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# Maximal Subgroups of the Harada–Norton Group

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#### 1. INTRODUCTION

In this paper we find the maximal subgroups of the simple group HN (also known as F and  $F_{5+}$ ) of order 273, 030, 912, 000, 000 =  $2^{14} \cdot 3^6 \cdot 5^6 \cdot 7 \cdot 11 \cdot 19$ , and of its automorphism group HN:2. Much of this work was originally done by the first author several years ago (only partly recorded in [3]), but the methods of the second author have led to several simplifications.

THEOREM 1. HN has 14 conjugacy classes of maximal subgroups:

**THEOREM 2.** HN:2 has 13 conjugacy classes of maximal subgroups:

HN	<i>S</i> <sub>12</sub>	4 · <i>HS</i> :2
<i>U</i> <sub>3</sub> (8):6	$2^{1+8}_{+} \cdot (A_5 \times A_5).2^2$	$5:4 \times U_3(5):2$
$5^{1+4}_{+}.4 \cdot 2^{4}.5.4$	$2^6 \cdot U_4(2):2$	$(S_6 \times S_6) \cdot 2^2$
$2^{3} \cdot 2^{2} \cdot 2^{6} \cdot (S_{3} \times L_{3}(2))$	$5^2.5^{1+2}_+.4S_5$	$3^4: 2(S_4 \times S_4).2$
$3^{1+4}_{+}:4S_{5}$		

*Note.* Our notation for groups, conjugacy classes, characters and so on follows the ATLAS [1].

The arguments we use refer explicitly to subgroups of HN, but apply equally to HN:2, showing that its maximal subgroups (except HN itself) contain those of HN to index 2.

#### 2. Preliminaries

# 2.1. The Graph

The original construction of the group HN is described in [3], using the work of Harada [2] in characterizing the group, and the computational work of Smith [5]. Many properties of the group HN are given in [2] and [3], though the notation there may differ from that used here, which follows [1]. We sometimes quote such properties without explicit reference.

There is a graph of valence 462 on 1 140 000 nodes on which HN acts. The nodes correspond to the subgroups  $A_{12}$ , and are joined if and only if the corresponding  $A_{12}$ 's intersect in  $A_6 \times A_6$ . The suborbit lengths are 1, 462, 2520, 2520, 10 395, 16 632, 30 800, 69 300, 166 320, 166 320, 311 850, and 362 880. The corresponding 2-node stabilizers are  $A_{12}$ ,  $\frac{1}{2}(S_6 wr2)$ ,  $M_{12}$ ,  $M_{12}$ ,  $2^5S_6$ ,  $\frac{1}{2}(PGL_2(5)wr2)$ ,  $\frac{1}{2}(S_3wrA_4)$ ,  $\frac{1}{3}(A_4wrS_3)$ ,  $2 \times S_6$ ,  $2 \times S_6$ ,  $2^5S_4$ , and  $L_2(11)$ . In HN:2 suborbits of equal length are fused.

We specify the elements of a given  $A_{12}$  in terms of their action on the 12 letters a, b, c, d, e, f, g, h, i, j, k, l.

## 2.2. How to Find Maximal Subgroups

If *M* is a maximal subgroup of a simple group *G*, and *K* is a minimal normal subgroup of *M*, then *K* is a characteristically simple group (i.e., a direct product of isomorphic simple groups) and  $M = N_G(K)$ . The local case (when *K* is Abelian) is considered in Section 3, and the nonlocal case (when *K* is non-Abelian) is treated in Sections 4 and 5.

Now for any triple (X, Y, Z) of conjugacy classes of G, we write  $\xi(X, Y, Z)$  for the expression

$$\frac{|G|}{|C(x)||C(y)||C(z)|}\sum \frac{\chi(x).\chi(y).\chi(z)}{\chi(1)},$$

where  $x \in X$ ,  $y \in Y$ ,  $z \in Z$ , and the sum is taken over all irreducible characters  $\chi$  of G. This is called the (symmetrized) structure constant, and it is a standard result that

$$\xi(X, Y, Z) = \sum \frac{1}{|C(x, y, z)|},$$

where the sum is taken over all conjugacy classes of ordered triples (x, y, z) with  $x \in X$ ,  $y \in Y$ ,  $z \in Z$ , and xyz = 1. Clearly if  $\xi(X, Y, Z) < 1$  and H can be generated by elements  $x \in X$ ,  $y \in Y$ ,  $z \in Z$  with xyz = 1, then H must have nontrivial centralizer. The following obvious lemma will then deal with most cases:

LEMMA. If H < G and  $C_G(H)$  contains a nontrivial elementary Abelian

characteristic subgroup K (in particular, if  $C_G(H)$  is a nontrivial soluble group) then  $N_G(H)$  is contained in the local subgroup  $N_G(K)$ .

Some minor alterations are required when G is not simple but G' is. The reader is referred to [6] for a detailed discussion of this case.

# 3. LOCAL SUBGROUPS

### 3.1. The 2-Local Subgroups

There are just two classes of elements of order 2 in HN, with normalizers  $N(2A) \cong 2 \cdot HS$ :2 and  $N(2B) \cong 2_{+}^{1+8} \cdot (A_5 \times A_5)$ :2. The fusion map from these groups to HN can be seen from [2]. They both have subgroups of index 2, and in each case any four-group containing the centre lies in this subgroup if and only if it contains an even number of 2A-elements. It follows from this that any elementary Abelian 2-group T in HN supports a bilinear form, with values in  $F_2$ , whose value on a pair of elements of T is 1 just when they generate a four-group with an odd number of 2A-elements. This is associated with a quadratic form whose values are 1 on 2A-elements and 0 on 1A- and 2B-elements. Using this we can define a subgroup T' of T to consist of all elements of T on which the bilinear form is trivial. Then clearly N(T) is contained in N(T'), so that it is sufficient to consider the cases where T is one of the following:

(a) a 2A-pure group, which must necessarily have rank at most 2.

