions of the nucleon.

For spin independent parton distributions these non-perturbative contributions can be expressed as a convolution of fluctuation functions with the valence parton distributions in the baryon and/or meson. For the strange and anti-strange distributions we have

$$x \delta s(x) = \int \frac{dy}{x} f_{BM/N}(y) \frac{x}{y} f_B\left(\frac{x}{y}\right).$$
\[ x \delta\bar{s}(x) = \int x dy \, f_{BM/N}(y) \frac{\delta\bar{N}_\perp}{y} \left( \frac{x}{y} \right), \quad (2) \]

where

\[ f_{BM/N}(y) = \sum_{\lambda, \lambda'} \int_0^\infty dk_\perp^2 \phi_{BM}^{\lambda \lambda'}(y, k_\perp^2) \phi_{BM}^{\lambda' \lambda}(y, k_\perp^2), \quad (3) \]

\[ f_{BM/N}(y) = f_{BM/N}(1 - y) \quad (4) \]

are the fluctuation functions. These are the probabilities to find the baryon or meson respectively with fraction \( y \) of the longitudinal momentum. We note that the relation (4) ensures that while the shapes of \( \delta\bar{s}(x) \) and \( \delta\bar{s}(x) \) are different, their integrals are equal, so that the strangeness of the dressed nucleon is not changed from that of the bare nucleon.

The fluctuation functions are derived from effective meson–nucleon Lagrangians [14]

\[ \mathcal{L}_{NHK} = i g_{NHK} \bar{N}_Y \gamma_\mu H, \]

\[ \mathcal{L}_{NHV} = g_{NHV} \bar{N}_\gamma \theta^{\mu \nu} H \]

\[ + f_{NHV} \bar{N} \sigma_{\mu\nu} H (\theta^{\mu \nu} - \theta^{\nu \mu}) \quad (5) \]

where \( N \) and \( H \) are spin-1/2 fields, \( \pi \) a pseudoscalar field, and \( \theta \) a vector field. The fluctuations that we consider are \( p \rightarrow \Lambda K, \Sigma K, \Lambda K^* \) and \( \Sigma K^* \). The coupling constants that we use are [15],

\[ g_{N\Lambda K} = -13.98, \]

\[ g_{N\Sigma K} = 2.69, \]

\[ g_{N\Lambda K^*} = -5.63, \quad f_{N\Lambda K^*} = -4.89 \text{ GeV}^{-1}, \]

\[ g_{N\Sigma K^*} = -3.25, \quad f_{N\Sigma K^*} = 2.09 \text{ GeV}^{-1}. \quad (6) \]

The meson–baryon vertices require form factors, which reflect that the hadrons have finite size, and act to suppress large momenta. We use exponential form factors

\[ G_{BM}(y, k_\perp^2) = \exp \left[ -\frac{m_N^2 - m_{BM}^2(y, k_\perp^2)}{2A_c^2} \right], \quad (7) \]

though monopole or dipole form factors could also be used with no significant difference to our results. Here \( A_c \) is a cut-off parameter, which appears to be the same for fluctuations involving octet baryons and has a value of 1.08 GeV, consistent with data on \( \Lambda \) production in semi-inclusive \( p-p \) scattering [14]. Also \( m_{BM}^2 \) is the invariant mass squared of the BM Fock state,

\[ m_{BM}^2(y, k_\perp^2) = \frac{m_B^2 + k_\perp^2}{y} + \frac{m_M^2 + k_\perp^2}{1 - y}. \quad (8) \]

In Fig. 1 we show the four fluctuation functions of interest \( f_{AK/N}(y) \), \( f_{SK/N}(y) \), \( f_{AK^*/N}(y) \) and \( f_{SK^*/N}(y) \), where \( y \) is the longitudinal momentum fraction of the baryon. We note that the kaon fluctuation functions peak around \( y = 0.6 \), whereas the \( K^* \) fluctuation functions peak around \( y = 0.5 \) and are fairly symmetrical about the peak, indicating that the meson and baryon share the proton momentum equally. We also note that the \( K^* \) fluctuation functions are of similar size to the kaon fluctuation functions. Thus the higher mass of the \( K^* \) does not lead to a suppression of these fluctuations, as might be expected on kinematic grounds, but to a smaller average baryon momentum. In fact, the least probable fluctuation is \( p \rightarrow \Sigma K \), which is suppressed mainly due to the smaller coupling constant. We can conclude that any analysis of strange quark or anti-quarks in the meson cloud model needs to include the fluctuations involving the \( K^* \) meson.

