



The complex Busemann–Petty problem on sections of convex bodies

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Abstract

The complex Busemann–Petty problem asks whether origin symmetric convex bodies in \mathbb{C}^n with smaller central hyperplane sections necessarily have smaller volume. We prove that the answer is affirmative if $n \leq 3$ and negative if $n \geq 4$.

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1. Introduction

The Busemann–Petty problem, posed in 1956 (see [5]), asks the following question. Suppose that K and L are origin symmetric convex bodies in \mathbb{R}^n such that

$$\text{Vol}_{n-1}(K \cap H) \leq \text{Vol}_{n-1}(L \cap H)$$

for every hyperplane H in \mathbb{R}^n containing the origin. Does it follow that

$$\text{Vol}_n(K) \leq \text{Vol}_n(L)?$$

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The answer is affirmative if $n \leq 4$ and negative if $n \geq 5$. The solution was completed at the end of the 90s as the result of a sequence of papers [14,13,29,1,12,3,31,33,8,9,39,17,18,40,10]; see [26, p. 3] for the history of the solution.

In this article we consider the complex version of the problem. For $\xi \in \mathbb{C}^n$, $|\xi| = 1$, denote by

$$H_\xi = \left\{ z \in \mathbb{C}^n : (z, \xi) = \sum_{k=1}^n z_k \bar{\xi}_k = 0 \right\}$$

the complex hyperplane through the origin perpendicular to ξ .

Origin symmetric convex bodies in \mathbb{C}^n are the unit balls of norms on \mathbb{C}^n . We denote by $\|\cdot\|_K$ the norm corresponding to the body K :

$$K = \{z \in \mathbb{C}^n : \|z\|_K \leq 1\}.$$

In order to define volume, we identify \mathbb{C}^n with \mathbb{R}^{2n} using the mapping

$$\xi = (\xi_1, \dots, \xi_n) = (\xi_{11} + i\xi_{12}, \dots, \xi_{n1} + i\xi_{n2}) \mapsto (\xi_{11}, \xi_{12}, \dots, \xi_{n1}, \xi_{n2}).$$

Under this mapping the hyperplane H_ξ turns into a $(2n - 2)$ -dimensional subspace of \mathbb{R}^{2n} orthogonal to the vectors

$$\xi = (\xi_{11}, \xi_{12}, \dots, \xi_{n1}, \xi_{n2}) \quad \text{and} \quad \xi^\perp = (-\xi_{12}, \xi_{11}, \dots, -\xi_{n2}, \xi_{n1}).$$

Since norms on \mathbb{C}^n satisfy the equality

$$\|\lambda z\| = |\lambda| \|z\|, \quad \forall z \in \mathbb{C}^n, \quad \forall \lambda \in \mathbb{C},$$

origin symmetric complex convex bodies correspond to those origin symmetric convex bodies K in \mathbb{R}^{2n} that are invariant with respect to any coordinate-wise two-dimensional rotation, namely for each $\theta \in [0, 2\pi]$ and each $\xi = (\xi_{11}, \xi_{12}, \dots, \xi_{n1}, \xi_{n2}) \in \mathbb{R}^{2n}$

$$\|\xi\|_K = \|R_\theta(\xi_{11}, \xi_{12}), \dots, R_\theta(\xi_{n1}, \xi_{n2})\|_K, \tag{1}$$

where R_θ stands for the counterclockwise rotation of \mathbb{R}^2 by the angle θ with respect to the origin. We shall simply say that K is invariant with respect to all R_θ if it satisfies Eq. (1).

Now the complex Busemann–Petty problem can be formulated as follows: suppose K and L are origin symmetric invariant with respect to all R_θ convex bodies in \mathbb{R}^{2n} such that

$$\text{Vol}_{2n-2}(K \cap H_\xi) \leq \text{Vol}_{2n-2}(L \cap H_\xi)$$

for each ξ from the unit sphere S^{2n-1} of \mathbb{R}^{2n} . Does it follow that

$$\text{Vol}_{2n}(K) \leq \text{Vol}_{2n}(L)?$$

This formulation is reminiscent of the lower-dimensional Busemann–Petty problem, where one tries to deduce the inequality for $2n$ -dimensional volumes of arbitrary origin-symmetric convex bodies from the inequalities for volumes of all $(2n - 2)$ -dimensional sections. In the

case where $n = 2$ this amounts to considering two-dimensional sections of four-dimensional bodies, where the answer to the lower-dimensional problem is affirmative by the solution to the original Busemann–Petty problem—we first get inequalities for the volumes of all three-dimensional sections and then the inequality for the four-dimensional volumes. However, if $n = 3$ we get four-dimensional sections of six-dimensional bodies, where the answer to the lower-dimensional problem is negative by a result of Bourgain and Zhang [4]. Our problem is different from the lower-dimensional Busemann–Petty problem in two aspects. First, we do not have all $(2n - 2)$ -dimensional sections, only sections by subspaces coming from complex hyperplanes, which makes the situation worse than for the lower-dimensional problem. Secondly, we consider only those convex bodies in \mathbb{R}^{2n} that are invariant with respect to all R_θ , and we may be able to convert this invariance into affirmative answers for some higher dimensions.

The latter appears to be the case, as we prove below that the answer to the complex Busemann–Petty problem is affirmative if $n \leq 3$ and negative if $n \geq 4$.