(b) a group on which the bilinear form is nondegenerate. This necessarily has even rank, which must be at least 4 as otherwise the situation reduces to case (a).

(c) a 2*B*-pure group.

We deal with these three cases in turn. There are just two classes of 2Apure subgroups, with normalizers  $N(2A) \cong 2 \cdot HS$ :2 and  $N(2A^2) \cong (A_4 \times A_8):2 < A_{12}$ . If T is of type (b), it contains a 2A-pure four-group, and hence lies in the  $A_{12}$  containing the normalizer of this four-group. Note that involutions in  $A_{12}$  are of class 2B just when their cycle-shape is 2<sup>4</sup>. In terms of our notation for  $A_{12}$  our four-group will have shape  $\langle (ab)(cd), (ac)(bd) \rangle$ , and T will have one of the following forms:

(1)  $\langle (ab)(cd), (ac)(bd), (ef)(gh), (eg)(fh), (ij)(kl), (ik)(jl) \rangle$ .

In this case  $N(T) \cong 2^6 \cdot U_4(2)$ , because it must act transitively on 2*A*-pure four-groups. Here the nonsplitness follows from Section 4, where we show that  $U_4(2)$  is not contained in HN.

- (2)  $\langle (ab)(cd), (ac)(bd), (ef)(gh), (eg)(fh) \rangle$ .
- (3)  $\langle (ab)(cd), (ac)(bd), (ef)(gh), (eg)(fh)(ij)(kl) \rangle$ .

In these two cases  $O_2(C(T))$  will be the above 2<sup>6</sup>-group, so that N(T) will lie in the normalizer thereof.

To deal with the case of 2*B*-pure groups, we need to know more about the structure of C(2B). We take a 4-dimensional vector space over  $F_4 = \{0, 1, \omega, \bar{\omega}\}$ , supporting a quadratic form of maximal Witt index. This form is fixed by a group  $O_4^+(4) \cong A_5 \times A_5$  acting on the space. We take the split extension of translation group  $2^8$  by this group, and further adjoin the field automorphism. Then C(2B) is a double cover of this group in which translation by a nonzero vector lifts to an element of class 2*B*, 2*A*, 4*A* or 4*A* according as its norm is 0, 1,  $\omega$ , or  $\bar{\omega}$ , and in which diagonal involutions of the  $A_5 \times A_5$  lift to involutions (of class 2*B*), but nondiagonal involutions lift to elements of order 4.

We may then check, using the structure constant  $\xi(2B, 2B, 2B)$ , that there are just two classes of 2*B*-pure four-group in HN. The first type is generated by the centre and any other 2*B*-element of the extraspecial group  $2^{1+8}$  in C(2B), while the second type is generated by the centre and a diagonal involution of  $A_5 \times A_5$ . Two noncentral commuting 2*B*-elements in  $2^{1+8}$  generate a four-group of the first type if and only if they correspond to a 1-space over  $F_4$ .

Consideration of centralizer orders shows that given a four-group of the second type, there is a unique  $2^{1+8}$  containing it, in which the generators correspond to vectors generating an isotropic 2-space. Any element extending this four-group to a larger 2*B*-pure group must also lie in this extraspecial group, as neither diagonal involutions of  $A_5 \times A_5$  nor field automorphisms can fix a pair of vectors generating an isotropic 2-space. So any 2*B*-pure group *T* extending our four-group lies in a unique  $2^{1+8}$ , and hence N(T) lies in  $C(2B) \cong 2^{1+8} \cdot (A_5 \times A_5)$ :2.

It therefore only remains to consider 2*B*-pure groups in which every four-group is of the first type. The first two generators of any such group we may take as the centre of a C(2B) and the translation by a given isotropic vector. As pairs of vectors generating an isotropic 2-space correspond to four-groups of the second type, we may only extend this by the translations by other vectors in the same 1-space. The resulting group is in fact exemplified in  $A_{12}$  by the 2<sup>3</sup>-group fixing four letters and acting regularly on the other eight. The normalizer of this group is  $N(2B^3) \cong$  $2^3.2^2.2^6.(3 \times L_3(2))$ . Furthermore, as a four-group of the first type extends uniquely to such an 2<sup>3</sup>-group, its normalizer is contained in the above group.

# 3.2. The 3-Local Subgroups

There are just two classes of elements of order 3 in HN, with normalizers  $N(3A) \cong (3 \times A_9)$ :2, contained in  $A_{12}$ , and  $N(3B) \cong 3^{1+4}_+: 4 \cdot A_5$ . Since the latter group contains a Sylow 3-subgroup, it contains a conjugate of every

elementary Abelian 3-group. First, we consider the elementary Abelian subgroups of  $3^{1+4}_+$ . These, whether or not they contain the centre, correspond to the isotropic 1- and 2-dimensional subspaces ("points" and "lines," respectively) of a 4-dimensional symplectic space over  $\mathbf{F}_3$  (the symplectic form being given by the commutator map on  $3^{1+4}_+$ ). These fall into the following orbits under the action of  $4A_5$ :

Ро	oints		Liı	nes
Туре	Number	Incidence	Number	Туре
		2	10	$3A_2B_2$
3A	20	3 3	20	$3A_{3}B_{1}$
3 <i>B</i>	20	$\frac{1}{2}$		51
		4	10	3 <i>B</i> <sub>4</sub>

In the above table, the "type" refers to the class distribution of the corresponding elementary Abelian subgroup of  $3^{1+4}_{+}$  not containing the centre. In the table below, we give the normalizers of these groups in the left-hand column, and the normalizers of the groups obtained by adjoining the centre, in the right-hand column. An explanation follows the table.

