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Approximation results for the weighted P_4 partition problem $\stackrel{\text{\tiny{$\approx$}}}{\to}$

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Abstract

We present several new standard and differential approximation results for the P_4 -partition problem using the Hassin and Rubinstein algorithm [Information Processing Letters 63 (1997) 63–67]. Those results concern both minimization and maximization versions of the problem. However, the main point of this paper lies in the establishment of the robustness of this algorithm, in the sense that it provides good quality solutions for a variety of versions of the problem, under both standard and differential approximation ratios.

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1. Introduction

In the weighted P_k -partition problem (P_kP in short), we are given a complete graph K_{kn} together with a weight function $w: E \to \mathbb{N}$ on its edges. A P_k is an induced path of length k - 1 (or, equivalently, an induced path on k vertices) and the weight of such a path P, denoted by w(P), is the sum of its edge weight. Given an instance $I = (K_{kn}, w)$, the aim is to compute a partition $T^* = \{P_1^*, \dots, P_n^*\}$ of $V(K_{kn})$ into n vertex-disjoint P_k (what we call a P_k -partition) that is of optimum weight, where the value of a solution T^* is given by $w(T^*) = \sum_{i=1}^n w(P_i^*)$. Hence, if the goal is to maximize (MAXP_kP), then we seek a P_k -partition of maximum weight, and if the goal is to minimize (MINP_kP), then we seek a P_k -partition of minimum weight. When considering the minimization version, we will more often assume that the weight function satisfies the triangle inequality, i.e., $w(x, y) \leq w(x, z) + w(z, y), \forall x, y, z$; MINMETRICP_kP will refer to this restriction. Finally, we also deal with a special case of metric instances where the weight function is either 1 or 2; the corresponding problems will be denoted by MAXP_kP_{1,2} and MINP_kP_{1,2}. Note that for k = 2, a P_2 -partition is a perfect matching and hence, MINP_2P and MAXP_2P both are polynomial-time solvable. On the other hand, all these problems turn to be **NP**-hard for $k \ge 3$, [9,17].

In this paper, we address the approximability of $P_k P$ when k is worth 4, by analyzing the performance of a specific algorithm under different assumptions on the input. Commonly, a given problem is said to be ε -approximable if it

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admits an algorithm that polynomially computes on any instance a solution that is at least (if maximizing, at most if minimizing) ε times the optimum value. The aim of the approximation theory it to provide solutions of guaranteed quality for problems that are hard to solve (intractable), which is the case of $MINP_kP$ and $MAXP_kP$. Nevertheless, MAXP_kP is standard-approximable for any k, [11]. In particular, MAXP₃P and MAXP₄P are respectively $35/67 - \varepsilon$, [12] and 3/4, [11] approximable. On the other hand, it is **NP**-hard to approximate MINP_kP within $2^{p(n)}$ for any polynomial p, for any $k \ge 3$; this is due to the fact that the P_k-partition problem, which consists in deciding whether or not a graph admits a partition of its vertex set into P_k , is **NP**-complete in general graphs for any k, [9,16,17], and this also in bipartite graphs of maximum degree 3, [15]. Furthermore, even when restricted to metric instances and more specifically for k = 4, no approximation rate has (to our knowledge) been established for MINMETRICP_kP so far. Note that this latter problem (and $P_k P$ in general) is closely related to the vehicle routing problem when restricting the route of each vehicle to at most k intermediate stops, [1,8]. Finally, we may observe that, when the graph is not assumed to be complete, MAXP_kP does not admit a PTAS (not even in bipartite graphs of maximum degree 3), [15]. As we have already said, we focus here on the weighted P₄-partition problem. Furthermore, we study the performance of a single algorithm on various versions of this problem. Doing so, we put to the fore the effectiveness of this algorithm by proving that it provides approximation ratios for both standard and differential measures, for both maximization and minimization versions of the problem. But, before going so far, we briefly recall the basis of approximation theory.

1.1. Approximation theory

Consider an instance *I* of an **NP**-hard optimization problem Π and a polynomial-time algorithm A that computes feasible solutions for Π . Denote respectively by $apx_{\Pi}(I)$ the value of a solution computed by A on *I*, by $opt_{\Pi}(I)$ the value of an optimal solution and by $wor_{\Pi}(I)$ the value of a worst solution (that corresponds to the optimum value when reversing the optimization goal). The quality of A is expressed by means of approximation ratios that somehow compare the approximate value to the optimum one. So far, two measures stand out from the literature: the *standard* ratio [2] (the most widely used) and the *differential* ratio [3,4,7,10]. The standard ratio is defined by $\rho_{\Pi}(I, A) =$ $apx_{\Pi}(I)/opt_{\Pi}(I)$, whereas the differential ratio is defined by $\delta_{\Pi}(I, A) = (wor_{\Pi}(I) - apx_{\Pi}(I))/(wor_{\Pi}(I) - opt_{\Pi}(I))$. Instead of dividing the approximate value by the optimum one, this latter measure divides the distance from a worst solution to the approximate value by the instance diameter. Within the worst case analysis framework and given a universal constant $\varepsilon \leq 1$ (resp., $\varepsilon \geq 1$), an algorithm A is said to be an ε -standard approximation for a maximization (resp. a minimization) problem Π if $\rho_{\Pi}(I, A) \geq \varepsilon \forall I$ (resp., $\rho_{\Pi}(I, A) \leq \varepsilon \forall I$). With respect to differential approximation, A is said to be ε -differential approximate for Π if $\delta_{\Pi}(I, A) \geq \varepsilon$, $\forall I$, for a universal constant $\varepsilon \leq 1$. Equivalently, seeing any solution value as a convex combination of the two values $wor_{\Pi}(I)$ and $opt_{\Pi}(I) + (1 - \varepsilon) \times wor_{\Pi}(I)$ (for the maximization case; reverse the sense of the inequality when minimizing).