In 1988 Lutwak [31] introduced the class of intersection bodies and found a connection between this class and the “real” Busemann–Petty problem, which played an important role in the solution of the problem. It appears that the complex Busemann–Petty problem is closely related to the class of 2-intersection bodies introduced in [21,24], namely the answer to the problem is affirmative if and only if every origin symmetric invariant with respect to all R_θ convex body in \mathbb{R}^{2n} is a 2-intersection body. We shall prove this connection in Theorem 2. After that we prove that every origin symmetric invariant with respect to all R_θ convex body in \mathbb{R}^{2n} is a $(2n - 4)$ -intersection body, but not every such body is a $(2n - 6)$ -intersection body. Putting $n = 3$ and then $n = 4$, one can see how these results imply the solution of the complex Busemann–Petty problem. Our proofs use several results from the recently developed Fourier analytic approach to sections of convex bodies; see [26]. In Section 2, we collect necessary definitions and results related to this approach.

For other results related to the Busemann–Petty problem see [4,2,21,25,28,32,34,35,37,38,41,42].

2. Elements of the Fourier approach to sections

Our main tool is the Fourier transform of distributions. As usual, we denote by $\mathcal{S}(\mathbb{R}^n)$ the Schwartz space of rapidly decreasing infinitely differentiable functions (test functions) in \mathbb{R}^n , and $\mathcal{S}'(\mathbb{R}^n)$ is the space of distributions over $\mathcal{S}(\mathbb{R}^n)$. The Fourier transform \hat{f} of a distribution $f \in \mathcal{S}'(\mathbb{R}^n)$ is defined by $\langle \hat{f}, \phi \rangle = \langle f, \hat{\phi} \rangle$ for every test function ϕ . A distribution is called even homogeneous of degree $p \in \mathbb{R}$ if $\langle f(x), \phi(x/\alpha) \rangle = |\alpha|^{n+p} \langle f, \phi \rangle$ for every test function ϕ and every $\alpha \in \mathbb{R}, \alpha \neq 0$. The Fourier transform of an even homogeneous distribution of degree p is an even homogeneous distribution of degree $-n - p$. A distribution f is called positive definite if, for every test function ϕ , $\langle f, \phi * \overline{\phi(-x)} \rangle \geq 0$. This is equivalent to \hat{f} being a positive distribution in the sense that $\langle \hat{f}, \phi \rangle \geq 0$ for every non-negative test function ϕ .

A compact set K in \mathbb{R}^n is called a *star body* if every straight line through the origin crosses the boundary at exactly two points different from the origin, and the boundary of K is continuous in the sense that the *Minkowski functional* of K defined by

$$\|x\|_K = \min\{a \geq 0: x \in aK\}$$

is a continuous function on \mathbb{R}^n . If in addition K is origin symmetric and convex, then the Minkowski functional is a norm on \mathbb{R}^n . If $\xi \in S^{n-1}$, then $\rho_K(\xi) = \|\xi\|_K^{-1}$ is the radius of K in the direction ξ .

A simple calculation in polar coordinates gives the following *polar formula for the volume*:

$$n \operatorname{Vol}_n(K) = n \int_{\mathbb{R}^n} \chi(\|x\|_K) dx = \int_{S^{n-1}} \|\xi\|_K^{-n} d\xi,$$

where χ is the indicator function of the interval $[0, 1]$.

We say that a star body K in \mathbb{R}^n is k -smooth (infinitely smooth) if the restriction of $\|x\|_K$ to the sphere S^{n-1} belongs to the class $C^k(S^{n-1})$ ($C^\infty(S^{n-1})$) of k times continuously differentiable (infinitely differentiable) functions on the sphere. It is well known that one can approximate any convex body in \mathbb{R}^n in the radial metric

$$d(K, L) = \sup_{\xi \in S^{n-1}} |\rho_K(\xi) - \rho_L(\xi)|$$

by a sequence of infinitely smooth convex bodies. This can be proved by a simple convolution argument (see for example [36, Theorem 3.3.1]). It is also easy to see that any convex body in \mathbb{R}^{2n} invariant with respect to all R_θ can be approximated in the radial metric by a sequence of infinitely smooth convex bodies invariant with respect to all R_θ . This follows from the same convolution argument, because invariance with respect to R_θ is preserved under convolutions.

As proved in [26, Lemma 3.16], if K is an infinitely smooth origin symmetric star body in \mathbb{R}^n and $0 < p < n$ then the Fourier transform of the distribution $\|x\|_K^{-p}$ is a homogeneous function of degree $-n + p$ on \mathbb{R}^n , whose restriction to the sphere is infinitely smooth. We use a version of Parseval’s formula on the sphere established in [21] (see also [26, Lemma 3.22]):

Proposition 1. *Let K and L be infinitely smooth origin symmetric star bodies in \mathbb{R}^n and $0 < p < n$. Then*

$$\int_{S^{n-1}} (\|x\|_K^{-p})^\wedge(\xi) (\|x\|_L^{-n+p})^\wedge(\xi) d\xi = (2\pi)^n \int_{S^{n-1}} \|x\|_K^{-p} \|x\|_L^{-n+p} dx.$$

The classes of k -intersection bodies were introduced in [21,24] as follows. Let $1 \leq k < n$, and let D and L be origin symmetric star bodies in \mathbb{R}^n . We say that D is a k -intersection body of L if for every $(n - k)$ -dimensional subspace H of \mathbb{R}^n

$$\operatorname{Vol}_k(D \cap H^\perp) = \operatorname{Vol}_{n-k}(L \cap H).$$

More generally, we say that an origin symmetric star body D in \mathbb{R}^n is a k -intersection body if there exists a finite Borel measure μ on S^{n-1} so that for every even test function $\phi \in \mathcal{S}(\mathbb{R}^n)$,

$$\int_{\mathbb{R}^n} \|x\|_D^{-k} \phi(x) dx = \int_{S^{n-1}} \left(\int_0^\infty t^{k-1} \hat{\phi}(t\xi) dt \right) d\mu(\xi).$$

Note that k -intersection bodies of star bodies are those k -intersection bodies for which the measure μ has a continuous strictly positive density; see [24] or [26, p. 77]. When $k = 1$ we get the class of intersection bodies introduced by Lutwak in [31].