Туре	Normalizer	Туре	Normalizer
3 <i>A</i>	$(3 \times A_9):2 < A_{12}$	$3A_3B_1$	< N(3B)
3 <i>B</i>	$3^{1+4}_{\pm}:4A_5$	3 <i>B</i> 4	$3^2 \cdot (3 \times 3^2) \cdot 2S_4 < N(3^4)$ (c)
$3A_2B_2$	$< N(3^4)$ (c)	$3B_1A_6B_6$	$< N(3B)$ and $N(3^4)$ (a), (c)
$3A_3B_1$	< N(3B)	$3B_4A_9$	$< N(3^4)$ (c)
3 <i>B</i>	< N(3B) (b)	$3B_1B_{12}$	$3^3 \cdot 3^2 \cdot 2S_4 < N(3B)$ (a)

Notes. (a) Since 7 does not divide the order of  $GL_3(3)$ , and 13 does not divide the order of HN, it follows that the orbits on 3*B*-elements in the groups of type  $(3B_1A_6B_6)$  and  $(3B_1B_{12})$  cannot fuse, and thus their normalizers are contained in N(3B).

(b) See below.

(c) The  $3^3$ -group of type  $(3B_1A_6B_6)$  has centralizer of order  $3^4$ , which can be seen from the structure of C(3A) to be elementary Abelian of type  $(3A_{24}B_{16})$ . Since this is the only conjugacy class of elementary Abelian

 $3^4$  (see below), it follows that its normalizer is transitive on the 3A-elements it contains, and therefore has order  $2^7 \cdot 3^6$ . In fact the normalizer has the shape  $3^4:2 \cdot (A_4 \times A_4).4 \cong 3^4:GO_4(3) \cdot 2$ , and there is a quadratic form on the  $3^4$ -group which is preserved up to sign. Under this quadratic form the 3A-elements are nonisotropic, and the 3B-elements are isotropic. We can now see the normalizers of some of the above elementary Abelian 3-groups inside this group. Indeed, if X is a 3B-pure subgroup of this  $3^4$ -group, then it has order at most 9, and we have just one new case,  $N(3B^2) \cong 3^2 \cdot (3 \times 3^2) \cdot 2S_4 < N(3^4)$ . Otherwise, X contains 3A-elements, and we may assume that either X is 3A-pure, or it is generated by 3B-elements. In either case the restriction q to X of the quadratic form on  $3^4$  is non-singular, since the radical is equal to the intersection of all the maximal 3B-pure subgroups. We may also assume that X has order at least 9, so the following cases arise:

Dim(X)	Type(X)	Sign(q)	N(X)
2	$3A_2B_2$	+	$< N(3^4)$ (see above)
2	$3A_4$	_	$(3^2:4 \times A_6).2.2 < (A_6 \times A_6) \cdot D_8$
3	$3B_4A_3A_6$		$< N(3^4)$ since the centralizer = $3^4$
4	$3A_{24}B_{16}$	+	$3^4:2 \cdot (A_4 \times A_4).4$

We must check that N(X) fixes q up to sign. This is obvious except when X has type  $3A_4$ , in which case each 3A-element x is orthogonal to a unique other 3A-element, which is the one in the  $A_9$  in  $C(x) \cong 3 \times A_9$ .

Now consider the case when our elementary Abelian 3-group is not in a group  $3^{1+4}$ . We must first determine the conjugacy classes of 3-elements in  $3^{1+4}2A_5 \setminus 3^{1+4}$ . Now the centralizer in  $3^{1+4}$  of any such 3-element is contained in a "line" L of type  $(3B_1A_6B_6)$ . Factoring  $S=3^{1+4}:3$  by this we obtain an elementary Abelian  $3^3$ -group  $\overline{S}$  (this is clear by looking in  $N(3^4)$ ). The 9 complements to  $3^{1+4}/3^3 \cong 3^2$  in  $\overline{S}$  fall into orbits of sizes 1, 4, and 4 under the action of  $N(L) \cong 3^{1+4}$ :  $(2 \times 2 \cdot S_3)$ . The orbit of size 1 gives rise to the elementary Abelian 3<sup>4</sup>-group described above. The complements in one of the orbits of size 4 lift only to elements of order 9. Those in the other orbit of size 4 act nontrivially on L, and hence give rise to a single class of self-centralizing elementary Abelian 3<sup>3</sup>-groups, each of which intersects L in a "point" P of type  $(3B_A)$ . Furthermore, since we have already accounted for all the 3A-elements in N(3B), it follows that this 3<sup>3</sup>-group is 3B-pure. But now C(P) has the shape  $3^{2+3} \cong 3^2 \cdot (3 \times 3^2) \cong 3^4 \cdot 3$ , and our  $3^3$ group is obtained from P by adjoining a "diagonal" element of the quotient  $C(P)/P \cong 3 \times 3^2$ . But if we adjoin to this also the left hand factor then we obtain a group  $3^{1+4}$ . Hence our  $3^3$ -group is conjugate to the "line" of type  $(3B_1B_{12})$ . The following statements follow immediately:

(i) there are just two classes of elementary Abelian 3-group of type  $(3B_4)$ 

(ii) they are both conjugate to subgroups of the "line" of type  $(3B_1B_{12})$ 

(iii) one has normalizer  $3^2 \cdot (3 \times 3^2) \cdot 2S_4 < N(3^4)$ , as shown above

(iv) the other has centralizer equal to the "line" of type  $(3B_1B_{12})$ , so has normalizer contained in N(3B). This is case (b) in the above table of subgroups of  $3^{1+4}$ .