1.2. Organization of the paper

This paper is organized as follows: in the second section, we study the relationship between TSP and P_kP under differential ratio; namely, we show how a differential approximation for TSP enables a differential approximation for P_kP . In the third section, that contains the main result of this paper, we propose a complete analysis, from both a standard and a differential point of view, of an algorithm proposed by Hassin and Rubinstein [11]. We prove that, with respect to the standard ratio, this algorithm provides new approximation ratios for METRICP₄P, namely: the approximate solution respectively achieves a 3/2-, a 7/6- and a 9/10-standard approximation for MINMETRICP₄P, MINP₄P_{1,2} and MAXP₄P_{1,2}. Under differential ratio, the approximate solution is a 1/2-approximation for general P₄P, a 2/3-approximation for P₄P_{a,b}. The gap between differential and standard ratios that might be reached for a maximization problem may be explained by the fact that, within the differential framework, the approximate value has to be located within the interval [wor(*I*), opt(*I*)], instead of [0, opt(*I*)] when considering the standard measure. That is the aim of differential approximation: thanks to the reference it does to wor(*I*), this measure is both more precise (relevant with respect to the notion of guaranteed performance) and more robust (since minimizing and maximizing turn to be equivalent and more generally, differential ratio is invariant under affine transformation of the objective function). In addition to the new approximation bounds that they provide, the obtained results establish the robustness of the algorithm that is addressed here, since this latter provides good quality solutions, whatever version of the problem we deal with, whatever approximation framework within which we estimate the approximate solutions.

2. From traveling salesman problem to $P_k P$

A common technique in order to obtain an approximate solution for MAXP_kP from a Hamiltonian cycle is called the *deleting and turning around* method, see [8,11,12]. Starting from a tour, this method builds k solutions of MAXP_kP and picks the best among them, where the *i*th solution is obtained by deleting every kth edge from the input cycle, starting from its *i*th edge. The quality of the output T' obviously depends on the quality of the initial tour; in this way it is proven in [11,12], that any ε -standard approximation for MAXTSP provides a $\frac{k-1}{k}\varepsilon$ -standard approximation for MAXP_kP. From a differential point of view, things are less optimistic: even for k = 4, there exists an instance family $(I_n)_{n \ge 1}$ that verifies $apx(I_n) = \frac{1}{2}opt_{MAXP_4P}(I_n) + \frac{1}{2}wor_{MAXP_4P}(I_n)$. This instance family is defined as $I_n = (K_{8n}, w)$ for $n \ge 1$, where the vertex set $V(K_{8n})$ may be partitioned into two sets $L = \{\ell_1, \ldots, \ell_{4n}\}$ and $R = \{r_1, \ldots, r_{4n}\}$ in such a way that the associated weight function w is 0 on $L \times L$, 2 on $R \times R$ and 1 on $L \times R$. Thus, for any $n \ge 1$, the following property holds:

Property 1. apx $(I_n) = 6n$, opt_{MAXP4P} $(I_n) = 8n$, wor_{MAXP4P} $(I_n) = 4n$.

Proof. If the initial tour is described as $\Gamma = \{e_1, \ldots, e_n, e_1\}$, then the *deleting and turning around* method produces 4 solutions T_1, \ldots, T_4 where $T_i = \bigcup_{j=0}^{n-1} \{\{e_{j+i}, e_{j+i+1}, e_{j+i+2}\}\}$ for $i = 1, \ldots, 4$ (indices are considered mod *n*). Fig. 1 provides an illustration of this process (the dashed lines correspond to the edges from $\Gamma \setminus T_i$.)

Observe that any tour Γ on I_n is an optimum, of total weight 8n. Indeed, any tour contains as many edges with their two endpoints in L as edges with their two endpoints in R and thus, $w(\Gamma) = |\Gamma \cap L \times R| + 2|\Gamma \cap R \times R| = |\Gamma| = 8n$. Hence, starting from the optimal cycle $\Gamma^* = [r_1, \ldots, r_{4n}, l_1, \ldots, l_{4n}, r_1]$, each of the four solutions T_1, \ldots, T_4 output by the algorithm (see Fig. 1) has value $w(T_i) = 6n$, while an optimal solution T^* and a worst solution T_* are of total weight respectively 8n and 4n (see Fig. 2). Indeed, because any P_4 -partition T is a 2n edge cut down tour, we get, on the one hand, $opt_{MAXTSP}(I_n) \ge w(T)$ and, on the other hand, $w(T) \ge 8n - 4n = 4n$, which concludes this argument. \Box

Nevertheless, the deleting and turning around method leads to the following weaker differential approximation relation:

Lemma 2. From an ε -differential approximation of MAXTSP, one can polynomially compute an $\frac{\varepsilon}{k}$ -differential approximation of MAXP_kP. In particular, we deduce from [10,13] that MAXP_kP is $\frac{2}{3k}$ -differential approximable.

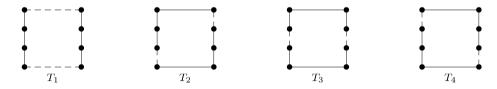


Fig. 1. An example of the 4 solutions T_1, \ldots, T_4 .



Fig. 2. A worst solution and an optimal solution when n = 1.

Proof. Let us show that the following inequality holds for any instance $I = (K_{kn}, w)$ of MAXP_kP:

$$\operatorname{opt}_{\operatorname{MaxTSP}}(I) \ge \frac{1}{k-1} \operatorname{opt}_{\operatorname{MaxP}_k P}(I) + \operatorname{wor}_{\operatorname{MaxP}_k P}(I)$$

$$\tag{1}$$

Let T^* be an optimal solution of MAXP_kP, then arbitrarily add some edges to T^* in order to obtain a tour Γ . From this latter, we can deduce k - 1 solutions T_i for i = 1, ..., k - 1, by applying the deleting and turning around method in such a way that any of the solutions T_i contains $(\Gamma \setminus T^*)$. Thus, we get $(k - 1)wor_{MAXP_kP}(I) \leq \sum_{i=1}^{k-1} w(T_i) =$ $(k - 1)w(\Gamma) - opt_{MAXP_kP}(I)$. Hence, consider that $w(\Gamma) \leq opt_{MAXTSP}(I)$ and the result follows. By applying again the deleting and turning around method, but this time from a worst tour, we may obtain k approximate solutions of MAXP_kP, which allows us to deduce:

$$\operatorname{wor}_{\operatorname{MaxTSP}}(I) \ge \frac{k}{k-1} \operatorname{wor}_{\operatorname{MaxP}_k P}(I)$$
 (2)

Finally, let Γ' be an ε -differential approximation of MAXTSP, we deduce from Γ' k approximate solutions of MAXP_kP. If T' is set to the best one, we get $w(T') \ge \frac{k}{k-1}w(\Gamma')$ and thus:

$$\operatorname{apx}(I) \ge \frac{k}{k-1} w(\Gamma') \ge \frac{k}{k-1} \left(\operatorname{\varepsilonopt}_{\operatorname{MaxTSP}}(I) + (1-\varepsilon) \operatorname{wor}_{\operatorname{MaxTSP}}(I) \right)$$
(3)