A more general concept of embedding in L_{-p} was introduced in [23]. Let D be an origin symmetric star body in \mathbb{R}^n , and $X = (\mathbb{R}^n, \|\cdot\|_D)$. For $0 < p < n$, we say that X embeds in L_{-p} if there exists a finite Borel measure μ on S^{n-1} so that, for every even test function ϕ

$$\int_{\mathbb{R}^n} \|x\|_D^{-p} \phi(x) dx = \int_{S^{n-1}} \left(\int_{\mathbb{R}} |z|^{p-1} \hat{\phi}(z\theta) dz \right) d\mu(\theta).$$

Obviously, an origin symmetric star body D in \mathbb{R}^n is a k -intersection body if and only if the space $(\mathbb{R}^n, \|\cdot\|_D)$ embeds in L_{-k} . In this article we use embeddings in L_{-p} only to state some results in continuous form; for more applications of this concept, see [26, Chapter 6].

Embeddings in L_{-p} and k -intersection bodies admit a Fourier analytic characterization that we are going to use throughout this article:

Proposition 2. (See [24], [26, Theorem 6.16].) *Let D be an origin symmetric star body in \mathbb{R}^n , $0 < p < n$. The space $(\mathbb{R}^n, \|\cdot\|_D)$ embeds in L_{-p} if and only if the function $\|x\|_D^{-p}$ represents a positive definite distribution on \mathbb{R}^n . In particular, D is a k -intersection body if and only if $\|x\|_D^{-k}$ is a positive definite distribution on \mathbb{R}^n .*

It was proved in [22] (see also [26, Corollary 4.9]) that every n -dimensional normed space embeds in L_{-p} for each $p \in [n - 3, n)$. In particular, every origin symmetric convex body in \mathbb{R}^n is a k -intersection body for $k = n - 3, n - 2, n - 1$. On the other hand, the spaces ℓ_q^n , $q > 2$ do not embed in L_{-p} if $0 < p < n - 3$, hence, the unit balls of these spaces are not k -intersection bodies if $k < n - 3$; see [19], [26, Theorem 4.13]. We are going to use a generalization of the latter result, the so-called second derivative test for k -intersection bodies and embeddings in L_{-p} , which was first proved for intersection bodies in [20] and then generalized in [26, Theorems 4.19, 4.21]. Recall that for normed spaces X and Y and $q \in \mathbb{R}$, $q \geq 1$, the q -sum $(X \oplus Y)_q$ of X and Y is defined as the space of pairs $\{(x, y) : x \in X, y \in Y\}$ with the norm

$$\|(x, y)\| = (\|x\|_X^q + \|y\|_Y^q)^{1/q}.$$

Proposition 3. *Let $n \geq 3$, $k \in \mathbb{N} \cup \{0\}$, $q > 2$ and let Y be a finite-dimensional normed space of dimension greater or equal to n . Then the q -sum of \mathbb{R} and Y does not embed in L_{-p} with $0 < p < n - 2$. In particular, this direct sum is not a k -intersection body for any $1 \leq k < n - 2$.*

Let $1 \leq k < n$ and let H be an $(n - k)$ -dimensional subspace of \mathbb{R}^n . Fix any orthonormal basis e_1, \dots, e_k in the orthogonal subspace H^\perp . For a convex body D in \mathbb{R}^n , define the $(n - k)$ -dimensional parallel section function $A_{D,H}$ as a function on \mathbb{R}^k such that

$$\begin{aligned} A_{D,H}(u) &= \text{Vol}_{n-k}(D \cap \{H + u_1 e_1 + \dots + u_k e_k\}) \\ &= \int_{\{x \in \mathbb{R}^n : (x, e_1) = u_1, \dots, (x, e_k) = u_k\}} \chi(\|x\|_D) dx, \quad u \in \mathbb{R}^k. \end{aligned} \tag{2}$$

Let $|\cdot|_2$ be the Euclidean norm on \mathbb{R}^k . For every $q \in \mathbb{C}$, the value of the distribution $|u|_2^{-q-k}/\Gamma(-q/2)$ on a test function $\phi \in \mathcal{S}(\mathbb{R}^k)$ can be defined in the usual way (see [11, p. 71]) and represents an entire function of $q \in \mathbb{C}$. If D is infinitely smooth, the function $A_{D,H}$ is infinitely differentiable at the origin (see [26, Lemma 2.4]), and the same regularization procedure can be applied to define the action of these distributions on the function $A_{D,H}$. The function

$$q \mapsto \left\langle \frac{|u|_2^{-q-k}}{\Gamma(-q/2)}, A_{D,H}(u) \right\rangle \tag{3}$$

is an entire function of $q \in \mathbb{C}$. In particular, if $q < 0$

$$\left\langle \frac{|u|_2^{-q-k}}{\Gamma(-q/2)}, A_{D,H}(u) \right\rangle = \frac{1}{\Gamma(-q/2)} \int_{\mathbb{R}^k} |u|_2^{-q-k} A_{D,H}(u) du.$$