3.3. The 5-Local Subgroups

There are 5 classes of 5-elements in HN, with normalizers:

$$N(5A) \cong (D_{10} \times U_3(5)) \cdot 2,$$
  

$$N(5B) \cong 5^{1+4}_+ : 2^{1+4}_- . 5.4,$$
  

$$N(5CD) \cong 5^3 : 4 \cdot A_5 < 5^2 . 5^{1+2}_+ : 4A_5 = N(5B^2),$$
  

$$N(5E) \cong (5 \times 5^{1+2}_+ : 2^2) . 4 < N(5B).$$

Since N(5B) contains a Sylow 5-subgroup of HN, it contains a conjugate of every elementary Abelian 5-group. We consider first the elementary Abelian subgroups of the group  $5^{1+4}_+$ . Just as in the 3-local case, these correspond to isotropic 1- and 2-dimensional subspaces ("points" and "lines," respectively) of a 4-dimensional symplectic space over  $\mathbf{F}_5$ . Calculations in  $S_4(5)$  show that  $2^{1+4}_-$ .5.4 has 8 orbits on non-trivial isotropic subspaces, as follows:

Po	oints		L	ines
Туре	Number	Incidence	Number	Туре
54	20	2 $2$ $4$ $4$	20	$5A_2E_4$
5 <i>E</i>	40	$\begin{array}{c} 2 \\ 2 \\ 2 \\ 4 \end{array}$	80	$5A_1(CD)_4E_1$
5CD	80	$\underbrace{\begin{array}{c}4\\1\\1\end{array}}_{2}$	40	$5B_2(CD)_2E_2$
5 <i>B</i>	16	5 5	16	$5B_1(CD)_5$

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We have already considered the normalizers of groups of order 5. A group of order 25 corresponding to a point has type  $(5B_1?_5)$ , so has normalizer contained in N(5B) unless it has type  $(5B_6)$ . In the latter case, we shall show later that there is a unique class of 5B-pure 5<sup>2</sup>-group with centralizer of order 5<sup>5</sup>, so its normalizer is transitive on the 5B-elements it contains, and is therefore  $5^2 \cdot 5^{1+2} \cdot 4A_5$ . (Note: the middle factor is an extraspecial group  $5^{1+2}_+$  rather than an elementary Abelian group  $5^3$ , since otherwise there would be an elementary Abelian subgroup of order 5<sup>4</sup>.)

Consider next the elementary Abelian groups of order 25 corresponding to lines. In the first three cases, the centralizer contains a unique Sylow 5subgroup, which is just the 5<sup>3</sup>-group obtained by adjoining the centre of  $5_{+}^{1+4}$ , so the normalizer is contained in the normalizer of the appropriate  $5^{3}$ -group. Now these have types  $5B_{1}A_{10}E_{20}$ ,  $5B_{1}A_{5}(CD)_{20}E_{5}$ , and  $5B_{1}B_{10}(CD)_{10}E_{10}$ , respectively, and since 11 does not divide the order of  $GL_{3}(5)$ , it follows that in every case the normalizer is contained in N(5B). In the case of the 16-orbit of lines, the  $5^{2}$ -group has type  $5B_{1}(CD)_{5}$ , so its normalizer is contained in N(5B), while the corresponding  $5^{3}$ -group has type  $5B_{6}(CD)_{25}$ , so its normalizer is contained in  $N(5B^{2}) \cong 5^{2} \cdot 5^{1+2} \cdot 4A_{5}$ .

Finally we must consider the case of an elementary Abelian 5-group X not in  $5^{1+4}_+$ . The existence of self-centralizing elements of order 25 implies that any 5-element in  $5^{1+4}.2^{1+4}.5.4 \setminus 5^{1+4}$  centralizes a subgroup of order at most 25 in  $5^{1+4}$ . This is elementary Abelian, of pure 5B-type, so X is contained in  $C(5B^2) \cong 5^2.5^{1+2}$ . Hence it can be conjugated into  $5^{1+4}$  by a suitable element of  $N(5B^2) \cong 5^2.5^{1+2}.4A_5$ . (Note that we have shown in particular that if there is any  $5B^2$ -group (containing the centre) in  $5^{1+4}.5$ that is not in  $5^{1+4}$ , then it has centralizer of order 5<sup>3</sup>, thus justifying the assertion made above that there is a unique class of  $5B^2$ -group with centralizer of order 5<sup>5</sup>. We proved this before we used the structure of  $N(5B^2)$ , so the argument is not circular!)

# 3.4. Other Local Subgroups

The normalizers of the remaining elements of prime order are:

$$N(7A) \cong (7:3 \times A_5): 2 < A_{12},$$
  

$$N(11A) \cong 2 \times 11: 10 < 2 \cdot HS: 2,$$
  

$$N(19AB) \cong 19: 9 < U_3(8): 3.$$

# 4. CANDIDATES FOR NONLOCAL SUBGROUPS

The following is a complete list of the non-Abelian simple groups whose order divides the order of HN:

$A_5, A_6, A_7, A_8, A_9, A_{10}, A_{11}$	, <i>A</i> <sub>12</sub>
$L_2(7), L_2(8), L_2(11)$	$L_2(19)$
$U_3(5), U_3(8)$	$U_3(3), L_3(4), U_4(2), U_4(3), U_5(2)$
	$S_6(2), O_8^+(2)$
$M_{11}, M_{12}$	$M_{22}, HS, M^{c}L, J_{1}, J_{2}.$

Of these, all the groups in the left-hand column are in the known subgroups  $A_{12}$ ,  $U_3(5)$ , or  $U_3(8)$  (see [3]). The groups in the right-hand column are not in HN: to prove this it suffices to prove it for the cases  $L_2(19)$ ,  $U_3(3)$ ,  $L_3(4)$ ,  $U_4(2)$ , and  $J_1$ .