Using inequalities (1), (2) and (3), we get $apx(I) \ge \frac{\varepsilon}{k} opt_{MAXP_kP}(I) + (1 - \frac{\varepsilon}{k})wor_{MAXP_kP}(I)$ and the proof is complete. \Box

To conclude with the relationship between P_kP and TSP approximability, observe that the minimization case with respect to standard approximation also is trickier. Notably, if we consider MINMETRICP₄P, then the instance family $I'_n = (K_{8n}, w')$ built as the same as I_n with a distinct weight function defined as $w'(\ell_i, \ell_j) = w'(r_i, r_j) = 1$ and $w'(\ell_i, r_j) = n^2 + 1$ for any i, j, then we have: $opt_{TSP}(I'_n) = 2n^2 + 8n$ and $opt_{P_4P}(I'_n) = 6n$.

3. Approximating P₄P by means of optimal matchings

Here starts the analysis, from both a standard and a differential point of view, of an algorithm proposed by Hassin and Rubinstein in [11], where the authors show that the approximate solution is a 3/4-standard approximation for MAXP₄P. First, dealing with the standard ratio, we prove that this algorithm provides a 3/2-approximation for MINMETRICP₄P and respectively a 7/6 and a 9/10-approximation for MINP₄P_{1,2} and MAXP₄P_{1,2}. As a corollary of a more general result, we also obtain an alternative proof of the result of [11]. We then prove that, with respect to the differential measure, the approximate solution achieves a 1/2-approximation in general graphs, for both maximization and minimization versions of the problem. Finally, this latter ratio is raised up to 2/3 when restricting to bi-valued graphs.

3.1. Description of the algorithm

The algorithm proposed in [11] runs in two stages: first, it computes an optimum weight perfect matching M on $I = (K_{4n}, w)$; then, it builds on the edges of M a second optimum weight perfect matching R in order to complete the solution (note that "optimum weight" signifies "maximum weight" if the goal is to maximize, "minimum weight" if the goal is to minimize). Precisely, we define the instance $I' = (K_{2n}, w')$ (having a vertex v_e in K_{2n} for each edge $e \in M$), where the weight function w' is defined as follows: for any edge $[v_{e_1}, v_{e_1}]$ on I', $w'(v_{e_1}, v_{e_2})$ is set to the weight of the heaviest edge that links e_1 and e_2 in I, that is, if $e_1 = [x_1, y_1]$ and $e_2 = [x_2, y_2]$, then $w'(v_{e_1}, v_{e_2}) = \max\{w(x_1, x_2), w(x_1, y_2), w(y_1, x_2), w(y_1, y_2)\}$ (when dealing with the minimization version of the problem, set the weight to the lightest). We thus build on (K_{2n}, w') an optimum weight matching R, which is then transposed to the initial graph (K_{4n}, w) by selecting on K_{4n} the edge that realizes the same weight. Since the computation of an optimum weight perfect matching is polynomial, the whole algorithm runs in polynomial time, whether the goal is to minimize.

3.2. General P₄P within the standard framework

For any solution T, we denote respectively by M_T and R_T the set of the end edges and the set of the middle edges of its paths. Furthermore, we consider for any path $P_T = \{x, y, z, t\}$ of the solution the edge [t, x] that completes P_T into a cycle. If \overline{R}_T denotes the set of these edges, we observe that $R_T \cup \overline{R}_T$ forms a perfect matching. Finally, for any edge $e \in T$, we will denote by $P_T(e)$ the P_4 from the solution that contains e and by $C_T(e)$ the 4-edge cycle that contains $P_T(e)$.

Lemma 3. For any instance $I = (K_{4n}, w)$ with an optimal solution T^* , and a perfect matching M, there exist four pairwise disjoint edge sets A, B, C and D that verify:

- (i) $A \cup B = T^*$ and $C \cup D = \overline{R}_{T^*}$.
- (ii) $A \cup C$ and $B \cup D$ both are perfect matchings on I.
- (iii) $A \cup C \cup M$ is a perfect 2-matching on I whose cycles are of length a multiple of 4.

Proof. Let $T^* = M_{T^*} \cup R_{T^*}$ be an optimal solution, we apply the following process:

1 Set $A = M_{T^*}$, $B = R_{T^*}$, $C = \emptyset$, $D = \overline{R}_{T^*}$; Set $G' = (V, A \cup M)$ (consider the simple graph); 2 While there exists an edge $e \in R_{T^*}$ that links two connected components of G', do: 2.1 move $C_{T^*}(e) \cap M_{T^*}$ from A to B; move $C_{T^*}(e) \cap R_{T^*}$ from B to A; move $C_{T^*}(e) \cap \overline{R}_{T^*}$ from D to C; 2.2 $G' \leftarrow (V, A \cup C \cup M)$; 3 Output A, B, C and D;

At the initialization stage, the connected components of the partial graph induced by $(A \cup C \cup M)$ are either cycles that alternate edges from $(A \cup C)$ and M, or isolated edges from $M_{T^*} \cap M$. During step 2, at each iteration, the process merges together two connected components of G' into a single cycle that still alternates edges from $(A \cup C)$ and M(an illustration of this merging process is provided in Fig. 3). Note that all along the process, the sets A, B, C and Ddefine a partition of $T^* \cup \overline{R}_{T^*}$ and thus, remain pairwise disjoint.

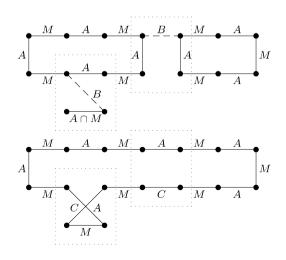


Fig. 3. The construction of sets A and C.