If $q = 2m$, $m \in \mathbb{N} \cup \{0\}$, then

$$\left\langle \frac{|u|_2^{-q-k}}{\Gamma(-q/2)} \Big|_{q=2m}, A_{D,H}(u) \right\rangle = \frac{(-1)^m |S^{k-1}|}{2^{m+1} k(k+2) \dots (k+2m-2)} \Delta^m A_{D,H}(0), \tag{4}$$

where $|S^{k-1}| = 2\pi^{k/2}/\Gamma(k/2)$ is the surface area of the unit sphere S^{k-1} in \mathbb{R}^k , and $\Delta = \sum_{i=1}^k \partial^2/\partial u_i^2$ is the k -dimensional Laplace operator (for details, see [11, pp. 71–74]). Since the body D is origin symmetric, the function $A_{D,H}$ is even, and for $0 < q < 2$ we have (see also [26, p. 39])

$$\left\langle \frac{|u|_2^{-q-k}}{\Gamma(-q/2)}, A_{D,H}(u) \right\rangle = \frac{1}{\Gamma(-q/2)} \int_{S^{n-1}} \left(\int_0^\infty \frac{A_{D,H}(t\theta) - A_{D,H}(0)}{t^{1+q}} dt \right) d\theta. \tag{5}$$

Note that the function (3) is equal (up to a constant) to the fractional power of the Laplacian $\Delta^{q/2} A_{D,H}$.

The following proposition was proved in [24, Theorem 2]. We reproduce the proof here, because we formulate the first part of the proposition in a slightly different form. We use a well-known formula (see for example [11, p. 76]): for any $v \in \mathbb{R}^k$ and $q < -k + 1$,

$$(v_1^2 + \dots + v_k^2)^{(-q-k)/2} = \frac{\Gamma(-q/2)}{2\Gamma((-q-k+1)/2)\pi^{(k-1)/2}} \int_{S^{k-1}} |(v, u)|^{-q-k} du. \tag{6}$$

Proposition 4. *Let D be an infinitely smooth origin symmetric convex body in \mathbb{R}^n and $1 \leq k < n$. Then for every $(n-k)$ -dimensional subspace H of \mathbb{R}^n and any $q \in \mathbb{R}$, $-k < q < n-k$,*

$$\left\langle \frac{|u|_2^{-q-k}}{\Gamma(-q/2)}, A_{D,H}(u) \right\rangle = \frac{2^{-q-k} \pi^{-k/2}}{\Gamma((q+k)/2)(n-q-k)} \int_{S^{n-1} \cap H^\perp} (\|x\|_D^{-n+q+k})^\wedge(\theta) d\theta. \tag{7}$$

Also for every $m \in \mathbb{N} \cup \{0\}$, $m < (n - k)/2$,

$$\Delta^m A_{D,H}(0) = \frac{(-1)^m}{(2\pi)^k (n - 2m - k)} \int_{S^{n-1} \cap H^\perp} (\|x\|_D^{-n+2m+k})^\wedge(\eta) d\eta, \tag{8}$$

where, as before, Δ is the Laplacian on \mathbb{R}^k .

Proof. First let $q \in (-k, -k + 1)$. Then,

$$\left\langle \frac{|u|_2^{-q-k}}{\Gamma(-q/2)}, A_{D,H}(u) \right\rangle = \frac{1}{\Gamma(-q/2)} \int_{\mathbb{R}^k} |u|_2^{-q-k} A_{D,H}(u) du.$$

Using the expression (2) for the function $A_{D,H}$, writing the integral in polar coordinates and then using (6), we see that the right-hand side of the latter equation is equal to

$$\begin{aligned} & \frac{1}{\Gamma(\frac{-q}{2})} \int_{\mathbb{R}^n} ((x, e_1)^2 + \dots + (x, e_k)^2)^{(-q-k)/2} \chi(\|x\|_D) dx \\ &= \frac{1}{\Gamma(\frac{-q}{2})(n - q - k)} \int_{S^{n-1}} ((\theta, e_1)^2 + \dots + (\theta, e_k)^2)^{(-q-k)/2} \|\theta\|_D^{-n+q+k} d\theta \\ &= \frac{1}{2\Gamma(\frac{-q-k+1}{2})\pi^{\frac{k-1}{2}}(n - q - k)} \int_{S^{n-1}} \|\theta\|_D^{-n+q+k} \left(\int_{S^{k-1}} \left| \left(\sum_{i=1}^k u_i e_i, \theta \right) \right|^{-q-k} du \right) d\theta \\ &= \frac{1}{2\Gamma(\frac{-q-k+1}{2})\pi^{\frac{k-1}{2}}(n - q - k)} \int_{S^{k-1}} \left(\int_{S^{n-1}} \|\theta\|_D^{-n+q+k} \left| \left(\sum_{i=1}^k u_i e_i, \theta \right) \right|^{-q-k} d\theta \right) du. \tag{9} \end{aligned}$$

Let us show that the function under the integral over S^{k-1} is the Fourier transform of $\|x\|_D^{-n+q+k}$ at the point $\sum u_i e_i$. For any even test function $\phi \in \mathcal{S}(\mathbb{R}^n)$, using the well-known connection between the Fourier and Radon transforms (see [26, p. 27]) and the expression for the Fourier transform of the distribution $|z|^{q+k-1}$ (see [26, p. 38]), we get

$$\begin{aligned} \langle (\|x\|_D^{-n+q+k})^\wedge, \phi \rangle &= \langle \|x\|_D^{-n+q+k}, \hat{\phi} \rangle = \int_{\mathbb{R}^n} \|x\|_D^{-n+q+k} \hat{\phi}(x) dx \\ &= \int_{S^{n-1}} \|\theta\|_D^{-n+q+k} \left(\int_0^\infty z^{q+k-1} \hat{\phi}(z\theta) dz \right) d\theta \\ &= \frac{1}{2} \int_{S^{n-1}} \|\theta\|_D^{-n+q+k} \langle |z|^{q+k-1}, \hat{\phi}(z\theta) \rangle d\theta \end{aligned}$$

$$\begin{aligned} &= \frac{2^{q+k} \sqrt{\pi} \Gamma((q+k)/2)}{2\Gamma((-q-k+1)/2)} \int_{S^{n-1}} \|\theta\|_D^{-n+q+k} \left\langle |t|^{-q-k}, \int_{(y,\theta)=t} \phi(y) dy \right\rangle d\theta \\ &= \frac{2^{q+k} \sqrt{\pi} \Gamma((q+k)/2)}{2\Gamma((-q-k+1)/2)} \int_{\mathbb{R}^n} \left(\int_{S^{n-1}} |(\theta, y)|^{-q-k} \|\theta\|_D^{-n+q+k} d\theta \right) \phi(y) dy. \end{aligned}$$