The fluctuation functions depend upon the hardness of the form factor that is used. Using a softer form factor (e.g., \( A_c = 0.8 \) GeV as suggested by reference [16]) does not change the position of the peaks of the fluctuation functions, but does decreases their size. The higher mass fluctuations involving \( K^* \) mesons are more sensitive to the value of \( A_c \), and decrease...
in size faster as $\Lambda_c$ decreases. The first moment of a fluctuation function gives the probability of that particular fluctuation occurring. For $\Lambda_c = 1.08$ GeV we find the total probabilities of finding a kaon or $K^*$ to be $P(K) = 1.6\%$, $P(K^*) = 2.7\%$, whereas for $\Lambda_c = 0.80$ GeV these probabilities reduce to $P(K) = 0.2\%$, $P(K^*) = 0.09\%$.

In order to calculate the MCM contributions $\delta s(x)$ and $\delta\bar{s}(x)$ in Eq. (2) we need to know the parton distributions of the $\Lambda$ and $\Sigma$ baryons and the $K$ and $K^*$ mesons. Previous studies [1,3,4] in the MCM have used SU(3) flavour symmetry to relate these parton distributions to those of the proton and pion. However, SU(3) flavour symmetry is known to be broken in the sea distributions of the proton, so it may not be a good approximation to assume that it holds for the valence distributions of octet baryons or the pseudoscalar or pseudovector nonet mesons. A phenomenological parameterization of the kaon distributions, based on those of the pion, is available [17], but there is nothing similar for the baryons. An alternative is to use some model of the required distributions. One possibility would be to use a Gaussian light-cone wavefunction to calculate the parton distributions, which is an approach used by Brodsky and Ma [2]. Another approach is to generalise the calculations of the parton distribution functions of the nucleon in the MIT bag model by the Adelaide group [18,19]. This has been done in the case of baryon distributions by Boros and Thomas [20], and also in the case of the $\rho$ meson by ourselves [21].

Adapting the argument of the Adelaide group, we have the expressions for the strange quark distribution of a baryon and the anti-strange quark distribution of a meson:

$$s_B(x) = \frac{m_B}{(2\pi)^3} \int dp_n \frac{\phi_2(p_n)^2}{|\phi_3(0)|^2} \delta(m_B(1-x) - p_n^+) \times |\bar{\psi}_{+s}(p_n)|^2,$$

$$\tilde{s}(x) = \frac{m_M}{(2\pi)^3} \int dp_n \frac{\phi_1(p_n)^2}{|\phi_2(0)|^2} \delta(m_M(1-x) - p_n^+) \times |\tilde{\psi}_{+s}(p_n)|^2.$$

Here we have defined $+$ components of momenta by $p^+ = p^0 + p^3$. $p_n$ is the 3-momentum of the $2(1)$-quark intermediate state, $\tilde{\psi}$ ($\bar{\psi}$) is the Fourier transform of the MIT bag wavefunction for the strange quark (anti-quark) in the ground state, and $\phi_m(p)$ is the Fourier transform of the Hill–Wheeler overlap function between $m$-quark bag states

$$|\phi_m(p)|^2 = \int dR e^{-i \cdot p \cdot R} \left[ \int dr \psi^*(r - R)\psi(r) \right]^m.$$

The input parameters for the bag model calculations of the parton distributions are the bag radius $R$, the mass of the intermediate state $m_n$, the mass of the strange quark (anti-quark) $m_s$ and the bag scale $\mu^2$—at this scale the model is taken as a good approximation to their valence structure of the hadron. For the baryons ($\Lambda$, $\Sigma$) we use the same parameter set as Boros and Thomas [20] ($R = 0.8$ fm, $m_n = 800$ MeV before hyperfine splitting of scalar (for $\Lambda$) and vector (for $\Sigma$) states, $m_s = 150$ MeV, $\mu^2 = 0.23$ GeV$^2$). For the mesons ($K, K^*$) we use a parameter set based on the baryon set and our earlier $\rho$ meson calculation [21] ($R = 0.7$ fm, $m_n = 425$ MeV, $m_s = 150$ MeV, $\mu^2 = 0.23$ GeV$^2$).