- For (i): Immediate from definition of the process (edges from *T** are moved from *A* to *B*, from *B* to *A*, but never out of *A* ∪ *B*; the same holds for *R*_{*T**} and the two sets *C* and *D*).
- For (ii): At the initialization stage, $A \cup C$ and $B \cup D$ respectively coincide with M_{T^*} and $R_{T^*} \cup \overline{R}_{T^*}$, each a perfect matching. More precisely, for any path P_{T^*} from the optimal solution, if C_{T^*} denotes the associated 4-edge cycle, then $A \cup C$ and $B \cup D$ respectively contain the perfect matching $C_{T^*} \cap M_{T^*}$ and $C_{T^*} \cap (R_{T^*} \cup \overline{R}_{T^*})$ on $V(P_{T^*})$. Now, at each iteration, the algorithm swaps the perfect matchings that are used in $A \cup C$ or $B \cup D$ in order to cover the vertices of a given path P_{T^*} and thus, both $A \cup C$ and $B \cup D$ remain perfect matchings.
- For (iii): At the end of the process, $(A \cup C) \cap M = \emptyset$ and thus, because $A \cup C$ and M both are perfect matchings, then $A \cup C \cup M$ is a perfect 2-matching. Now, consider a cycle Γ of $G' = (V, A \cup C \cup M)$; by definition of step 2, any edge *e* from R_{T^*} that is incident to Γ has its two endpoints in $V(\Gamma)$, which means that Γ contains either the two edges of $C_{T^*}(e) \cap M_{T^*}$, or the two edges of $C_{T^*}(e) \cap (R_{T^*} \cup \overline{R}_{T^*})$. In other words, if any vertex *u* from any path $P_{T^*} \in T^*$ belongs to $V(\Gamma)$, then the whole vertex set $V(P_{T^*})$ actually is a subset of $V(\Gamma)$ and therefore, we deduce that $|V(\Gamma)| = 4k$. \Box

Theorem 4. *The solution* T' *provided by the algorithm achieves a* 3/2*-standard approximation for* MINMETRICP₄P *and this ratio is tight.*

Proof. Let T^* be an optimal solution on $I = (K_{4n}, w)$. Using Lemma 3 with the perfect matching $M_{T'}$ of the solution T', we obtain four pairwise disjoint sets A, B, C and D. According to property (iii), we can split $A \cup C$ into two sets A_1 and A_2 in such a way that $A_i \cup M_{T'}$ (i = 1, 2) is a P₄-partition (see Fig. 4 for an illustration). Hence, A_i constitutes an alternative solution for $R_{T'}$ and because this latter is optimal on $I' = (K_{2n}, w')$, we obtain:

$$2w(R_{T'}) \leq w(A) + w(C) \tag{4}$$

Moreover, item (ii) of Lemma 3 states that $B \cup D$ is a perfect matching; since $M_{T'}$ is a minimum weight perfect matching, we deduce:

$$w(M_{T'}) \le w(B) + w(D) \tag{5}$$

Hence, summing up inequalities (4) and (5) (and also considering item (i) of Lemma 3), we get:

$$w(M_{T'}) + 2w(R_{T'}) \leq w(T^*) + w(R_{T^*})$$
(6)

Now, because I satisfies the triangle inequality, we observe that $w(\overline{R}_{T^*}) \leq w(T^*)$ and from inequality (6), we deduce:

$$w(M_{T'}) + 2w(R_{T'}) \leq 2\text{opt}_{\text{MINMETRICPAP}}(I) \tag{7}$$

Relation (7) together with $w(M_{T'}) \leq w(M_{T^*}) \leq w(T^*)$ complete the proof. Finally, the tightness is provided by the instance family $I_n = (K_{8n}, w)$ that has been described in Property 1. \Box

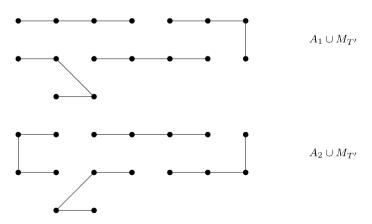


Fig. 4. Two possible P₄ partitions deduced from $A \cup C \cup M_{T'}$.

Concerning the maximization case and using Lemma 3, one can also obtain an alternative proof of the result given in [11].

Theorem 5. The solution T' provided by the algorithm achieves a 3/4-standard approximation for MAXP₄P.

Proof. The inequality (6) becomes

$$w(M_{T'}) + 2w(R_{T'}) \ge \operatorname{opt}_{\mathsf{MAXP},\mathsf{P}}(I) + w(\overline{R}_{T^*}) \tag{8}$$

Since $M_{T'}$ is a maximum weight perfect matching, the approximate value obviously satisfies $2 \times w(M_{T'}) \ge opt_{MAXP_4P}(I) + w(\overline{R}_{T^*})$; hence, we deduce $apx_{MAXP_4P}(I) \ge \frac{3}{4}(opt_{MAXP_4P}(I) + w(\overline{R}_{T^*}))$. \Box

3.3. General P₄P within the differential framework

When dealing with the differential ratio, MINP₄P, MINMETRICP₄P, and MAXP₄P are equivalent to approximate, since P_kP problems belong to the class *FGNPO*, [14]. Note that such an equivalence is more generally true for any couple of problems that only differ by an affine transformation of their objective function.

Theorem 6. The solution T' provided by the algorithm achieves a 1/2-differential approximation for P₄P and this ratio is tight.

Proof. We consider the maximization version. First, observe that \overline{R}_{T^*} is an *n*-cardinality matching. Let *M* be any perfect matching of *I* such that $M \cup \overline{R}_{T^*}$ forms a *P*₄-partition, we have:

$$w(M) + w(R_{T^*}) \ge \operatorname{wor}_{MAXP4P}(I) \tag{9}$$

Adding inequalities (8) and (9), and since $w(M_{T'}) \ge w(M)$, we conclude that:

$$2apx_{MAXP_4P}(I) = 2(w(M_{T'}) + w(R_{T'})) \ge wor_{MAXP_4P}(I) + opt_{MAXP_4P}(I)$$
$$\Rightarrow \frac{apx_{MAXP_4P}(I) - wor_{MAXP_4P}(I)}{opt_{MAXP_4P}(I) - wor_{MAXP_4P}(I)} \ge 1/2$$

In order to establish the tightness of this ratio, we refer to Property 1. \Box

3.4. Bi-valued metric P₄P with weights 1 & 2 within the standard framework

As it has been recently done for MINTSP in [5,6] and because such an analysis enables a keener comprehension of a given algorithm, we now focus on instances where any edge weight is either 1 or 2. Note that, since the P₄-partition problem is **NP**-complete, the problems $MAXP_4P_{1,2}$ and $MINP_4P_{1,2}$ still are **NP**-hard.