Since ϕ is an arbitrary test function, this proves that, for every $y \in \mathbb{R}^n \setminus \{0\}$,

$$(\|x\|_D^{-n+q+k})^\wedge(y) = \frac{2^{q+k} \sqrt{\pi} \Gamma((q+k)/2)}{2\Gamma((-q-k+1)/2)} \int_{S^{n-1}} |(\theta, y)|^{-q-k} \|\theta\|_D^{-n+q+k} d\theta.$$

Together with (9), the latter equality shows that

$$\begin{aligned} &\left\langle \frac{|u|_2^{-q-k}}{\Gamma(-q/2)}, A_{D,H}(u) \right\rangle \\ &= \frac{2^{-q-k} \pi^{-k/2}}{\Gamma((q+k)/2)(n-q-k)} \int_{S^{n-1} \cap H^\perp} (\|x\|_D^{-n+q+k})^\wedge(\theta) d\theta, \end{aligned} \tag{10}$$

because in our notation $S^{k-1} = S^{n-1} \cap H^\perp$.

We have proved (10) under the assumption that $q \in (-k, -k+1)$. However, both sides of (10) are analytic functions of $q \in \mathbb{C}$ in the domain where $-k < \text{Re}(q) < n-k$. This implies that the equality (10) holds for every q from this domain (see [26, p. 61] for the details of a similar argument).

Putting $q = 2m$, $m \in \mathbb{N} \cup \{0\}$, $m < (n-k)/2$ in (10) and applying (4) and the fact that $\Gamma(x+1) = x\Gamma(x)$, we get the second formula. \square

The Brunn–Minkowski theorem (see for example [26, Theorem 2.3]) states that the central hyperplane section of an origin symmetric convex body has maximal $(n-1)$ -dimensional volume among all hyperplane sections perpendicular to a given direction. This implies the following

Lemma 1. *If D is a 2-smooth origin symmetric convex body in \mathbb{R}^n , then the function $A_{D,H}$ is twice differentiable at the origin and*

$$\Delta A_{D,H}(0) \leq 0.$$

Besides that for any $q \in (0, 2)$,

$$\left\langle \frac{|u|_2^{-q-k}}{\Gamma(-q/2)}, A_{D,H}(u) \right\rangle \geq 0.$$

Proof. Differentiability follows from [26, Lemma 2.4]. Applying the Brunn–Minkowski theorem to the bodies $D \cap (H + t\theta)$, $\theta \in S^{n-1} \cap H^\perp$, we see that the function $t \mapsto A_{D,H}(t\theta)$ has maximum at zero. Therefore, the interior integral in (5) is negative, but $\Gamma(-q/2) < 0$ for

$q \in (0, 2)$, which implies the second statement. The first inequality also follows from the fact that each of the functions $t \mapsto A_{D,H}(te_j)$, $j = 1, \dots, k$, has maximum at the origin. \square

We often use Lemma 4.10 from [26] for the purpose of approximation by infinitely smooth bodies. For convenience, let us formulate this lemma:

Lemma 2. (See [26, Lemma 4.10].) *Let $1 \leq k < n$. Suppose that D is an origin symmetric convex body in \mathbb{R}^n that is not a k -intersection body. Then there exists a sequence D_m of origin symmetric convex bodies so that D_m converges to D in the radial metric, each D_m is infinitely smooth, has strictly positive curvature and each D_m is not a k -intersection body.*

If in addition D is invariant with respect to R_θ , one can choose D_m with the same property.

3. Connection with intersection bodies

We now return to the complex case. The following simple observation is crucial for applications of the Fourier methods to convex bodies in the complex case:

Lemma 3. *Suppose that K is an origin symmetric infinitely smooth invariant with respect to all R_θ star body in \mathbb{R}^{2n} . Then for every $0 < p < 2n$ and $\xi \in S^{2n-1}$ the Fourier transform of the distribution $\|x\|_K^{-p}$ is a constant function on $S^{2n-1} \cap H_\xi^\perp$.*

Proof. By [26, Lemma 3.16], the Fourier transform of $\|x\|_K^{-p}$ is a continuous function outside of the origin in \mathbb{R}^{2n} . The function $\|x\|_K$ is invariant with respect to all R_θ , so by the connection between the Fourier transform of distributions and linear transformations, the Fourier transform of $\|x\|_K^{-p}$ is also invariant with respect to all R_θ . Recall that the two-dimensional space H_ξ^\perp is spanned by vectors ξ and ξ^\perp (see the Introduction). Every vector in $S^{2n-1} \cap H_\xi^\perp$ is the image of ξ under one of the coordinate-wise rotations R_θ , so the Fourier transform of $\|x\|_K^{-p}$ is a constant function on $S^{2n-1} \cap H_\xi^\perp$. \square

Of course, this argument also applies to the Fourier transform of any distribution of the form $h(\|x\|_K)$.

Similarly to the real case (see [17], [26, Theorem 3.8]), one can express the volume of hyperplane sections in terms of the Fourier transform.