For  $U_3(3)$ , the 3-central 3-elements must be of class 3*B*, since they have centralizer  $3^{1+2}$ :4. Hence the 12-elements are of class 12*C*, and so the elements of  $U_3(3)$ -class 4*AB* are of HN-class 4*C*. But 4*C*-elements have no square roots, so there is no class restriction to  $U_3(3)$ .

There is no subgroup  $J_1$ , since the latter group contains  $D_{38}$ , whereas HN does not.

Now any  $L_2(19)$  contains 9A-elements, so 3B-elements, so (see Sect. 5.1)  $A_5$ 's of type (2B, 3B, 5E). Hence it can be generated by two such  $A_5$ 's intersecting in  $A_4$ . But an  $A_5$  of type (2B, 3B, 5E) has normalizer  $S_5$ , and can be embedded in  $A_{12}$  with orbits 1 + 5 + 6 (2 classes) or 1 + 1 + 10 on the 12 letters. In each case the full  $S_5$  is in  $A_{12}$ , and it follows that an  $A_5$  of this type fixes just three nodes in the 1 140 000-node graph. Similarly, the  $A_4$  fixes just five nodes, so any  $L_2(19)$  would have to fix a node, i.e. be contained in  $A_{12}$ . This contradiction proves that there is no  $L_2(19)$  in HN. This argument simplifies that used in [3].

Any  $L_3(4)$  contains a group  $2^4: A_5$  in which all the involutions are conjugate, so of class 2B. Such a group must be contained in  $2^{1+8} \cdot (A_5 \times A_5)$ , and the  $2^4$ -group corresponds to a totally isotropic 2-space over  $F_4$ . Now there is a single orbit of  $A_5 \times A_5$  on such spaces, and the stabilizer is  $A_4 \times A_5$ . So on factoring out by the group  $2^{1+8}$ , our group  $2^4A_5$  must map onto one of the factors of  $A_5 \times A_5$ . But the involutions in such an  $A_5$  do not lift to involutions in  $2^{1+8}(A_5 \times A_5)$ , so this is impossible.

Now suppose there is a subgroup  $U_4(2)$ . If all the involutions are of class 2B, then the same argument produces a contradiction. Otherwise, it follows from the 2-local analysis that there is a unique class of  $2^4: A_5$  in which the normal subgroup  $2^4$  contains 2A-elements and the  $A_5$  acts on it as  $O_4^-(2)$ . Furthermore this may be embedded in  $A_{12}$ , fixing two letters and acting imprimitively on the rest. It contains a unique class of  $A_5$ , whose normalizer in  $A_{12}$  is  $2 \times S_5$ . Now  $U_4(2)$  can be constructed by taking a group  $2^4: A_5$  and extending a subgroup  $A_5$  to  $S_5$ . But the normalizer of our  $A_5$  in HN is  $(2^2 \times A_5):2$  (see Sect. 5.1), so it extends to exactly two groups  $S_5$ , both of which may be seen in our  $A_{12}$ . So since  $A_{12}$  does not contain  $U_4(2)$ , there is no group  $U_4(2)$  in HN.

#### 5. THE INDIVIDUAL CASES

### 5.1. $A_5$ and $A_5 \times A_5$

The nonzero (2, 3, 5)-structure constants are

$\xi(2A, 3A, 5A) = 1/2520,$	$\xi(2A, 3A, 5E) = 1/10,$
$\xi(2B, 3A, 5E) = 1/4,$	$\xi(2B, 3B, 5E) = 1.$

Now  $C(5A) \cong 5 \times U_3(5)$  and  $C(3A) \cong 3 \times A_9$ , and the largest intersection of these is  $A_7$ . It follows that there is a unique class of  $A_5$  of type (2A, 3A, 5A), and it has normalizer  $(A_5 \times A_7)$ :2, contained in  $A_{12}$ . Now  $C(5E) \cong 5 \times 5^{1+2}$ :2<sup>2</sup>, so any other  $A_5$  has centralizer a subgroup of  $5^{1+2}$ :2<sup>2</sup>. Also the only 5-elements which centralize an  $A_5$  have class 5A, and normalizer  $(D_{10} \times U_3(5)) \cdot 2$ . This contains two classes of  $A_5$ , one containing 5A-elements, the other with normalizer  $D_{10} \times S_5$ , contained in  $(D_{10} \times U_3(5)) \cdot 2$ . Hence the latter is the unique class of  $A_5$ 's of type (2A, 3A, 5E).

Thus the centralizer of any other  $A_5$  is a subgroup of Syl<sub>2</sub>(C(5E)), which is a four-group of type (2A, 2B, 2B). So there is a unique class of  $A_5$  of type (2B, 3A, 5E), and it has normalizer ( $2^2 \times A_5$ ):2, contained in  $2 \cdot HS$ :2. Finally, an  $A_5$  of type (2B, 3B, 5E) can have centralizer of order at most 2 (type 2B) since 3B-elements do not centralize 2A-elements. Now the only involutions in  $2^{1+8} \cdot (A_5 \times A_5)$ :2 are either in  $2^{1+8}$  or in the outer half, or correspond to diagonal involutions of  $A_5 \times A_5$ . But as the diagonal 3elements therein are of class 3A, there is no  $A_5$  of type (2B, 3B, 5E) in C(2B). Hence there is a unique class of such  $A_5$  in HN, and its normalizer is  $S_5$ , contained in  $A_{12}$ .