Let us first introduce some more notation. For a given instance $I = (K_{4n}, w)$ of $P_4P_{1,2}$ with $w(e) \in \{1, 2\}$, we denote by $M_{T',i}$ (resp., $R_{T',i}$) the set of edges from $M_{T'}$ that are of weight *i*. If we aim at maximizing, then *p* (resp., *q*) indicates the cardinality of $M_{T',2}$ (resp., of $R_{T',2}$); otherwise, it indicates the quantity $|M_{T',1}|$ (resp., $|R_{T',1}|$). In any case, *p* and *q* respectively count the number of "optimum weight edges" in the sets $M_{T'}$ and $R_{T'}$. With respect to the optimal solution, we define the sets $M_{T^*,i}$, $R_{T^*,i}$ for i = 1, 2 and the cardinalities p^* , q^* as the same. W.l.o.g., we may assume that the following property always holds for T^* :

Property 7. *For any* 3*-edge path* $P \in T^*$ *,*

 $|P \cap M_{T^*,2}| \ge |P \cap R_{T^*,2}|$ if the goal is to maximize, $|P \cap M_{T^*,1}| \ge |P \cap R_{T^*,1}|$ if the goal is to minimize.

Proof. Assume that the goal is to maximize. If $|P \cap M_{T^*,2}| < |P \cap R_{T^*,2}|$, then T^* would contain a path $P = \{[x, y], [y, z], [z, t]\}$ with w(x, y) = w(z, t) = 1 and w(y, z) = 2; thus, by swapping P for $P' = \{[y, z], [z, t], [t, x]\}$ within T^* , one could generate an alternative optimal solution. \Box

Lemma 8. For any instance $I = (K_{4n}, w)$, if T' is a feasible solution and T^* is an optimal solution, then there exists an edge set A that verifies:

(i) $A \subseteq M_{T^*,2} \cup R_{T^*,2}$ (resp., $A \subseteq M_{T^*,1} \cup R_{T^*,1}$) and $|A| = q^*$ if the goal is to maximize (resp., to minimize); (ii) $G' = (V, M_{T'} \cup A)$ is a simple graph made of pairwise disjoint paths.

Proof. We only prove the maximization case. We now consider G' the multi-graph induced by $M_{T'} \cup R_{T^*,2}$ (the edges from $M_{T'} \cap R_{T^*,2}$ appear twice). This graph consists of elementary cycles and paths: its cycles alternate edges from $M_{T'}$ and $R_{T^*,2}$ (note that the 2-edge cycles correspond to the edges from $R_{T^*,2} \cap M_{T'}$); its paths (that may be of length 1) also alternate edges from $M_{T'}$ and $R_{T^*,2}$, with the particularity that their end edges all belong to $M_{T'}$.

Let Γ be a cycle on G' and e be an edge from $\Gamma \cap R_{T^*,2}$. If $P_{T^*}(e) = \{x, y, z, t\}$ denotes the path from the optimal solution that contains e, then e = [y, z]. The initial vertex x of the path $P_{T^*}(e)$ necessarily is the endpoint of some path from G': otherwise, the edge [x, y] from $P_{T^*}(e) \cap M_{T^*}$ would be incident to 2 distinct edges from R_{T^*} , which would contradict the fact that T^* is a P_4 partition. The same obviously holds for t. W.l.o.g., we may assume from Property 7 that $[x, y] \in M_{T^*,2}$. In light of these remarks and in order to build an edge set A that fulfills the requirements (i) and (ii), we proceed as follows:

1 Set $A = R_{T^*,2}$; Set $G' = (V, A \cup M_{T'})$ (consider the multi-graph); 2 While there exists a cycle Γ in G', do: 2.1 pick e from $\Gamma \cap R_{T^*,2}$; pick f from $P_{T^*}(e) \cap M_{T^*,2}$; $A \leftarrow A \setminus \{e\} \cup \{f\}$; 2.2 $G' \leftarrow (V, A \cup M_{T'})$; 3 output A;

By construction, the set A output by the algorithm is of cardinality q^* and contains exclusively edges of weight 2. Furthermore, by the stopping criterion of the step 2, and because each iteration of this step merges a cycle and a path into a path, $G' = (V, A \cup M_T)$ is a simple graph of whose connected components are elementary paths (an illustration of this step is provided by Fig. 5). Finally, the existence of edge f at step 2.1 directly comes from the above discussion. \Box

Theorem 9. The solution T' provided by the algorithm achieves a 9/10-standard approximation for MAXP₄P_{1,2} and a 7/6-standard approximation for MINP₄P_{1,2}. These ratios are tight.

Proof. Let consider *A* the edge subset of the optimal solution that may be deduced from the application of Lemma 8 to the approximate solution. We arbitrarily complete *A* by means of an edge set *B* in such a way that $A \cup B \cup M_{T'}$ constitutes a perfect 2-matching. As we did while proving Theorem 4, we split the edge set $A \cup B$ into two sets A_1 and

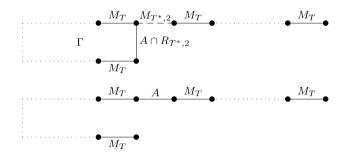


Fig. 5. The construction of set A.

 A_2 in order to obtain two P_4 -partitions $M_{T'} \cup A_1$ and $M_{T'} \cup A_2$ of $V(K_{4n})$. As both A_1 and A_2 complete $M_{T'}$ into a P_4 -partition and because $R_{T'}$ is optimal, we deduce that A_i does not contain more "good weight edges" than $R_{T'}$, that is: $q \ge |\{e \in A_i: w(e) = 2\}|$ if the goal is to maximize, $q \ge |\{e \in A_i: w(e) = 1\}|$ otherwise. Since $A \subseteq A_1 \cup A_2$ and $|A| = q^*$, we immediately deduce:

$$q \geqslant q^*/2 \tag{10}$$

On the other hand, by the optimality of $M_{T'}$:

$$p \ge \max\{p^*, q^*\} \tag{11}$$

Moreover, the quantities p^* and q^* structurally verify:

$$n \ge \max\{p^*/2, q^*\} \tag{12}$$

Finally, we can express the value of any solution *T* as:

$$w(T) = \begin{cases} 3n + (p+q) & \text{when maximizing} \\ 6n - (p+q) & \text{when minimizing} \end{cases}$$
(13)