Theorem 1. *Let K be an infinitely smooth origin symmetric invariant with respect to R_θ convex body in \mathbb{R}^{2n} , $n \geq 2$. For every $\xi \in S^{2n-1}$, we have*

$$\text{Vol}_{2n-2}(K \cap H_\xi) = \frac{1}{4\pi(n-1)} (\|x\|_K^{-2n+2})^\wedge(\xi).$$

Proof. Let us fix $\xi \in S^{2n-1}$. We apply formula (8) with $2n$ in place of n , $H = H_\xi$, $k = 2$, $m = 0$. We get

$$\text{Vol}_{2n-2}(K \cap H_\xi) = A_{K,H_\xi}(0) = \frac{1}{8\pi^2(n-1)} \int_{S^{2n-1} \cap H_\xi^\perp} (\|x\|_K^{-2n+2})^\wedge(\eta) d\eta.$$

By Lemma 3, the function under the integral in the right-hand side is constant on the circle $S^{2n-1} \cap H_\xi^\perp$. Since $\xi \in H_\xi^\perp$, the integral is equal to $2\pi(\|x\|_K^{-2n+2})^\wedge(\xi)$. \square

The connection between the complex Busemann–Petty problem and intersection bodies is as follows:

Theorem 2. *The answer to the complex Busemann–Petty problem in \mathbb{C}^n is affirmative if and only if every origin symmetric invariant with respect to all R_θ convex body in \mathbb{R}^{2n} is a 2-intersection body.*

This theorem will follow from the next two lemmas. Note that, since we can approximate the body K in the radial metric from inside by infinitely smooth convex bodies invariant with respect to all R_θ , and also approximate L from outside in the same way, we can argue that if the answer to the complex Busemann–Petty problem is affirmative for infinitely smooth bodies K and L then it is affirmative in general.

Lemma 4. *Let K and L be infinitely smooth origin symmetric invariant with respect to R_θ convex bodies in \mathbb{R}^{2n} so that K is a 2-intersection body and, for every $\xi \in S^{2n-1}$,*

$$\text{Vol}_{2n-2}(K \cap H_\xi) \leq \text{Vol}_{2n-2}(L \cap H_\xi).$$

Then

$$\text{Vol}_{2n}(K) \leq \text{Vol}_{2n}(L).$$

Proof. By [26, Lemma 3.16], the Fourier transforms of the distributions $\|x\|_K^{-2n+2}$, $\|x\|_L^{-2n+2}$ and $\|x\|_K^{-2}$ are continuous functions outside of the origin in \mathbb{R}^{2n} . By Theorem 1 and Proposition 2, the conditions of the lemma imply that for every $\xi \in S^{2n-1}$,

$$(\|x\|_K^{-2n+2})^\wedge(\xi) \leq (\|x\|_L^{-2n+2})^\wedge(\xi)$$

and

$$(\|x\|_K^{-2})^\wedge(\xi) \geq 0.$$

Therefore,

$$\int_{S^{2n-1}} (\|x\|_K^{-2n+2})^\wedge(\xi) (\|x\|_K^{-2})^\wedge(\xi) d\xi \leq \int_{S^{2n-1}} (\|x\|_L^{-2n+2})^\wedge(\xi) (\|x\|_K^{-2})^\wedge(\xi) d\xi.$$

Now we apply Parseval’s formula on the sphere, Proposition 1, to remove the Fourier transforms in the latter inequality and then use the polar formula for the volume and Hölder’s inequality:

$$2n \text{Vol}_{2n}(K) = \int_{S^{2n-1}} \|x\|_K^{-2n} dx \leq \int_{S^{2n-1}} \|x\|_L^{-2n+2} \|x\|_K^{-2} dx$$

$$\begin{aligned} &\leq \left(\int_{S^{2n-1}} \|x\|_L^{-2n} dx \right)^{\frac{n-1}{n}} \left(\int_{S^{2n-1}} \|x\|_K^{-2n} dx \right)^{\frac{1}{n}} \\ &= (2n \operatorname{Vol}_{2n}(L))^{\frac{n-1}{n}} (2n \operatorname{Vol}_{2n}(K))^{\frac{1}{n}}, \end{aligned}$$

which gives the result. \square

Lemma 5. *Suppose that there exists an origin symmetric convex body L in \mathbb{R}^{2n} which is invariant with respect to all R_θ and which is not a 2-intersection body. Then one can perturb L twice to construct other origin symmetric convex bodies L' and K in \mathbb{R}^{2n} which are invariant with respect to all R_θ and are such such that for every $\xi \in S^{2n-1}$,*

$$\operatorname{Vol}_{2n-2}(K \cap H_\xi) \leq \operatorname{Vol}_{2n-2}(L' \cap H_\xi),$$

but

$$\operatorname{Vol}_{2n}(K) > \operatorname{Vol}_{2n}(L').$$

Proof. We can assume that the body L is infinitely smooth and has strictly positive curvature. In fact, approximating L in the radial metric by infinitely smooth convex bodies which are invariant with respect to all R_θ and have strictly positive curvature, we get by Lemma 2 that the approximating bodies cannot all be 2-intersection bodies. So there exists an infinitely smooth convex body L' invariant with respect to all R_θ , has strictly positive curvature and is not a 2-intersection body. In the following we write L instead of L' .

Now as L is infinitely smooth, by [26, Lemma 3.16], the Fourier transform of $\|x\|_L^{-2}$ is a continuous function outside of the origin in \mathbb{R}^{2n} . The body L is not a 2-intersection body, so by Proposition 2, the Fourier transform $(\|x\|_L^{-2})^\wedge$ is negative on some open subset Ω of the sphere S^{2n-1} .