There are two classes of  $A_5 \times A_5$ , and their normalizers are  $(S_5 \times S_5)$ :2, contained in  $A_{12}$ , and  $(A_5 \times A_5)$ :4, contained in  $(A_6 \times A_6) \cdot D_8$ .

# 5.2. $A_8$ to $A_{12}$

In any of these groups, the 5-point  $A_5$  centralizes a 3-element, so must be of type (2A, 3A, 5A). The group  $A_n$ , and indeed its full normalizer, can be obtained by extending the normalizer of a four-group. But the entire normalizers of the  $A_5$  and the four-group are contained in  $A_{12}$ , so the normalizer of any such  $A_n$  is contained in  $A_{12}$ .

# 5.3. $A_6$ and $A_6 \times A_6$

If  $A_6$  contains an  $A_5$  of type (2A, 3A, 5A), then by the same argument it is contained in  $A_{12}$ . But there is a unique class of such  $A_6$  in  $A_{12}$ , and its normalizer in HN is  $(A_6 \times A_6) \cdot 2^2$ , a subgroup of index 2 in  $N(A_6 \times A_6) \cong (A_6 \times A_6) \cdot D_8$ . Any other  $A_6$  contains 5*E*-elements, and using the fact that the 4elements square to the involutions, the only relevant nonzero (2, 4, 5)structure constants are:

$$\xi(2A, 4B, 5E) = 4/5, \qquad \xi(2B, 4A, 5E) = 19/4, \qquad \xi(2B, 4C, 5E) = 6.$$

Thus we need only consider  $A_6$ 's containing 2B-elements. If the  $A_6$  contains any 3B-elements, we use the fact that it is generated by two  $A_5$ 's intersecting in an  $A_4$ . Now as we have seen in Section 4 above, these  $A_5$ 's fix three nodes each, whereas the  $A_4$  fixes five nodes. Thus any such  $A_6$  fixes at least one node, i.e., it is in  $A_{12}$ . Its orbits on the 12 letters are either 1+1+10 or 6+6, and in either case its normalizer is  $A_6 \cdot 2^2$ , and is contained in  $A_{12}$  or  $(A_6 \times A_6) \cdot D_8$ .

Since  $\xi(2B, 3A, 4C) = 0$ , the only case left is (2B, 3A, 3A, 4A, 5E). We construct such an  $A_6$  by taking an  $A_5$  and extending a subgroup  $A_4$  to  $S_4$ . Now there is a unique class of  $A_5$  of type (2B, 3A, 5E), represented by the  $A_5$  with orbits 5+5+1+1 in  $A_{12}$ , in which the orbits of an  $A_4$  are 4+4+1+1+1+1+1. Such an  $A_4$  extends to four  $S_4$ 's within  $A_{12}$ , one with orbits 8+1+1+1+1 and three with orbits 4+4+2+2. But the 2-local analysis shows that all  $A_4$ 's of type (2B, 3A) are conjugate, and the structure constants  $\xi(2B, 3A, 3A) = 1/96$  and  $\xi(2B, 3A, 4A) = 1/24$  show that such an  $A_4$  extends to exactly four  $S_4$ 's of type (2B, 3A, 4A) = 1/24 show that such an  $A_6$  of this type is in  $A_{12}$ . Furthermore its orbit structure must be 6+6, and the involution that interchanges the two orbits is the unique 2A-element centralizes the  $A_6$ . (Indeed, we saw above that there is a unique 2A-element centralizing a subgroup  $A_5$ .) Thus the normalizer of such an  $A_6$  lies in  $N(2A) \cong 2 \cdot HS$ :2.

There is a unique class of  $A_6 \times A_6$ , it is contained in  $A_{12}$ , and has normalizer  $(A_6 \times A_6) \cdot D_8$ . The action of the  $D_8$  is as follows: the central element extends each  $A_6$  to  $S_6$ , another element extends one  $A_6$  to  $PGL_2(9)$ and the other to  $M_{10}$ , and a further element interchanges the two  $A_6$ 's.

#### 5.4. $A_7$

If  $A_7$  contains an  $A_5$  of type (2A, 3A, 5A), then by the same argument as used above for  $A_8$  to  $A_{12}$ , its normalizer is contained in  $A_{12}$ . Any other  $A_7$ containing 2A-elements can be constructed by taking an  $S_5$  of type (2A, 3A, 5E) and extending  $S_4$  to  $(A_4 \times 3)$ :2. Now there are two classes of  $A_4$  of type (2A, 3A), with normalizers  $(A_4 \times A_8)$ :2 and  $(A_4 \times 3)$ :2 ×  $A_5$ , respectively, both contained in  $A_{12}$ . The former extends only to  $A_5$ 's of type (2A, 3A, 5A), as the entire 3A-normalizer is contained in  $A_{12}$ . Hence our  $S_5$ contains the latter class of  $A_4$ . Now the only 2A-elements in this  $A_4$ normalizer are either in the  $(A_4 \times 3)$ :2 or in the  $A_5$ , and so there is a unique way of making the required extension. Hence there is a unique class of  $A_7$  of type (2A, 5E), and it has normalizer  $D_{10} \times A_7$ , contained in  $(D_{10} \times U_3(5)) \cdot 2$ .

*Remark.* A similar argument shows that there is a unique class of  $A_6$  of type (2A, 5E), with normalizer  $D_{10} \times M_{10}$ , also contained in  $(D_{10} \times U_3(5)) \cdot 2$ .