The claimed results can now be obtained from (10), (11), (12) and (13):

$$10apx_{MAXP_4P_{1,2}}(I) = 10(3n + p + q)$$

= 9(3n) + 3n + 9p + p + 10q
 \ge 9(3n) + 3q* + 9p* + q* + 5q*
= 9(3n + p* + q*) = 9opt_{MAXP_4P_{1,2}}(I)

$$\begin{aligned} 6apx_{MINP_4P_{1,2}}(I) &= 6(6n - p - q) \\ &= 6(6n) - 6p - 6q \\ &\leq 6(6n) - 6p^* - 3q^* + (2n - p^*) + (4n - 4q^*) \\ &\leq 7(6n - p^* - q^*) = 7opt_{MINP_4P_{1,2}}(I) \end{aligned}$$

The tightness for MAXP₄P_{1,2} is established in the instance $I = (K_8, w)$ depicted in Fig. 6, where the edges of weight 2 are drawn in continuous line, and the edges of weight 1 on T^* and T' are drawn in dotted line (other edges are not drawn). One can easily see $opt_{MAXP_4P_{1,2}}(I) = 10$ and $apx_{MAXP_4P_{1,2}}(I) = 9$. Concerning the minimization case, the ratio is tight on the instance $J = (K_8, w)$ that verifies: $opt(J) = w(T^*) = 6$ and apx(J) = w(T') = 7. $J = (K_8, w)$ is depicted in Fig. 7 (the 1-weight edges are drawn in continuous line and the 2-weight edges on T^* and T' are drawn in dotted line). \Box

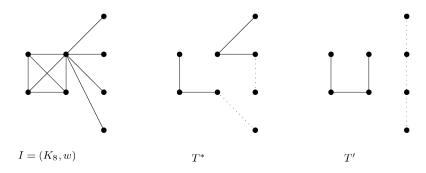


Fig. 6. Instance $I = (K_8, w)$ that establishes the tightness for MAXP₄P_{1,2}.

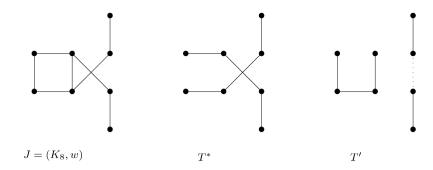


Fig. 7. Instance $I = (K_8, w)$ that establishes the tightness for MINP₄P_{1,2}.

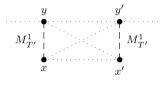


Fig. 8. 1-weight edges on $V(M_{T'}^1)$.

3.5. Bi-valued metric P₄P with weights a and b within the differential framework

As we have already mentioned, the differential measure is invariant under affine transformation; now, any instance from MAXP₄P_{*a,b*} or from MINP₄P_{*a,b*} can be mapped into an instance of MAXP₄P_{1,2} by the way of such a transformation. Thus, proving MAXP₄P_{1,2} is ε -differential approximable actually establishes that MINP₄P_{*a,b*} and MAXP₄P_{*a,b*} are ε -differential approximable for any couple of real values *a* < *b*. We demonstrate here that Hassin and Rubinstein algorithm achieves a 2/3-differential approximation for P₄P_{1,2} and hence, for P₄P_{*a,b*}, for any couple of reals *a* < *b*.

Let $I = (K_{4n}, w)$ be an instance of MAXP₄P_{1,2}. We use the notation introduced while proving Theorem 9, namely: $p = |M_{T',2}|, p^* = |M_{T^*,2}|, q = |R_{T',2}|$ and $q^* = |R_{T^*,2}|$. Furthermore, for $i = 1, 2, \mathcal{P}_{T'}^i$ will refer to the set of paths from T' whose central edge is of weight *i*. Note that the paths from $\mathcal{P}_{T'}^1$ may be of total weight 3, 4 or 5, whereas the paths from $\mathcal{P}_{T'}^2$ may be of total weight 5 or 6 (at least one extremal edge must be of weight 2, or $M_{T'}$ is not an optimum). We will denote by $\mathcal{P}_{T',5}^2$ and $\mathcal{P}_{T',6}^2$ the paths from $\mathcal{P}_{T'}^2$ that are of total weight 5 and 6, respectively. Finally, for $i = 1, 2, M_{T'}^i$ will refer to the set of edges $e \in M_{T'}$ such that $P_{T'}(e) \in \mathcal{P}_{T'}^i$ (that is, *e* is an element of a path from T' whose central edge has weight *i*). By (10) and (11):

$$\inf_{MaxP_4P_{1,2}}(I) \leq \min\{3n+p+2q, 3n+2p\}$$
(14)

To obtain a differential approximation, one also has to produce an efficient bound for $\operatorname{wor}_{\operatorname{MaxP4P}_{1,2}}(I)$. To do so, we exploit the optimality of $M_{T'}$ and $R_{T'}$ in order to exhibit some edges of weight 1 that will enable us to approximate the worst solution. We first consider the vertices from $V(\mathcal{P}_{T'}^1)$: they are "*easy*" to cover by means of 3-edge paths of total weight 3, since we may immediately deduce from the optimality of $R_{T'}$ the following property (an illustration is provided by Fig. 8, where dotted lines indicate edges of weight 1 and dashed lines indicate unspecified weight edges):

Property 10. $[x, y] \neq [x', y'] \in M_{T'}^1 \implies \forall (u, v) \in \{x, y\} \times \{x', y'\}, w(u, v) = 1.$

We now consider the vertices from $V(\mathcal{P}_{T',5}^2)$. Let $P_{T'} = \{x, y, z, t\}$ with $[x, y] \in M_{T',2}$ be a path from $\mathcal{P}_{T',5}^2$, we deduce from the optimality of $M_{T'}$ that w(t, x) = 1; hence, the 3-edge path $P'_{T'} = \{y, z, t, x\}$ covers the vertices $\{x, y, z, t\}$ with a total weight 4. Let us assume that $\mathcal{P}_{T',6}^2 = \emptyset$, then we are able to build a P₄ partition of $V(K_{4n})$ using $3n - |\mathcal{P}_{T',5}^2|$ edges of weight 1 and $|\mathcal{P}_{T',5}^2|$ edges of weight 2 (one edge of weight 2 is used for each path from $\mathcal{P}_{T',5}^2$). Hence, a worst solution costs at most 3n + q, while the approximate solution is of total weight 3n + p + q. Thus, using relation (14), we would be able to conclude that T' is a (2/3)-approximation. Of course, there is no reason for $\mathcal{P}_{T',6}^2 = \emptyset$; nevertheless, this discussion has brought to the fore the following fact: the difficult point of the proof

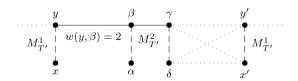


Fig. 9. 1-weight edges that may be deduced from the optimality of $R_{T'}$.