Since L is invariant with respect to rotations R_θ , we can assume that the set Ω is also invariant with respect to rotations R_θ . This allows us to choose an even non-negative invariant with respect to rotations R_θ function $f \in C^\infty(S^{2n-1})$ which is supported in Ω . Extend f to an even homogeneous function $f(x/|x|_2)|x|_2^{-2}$ of degree -2 on \mathbb{R}^{2n} . By [26, Lemma 3.16], the Fourier transform of this extension is an even homogeneous function of degree $-2n + 2$ on \mathbb{R}^{2n} , whose restriction to the sphere is infinitely smooth:

$$(f(x/|x|_2)|x|_2^{-2})^\wedge(y) = g(y/|y|_2)|y|_2^{-2n+2},$$

where $g \in C^\infty(S^{2n-1})$. By the connection between the Fourier transform and linear transformations, the function g is also invariant with respect to rotations R_θ .

Define a body K in \mathbb{R}^{2n} by

$$\|x\|_K^{-2n+2} = \|x\|_L^{-2n+2} - \epsilon g(x/|x|_2)|x|_2^{-2n+2}. \tag{11}$$

For small enough $\epsilon > 0$ the body K is convex. This essentially follows from a simple two-dimensional argument: if h is a strictly concave function on an interval $[a, b]$ and u is a twice differentiable function on $[a, b]$, then for small ϵ the function $h + \epsilon u$ is also concave. Note that

here we use the condition that L has strictly positive curvature. Besides that, the body K is invariant with respect to rotations R_θ because so are the body L and the function g . We can now choose ϵ so that K is an origin symmetric invariant with respect to all R_θ convex body in \mathbb{R}^{2n} .

Let us prove that the bodies K and L provide the necessary counterexample. We apply the Fourier transform to both sides of (11). By definition of the function g and since f is non-negative, we get that for every $\xi \in S^{2n-1}$

$$(\|x\|_K^{-2n+2})^\wedge(\xi) = (\|x\|_L^{-2n+2})^\wedge(\xi) - (2\pi)^{2n}\epsilon f(\xi) \leq (\|x\|_L^{-2n+2})^\wedge(\xi).$$

By Theorem 1, this means that for every $\xi \in S^{2n-1}$

$$\text{Vol}_{2n-2}(K \cap H_\xi) \leq \text{Vol}_{2n-2}(L \cap H_\xi).$$

On the other hand, the function f is positive only where $(\|x\|_L^{-2})^\wedge$ is negative, so

$$\begin{aligned} & \int_{S^{2n-1}} (\|x\|_K^{-2n+2})^\wedge(\xi) (\|x\|_L^{-2})^\wedge(\xi) d\xi \\ &= \int_{S^{2n-1}} (\|x\|_L^{-2n+2})^\wedge(\xi) (\|x\|_L^{-2})^\wedge(\xi) d\xi - (2\pi)^{2n}\epsilon \int_{S^{2n-1}} (\|x\|_L^{-2})^\wedge(\xi) f(\xi) d\xi \\ &> \int_{S^{2n-1}} (\|x\|_L^{-2n+2})^\wedge(\xi) (\|x\|_L^{-2})^\wedge(\xi) d\xi. \end{aligned}$$

The end of the proof is similar to that of the previous lemma—we apply Parseval’s formula to remove Fourier transforms and then use Hölder’s inequality and the polar formula for the volume to get $\text{Vol}_{2n}(K) > \text{Vol}_{2n}(L)$. □

4. The solution of the problem

It is known (see [22] or [26, Corollary 4.9] plus Proposition 2) that for every origin symmetric convex body K in \mathbb{R}^{2n} , $n \geq 2$, the space $(\mathbb{R}^{2n}, \|\cdot\|_K)$ embeds in L_{-p} for each $p \in [2n - 3, 2n)$, or, in other words, every origin symmetric convex body in \mathbb{R}^{2n} is a $(2n - 3)$ -, $(2n - 2)$ - and $(2n - 1)$ -intersection body. On the other hand, for $q > 2$ the unit ball of the real space ℓ_q^{2n} is not a $(2n - 4)$ -intersection body, and, moreover, \mathbb{R}^{2n} provided with the norm of this space does not embed in L_{-p} with $p < 2n - 3$ (see [19] or [26, Theorem 4.13]).

Now we have to find out what happens if we consider convex bodies invariant with respect to all R_θ . It immediately follows from the second derivative test ([26, Theorems 4.19 and 4.21]; see Theorem 4 below) that for $q > 2$ the complex space ℓ_q^n does not embed in L_{-p} with $p < 2n - 4$, which means that the unit ball B_q^n of this space (which is invariant with respect to all R_θ) is not a k -intersection body with $k < 2n - 4$. The only question that remains open is what happens in the interval $p \in [2n - 4, 2n - 3)$. The following result answers this question.

Theorem 3. *Let $n \geq 3$. Every origin symmetric invariant with respect to R_θ convex body K in \mathbb{R}^{2n} is a $(2n - 4)$ -intersection body. Moreover, the space $(\mathbb{R}^{2n}, \|\cdot\|_K)$ embeds in L_{-p} for every $p \in [2n - 4, 2n)$.*

If $n = 2$ the space $(\mathbb{R}^{2n}, \|\cdot\|_K)$ embeds in L_{-p} for every $p \in (0, 4)$.

Proof. By Lemma 2, it is enough to prove the result in the case where K is infinitely smooth. Fix $\xi \in S^{2n-1}$.