5.5.  $L_2(7)$  and  $L_2(8)$ 

The nonzero (2, 3, 7)-structure constants are

 $\xi(2A, 3A, 7A) = 2/15, \quad \xi(2B, 3A, 7A) = 1/12, \quad \xi(2B, 3B, 7A) = 19/3.$ 

Hence we need only consider  $L_2(7)$ 's of type (2B, 3B, 4A/C, 7A) and  $L_2(8)$ 's of type (2B, 3B, 7A, 9A).

To deal with  $L_2(8)$  we consider the subgroup  $2^3:7$ . All involutions and four-groups are conjugate here, so that as 2*B*-pure groups the latter must all be of one type (see Sect. 3.1). This cannot be the second type, as the normalizer of any 2*B*-pure group containing such a subgroup lies in C(2B)and hence has no element of order 7. So, by the results of our 2-local analysis, we may represent the  $2^3$  in  $A_{12}$  as fixing four letters and acting regularly on the rest. We note, by comparing centralizers, that this group fixes 64 nodes of our graph, so that  $2^3:7$  fixes at least one. It must therefore fix the unique node fixed by an element of order 7, which is also fixed by any element normalizing it. Hence this node is fixed by any group generated in this way by  $2^3:7$  and  $D_{14}$ , in particular by any  $L_2(8)$ . Therefore  $L_2(8)$  and its normalizer are contained in  $A_{12}$ . This normalizer is in fact  $3 \times L_2(8):3$ .

Some detailed knowledge of the group HN, as given in [3], is required to deal with  $L_2(7)$ . We use the following general property of permutation groups. If a group G acts transitively on a set S, then the number of elements of a conjugacy class of G taking a point of S into a given suborbit  $O_j$  relative to that point is given as follows. If the permutation character is  $\chi_1 + \chi_2 + \cdots + \chi_n$  and the eigenvalue of  $\chi_i$  on the suborbit  $O_j$  is  $a_{ij}$ , then the number of elements conjugate to g that take a given point into the corresponding  $O_j$ -suborbit is  $\sum_{i=1}^n a_{ij}\chi_i(g)$ . For each class of HN, and each suborbit of the 1140000-node graph, these sums are given in Table 3 of [3].

Now if we have a group  $L_2(7)$  of type (2B, 3B, 7A), then each of the eight subgroups of order 21 fixes a unique node. We ask which of the suborbits corresponding to one of these nodes the other seven lie in. But there is an element of class 3B stabilizing any pair of these eight nodes, so that the corresponding suborbit stabilizer must include a 3B-element. This means that its orbit length must be 1, 462, 30 800, 69 300, or 2520 (there are two orbits with this last length). But it cannot be 1, as the entire  $L_2(7)$ 

would lie in an  $A_{12}$ ; however,  $A_{12}$  contains no such subgroup with 3elements of class 3B (i.e. cycle shape  $3^31^3$ ). Now in our putative  $L_2(7)$ , there are 2B- and 3B-elements taking one of the 8 nodes to any other. Hence the orbit length cannot be 462 or 2520, since no 3B-element takes the fixed node into one of these orbits, and similarly it cannot be 69300, since no 2B-element takes the fixed node into this orbit (see Table 3 of [3]). So the 30800-suborbit is the only possibility. The stabilizer in HN:2 of the corresponding suborbit is  $S_3 wr A_4$ , in which just the even permutations are in HN. Nodes in this suborbit may be described by a decomposition of the 12 letters permuted by our  $A_{12}$  into four triples. (Strictly speaking, there are two nodes corresponding to a given decomposition, which are interchanged by odd permutations of the triples.)

If, with the usual notation for the 12 letters, we take the intersection of our putative  $L_2(7)$  and the  $A_{12}$  to be  $\langle (abcdefg), (bce)(dgf)(hij) \rangle$ , then one of the other seven nodes permuted by the  $L_2(7)$  will be fixed by (bce)(dgf)(hij). The corresponding decomposition into triples may then be taken, without loss of generality, to be one of  $\{bce, dgf, akl, hij\}$ ,  $\{bgh, cfi, dej, akl\}$  or  $\{bdh, cgi, efj, akl\}$ .

In the first of these cases, the subgroup of HN fixing all eight nodes would be non-trivial (it is actually generated by (hij)), so that our  $L_2(7)$  would have to lie in its normalizer. But this normalizer is contained in our  $A_{12}$ , and we have already seen that this contains no  $L_2(7)$  with 3-elements of class 3*B*.

To deal with the other cases, it is sufficient to show that the eight nodes do not lie in the 30 800-suborbits corresponding to one another. If they did, then the inner product of the corresponding vectors in the 133-dimensional representation would be 3 (on the scale, used in [3], where the vectors have norm 21). We can show by computation that this does not happen. Alternatively, we calculate using [3] that the six vectors fixed by  $\langle (abc), (def), (ghi), (jkl) \rangle$  (or the corresponding group for any other splitting of the 12 letters into triples) sum to zero in the 133-space, as the norm of their sum is zero. We note that one of these six vectors lies in the 1-suborbit, three in the 462-suborbit, and two in the 30 800-suborbit. If we try to determine the inner product table between the vectors fixed by  $\langle (bgh), (cfi), (dej), (akl) \rangle$  and those fixed by  $\langle (cah), (dgi), (efj), (bkl) \rangle$  we quickly reach a contradiction. The same arguments hold in the third case, and we therefore conclude that HN contains no  $L_2(7)$  of type (2B, 3B, 7A).