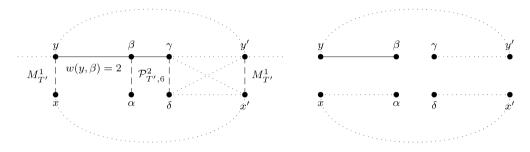


Fig. 10. A P₄ partition of $(P_{T'}, e_1, e_2) \in \mathcal{P}^2_{T'6} \times (M^1_{T'})^2$ of total weight at most 7.

lies in the partitioning of $V(\mathcal{P}^2_{T',6})$ into "*light*" 3-edge paths. In order to deal with these vertices, we first state two more properties that are immediate from the optimality of $M_{T'}$ and $R_{T'}$, respectively.

Property 11. $[x, y] \in M_{T',1}$ and $[x', y'] \in M_{T',2} \Rightarrow \min\{w(x, x'), w(y, y')\} = \min\{w(x, y'), w(y, x')\} = 1.$

Property 12. If $[x, y] \neq [x', y'] \in M_{T'}^1$ and $P_{T'} = \{\alpha, \beta, \gamma, \delta\} \in \mathcal{P}_{T'}^2$, then $\max\{w(u, v) | (u, v) \in \{\alpha, \beta\} \times \{x, y\}\} = 2 \Rightarrow \max\{w(u, v) | (u, v) \in \{\gamma, \delta\} \times \{x', y'\}\} = 1.$

From Properties 11 and 12 (see Fig. 9 for an illustration of this latter, where continuous and dotted lines respectively indicate 2- and 1-weight edges, whereas dashed lines indicate unspecified weight edges), we now are able to propose a "*light*" P₄ partition of $\mathcal{P}^2_{T'6}$. This partition is formalized in the following Property and illustrated in Fig. 10.

Property 13. Given a path $P_{T'} \in \mathcal{P}^2_{T',6}$ and two edges $[x, y] \neq [x', y'] \in M^1_{T'}$, then there exists a P₄ partition $\mathcal{P} = \{P_1, P_2\}$ of $(V(P_{T'}) \cup \{x, y, x', y'\})$ that is of total weight at most 8. Furthermore, if [x, y] and [x', y'] both belong to $M_{T',1}$, then we can decrease this weight down to (at most) 7.

Proof. Consider $P_{T'} = \{\alpha, \beta, \gamma, \delta\} \in \mathcal{P}^2_{T',6}$ and $[x, y] \neq [x', y'] \in M^1_{T'}$. We set $P_1 = \{\alpha, x, x', \delta\}$ and $P_2 = \{\beta, y, y', \gamma\}$. We know from Property 10 that w(x, x') = w(y, y') = 1. Thus, if every edge from $\{\alpha, \beta, \gamma, \delta\} \times \{x, x', y, y'\}$ is of weight 1, then $P_1 \cup P_2$ has a total weight 6. Conversely, if there exists a 2-weight edge that links a vertex from $\{\alpha, \beta, \gamma, \delta\}$ to a vertex from $\{x, x', y, y'\}$, we may assume that $[\beta, y]$ is such an edge; we then deduce from Property 12 that $w(\delta, x') = w(\gamma, y') = 1$ and hence, that $P_1 \cup P_2$ is of total weight at most 8. Finally, if w(x, y) = 1, then $w(\alpha, x) = 1$ from Property 11 and thus, $w(P_1) + w(P_2) = 7$. \Box

We now are able to compute an approximate worst solution that provides an efficient upper bound for $wor_{MAXP_4P_{1,2}}(I)$.

Lemma 14. Let $I = (K_{4n}, w)$ be an instance of MINP₄P_{1,2} and let T' be the solution provided by Hassin and Rubinstein algorithm on I. One can compute on I a solution T_* that verifies:

$$p_* + q_* \leq q + \left(|\mathcal{P}^2_{T',6}| - \lfloor p_1^1/2 \rfloor\right)^+ + \left(|\mathcal{P}^2_{T',6}| - n + q\right)^+$$

where p_* and q_* are defined as $p_* = |M_{T_*,2}|$ and $q_* = |R_{T_*,2}|$ (and expression X^+ is equivalent to max $\{X, 0\}$).

Proof. The proof is algorithmic. Note that, even though this has no impact on the rightness of the proof, the computation of T_* has a polynomial runtime. This means that the good properties of the approximate solution T' enable to really exhibit an approximate worst solution (and not only to provide an evaluation of such a solution, as it is often the case while stating differential approximation results).

0 Set T = T', $T_* = \emptyset$; 1 While $\exists \{P, e_1, e_2\} \subseteq T$ s.t. $(P, e_1, e_2) \in \mathcal{P}^2_{T', 6} \times M^1_{T', 1} \times M^1_{T', 1}$ 1.1 compute $\mathcal{P} = \{P_1, P_2\}$ on $V(P) \cup V(e_1) \cup V(e_2)$ with $w(\mathcal{P}) \leq 7$; 1.2 $T \leftarrow T \setminus \{P, e_1, e_2\}, T_* \leftarrow T_* \cup \{P_1, P_2\};$ 2 While $\exists \{P, e_1, e_2\} \subseteq T$ s.t. $(P, e_1, e_2) \in \mathcal{P}^2_{T', 6} \times M^1_{T'} \times M^1_{T'}$ 2.1 compute $\mathcal{P} = \{P_1, P_2\}$ on $V(P) \cup V(e_1) \cup V(e_2)$ with $w(\mathcal{P}) \leq 8$; 2.2 $T \leftarrow T \setminus \{P, e_1, e_2\}, T_* \leftarrow T_* \cup \{P_1, P_2\};$ 3 While $\exists P \subseteq T$ s.t. $P \in \mathcal{P}^2_{T',6}$ 3.1 $T \leftarrow T \setminus P$, $T_* \leftarrow T_* \cup \{P\}$; 4 While $\exists P \subseteq T$ s.t. $P \in \mathcal{P}^2_{T', 5}$ 4.1 compute $\mathcal{P} = \{P_1\}$ on V(P) with $w(\mathcal{P}) \leq 4$; 4.2 $T \leftarrow T \setminus P$, $T_* \leftarrow T_* \cup \{P_1\}$; 5 While $\exists \{e_1, e_2\} \subseteq T$ s.t. $(e_1, e_2) \in M_{T'}^1 \times M_{T'}^1$ 5.1 compute $\mathcal{P} = \{P_1\}$ on $V(e_1) \cup V(e_2)$ with $w(\mathcal{P}) = 3$; 5.2 $T \leftarrow T \setminus e_1, e_2, T_* \leftarrow T_* \cup \{P_1\};$ 6 Output T_* ;