Let $n \geq 3$. Applying formula (8) and then Lemma 3 with $H = H_\xi$, $m = 1$, $k = 2$, and dimension $2n$ instead of n , we get

$$\begin{aligned} \Delta A_{K, H_\xi}(0) &= \frac{-1}{8\pi^2(n-2)} \int_{S^{n-1} \cap H_\xi^\perp} (\|x\|_K^{-2n+4})^\wedge(\eta) d\eta \\ &= \frac{-2\pi}{8\pi^2(n-2)} (\|x\|_K^{-2n+4})^\wedge(\xi). \end{aligned}$$

By the Brunn–Minkowski theorem (see Lemma 1), $(\|x\|_K^{-2n+4})^\wedge(\xi) \geq 0$ for every $\xi \in S^{2n-1}$, so $\|x\|_K^{-2n+4}$ is a positive definite distribution on \mathbb{R}^{2n} . By Proposition 2, K is a $(2n - 4)$ -intersection body.

Now let $n \geq 2$. For $0 < q < 2$, formula (7) and Lemma 1 imply that $(\|x\|_K^{-2n+q+2})^\wedge(\xi) \geq 0$. By Proposition 2, the space $(\mathbb{R}^{2n}, \|\cdot\|_K)$ embeds in $L_{-2n+q+2}$, and, using the range of q , every such space embeds in L_{-p} , $p \in (2n - 4, 2n - 2)$. As mentioned before, these spaces also embed in L_{-p} , $p \in [2n - 3, 2n)$, because so does any $2n$ -dimensional normed space. \square

We now give an example of an origin symmetric convex body in \mathbb{R}^{2n} which is invariant with respect to all R_θ and is not a k -intersection body for any $1 \leq k < 2n - 4$.

Denote by B_n^q the unit ball of the complex space ℓ_n^q considered as a subset of \mathbb{R}^{2n} :

$$B_n^q = \{ \xi \in \mathbb{R}^{2n} : \|\xi\|_q = ((\xi_{11}^2 + \xi_{12}^2)^{q/2} + \dots + (\xi_{n1}^2 + \xi_{n2}^2)^{q/2})^{1/q} \leq 1 \}.$$

If $q \geq 1$ then B_n^q is an origin symmetric invariant with respect to R_θ convex body in \mathbb{R}^{2n} .

The next theorem immediately follows from Proposition 3.

Theorem 4. *If $q > 2$ then the space $(\mathbb{R}^{2n}, \|\cdot\|_q)$ does not embed in L_{-p} with $0 < p < 2n - 4$. In particular, the body B_n^q is not a k -intersection body for any $1 \leq k < 2n - 4$.*

Proof. The space $(\mathbb{R}^{2n}, \|\cdot\|_q)$ contains as a subspace the q -sum of \mathbb{R} and a $(2n - 2)$ -dimensional subspace $(\mathbb{R}^{2n-2}, \|\cdot\|_q)$. This q -sum does not embed in L_{-p} , $0 < p < 2n - 4$, by Proposition 3. By a result of Milman [32], the larger space cannot embed in L_{-p} , $0 < p < 2n - 4$, either (the proof in [32] is only for integers p , but it is exactly the same for non-integers; note that for the complex Busemann–Petty problem we need only the second statement of the theorem, where p is an integer). \square

We are now ready to prove the main result of this article:

Theorem 5. *The solution to the complex Busemann–Petty problem in \mathbb{C}^n is affirmative if $n \leq 3$ and it is negative if $n \geq 4$.*

Proof. By Theorem 3, every origin symmetric invariant with respect to R_θ convex body in \mathbb{R}^6 (where $n = 3$) is a $2n - 4 = 2$ -intersection body, and in \mathbb{R}^4 (where $n = 2$) it is a $2n - 2 = 2$ -intersection body. The affirmative answers for $n = 3$ and $n = 2$ follow now from Theorem 2.

If $n \geq 4$ then $2n - 4 > 2$, so by Theorem 4 the body B_q^n is not a 2-intersection body. The negative answer follows from Theorem 2. \square

Remark 1. The transition between the dimensions $n = 3$ and $n = 4$ is due to the fact that convexity controls only derivatives of the second order. To see this let us look again at formula (8), which we apply with $k = 2$. We want to get information about the Fourier transform of $\|x\|_D^{-2}$, so we need to choose m so that $-2n + 2m + 2 = -2$. If $n = 3$ then $m = 1$, but when $n = 4$ we need $m = 2$. This means that for $n = 3$ we consider $\Delta A_{K,H}(0)$, which is always negative by convexity, but when $n = 4$ we look at $\Delta^2 A_{K,H}(0)$, which is not controlled by convexity and can be sign-changing. One can construct a counterexample in dimension $n = 4$ using this argument, similarly to how it was done for the “real” Busemann–Petty problem; see [26, Corollary 4.4].

Remark 2. Applying Theorem 3 to $n = 2$ we get that every two-dimensional complex normed space (which is a 4-dimensional real normed space) embeds in L_{-p} for every $p \in (-4, 0)$. By [16, Theorem 6.4], this implies that every such space embeds isometrically in L_0 . The concept of embedding in L_0 was introduced in [16]: a normed space $(\mathbb{R}^n, \|\cdot\|)$ embeds in L_0 if there exist a probability measure μ on S^{n-1} and a constant C so that for every $x \in \mathbb{R}^n$, $x \neq 0$

$$\log \|x\| = \int_{S^{n-1}} \log |(x, \xi)| d\mu(\xi) + C.$$

We have

Theorem 6. *Every two-dimensional complex normed space embeds in L_0 . On the other hand, there exist two-dimensional complex normed spaces that do not embed isometrically in any L_p , $p > 0$.*

An example supporting the second claim is the complex space ℓ_q^2 with $q > 2$. This follows from a version of the second derivative test proved in [27] (see also [26, Theorem 6.11]). Recall that every two-dimensional real normed space embeds isometrically in L_1 (see [7,15,30] or [26, p. 120]), but the real space ℓ_q^2 does not embed isometrically in any L_p , $1 < p \leq 2$, as proved by Dor [6]; see also [26, p. 124].

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