The valence distributions calculated using Eq. (10) do not satisfy the straightforward normalisation condition as they ignore intermediate states with more quarks and anti-quarks than the initial state, i.e., 3 quarks plus one anti-quark for the baryon distribution, or 2 quarks plus one anti-quark for the meson distribution. We can parameterise the effects of such states by adding to the distributions a piece proportional to $(1-x)^2$ for the baryon distributions or $(1-x)^3$ for the meson distributions, consistent with the Drell–Yan–West relation, such that the normalisation condition is satisfied. While this ansatz for the shape is somewhat arbitrary, it has little effect at medium and large $x$, especially after the distributions are evolved up to experimental scales. From the calculated fluctuation functions, we can see that the convolution (Eq. (2)) is most sensitive to parton distributions in the medium and large $x$ regions, which are little affected by our ansatz for the contributions from intermediate states with larger mass than the parent hadron. In Fig. 2 we show the calculated parton distributions after NLO evolution to $Q^2 = 16$ GeV$^2$, which is the region of the NuTeV data. We can see that the valence distributions of the $K$ and $K^*$ mesons are harder than that of the $\Lambda$ and $\Sigma$ baryons, as expected.

Having calculated both the MCM fluctuation functions and the strange valence parton distributions of
and the VSigma1 \( \Lambda \) anti-strange parton distributions using the convolution in Eq. (2). In Fig. 3 we show our calculated difference between strange and anti-strange parton distributions when they are convoluted together. Thus the relative hardness of \( \tilde{s}^{K^*}(x) \) to \( s(x) \) is manifested in the large \( x \) region as \( \tilde{s}(x) > s(x) \) in the calculation. We calculate the second moment of the strange–anti-strange asymmetry both with and without \( K^* \) contributions. We find that

\[
\langle x(s - \tilde{s}) \rangle = \begin{cases} 
1.43 \times 10^{-4} & \text{without } K^* \text{ states}, \\
-1.35 \times 10^{-4} & \text{including } K^* \text{ states}. 
\end{cases}
\]

We observe that omitting fluctuations involving \( K^* \) mesons changes the sign of the second moment of the asymmetry, so it is important that these fluctuations are included in any discussion of the asymmetry of the strange sea.

Because the calculated asymmetry depends on the difference between MCM contributions to parton distributions, it is relatively insensitive to changes in the form factor used to calculate the MCM fluctuation functions. If we had used the form factor parameter \( \Lambda_c = 0.80 \text{ GeV} \) instead of \( \Lambda_c = 1.08 \text{ GeV} \), we would have obtained values of \( 4.1 \times 10^{-5} \) (3.4 \( \times 10^{-5} \)) for the second moments of the asymmetry without (with) \( K^* \) fluctuations.

The non-zero value of the strange sea asymmetry affects the experimentally determined value of the Paschos–Wolfenstein ratio

\[
R_{PW} = \frac{\sigma_{NC} - \sigma_{NC}'}{\sigma_{CC} - \sigma_{CC}'} \approx g_L^2 - g_R^2 = \frac{1}{2} - \sin^2 \theta_W.
\]

The effect of the strange sea asymmetry is to shift \( R_{PW} \) by an amount [7,22]

\[
\Delta R_{PW} = -\frac{3b_1 + b_2}{\langle x(u_V + d_V) \rangle / 2} \langle x(s - \tilde{s}) \rangle,
\]

where

\[
b_1 = \Delta_u^2 = g_{L_u}^2 - g_{R_u}^2,
\]

\[
b_2 = \Delta_d^2 = g_{L_d}^2 - g_{R_d}^2.
\]

At the NuTeV scale (\( Q^2 = 16 \text{ GeV}^2 \)) the coefficient in front of the second moment of the strange sea asymmetry in Eq. (14) is about 1.3, which means that
$\Delta R_{PW}$ is of the order $1 \sim 2 \times 10^{-4}$. This is an order of magnitude too small to have any significant effect on the NuTeV result for the weak mixing angle.

3. Summary

Any asymmetry between strange quarks and antiquarks in the nucleon sea must arise from nonperturbative effects. This would make any experimental observation of a strange sea asymmetry a crucial test for models of nucleon structure. We have re-examined this asymmetry within the context of the meson cloud model, which gives an asymmetry from strange hadrons in the meson cloud of the proton. A novel aspect of our calculation is that we have included the effects of components of the meson cloud involving the $K^*$ vector meson, and we have seen that the contributions to the strange sea from these components are of similar magnitude to those involving the pseudoscalar kaon. Hence, any quantitative discussion of the strange sea in the MCM requires that both sets of contributions are considered. Overall, we have found that the strange sea asymmetry in the MCM is fairly small, and does not have any significant effect on the NuTeV extraction of $\sin^2 \theta_W$. However, we have also seen that the sign of the second moment of the asymmetry depends on which contributions are considered.

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