5.6.  $L_2(11)$  and  $M_{11}$ 

Since  $\xi(2A, 3A, 11A) = 1/2$  it follows that there is a unique class of  $L_2(11)$  of type (2A, 3A), with normalizer  $2 \times L_2(11)$ :2, contained in  $2 \cdot HS$ :2. Any other  $L_2(11)$  contains an  $A_5$  of type (2B, 3A/B, 5E), so has type (2B, 3A, 5E, 6B, 11A) or (2B, 3B, 5E, 6C, 11A). In the former case the

subgroup  $A_5$  contains 2B-pure four-groups of the first type (see Sect. 3.1) while the subgroup  $D_{12}$  contains 2B-pure four-groups of the second type, and so there is no such  $L_2(11)$ . In the latter case, the group may be generated by two  $A_5$ 's intersecting in an  $A_4$ , and by the argument used above for  $A_6$  and  $L_2(19)$ , it follows that such an  $L_2(11)$  is contained in  $A_{12}$ . Hence there is a unique conjugacy class in HN:2, with normalizer  $L_2(11)$ :2, contained in  $M_{12}$ :2 < HN.

Now  $M_{11}$  can be constructed from  $L_2(11)$  by extending  $A_5$  to  $S_5$ . (But note that  $M_{12}$ :2 can also be constructed in this way, using the  $L_2(11)$ which is maximal in  $M_{12}$ .) If we start with the  $L_2(11)$  of type (2A, 3A, 5E, 6A, 11A), then the corresponding  $A_5$  has normalizer  $D_{10} \times S_5$ , so there are 6 ways of extending  $A_5$  to  $S_5$ . Two of these are centralized by the involution centralizing  $L_2(11)$ , so the normalizer of the group so generated is contained in  $2 \cdot HS$ :2. The other four fall into two orbits of size 2 under the centralizing involution, and give rise to the two classes of  $M_{12}$ :2.

If we start with the  $L_2(11)$  of type (2B, 3B, 5E, 6C, 11A), then the  $A_5$  has normalizer  $S_5$ . Thus there is a unique class of  $M_{11}$  of this type, and it is self-normalizing and contained in  $A_{12}$ .

### 5.7. $M_{12}$

The elements of order 5 in  $M_{12}$  are of HN-class 5*E*, since they normalize elements of order 11. Then the 10-elements are rational and square to these, so are of class 10*F*, and so the elements of  $M_{12}$ -class 2*A* are of HNclass 2*A*. Hence, any  $M_{12}$  contains an  $A_5$  of type (2*A*, 3*A*, 5*E*), and can be constructed from this  $A_5$  by extending  $A_4$  to  $A_4 \times S_3$ . Now we have seen above (see section 5.4) that the normalizer of this  $A_4$  in HN is  $(A_4 \times 3): 2 \times A_5$ . Thus there are 10 ways of making the required extension, and these fall into two orbits of size 5 under the  $D_{10}$  centralizing our  $A_5$ . Hence there are just two classes of  $M_{12}$ , and in each case the normalizer is  $M_{12}:2$ , since extending  $A_5$  to  $S_5$  normalizes both groups.

*Remark.* The 133-dimensional character restricts to  $M_{12}$  as 1aa + 16ab + 45a + 54a, and so any  $M_{12}$  has type (2A, 2B, 3B, 3A, 4A, 4A, 5E, 6A, 6C, 8B, 8B, 10F, 11A). Then the two classes of  $M_{12}$ :2 account fully for the structure constant  $\xi_{HN}(2A, 3B, 11A) = 2$ , thus furnishing an alternative proof that there is no other  $M_{12}$ .

5.8.  $U_3(5)$ 

The 133-dimensional character restricts to  $U_3(5)$  as 21a + 28bbcc, so any  $U_3(5)$  has type (2A, 3A, 4B, 5B, 5A, 5E, 5E, 6A, 7A, 8A, 10A). Hence any  $U_3(5)$  can be constructed by taking an  $A_5$  of type (2A, 3A, 5E) and extending a subgroup  $2^2$  to an  $A_5$  of type (2A, 3A, 5A). Now the  $2^2$ -group

extends to just 8 groups  $A_5$  of type (2A, 3A, 5A) (since its normalizer is contained in  $A_{12}$ ). But the centralizer of our first  $A_5$  has order 10, so every  $U_3(5)$  has nontrivial centralizer. As  $U_3(5)$  is contained uniquely in  $2 \cdot HS:2$ , it follows that there is a unique class of  $U_3(5)$  in HN, and it has normalizer  $(D_{10} \times U_3(5)) \cdot 2 = N(5A)$ .

# 5.9. $U_3(8)$

The group  $U_3(8)$  may be constructed by taking a group  $3 \times L_2(8)$ , and extending the subgroup  $3 \times 2^3:7$  to  $2^{3+6}:21$ . Now there is a unique class of  $3 \times L_2(8)$ , and they have normalizer  $3 \times L_2(8):3$ . Furthermore, the normalizer of the relevant  $2^3$ -group in HN is  $2^3.2^2.2^6.(3 \times L_3(2))$ , in which there is a unique way of making the required extension. Hence there is a unique class of  $U_3(8)$  in HN, with normalizer  $U_3(8):3$ .

*Remark.* The existence of  $U_3(8):3$  in HN was originally proved in [3] by a related construction. An alternative proof, using the existence of the Fischer-Griess Monster and a result of Thompson, can be obtained by the method used in [4].

# 6. CONCLUSION

Collecting together the results of Sections 3, 4, and 5, we see that any proper subgroup of HN (resp. HN:2) is contained in one of the groups listed in Theorem 1 (resp. Theorem 2). Conversely, it is easy to see that none of these groups is contained in any other, thus concluding the proof of the theorems.

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