In order to estimate the value of the approximate worst solution T_* , one has to count the number $p_* + q_*$ of 2-weight edges it contains. Let p_i^1 refer to $|M_{T'}^1 \cap M_{T',i}|$ for i = 1, 2 (the cardinality p_1^1 enables the expression of the number of iterations during step 1). Steps 1, 2 and 3 respectively put into T_* at most one, two and three 2-weight edges per iteration. Any path from $\mathcal{P}_{T',6}^2$ is treated by one of the three steps 1 to 3. If $2|\mathcal{P}_{T',6}^2| \ge p_1^1$, only $|\mathcal{P}_{T',6}^2| - \lfloor p_1^1/2 \rfloor$ paths from $\mathcal{P}_{T',6}^2$ are treated by one of the steps 2 and 3. Finally, if $|\mathcal{P}_{T',6}^2| \ge |\mathcal{P}_{T'}^1|$, only $|\mathcal{P}_{T',6}^2| - |\mathcal{P}_{T'}^1|$ paths from $\mathcal{P}_{T',6}^2$ are treated by one of the steps 4 puts at most $|\mathcal{P}_{T',5}^2| 2$ -weight edges into T_* (at most one per iteration), while steps 0 and 5 do not incorporate any 2-weight edges within T_* . Thus, considering $q = |\mathcal{P}_{T',5}^2| + |\mathcal{P}_{T',6}^2|$ and $|\mathcal{P}_{T'}^1| = n - q$, we obtain the announced result. \Box

Let us introduce some more notation. Analogous to $\mathcal{P}_{T'}^2 = \mathcal{P}_{T',5}^2 \cup \mathcal{P}_{T',6}^2$, we define a partition of $\mathcal{P}_{T'}^1$ into three subsets $\mathcal{P}_{T',3}^1$, $\mathcal{P}_{T',4}^1$ and $\mathcal{P}_{T',5}^1$ according to the path total weight. Note that, since the subsets $\mathcal{P}_{T',j}^1$ define a partition of T', we have

$$n = |\mathcal{P}_{T',3}^1| + |\mathcal{P}_{T',4}^1| + |\mathcal{P}_{T',5}^1| + |\mathcal{P}_{T',5}^2| + |\mathcal{P}_{T',6}^2|$$

(see Fig. 11 for an illustration of this partition; the edges of weight 2 are drawn in continuous lines whereas the edges of weight 1 are drawn in dotted lines).

The following lemma states three relations between, on the one hand, the quantities that participate to the value of the approximate solution and, on the other hand, the parts of the value of a worst solution and of the optimal one.

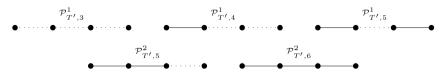


Fig. 11. A partition of T'.

Lemma 15.

$$p \ge q^* + \left(|\mathcal{P}_{T',6}^2| - \lfloor p_1^1/2 \rfloor\right)^+ \tag{15}$$

$$2q \ge q^* + \left(|\mathcal{P}_{T',6}^2| + q - n\right)^+ \tag{16}$$

$$q \ge p_* + q_* - \left(|\mathcal{P}_{T',6}^2| - \lfloor p_1^1/2 \rfloor\right)^+ - \left(|\mathcal{P}_{T',6}^2| + q - n\right)^+ \tag{17}$$

Proof.

- For (15): Obvious if $|\mathcal{P}_{T',6}^2| \leq \lfloor p_1^1/2 \rfloor$, since $p \geq q^*$ (from inequality (11)). Otherwise, one can write p as the sum $p = n + |\mathcal{P}_{T',6}^2| + |\mathcal{P}_{T',5}^1| - |\mathcal{P}_{T',3}^1|$. Now, $|\mathcal{P}_{T',5}^1| - |\mathcal{P}_{T',3}^1|$ is precisely the half of the difference between the number of 2-weight and of 1-weight edges in $M_{T'}^1$: since $p_2^1 = |\mathcal{P}_{T',4}^1| + 2|\mathcal{P}_{T',5}^1|$ and $p_1^1 = |\mathcal{P}_{T',4}^1| + 2|\mathcal{P}_{T',3}^1|$, then $p_2^1 - p_1^1 = 2(|\mathcal{P}_{T',5}^1| - |\mathcal{P}_{T',3}^1|)$. From this latter equality, we deduce that p_1^1 and p_2^1 have the same parity; hence, we have $(1/2)(p_2^1 - p_1^1) = \lfloor p_2^1/2 \rfloor - \lfloor p_1^1/2 \rfloor$ and thus, $p = n + |\mathcal{P}_{T',6}^2| + \lfloor p_2^1/2 \rfloor - \lfloor p_1^1/2 \rfloor$. Just observe that *n* and q^* verify $n \ge q^*$ in order to conclude.
- For (16): Obvious if $|\mathcal{P}_{T',6}^2| \leq n-q$, since $2q \geq q^*$ (from inequality (10)). Otherwise, consider that q, n and $|\mathcal{P}^2_{T',6}|$ verify: $q \ge |\mathcal{P}^2_{T',6}|$ and $n \ge q^*$. • For (17): Immediate from Lemma 15.

Theorem 16. The solution T' provided by the algorithm achieves a 2/3-differential approximation for $P_4P_{a,b}$ and this ratio is tight.

Proof. By summing inequalities (15)–(17), together with $2p \ge 2p^*$, we obtain the expected result:

$$3apx_{MaxP_4P}(I) = 3(3n + p + q)$$

$$\geq 2(3n + p^* + q^*) + (3n + p_* + q_*)$$

$$= 2opt_{MaxP_4P_{1,2}}(I) + wor_{MaxP_4P_{1,2}}(I)$$

The tightness is provided by the instance $I = (K_8, w)$ that is shown on Fig. 6; since this instance contains some vertex v such that any edge from v is of weight 2, the result follows. \Box

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